

1 Cascading effects of defensive endosymbionts

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9 **Abstract**

10 Defensive endosymbionts are now understood to be widespread among insects, targeting
11 many different threats, including predators, parasites and disease. The effects on natural
12 enemies can be significant, resulting in dramatic changes in the outcome of interactions
13 between insects and their attackers. Evidence is now emerging from laboratory and field
14 work that defensive symbionts can have important effects on the surrounding insect
15 community, as well as on vulnerable enemy species; for example, by reducing prey available
16 for the trophic level above the enemy. However, there is a need for more experimental work
17 across a greater taxonomic range of species in order to understand the different ways in
18 which defensive symbionts influence insect communities.

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20 **Highlights**

21 Defensive endosymbionts are widespread among insects, targeting many different threats
22 Recent evidence shows defensive symbionts can indirectly impact the surrounding insect
23 community

24 Most studies hitherto have used aphids; more taxonomic breadth is needed in future work

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Introduction: symbiont-mediated defence and indirect effects

Many insects harbour endosymbiotic microorganisms which rely on their insect host for resources and transmission opportunities [1]. Symbionts which are indispensable to the host, notably those providing nutritional supplements to an otherwise inadequate diet [2], are universally prevalent within their host species. Symbiont species that are not required for host growth or reproduction may also persist at high frequencies, through parasitic manipulation of the host [3], providing conditional benefits [4], or a combination of the two [5].

One way in which facultative symbionts can secure their own future is by defending their insect host against biological threats, termed ‘symbiont-mediated protection’ [6]. This protection can have a significant impact on both hosts and enemies. For example, the symbiotic bacterium *Spiroplasma* sp. can protect *Drosophila neotestacea* against a sterilising parasitic nematode, *Howardula aoronymphium* [7]. In experimental populations, *Spiroplasma* spreads in the presence of nematodes, but declines in their absence, suggesting a cost to the host [8]. This effect is presumed to underlie the recent spread of *Spiroplasma* among populations of *D. neotestacea* in North America [7]. The presence of a defensive symbiont can therefore have important direct consequences, but the effects are also predicted to travel further through the food web through indirect interactions [9-11]. In this review, I will consider how effects of defensive endosymbionts can propagate through an ecosystem beyond the immediate host and the susceptible natural enemy.

There is some debate about how strictly the term ‘trophic cascade’ should be defined, with some authors suggesting it should be limited to effects of predators propagating downward through a food web across more than one trophic level, while others favour a broader definition that encompasses any effect across more than one trophic link in the food web [12]. Here, I will assess the evidence and potential for defensive endosymbionts to have indirect

(non-trophic) effects throughout the food web, including both strictly cascading effects, and other ‘knock-on’ indirect effects (see Figure 1).

Indirect effects of defensive symbionts

(i) effects on the trophic levels below the symbiont host (trophic cascade)

Where a herbivorous insect is protected by a symbiont from a natural enemy, or other potential threat, the pressure exerted on its food plants will increase. In agricultural systems, biological control of herbivorous insects via their natural enemies is one means of protecting crops and reducing reliance on pesticides [13]. Protective symbionts could provide pest insects with a means of overcoming this control [14]. In aphids, a number of bacterial symbionts have been shown in the laboratory to protect against parasitoids [15-17]; these symbionts could allow aphids to escape otherwise effective biological control. Herzog and colleagues [18] conducted cage experiments using a mixed-genotype asexually-reproducing population of peach-potato aphids (*Myzus persicae*), one genotype of which harboured a symbiont, *Regiella insecticola*. Aphids of this genotype were not particularly successful competitors, but nevertheless dominated in the presence of parasitoids, due to their high resistance. Similarly, Oliver and colleagues [19] initiated cage experiments using multiple asexually-reproducing clonal lines of pea aphids (*Acyrtosiphon pisum*) with and without facultative symbionts, and found that the proportion of aphids with a protective symbiont (*Hamiltonella defensa*) increased in the presence of parasitoids, but decreased in their absence. Nevertheless, direct evidence for a cascading negative effect of protective symbionts on insect food plants or prey species remains lacking at present, and a clear priority for future research.

It is important to note that symbionts could have direct as well as indirect consequences for host insect food plants. As well as protecting against parasitoids [17] and fungal pathogens

[20], the bacterial symbiont *R. insecticola* has been shown to improve food plant utilization [21,22]. The symbiont *H. defensa* can infect the whitefly *Bemisia tabaci* [23], although a protective function has not been demonstrated in this host. However, *H. defensa* in whiteflies is associated with increased virus transmission between food plants [24,25]. It will therefore be important in future field studies to distinguish whether any negative observed effects on plants are indeed the cascading consequence of releasing a herbivorous insect from a natural enemy, or the direct result of altering insect–plant interactions [26].

Protective symbionts can also interact directly with plants, and thence the wider community [26]. Some parasitoids can detect the specific volatiles emitted by plants under herbivore attack, in order to locate herbivorous prey. Defensive symbionts in aphids can alter the profile of volatile compounds emitted by their food plants, and thus reduce their attractiveness to parasitoids [27]. Likewise, the symbiotic polydnviruses of some parasitoids have recently been shown to alter volatile emissions from the food plants of prey insects, with consequences for the surrounding insect community [28,29]. While the latter are perhaps not strictly defensive symbionts, being an integral part of the parasitoid attack responsible for subduing the prey immune system, their example illustrates the power of symbionts to alter the insect community via stimulating plant responses. It seems highly likely that further examples will emerge as we examine protective symbiotic associations in their community context.

(ii) effects at the same trophic level as the symbiont host

Releasing an insect from its natural enemies is expected to have negative consequences for any competitors. Sanders and colleagues [30] addressed the potential for defensive symbionts in aphids to suppress competitor aphid species as part of a laboratory-based cage study, in which three aphid species (sharing the same host plant species) were subjected to parasitism

by three species-specialist parasitoids. This system is stable in the absence of symbionts [31]. One of the aphids (*A. pisum*) had a larger population size if it harboured a symbiont (*H. defensa* again) that protected against its species-specialist parasitoid. The relative density of the other two aphid species (*A. fabae* and *Megoura viciae*) consequently decreased. However, absolute population sizes of the competitor aphids were not affected.

The presence of a protective endosymbiont could also impact insects feeding on different resources. Where two insect species share an enemy, an increase in the population of one prey insect may have a detrimental effect on the population of the other prey insect, even where the two do not compete directly. This indirect effect arises because the natural enemy population increases with that of the first insect, thus increasing pressure on the second, an effect termed ‘apparent competition’ [32]. Conversely, one would predict that if the population of a shared enemy were to be depressed by the presence of a protective symbiont in one potential prey species, populations of all other prey species would reap the benefits (‘apparent mutualism’).

A recent laboratory study [33] used an experimental design capable of revealing these kinds of indirect interactions. Two aphid species (*A. fabae* and *M. persicae*), feeding on a common host plant, were subjected to parasitism by a single shared parasitoid (with equivalent parasitoid-free cages). Treatments comprised cages in which neither, one, or both aphid species housed a protective bacterial symbiont (*H. defensa*). Where neither aphid was protected, both aphid species became extinct in the presence of the parasitoid. Where both aphids were protected, both species persisted. Where only one aphid species was protected, both still became extinct, with the large number of wasps produced by the vulnerable aphid presumed to cause damage to the resistant aphid either through repeated oviposition injuries or disturbing aphids to the extent of preventing feeding [33]. This is, in effect, a form of apparent competition, although it is hard to know whether such a phenomenon is likely to be

important in the field, where dispersal of the parasitoids would be likely to mitigate the disturbance effect. Nevertheless, this result shows the potential for protective symbionts to impact indirectly beyond their own host, and should certainly inspire future field work.

(iii) effects at the same trophic level as the natural enemy

Defensive symbionts are frequently highly specific in the enemies they target. Defence by aphid symbionts against parasitoids is limited to narrow taxonomic groups [34,35], while the aphid symbiont *Regiella insecticola* has been shown to provide protection against two different aphid-specific fungal pathogens but not against a third, generalist, fungal infection [36]. Even among those with wide-ranging effects there will be enemies unaffected: for example, *Spiroplasma* in *Drosophila* impacts several distantly-related parasitoid species, but at least two other parasitoids are resistant [37]. The symbiont-mediated suppression of one (or more) natural enemy species could thus present opportunities for any resistant enemies.

Evidence for such an effect has been found in both laboratory and field studies. In North America, pea aphids are attacked predominantly by a single parasitoid, *Aphidius ervi*, and to a much lesser extent by another aphidiine braconid, *Praon pequodorum* [38]. The latter species appears not to be impacted by *H. defensa* [39]. Although *P. pequodorum* is the superior competitor within an aphid (“multiparasitism”), it is less efficient at foraging than *A. ervi* [38], and so is an inferior competitor in cage experiments [40]. However, *P. pequodorum* can nevertheless persist and dominate in cages if prey aphids are infected with *H. defensa* [40]. The outcome of multiparasitism by the parasitoids *Aphelinus abdominalis* and *A. ervi* has likewise been shown to vary depending on whether or not the host aphids possess a strain of *H. defensa* providing asymmetric protection against the two species [41].

Protective symbionts can also alter community composition in the field. The symbiont *H. defensa* provides the black bean aphid, *Aphis fabae*, with protection from its most important parasitoid, *Lysiphlebus fabarum* [42]. In replicated field plots, aphids with *H. defensa* were found to suffer lower rates of parasitism than those without the symbiont [43]. In addition, the parasitoid species composition was different, with a greater diversity and more even species distribution of parasitoids in plots where the aphids carried *H. defensa*, because the dominant parasitoid was absent [43]. In a field study using pea aphids, overall parasitism rates were not found to be affected by symbiont presence, but species composition was strongly affected: the symbiont reduced the parasitism rate for the specific susceptible enemy, and so presumably provided opportunities for other species to exploit [44]. However, it should be noted that where the most common enemies are not susceptible to a particular defensive symbiont, there may be no noticeable impact of that symbiont on the surrounding insect community [45], presumably because symbiont presence does not significantly alter the opportunities available to other enemy species.

Not all indirect effects involving defensive symbionts are advantageous for non-susceptible natural enemies. In the population cage experiments of Sanders and colleagues mentioned above [30], presence of a symbiont increased the resistance of its aphid host to a parasitoid, leading to an increase in population density relative to two competitor aphid species.

Subsequently, the two parasitoids specific to the competitor aphid species became extinct in the cages. The loss of the additional parasitoids is assumed to have resulted from an inability to locate suitable prey, coupled with many individuals wasting time and resources by ovipositing in the most common, but unsuitable, aphid. Whether such an effect is likely to pertain outside a constrained cage setting is uncertain; however, the study demonstrates that defensive symbionts can produce significant community perturbations via indirect effects.

(iv) effects on the trophic levels above the natural enemy

The removal of a natural enemy via a protective symbiont may produce a ‘bottom-up’ knock-on effect on the trophic level above. Once again, the only examples come from aphids. Aphid parasitoids (‘primary parasitoids’) are attacked in turn by two distinct types of secondary parasitoid: ‘mummy’ parasitoids which attack only after the parasitoid has pupated and the host aphid is dead; and ‘true’ hyperparasitoids which attack during the larval stage in the living aphid [32,46]. In the laboratory, the success rate of true hyperparasitoids has been shown to depend on whether the aphids are infected with defensive symbionts. Pea aphids with protective *H. defensa* produced a smaller number of viable primary parasitoids, and so fewer hosts were available for secondary parasitism [47]. The effects are similar in the field. Rothacher and colleagues [43] found that hyperparasitoid numbers were significantly lower in experimental plots where black bean aphids harboured *H. defensa*, although the proportion of parasitized aphids which produced hyperparasitoids was similar.

An extensive observational study examining parasitism rates of the grain aphid *Sitobion avenae* in the field suggests that mummy parasitoids are negatively affected by presence of putatively protective endosymbionts [48]. This study used molecular detection of symbiotic bacteria in field-collected aphids, and found significantly lower rates of secondary parasitism, in particular by mummy parasitoids, in aphids that carried a symbiont. The authors speculate that the effect arose from lower perceived primary parasitoid quality in the presence of symbionts [49].

Conclusions

Protective microbial endosymbionts are now recognized as an important element of insect defence against natural enemies [10,50]. However, the number of experimental or observational studies of cascading and other indirect effects remains rather small. In addition, the vast majority of studies mentioned in this review have been from a single insect family;

very few examples from other insect groups are available. Aphids have many advantages for conducting community ecology studies, but lack of research from other taxonomic groups is undoubtedly limiting, and prevents general conclusions from being established.

Protective symbionts have the potential to cause important indirect effects within the ecosystems of their hosts. As more insect groups are investigated we can hope to improve our picture of the influence of protective endosymbionts, not only on their own host and its direct interactions, but also on the broader ecological communities in which they are ‘hidden players’ [26].

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356 Field survey finding that facultative symbiont presence in aphids correlates with community
357 characteristics, implying potential for effects on parasitoids and hyperparasitoids.

358

359 **Box 1: Glossary**

360 Endosymbiont: a symbiont living internally within the host, whether in the gut, haemolymph
361 or intracellularly

362 Facultative symbiont: a symbiont which is not required for growth or reproduction, and is not
363 universally prevalent within the host species

364 Food web: the complete network of trophic interactions within a community, comprising
365 numerous different food chains

366 Host: an insect which houses a symbiont (prey insects of parasitoids are usually also referred
367 to as hosts, but that terminology has been avoided here to prevent confusion)

368 Hyperparasitoid/secondary parasitoid: a parasitoid which uses another parasitoid to support
369 its development

370 Parasitoid: an organism whose life-cycle is intermediate between predation and parasitism:
371 development requires a single individual of another organism, which is killed in the course of
372 successful development.

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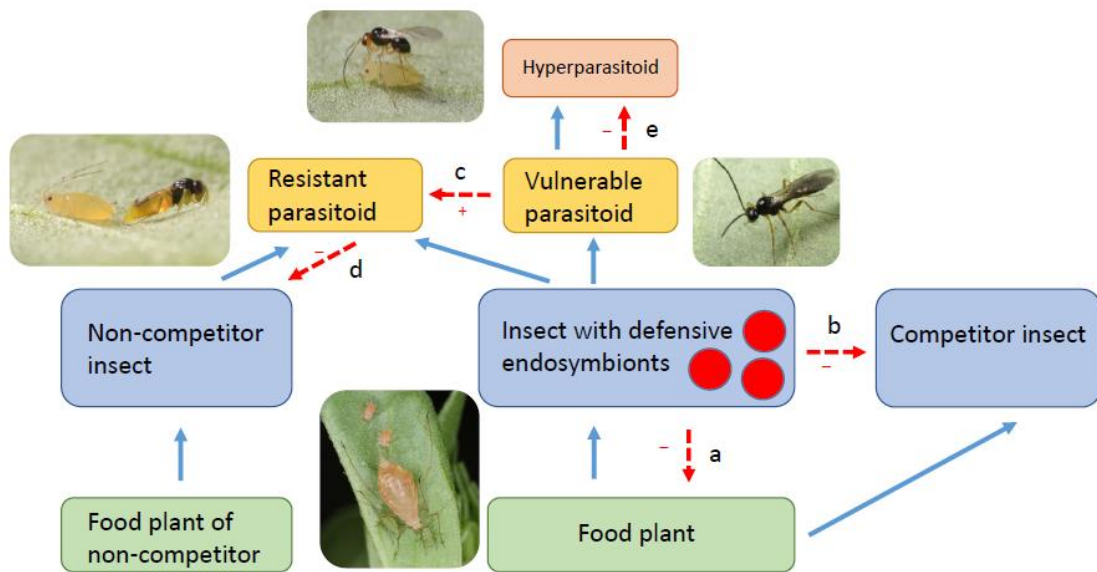


Figure 1. A representative food web incorporating protective endosymbionts. Trophic interactions are shown with blue arrows; illustrative potential effects (positive + and negative –) due to protective symbionts with dashed red arrows: **(a)** negative effects on food plants due to herbivorous insect release from parasitoid; **(b)** negative effects on competitors due to insect release from vulnerable parasitoid; **(c)** positive effects on resistant parasitoid due to reduced competition from vulnerable parasitoid; **(d)** negative effects on non-competitor insect due to increased numbers of shared, resistant parasitoid; **(e)** negative effects on hyperparasitoids due to reduced population of vulnerable parasitoid prey. Photographs clockwise from left: *Aphelinus abdominalis* with *Acyrtosiphon pisum* (Jan Hrček); *Alloxysta victrix* with *A. pisum* (Jan Hrček); *Aphidius ervi* (Ian McLean); *A. pisum* feeding on *Vicia faba* (Kevin Foster).