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3 **Towards a glacial-sensitive model of island biogeography**

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25 **Running-title:** Towards a glacial-sensitive model of island biogeography

26

27 **ABSTRACT:**

28

29 Although the role that Pleistocene glacial cycles have played in shaping the present
30 biota of oceanic islands worldwide has long been recognized, their geographic,
31 biogeographic and ecological implications have not yet been fully incorporated
32 within existing biogeographical models. Here we summarize the different types of
33 impacts that glacial cycles may have had on oceanic islands, including cyclic
34 changes in climate, shifts in marine currents and wind regimes and, especially,
35 cycles of sea level change. The latter have affected geographic parameters, such as
36 island area, isolation and elevation. They have also influenced archipelago
37 configurations via island fusion and fission, and cycles of seamount emergence and
38 submergence. We hypothesize that these sea level cycles have had significant
39 impacts on the biogeographical processes shaping oceanic island biotas,
40 influencing rates and patterns of immigration and extinction and hence species
41 richness. Here we provide a first step toward the development of a glacial-
42 sensitive model of island biogeography, representing a tentative temporal
43 evolution of those biogeographic parameters during the last glacial cycle. From
44 this reasoning we attempt to derive predictions regarding the imprint of sea level
45 cycles on genetic, demographic, or biogeographical patterns within remote island
46 biotas.

47

48 **Keywords:** climate change, extinction, immigration, island biogeography, island
49 theory, oceanic islands, Pleistocene, sea level fluctuations, species richness.

50

51 **INTRODUCTION**

52 **The dynamic equilibrium model**

53 Following its introduction by MacArthur & Wilson (1963, 1967), the Equilibrium
54 Theory of Island Biogeography (ETIB) became the ruling paradigm in island
55 biogeography. The theory asserts that in general, islands tend towards a state of
56 equilibrium between three fundamental processes, immigration, speciation, and
57 extinction, which generate predictable patterns of species diversity and turnover
58 on islands. Rates of these processes are posited to vary in response to distance
59 from source pools (influencing immigration rates) and island area (influencing

60 extinction rates). Although speciation rate was hypothesized to increase as a
61 contribution to island diversity in remote, large (oceanic) islands (MacArthur &
62 Wilson, 1963: page 378), it was not included in their famous graphical model and
63 thus nearly forgotten by most users of their theory.

64 The appreciation of the utility of the ETIB as a strict explanatory model for
65 species diversity patterns has been mixed (e.g. Gilbert 1980, Schoener 2010) and
66 its equilibrium hypothesis, as originally defined, has even been argued to be
67 unfalsifiable (Simberloff 1976) (see Warren *et al.* (2015) for a comprehensive
68 synthesis). One of the strengths of the ETIB is its simplicity, yet this is also a
69 limitation as it fails to incorporate the interplay of several other environmental
70 factors that impact on species diversity on oceanic islands. A number of additions
71 (or extensions) to the ETIB have been proposed to increase its realism. These
72 modifications include the “rescue effect”, which states that a lower degree of
73 isolation also decreases the extinction rate by immigrants reinforcing extinction-
74 prone populations (Brown & Kodric-Brown, 1977; Wright, 1985), and the “target-
75 area effect”, which states that larger area (island size) also affects immigration rate
76 by the island becoming a larger target for passive or active immigrants (Gilpin &
77 Diamond, 1976; Lomolino, 1990).

78

79 **Towards disequilibrium models**

80 Although explicit in MacArthur & Wilson’s (1963, 1967) theorization, and thus far
81 from being a new realization, more recently several authors (Heaney, 2000;
82 Lomolino 2000) have highlighted the importance of speciation, which across large
83 timescales (several Myr) modifies both the effect of distance and area on species
84 richness, and endemic species richness in particular. Evolutionary dynamics act
85 both through the inherent ontogeny of the speciation process itself and through
86 changes in island geography (e.g. Johnson *et al.*, 2000; Stuessy, 2007; Whittaker *et*
87 *al.*, 2008; Chen & He, 2009; Rosindell & Phillimore, 2011). On hot-spot islands
88 (such as the Azores, Canaries, Galápagos, and Hawaii), the speciation rate over
89 time is expected to be a unimodal (“humpbacked”) pattern because of the limited
90 lifetime of the islands themselves (e.g. Stuessy, 2007; Whittaker *et al.*, 2008).
91 However, during such lengthy time periods, most oceanic islands experience
92 important and often cyclical geological and climatic changes that will influence the

93 biological processes. The changes in island geography involve not only size
94 changes, but also formation of land bridges (Heaney, 2000), the merging or
95 breaking-up of islands (Ali & Aitchison, 2014; Rijdsdijk *et al.*, 2014), or the
96 completion of hot-spot oceanic island life cycles from emersion to submergence
97 (Whittaker *et al.*, 2008, 2010). Accordingly, Heaney (1986) proposed a dynamic-
98 disequilibrium model in which the equilibrium in species richness is rarely
99 achieved on islands, because of constantly changing physical conditions (geological
100 activity and climate changes). The notion of the spatiotemporal dynamics of island
101 geography together with the speciation processes signals the transition from
102 equilibrium to disequilibrium models of island biogeography (see Heaney *et al.*,
103 2013).

104

105 **Island ontogeny and the General Dynamic Model of Oceanic Island** 106 **Biogeography**

107 The ETIB (MacArthur & Wilson, 1967) plus further embellishments (Brown &
108 Kodric-Brown, 1977; Lomolino, 1990), as well as speciation-sensitive models
109 (Heaney, 2000; Lomolino, 2000), approximate islands as static entities, with
110 constant values of area, isolation, and archipelagic configuration through time. In
111 practice, even very young volcanic islands can vary their geographical attributes in
112 a very short time (for instance, Surtsey, which emerged in 1963, has already lost
113 half of its area), while dynamism is the general rule over longer, geological, time
114 scales (>10 Kyr), where regional geologic processes affect oceanic islands (island
115 ontogeny) and supra-regional or even global climatic processes (Pleistocene
116 glacial cycles) seriously compromise the perception of static islands (Heaney *et al.*,
117 2013).

118 Although several authors have underlined the consequences of past
119 geological and climatic dynamism in island biodiversity (e.g. MacArthur & Wilson,
120 1967; Diamond, 1972; Wilcox, 1978; Price & Elliott-Fisk, 2004), arguably the first
121 island biogeographic model to include a temporal element was Whittaker *et al.*'s
122 (2008, 2010) General Dynamic Model (GDM), where the concept of oceanic island
123 evolution (ontogenetic stages *sensu* Stuessy, 2007) was introduced.

124 In the GDM, which is primarily focussed on hotspot oceanic islands, island
125 age is considered as a key factor determining species diversity, while variation in

126 the speciation rate is driven largely by the availability of non-occupied ecological
127 niches, which is highest during the early stages of the island's development, when
128 the species numbers have not yet reached their maximum carrying capacity.
129 Species numbers, and richness and proportions of single island endemics, are
130 therefore predicted to follow a hump-shaped trend, increasing during island
131 emergence (youth), attaining the zenith at maturity (maximum topographic
132 complexity, when intra-island allogenesis is at a peak) and finally decreasing
133 during island submergence (senescence), resulting in a *guyot* (Wilson, 1969).
134 Although positive evidence supports the general validity of this model (Bunnefeld
135 & Philimore 2012, Cameron et al., 2013), the GDM remains a simplified
136 representation of island (geological and biological) dynamics and does not explain
137 all the complexity (Borges & Hortal, 2009).

138

139 **Environmental processes affecting insular dynamics**

140 Islands in oceanic settings are highly dynamic entities, subject to different major
141 geologic-climatic processes, which vary in origin and time scale, and it is well
142 known that these processes influence species diversity patterns (Whittaker and
143 Fernandez-Palacios, 2007). From the longer-lasting to more ephemeral these
144 processes include (Table 1): (i) continental drift (plate tectonics), which extends
145 over ten to hundreds of millions of years; (ii) oceanic island ontogeny, extending
146 from tens to a few million years; (iii) sea level transgression/ regression cycles
147 linked to the glacial cycles (Pleistocene glaciations), extending from 10s to 100s of
148 thousands of years; and finally (iv) volcanic activity occurring in time frames from
149 weeks to decades. Plate tectonics and island ontogeny affecting evolutionary
150 processes over millions of years form one extreme of the time scale of insular
151 dynamics. The biogeographic and evolutionary effects of plate tectonics spanning
152 up to 100 millions of years, as a result of translocation of islands and continental
153 fragments and the formation of new arc islands, involving vicariance effects and
154 affecting gene flow and evolution are well known (e.g. Gaina et al., 1998; Kearey et
155 al., 2009). The hot-spot oceanic island ontogeny cycle spans generally a shorter
156 period up to 10s of Myrs and how this dynamics affects species richness is
157 currently under investigation. On the other extreme of the time scale and
158 particularly associated with oceanic islands are anomalous extreme events that act

159 on ecological time scales (days; weeks) related to volcanic activity (e.g.
160 sterilization events, Whittaker *et al.*, 1989) or geomorphological processes (e.g.
161 landslides, Whelan & Kelletat, 2003). Such extreme events may destroy mature
162 ecosystems and directly cause species extinctions within weeks, but new pristine
163 terrain created by these processes will offer new opportunities for species to
164 thrive in areas of reduced interspecific competition. In between these evolutionary
165 and ecological time scales, the effects of glacial cycles and related sea level
166 oscillations over 1000s of years affect all islands and their biota globally (Table 1,
167 Fig. 1). Sea level fluctuations driven by glacial cycles occurred with periodicities of
168 c. 40 –100 kyr during the last 2.6 Myr (Bintanja *et al.*, 2005; 2008; Rohling *et al.*,
169 2009, 2010). The impact of these processes is particularly relevant for the
170 continental-shelf islands, which comprise most islands within the world's seas
171 (Fernández-Palacios, 2010). Where the water depth separating them from their
172 respective continent is shallow enough (< about 120 m) these land-bridge islands
173 reiteratively join and become isolated from the continents in response to eustatic
174 changes in sea level (e.g. British Isles, Taiwan). The biogeographic implications of
175 these cycles of isolation and amalgamation have long been recognized (e.g.
176 Diamond, 1972; Wilcox, 1978; Price & Elliott-Fisk, 2004; Whittaker & Fernández-
177 Palacios, 2007).

178

179 **Aims of the paper**

180 It is evident that the island biodiversity we observe today results from geological
181 and climatic processes that have modified the environment and the geographical
182 island settings over ecological and evolutionary time scales. Herein we set out to
183 incorporate the effects of these processes into a revised depiction of island theory,
184 following the call by Lomolino (2000) for adding complexity to island
185 biogeographic models. Thus, the purpose of this contribution is to provide a
186 hypothesis of how various Pleistocene events may have shaped island biodiversity
187 in the past, presenting as well a set of testable predictions (see Box) that can be
188 derived from it.

189

190 **BIOGEOGRAPHICAL CONSEQUENCES OF PLEISTOCENE GLACIAL EVENTS**

191 As a consequence of the glacial cycles, three main types of geographic processes
192 with biogeographic consequences can be distinguished on marine (land-bridge,
193 micro-continental and true oceanic) islands: (a) sea level changes causing
194 alterations in island areas, elevation, isolation and archipelagic configurations; (b)
195 changes in climatic variables such as temperature and precipitation, which drive
196 changes in elevational distributions of species and ecosystems; and finally (c)
197 shifts in the direction, frequency and intensity of marine currents and wind
198 systems influencing the connectivity of island systems with other land masses
199 (Table 2).

200

201 **Changes in the geographic configurations of islands in relation to sea level** 202 **transgression/regression cycles**

203 Land-bridge islands are continental peninsulas that achieve their insular status in
204 interglacial periods after sea level rise, although some may have been connected
205 during every major glacial episode and some only perhaps once or twice when the
206 very lowest levels have been reached. Studies dealing with the impact of
207 glaciations have mostly focused on the 'relaxation' (reduction of richness to a new
208 equilibrium) of their biotas following the most recent vicariance event (Diamond,
209 1972; Wilcox, 1978). Examples include the Sunda islands (Sumatra, Java or
210 Borneo), which were connected to Indochina and the Malaysian peninsular
211 through Sundaland, the currently submerged South China Sea platform (occupying
212 1.8 M km²; Wang *et al.*, 2009); the now submerged Sahul continent, comprising
213 New Guinea, Australia and Tasmania, and the connection of mainland Britain with
214 continental Europe through the low lying tundra of Doggerland in the North Sea
215 (Voris, 2000; Shennan & Horton, 2002). Wallace (1880) documented this
216 extensively in his *Island Life*.

217 In contrast to land-bridge islands, oceanic islands remain insular (or submerge and
218 emerge as islands) during the glacial cycles, but their areas, configuration and
219 isolation change over time depending on both the inherent geological dynamics of
220 the islands and archipelagos and on their bathymetric configuration (e.g. Ali &
221 Aitchison, 2014). In general, sea level regressions cause limited increase of area for
222 steep-sloped young islands (Fig. 2), but for older, eroded volcanic islands, the
223 effect of sea level cycles can be pronounced. These islands often are surrounded by

224 shallow submarine platforms formed by extensive marine erosion and
225 transportation. Thus, large area increases are expected during sea level
226 regressions, potentially resulting in the fusion of two or more neighbouring
227 islands, as has happened in the Canaries with the appearance of Mahan: the result
228 of the fusion of Lanzarote, Fuerteventura and nearby islets (Fig. 3) (Fernández-
229 Palacios *et al.*, 2011).

230 Examples of true oceanic islands subject to doubling in area during Pleistocene sea
231 level minima, include: in the Macaronesian region, the Canarian example (above),
232 Santa Maria (Azores), Porto Santo (Madeira), Boa Vista, and Maio (Cape Verde).
233 Cases of island fusion also include Lauri-insula (the merging of Pico and Faial in
234 the Azores), Pleistocene Madeira (Madeira and Desertas in Madeira), and the
235 North-Western Pleistocene Island (Sao Vicente, Santa Luzia, Branco and Raso) in
236 Cape Verde (Rijsdijk *et al.*, 2014). In the Caribbean, the present Leeward islands of
237 St. Martin, Anguilla, and St. Barthelemy (today summing to 200 km²) would have
238 formed the St. Martin Bank, a single island of approximately 6000 km² (Woods &
239 Sergile, 2009). In the East Pacific, during the LGM (last glacial maximum), the
240 central Galápagos islands would have been considerably larger, but without
241 coalescing into a single massif. Nevertheless, Ali & Aitchison (2014) suggest
242 the main Galápagos islands (Santa Cruz, Santiago, Isabela and Fernandina) formed
243 a single large island as a result of an extreme low sea level stand around 255 ka. In
244 the Central Pacific, the Hawaiian islands of Moloka'i, Lana'i, Maui and Kaho'olawe
245 were joined during the mid-Pleistocene, forming Maui Nui. As the area underwent
246 tectonic subsidence, the islands became isolated during high sea stands of
247 interglacial periods starting around 0.6 Ma. However, during low sea stands, the
248 islands reunited (Price & Elliot-Fisk, 2004). Finally, in the western Indian Ocean,
249 many islands would have been much larger during the LGM: the Seychelles, today
250 comprising several islands and islets summing 220 km² would have formed a
251 single landmass (Granitic Seychelles) of >40 000 km² (Warren *et al.*, 2009) (Table
252 3). Here we expect that nearby islands subject to fusion/fission cycles will share
253 more species than comparable island groups that were never physically linked
254 (Prediction 2 a in the Box). But on the other hand, we expect as well that genetic
255 analyses will evidence that divergence has occurred among populations that were

256 split (allopatry) when islands separated during the interglacial periods (Prediction
257 2 b).

258 Sea mounts residing in relative shallow waters would have emerged during
259 a regression, leading to a primary colonization process lasting up to a few
260 thousand years, depending on the duration of the low stand and the sea mount
261 depth. These sea mounts would submerge again during the following
262 transgression, leading to the extinction of all their terrestrial biota (Prediction 3 b).
263 The temporary existence of sea mounts must have improved connectivity within
264 archipelagos, between archipelagos, and between mainlands and archipelagos.
265 Examples from the eastern Atlantic Ocean include Formigas (in Azores), Ormonde,
266 Ampere and Siene (in Madeira) or Dacia, Conception, and Amanay (in the Canaries)
267 (Fernández-Palacios *et al.*, 2011). In the Indian Ocean the LGM sea level drop
268 resulted in the emergence of the submarine banks of Saya de Malha and Nazareth,
269 located between the Seychelles and Mascarenes in the Madagascan region, forming
270 land areas of approximately 26 500 and 22 000 km², respectively (Warren *et al.*,
271 2009).

272 Such changes in area and isolation over the course of the last glacial cycle
273 (i.e. from the last one, the Eemian, 130–120 ka, to the present interglacial, the
274 Holocene, 11–0 ka), as exemplified for the Canaries in Figures 2 and 3, should
275 greatly affect colonization rates and possibly cause a rescue effect, preventing
276 extinctions, as well as a target-area effect enhancing immigration (Predictions 1 a
277 and 1 b). In summary, sea level change will, on an island-by-island basis, have
278 affected area, shape, maximum elevation, isolation, connectivity, coastal
279 perimeters, and the elevational distribution of the zonal ecosystems, potentially
280 including the emergence and disappearance of the highest summit ecosystems (see
281 below). We may therefore infer that these changes will have had a significant role
282 in rates and patterns of species colonization and extinction, thus driving changes in
283 distributions and in evolutionary forcing. Furthermore, Holocene sea level
284 variation, caused by glacial melting since the end of the Last Glaciation, has forced
285 the displacement of lowland vegetation, as exemplified by the retreat and
286 expansion of mangroves in the Cook Islands (Ellison, 1994) and Tonga (Fall, 2005),
287 the formation of coastal plains and associated wetland vegetation in Hawaii
288 (Athens & Ward, 1993), the replacement of littoral forests by heath and grasslands

289 in Madagascar (Virah-Sawmy *et al.*, 2009), and the eventual presence of wetland
290 vegetation in coastal lowlands in Mauritius (de Boer *et al.*, 2014).

291 **Shifts in climate factors controlling the elevational distribution of zonal**
292 **ecosystems**

293 Vegetation changes favoured by climate amelioration since the end of the Late
294 Glacial have been best documented for high-latitude and high-elevation islands,
295 involving e.g. forest expansion (McGlone, 2002; Hannon *et al.*, 2003), and the
296 establishment of modern vegetation after ice retreat (Miller *et al.*, 2005).

297 Patterns of elevational migration of plant species following climate change
298 are reflected by pollen sequences in high islands including Madagascar (2876 m
299 a.s.l., Gasse & van Campo, 1998), New Guinea (4884 m a.s.l., Haberle, 1998),
300 Corsica (2706 m a.s.l., Reille *et al.*, 1999), Maui (3055 m a.s.l., Burney *et al.*, 1995)
301 and Taiwan (3952 m a.s.l., Liew *et al.*, 2006). However, such vertical displacements
302 of vegetation may be limited on islands, especially in the lower ones. In Mauritius
303 (828 m a.s.l.), alternation from wet to drier periods resulted in high turnover of
304 mountain forest species. Since the late glacial, vegetation shifted from a stable
305 open forest to a series of forest transitions, occurring during the early Holocene,
306 and finally to a new stable closed-stratified forest from the mid-late Holocene (de
307 Boer *et al.*, 2013). The shift in vegetation at the onset of the Holocene was initially
308 driven by climate but then followed by natural forest dynamics as shown by the
309 fast replacement of tree species in the pollen diagram. This forest succession has
310 been proposed as an alternative mechanism to elevational migration in response
311 to climate change for islands that present a relatively low elevation (de Boer *et al.*,
312 2013).

313 In oceanic islands, the variation in the main climatic factors (such as mean
314 annual temperature or annual precipitation) in combination with the glacial cycles
315 must have enforced the elevational redistribution of the species and ecosystem
316 ranges (Prediction 4 a). In contrast to at least some continental contexts, the
317 potential for vertical migration on high volcanic islands should limit the number of
318 extinctions during periods of climate change. This inference is consistent with the
319 observation that in several cases palaeo-endemic species have persisted on
320 oceanic islands, relatively unchanged while disappearing from their source
321 regions. Examples include the laurel-forest tree species that colonized

322 Macaronesia from Europe (Postigo Mijarra *et al.*, 2009), or the tree-fern thicket
323 species of St. Helena that reached this island from Africa, although they were later
324 extinguished there due to climate aridification (Cronk, 1992).
325 Even without changes in temperature regime, sea level declines of up to 120 m
326 would increase the overall elevation range of any island by an equivalent amount.
327 However, besides the sea level drop, the temperatures decreased significantly
328 (Braconnot *et al.*, 2007), so that two factors, sea level fall and regional temperature
329 drops coinciding with it, should be considered. A new summit ecosystem may
330 emerge on an island when a glaciation causes the temperatures to decrease. Here
331 pre-adapted species will be favoured in the colonization of these new emerged
332 summits, whether located in the same or a different island. As a counterpoint to
333 the foregoing, rising temperatures during interglacial periods may be expected to
334 result in the reduction or disappearance of prevailing summit ecosystems
335 (Fernández-Palacios *et al.*, 2014), so that some very rare species should present
336 signs of having experienced a demographic collapse caused by climate-driven
337 range contraction (Prediction 4 b). We predict as well that on some islands
338 presently lacking ice sheets, geomorphological evidence will reveal their presence
339 in the past (Prediction 4 c). However, on islands with maximum elevations above
340 the climatic snow line, both the timberline and the snow line are pushed
341 synchronously upwards (during interglacials) or downwards (during glacials), so
342 that the upper elevational zones shift up and down without entirely disappearing
343 at any point, while experiencing changing total area and degree of habitat
344 fragmentation.

345 Such zonal shifts are largely temperature-driven but are also affected by
346 changes in precipitation and cloud cover. Furthermore, temporal shifts between
347 wetter and dryer conditions may in addition allow for the immigration or
348 extinction of species or even the appearance or disappearance of vegetation
349 formations or whole ecosystems. Finally, on conically shaped volcanic islands in
350 particular, simultaneously rising sea levels and upward shifted climatic zones may
351 be expected to have led to both a reduction of total surface area and an upslope
352 shift of ecological zones, resulting in a reduction of both lowland and upland
353 habitat areas and likely in a reduction of carrying capacity for some biota (Fig. 6).

354

355 **Shifts in direction, frequency and intensity of marine currents and wind**
356 **systems affecting the connectivity of the target islands**

357

358 It has long been argued that glacial events may have played a significant role in
359 opening new windows of opportunity for long-distance dispersal (LDD),
360 temporarily allowing for dispersal routes that may appear otherwise unlikely
361 (Wallace, 1880). In the Canarian region, where synoptic climate is presently
362 dominated by the North-Eastern trade winds and the North-East Canarian marine
363 current, an opposing dispersal route may have existed during the last glacial
364 period (Rognon & Coudé-Gausson, 1996). This route, created by reversed winds
365 and currents, would have facilitated the colonization of the African continent from
366 the Canaries, and thus may offer an explanation for the origin of some African
367 endemic species intermingled within Macaronesian phylogenetic clades (termed
368 boomerangs) (Carine *et al.*, 2004; Caujapé-Castells, 2004; Fernández-Palacios *et al.*,
369 2013) (Predictions 3 a and 5 a).

370 Recently published coupled-model simulation for the Last Glacial Maximum
371 predict a substantial shift of the Northern Hemisphere Westerlies towards the
372 equator along with a 3°C cooling at 7°N and a 6°C cooling at 11°N (Williams &
373 Bryan, 2006). Along the same lines, Rognon & Coudé-Gausson (1996) asserted
374 nearly two decades ago that several geomorphological features of Canarian and
375 Maghrebian natural landscapes indicate the dominance of Westerlies wind
376 regimes across the Madeiran-Canarian latitudes (25-30° N) during the glacial
377 periods in the Pleistocene, due to an extreme southward shift of the Azores high
378 pressure field because of the increased pressures exerted by the North Polar Front.
379 Under these altered synoptic conditions, the unusual retro-colonization events
380 from the Macaronesian islands to the continents become readily explainable.
381 Similar shifts of westerlies in the Southern Hemisphere affecting New Zealand, the
382 sub-Antarctic islands (McGlone *et al.*, 1995) and Tristan da Cunha (Ljung & Björck,
383 2007) have been reported. However, in the absence of precisely dated examples
384 we cannot be sure if actual colonization events coincided with these periods.
385 Finally, it should be noted that glacial events may have also modified the frequency
386 and/or intensity of important periodic climatic events shaping the present biota
387 and ecosystem structure and dynamics of oceanic islands, as has been recorded in

388 recent decades in the Galápagos, under the influence of pronounced ENSO events
389 (Tudhope *et al.*, 2001).

390

391 **TRAJECTORIES OF RELEVANT FACTORS DURING A GLACIAL CYCLE**

392 To encapsulate key features of the above, we provide (Fig. 4a) a general schematic
393 indicating the change in area, elevation and isolation of an oceanic island of
394 middling age and stage, during the last glacial maximum. We assume in this
395 schematic that there has been no volcanic/ geomorphic activity affecting island
396 area or elevation and we have made no attempt to incorporate isostatic effects or
397 island subsidence/uplift (cf. Ali & Aitchison, 2014). The schematic proposes that
398 area and elevation are maximal, and isolation is minimal at the point of the LGM
399 sea level minimum, which occurred around 20 ka (Lambeck *et al.*, 2002; Camoin *et*
400 *al.*, 2004), with the post-glacial eustatic sea level increase generating reduced area
401 and elevation, in tandem with increased isolation. Area and elevation are
402 somewhat lower for the Eemian than currently, whereas that for isolation is
403 slightly higher, indicating that during this, the previous interglacial period, the sea
404 level was somewhat (8–10 m) higher than in the late Holocene (Camoin *et al.*,
405 2004).

406 If we now recall that we often (as in the ETIB) use area as a proxy for extinction
407 and isolation as a proxy for immigration, and also acknowledge that elevation (or
408 altitudinal range) affects species richness, we hypothesized that accordingly with
409 the changes of those geographical parameters, immigration, extinction, and species
410 richness on an island have fluctuated through the last 130 Kyr as well. For this
411 period, changes in immigration and extinction rates are hypothesized to be
412 symmetrically opposed, with maximum immigration rates at the LGM, when
413 extinction rates are minimal, and maximum extinction rates in the Interglacial
414 periods when immigration rates are minimal (Fig. 4b) (Predictions 1 a and b).
415 Species richness is expected to show a similar trajectory as the Immigration rate
416 curve, being highest during the Glacial Maxima, and diminishing towards the
417 Interglacial due to the occurrence of natural extinctions, caused by area reduction
418 following sea level transgressions (Prediction 1 c). Furthermore, we hypothesised
419 that some very rare species should present signs of having passed a demographic

420 collapse ca. 15–12 kyr, resulting from range contraction due to sea level rise
421 (Prediction 1 d).

422 It is important to say that this will mostly affect old islands that have
423 developed a surrounding platform, due to their erosion. For young islands their
424 area (and to a lesser degree isolation) will pass relatively little affected by the
425 glaciations.

426 The same reasoning applies to guyots, with the difference that the
427 geographic factors affecting them will depend on the sea mount–summit depth,
428 because, in interglacial or interstadial periods, area, elevation, immigration, and
429 species richness of terrestrial taxa will be zero, when the sea mount is submerged.
430 Sea mounts also act as islands for marine species, and their carrying capacity of in
431 particular the benthic communities can also be expected to change in relation to
432 sea level change as the area of the sea mount within the photic zone changes (cf.
433 Hart & Pearson, 2011).

434 Quantitative adjustments to models in the light of the foregoing would
435 require careful estimation because the response of sea level to climate change is
436 not instantaneous, so the correspondence between climate phase and sea level
437 maxima or minima show some lag effects; furthermore, different cold and warm
438 phases locked up different amounts of ice and so a sea mount might emerge in
439 some cold periods but not all; and may disappear in some but not all warm periods
440 (Lambeck *et al.*, 2002; Edwards, 2006). Nevertheless, we suggest that our
441 hypothesis provides a general framework of predictions (see Box) that potentially
442 can be tested for signals in immigration, extinction, genetic diversity, population
443 bottlenecks and endemism.

444

445 **TOWARDS A GLACIAL-SENSITIVE MODEL OF ISLAND BIOGEOGRAPHY**

446 If we consider the implications of these changes in island properties in terms of the
447 classic ETIB diagram of MacArthur & Wilson (1967), we may predict adjustments
448 in the rates of immigration and extinction (Fig. 5), and by extension implications
449 for the rates of evolutionary processes relating to the extent and degree of
450 fragmentation, isolation and rejoining of major habitat types (not shown in Fig. 5).
451 The main difference is that instead of representing only a “snapshot” of a single set
452 of immigration and extinction rates of a geographically static island (MacArthur &

453 Wilson, 1967), this graph incorporates two sets of rates resulting from a glacial
454 period and an interglacial period respectively, for the same island. In the first
455 scenario, immigration rate (I) will be higher during the glacial period due to the
456 lower degree of isolation of the island, both because of increased connectivity and
457 an improved target-area effect (due to its larger size). In the second scenario, the
458 extinction rate (E) will be higher during interglacial periods, both because of
459 smaller island area and because increased isolation causes a diminished rescue
460 effect. The projection onto abscissa from where the immigration-rate and
461 extinction-rate curves intersect, represents the island species richness expected
462 for the particular stage (glacial/interglacial). The projection onto the ordinate
463 represents the expected species turnover. It should be noted that only these two
464 intersection points are time-coherent, whereas the other two are just artefacts of
465 the drawing procedure.

466 It should be clearly stated that our model only considers the impact on the
467 biota of the island's area and the isolation shifts due to sea level fluctuations
468 related to glacial cycles, and not the climatic conditions (and ocean/atmospheric
469 systems) that will influence these islands during those periods. For instance, we do
470 know that in the case of high-latitude islands, extensive ice cover during glacial
471 periods has caused significant depressions of diversity (Alsos *et al.*, 2005). On the
472 other hand, isolation may have decreased as well due to the formation of ice
473 bridges between islands. For instance, the extinct Falkland Islands wolf (*Dusicyon*
474 *australis*), seems to have reached these islands from the Argentinian mainland
475 through an ice bridge that was formed among them during a sea level low stand
476 (Austin *et al.*, 2013). Similarly, a role has been inferred for sea-ice-mediated
477 colonization of Iceland by arctic fox (*Alopex lagopus*) (Mellows *et al.*, 2012).

478 In general, we consider the model to be most applicable therefore for
479 tropical and subtropical oceanic islands during the Quaternary. This is not to say
480 that such islands will have been entirely immune from climate change impacts
481 during the Pleistocene. For instance, glaciers have formed on Mauna Kea (Hawaii)
482 during cold phases (Porter, 1979), with the consequent elevational reorganization
483 of the vegetation belts (Gavenda, 1992). Elevational movements in response to
484 climate change have also been suggested by palaeo-ecological data elsewhere, e.g.
485 on Oahu (Hotchkiss & Juvik, 1999), San Cristóbal (Colinvaux & Schonfield, 1976),

486 Easter Island (Azizi & Flenley, 2008) and the Mascarenes (De Boer *et al.*, 2013).
487 Furthermore, low-lying island biotas would have little chance of escape of species
488 towards lower elevations and several insular taxa could have gone extinct.

489 Nevertheless, even considering that those climatic shifts could have
490 obscured the role of immigration and extinction processes driven by area and
491 isolation changes, we highlight the potential importance of incorporating sea level
492 shifts in Island Biogeography models. We hope as well that the explicit ecological,
493 genetic, biogeographical and evolutionary predictions generated (see Box) will
494 improve the relevance of other theoretical oceanic islands quantitative models
495 (Whittaker *et al.*, 2008, 2010; Rosindell & Phillimore, 2011; Rosindell & Harmon,
496 2013) recently developed.

497

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511

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769

770

771 **Biosketch:**

772 José María Fernández-Palacios is a Canarian island ecologist and biogeographer,
773 with a special interest in the role of recent, but also remote, history in shaping the
774 ecosystems and biota of oceanic islands, with a special focus on the Macaronesian

775 region. He also is active in research into the dynamics of the laurel forest, pine
776 forest and thermophilous woodlands, as well as in the ecological restoration of
777 those ecosystems.

778

779 **Author contributions:**

780 JMF-P, KFR and ET developed the idea and manuscript structure. KFR and SN
781 produced Figs. 1, 2, 3 and 6, and JMF-P Figs. 4 and 5. RO, LdN and SFL revised the
782 literature and finally, JMF-P and RJW led the writing.

783

784 **Tables**

785

786 **Table 1:** Major dynamic forces affecting marine islands. Key: - = relatively

787 unaffected; + = moderately affected; ++ = considerably affected

Island Type	Plate tectonics (100-1 Myr)	Island ontogeny (10-1 Myr)	Glacial cycles (100-1 Kyr)	Volcanic activity (< 1 Kyr)
Continental-shelf islands	-	-	++	-
Continental fragments	++	-	+	-
Oceanic islands	+	++	+	++

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805 **Table 2:** Summary of the biogeographical consequences of changes in climatic and
 806 geographic parameters related to glacial cycles.

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Shifts related to Glacial cycles	Geographical effects on island/archipelago setting	Biogeographical consequences
- Sea level	<ul style="list-style-type: none"> - Area, elevation and isolation shifts - Fusion/fission of nearby islands - Sea mounts emersion/submersion 	<ul style="list-style-type: none"> - Shifts in area availability and connectivity, affecting immigration and extinction rates - Genetic dilution/allopatric speciation - Availability/unavailability of stepping stones
- Climate (temperature, precipitation)	<ul style="list-style-type: none"> - Shifts in the vertical temperature/precipitation gradients - Shifts in the elevation of the cloud-sea influence zone 	<ul style="list-style-type: none"> - Elevation shifts of zonal ecosystems - Contractions/expansions of species distribution ranges - Emergence/disappearance of summit ecosystems - Species extinctions
- Marine currents and wind regimes (frequency, intensity, direction)	- Changes in mainland–archipelago, between–archipelago and within–archipelago connectivity	<ul style="list-style-type: none"> - Opening/closure of bizarre windows of dispersal - Boomerang events - Changes in marine species distributions

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814 **Table 3:** Area increase during the Last Glaciation Maximum (LGM) in comparison
815 with the present area for selected islands or island groups (see sources in the main
816 text). * the increment in area implied the fusion of several current islands.
817

Island or island group	Present area (km²)	LGM islands	Approximate LGM area (km²)	Times larger than present
Isabela, St. Cruz Fernandina, islets (Galápagos)	6270	Large Isabela	10 000	1.6 *
Fuerteventura, Lanzarote, islets (Canaries)	2500	Mahan	5000	2.0 *
Maui, Lanai, Molokai (Hawaii)	2884	Maui Nui	6000	2.1 *
Boavista (Cape Verde)	600	Boavista Bank	2700	4.5
Porto Santo (Madeira)	65	Porto Santo Bank	250	3.8
Rodrigues (Mascarenes)	109	LGM Rodrigues	1200	11.0
St. Martin, Anguilla, St. Barthelemy (Lesser Antilles)	200	St. Martin Bank	6000	30.0 *
Seychelles	220	Granitic Seychelles	40 000	181.8 *

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825 **Box: Predictions of the glacial-sensitive model of Island Biogeography**

826 [some sources with evidence supporting the predictions are given in parenthesis]

827

828 1) Predictions linked to shifts in area and isolation

829 a) Immigration rate was lowest in the last interglacial (Eemian), when isolation
830 was greatest, then rose throughout the last Glaciation until achieving its highest
831 rate in the LGM (least isolation), before decreasing again until the start of the
832 present interglacial (Holocene).

833 b) Extinction rate was highest in the last interglacial (Eemian), when island area
834 was smallest, then dropped throughout the last Glaciation until obtaining its
835 lowest rate in the LGM (largest area), before increasing again after the start of the
836 present interglacial (Holocene).

837 c) Palaeontological and palaeocological research will make clear that several
838 natural extinctions, caused by area reduction following sea level transgressions,
839 occurred before human arrival to oceanic islands.

840 d) Some very rare extant species should present signs of having passed a
841 demographic collapse ca. 15–12 kyr resulting from range contraction due to sea
842 level rise (González *et al.*, 2014).

843

844 2) Predictions linked to fusion/fission of islands

845 a) The fission of one large island into two or more smaller islands due to sea level
846 rise will yield a high number of species shared among the once-attached islands, so
847 that nearby islands subject to fusion/fission cycles will share more species than
848 comparable island groups that were never physically linked (Macqueen *et al.*,
849 2011; Rijdsdijk *et al.*, 2014).

850 b) Genetic analyses will evidence that genetic divergence has occurred among
851 populations that were split (allopatry) when islands were separated during the
852 interglacial periods [Bidegaray-Batista *et al.*, 2007; Ali & Aitchison, 2014].

853

854 3) Predictions linked to sea mounts emergence/submergence

855 a) Immigration and retrocolonization processes were favoured due to emergence
856 of sea mounts during sea level regressions, thus enhancing connectivity.

857 b) Fossil records on currently drowned seamount summits will reveal the
858 presence (during the glacial events) of terrestrial plant species belonging to coastal
859 ecosystems, shared with other nearby islands.

860

861 4) Predictions linked to climatic shift

862 a) Fossil records will reveal the elevational shift of zonal ecosystems toward island
863 summits during interglacial events and toward coasts during glacial events
864 (Hotchkiss & Juvik, 1999).

865 b) Some very rare species that are currently restricted to climatic refugia, should
866 present signs of having experienced a demographic collapse caused by range
867 contraction resulting from the disappearance of their ecosystem during the last
868 deglaciation (Vaxevanidou *et al.*, 2006).

869 c) On some islands that presently lack ice sheets, geomorphological evidence will
870 reveal the presence of ice sheets in the past (Porter, 1979).

871

872 5) Predictions linked to marine currents & wind regimes shifts

873 a) Shifts in marine currents and wind regimes have created new, bizarre dispersal
874 windows, which should have left biotic signals in the form of retro-colonization
875 (boomerang) events (Carine *et al.*, 2004; Caujapé-Castells, 2004).

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890 **Figure captions:**

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892 **Figure 1** Global sea level change during the last 120 kyr. Generalized mean sea
893 level (MSL) reconstruction from 120 ka based on Camoin *et al.* (2004). Regions
894 that are uncertain in Camoin *et al.* (2004) were interpolated linearly. At period A,
895 Eemian interglacial sea levels were 10 m higher than today; at period B, sea levels
896 fell with the onset of the glacial epoch, and warmer periods (interstadials)
897 alternating with colder periods (stadials) led to sea level fluctuations between -20
898 and -60 m; at period C, there was then a period of relative stability, although
899 this may be an artefact of data sampling. Period D marks the extreme sea level fall
900 during the Late Glacial Maximum (LGM); period E marks the rapid sea level rise at
901 the end of the LGM; and period F marks the stabilization of sea levels at present-
902 day levels.

903

904 **Figure 2** Changes in selected Canary Islands' areas over the Last Glacial Cycle
905 (Source: Rijdsdijk *et al.*, 2014). We merged a detailed bathymetric model, with a 1
906 km² resolution, with the sea level curve of Fig. 1. Fuerteventura merged several
907 times with Lanzarote during the glacial period when sea levels lowered during
908 stadial periods. While Gran Canaria increased in surface area, the area of the young
909 island of El Hierro, did almost not change.

910

911 **Figure 3** Transition from Mahan (LGM) to Fuerteventura, Lanzarote and islets
912 (present East Canaries). The islands have undergone fission, halving their emerged
913 area and increasing their distance to Africa due to sea level rise. In the inset graph
914 the oscillation of the sea level (as in Figure 1) is represented. The last formation of
915 Mahan and the last emergence of Amanay coincided with the Last Glacial
916 Maximum (Period D), whereas the splitting in Lanzarote, Fuerteventura and the
917 islets surrounding them and the submersion of Amanay began during the
918 deglaciation period until achieving the present geographical configuration in the
919 beginning of the Holocene (Period F). See text for a further explanation.

920

921 **Figure 4 (a)** Hypothesized variation of geographic parameters (area, elevation and
922 isolation) of old oceanic islands during the most recent glacial cycle, comprising

923 from the Eemian (130-120 Ka) to the Holocene. The trajectories have been kept
924 simple for pedagogic reasons. In reality each of these trajectories should have been
925 adjusted to take account of lag effects and to the precise timing of the most recent
926 glacial cycle (see Fig. 1). **(b)** Hypothesized variation of biogeographic factors
927 (immigration, extinction and species richness) on old oceanic islands during the
928 most recent glacial cycle, comprising from the Eemian (130-120 Ka) to the
929 Holocene. The trajectories have been kept simple for pedagogic reasons. In reality
930 each of these trajectories should have been adjusted to take account of lag effects
931 and to the precise timing of the last glacial cycle in particular parts of the world
932 (see Fig. 1).

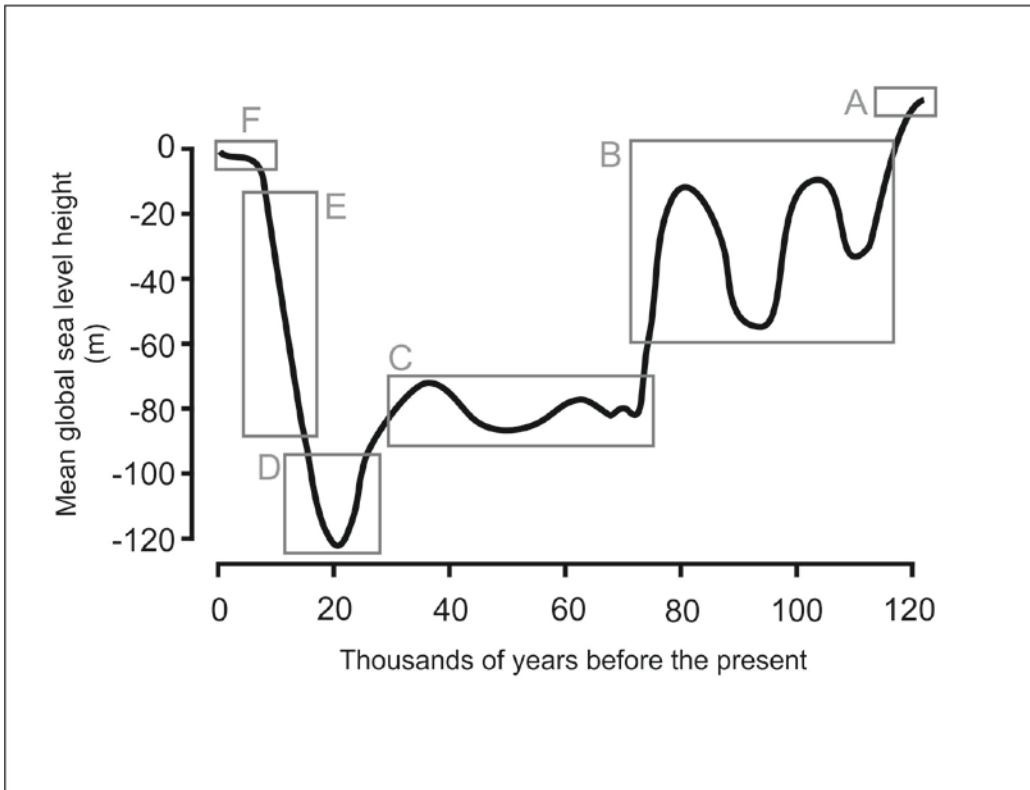
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934 **Figure 5** Proposed glacial-sensitive model of island biogeography. The main
935 difference with the MacArthur & Wilson ETIB model (1967) is that instead of
936 representing only a “snapshot” of a single set of immigration and extinction rates
937 of initially inhabited and geographically static islands, this model incorporates two
938 sets of rates resulting from a glacial period and an interglacial period respectively,
939 for the same island. The projection onto abscissa from where the immigration rate
940 (I) and extinction rate (E) curves intersect, represents the island species richness
941 expected for this climatic context, whether a glacial or interglacial. It should be
942 noted that only these two intersection points are time-coherent, whereas the other
943 two are just artefacts of the drawing procedure. Although in some cases the degree
944 of change in island area and isolation can be large, for instance, in older islands,
945 such as the granitic Seychelles, Mahan, Boa Vista, Porto Santo, we expect this effect
946 to be rather small in younger islands. S_{IG} and S_{GM} refer to species richness at
947 equilibrium for the interglacial and glacial maximum, respectively; P represents
948 the mainland species pool, which may also change in value between the two
949 periods (not illustrated). See text for further explanation.

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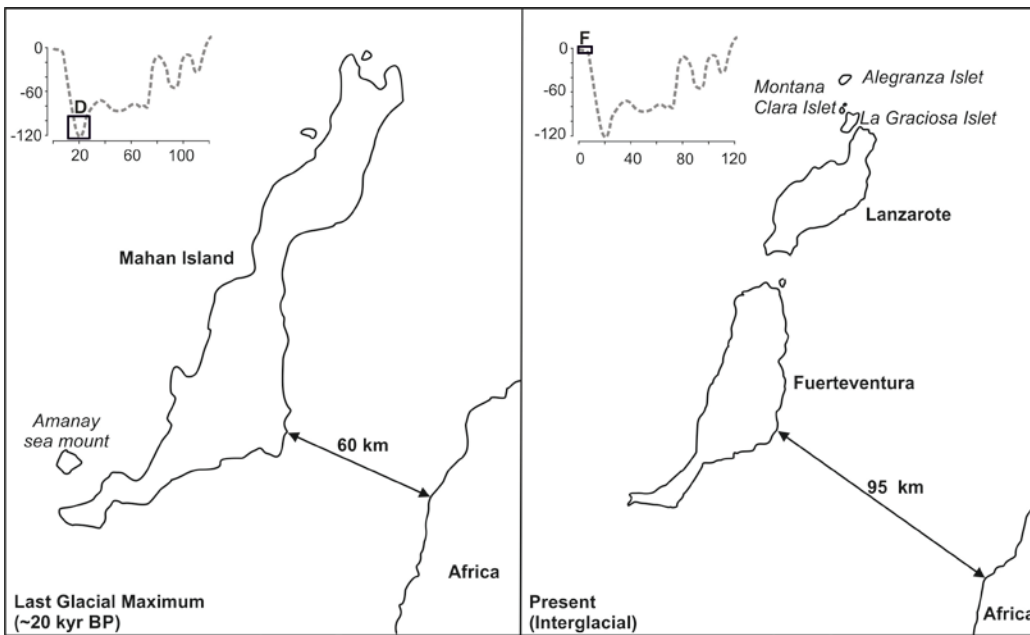
951 **Figure 6** On conically shaped volcanic islands, the transition from glacial maxima,
952 where the island area is greater, that isolation lower (inset graph as in Fig. 4a) and
953 the species richness expected to be higher (inset graph as Fig. 5) to interglacial
954 stages, where the island area is smaller, isolation higher (inset graph as in Fig. 4a)
955 and species richness expected to be lower (inset graph as Fig. 5). These shifts will

956 be linked to rising sea levels and upward shifted climatic zones, which may have
957 resulted in a reduction of both lowland and upland habitat areas and a reduction of
958 the carrying capacity for some biota.
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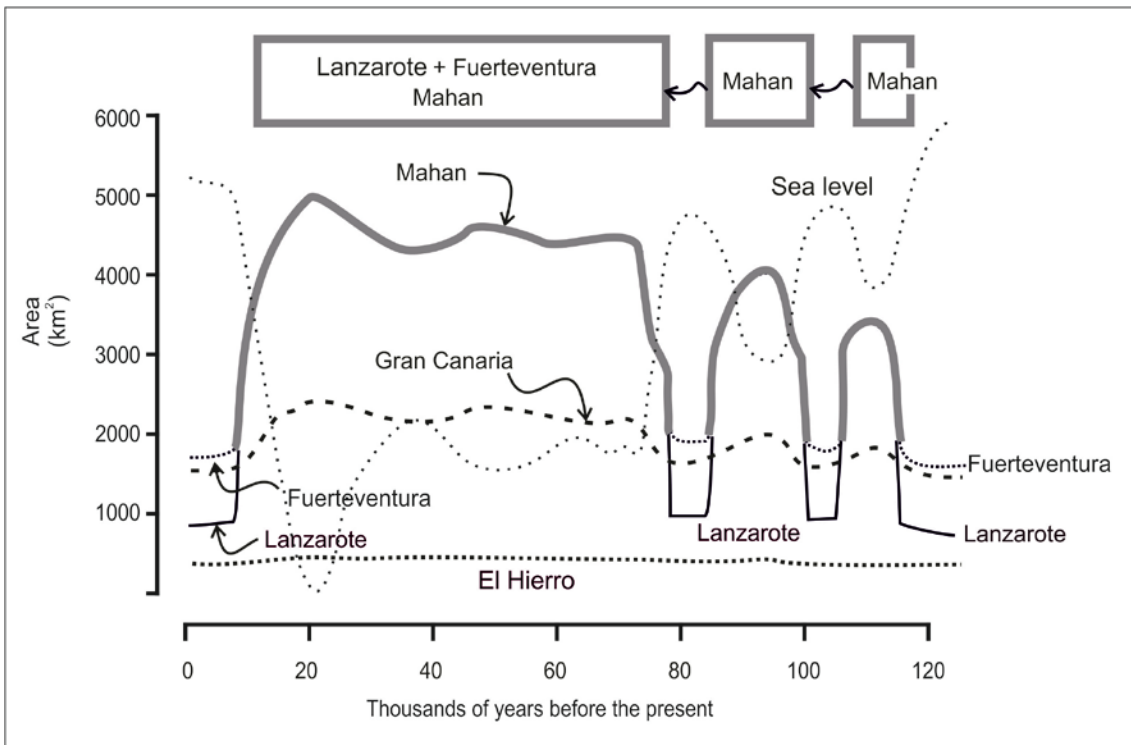
961 **Figure 1**



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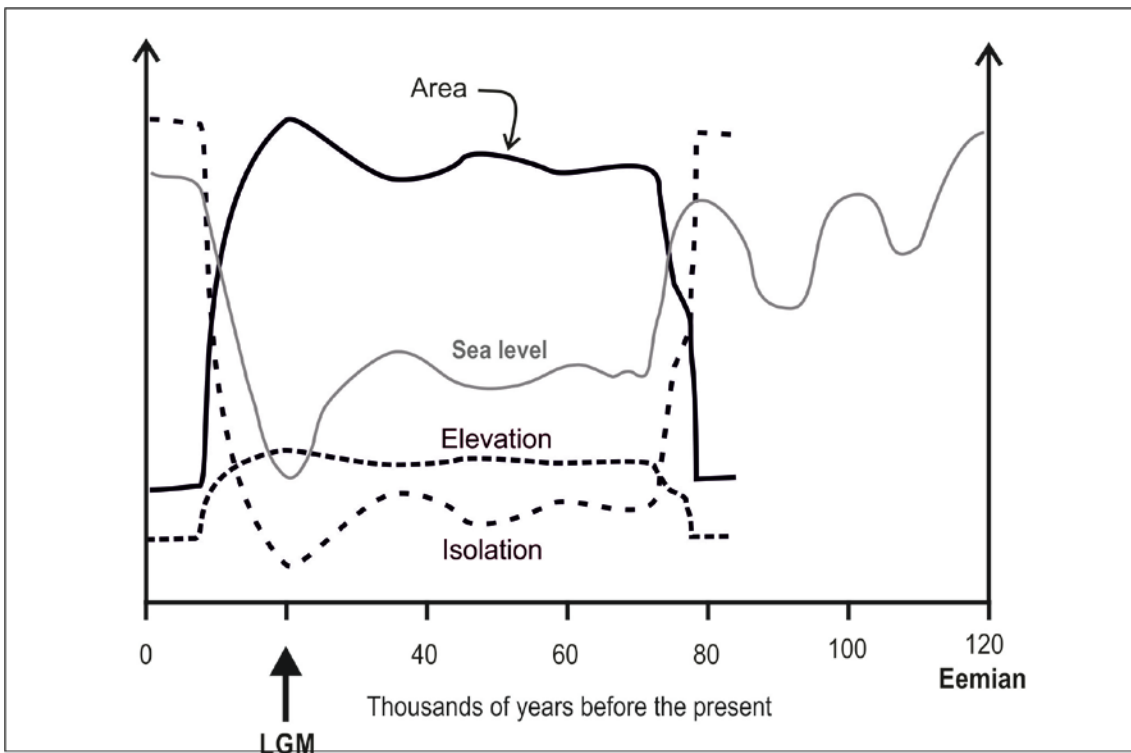
963 **Figure 2**

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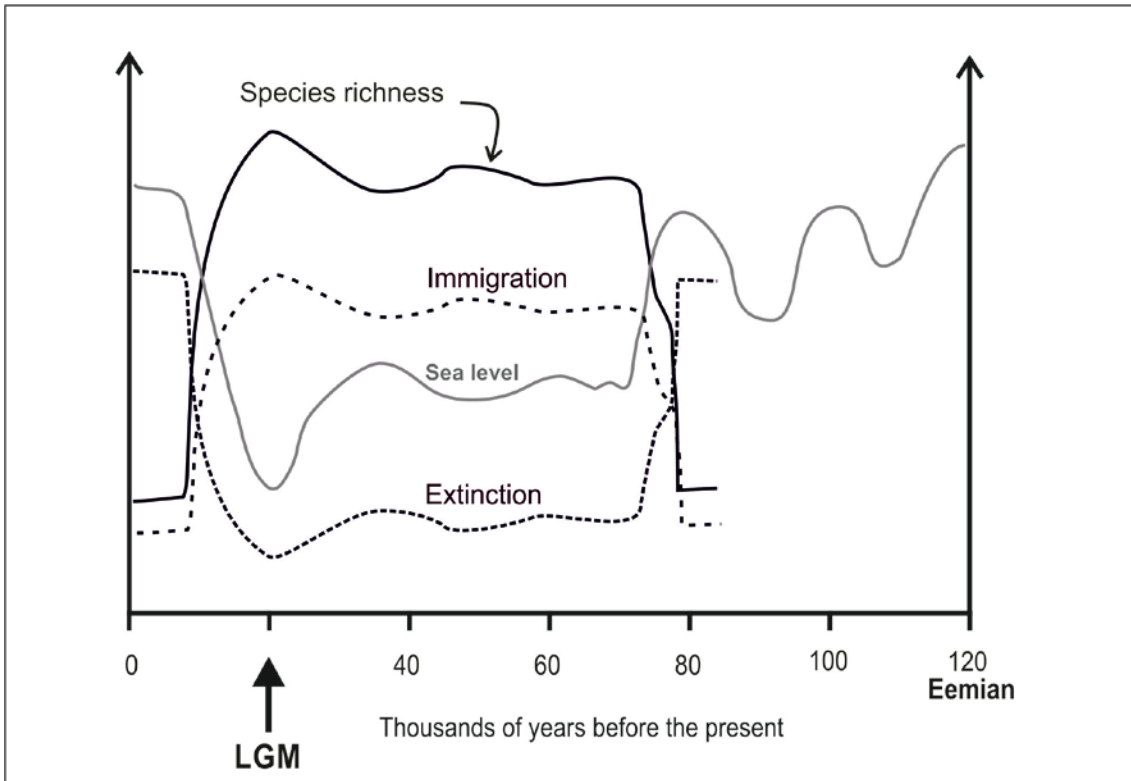
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966 **Figure 3**



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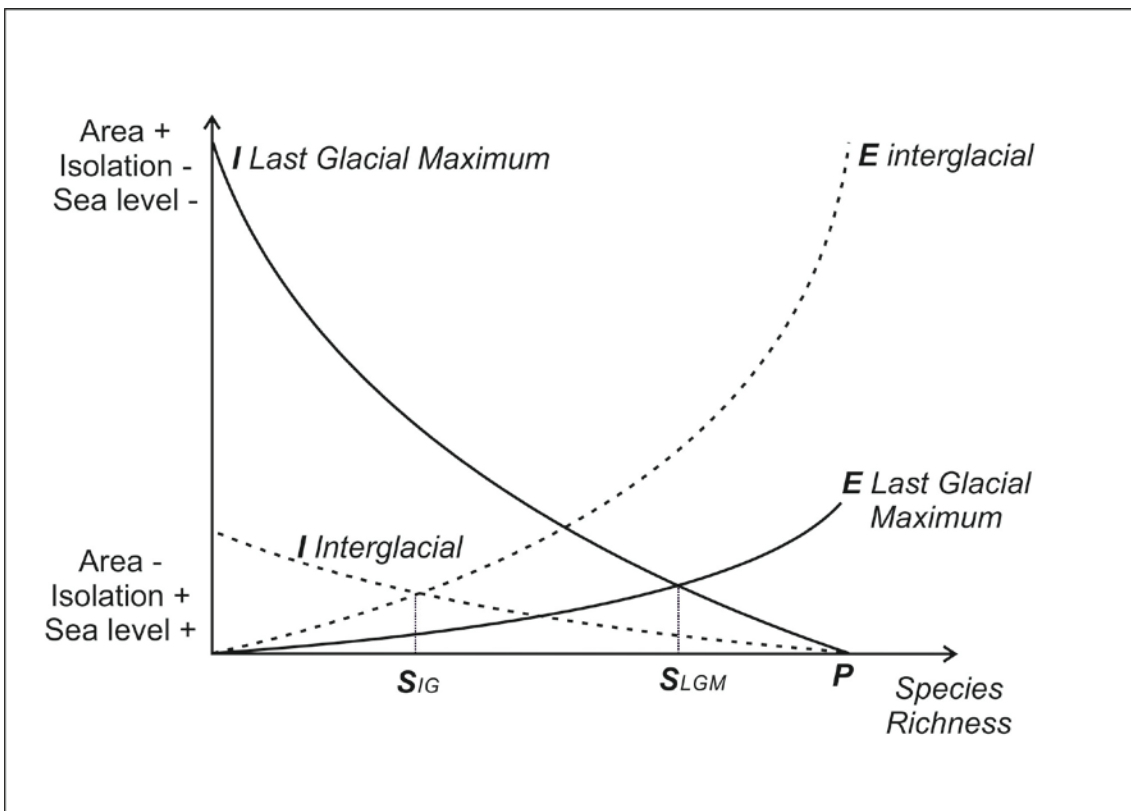
968 **Figure 4a.**



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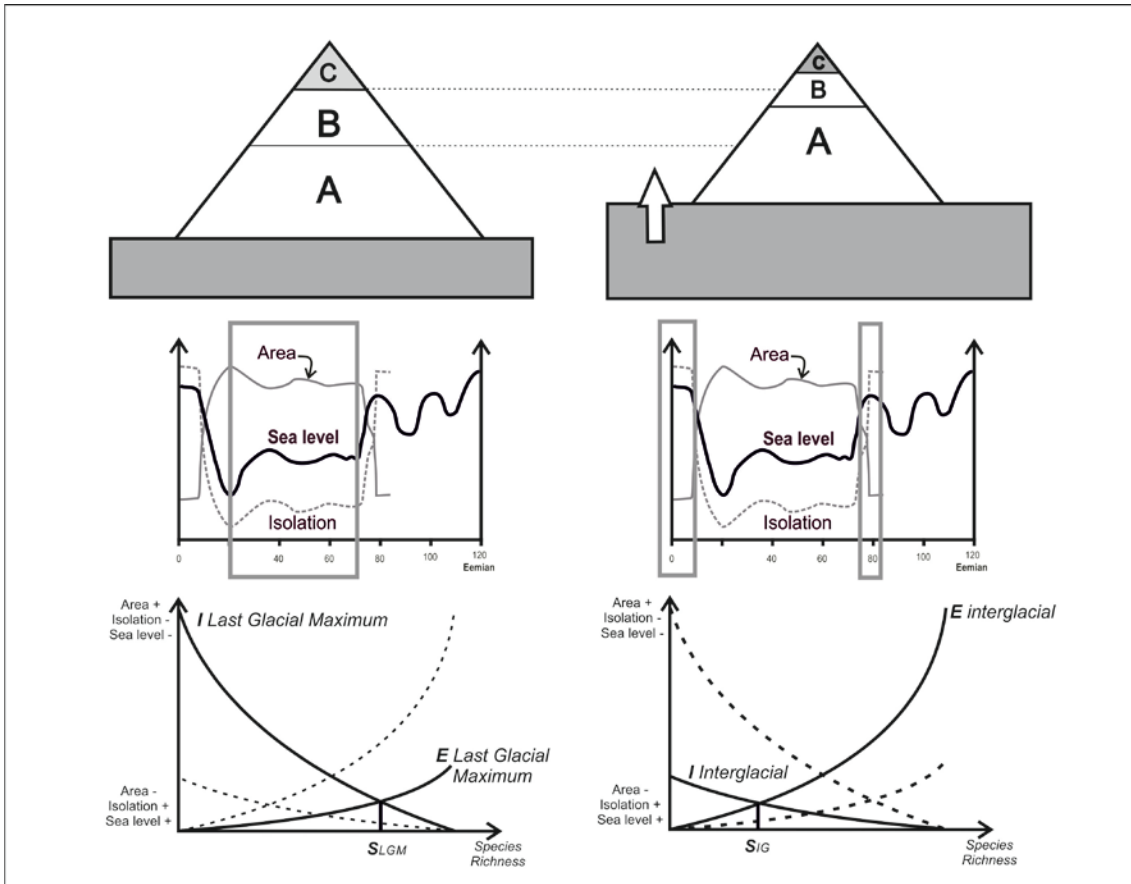
970 **Figure 4b.**

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973 **Figure 5.**



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Figure 6.