

# Additive Sparsification of CSPs\*

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## Abstract

Multiplicative cut sparsifiers, introduced by Benczúr and Karger [STOC’96], have proved extremely influential and found various applications. Precise characterisations were established for sparsifiability of graphs with other 2-variable predicates on Boolean domains by Filtser and Krauthgamer [SIDMA’17] and non-Boolean domains by Butti and Živný [SIDMA’20].

Bansal, Svensson and Trevisan [FOCS’19] introduced a weaker notion of sparsification termed “additive sparsification”, which does not require weights on the edges of the graph. In particular, Bansal et al. designed algorithms for additive sparsifiers for cuts in graphs and hypergraphs.

As our main result, we establish that *all* Boolean Constraint Satisfaction Problems (CSPs) admit an additive sparsifier; that is, for every Boolean predicate  $P : \{0, 1\}^k \rightarrow \{0, 1\}$  of a fixed arity  $k$ , we show that  $\text{CSP}(P)$  admits an additive sparsifier. Under our newly introduced notion of all-but-one sparsification for non-Boolean predicates, we show that  $\text{CSP}(P)$  admits an additive sparsifier for *any* predicate  $P : D^k \rightarrow \{0, 1\}$  of a fixed arity  $k$  on an arbitrary finite domain  $D$ .

## 1 Introduction

Graph sparsification is the problem of, given a graph  $G = (V, E)$  with quadratically many (in  $|V|$ ) edges, finding a sparse subgraph  $G_\varepsilon = (V, E_\varepsilon \subseteq E)$  such that important properties of  $G$  are preserved in  $G_\varepsilon$ . Sparse in this context usually means with sub-quadratically many edges, though in this work we require (and can achieve) linearly many edges.

One of the most studied properties of preservation is the size of cuts. If  $G = (V, E, w)$  is an undirected weighted graph with  $w : E \rightarrow \mathbb{R}_{>0}$ , given some  $S \subseteq V$ , the cut of  $S$  in  $G$  is

$$\text{Cut}_G(S) = \sum_{\substack{\{u,v\} \in E \\ |\{u,v\} \cap S| = 1}} w(\{u, v\}),$$

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the sum of weights of all edges connecting  $S$  and  $S^c = V \setminus S$ . In an influential paper, Benczúr and Karger [11] introduced cut sparsification with a multiplicative error. In particular, [11] showed that for any graph  $G = (V, E, w)$  and any error parameter  $0 < \varepsilon < 1$ , there exists a sparse subgraph  $G_\varepsilon = (V, E_\varepsilon \subseteq E, w')$  with  $O(n(\log n)\varepsilon^{-2})$  edges (and new weights  $w'$  on the edges in  $E_\varepsilon$ ), such that for every  $S \subseteq V$  we have

$$\text{Cut}_{G_\varepsilon}(S) \in (1 \pm \varepsilon)\text{Cut}_G(S).$$

This was later improved by Batson, Spielman and Srivastava [10] to a subgraph with  $O(n\varepsilon^{-2})$  many edges. Andoni, Chen, Krauthgamer, Qin, Woodruff and Zhang showed that the dependency on  $\varepsilon$  is optimal [4].

The ideas from cut sparsification paved the way to various generalisations, including streaming [1], sketching [4], cuts in hypergraphs [23, 27], spectral sparsification [33, 32, 34, 21, 31] and the consideration of other predicates besides cuts [20]. In this work, we focus on the latter.

The cut sparsification result in [10] was explored for other Boolean binary predicates by Filtser and Krauthgamer [20], following a suggestion to do so by Kogan and Krauthgamer in [23]. Filtser and Krauthgamer found [20] a necessary and sufficient condition on the predicate for the graph to be sparsifiable (in the sense of [10]). In particular, [20] showed that not all Boolean binary predicates are sparsifiable. Later, Butti and Živný [14] generalised the result from [20] to arbitrary finite domain binary predicates.

We remark that [20, 14] use the terminology of *constraint satisfaction problems* (CSPs) with a fixed predicate  $P$ . This is equivalent to a (hyper)graph  $G$  with a fixed predicate. Indeed, the vertices of  $G$  correspond to the variables of the CSP and the (hyper)edges of  $G$  correspond to the constraints of the CSP. If the fixed predicate  $P$  is not symmetric, the (hyper)edges of  $G$  are directed. We will mostly talk about sparsification of (hyper)graphs with a fixed predicate but this is equivalent to the CSP view.

Recently, while trying to eliminate the requirement for the introduction of new weights for the sparse subgraph, Bansal, Svensson and Trevisan [7] have come up with a new sparsification notion with an additive error term. They have shown (cf. Theorem 3 in Section 2) that under their notion any undirected unweighted hypergraph has a sparse subhypergraph which preserves all cuts up to some additive term.

**Motivation** The relatively recent notion of additive sparsification has not yet been explored to the same extent as the notion of multiplicative sparsification has been. We believe that this notion has a lot of potential for applications as the sparsifiers are not weighted, unlike multiplicative sparsifiers, and the main restriction of multiplicative sparsifiers in applications appears to be the number of distinct weights required in sparsifiers. For some graphs (such as the “barbell graph” – two disjoint cliques joined by a single edge), any nontrivial multiplicative sparsifier requires edges of different weights. In any case, the authors find the notion of additive sparsification interesting in its own right, independently of applications. We refer the reader to [7] for further details and a discussion.

The goal of our work is to understand how the notion of additive sparsification developed in [7] for cuts behaves on (hyper)graphs with other predicates (beyond cuts), deriving inspiration from the generalisations of cuts to other predicates in the multiplicative setting established in [20, 14]. In particular, already Boolean binary predicates include interesting predicates such as the *uncut edges* (using the predicate  $P(x, y) = 1$  iff  $x = y$ ), *covered edges* (using the

predicate  $P(x, y) = 1$  iff  $x = 1$  or  $y = 1$ ), or *directed cut edges* (using the predicate  $P(x, y) = 1$  iff  $x = 0$  and  $y = 1$ ). While such graph problems are well-known and extensively studied, it is not clear whether one should expect them to be sparsifiable or not. For instance, as mentioned before, not all (even Boolean binary) predicates are sparsifiable multiplicatively [20]. Are there some predicates that are not additively sparsifiable?

## 1.1 Contributions

**Boolean predicates** Our main result, Theorem 10 in Section 3, shows that *all* hypergraphs with *constant* uniformity  $k$ , directed or undirected, admit additive sparsification with respect to all Boolean predicates  $P : \{0, 1\}^k \rightarrow \{0, 1\}$ ; the number of hyperedges of the sparsifier with error  $\varepsilon > 0$  is  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$ , where the  $O(\cdot)$  hides a factor that depends on  $k$ . This result has three ingredients. First, we observe that the result in [7] also holds true for directed hypergraphs. Second, we use a reduction via the  $k$ -partite  $k$ -fold covers of hypergraphs to the already solved case of Boolean Cut. Finally, we use linear algebra to prove the correctness of the reduction. While the reduction via the  $k$ -partite  $k$ -fold cover was used in previous works on multiplicative sparsification [20, 14], the subsequent non-trivial linear-algebraic analysis (Proposition 14) is novel and constitutes our main technical contribution, as well as our result that, unlike in the multiplicative setting, all Boolean predicates can be (additively) sparsified. We also show that our results immediately apply to the more general setting where different hyperedges are associated with different predicates (cf. Remark 11). This corresponds to CSPs with a fixed constraint language (of a finite size) rather than just a single predicate.

**Non-Boolean predicates** We introduce a notion of sparsification that generalises the Boolean case to predicates on non-Boolean domains, i.e. a notion capturing predicates of the form  $P : D^k \rightarrow \{0, 1\}$ , where  $D$  is an arbitrary fixed finite set with  $|D| \geq 2$ . We call this type of sparsification “all-but-one” sparsification since the additive error term includes the maximum volume of  $|D| - 1$  (out of  $|D|$ ) parts, where the volume of a subset is the sum of the degrees in the subset. (The precise definition can be found in Section 4.) By building on the techniques used to establish our main result, we show that all hypergraphs (again, directed or undirected) admit additive all-but-one sparsification with respect to all predicates. This is stated as Theorem 21 in Section 4. We also show, in Section 5, that our notion of all-but-one sparsification is, in some sense, optimal.

**Comparison to previous work** As mentioned above, our sparsifiability result is obtained by a reduction via the  $k$ -partite  $k$ -fold cover to the cut case established in [7]. A reduction via the  $k$ -partite  $k$ -fold cover was also used (for  $k = 2$ ) in previous work on multiplicative sparsification [20, 14]. In particular, the correctness of the reduction for Boolean binary predicates in [20] is done via an ad hoc case analysis for 11 concrete predicates. In the generalisation to binary predicates on arbitrary finite domains in [14], the correctness is proved via a combinatorial property of bipartite graphs without a certain 4-vertex graph<sup>1</sup> as a subgraph and a reduction to cuts with more than two parts.

In our case, we use the same black-box reduction via the  $k$ -partite  $k$ -fold cover. Thus the reduction itself is pretty straightforward, although the analysis is not. In fact, we find it surprising and unexpected that the  $k$ -partite  $k$ -fold cover works in the additive setting. Our

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<sup>1</sup>A bipartite graph on four vertices with each part of size two and precisely one edge between the two parts.

key contribution is the proof of its correctness. A few simple reductions get us to the most technically involved case, in which  $k$  is even and the  $k$ -ary predicate satisfies  $P(1, \dots, 1) = 0$ . Additive sparsifiability of such predicates is established in Proposition 14. Unlike in the multiplicative setting, it is not clear how to do this in a straightforward way similar to [20, 14]. Instead, we associate with a given predicate  $P$  a vector  $v_P$  in an appropriate vector space, identify special vectors that can be shown additively sparsifiable directly, show that linear combinations preserve sparsifiability, and argue that  $v_P$  can be generated by the special vectors. The latter is the most technical part of the proof. While there are several natural ideas how to achieve this in a seemingly simpler way (such as arguing that the special vectors form a basis), we have not managed to produce a simpler or shorter proof.

The result in [7] also works for non-constant  $k$ . We emphasise that we deal with constant  $k$ , which is standard in the CSP literature in that the predicate (or a set of predicates) is fixed and not part of the input. For constant  $k$ , the representation of predicates is irrelevant (cf. Remark 18). Thus we do not keep track of (and have not tried to optimise) the precise dependency of the reduction on the predicate arity  $k$  (or the domain size  $q = |D|$ ).

**Related work** The already mentioned spectral sparsification [33] is a stronger notion than cut sparsification as it requires that not only cuts but also the Laplacian spectrum of a given graph should be (approximately) preserved [32, 34, 21, 31, 7].

Our focus in this article is on *edge sparsifiers* (of cuts and generalisations via local predicates). There are also vertex sparsifiers, in which one reduces the number of vertices. Vertex sparsifiers have been studied for cut sparsification (between special vertices called terminals) [22, 26, 25, 15] as well as for spectral sparsification [24].

Sparsification in general is about finding a sparse sub(hyper)graph while preserving important properties of interest. In addition to cut sparsifiers, another well studied concept is that of *spanners*. A spanner of a graph is a (sparse) subgraph that approximately preserves distances of shortest paths. Spanners have been studied in great detail both in the multiplicative [5, 28, 3, 17, 6, 9, 30] and additive [2, 18, 12, 8, 35, 16] setting. Emulators are a generalisation of spanners in which the sparse graph is not required to be a subgraph of the original graph. We refer the reader to a nice recent survey of Elkin and Neimain for more details [19].

## 2 Preliminaries

For an integer  $k$ , we denote by  $[k]$  the set  $\{0, 1, \dots, k-1\}$ . All graphs and hypergraphs<sup>2</sup> in this paper are unweighted.

For an assignment  $a : V \rightarrow S$  from the set of vertices of a (hyper)graph to some set  $S$  containing 0, we denote by  $Z_a = \{v \in V : a(v) = 0\}$  the set of vertices mapped to 0.

If  $0 \leq i \leq r^k - 1$  is an integer, we denote by  $\text{rep}_{r,k}(i)$  the representation of  $i$  in base  $r$  as a vector in  $\mathbb{R}^k$ , where the first coordinate stands for the most significant digit, and the last coordinate for the least significant digit. For the special case  $r = 2$ , we use the notation  $\text{bin}_k(i)$  for the binary representation of  $i$ .

We denote by  $v[j]$  the  $j$ -th coordinate of the vector  $v$ , counting from 0.

For an integer  $0 \leq i \leq 2^k - 1$ , we use  $\text{zeros}_k(i) = \{\ell \in [k] : \text{bin}_k(i)[\ell] = 0\}$ ; for example  $\text{zeros}_6(52) = \{2, 4, 5\}$ , since  $\text{bin}_6(52) = (1, 1, 0, 1, 0, 0)$ .

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<sup>2</sup>We use the standard definition of hypergraphs, in which every hyperedge is an ordered tuple of vertices.

We now define the value of an assignment on a hypergraph with a fixed predicate.

**Definition 1.** Let  $G = (V, E)$  be a directed  $k$ -uniform hypergraph and let  $P : D^k \rightarrow \{0, 1\}$  be a  $k$ -ary predicate on a finite set  $D$ . Given an assignment  $a : V \rightarrow D$  of  $G$ , the *value* of  $a$  is defined by  $\text{Val}_{G,P}(a) = \sum_{(v_1, \dots, v_k) \in E} P(a(v_1), \dots, a(v_k))$ . If  $G$  is undirected and  $P$  is order invariant,<sup>3</sup> we define  $\text{Val}_{G,P}(a) = \sum_{\{v_1, \dots, v_k\} \in E} P(a(v_1), \dots, a(v_k))$ .<sup>4</sup>

The notion of additive sparsification was first introduced in [7] for cuts in graphs and hypergraphs. In order to define it, we will need the  $\text{Cut} : \{0, 1\}^k \rightarrow \{0, 1\}$  predicate defined by  $\text{Cut}(b_1, \dots, b_k) = 1 \iff \exists i, j, b_i \neq b_j$ . Given a hypergraph  $G = (V, E)$  and a set  $U \subseteq V$ , we denote by  $\text{vol}_G(U)$  the *volume* of  $U$ , defined as the sum of the degrees in  $G$  of all vertices in  $U$ .

**Definition 2.** Let  $G = (V, E)$  be an undirected  $k$ -uniform hypergraph, and denote  $|V| = n$ . We say that  $G$  *admits additive cut sparsification* with error  $\varepsilon$  using  $O(f(n, \varepsilon))$  hyperedges if there exists a subhypergraph  $G_\varepsilon = (V, E_\varepsilon \subseteq E)$  with  $|E_\varepsilon| = O(f(n, \varepsilon))$ , called an *additive sparsifier* of  $G$ , such that for every assignment  $a : V \rightarrow \{0, 1\}$  we have

$$\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, \text{Cut}}(a) - \text{Val}_{G, \text{Cut}}(a) \right| \leq \varepsilon(d_G |Z_a| + \text{vol}_G(Z_a)), \quad (1)$$

where  $d_G$  is the average degree of  $G$ .

Note that (1) can also be written as

$$\frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, \text{Cut}}(a) \in \text{Val}_{G, \text{Cut}}(a) \pm \varepsilon(d_G |Z_a| + \text{vol}_G(Z_a)),$$

which explains the use of the term “additive” for the error.

Bansal, Svensson and Trevisan [7] showed the following sparsification result:

**Theorem 3** (Additive Cut Sparsification [7, Theorem 1.3]). *Let  $G = (V, E)$  be an undirected  $n$ -vertex  $k$ -uniform hypergraph, and  $\varepsilon > 0$ . Then  $G$  admits additive cut sparsification with error  $\varepsilon$  using  $O\left(\frac{n}{k} \varepsilon^{-2} \log\left(\frac{k}{\varepsilon}\right)\right)$  hyperedges.*

**Remark 4.** We call a predicate  $P$  *symmetric* if it is order invariant (as in Definition 1). Since Theorem 3 deals with only *undirected* hypergraphs, it is not clear how to generalise it to non-symmetric predicates directly, since the value of such predicates on undirected hypergraphs is not defined. Therefore, our course of action will be first to prove it for the case of directed hypergraphs, and then generalise it to other predicates on directed hypergraphs. In fact, by doing this we also prove the result for undirected hypergraphs with symmetric predicates, since hyperedges can be given arbitrary directions without changing the average degree of  $G$ , or the volume in  $G$ , or the value of the predicate in any assignment.

**Remark 5.** Throughout this paper we only discuss the existence of sparsifiers and do not mention the time complexity to find them. However, the (implicit) time complexity results from [7] apply in our more general setting as well since the sparsifiers we find are in fact the same sparsifiers for all predicates, including cuts (cf. Remark 17).

<sup>3</sup> $P(b_1, \dots, b_k) = P(b_{\sigma(1)}, \dots, b_{\sigma(k)})$  for all  $b_1, \dots, b_k \in D$  and every permutation  $\sigma$  on the set  $\{1, \dots, k\}$ .

<sup>4</sup>The terms are well defined since  $P$  is order invariant.

An important tool we use to prove our results is the  $k$ -partite  $k$ -fold cover of a hypergraph. This construction is a well known one, and has been used for multiplicative sparsification (for  $k = 2$ ) in [20] and [14].

**Definition 6.** Let  $G = (V, E)$  be a directed  $k$ -uniform hypergraph. The  $k$ -partite  $k$ -fold cover of  $G$  is the hypergraph  $\gamma(G) = (V^\gamma, E^\gamma)$  where

$$V^\gamma = \{v^{(0)}, v^{(1)}, \dots, v^{(k-1)} : v \in V\},$$

$$E^\gamma = \{(v_1^{(0)}, v_2^{(1)}, \dots, v_k^{(k-1)}) : (v_1, \dots, v_k) \in E\}.$$

If  $G$  is undirected we define the cover in the same way except

$$E^\gamma = \{\{v_{\sigma(1)}^{(0)}, v_{\sigma(2)}^{(1)}, \dots, v_{\sigma(k)}^{(k-1)}\} : \{v_1, \dots, v_k\} \in E\}, \sigma \text{ a permutation on } \{1, 2, \dots, k\}\}$$

so for each hyperedge in  $G$  we get  $k!$  hyperedges in  $\gamma(G)$  in this case.

If  $k = 2$  then  $\gamma(G)$  corresponds to the well-known *bipartite double cover* of  $G$  [13].

### 3 Sparsification of Boolean Predicates

As mentioned in Section 1, we begin by observing that Theorem 3 also works for directed hypergraphs. (We emphasise that we treat  $k$  as a constant, cf. Remark 18.)

We will need a notation for the undirected equivalent of a directed hypergraph.

**Definition 7.** Given a directed  $k$ -uniform hypergraph  $G = (V, E)$ , the *undirected equivalent* of  $G$  is  $\Lambda(G) = (V, \overline{E})$  where  $\overline{E} = \{\{v_1, \dots, v_k\} : (v_1, \dots, v_k) \in E\}$ .

In other words,  $\Lambda(G)$  is obtained by “forgetting” the directions of the hyperedges of  $G$  (and ignoring duplicates if they exist).

**Proposition 8.** Let  $G = (V, E)$  be a directed  $n$ -vertex  $k$ -uniform hypergraph, and  $\varepsilon > 0$ . Then  $G$  admits additive cut sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges.

*Proof.* Let  $\varepsilon > 0$ , and let  $\gamma(G) = (V^\gamma, E^\gamma)$  be the  $k$ -partite  $k$ -fold cover of  $G$ . Let  $\Lambda(\gamma(G))$  be the undirected equivalent of  $\gamma(G)$ . Let  $\Lambda(\gamma(G))_\varepsilon = (V_\varepsilon^\gamma, \overline{E}_\varepsilon^\gamma)$  be a subhypergraph of  $\Lambda(\gamma(G))$  promised by Theorem 3. By the construction of the  $k$ -partite  $k$ -fold cover, there are no two directed hyperedges over the same set of vertices, and so there is a 1-1 correspondence between the hyperedges of  $G$  and the hyperedges of  $\Lambda(\gamma(G))$ . Hence we have a subhypergraph  $G_\varepsilon = (V, E_\varepsilon)$  of  $G$  such that  $\Lambda(\gamma(G_\varepsilon)) = \Lambda(\gamma(G))_\varepsilon$  (by taking the hyperedges corresponding to the ones of  $\Lambda(\gamma(G))_\varepsilon$ ). We also have  $|\overline{E}^\gamma| = |E|$  and  $|\overline{E}_\varepsilon^\gamma| = |E_\varepsilon|$ .

Let  $a : V \rightarrow \{0, 1\}$ . Define  $a' : V^\gamma \rightarrow \{0, 1\}$  by  $a'(v^{(i)}) = a(v)$ . We have

$$\text{Val}_{G, \text{Cut}}(a) = \text{Val}_{\Lambda(\gamma(G)), \text{Cut}}(a'), \quad (2)$$

which is true for any hypergraph, and in particular for  $G_\varepsilon$ :

$$\text{Val}_{G_\varepsilon, \text{Cut}}(a) = \text{Val}_{\Lambda(\gamma(G_\varepsilon)), \text{Cut}}(a'). \quad (3)$$

Applying Theorem 3 to  $\Lambda(\gamma(G))$  and  $a'$  gives us

$$\begin{aligned}
\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, \text{Cut}}(a) - \text{Val}_{G, \text{Cut}}(a) \right| &= \left| \frac{|\overline{E_\varepsilon}|}{|E_\varepsilon|} \text{Val}_{\Lambda(\gamma(G_\varepsilon)), \text{Cut}}(a') - \text{Val}_{\Lambda(\gamma(G)), \text{Cut}}(a') \right| \\
&\leq \varepsilon (d_{\Lambda(\gamma(G))} |Z_{a'}| + \text{vol}_{\Lambda(\gamma(G))}(Z_{a'})) \\
&= \varepsilon (d_{\gamma(G)} \cdot k |Z_a| + \text{vol}_{\gamma(G)}(Z_a)) \\
&= \varepsilon (d_G |Z_a| + \text{vol}_G(Z_a)),
\end{aligned}$$

where the first line is due to (2) and (3), the second line is by Theorem 3, and the last two lines are by properties of the  $k$ -partite  $k$ -fold cover. Moreover

$$|E_\varepsilon| = |\overline{E_\varepsilon}| = O\left(\frac{kn}{k} \varepsilon^{-2} \log \frac{k}{\varepsilon}\right) = O\left(n \varepsilon^{-2} \log \frac{1}{\varepsilon}\right),$$

as required.  $\square$

From now on, whenever we say a “hypergraph”, we mean a “directed hypergraph” with  $n$  vertices. By Remark 4, the results also apply to undirected hypergraphs (whenever it makes sense, i.e. if the associated predicate is symmetric). We also omit the word additive when discussing sparsification. The following notion of sparsification is a natural generalisation of cut sparsification (Definition 2) to arbitrary predicates.

**Definition 9.** Let  $P$  be a  $k$ -ary Boolean predicate and  $G = (V, E)$  a  $k$ -uniform hypergraph. We say that  $G$  admits  $P$ -sparsification with error  $\varepsilon$  using  $O(f(n, \varepsilon))$  hyperedges if there exists a subhypergraph  $G_\varepsilon = (V, E_\varepsilon \subseteq E)$  with  $|E_\varepsilon| = O(f(n, \varepsilon))$ , called a  $P$ -sparsifier of  $G$ , such that for every assignment  $a : V \rightarrow \{0, 1\}$  we have

$$\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, P}(a) - \text{Val}_{G, P}(a) \right| \leq \varepsilon (d_G |Z_a| + \text{vol}_G(Z_a)), \quad (4)$$

where  $d_G$  is the average degree of  $G$ .

The following theorem is our main result, extending Proposition 8 to all  $k$ -ary predicates with Boolean domains.

**Theorem 10 (Main).** *For every  $k$ -uniform hypergraph  $G$  ( $k$  is a constant), every  $k$ -ary Boolean predicate  $P : \{0, 1\}^k \rightarrow \{0, 1\}$ , and every  $\varepsilon > 0$ ,  $G$  admits  $P$ -sparsification with error  $\varepsilon$  using  $O(n \varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges.*

Theorem 10 can be informally restated as “every  $k$ -uniform hypergraph is sparsifiable with respect to all  $k$ -ary Boolean predicates” or “for every Boolean predicate  $P$  of constant arity,  $\text{CSP}(P)$  is sparsifiable”.

**Remark 11.** It is possible to consider an even more general case where each hyperedge in  $G$  has its own predicate. In this case, we can apply Theorem 10 to each of the hypergraphs obtained by taking only hyperedges corresponding to a specific predicate, and so get a sparsifier for each such predicate. Taking the union of all their hyperedges, we get a new hypergraph  $G_\varepsilon$ , which is a sparsifier of the original hypergraph. Indeed, it has  $O(n \varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges since it is the union of a constant number of hypergraphs. (The number of predicates  $P : \{0, 1\}^k \rightarrow \{0, 1\}$  is constant, since  $k$  is constant.) It also satisfies (4) for any given assignment up to some constant factor, since all the sparsifiers it is composed of do. This constant factor can be eliminated by choosing  $\varepsilon_0 = \frac{\varepsilon}{m}$  for an appropriate  $m$  that depends only on  $k$ .



The main work in the proof of Theorem 10 is for even values of  $k$ ; a simple reduction (Proposition 16) then reduces the case of  $k$  odd to the even case.

In order to prove Theorem 10 for even  $k$ , we use the  $k$ -partite  $k$ -fold cover of  $G$  and apply Proposition 8 to various assignments of it. For a  $k$ -ary Boolean predicate  $P : \{0, 1\}^k \rightarrow \{0, 1\}$ , we consider the vector  $v_P \in \mathbb{R}^{2^k}$ , defined by  $v_P[i] = P(\text{bin}_k(i))$ . For instance, for the Cut predicate on a 3-uniform hypergraph, we have  $v_{\text{Cut}} = (0, 1, 1, 1, 1, 1, 1, 0)$ .

For a given hypergraph  $G$  and an assignment  $a$ , we consider the vector  $v_{G,a} \in \mathbb{R}^{2^k}$  defined by  $v_{G,a}[i] = |\{(v_1, \dots, v_k) \in E : (a(v_1), \dots, a(v_k)) = \text{bin}_k(i)\}|$ . In other words, each coordinate of  $v_{G,a}$  counts the hyperedges in  $G$  whose vertices are assigned some specific set of values by  $a$ .

**Example 12.** Given the graph  $G = (V, E)$  in Figure 1 (so  $k = 2$ ) and the assignment  $a : V \rightarrow \{0, 1\}$  defined as  $a(v_1) = a(v_2) = a(v_3) = 0$  and  $a(v_4) = a(v_5) = a(v_6) = a(v_7) = 1$ , we have  $v_{G,a} = (2, 3, 1, 5)$ , since there are two edges with assignment  $(0, 0)$ , namely  $(v_1, v_2)$  and  $(v_2, v_3)$ , three edges with assignment  $(0, 1)$ , namely  $(v_1, v_4)$ ,  $(v_2, v_6)$ , and  $(v_2, v_7)$ , etc.

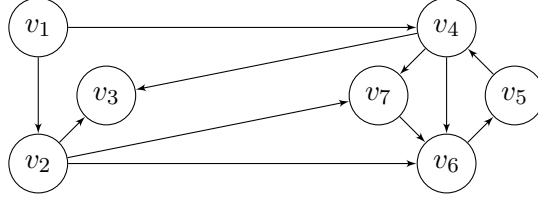


Figure 1: Graph from Example 12.

Under these notations, we get  $\text{Val}_{G,P}(a) = \langle v_P, v_{G,a} \rangle$ , where  $\langle \cdot, \cdot \rangle$  is the standard inner product in  $\mathbb{R}^{2^k}$ . We begin by proving the following useful lemma.

**Lemma 13.** *Let  $G = (V, E)$  be a  $k$ -uniform hypergraph,  $P_1, \dots, P_m$  be  $k$ -ary Boolean predicates ( $m$  is a constant). Suppose that for every  $\varepsilon > 0$  and  $1 \leq i \leq m$ ,  $G$  admits  $P_i$ -sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges, and that the same subhypergraph  $G_\varepsilon = (V, E_\varepsilon \subseteq E)$  is a  $P_i$ -sparsifier for all  $P_i$ . Suppose that  $P$  is some  $k$ -ary Boolean predicate for which we have  $v_P = \sum_{i=1}^m \lambda_i v_{P_i}$  for some constants  $\lambda_1, \dots, \lambda_m \in \mathbb{R}$ . Under these conditions,  $G$  admits  $P$ -sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges.*

*Proof.* Let  $\varepsilon > 0$  and denote  $\varepsilon_i = \frac{\varepsilon}{m|\lambda_i|}$  (if  $\lambda_i = 0$  take  $\varepsilon_i = 1$  instead) and  $\varepsilon_0 = \min\{\varepsilon_1, \dots, \varepsilon_m\}$ . Let  $G_{\varepsilon_0} = (V, E_{\varepsilon_0})$  be the common witness subhypergraph for  $\varepsilon_0$  promised by the assumption. We know that every  $P_i$  satisfies

$$\left| \frac{|E|}{|E_{\varepsilon_0}|} \text{Val}_{G_{\varepsilon_0}, P_i}(a) - \text{Val}_{G, P_i}(a) \right| \leq \varepsilon_0 (d_G |Z_a| + \text{vol}_G(Z_a)) \quad (5)$$

for every assignment  $a : V \rightarrow \{0, 1\}$ . We also have

$$\text{Val}_{G,P}(a) = \langle v_P, v_{G,a} \rangle = \left\langle \sum_{i=1}^m \lambda_i v_{P_i}, v_{G,a} \right\rangle = \sum_{i=1}^m \lambda_i \langle v_{P_i}, v_{G,a} \rangle = \sum_{i=1}^m \lambda_i \text{Val}_{G, P_i}(a),$$

and similarly

$$\text{Val}_{G_{\varepsilon_0}, P}(a) = \sum_{i=1}^m \lambda_i \text{Val}_{G_{\varepsilon_0}, P_i}(a).$$



Therefore, for every assignment  $a$  we get

$$\begin{aligned}
\left| \frac{|E|}{|E_{\varepsilon_0}|} \text{Val}_{G_{\varepsilon_0}, P}(a) - \text{Val}_{G, P}(a) \right| &= \left| \frac{|E|}{|E_{\varepsilon_0}|} \sum_{i=1}^m \lambda_i \text{Val}_{G_{\varepsilon_0}, P_i}(a) - \sum_{i=1}^m \lambda_i \text{Val}_{G, P_i}(a) \right| \\
&\leq \sum_{i=1}^m |\lambda_i| \left| \frac{|E|}{|E_{\varepsilon_0}|} \text{Val}_{G_{\varepsilon_0}, P_i}(a) - \text{Val}_{G, P_i}(a) \right| \\
&\leq \sum_{i=1}^m |\lambda_i| \varepsilon_0 (d_G |Z_a| + \text{vol}_G(Z_a)) \\
&\leq \varepsilon (d_G |Z_a| + \text{vol}_G(Z_a)),
\end{aligned}$$

where the second line is due to the triangle inequality, the third is due to (5) and the fourth is by the definition of  $\varepsilon_0$ .

Furthermore, since  $m$  and all  $\lambda_i$  are constants,

$$|E_{\varepsilon_0}| = O\left(n\varepsilon_0^{-2} \log \frac{1}{\varepsilon_0}\right) = O\left(n\varepsilon^{-2} \log \frac{1}{\varepsilon}\right),$$

and so  $G_{\varepsilon_0}$  is a witness for the  $P$ -sparsification of  $G$ .  $\square$

The core of the proof of Theorem 10 is in the next proposition, which establishes the result for Boolean predicates on even uniformity hypergraphs, with a small restriction.

**Proposition 14.** *Let  $k$  be an even number and  $G$  be a  $k$ -uniform hypergraph. Let  $P$  be a  $k$ -ary Boolean predicate with  $P(1, 1, \dots, 1) = 0$ . Then for every  $\varepsilon > 0$ ,  $G$  admits  $P$ -sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges.*

*Proof.* Let  $\varepsilon > 0$ . We consider  $\gamma(G)$ , the  $k$ -partite  $k$ -fold cover of  $G$ . Let  $\gamma(G)_\varepsilon$  be a subhypergraph of  $\gamma(G)$  promised by Proposition 8, and  $G_\varepsilon = (V, E_\varepsilon)$  the corresponding subhypergraph of  $G$ , i.e. the subhypergraph which satisfies  $\gamma(G_\varepsilon) = \gamma(G)_\varepsilon$  (by taking the hyperedges corresponding to the ones of  $\gamma(G)_\varepsilon$ ).

Let  $a : V \rightarrow \{0, 1\}$ . For every subset  $T \subseteq [k]$ , we look at the assignment  $a_T : V^\gamma \rightarrow \{0, 1\}$  defined by  $a_T(v^{(i)}) = 0$  if  $i \in T$  and  $a(v) = 0$ , and  $a_T(v^{(i)}) = 1$  otherwise. We therefore have

$$\left| \frac{|E^\gamma|}{|E_\varepsilon^\gamma|} \text{Val}_{\gamma(G)_\varepsilon, \text{Cut}}(a_T) - \text{Val}_{\gamma(G), \text{Cut}}(a_T) \right| \leq \varepsilon (d_{\gamma(G)} |Z_{a_T}| + \text{vol}_{\gamma(G)}(Z_{a_T})). \quad (6)$$

Define the vector  $u_T \in \mathbb{R}^{2^k}$  as follows:

$$u_T[j] = \begin{cases} 1 & T \cap \text{zeros}(j) \neq \emptyset, [k] \\ 0 & \text{otherwise} \end{cases}.$$

In other words, the vector  $u_T$  is 1 in index  $j$  if and only if there exists an index  $i \in T$  in which the binary representation of  $j$  has a zero, with the exception of  $u_{[k]}[0] = 0$ . Denote by  $P_T$  the predicate corresponding to  $u_T$ , that is  $P_T(\text{bin}_k(j)) = 1 \iff u_T[j] = 1$ . Observe that

$$\text{Val}_{\gamma(G), \text{Cut}}(a_T) = \text{Val}_{G, P_T}(a),$$

since they both count exactly hyperedges  $(v_1, \dots, v_k)$  which have some vertex  $v_i$  with  $a(v_i) = 0$  with  $i \in T$ , but if  $T = [k]$  then they do not count hyperedges which have  $a(v_i) = 0$  for all

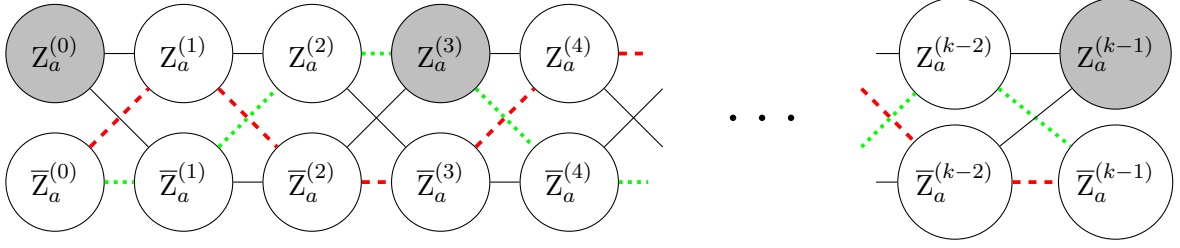


Figure 2: An example of a representation of an assignment on  $\gamma(G)$ .  $Z_a^{(i)}$  consists of all vertices in  $V^{(i)}$  which are a copy of a vertex  $v \in V$  with  $a(v) = 0$ , and  $\bar{Z}_a^{(i)}$  consists of the rest of  $V^{(i)}$ . Each hyperedge has a unique path from left to right (but a path might belong to multiple hyperedges), choosing one of  $Z_a^{(i)}, \bar{Z}_a^{(i)}$  for each  $i$ . Each such path is also in 1-1 correspondence with a coordinate in  $u_T$ . In this example  $T = \{0, 3, k-1\}$  and the shaded sets represent  $a_T^{-1}(0)$ . By green dotted lines we indicated a path corresponding to a hyperedge counted in  $\text{Val}_{\gamma(G), \text{Cut}}(a_T)$ , and by red dashed lines we indicated a path which does not. The green dotted path corresponds to a value of 1 in the coordinate of  $u_T$  with binary representation  $(1, 1, 0, 0, 1, \dots, 0, 1)$ , and the red dashed path to a value 0 in the coordinate with binary representation  $(1, 0, 1, 1, 0, \dots, 1, 1)$ . Note that if  $T = [k]$  then any hyperedge corresponding to a path only on  $Z_a^{(i)}$  is not counted.

$i = 1, \dots, k$  (see example in Figure 2). The same is true for any hypergraph, and in particular for  $G_\varepsilon$ , that is

$$\text{Val}_{\gamma(G_\varepsilon), \text{Cut}}(a_T) = \text{Val}_{G_\varepsilon, P_T}(a).$$

Putting these results in (6), we get

$$\begin{aligned} \left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, P_T}(a) - \text{Val}_{G, P_T}(a) \right| &\leq \varepsilon(d_{\gamma(G)}|Z_{a_T}| + \text{vol}_{\gamma(G)}(Z_{a_T})) \\ &\leq \varepsilon(d_G|Z_a| + \text{vol}_G(Z_a)), \end{aligned}$$

so  $G$  admits  $P_T$  sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges for every  $T \subseteq [k]$ , and for every  $\varepsilon$  the sparsification is witnessed by the same subhypergraph  $G_\varepsilon$ . (Notice that Proposition 8, when applied to  $\gamma(G)$  which has  $kn$  vertices, gives us a subhypergraph with  $O(kn\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges, and recall that  $k$  is a constant.)

Our next goal is to show that the vector  $v_P$  is a linear combination of the vectors  $u_T$  for all  $T \in [k]$ . To show that, we show that every vector  $e_r$  in the standard basis of  $\mathbb{R}^{2^k}$ , with  $r \neq 2^k - 1$ , is a linear combination of these vectors. This is sufficient since the last coordinate of  $v_P$  is 0 by the assumption. First we need to order the various sets  $T$ . We order them in the following decreasing lexicographic order  $T_0, T_1, \dots, T_{2^k-1}$ , where  $T_j = \text{zeros}(j)$ , so  $T_0 = [k], T_1 = [k] \setminus \{k-1\}, T_2 = [k] \setminus \{k-2\}, T_3 = [k] \setminus \{k-1, k-2\}, T_4 = [k] \setminus \{k-3\}$  and so on, until  $T_{2^k-1} = \emptyset$ .

Let  $e_r$  be a vector in the standard basis of  $\mathbb{R}^{2^k}$ . We introduce the following coefficients for  $0 \leq m \leq 2^k - 1$ :

$$\lambda_{r,m} = \frac{1}{2}(-1)^{\text{Ham}(r \oplus m) + (1 - \mathbf{1}_{r \& m})},$$

where  $\oplus, \&$  are the Xor and And binary functions respectively,<sup>5</sup> Ham is the Hamming weight

<sup>5</sup>The Xor of two integers is defined as the bitwise Boolean Xor of their binary representations, where the

function, and  $\mathbb{1}_d$  returns 1 if  $d \neq 0$  and 0 if  $d = 0$ . Denote

$$f_1(m) = \text{Ham}(r \oplus m) \quad , \quad f_2(m) = (1 - \mathbb{1}_{r \& m}).$$

We shall prove that

$$e_r = \sum_{m=0}^{2^k-1} \lambda_{r,m} u_{T_m}. \quad (7)$$

We start with a claim.

**Claim:** The sum of all coefficients is 0; i.e.,  $\sum_{m=0}^{2^k-1} \lambda_{r,m} = 0$ .

*Proof of the claim.* Let  $b_1 b_2 \dots b_k$  be the binary representation of  $r$ . Since  $r < 2^k - 1$ , there exists some  $1 \leq i \leq k$  for which  $b_i = 0$ . We can partition the coefficients into pairs, such that  $\lambda_{r,m_1}, \lambda_{r,m_2}$  is a pair if and only if  $m_1, m_2$  differ in the  $i$ -th coordinate only. This is clearly a partition. For each pair,  $f_1$  gives  $m_1, m_2$  different parity values, and  $f_2$  gives them the same value (since  $b_i = 0$ ), so  $\lambda_{r,m_1}, \lambda_{r,m_2}$  have opposite signs, so their sum is zero. This is true for every pair, so the overall sum is zero, and the claim is proved. (*End of the proof of the claim.*)

We prove (7) coordinate-wise. First we look at the coordinate  $r$ . Consider the set  $W$  of all vectors  $u_{T_m}$  for which the coordinate  $r$  is 0. If we show that the sum of the corresponding coefficients of the vectors in  $W$  is  $-1$ , using the claim we will deduce the result in this case. We distinguish 2 cases:

**Case (I):**  $r = 0$ . By the definition of  $u_T$ , in this case the set  $W$  contains two vectors,  $u_{[k]}$  and  $u_\emptyset$ . The corresponding coefficients are  $\lambda_{r,0} = -\frac{1}{2}$  and  $\lambda_{r,2^k-1} = -\frac{1}{2}$  (since  $k$  is even), which sum up to  $-1$ .

**Case (II):**  $r > 0$ . As in the proof of the claim, let  $b_1 b_2 \dots b_k$  be the binary representation of  $r$ , and choose a coordinate  $1 \leq i \leq k$  for which  $b_i = 1$ . Partition the vectors in  $W$  into pairs where  $u_{T_{m_1}}, u_{T_{m_2}}$  is a pair if and only if  $m_1, m_2$  differ in the  $i$ -th coordinate only. This is clearly a partition of all vectors, and by the definition of  $u_T$ , each such pair is either contained in  $W$  or disjoint from  $W$  so this is indeed a partition of  $W$ . (Note that  $u_{T_{m_1}}[r]$  is determined by  $T_{m_1} \cap \text{zeros}(r)$  which is in fact  $\text{zeros}(m_1) \cap \text{zeros}(r)$ , and the same for  $m_2$ . Since  $m_1, m_2$  differ in the  $i$ -th coordinate only, and  $r$  is not zero in this coordinate, this coordinate contributes nothing to the intersections, and so both these intersections are empty or non-empty together. The intersection never equals  $[k]$  since  $r > 0$ .) For every such pair in  $W$ , if it does not contain the negation of  $\text{bin}(r)$ , then there is some other index  $j \neq i$  in which  $r, m_1, m_2$  are all 1. (This is because in all other coordinates  $m_1, m_2$  are equal, and since they are not the negation of  $r$ , there is some coordinate  $j \neq i$  in which they are equal to the  $j$ -th coordinate of  $r$ . These coordinates cannot be all 0, since this would imply  $u_{T_{m_1}}, u_{T_{m_2}} \notin W$ .) This implies that  $f_2$  gives  $m_1, m_2$  the same value, and clearly  $f_1$  gives them different parity values, so  $\lambda_{r,m_1} + \lambda_{r,m_2} = 0$ . However, for the pair which contains the negation of  $r$  (this pair is clearly in  $W$ ), suppose without loss of generality the negation is  $m_1$ . Then  $f_2$  gives  $m_1, m_2$  the values 1, 0 respectively, and  $f_1$  gives  $m_1$  an even value and  $m_2$  an odd value (since  $k$  is even), and so  $\lambda_{r,m_1} = \lambda_{r,m_2} = -\frac{1}{2}$ , and the overall sum is  $-1$ . This finishes the proof of (7) in the coordinate  $r$ .

Now let  $r' \neq r$  be some other coordinate, and let  $c_1 c_2 \dots c_k$  be its binary representation. First, if  $r' = 2^k - 1$  then for all  $m$  we have  $u_{T_m}[r'] = 0$  by definition, so the linear combination of this coordinate is 0. So suppose  $r' < 2^k - 1$ . As before let  $W$  be the set of all vectors  $u_{T_m}$

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Boolean Xor of two bits is their sum modulo 2. The And of two integers is defined the same way with the Boolean And function which is defined as  $\text{And}(i, j) = 1 \iff i = j = 1$ .

for which the coordinate  $r'$  is 0. We show that the sum of the corresponding coefficients is zero, and again deduce the result using the claim. Now, there exists some index  $i$  for which  $b_i \neq c_i$ . Again we have two cases:

**Case (1):**  $b_i = 0, c_i = 1$ . Partition the vectors in  $W$  into pairs where  $u_{T_{m_1}}, u_{T_{m_2}}$  is a pair if and only if  $m_1, m_2$  differ in the  $i$ -th coordinate only. This is clearly a partition of all the vectors, and by the definition of  $u_T$ , each such pair is either contained in  $W$  or disjoint from  $W$ , so this is indeed a partition of  $W$ . For every such pair in  $W$ ,  $f_1$  gives  $m_1, m_2$  different parity values, and  $f_2$  gives them the same value (since  $b_i = 0$ ), so  $\lambda_{r, m_1}, \lambda_{r, m_2}$  have opposite signs, so their sum is zero. This is true for every pair in  $W$ , so the overall sum is zero.

**Case (2)**  $b_i = 1, c_i = 0$ . Here we consider two sub-cases:

**Case (2a):**  $r' = 0$ . The only vectors in  $W$  in this case are  $u_{[k]}$  and  $u_\emptyset$ . The corresponding coefficients are  $\lambda_{r, 0} = \frac{1}{2}(-1)^{\text{Ham}(r)+1}$  and  $\lambda_{r, 2^k-1} = \frac{1}{2}(-1)^{\text{Ham}(\neg r)}$ , where  $\neg r$  denotes the negation of the binary representation of  $r$ . Since  $k$  is even, we know that  $r, \neg r$  have the same parity, and so the sum of the two coefficients is 0.

**Case (2b):**  $r' \neq 0$ . Choose some  $j$  for which  $c_j = 1$ . Partition the vectors in  $W$  into pairs where  $u_{T_{m_1}}, u_{T_{m_2}}$  is a pair if and only if  $m_1, m_2$  differ in the  $j$ -th coordinate only. The argument for this being a partition of  $W$  is similar to the argument in Case (1). For each pair in  $W$ ,  $f_1$  gives  $m_1, m_2$  a different parity as always, and  $f_2$  gives them the same value, since  $r, m_1, m_2$  are all 1 in the index  $i$  (similar argument as before), so the sum of coefficients is 0 for each pair, and so for all coefficients corresponding to vectors in  $W$ .

This finishes the proof of (7), and so  $v_P$  is a linear combination of the vectors  $u_T$ . From the result above and Lemma 13 we deduce that  $G$  admits  $P$ -sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges, as required.  $\square$

To complete the picture for even  $k$ , we reduce to Proposition 14 by a simple “complementarity trick”

**Proposition 15.** *Let  $k$  be an even number, and  $G$  a  $k$ -uniform hypergraph. Let  $P$  be a  $k$ -ary Boolean predicate. Then for every  $\varepsilon > 0$ ,  $G$  admits  $P$ -sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges.*

*Proof.* If  $P(1, 1, \dots, 1) = 0$  then we are done by Proposition 14. Otherwise, we have  $P(1, 1, \dots, 1) = 1$ , and consider  $\bar{P} : \{0, 1\}^k \rightarrow \{0, 1\}$  defined by  $\bar{P}(b_1, \dots, b_k) = 1 - P(b_1, \dots, b_k)$ , so  $v_{\bar{P}}$  is the negation of  $v_P$ . Since  $\bar{P}$  has  $\bar{P}(1, 1, \dots, 1) = 0$ , Proposition 14 applies, and gives us a subhypergraph  $G_\varepsilon$  for each  $\varepsilon > 0$ , such that for every assignment  $a : V \rightarrow \{0, 1\}$  we have

$$\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, \bar{P}}(a) - \text{Val}_{G, \bar{P}}(a) \right| \leq \varepsilon(d_G|Z_a| + \text{vol}_G(Z_a)). \quad (8)$$

Now, since  $v_P + v_{\bar{P}} = \mathbf{1}$  we have

$$\text{Val}_{G, P}(a) + \text{Val}_{G, \bar{P}}(a) = \langle v_P, v_{G, a} \rangle + \langle v_{\bar{P}}, v_{G, a} \rangle = \langle \mathbf{1}, v_{G, a} \rangle = |E|,$$

and the same is true for  $G_\varepsilon$ , and so we get

$$\text{Val}_{G, \bar{P}}(a) = |E| - \text{Val}_{G, P}(a) \quad (9)$$

and

$$\text{Val}_{G_\varepsilon, \bar{P}}(a) = |E_\varepsilon| - \text{Val}_{G_\varepsilon, P}(a). \quad (10)$$

Using (9) and (10) in (8), we get

$$\left| \frac{|E|}{|E_\varepsilon|} (|E_\varepsilon| - \text{Val}_{G_\varepsilon, P}(a)) - (|E| - \text{Val}_{G, P}(a)) \right| \leq \varepsilon (d_G |Z_a| + \text{vol}_G(Z_a)),$$

and after rearranging,

$$\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, P}(a) - \text{Val}_{G, P}(a) \right| \leq \varepsilon (d_G |Z_a| + \text{vol}_G(Z_a)),$$

as required.  $\square$

The final piece in the jigsaw shows how to reduce sparsification of  $k$ -uniform hypergraphs with  $k$  odd to the case of  $(k+1)$ -uniform hypergraphs by adding a universal vertex and extending the original predicate by one dimension.

**Proposition 16.** *Let  $k$  be an odd number, and  $G = (V, E)$  a  $k$ -uniform hypergraph. Let  $P$  be a  $k$ -ary Boolean predicate. Then for every  $\varepsilon > 0$ ,  $G$  admits  $P$ -sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges.*

*Proof.* Let  $\varepsilon > 0$  and denote  $\varepsilon_0 = \varepsilon \frac{k}{k+1}$ . Consider the hypergraph  $G' = (V', E')$  defined by

$$V' = V \cup \{v_0\} \quad , \quad E' = \{(v_1, \dots, v_k, v_0) : (v_1, \dots, v_k) \in E\},$$

where  $v_0 \notin V$  is a new vertex. Clearly  $G'$  is a  $(k+1)$ -uniform hypergraph, and  $k+1$  is even. We define a new predicate  $P' : \{0, 1\}^{k+1} \rightarrow \{0, 1\}$  by

$$P'(b_1, \dots, b_{k+1}) = \begin{cases} 1 & P(b_1, \dots, b_k) = 1, b_{k+1} = 1 \\ 0 & \text{otherwise} \end{cases}.$$

We may therefore apply Proposition 15 for  $G', P', \varepsilon_0$  and deduce that for every assignment  $a' : V' \rightarrow \{0, 1\}$  we have

$$\left| \text{Val}_{G'_{\varepsilon_0}, P'}(a') - \text{Val}_{G', P'}(a') \right| \leq \varepsilon_0 (d_{G'} |Z_{a'}| + \text{vol}_{G'}(Z_{a'})) \quad (11)$$

for some subhypergraph  $G'_{\varepsilon_0} = (V', E'_{\varepsilon_0} \subseteq E')$  which does not depend on  $a'$ , and which satisfies  $|E'_{\varepsilon_0}| = O(n\varepsilon_0^{-2} \log \frac{1}{\varepsilon_0}) = O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$ .

Let  $G_{\varepsilon_0} = (V, E_{\varepsilon_0} \subseteq E)$  be the corresponding subhypergraph of  $G$  (so  $|E_{\varepsilon_0}| = O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$ ), and for every assignment  $a : V \rightarrow \{0, 1\}$  define

$$a' : V' \rightarrow \{0, 1\} \quad , \quad a'(v) = \begin{cases} a(v) & v \in V \\ 1 & v = v_0 \end{cases}.$$

Since the hyperedge  $e = (v_1, \dots, v_k) \in E$  is counted in  $\text{Val}_{G, P}(a)$  if and only if the hyperedge  $e' = (v_1, \dots, v_k, v_0) \in E'$  is counted in  $\text{Val}_{G', P'}(a')$ , we have that

$$\text{Val}_{G, P}(a) = \text{Val}_{G', P'}(a'),$$

and the same is true for  $G_{\varepsilon_0}$  and  $G'_{\varepsilon_0}$ . We get

$$\begin{aligned}
|\text{Val}_{G_{\varepsilon_0}, P}(a) - \text{Val}_{G, P}(a)| &= |\text{Val}_{G'_{\varepsilon_0}, P'}(a') - \text{Val}_{G', P'}(a')| \\
&\leq \varepsilon_0(d_{G'}|Z_{a'}| + \text{vol}_{G'}(Z_{a'})) \\
&= \varepsilon_0\left(\frac{(k+1)|E'|}{|V'|}|Z_a| + \text{vol}_G(Z_a)\right) \\
&= \varepsilon_0\left(\frac{(k+1)|E|}{|V|+1}|Z_a| + \text{vol}_G(Z_a)\right) \\
&\leq \varepsilon_0 \frac{k+1}{k} \left(\frac{k|E|}{|V|}|Z_a| + \text{vol}_G(Z_a)\right) \\
&= \varepsilon(d_G|Z_a| + \text{vol}_G(Z_a)),
\end{aligned}$$

where the second line is due to (11), the next two lines are by the definition of  $G'$  and  $a'$ , the fifth line is by rearranging, and the last line is by the definition of the average degree of  $G$ . We get the required result.  $\square$

Propositions 15 and 16 complete the proof of Theorem 10.

**Remark 17.** In the proof of Proposition 14 the hypergraph  $G_\varepsilon$  was chosen independently of the predicate  $P$ . Since Propositions 15 and 16 reduce to that case, we have in fact shown that for every  $\varepsilon > 0$ , Theorem 10 is witnessed by the same subhypergraph  $G_\varepsilon$  for all different predicates  $P$ . This will be important in the proof of Theorem 21.

**Remark 18.** We note that our main result, Theorem 10, extends Theorem 3 in the regime where  $k$  is a constant, which is the main focus of this paper. However, Theorem 3 also works for non-constant  $k$  [7]. If  $k$  is not a constant, it can be seen from the proof of Lemma 13 that the number of hyperedges of the sparse subhypergraph is multiplied by a factor of  $O(m^2)$  (since  $O(m)$  is the proportion between  $\varepsilon$  and  $\varepsilon_0$  given that the coefficients  $\lambda_i$  are constant). In Proposition 14 we have  $m = 2^k$ , and so for  $k$  not constant we get an additional factor of  $4^k$ . Furthermore, in Propositions 8 and 14 we obtain extra factors of  $k$ , by considering the  $k$ -partite  $k$ -fold cover. While the regime with non-constant  $k$  is interesting for cuts, for arbitrary predicates one needs to be careful about representation as the natural (explicit) representation of (non-symmetric) predicates requires exponential space in the arity  $k$ .

**Remark 19.** As observed by one of the reviewers, our sparsification result (Theorem 10) actually shows sparsification under a stronger notion of sparsification, in which the right-hand side in (4) in Definition 9 is tighter. Namely, in the notation of Definition 9, we can require that

$$\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, P}(a) - \text{Val}_{G, P}(a) \right| \leq \varepsilon \min(d_G|Z_a| + \text{vol}_G(Z_a), d_G|Z_{a'}| + \text{vol}_G(Z_{a'})), \quad (12)$$

where  $a'(v) = 1 - a(v)$  for every  $v \in V$ . In detail, Theorem 3 works for any assignment and thus in particular for  $a'$ , the value of the Cut predicate is the same on  $a$  and  $a'$  (and thus also the left-hand side of (12) is the same for  $a$  and  $a'$ ), and the rest is reductions that preserve (12).

## 4 Sparsification of Non-Boolean Predicates

We now focus on non-Boolean predicates; i.e., predicates of the form  $P : D^k \rightarrow \{0, 1\}$  with  $|D| > 2$ . Without loss of generality, we assume  $D = [q]$  for some  $q \geq 2$ . The most natural way of generalising Theorem 10 to larger domains appears to be to use the same bound with  $Z_a = \{v \in V : a(v) = 0\}$ . This, however, cannot give the desired sparsification result (cf. Section 5). Instead we use a different and somewhat weaker kind of generalisation of the Boolean case, and show that all hypergraphs are still sparsifiable with respect to all predicates using this definition.

**Definition 20.** Let  $P : D^k \rightarrow \{0, 1\}$  be a  $k$ -ary predicate where  $D = [q]$ . We say that a  $k$ -uniform hypergraph  $G = (V, E)$  admits *all-but-one  $P$ -sparsification* with error  $\varepsilon$  using  $O(f(n, \varepsilon))$  hyperedges if there exists a subhypergraph  $G_\varepsilon = (V, E_\varepsilon \subseteq E)$  with  $|E_\varepsilon| = O(f(n, \varepsilon))$  such that for every assignment  $a : V \rightarrow D$  we have

$$\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, P}(a) - \text{Val}_{G, P}(a) \right| \leq \varepsilon (d_G |M_a| + \text{vol}_G(N_a)), \quad (13)$$

where  $M_a$  is the largest set among the sets  $\{v \in V : a(v) = i\}$ ,  $N_a$  is the set with the largest volume among the sets  $\{v \in V : a(v) = i\}$  for  $0 \leq i \leq q - 2$ , and  $d_G$  is the average degree in  $G$ .

Observe that the maximum in Definition 20 is over  $i = 0, \dots, q - 2$  without  $i = q - 1$ , hence the name “all-but-one”. We note that there is nothing special about  $q - 1$  and any value from  $[q]$  could be chosen in Definition 20.

Under Definition 20, Theorem 10 generalises.

**Theorem 21.** For every  $k$ -uniform hypergraph  $G = (V, E)$ , every  $k$ -ary predicate  $P : D^k \rightarrow \{0, 1\}$  with  $D = [q]$  ( $k, q$  are constants), and every  $\varepsilon > 0$ ,  $G$  admits  $P$  all-but-one sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges.

Note that in the case of  $q = 2$  we have  $P : \{0, 1\}^k \rightarrow \{0, 1\}$ , and Definition 20 and Theorem 21 coincide with Definition 9 and Theorem 10. This is because when  $q = 2$  the definitions of  $M_a, N_a$  coincide with the definition of  $Z_a$  in the Boolean case.

In order to prove Theorem 21, we will generalise our notations from Section 3. For a  $k$ -ary predicate  $P : D^k \rightarrow \{0, 1\}$  we consider the vector  $v_P \in \mathbb{R}^{q^k}$ , defined by  $v_P[i] = P(\text{rep}_{q,k}(i))$ . For a given hypergraph  $G$  and an assignment  $a$ , we consider the vector  $v_{G,a} \in \mathbb{R}^{q^k}$  defined by  $v_{G,a}[i] = |\{(v_1, \dots, v_k) \in E : (a(v_1), \dots, a(v_k)) = \text{rep}_{q,k}(i)\}|$ . In other words, each coordinate of  $v_{G,a}$  counts the hyperedges in  $G$  whose vertices are assigned some specific set of values by  $a$ . Under these notations, just as before, we get  $\text{Val}_{G, P}(a) = \langle v_P, v_{G,a} \rangle$ , where  $\langle \cdot, \cdot \rangle$  is the standard inner product in  $\mathbb{R}^{q^k}$ .

We start by proving the result for *singleton predicates*, i.e. for predicates  $P$  such that  $v_P = e_r$  for some  $0 \leq r \leq q^k - 1$ .

**Lemma 22.** Let  $G = (V, E)$  be a  $k$ -uniform hypergraph, and  $P : D^k \rightarrow \{0, 1\}$  a  $k$ -ary singleton predicate with  $D = [q]$  ( $k, q$  are constants). For every  $\varepsilon > 0$ ,  $G$  admits  $P$  all-but-one sparsification with error  $\varepsilon$  using  $O(n\varepsilon^{-2} \log \frac{1}{\varepsilon})$  hyperedges.

*Proof.* Denote  $\varepsilon_0 = \frac{\varepsilon}{q}$ . Let  $\gamma(G) = (V^\gamma, E^\gamma)$  be the  $k$ -partite  $k$ -fold cover of  $G$ , and let  $\gamma(G)_{\varepsilon_0} = (V^\gamma, E_{\varepsilon_0}^\gamma \subseteq E^\gamma)$  be the subhypergraph promised by Theorem 10. From Remark 17



we know that this is the same subhypergraph for all predicates, and it does not depend on the choice of  $P$ . As before, let  $G_{\varepsilon_0} = (V, E_{\varepsilon_0} \subseteq E)$  be the subhypergraph of  $G$  which satisfies  $\gamma(G_{\varepsilon_0}) = \gamma(G)_{\varepsilon_0}$ .

Let  $r$  be the integer for which  $v_P = e_r$ , and denote  $u_r = \text{rep}_{q,k}(r)$ . Consider the set  $T = \{i \in [k] : u_r[i] = q - 1\}$ . For each assignment  $a : V \rightarrow [q]$ , we want to find a Boolean assignment  $a_r : V^\gamma \rightarrow \{0, 1\}$  which does not assign 0 to any vertices  $v^{(i)}$  which have  $a(v) = q - 1$ , but which can also be used to show  $P$ -sparsification. We define

$$a_r(v^{(i)}) = \begin{cases} 0 & i \notin T \text{ and } a(v) = u_r[i] \\ 0 & i \in T \text{ and } a(v) \neq q - 1 \\ 1 & \text{otherwise} \end{cases}.$$

We also define a  $k$ -ary Boolean predicate  $P_r : \{0, 1\}^k \rightarrow \{0, 1\}$  to only have a single truth value (a singleton) which is  $(b_1, \dots, b_k)$  where  $b_i = 0$  if  $i \notin T$  and  $b_i = 1$  otherwise.

Observe that

$$\text{Val}_{G,P}(a) = \text{Val}_{\gamma(G),P_r}(a_r), \quad (14)$$

since both count the same hyperedges. The same is true for any hypergraph, and specifically for  $G_{\varepsilon_0}$ , that is

$$\text{Val}_{G_{\varepsilon_0},P}(a) = \text{Val}_{\gamma(G_{\varepsilon_0}),P_r}(a_r). \quad (15)$$

Using Theorem 10 for  $\gamma(G), P_r, \varepsilon_0$  and  $a_r$  we get

$$\begin{aligned} \left| \frac{|E|}{|E_{\varepsilon_0}|} \text{Val}_{G_{\varepsilon_0},P}(a) - \text{Val}_{G,P}(a) \right| &= \left| \frac{|E^\gamma|}{|E_{\varepsilon_0}^\gamma|} \text{Val}_{\gamma(G_{\varepsilon_0}),P_r}(a_r) - \text{Val}_{\gamma(G),P_r}(a_r) \right| \\ &\leq \varepsilon_0 (d_{\gamma(G)} |Z_{a_r}| + \text{vol}_{\gamma(G)}(Z_{a_r})) \\ &\leq \varepsilon_0 \left( \frac{d_G}{k} \cdot kq |M_a| + q \cdot \text{vol}_G(N_a) \right) \\ &= \varepsilon (d_G |M_a| + \text{vol}_G(N_a)), \end{aligned}$$

where the first line follows from (14), (15) and Definition 6, the second line is the application of Theorem 10, the third is again Definition 6 and the definitions of  $a_r, M_a, N_a$ , and the last is the definition of  $\varepsilon_0$ . This is true for every assignment  $a$ . In addition we have

$$|E_{\varepsilon_0}| = O \left( n \varepsilon_0^{-2} \log \frac{1}{\varepsilon_0} \right) = O \left( n \varepsilon^{-2} \log \frac{1}{\varepsilon} \right),$$

so  $G_{\varepsilon_0}$  is the required witness.  $\square$

The proof of Theorem 21 is now an application of Lemma 22 similar to the proof of Lemma 13.

*Proof of Theorem 21.* The vector  $v_P$  satisfies

$$v_P = \sum_{r=0}^{q^k-1} \lambda_r e_r,$$

for some  $\lambda_r \in \{0, 1\}$  and  $e_r$  vectors of the standard basis of  $\mathbb{R}^{q^k}$ . Therefore, for every assignment  $a : V \rightarrow D$ , we have

$$\text{Val}_{G,P}(a) = \langle v_P, v_{G,a} \rangle = \sum_{r=0}^{q^k-1} \lambda_r \langle e_r, v_{G,a} \rangle = \sum_{r=0}^{q^k-1} \lambda_r \text{Val}_{G,P_r}(a) \quad (16)$$

where  $P_r$  is the predicate corresponding to the vector  $e_r$ . Let  $G_{\varepsilon_0} = (V, E_{\varepsilon_0} \subseteq E)$  be the subhypergraph of  $G$  promised by Lemma 22 for  $\varepsilon_0 = \frac{\varepsilon}{q^k}$ . Note that this is the same subhypergraph for all predicates  $P_r$  (see proof of the lemma). Equation (16) is true for any other hypergraph as well, and in particular  $G_{\varepsilon_0}$ . Using Lemma 22 for each  $P_r$ , we get

$$\begin{aligned}
\left| \frac{|E|}{|E_{\varepsilon_0}|} \text{Val}_{G_{\varepsilon_0}, P}(a) - \text{Val}_{G, P}(a) \right| &= \left| \frac{|E|}{|E_{\varepsilon_0}|} \sum_{r=0}^{q^k-1} \lambda_r \text{Val}_{G_{\varepsilon_0}, P_r}(a) - \sum_{r=0}^{q^k-1} \lambda_r \text{Val}_{G, P_r}(a) \right| \\
&\leq \sum_{r=0}^{q^k-1} \lambda_r \left| \frac{|E|}{|E_{\varepsilon_0}|} \text{Val}_{G_{\varepsilon_0}, P_r}(a) - \text{Val}_{G, P_r}(a) \right| \\
&\leq \sum_{r=0}^{q^k-1} \lambda_r \varepsilon_0 (d_G |M_a| + \text{vol}_G(N_a)) \\
&\leq q^k \varepsilon_0 (d_G |M_a| + \text{vol}_G(N_a)) \\
&= \varepsilon (d_G |M_a| + \text{vol}_G(N_a)),
\end{aligned}$$

where the first line follows from (16) for the different hypergraphs, the second line from the triangle inequality, the third from Lemma 22, the fourth is due to  $\lambda_r \in \{0, 1\}$  for all  $r$ , and the last is the definition of  $\varepsilon_0$ . Again,

$$|E_{\varepsilon_0}| = O\left(n \varepsilon_0^{-2} \log \frac{1}{\varepsilon_0}\right) = O\left(n \varepsilon^{-2} \log \frac{1}{\varepsilon}\right),$$

so we have found an appropriate subhypergraph of  $G$ .  $\square$

**Remark 23.** Similarly to Remark 18, if  $k$  and  $q$  are not constant we get an additional factor of  $q^{2k}$ .

## 5 Optimality of All-But-One Sparsification

One might wonder if there is a different, perhaps stronger way to define sparsification for predicates on non-Boolean domains. The following example shows that all-but-one sparsification is optimal.

For a hypergraph  $G = (V, E)$  and a fixed assignment  $a : V \rightarrow [q]$  denote  $S_i = \{v \in V : a(v) = i\}$  (so  $S_0 = Z_a$ ). The definition of all-but-one sparsification lets us take a bound which depends on the sizes and volumes of all the sets  $S_i$  except for  $S_{q-1}$ . In fact, if we try to take a bound which depends on fewer of these sets, the definition fails to generalise even the most basic case of the Cut predicate. To see this, it is sufficient to consider the graph case, i.e.  $k = 2$ . Let us suppose, without loss of generality, that our bound does not depend on  $S_{q-2}, S_{q-1}$ . Consider the predicate  $\text{Cut} : [q]^2 \rightarrow \{0, 1\}$  defined by  $\text{Cut}(x, y) = 1 \iff x \neq y$ . A simple (but lengthy) argument below shows that cliques do not have a Cut-sparsifier using such a definition. Therefore, no definition with a bound which depends on “less” is possible, under the current assumptions.

Let  $G = K_n$  be the complete graph with  $n$  vertices  $v_1, \dots, v_n$ . Moreover, let  $G_\varepsilon$  be a subgraph of  $G$ , and consider the predicate  $\text{Cut} : [q]^2 \rightarrow \{0, 1\}$  defined by  $\text{Cut}(x, y) = 1 \iff x \neq y$ .

We consider two cases:

**Case (1):** All vertices in  $G_\varepsilon$  have the same degree  $d > 0$ .

We look at the assignment  $a : V \rightarrow \{0, 1\}$  defined as  $a(v_1) = a(v_2) = q - 2$  and for all  $i > 2$ ,  $a(v_i) = q - 1$ . If  $G_\varepsilon$  is a Cut-sparsifier of  $G$  then

$$\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, \text{Cut}}(a) - \text{Val}_{G, \text{Cut}}(a) \right| \leq \varepsilon(d_G|S| + \text{vol}_G(S)) = 0,$$

where  $S$  is some set which depends only on the empty sets  $S_0, \dots, S_{q-3}$ . If  $v_1, v_2$  are neighbours in  $G_\varepsilon$  this implies

$$\frac{n-1}{d} = \frac{\frac{n(n-1)}{2}}{\frac{nd}{2}} = \frac{|E|}{|E_\varepsilon|} = \frac{\text{Val}_{G, \text{Cut}}(a)}{\text{Val}_{G_\varepsilon, \text{Cut}}(a)} = \frac{2(n-2)}{2(d-1)} = \frac{n-2}{d-1},$$

and if they are not then

$$\frac{n-1}{d} = \frac{|E|}{|E_\varepsilon|} = \frac{\text{Val}_{G, \text{Cut}}(a)}{\text{Val}_{G_\varepsilon, \text{Cut}}(a)} = \frac{2(n-2)}{2d} = \frac{n-2}{d}.$$

The second option is a contradiction, and the first option implies  $d = n - 1$ , which means  $|E_\varepsilon| = |E|$ , so  $G_\varepsilon$  is not a sparsifier.

**Case (2):** There exist two vertices  $v_i, v_j$  with degrees  $d_i \neq d_j$  in  $G_\varepsilon$ .

We look at two assignments  $a_1 : V \rightarrow \{0, 1\}$  defined by  $a_1(v_i) = q - 2$  and  $a_1(v) = q - 1$  for  $v \neq v_i$ , and  $a_2 : V \rightarrow \{0, 1\}$  defined by  $a_2(v_j) = q - 2$  and  $a_2(v) = q - 1$  for  $v \neq v_j$ . Since

$$\frac{\text{Val}_{G, \text{Cut}}(a_1)}{\text{Val}_{G_\varepsilon, \text{Cut}}(a_1)} = \frac{n-1}{d_i} \neq \frac{n-1}{d_j} = \frac{\text{Val}_{G, \text{Cut}}(a_2)}{\text{Val}_{G_\varepsilon, \text{Cut}}(a_2)},$$

at least one side of the inequality is different from  $\frac{|E|}{|E_\varepsilon|}$ . Suppose without loss of generality this is the left hand side. Then

$$\left| \frac{|E|}{|E_\varepsilon|} \text{Val}_{G_\varepsilon, \text{Cut}}(a_1) - \text{Val}_{G, \text{Cut}}(a_1) \right| > 0 = \varepsilon(d_G|S| + \text{vol}_G(S)),$$

where again  $S$  is some set not depending on  $S_{q-2}, S_{q-1}$ , and so  $G_\varepsilon$  is not a Cut-sparsifier of  $G$ .

Note that the same argument works for any predicate  $P$  with  $P(q-2, q-1) = P(q-1, q-2) = 1$  and  $P(q-2, q-2) = P(q-1, q-1) = 0$ . Thus if a definition does not depend on more than just  $S_{q-2}, S_{q-1}$ , it specifically does not depend on these two, so the same argument still works.

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