

## The Blocks of the $q$ -Schur Algebra

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In [11], Donkin determined the blocks of the classical Schur algebras in positive characteristic from the blocks of the corresponding general linear group. We show in this paper that an analogous result for the  $q$ -Schur algebras  $S_q(n, d)$  (when  $q$  is a primitive  $l$ th root of unity) can be derived in the same way from the blocks of an appropriate quantum general linear group.

There are a number of different quantizations of the general linear group. We shall mainly consider that due to Dipper and Donkin, though the Manin quantization will also prove important. After some preliminary sections, the first main result is a proof of the strong linkage principle for our quantum group. This follows the classical proof owing to Andersen [1], and is based on that for the Manin quantization [21]. For technical reasons, the proof in [21] requires  $l$  to be odd, but we can remove this restriction for both quantizations.

Using the strong linkage principle, we are then able to partially determine the blocks of our quantum group. The rest of this paper then verifies that the argument of [11] holds essentially unchanged for the quantum case. From this, it is then straightforward to complete our determination of the blocks of the quantum group.

For  $d \leq n$ , the blocks of the  $q$ -Schur algebra have already been determined by James and Mathas [18], while the blocks of the quantum group when  $n = 2$  have also been calculated [3]. It should also be noted that Thams [24] has already determined the blocks of the quantum enveloping algebra (from which the blocks of our group could be derived), but under the additional assumptions that  $q$  is a primitive  $l$ th root of unity with  $l$  odd and greater than the Coxeter number for the group.

## 1. TWO QUANTUM GENERAL LINEAR GROUPS

In this section we introduce the two quantizations of the general linear group that we shall need, and recall a result from [14] that will allow us to transfer results between them. Our two quantizations are most easily introduced as certain special cases of a more general construction owing to Takeuchi [23], which we begin by describing.

Henceforth we shall denote by  $k$  an algebraically closed field of characteristic  $p \geq 0$ . Following Parshall and Wang [21], we regard the category of quantum groups as the dual of the category of  $k$ -Hopf algebras, and identify a quantum group's module category with the comodule category of the corresponding Hopf algebra.

Fix  $\alpha, \beta \in k \setminus \{0\}$  and define  $A_{\alpha, \beta}(n)$  to be the  $k$ -algebra generated by the  $n^2$  indeterminates  $c_{ij}$ , with  $1 \leq i, j \leq n$ , subject to the relations

$$c_{ij}c_{ir} = \alpha c_{ir}c_{ij}, \quad \text{for } j > r,$$

$$c_{ji}c_{ri} = \beta c_{ri}c_{ji}, \quad \text{for } j > r,$$

$$c_{ij}c_{rs} = \alpha^{-1}\beta c_{rs}c_{ij}, \quad \text{for } i > r \text{ and } j < s,$$

$$c_{ij}c_{rs} = (\alpha^{-1} - \beta)c_{is}c_{rj} + c_{rs}c_{ij}, \quad \text{for } i < r \text{ and } j < s.$$

As noted in [23], there exist comultiplication and counit maps  $\delta$  and  $\epsilon$ , respectively, giving  $A_{\alpha, \beta}(n)$  the structure of a bialgebra. Further, after localizing at a certain quantum determinant, this can be given a Hopf algebra structure. We denote the corresponding quantum group by  $G^{\alpha, \beta}$ .

For fixed  $q \in k \setminus \{0\}$ , the Dipper–Donkin quantization [4] corresponds to the case  $\alpha = 1$  and  $\beta = q$ , while the Manin quantization [20] corresponds to the case  $\alpha = \beta = q$ . In these cases, we will denote  $G^{\alpha, \beta}$  by  $q\text{-GL}(n, k)$  (or just  $G$ ) and  $\text{GL}_q(n, k)$ , respectively. When  $\alpha = \beta = 1$ , we recover the classical coordinate algebra of  $\text{GL}(n, k)$ , and in this case we shall often write  $x_{ij}$  for the  $c_{ij}$ .

The main result relating these two quantizations is

**THEOREM 1.1.** *There is a coalgebra isomorphism between  $k[q^2\text{-GL}(n, k)]$  and  $k[\text{GL}_q(n, k)]$ , and hence the categories  $\text{Mod}(q^2\text{-GL}(n, k))$  and  $\text{Mod}(\text{GL}_q(n, k))$  are isomorphic.*

See [14, Proposition 2.1 and Theorem 2.4] for the proof.

As observed in [14, Remark 2.7], we should note that this is not necessarily an isomorphism of tensor categories.

*Remark 1.2.* We will often wish to use the last result to translate between the Dipper–Donkin and Manin quantizations. When translating

results across that depend on the value of  $l$ , it should be noted that these results may change. In particular, if  $q$  is a primitive  $l$ th root of unity with  $l$  even, then  $q^2$  is a primitive  $l/2$ th root of unity. Thus results that for  $q$ -GL( $n, k$ ) depend on  $l$  will translate across to GL $_q(n, k)$  as results that depend either on  $l$ , if  $l$  odd, or  $l/2$ , if  $l$  even.

Finally in this section, we relate our quantum groups to the  $q$ -Schur algebra  $S_q(n, d)$  of Dipper and James [5, 6], originally defined as the centralizing algebra of a certain action of the Hecke algebra  $\mathcal{H}_q(d)$ . Let  $A_{\alpha, \beta}(n, d)$  be the subcoalgebra of  $A_{\alpha, \beta}(n)$  consisting of homogeneous polynomials of degree  $d$  in the generators  $c_{ij}$ . If  $\alpha\beta = q$ , then the dual algebra  $A_{\alpha, \beta}(n, d)^*$  is isomorphic to  $S_q(n, d)$  (see [14, Theorem 5.5]).

## 2. PRELIMINARIES

In this section we shall briefly review the representation theory developed for the Dipper–Donkin quantization in [7] and [12]. A similar theory is developed for the Manin quantization in [21]. When  $q$  is a root of unity we also consider certain related infinitesimal subgroups, as in [7]. If further our field  $k$  has positive characteristic, we then generalize this procedure to give a family of such infinitesimal subgroups.

Just as in the classical case, we can define certain subgroups of  $G$  corresponding to the Borel subgroup of lower triangular matrices and the torus of diagonal matrices. We shall denote these by  $B$  and  $T$ , respectively.  $T$  is just the ordinary (classical)  $n$ -dimensional torus, with character group  $X(T) \cong \mathbb{Z}^n$ .

We make the usual choice of root system (see [19, II.1.21]), and denote the set of roots (respectively, positive roots) by  $\Phi$  (respectively,  $\Phi^+$ ). The simple roots will be denoted  $\alpha_i = \epsilon_i - \epsilon_{i+1}$ , with  $1 \leq i < n$ . We shall also need to consider the standard basis of  $X(T)$  consisting of the fundamental dominant weights  $\varpi_i = \epsilon_1 + \cdots + \epsilon_i$ , and will occasionally denote  $\varpi_n$  just by  $\varpi$ . There is a  $\mathbb{Z}$ -bilinear form  $\langle -, - \rangle$  on  $X(T)$  satisfying  $\langle \epsilon_i, \epsilon_j \rangle = \delta_{ij}$  for  $1 \leq i, j \leq n$ . We will also use the usual dominance partial order on  $X(T)$ .

The symmetric group  $\Sigma_n$  acts on  $X(T)$ , as an analogue of the classical Weyl group. When considered thus, we shall denote  $\Sigma_n$  by  $W$  and the element of  $\Sigma_n$  corresponding to the transposition  $(i, i+1)$  by  $s_{\alpha_i}$ . As well as the usual action of  $W$  on the character group, we also have the “dot” action  $w \cdot \lambda = w(\lambda + \rho) - \rho$ , where  $\rho = (n-1, n-2, \dots, 0)$ . With this we can define the affine Weyl group,  $W_l$ , associated to  $G$ . This is the transformation group on  $X(T)$  generated by  $w$  with the dot action, and

the translations  $\lambda \mapsto \lambda + l\alpha$  for all  $\alpha \in \Phi$ . Occasionally we shall also need to consider  $\bar{\rho}$ , which will equal half the sum of the positive roots.

Given any quantum group  $H$  with subgroup  $K$  and a  $K$ -module  $V$ , we shall denote the  $H$ -module induced from  $V$  by  $\text{ind}_K^H(V)$ . The  $i$ th right derived functor of induction will in such cases be denoted by  $R^i \text{ind}_K^H(V)$ . To each element  $\lambda$  of  $X(T)$  there corresponds a one-dimensional  $B$ -module  $k_\lambda$  of weight  $\lambda$ . We denote the module obtained by inducing this up to  $G$  by  $\nabla(\lambda)$  (or occasionally  $\nabla_n(\lambda)$ ), and more generally for a  $B$ -module  $V$  we denote  $R^i \text{ind}_B^G(V)$  by  $H^i(V)$ . When considering both the classical and quantum case simultaneously, we shall sometimes denote the classical induced module by  $\bar{\nabla}(\lambda)$ .

The weights  $\lambda$  for which  $\nabla(\lambda)$  is nonzero form the dominant weights  $X(T)^+$ . Each  $\nabla(\lambda)$  with  $\lambda$  dominant has a simple socle  $L(\lambda)$ , and these form a complete set of inequivalent simple  $G$ -modules. The set of dominant polynomial weights of degree  $d$  in turn parameterizes the simple  $S_q(n, d)$ -modules, and will be denoted by  $\Lambda^+(n, d)$ . Given a module  $V$ , we denote the composition multiplicity of  $L(\lambda)$  in  $V$  by  $[V:L(\lambda)]$ .

The one-dimensional induced module  $\nabla(\varpi)$  corresponds to the determinant representation, and will be denoted  $q\text{-det}$  (or  $\text{det}_q$  for the Manin quantization). For  $\lambda = (lp^{r-1} - 1)\rho$ , we have  $L(\lambda) = \nabla(\lambda)$ , and we will denote this module by  $\text{St}_r$ , the  $r$ th Steinberg module.

A  $G$ -module  $V$  is said to have a *good filtration* if there is a  $G$ -module filtration  $0 = V_0 \leq V_1 \leq \dots$  with  $V = \bigcup_{i \geq 0} V_i$ , such that each quotient  $V_i/V_{i-1}$  is either 0 or isomorphic to  $\nabla(\lambda_i)$  for some dominant weight  $\lambda_i$ . The multiplicity of  $\nabla(\lambda)$  in such a filtration is independent of the choice of filtration, and we denote it by  $(V:\nabla(\lambda))$ .

We shall denote the injective hull of  $L(\lambda)$  as a  $G$ -module and as an  $S_q(n, d)$ -module by  $I(\lambda)$  and  $I_S(\lambda)$ , respectively. When determining the blocks of both  $G$  and  $S_q(n, d)$ , the following result from [12, Section 4(6)] will prove useful.

**PROPOSITION 2.1.** *For  $\lambda \in X(T)^+$  (respectively,  $\Lambda^+(n, d)$ ), the module  $I(\lambda)$  (respectively,  $I_S(\lambda)$ ) has a good filtration with multiplicities given by*

$$(I(\lambda):\nabla(\mu)) = [\nabla(\mu):L(\lambda)]$$

for  $\mu \in X(T)^+$  (respectively,  $\Lambda^+(n, d)$ ). Thus two elements  $\lambda, \mu \in X(T)^+$  belong to the same block of  $G$  (respectively,  $S_q(n, d)$ ) if, and only if, there exists a chain  $\lambda = {}_1\lambda, \dots, {}_t\lambda = \mu$  of elements of  $X(T)^+$  (respectively,  $\Lambda^+(n, d)$ ) such that for each  $1 \leq i < t$  we have either  $[\nabla({}_i\lambda):L({}_{i+1}\lambda)] \neq 0$  or  $[\nabla({}_{i+1}\lambda):L({}_i\lambda)] \neq 0$ .

The proof follows just as in the classical case (see [9, Theorem 2.6]).

3. INFINITESIMAL SUBGROUPS OF  $q\text{-GL}(n, k)$ 

In this section we shall consider certain infinitesimal subgroups of our quantum group. A quantum analogue of the first Frobenius kernel and also of the corresponding Jantzen subgroup is defined in [7, Sect. 3.1]. When the field  $k$  has positive characteristic, this definition can be generalized to give the higher kernels, as outlined in this section.

Throughout this section we take  $q$  to be a primitive  $l$ th root of unity, and when considering the higher kernels further require that the characteristic  $p$  of our field be strictly positive. Note that for this to be possible, we must have  $(l, p) = 1$ . By [14, (3.1) Theorem, taking  $\alpha = 1$  and  $\beta = q$ ], we have that there exists a Hopf algebra homomorphism

$$\hat{F}: k[\text{GL}(n, k)] \rightarrow k[q\text{-GL}(n, k)]$$

taking  $x_{ij}$  to  $c_{ij}^l$ . Thus we define the *Frobenius morphism*  $F: q\text{-GL}(n, k) \rightarrow \text{GL}(n, k)$  to be the morphism of quantum groups with associated comorphism  $\hat{F}$ . If  $p > 0$ , then we also have the usual Frobenius map  $F$  on  $\text{GL}(n, k)$  associated to the comorphism taking  $x_{ij}$  to  $x_{ij}^p$ , and so we may consider the composition of these maps. Henceforth we will abuse notation and write  $F^r$  for  $F^{r-1}F$ .

Following [12], we say that a quantum group  $\bar{H}$  is a *factor group* of a quantum group  $H$  if  $k[\bar{H}]$  is a sub-Hopf algebra of  $k[H]$ . Given a factor group  $\bar{H}$  of  $H$  whose coordinate algebra is central in  $k[H]$ , we obtain a subgroup  $H_1$  of  $H$  whose defining ideal is given by  $I_{H_1} = k[H] \cdot (\ker(\epsilon_H) \cap k[\bar{H}])$ .

Consider the sub-Hopf algebra of  $k[G]$  generated by the elements  $c_{ij}^{lp^{r-1}}$ , for  $1 \leq i, j \leq n$ , and  $d_q^{-lp^{r-1}}$  (where if  $r > 1$ , we assume that  $p > 0$ ). This is isomorphic to  $k[\text{GL}(n, k)]$  via  $F^r$ . The corresponding factor group will be denoted  $\bar{G}^r$ , or just  $\bar{G}$  in the case  $r = 1$ . Then by the previous paragraph, there is a subgroup of  $G$  with defining ideal generated by the elements  $c_{ij}^{lp^{r-1}} - \delta_{ij}$ , for  $1 \leq i, j \leq n$ , and  $d_q^{-lp^{r-1}} - 1$ . This subgroup will be denoted  $G_r$  and called the *rth Frobenius kernel*. We can also define infinitesimal analogues of  $B$  and  $T$ ; respectively,  $B_r = B \cap G_r$  and  $T_r = T \cap G_r$ .

Finally, we introduce quantum analogues of the *Jantzen subgroups*, which can be regarded as infinitesimal thickenings of the Frobenius kernels by the torus. Consider the ideal of  $k[G]$  generated by the elements  $c_{ij}^{lp^{r-1}}$  for  $1 \leq i \neq j \leq n$ . (Again, if  $r > 1$ , then we assume that  $p > 0$ .) This is clearly a biideal, and by the isomorphism of  $\bar{G}^r$  with  $\text{GL}(n, k)$  above, along with the description of the antipode in [4, Lemmas 4.2.20 and 4.2.12], it is easy to verify that it is in fact a Hopf ideal. We denote the subgroup of  $G$  with this as defining ideal by  $G_r T$ , and the corresponding intersection

with  $B$  by  $B_r T$ . Similarly we can show that the ideal generated by the elements  $c_{ij}^{lp^{r-1}}$  for  $1 \leq i < j \leq n$  is a Hopf ideal of  $k[G]$ ; we denote the subgroup corresponding to this by  $G_r B$ .

The basic representation theory of these infinitesimal subgroups was developed in [7, Sects. 3.1 and 3.2]. Unfortunately, [7] only considers the case  $r = 1$ ; however, the arguments given there all still hold, *mutatis mutandis*, in the general case. For most of our purposes the  $r = 1$  theory will suffice, but we shall also require the following two more general results.

We define the set of  $lp^{r-1}$ -restricted weights

$$X_r(T) = \{\lambda \in X(T) \mid 0 \leq \lambda_i - \lambda_{i+1} \leq lp^{r-1} - 1 \text{ for } 1 \leq i \leq n\},$$

where we set  $\lambda_{n+1} = 0$  (with our usual requirement for  $p$  if  $r > 1$ ). Then we have

LEMMA 3.1. *For  $\lambda \in X_r(T)$ , the simple module  $L(\lambda)$  remains simple on restriction to  $G_r$ .*

The proof follows as in [7, 3.2(3)].

We shall also need

LEMMA 3.2. *Let  $V, Z \in \text{mod } G$  be such that  $\text{res}_{G_r} V$  is absolutely indecomposable,  $G_r$  acts trivially on  $Z$ , and  $Z$  is absolutely indecomposable as a  $\bar{G}^r$ -module. Then  $V \otimes Z$  is an absolutely indecomposable  $G$ -module.*

The proof follows by the same arguments as in [7, 3.3(5)] (or [8, Sect. 2, Lemma] in the classical case).

Finally, we consider induction in the infinitesimal case. By arguing as in [21, (9.6.1) and (9.6.2)] we see that for  $r = 1$  or  $p > 0$  we have

LEMMA 3.3. *The induction functor  $\text{ind}_{B_r T}^{G_r B}$  is exact.*

For  $\lambda \in X(T)$  define  $\hat{Z}_r(\lambda) = \text{ind}_{B_r T}^{G_r T}(k_\lambda)$  and  $\tilde{Z}_r(\lambda) = \text{ind}_{B_r T}^{G_r B}(k_\lambda)$ , where as usual if  $r > 1$  we assume that  $p > 0$ . The basic properties of  $\hat{Z}(\lambda)$  have been developed in [7] (at least for  $r = 1$ ), but similar arguments also hold for  $\tilde{Z}_r(\lambda)$ . In particular, we have the following result as in [21, (9.6.5)] (compare with [7, 3.1(21) and (22)]).

THEOREM 3.4. *For any  $\lambda \in X(T)$ , we have:*

- (i)  $\tilde{Z}_r(\lambda + lp^{r-1}\mu) \cong \tilde{Z}_r(\lambda) \otimes k_{lp^{r-1}\mu}$ ;
- (ii)  $\tilde{Z}_r(\lambda)^* \cong \tilde{Z}_r(2(lp^{r-1} - 1)\bar{\rho} - \lambda)$ ;
- (iii)  $\tilde{Z}_r(\lambda)$  has an irreducible head, isomorphic to  $\tilde{L}_r(2(lp^{r-1} - 1)\bar{\rho} - \lambda)$ ;
- (iv)  $\text{res}_{G_r T} \tilde{Z}_r(\lambda) \cong \hat{Z}(\lambda)$ ,

where  $\tilde{L}_r(\lambda)$  is the simple  $G_r B$ -module of highest weight  $\lambda$ , and  $r > 1$  or  $p > 0$ .

Finally, we should remark that in the case where  $q$  is a primitive odd root of unity, a similar infinitesimal theory can also be developed for the Manin quantization. In the case  $r = 1$ , this was studied in [21].

#### 4. THE STRONG LINKAGE PRINCIPLE

In this section we will prove the strong linkage principle for  $q$ -GL( $n, k$ ), when  $q$  is a root of unity. This is proved for the Manin quantization (for  $q$  a primitive  $l$ th root of unity with  $l$  odd) in [21, (10.3.5)] and hence, by the isomorphism of module categories in (1.1), for our chosen quantization (for odd  $l$ ). However, we will show that the restriction on  $l$  is unnecessary in both cases.

Before we can state the main result of this section, we begin with a pair of technical lemmas that will be needed later. We recall from [12, Sect. 2] that to any composition  $\mathbf{a}$  of  $n$ , we can associate a corresponding parabolic subgroup  $P(\mathbf{a})$ . We consider those  $\mathbf{a}$  of the form  $(1, \dots, 1, 2, 1, \dots, 1)$ , where the 2 lies in the  $j$ th position, and denote the corresponding parabolic just by  $P_j$ . For a simple  $B$ -module  $k_\lambda$ , we will write  $H_j^i(\lambda)$  for  $R^i \operatorname{ind}_B^{P_j}(k_\lambda)$ . Then we have

LEMMA 4.1. *Let  $\lambda = \sum_{i=1}^n t_i \varpi_i \in X(T)$  with  $t_j > 0$  for some  $j < n$ . Then there exist the following short exact sequences of  $B$ -modules:*

- (i)  $0 \rightarrow K_j^\lambda \rightarrow H_j^0(\lambda) \rightarrow k_\lambda \rightarrow 0$ ;
- (ii)  $0 \rightarrow s_{\alpha_j}(\lambda) \rightarrow K_j^\lambda \rightarrow V_j^\lambda \rightarrow 0$ ;
- (iii)  $0 \rightarrow C_j^\lambda \rightarrow V_j^\lambda \rightarrow F_j^\lambda \rightarrow 0$ ;
- (iv)  $0 \rightarrow F_j^\lambda \rightarrow H_j^0(\lambda - \alpha) \rightarrow D_j^\lambda \rightarrow 0$ .

Moreover, the set of weights of both  $C_j^\lambda$  and  $D_j^\lambda$  is  $\{s_{\alpha_j}(\lambda) + tl\alpha_j; 0 < t < t_j/l\}$ .

The proof corresponds to [21, (10.2.1)], and as the proof given there is valid for all  $l$ , the isomorphism in (1.1) gives the result for our quantization. Alternatively, the argument given can be rederived directly for our quantization using the explicit description of the  $B$ -module structure of the symmetric powers given in [25].

COROLLARY 4.2. *If  $\lambda = \sum_{i=1}^n t_i \varpi_i \in X(T)$  with  $t_j \geq 0$  for some  $j < n$ , then there exist two long exact sequences:*

- (i)  $\cdots \rightarrow H^r(s_{\alpha_j} \cdot \lambda) \rightarrow H^{r-1}(\lambda) \rightarrow H^r(V_j^{\lambda+\rho}(-\rho)) \rightarrow H^{r+1}(s_{\alpha_j} \cdot \lambda) \rightarrow \cdots$ ;
- (ii)  $\cdots \rightarrow H^r(C_j^{\lambda+\rho}(-\rho)) \rightarrow H^r(V_j^{\lambda+\rho}(-\rho)) \rightarrow H^{r-1}(D_j^{\lambda+\rho}(-\rho)) \rightarrow H^{r+1}(C_j^{\lambda+\rho}(-\rho)) \rightarrow \cdots$ ,

where for a  $B$ -module  $X$  we write  $X(-\rho)$  for  $X \otimes k_{-\rho}$ .

With Lemma 4.1 and [12, Lemma 3.2], we obtain the proof just as in [21, (10.2.2)].

Just as in [21], we define a strong linkage relation on  $X(T)$  with respect to the dot action of the affine Weyl group. In particular, a weight  $\lambda$  is *strongly linked to*  $\mu$ , written  $\lambda \uparrow \mu$ , if  $\lambda = \mu$  or there exists a finite sequence of weights  $\mu = {}_0\mu, {}_1\mu, \dots, {}_t\mu = \lambda$  such that for  $i = 0, \dots, t-1$ ,

$${}_{i+1}\mu = s_{\alpha_i} \cdot {}_i\mu + m_i l \alpha_i$$

for  $\alpha_i$  a positive root and  $m_i$  a nonnegative integer with  $\langle {}_i\mu + \rho, \alpha_i \rangle \geq m_i l$ . The main result in this section is

**THEOREM 4.3 (The strong linkage principle).** *Let  $\lambda \in X(T)^+$  and  $\mu \in X(T)$  with  $\mu + \rho \in X(T)^+$ . If  $L(\lambda)$  is a composition factor of  $H^r(w \cdot \mu)$  for some  $w \in W$  and  $r \in \mathbb{N}$ , then  $\lambda \uparrow \mu$ .*

As noted in [21], the result follows just as in [1] provided certain preliminary results hold. We merely verify that each of these results holds just as for the Manin quantization. Given the previous two lemmas, the result will follow from Serre duality and the Borel–Weil–Bott theorem for small dominant weights. So we will be done if we can prove Theorems 4.4 and 4.7.

A dominant weight  $\lambda$  is called *small* if either  $\lambda = \sum_{i=1}^n r_i \varpi_i$  with  $\sum_{i=1}^{n-1} r_i \leq l+1-n$  or  $\lambda$  is a minimal dominant weight. We have the following result (compare with [12, Theorem 3.9]).

**THEOREM 4.4 (Borel–Weil–Bott).** *Let  $\lambda \in X(T)$  be a small dominant weight. Then*

$$H^r(w \cdot \lambda) = \begin{cases} \nabla(\lambda), & \text{if } r = l(w), \\ 0, & \text{otherwise,} \end{cases}$$

where  $l$  is the usual length function on  $W$ .

Whereas we have the Grothendieck vanishing theorem (see [12, Proposition 3.10]), this follows just as in [21, (10.2.3)], noting that the other results used there have already been verified above.

So it remains to prove that Serre duality holds, which shall take the rest of this section. We first give an alternative description of induction for quantum groups.

Given  $K$  a subgroup of a quantum group  $H$ , and  $V$  a  $K$ -module, we define a map

$$\Theta: V \otimes k[H] \rightarrow V \otimes k[K] \otimes k[H]$$

as follows. We will use the convention that we suppress certain summations, indicated by primes, in a similar manner to Sweedler's notation (see



[22]). Thus we shall write the structure map  $\tau$  of  $V$  as  $v \mapsto v' \otimes g''$  and write comultiplication  $\delta$  in  $k[H]$  by  $f \mapsto f' \otimes f''$ . Denoting the antipode in  $k[K]$  by  $\sigma$  and the image of  $f \in k[H]$  in  $k[K]$  by  $\bar{f}$ , we define  $\Theta$  on elements of the form  $v \otimes f$  by  $v \otimes f \mapsto v' \otimes g'' \sigma(\bar{f}') \otimes f''$  and extend by linearity. We define the fixed points under this map to be those elements  $\sum_i v_i \otimes f_i$  satisfying  $\Theta(\sum_i v_i \otimes f_i) = \sum_i v_i \otimes 1 \otimes f_i$ , and denote the set of these by  $(V \otimes k[H])^K$ . Then we have

PROPOSITION 4.5. *Given  $H$ ,  $K$ , and  $V$ , as above, we have*

$$\inf_K^H(V) = (V \otimes k[H])^K.$$

*Proof.* We first show  $\inf_K^H(V) \subseteq (V \otimes k[H])^K$ . Consider  $\sum_i v_i \otimes f_i \in \inf_K^H(V)$ . Now

$$\begin{aligned} \theta\left(\sum_i v_i \otimes f_i\right) &= (\text{id} \otimes m \otimes \text{id})(\text{id} \otimes \text{id} \otimes \sigma \otimes \text{id})(\text{id} \otimes \text{id} \otimes \bar{\delta}) \\ &\quad \times \left(\sum_i v'_i \otimes g''_i \otimes f_i\right), \end{aligned}$$

where  $\bar{\delta} = (\bar{\phantom{x}} \otimes \text{id})\delta$  and  $m$  is the usual multiplication map. By the definition of induction (see [12, Sect. 1]) we have

$$\sum_i v'_i \otimes g''_i \otimes f_i = \sum_i v_i \otimes \bar{f}'_i \otimes f''_i,$$

and so by applying our alternative description of  $\Theta$  to this we obtain

$$\Theta\left(\sum_i v_i \otimes f_i\right) = \sum_i v_i \otimes \bar{f}'_i \sigma(\bar{f}''_i) \otimes f'''_i.$$

As  $\delta(\bar{f}) = \overline{\delta(f)}$ , we have by the relations for a Hopf algebra that this equals  $\sum_i v_i \otimes 1 \otimes f_i$ , as required. Next we consider the reverse inclusion. As

$$(\text{id} \otimes m \otimes \bar{\delta})\left(\sum_i v_i \otimes 1 \otimes f_i\right) = \sum_i v_i \otimes \bar{f}'_i \otimes f''_i,$$

we have by our alternative description of  $\Theta$  above that it is enough to show that

$$(\text{id} \otimes m \otimes \bar{\delta})(\text{id} \otimes m \otimes \text{id})(\text{id} \otimes \text{id} \otimes \sigma \otimes \text{id})(\text{id} \otimes \text{id} \otimes \bar{\delta}) = \text{id}.$$

So consider the left-hand side acting on some element  $v \otimes a \otimes b$ . The image of this is

$$v \otimes a \sigma(\bar{b}') \bar{b}'' \otimes b''' = v \otimes a \otimes b$$

as required, and the result now follows.

With this last result we can now prove

LEMMA 4.6. *For all  $i \geq 0$ ,  $r \geq 1$  (with  $p > 0$  if  $r > 1$ ),  $B(n, k)$ -modules  $M$ , and  $G$ -modules  $V$ , we have*

$$R^i \operatorname{ind}_{G_r B}^G (V \otimes M^{F^r}) \cong V \otimes \left( R^i \operatorname{ind}_{B(n, k)}^{\operatorname{GL}(n, k)} M \right)^{F^r}.$$

*Proof.* By the generalized tensor identity [12, Proposition 1.3(ii)], it is enough to show that

$$R^i \operatorname{ind}_{G_r B}^G (M^{F^r}) \cong \left( R^i \operatorname{ind}_{B(n, k)}^{\operatorname{GL}(n, k)} M \right)^{F^r}.$$

We first consider the case  $i = 0$ . Let us denote  $G_r B$  by  $H$ . Now  $G_r$  is a subgroup of  $H$ , and we shall denote the corresponding factor group by  $\bar{H}^r$ . Then by the last proposition we have

$$\begin{aligned} \operatorname{ind}_H^G (M^{F^r}) &\cong (M^{F^r} \otimes k[G])^H \\ &\cong \left( (M^{F^r} \otimes k[G])^{G_r} \right)^{\bar{H}^r} \\ &\cong (M^{F^r} \otimes k[\bar{G}^r])^{\bar{H}^r} \\ &\cong \operatorname{ind}_{\bar{H}^r}^{\bar{G}^r} M^{F^r}, \end{aligned}$$

using [12, Proposition 1.5] for the two intermediate steps. Now we have  $\bar{H}^r \cong B(n, k)$  and  $\bar{G}^r \cong \operatorname{GL}(n, k)$ , both via  $F^r$ . Hence  $\operatorname{ind}_H^G (M^{F^r}) \cong (\operatorname{ind}_{B(n, k)}^{\operatorname{GL}(n, k)} M)^{F^r}$  as required.

The argument for the general case now proceeds much as in [19, I.6.11]. We replace appeals to [19, I.4.5(c)] by [12, Proposition 1.2], and note that induction is exact where required by arguments as in [21, Sections 7.3 and 7.4].

We define  $N = \binom{n}{2}$ . By (4.4), we have that  $H^N(-2\bar{\rho}) \cong k$ , and so by [21, (10.3.1)] we obtain for every finite-dimensional  $B$ -module  $V$  a pairing

$$H^{N-i}(-2\bar{\rho} \otimes V^*) \otimes H^i(V) \rightarrow H^N(-2\bar{\rho}) \cong k$$

of rational  $G$ -modules. With this we can now prove

THEOREM 4.7 (Serre duality). *For any finite-dimensional rational  $B$ -module  $V$ ,*

$$H^{N-r}(-2\bar{\rho} \otimes V^*) \cong (H^r(V))^*.$$

*Proof.* Using (3.3) and (3.4), we obtain [21, (10.3.3)] by the arguments given there. Then, as we have the generalized tensor identities (see [12, Proposition 1.3(ii)]), the proof now follows as in [21, (10.3.4)].

This concludes the proof of the strong linkage principle. Note that, via our usual isomorphism (1.1), this also gives the result for the Manin quantization without restriction on  $l$  (with the appropriate modifications—see (1.2)).

## 5. THE BLOCKS OF THE $q$ -SCHUR ALGEBRA

The main result in this section is a determination of the block structure of the  $q$ -Schur algebra, and hence of  $q\text{-GL}(n, k)$ . If  $q$  is not a root of unity, then the  $q$ -Schur algebra is semisimple by [7, 4.3(7)(i)], so henceforth we assume that  $q$  is a primitive  $l$ th root of unity. We first consider the blocks of  $G$ , using an easy argument based on the strong linkage principle and the following lemma.

LEMMA 5.1. *For any dominant weight  $\lambda$  and  $r \geq 1$  (with  $p > 0$  if  $r \neq 1$ ),*

$$\mathrm{St}_r \otimes \bar{\nabla}(\lambda)^{F^r} \cong \nabla((lp^{r-1} - 1)\rho + lp^{r-1}\lambda). \quad (1)$$

*Proof.* Set  $\lambda' = (lp^{r-1} - 1)\rho + lp^{r-1}\lambda$ . As both sides of (1) have the same character, by the universal property of  $\nabla$ s it is enough to show that

$$\mathrm{soc}(\mathrm{St}_r \otimes \bar{\nabla}(\lambda)^{F^r}) \cong L(\lambda').$$

For  $0 \leq \alpha < lp^{r-1}$ , consider

$$\begin{aligned} & \mathrm{Hom}_G(L(\alpha) \otimes \bar{L}(\beta)^{F^r}, \mathrm{St}_r \otimes \bar{\nabla}(\lambda)^{F^r}) \\ & \cong \mathrm{Hom}_{G_r}(L(\alpha) \otimes \bar{L}(\beta)^{F^r}, \mathrm{St}_r \otimes \bar{\nabla}(\lambda)^{F^r})^{\bar{G}^r}. \end{aligned}$$

As  $\bar{L}(\beta)^{F^r}$  and  $\bar{\nabla}(\lambda)^{F^r}$  are both trivial as  $G_r$ -modules, the terms in the right-hand side are isomorphic to direct sums of  $L(\alpha)$ s and  $\mathrm{St}_r$ s. But  $\mathrm{St}_r$  and  $L(\alpha)$  are simple as  $G_r$ -modules by (3.1), so for a nonzero homomorphism to exist we must have  $L(\alpha) \cong \mathrm{St}_r$ .

By Schur's lemma,  $\mathrm{Hom}_{G_r}(\mathrm{St}_r, \mathrm{St}_r) = k$ , and we have an injection

$$\mathrm{Hom}_k(\bar{L}(\beta)^{F^r}, \bar{\nabla}(\lambda)^{F^r}) \rightarrow \mathrm{Hom}_{G_r}(\mathrm{St}_r \otimes \bar{L}(\beta)^{F^r}, \mathrm{St}_r \otimes \bar{\nabla}(\lambda)^{F^r}),$$

taking  $\theta$  to  $1 \otimes \theta$ . By dimensions this is an isomorphism. Hence

$$\begin{aligned} \operatorname{Hom}_G(\operatorname{St}_r \otimes \bar{L}(\beta)^{F^r}, \operatorname{St}_r \otimes \bar{\nabla}(\lambda)^{F^r}) &\cong \operatorname{Hom}_k(\bar{L}(\beta)^{F^r}, \bar{\nabla}(\lambda)^{F^r})^{\bar{G}^r} \\ &\cong \operatorname{Hom}_{\bar{G}^r}(\bar{L}(\beta)^{F^r}, \bar{\nabla}(\lambda)^{F^r}) \\ &\cong \operatorname{Hom}_{\operatorname{GL}_n}(\bar{L}(\beta), \bar{\nabla}(\lambda)) \\ &\cong \begin{cases} k, & \text{if } \beta = \lambda, \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$

Hence  $\operatorname{soc}(\operatorname{St}_r \otimes \bar{\nabla}(\lambda)^{F^r})$  consists of copies of  $\operatorname{St}_r \otimes \bar{L}(\lambda) \cong L(\lambda')$ . But  $\dim \bar{\nabla}(\lambda)_\lambda = 1$  and all other weights are less than  $\lambda$ , so only one such copy can occur, which gives the result.

Consider  $\lambda \in X(T)$  not equal to  $-\rho$ . If  $p > 0$ , then we define  $m(\lambda)$  to be the least positive integer such that there exists an  $\alpha \in \Phi^+$  with  $\langle \lambda + \rho, \alpha \rangle \notin lp^{m(\lambda)}\mathbb{Z}$ . If  $p = 0$ , then we define  $m(\lambda)$  to be 0 if there exists an  $\alpha \in \Phi^+$  with  $\langle \lambda + \rho, \alpha \rangle \notin l\mathbb{Z}$  and 1 otherwise. Our first partial result on the blocks of  $G$  is

**PROPOSITION 5.2.** *If  $\lambda \in X(T)^+$ , then  $(W \cdot \lambda + lp^{m(\lambda)}\mathbb{Z}\Phi) \cap X(T)^+$  is a union of blocks for  $G$ . If further  $p = 0$  and  $m(\lambda) = 1$ , then  $\lambda$  is the unique element in its block.*

*Proof.* First consider the case  $m(\lambda) = 0$ . It is enough to check that if  $\tau$  and  $\nu$  are dominant, with  $\tau \in W \cdot \lambda + l\mathbb{Z}\Phi$  and  $L(\nu)$  a composition factor of  $\nabla(\lambda)$ , then  $\nu \in W \cdot \lambda + l\mathbb{Z}\Phi$ . But this is an easy consequence of the strong linkage principle (4.3).

Now suppose that  $\lambda$  is any dominant weight, with  $m(\lambda) = m > 0$ . Again, it is enough to show that if  $\tau$  and  $\nu$  are dominant, with  $\tau \in W \cdot \lambda + lp^m\mathbb{Z}\Phi$  and  $L(\nu)$  a composition factor of  $\nabla(\tau)$ , then  $\nu \in W \cdot \lambda + lp^m\mathbb{Z}\Phi$  or if  $p = 0$ , that  $\nu = \tau$ . We first note that we have

$$\tau = (lp^{m-1} - 1)\rho + a\varpi + lp^{m-1}\tau',$$

with  $\tau' \in X^+$  and  $0 \leq a < lp^{m-1}$  (cf. the definition of *normal form* in [11, Sect. 1]). So by the preceding lemma,  $\nabla(\tau - a\varpi) \cong \operatorname{St}_m \otimes \bar{\nabla}(\tau')^{F^m}$ , and hence  $\nabla(\tau) \cong (q\text{-det})^a \otimes \operatorname{St}_m \otimes \bar{\nabla}(\tau')^{F^m}$  (as both sides have a simple socle and the same character). It is easy to see, by Steinberg's tensor product theorem, that any composition factor of this module is of the form  $L(\nu) \cong (q\text{-det})^a \otimes \operatorname{St}_m \otimes \bar{L}(\nu')^{F^m}$ , and (decomposing  $\lambda$  in the same way as for  $\tau$ ) that  $\tau' \in W \cdot \lambda' + p\mathbb{Z}\Phi$ . So it is enough to show that  $\nu' \in W \cdot \lambda' + p\mathbb{Z}\Phi$  or if  $p = 0$ , that  $\nu' = \lambda'$ . But this follows for  $p > 0$  from the strong linkage principle for  $\operatorname{GL}(n, k)$  [1, Theorem 1] and for  $p = 0$  from the semisimplicity of  $\operatorname{Mod}(\operatorname{GL}(n, k))$ .

We will show later that the sets described in the theorem above are in fact precisely the blocks of  $G$ . This will follow from the following description of the blocks of the  $q$ -Schur algebra, which most of the rest of this section is taken up with proving.

**THEOREM 5.3.** *For any  $\lambda \in \Lambda^+(n, d)$ , the  $S_q(n, d)$ -block containing  $\lambda$  is*

$$(W \cdot \lambda + lp^{m(\lambda)}\mathbb{Z}\Phi) \cap \Lambda^+(n, d), \quad \text{if } p > 0 \text{ or } m(\lambda) = 0, \\ \{\lambda\} \quad \text{otherwise.}$$

In what follows, it will be convenient to call a weight  $\lambda$  *primitive* if  $m(\lambda) = 0$ . By the last result, it makes sense to define a *primitive block* as one consisting of primitive elements. We first deal with the nonprimitive blocks, as for these the result can be easily deduced from the classical case.

**PROPOSITION 5.4.** *For  $d \geq 0$ ,  $m \geq 0$  (with  $p > 0$  if  $m \neq 0$ ),  $0 \leq a < lp^m$ , and  $\mathcal{B}$  a block of  $S(n, d)$ , the set*

$$\mathcal{B}^\dagger = \{(lp^m - 1)\rho + a\varpi + lp^m\mu : \mu \in \mathcal{B}\}$$

*is a block of  $S_q(n, e)$ , where  $e = (lp^m - 1)|\rho| + na + lp^md$ .*

*Proof.* Define  $\Phi: \text{mod GL}(n, k) \rightarrow \text{mod } G$  by

$$\Phi(\bar{V}) = (q\text{-det})^a \otimes \text{St}_{m+1} \otimes \bar{V}^{F^{m+1}}, \\ \Phi(\theta) = 1 \otimes 1 \otimes \theta.$$

Now  $(q\text{-det})^a \otimes \text{St}_{m+1} \cong \nabla(\sigma)$ , where  $\sigma = (lp^m - 1)\rho + a\varpi$ , as both sides have a simple socle and the same character. The result now follows just as in [11, Sect. 4, Theorem], noting that if  $\bar{V}$  is indecomposable, then so is  $\nabla(\sigma) \otimes \bar{V}^{F^{m+1}}$  by (3.2).

With the above proposition, the main theorem now follows for  $\lambda$  nonprimitive from the description of the blocks for  $S(n, d)$  given in [11, Sect. 4, Corollary] if  $p > 0$ , and from the semisimplicity of  $\text{Mod}(S(n, d))$  otherwise.

So it remains to prove the theorem when  $\lambda$  is a primitive weight. Recall that to each partition  $\lambda$  we can associate a corresponding  $l$ -core, whose Young diagram is obtained from that of  $\lambda$  by removing skew  $l$ -hooks. We shall call a subset of  $\Lambda^+(n, d)$  a *core class* if it consists of all elements of  $\Lambda^+(n, d)$  with some fixed  $l$ -core. We note that the remarks in [11, p. 405] concerning  $p$ -cores all hold when  $p$  is replaced by  $l$ , and so (given our partial result on the blocks of  $G$ ) we have the following result.

LEMMA 5.5. *For primitive dominant weights  $\lambda = (\lambda_1, \dots, \lambda_n)$  and  $\mu = (\mu_1, \dots, \mu_n)$ , if  $\lambda$  and  $\mu$  belong to the same  $G$ -block, then there exists a  $\pi \in \Sigma_n$  such that*

$$\lambda_i - i \equiv \mu_{\pi(i)} - \pi(i) \pmod{l}$$

*for all  $1 \leq i \leq n$ . Further, for any  $\lambda, \mu \in \Lambda^+(n, d)$  there exists such a  $\pi \in \Sigma_n$  if, and only if,  $\lambda$  and  $\mu$  have the same  $l$ -core.*

Remark 5.6. When  $d \leq n$  the blocks of the  $q$ -Schur algebra have already been determined by James and Mathas [18, Theorem 4.24]. To see that our main result is consistent with this, note that in this case all of the elements  $\lambda \in \Lambda^+(n, d)$  are primitive. Hence (5.3) and Lemma 5.5 give that  $\lambda$  and  $\mu$  lie in the same block for  $S_q(n, d)$  if, and only if, they have the same  $l$ -core.

The remainder of this section is essentially devoted to verifying that the proof given in the classical case for primitive blocks in [11, Sect. 3, Theorem] holds (with the obvious modifications) in this setting. Examination of the proof given there gives that this will be the case provided [11, Sect. 3(1–6), Sect. 1(5, 8), and Sect. 2(3, Proposition)] all hold.

To a partition  $\lambda$  we can associate a *hook tableau*  $h_{ij}(\lambda)$ , which is the  $\lambda$ -tableau whose  $(i, j)$ th entry is the hook length  $\lambda_i + \lambda'_j - i - j + 1$ . Here  $\lambda'$  is the conjugate partition to  $\lambda$ . Further, given two partitions  $\lambda \in \Lambda^+(n, d)$  and  $\mu \in \Lambda^+(m, e)$  with  $\lambda_n \geq \mu_1$ , we shall write  $(\lambda \mid \mu)$  for the partition in  $\Lambda^+(n + m, d + e)$  obtained by concatenation.

An element  $\lambda \in \Lambda^+(n, d)$  is called *row  $l$ -regular* if there does not exist an  $i$  with  $0 \leq i \leq n - l$  such that  $\lambda_{i+1} = \lambda_{i+2} = \dots = \lambda_{i+l} > 0$ . The set of row  $l$ -regular elements in  $\Lambda^+(n, d)$  is denoted  $\Lambda^+(n, d)_{\text{row}}$ . We will also need to consider the Schur functor  $f = f_{n,d}: \text{mod } S_q(n, d) \rightarrow \text{mod } \mathcal{H}_q(d)$ , defined when  $d \leq n$ . This is analogous to the usual Schur functor defined in [15, Chap. 6], and its basic properties are outlined in [7, Sect. 2.1].

The six results in Section 3 and Section 2(3) are all straightforward and are summarized in the following proposition.

PROPOSITION 5.7. (i) (Carter's criterion). *Let  $\lambda \in \Lambda^+(n, d)$  with  $d \leq n$ . Then  $\lambda$  is row  $l$ -regular and  $f\nabla(\lambda)$  is irreducible if, and only if, the  $l$ -adic valuation  $\nu_l: \mathbb{Z} \rightarrow \mathbb{N}$  is constant on the columns of the hook tableau  $(h_{ij}(\lambda))$ .*

(ii) *A partition  $\lambda$  is an  $l$ -core if, and only if, every entry of the hook tableau is not divisible by  $l$ .*

(iii) *Every core class in  $\Lambda^+(n, d)$  is a union of  $S_q(n, d)$ -blocks, and if  $\lambda \in \Lambda^+(n, d)$  is primitive, then its core class consists of primitive elements.*

(iv) *Elements  $\lambda$  and  $\mu$  of  $\Lambda^+(n, d)$  belong to the same core class if, and only if,  $\lambda + \varpi$  and  $\mu + \varpi$  belong to the same core class in  $\Lambda^+(n, d + n)$ .*

(v) If  $\lambda, \mu \in \Lambda^+(n, d)$  are in the same  $S_q(n, d)$ -block, then  $\lambda + \varpi$  and  $\mu + \varpi \in \Lambda^+(n, d + n)$  are in the same  $S_q(n, d + n)$ -block.

(vi) Suppose that  $(\lambda \mid \mu) \in \Lambda^+(n + m, d + e)$ , with  $\mu$  being the unique maximal element in its  $S_q(m, e)$ -block and  $\tau \in \Lambda^+(m, e)$  belonging to the same block as  $\mu$ . Then  $(\lambda \mid \tau)$  is in the same  $S_q(n + m, d + e)$ -block as  $(\lambda \mid \mu)$ .

(vii) If  $\lambda, \mu \in \Lambda^+(n, d)$  belong to the same block of  $S_q(n, d)$ , then they belong to the same block of  $S_q(m, d)$  for all  $m \geq n$ .

*Proof.* A quantum version of Carter's criterion is proved in [18, 4.15] for  $q$ -Specht modules, and hence by the identification of these in [7, 4.5h] with the  $f\nabla(\lambda)$ s we obtain (i). For (ii) the classical criterion for  $\lambda$  to be a  $p$ -core in [11] can be seen from [17, 2.7.40] not to require  $p$  prime (provided we replace "coprime to  $p$ " by "not divisible by  $p$ "). The result on core classes (iii) follows directly from Lemma 5.5 and Proposition 5.4, while (iv) is immediate. Both (v) and (vi)—consequences of James' results on row and column removal and decomposition numbers—hold with the same proofs as given, but replacing references to [10, Theorems 1 and 2] by [7, 4.2(9) and 4.2(15)], respectively. Finally, the last part is clear from [7, 4.2(6)].

The next result is an analogue of [11, Sect. 1(5)].

**PROPOSITION 5.8.** *Let  $\lambda \in X(T)^+$ . Suppose that  $\lambda$  is primitive and  $\nabla(\lambda)$  is irreducible. Then we have  $\langle \lambda, \alpha \rangle < l$  for all  $\alpha \in \Pi$ .*

*Proof.* Consider the Manin quantization. Now Steinberg's tensor product theorem holds for  $l$  odd by [21, (9.4.1)], and for  $l$  even by [2, Theorem, and concluding remarks] (but replacing  $l$  in this case by  $l/2$  as remarked in (1.2)). Hence it also holds for  $\mathrm{SL}_q(n, k)$ . Now [16, Sect. 2.5, Theorem] clearly holds with  $l$  or  $l/2$  replacing  $p$ , and hence we have [16, Sect. 2.5, Corollary], possibly with  $l$  modified. The result now follows just as in [11] (possibly with modified  $l$ ). Again, tensoring with  $\det_q$  will not affect reducibility, giving the result for  $\mathrm{GL}_q(n, k)$ . The usual category isomorphism (1.1) now gives the result for our quantization, and corrects any modifications to  $l$  introduced during the Manin stage.

To start the induction off in the proof of the main theorem, we need to check some small cases. It will be convenient for this to define, as in [19, II 5.7], a Euler characteristic for any given finite-dimensional  $B$ -module  $M$  by

$$\chi(M) := \sum_{i \geq 0} (-1)^i \mathrm{ch} H^i(M).$$

As usual, we write  $\chi(\lambda)$  for  $\chi(k_\lambda)$ , and then Kempf's vanishing theorem [12, Theorem 3.4] gives

$$\chi(\lambda) = \text{ch } \nabla(\lambda) \quad \text{for all } \lambda \in X(T)^+,$$

so our notation agrees with that in [12]. Just as in the classical case we have

LEMMA 5.9. (i) *The characters  $\text{ch } L(\lambda)$  with  $\lambda \in X(T)^+$  form a basis of  $\mathbb{Z}[X]^W$ .*

(ii) *For all  $\lambda \in X$  and  $\sum_{\mu} a(\mu)e(\mu) \in \mathbb{Z}[X]^W$ ,*

$$\chi(\lambda) \sum_{\mu} a(\mu)e(\mu) = \sum_{\mu} a(\mu)\chi(\lambda + \mu).$$

(iii) *For all  $w \in W$  and  $\lambda \in X$  we have  $\chi(w \cdot \lambda) = (\text{sgn } w)\chi(\lambda)$ .*

The first two parts follow just as in [19, II.5.8, Lemma], using [12, Theorem 2.10 and Lemma 3.1], while the last part follows just as in [19, II.5.9] from [12, Lemmas 2.12 and 3.1].

We are now able to check the necessary small cases, corresponding to those in [11, Sect. 1(8)].

PROPOSITION 5.10. *Let  $n = 1, 2$ , or  $3$ , and  $\lambda \in X(T)^+$  be primitive. Then*

(i) *the module  $\nabla(\lambda)$  is irreducible if, and only if,  $\lambda$  is minimal in its block;*

(ii) *each primitive  $G$ -block contains a unique minimal element.*

*Proof.* The case  $n = 1$  is clear, while the  $n = 2$  case follows from [3, Theorem 2.1 and Corollary 2.2]. Consider  $\lambda = (\lambda_1, \lambda_2, \lambda_3)$  primitive. Without loss of generality we may assume that  $\lambda_3 = 0$  (as tensoring up with an appropriate power of  $q$ -det will give the general case in what follows). By (5.8), if  $\lambda$  is primitive and  $\nabla(\lambda)$  is irreducible, then  $0 \leq \lambda_1 - \lambda_2$ ,  $\lambda_2 \leq l - 1$ , and  $(\lambda_1 - \lambda_2, \lambda_2) \neq (l - 1, l - 1)$ . Suppose  $(\lambda_1 - \lambda_2) + \lambda_2 > l$  and  $\lambda_1 - \lambda_2, \lambda_2 < l - 1$  (this cannot arise when  $l = 2$ ). Then  $\lambda_1 - \lambda_2 = l - 1 - a$  and  $\lambda_2 = l - 1 - b$  with  $a, b > 0$  and  $a + b < l - 2$ . Consider  $\nabla(l - 2 - b, l - 1 - a - b, 0)$ . This is minimal in its block, and hence simple, so by Steinberg's tensor product theorem we see that  $\bar{\nabla}(1, 0, 0)^F \otimes \nabla(l - 2 - b, l - 1 - a - b, 0)$  is also simple, isomorphic to  $L(\tau)$  for some  $\tau$ .



Now, using Lemma 5.9, we have

$$\begin{aligned}
 \text{ch } L(\tau) &= (e(l, 0, 0) + e(0, l, 0) \\
 &\quad + e(0, 0, l)) \chi(l - 2 - b, l - 1 - a - b, 0) \\
 &= \chi(\tau) + \chi(l - 2 - b, 2l - 1 - a - b, 0) \\
 &\quad + \chi(l - 2 - b, l - 1 - a - b, l) \\
 &= \chi(\tau) - \chi(2l - 2 - a - b, l - 1 - b, 0) \\
 &\quad + \chi(l - 2, l - 1 - b, l - a - b).
 \end{aligned}$$

After rearranging and noting that the central term on the right is just  $\text{ch } \nabla(\lambda_1, \lambda_2, \lambda_3)$ , we see that

$$\text{ch } \nabla(\tau) = \text{ch } L(\tau) + \text{ch } \nabla(\lambda) - \text{ch } \nabla(l - 2, l - 1 - b, l - a - b),$$

which implies that  $\nabla(\lambda)$  is not simple. After tensoring with  $q$ -det we see that the primitive weights  $\lambda$  with  $\nabla(\lambda)$  simple are a subset of

$$\begin{aligned}
 &\{(\lambda_1, \lambda_2, \lambda_3) \in X(T)^+ : 0 \leq \lambda_1 - \lambda_3 \leq l - 2\} \\
 &\cup \{(l - 1 + a + \lambda_3, a + \lambda_3, \lambda_3), (l - 1 + a + \lambda_3, l - 1 + \lambda_3, \lambda_3) : 0 \leq a \leq l - 2\}.
 \end{aligned}$$

But all these elements are minimal in their corresponding blocks, and as any minimal element must be simple, this gives the result.

As this last result corresponds to [11, Sect. 2(3)], it just remains to check the following proposition (corresponding to that in [11, Sect. 2]), and most of the rest of this section will be devoted to this. For the rest of this section we assume that  $d \leq n$ .

**PROPOSITION 5.11.** *Let  $\lambda \in \Lambda^+(n, d)$  be such that  $[\nabla(\lambda):L(\mu)] = 0$  for all  $\mu \in \Lambda^+(n, d)$  with  $\mu > \lambda$ . Then*

- (i) *for all  $N \geq n$ , the  $S_q(N, d)$ -module  $\nabla_N(\lambda)$  is injective;*
- (ii)  *$\lambda$  is row  $l$ -regular;*
- (iii) *for  $N \geq n$ , the  $k\Sigma_N$ -module  $f_{N,d}\nabla_N(\lambda)$  is irreducible.*

We say that  $\lambda \in \Lambda^+(n, d)$  is *column  $l$ -regular* if  $\lambda_i - \lambda_{i+1} < l$  for all  $1 \leq i \leq n$ , and denote the set of these by  $\Lambda^+(n, d)_{\text{col}}$ . With this notation, we have the following result from [7, 4.4(4)(ii)].

**LEMMA 5.12.** *Suppose that  $d \leq n$ . Then  $\{fL(\lambda) : \lambda \in \Lambda^+(n, d)_{\text{col}}\}$  is a complete set of inequivalent irreducible  $\mathscr{R}_q(d)$ -modules.*

As noted in [7, 4.3(10)(ii)], there is a bijection  $i: \Lambda^+(n, d)_{\text{row}} \rightarrow \Lambda^+(n, d)_{\text{col}}$  such that, for  $\lambda \in \Lambda^+(n, d)_{\text{row}}$ , we have  $I_S(\lambda) \cong T(i(\lambda))$ , the indecomposable tilting module of highest weight  $i(\lambda)$ . Hence we obtain

LEMMA 5.13. *Suppose  $d \leq n$  and  $\lambda \in \Lambda^+(n, d)_{\text{row}}$ . Then  $i(\lambda)$  is the unique highest element in the set  $\mathcal{D}(\lambda) = \{\mu \in \Lambda^+(n, d): [\nabla(\mu):L(\lambda)] \neq 0\}$  and further  $[\nabla(i(\lambda)):L(\lambda)] = 1$ .*

We also have a notion of contravariant duality (see [7, Remarks before 4.1d]) and we shall denote the contravariant dual of a module  $V$  by  $V^0$ . This, combined with the results above, allows us to prove the following analogue of [11, 2(5)].

LEMMA 5.14. *Suppose  $d \leq n$  and let  $f = f_{n,d}$ . Then we have:*

- (i)  $E^{\otimes d} \cong \bigoplus_{\lambda \in \Lambda^+(n, d)_{\text{row}}} I_S(\lambda)^{d(\lambda)}$ , where  $d(\lambda) = \dim fL(\lambda)$ ;
- (ii)  $I_S(\lambda)^0 \cong I_S(\lambda)$  for  $\lambda \in \Lambda^+(n, d)_{\text{row}}$  and  $I_S(\lambda)$  has unique highest weight  $i(\lambda)$ ;
- (iii)  $(E^{\otimes d}:\nabla(\mu)) = \dim f\nabla(\mu)$ .

*Proof.* We have that  $E^{\otimes d}$  is injective by [7, 2.1(8)], and the rest of part (i) follows just as in the classical case, using [7, 4.3(9)] instead of [15, (6.4b)]. Part (ii) follows from the arguments above and [7, 4.3(10)(i)]. Finally part (iii) follows much as in the original case, but replacing reduction to characteristic zero by reduction to the case  $q$  a nonroot of unity, and then using that the corresponding  $q$ -Schur algebra is semisimple (see [7, 4.3(7)(i)]).

We are now almost in a position to prove (5.11). The one outstanding fact needed is an analogue of [15, (6.4c) Theorem] giving a basis of  $f\nabla(\lambda)$ . But using the identification given in [7, 4.5h] of  $f\nabla(\lambda)$  with the Specht module of Dipper and James, this follows from [6, 8.1], as noted in [13, Remark after Theorem 1.5]. Now (5.11) follows just as in [11], which then gives the main result.

Finally in this section, we use (5.3) to determine precisely the blocks of  $G$ .

THEOREM 5.15. *For  $\lambda \in X(T)^+$ , the  $G$ -block containing  $\lambda$  is*

$$(W \cdot \lambda + lp^{m(\lambda)}\mathbb{Z}\Phi) \cap X(T)^+, \quad \text{if } p > 0 \text{ or } m(\lambda) = 0, \\ \{\lambda\}, \quad \text{otherwise.}$$

*Proof.* Clearly, by (5.2), it is enough to show that any two elements of the above set are in the same block. So assume that  $p > 0$  or  $m(\lambda) = 0$ , and that  $\tau, \mu \in (W \cdot \lambda + lp^{m(\lambda)}\mathbb{Z}\Phi) \cap X(T)^+$ . If these lie in  $\Lambda^+(n, d)$  for

some  $d$ , then we are done, as they are then in the same  $S_q(n, d)$ -block, and the result follows from [12, 4(5)]. Otherwise there exists an  $e$  such that  $\tau' = \tau + e\varpi$  and  $\mu' = \mu + e\varpi$  lie in  $\Lambda^+(n, d)$  for some  $d$ . As these then lie in the same block of  $G$  by the above argument, there exists a sequence of weights, say  $\tau' = {}_1\tau', \dots, {}_t\tau' = \mu'$ , with  $[\nabla({}_i\tau'): L({}_{i+1}\tau')] \neq 0$  or  $[\nabla({}_{i+1}\tau'): L({}_i\tau')] \neq 0$  for  $1 \leq i < t$ . Setting  ${}_i\tau = {}_i\tau' - e\varpi$ , we note that  $\nabla({}_i\tau') \cong \nabla({}_i\tau) \otimes (q\text{-det})^e$  and  $L({}_i\tau') \cong L({}_i\tau) \otimes (q\text{-det})^e$ . Thus the sequence  $\tau = {}_1\tau, \dots, {}_t\tau = \mu$  is such that  $[\nabla({}_i\tau): L({}_{i+1}\tau)] \neq 0$  or  $[\nabla({}_{i+1}\tau): L({}_i\tau)] \neq 0$  for  $1 \leq i < t$ . Hence  $\tau$  and  $\mu$  lie in the same  $G$ -block.

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