

## **Down Syndrome Preleukemia and Leukemia**

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## Introduction

For over 160 years, Down syndrome (DS) has been linked to the English physician John Langdon Down. His essay published in 1866 “Observations on an ethnic classification of idiots”, described a group of cognitively impaired individuals with common physical features. DS is now recognized as the most common chromosomal abnormality occurring in 1 in every 800 to 1000 live births. Neonates, children and adults with DS develop multiple medical disorders; hematologic disorders being one of the most well known. It has long been recognized that children with constitutional trisomy 21 (Down syndrome; DS) have a markedly increased risk of Acute Leukemia. The first description of a child with DS who developed Acute Leukemia was published in 1930. Subsequently, a national survey in the United States, provided support to the notion that children with DS had an increased risk of developing Leukemia.<sup>1</sup> Remarkably, children with DS are at an increased risk both of Acute megakaryocyte-erythroid Leukaemia (known as Myeloid Leukemia of Down Syndrome - ML-DS) by 150-fold and of Acute B-lineage Lymphoblastic Leukaemia (B-ALL) by 33-fold compared to children without DS.

In ML-DS it is now clear that the initial event is perturbation of fetal hemopoiesis by Trisomy 21 (T21) itself. This leads to complex defects in fetal hemopoiesis and newborn hematology. In up to 28% of fetuses/newborns with DS, hemopoietic cells acquire mutations in the gene encoding the key megakaryocyte-erythroid transcription factor *GATA1*. Acquisition of *GATA1* mutations can either be clinically silent or result in a clinically important preleukemic fetal/neonatal disorder Transient Abnormal Myelopoiesis (TAM). Most cases of TAM resolve without long-term clinical sequelae but in a proportion of cases with TAM, neonates/young children acquire additional genetic mutations that immortalize the TAM clone and result in frank ML-DS. There are parallel defects in DS fetal B-cell lymphopoiesis due to T21 that most likely results in acquisition of a series of cooperating and transforming mutations in genes encoding key regulators of B-lymphopoiesis (e.g. *JAK2* and *CLRF2*)

Thus, the unique features of DS-associated leukaemias arise because of the crucial role played by Trisomy 21 (T21) that then creates the right cellular and molecular environment for the acquisition of additional genetic mutations that together lead to Acute Leukemia. Thus, DS-associated leukemias represent potentially one of the most tractable human models to understand the biological basis of multistep leukaemogenesis and the impact of aneuploidy on cancer. Below, we highlight some of the recent clinical and biologic advances in these preleukemic and leukemic conditions.

## Key Points

- 1) Down Syndrome children manifest multiple hematologic manifestations ranging from a) transient myeloproliferative disorder (TMD)/transient leukemia(TAM) at birth; b) acute myeloid leukemia (ML-DS) and c) Acute lymphoblastic Leukemia (ALL)
- 2) Underlying primary basis for the varied hematologic manifestations is linked to gene dosage effect of Chr 21 encoded genes
- 3) TMD/TAM and ML-DS are characterized by the presence of truncating mutations in exon 2 of the hematopoietic transcription factor *GATA1*. Spontaneous resolutions is common in TMD/TAM and ML-DS is highly responsive to chemotherapy with resultant high cure rates compared to AML in Non-DS children
- 4) DS-ALL is characterized by the presence of mutations in *JAK2* tyrosine kinase and *IKZF1*. In contrast to high cure rates in ML-DS, the results in DS-ALL are same are inferior to Non DS ALL in part due to the lower frequency of good response ALL subtypes and also the higher systemic toxicity of agents used in DS children.

## Trisomy 21 and human fetal haematopoiesis

*Fetal origin of Trisomy 21-associated leukaemias:* Human T21 itself perturbs second trimester fetal liver haemopoietic stem/progenitor (HSPC) function.<sup>2-4</sup> T21 increases the frequency of immunophenotypic hemopoietic stem cell (HSC) that have a biased erythroid-megakaryocyte primed gene expression profile compared with disomic HSC. Furthermore, multiple HSPC populations show increased megakaryocyte-erythroid output in colony assays. Coupled with this, there is an expansion of megakaryocyte-erythroid progenitors themselves. Consistent with this increased megakaryocyte output, immunohistochemical studies of T21 fetal liver sections show increased megakaryocyte numbers. However, megakaryocyte differentiation may be compromised as fetal liver megakaryocytes are morphologically abnormal. Corroborating data from human T21 embryonic stem cells (hES) and induced pluripotent stem cells (iPS) show increased erythroid and possible megakaryocyte production.<sup>5,6</sup> In addition to increased, but likely perturbed megakaryocyte-erythroid differentiation, there is a severe impairment of B-lymphoid development in DS fetal liver; with a ~10-fold reduction in pre-pro B-cells and B-cell potential of HSC, in tandem with reduced HSC lymphoid gene expression priming.<sup>4</sup>

*Abnormalities of blood counts in newborns with DS:* Fetal liver hemopoietic defects most likely result in multi-lineage blood count changes in newborns with DS. These were initially noted in several small retrospective studies<sup>7-9</sup>, and more recently in a recent large prospective study.<sup>10</sup> Neonates with DS had higher haemoglobin concentrations, increased circulating erythroblasts and abnormal red cell morphology, including macrocytosis, target cells and basophilic stippling. Median platelet counts were also lower than normal in neonates with DS. Thrombocytopenia was common and, although the median mean platelet volume was similar to neonates without DS, platelet morphology was abnormal (giant platelets, circulating megakaryocytes and/or megakaryocyte fragments) in >95% of cases. Interestingly, neonates with DS had higher numbers of granulocytes and monocytes despite the reduction in granulocyte-monocyte progenitors in fetal liver.<sup>4</sup> This may reflect a greater reliance on bone marrow haematopoiesis in late gestation and after birth. The total lymphocyte count (and particularly B-lymphocyte count) was reduced in the DS neonates.

*Molecular basis for perturbed fetal/neonatal haematology due to T21:* This is still largely unclear. The complex HSPC and peripheral defects suggest multiple genes are likely to be involved at distinct fetal stages of haematopoiesis. These genes could include the ~300 protein- and RNA-encoding on chromosome 21 through gene dosage and/or more global impact on disomic gene regulation. At least two approaches have been used to identify genes linked to increased leukaemia susceptibility. First, studies in rare patients and mouse models have tried to narrow down the anatomical region of chromosome 21 responsible for the haemopoietic phenotype. Occasional patients with partial trisomy suggest that leukaemia risk is confined to a 8.5Mb region on chromosome 21; although conclusions here are limited by the very small number of cases of leukemia identified in these patients.<sup>11</sup> Study of murine models trisomic for a variable number of human chromosome 21 genes have provided some insight, but are somewhat limited as none fully recapitulates the human hemopoietic phenotype in fetal and neonatal human DS.<sup>12-16</sup> In summary overexpression of genes *ERG*, *DYRK1A*, *CHAF1B* and *HLCS* have all been implicated in a late onset adult myeloproliferative disorder and in facilitating the transformation to Acute Myeloid Leukemia. Second, analysis of gene expression in primary T21 fetal liver samples and hemopoietic cells derived from human T21 embryonic stem cells or induced pluripotent cells suggest that increased expression of several genes may contribute to deregulated hemopoiesis. These include: *RUNX1*, *BACH1*, *ETS2*, *ERG*, *DYRK1A*, *GABPA* and *SON*. However, careful functional experiments will be central to understanding if altered expression of any gene(s) is causal to the T21 hemopoietic phenotype.

In addition, it is unclear if the T21 phenotype is hemopoietic cell autonomous or is, in part, mediated by cells constituting the hemopoietic microenvironment. Several lines of indirect evidence suggest that fetal liver may provide the specialized microenvironment necessary for instigating and/or maintaining abnormal haematopoiesis in DS. First, as mentioned above, the observation that abnormal hemopoiesis occurs in fetal life suggest a yolk sac/fetal fetal microenvironment is

important. Second, differential production and responsiveness of fetal tissues, including haematopoietic cells, to insulin growth factors (IGFs) is one of the few consistently reported differences between adult and fetal haematopoiesis.<sup>17-19</sup>

### ***Transient Abnormal Myelopoiesis (TAM) – Myeloid Preleukaemia of DS***

*Clinical Findings:* Though TAM is usually seen in newborns with DS, 7-16% of TAM cases have mosaic T21. TAM can also present as hydrops fetalis with hepatosplenomegaly. The current definition of TAM is imprecise. It is defined as the presence of circulating blood blasts in a baby with typical clinical features of TAM who may, or may not, have hematologic abnormalities. Previous studies have used differing clinical and/or hematologic criteria to define TAM<sup>20</sup>. At one end of the spectrum TAM is detected as an incidental finding on review of a blood smear in an otherwise well baby. Often there will be an associated mild leucocytosis and thrombocytopenia. At the other of the spectrum, babies can be extremely sick with bruising, ascites, jaundice, hepatosplenomegaly, respiratory distress associated with pleural effusions and a low cardiac output state associated with a pericardial effusion. In one series 25% of the babies with TAM were referred to an intensive care unit.<sup>21</sup>

*TAM – Laboratory Findings* Laboratory tests (reviewed in<sup>22</sup> can show leucocytosis (20-30% of cases with a mean white cell count of  $20-40 \times 10^9/L$  with occasional cases having a white count of  $>100 \times 10^9/L$ ) though it can be normal on occasion. There is variable thrombocytopenia in ~40% of cases (mean  $80-100 \times 10^9/L$ ) and occasionally severe anaemia (haemoglobin  $<8g/dl$ ). Basophilia and an eosinophilia are often seen. Blasts can have the appearance of megakaryoblasts (with cytoplasmic “blebbing”) but can also be featureless. Flow cytometry of blasts is helpful as they express CD34, CD38, CD117, CD7, CD56, CD33, CD45, the erythroid antigen CD235 and the megakaryocyte antigens CD41, CD42b and CD61. The bone marrow aspirate is not often helpful. The cellularity can be increased, normal or decreased. There is a deranged coagulation profile in 20-25% of cases with disseminated intravascular coagulation (DIC) in ~7-10% of cases. Hepatic dysfunction is usually associated with hyperbilirubinemia, ascites, elevated transaminases and hepatic infiltration with blasts. In more severe cases there is hepatic fibrosis.

*GATA1 mutation, definition of TAM and silent TAM and identification of children at risk of ML-DS:* A diagnostic test in nearly all babies with TAM is the presence of acquired N-terminal truncating mutations<sup>23-27</sup> and, more rarely internal in frame deletions,<sup>28</sup> within exon 2 and, occasionally exon 3, in the gene encoding the megakaryocyte-erythroid transcription factor *GATA1*. *GATA1* mutations are required for TAM. The detection of N-terminal truncating *GATA1* mutations also extends to cases of DS mosaicism. There is an unique association these types of *GATA1* mutation and leukemogenesis in hemopoietic cells with T21. Though rare families and individuals have been described with N-terminal truncating *GATA1* mutations in non-DS individuals there is no documented increased risk of Acute Myeloid Leukemia in these cases. Non-DS patients with N-terminal truncating *GATA1* mutations present with a variety of haematologic phenotypes including multiple cytopenias<sup>29</sup> and Diamond Blackfan Anemia phenotype.<sup>30</sup>

The incidence of TAM has been the subject of debate. Retrospective studies suggest TAM affects ~10% of DS neonates but these studies have used differing clinical and/or hematologic criteria to define TAM, none of which is specific for TAM.<sup>20,21,31</sup> Importantly, no retrospective studies have systematically screened neonates for *GATA1* mutations, a genetic marker specific for TAM and ML-DS. Thus, current definitions of TAM neither specify the percentage of blasts considered abnormal in DS neonates nor the role of *GATA1* mutation analysis in the diagnosis. As a result, asymptomatic TAM may be missed in some neonates as blood counts and smears are often not performed. While in others babies, TAM may be over-diagnosed by relying on non-specific clinical and hematologic features. This possibility is borne out by the only large systematic *GATA1* mutation screen, performed by Sanger sequencing of genomic DNA extracted from dried blood spots, which found a prevalence of *GATA1* mutations in DS neonates of only 3.8%<sup>32</sup>, in contrast to the 5-10% prevalence of TAM diagnosed by clinical and hematologic criteria (see review by<sup>22</sup>).

The perception of the incidence and definition of TAM has been changed by a recent prospective study that systematically documented clinical features, blood counts, blood smears and *GATA1* mutation in a large population-based cohort of newborns with DS.<sup>10</sup> Surprisingly, 195/200 (97.5%) DS neonates had circulating blasts (range: 1-77%). In ~8.5% of cases the blast count was >10%, a *GATA1* mutation was detected by conventional Sanger sequencing and mutation detection techniques (Direct High Pressure Liquid Chromatography). In a further ~20% of babies with blast counts <10%, *GATA1* mutations were detected by the more sensitive next generation sequencing (NGS) mutation detection explaining, in part, the very frequent finding of blasts.<sup>10</sup> No clinical or hematologic features distinguished them from *GATA1* mutation-negative DS neonates or cases of TAM. Thus, *GATA1* mutations are common, occur in ~28% of all neonates with DS but are often unsuspected and detectable only with sensitive methods. We suggest TAM is defined as blasts >10% and a *GATA1* mutation detected by conventional sequencing and/or DHPLC. We suggest the term 'silent TAM' for those with a *GATA1* mutation detectable only by more sensitive sequencing techniques. In our series both TAM and silent TAM can transform to ML-DS. Longer-term follow-up is required to determine rates of transformation. To diagnose silent TAM and TAM, an initial evaluation of blood smears as well as full blood counts, as recommended by the American Academy of Pediatrics,<sup>33</sup> is a useful, immediate screening step to identify DS neonates with "classical" TAM who may require early treatment (especially where *GATA1* analysis is unavailable or delayed). If possible, all DS neonates should also have *GATA1* mutation analysis by Sanger sequencing and DHPLC to quickly identify those with large mutant *GATA1* clones. For DS neonates without mutations by Sanger sequencing or DHPLC, next generation re-sequencing can be used to identify those with small mutant *GATA1* clones. By comprehensively detecting *GATA1* mutations, pediatric hematology follow-up can be limited to those at risk of transformation rather than all babies with blasts (i.e. ~all babies with DS).

*Outcome and management of TAM:* In most babies (80-90%) the condition only requires observation and blood count monitoring as it resolves spontaneously over a 1-3 month period (median time to resolution 47 days<sup>31</sup>). However, a proportion of babies require therapy for clinical symptoms e.g. cardiac failure or DIC. However, it is unclear which infants will benefit from treatment and what the most effective treatment is. Accordingly treatment policies vary considerably between centres. Both the US Pediatric/Children's Oncology Group (POG/COG) and the German I-BFM group have developed treatment guidelines for TAM that can be instituted at the physician's discretion.<sup>21,31</sup>

As TAM blasts are highly sensitive to cytarabine, it is the basis of cytoreductive therapy. Response is generally rapid with disappearance of peripheral blasts within 7 days of treatment. However, in babies with severe liver disease associated with fibrosis the response to chemotherapy is poor. In the POG study 9481, cytosine arabinoside was given either as 10mg/m<sup>2</sup>/dose or 1.2-1.5mg/kg/dose subcutaneously or intravenously by slow injection twice a day for 7 days.<sup>20</sup> In the AML-BFM study, 0.5-1.5mg/kg was administered for 3-12 days<sup>21</sup>. The higher dose of cytarabine (3.33 mg/kg/24 hours given by continuous infusion for 5 days) used in the COG A2971 study is probably not required and was associated with significant toxicity.<sup>31</sup> Despite therapy, 10-20% of babies die of TAM within 3 months with hepatic and renal failure and DIC. These babies often present with a higher white count (>100x10<sup>9</sup>/L), ascites, effusions, coagulopathy and develop hepatic fibrosis.

*Transformation to ML-DS:* Following the clinical resolution of TAM with normalization of blood counts either spontaneously or after treatment with very low-dose cytarabine, up to 30% of these neonates with clinical TAM will subsequently develop ML-DS.<sup>34</sup> In a baby with a history of TAM often the same *GATA1* mutation is detected when the child presents with ML-DS proving that the two disorders are clonally linked.<sup>24,25,27</sup> In ~15-25% cases multiple *GATA1* mutations are detected in both TAM and ML-DS suggesting that multiple *GATA1* mutant clones exist.<sup>27</sup>

*Clinical Features of ML-DS:* ML-DS Children with DS represent approximately 15% of pediatric AML cases.<sup>35</sup> Reports from both the United Kingdom Childhood Cancer Study and the Children's Cancer Group (CCG) identified that the mean age at diagnosis of ML-DS was lower than the age

for children without DS [2.2 years versus 6.7 years and 1.8 versus 7.5 years, respectively] <sup>35,36</sup>. Overall, 95% of ML-DS cases are diagnosed before the age of 4 years.<sup>37</sup> Progression to ML-DS does not occur in children beyond the age of 5 years old.<sup>37</sup>

Progression to ML-DS occurs at a variable tempo. In some children progression is heralded by a period of falling blood counts, especially thrombocytopenia and leucopenia. Cytopenia may be short or prolonged over months prior to formal diagnosis of ML-DS. It is often associated with dysplastic changes in peripheral blood cells. At presentation of ML-DS, children with DS may not appear acutely ill compared to children without DS. This is due, in part, from closer clinical monitoring of these children based on their known increased risk to develop leukemia and early evidence of abnormalities in blood count parameters indicating evidence of potential progression to leukemia in the absence of clinical symptoms. These early signs of myelodysplasia, are characterized by progressive anemia and thrombocytopenia in association with dysplastic erythroid cells and megakaryocytes in the bone marrow.<sup>38</sup> This myelodysplastic phase frequently precedes the development of AML and the spectrum of both myelodysplastic syndrome (MDS) and AML have been known collectively as myeloid leukemia of DS (ML-DS).<sup>38,39</sup> Rarely ML-DS can progress directly from aggressive TAM. In these cases the diagnosis is problematic as it is difficult to distinguish between TAM that is not resolving and development of ML-DS. A bone marrow aspirate and trephine can be helpful to confirm >30% blasts. Often the blast count is lower as the marrow sample on aspirate is haemodilute. In these cases the trephine shows marrow fibrosis echoing the liver fibrosis seen in some cases of TAM. If the marrow is aspirable or if there are circulating blasts, flow cytometry is valuable as blasts express the same cell surface markers as TAM blasts.

*Laboratory Features of ML-DS:* Several other distinctive differences exist in the biological features of ML-DS compared to AML in children without DS. Amongst the 8 different French-American-British (FAB) subtypes of AML, children with DS have a disproportionately high proportion with the acute megakaryocytic/megakaryoblastic (AMKL, M7) phenotype. Zipursky estimated that DS children have a 500-fold increased risk of developing ML-DS compared to children without DS, with some clinical studies identifying the ML-DS phenotype in over 90% of ML-DS cases.<sup>38</sup> Besides the morphologic identification of the ML-DS phenotype, megakaryoblasts are identified by their immunophenotype expression of the platelet-associated membrane antigens (glycoprotein IIb/IIIa) using CD41/61 antibodies and frequently the aberrant expression of the T-cell antigen, CD7, and the thrombospondin receptor, CD36.<sup>40,41</sup> High expression of CD36 marker distinguishes ML-DS from most cases of de novo AMKL and may be a surrogate for the developmental stage of the megakaryoblasts identified by molecular studies.<sup>18,19,41</sup>

The proportion of DS patients who fit the criteria of MDS based on the bone marrow involvement containing less than 30% blasts, was approximately 30% for both the Children's Oncology Group A2971 and CCG2891 studies.<sup>35,42</sup> Structural chromosomal abnormalities detected in ML-DS include trisomy 8, trisomy 11 and trisomy 21 (besides the constitutional trisomy 21); dup(1p), del(6q), del(7p), dup(7q) and del(16q).<sup>43</sup> In contrast, the classic cytogenetic abnormalities seen in AML cases in children without DS including *AML-ETO* t(8;21); *PML-RARA* t(15;17); *CBFB-MYH11* inv(16), *MLL* 11q23 rearrangements are not seen in ML-DS. Furthermore, neonates and children with DS developing ML-DS usually do not acquire the specific non-DS childhood AMKL cytogenetic abnormality *RBM15-MK11* t(1;22)<sup>44</sup> or the cryptic inversion of chromosome 16 that produces the CBFA2T3-GLIS2 fusion gene.<sup>45,46</sup>

*Molecular abnormalities in ML-DS:* Whole genome/exome sequencing identified that the mean number of somatic mutations in TAM cases (with *GATA1* mutations in all cases) was 1.5, while the number of mutations was significantly higher in ML-DS cases than in TAM.<sup>47,48</sup> Acquired mutations were identified in a genes encoding components of the cohesin complex (*RAD21*, *STAG2*, *NIPBL*, *SMC3*, *SMC1A*); chromatin regulators (*EZH2*, *SUZ12*, *ASXL1*, *DNMT3A*); cytokine signaling pathway regulators (*JAK1*, *JAK3*, *MPL*, *KRAS*, *NRAS*, *PTPN11*, *SH2B3*) and other genes that have also been previously shown to be mutated in leukemia (*TP53*, *ETV6*,

*SRSF2*). These observations indicate that TAM is caused by a *GATA1* mutation together with trisomy 21 and progresses to ML-DS due to the acquisition of additional mutations.<sup>48</sup>

### **Therapy of ML-DS**

Although the chemotherapy agents used in the treatment of ML-DS are the same as for children without DS, current treatment strategies differ significantly between the DS and non-DS patient groups and in particular, balancing curative therapy against the risk of treatment-associated morbidity and mortality for children with DS. The Pediatric Oncology Group 8498 trial was the first to identify that ML-DS had very high cure rates when children with DS were treated with equivalent protocols as for children without DS.<sup>49</sup> Previous to this report, less than optimal leukemia therapy for children with DS appeared to be a significant factor in their poor survival rates, due to a perception that DS children would not tolerate contemporary AML therapies. Subsequent studies from CCG, POG, Medical Research Council, Nordic Society of Pediatric/Hematology Oncology and the Berlin-Frankfurt-Munster (BFM) cooperative groups confirmed the high cure rates for ML-DS with overall event-free survival rates of approximately 80%.<sup>50-54</sup> The utilization of high-dose cytosine arabinoside (araC)-based therapy for ML-DS patients, appeared to have contributed to the improved survival rates.<sup>55</sup>

The time-intensive strategy for treating pediatric AML (without evidence of full marrow recovery from neutropenia and thrombocytopenia), was found to be too toxic for ML-DS patients as highlighted by the CCG 2861/2891 studies, in which there was a 32% treatment-associated mortality rate for patients treated on the intensive-timed treatment arm.<sup>52</sup> The MRC AM10/12 studies reported that children with DS experienced a 27% treatment-related mortality rate who received equivalent drug dosing as for children without DS.<sup>54</sup> Cardiotoxicity was a significant treatment-related complication for children with DS treated on POG 9421 (which used a high total cumulative anthracycline dose); 21% of children developed late onset congestive heart failure including several treatment-associated (and non-leukemic) deaths.<sup>56</sup>

The CCG-2891 study found that ML-DS patients experience similar toxicities compared to non-DS patients when a decreased dose-intensity regimen was administered.<sup>57</sup> This has also led to the design of DS-specific AML protocols including COG A2971<sup>42</sup> and AAML0431.

In order to reduce therapy-associated toxicity, other groups have reported the use of either intermediate dose or very low-dose araC. Studies from Japan used an intermediate dose araC-based regimen [5 cycles of araC 100 mg/m<sup>2</sup>/day x 7 days with an 83% EFS rate]<sup>58</sup> Canadian studies have utilized repetitive courses of very low-dose araC (10 mg/m<sup>2</sup>/dose), with several non-responsive patients being able to be salvaged with intensive AML therapies.<sup>59</sup> A study of ML-DS patients treated in France from 1990-2003, however reported that low-dose chemotherapy regimens including araC were inferior compared to standard-dose chemotherapy regimens.<sup>60</sup>

The current COG AAML0431 trial is investigating the clinical significance of MRD testing for ML-DS, which may identify patients who could be treated with reduced intensity therapy.

### **Prognostic Factors**

Several prognostic factors have been reported for ML-DS patients, which are associated with outcome. The BFM group identified that a prior diagnosis of TMD was associated with superior EFS rates compared to patients without a TMD history,<sup>21</sup> though the results of the COG studies reported equivalent outcomes.<sup>42,57</sup> ML-DS patients older than 4 years of age (who comprise ~5% of the patient group) have a poor outcome; for the CCG-2891 study, EFS rates were only 33%.<sup>57</sup> This may indicate differences in leukemia biology amongst DS patients including a low detection rate of *GATA1* mutations in blast cells amongst the older patients.<sup>61</sup>

An international retrospective analysis of 451 ML-DS patients had an overall survival rate of 79% and identified several factors: i) patients with a normal karyotypes had an overall relapse rate of 21% compared to 9% with patients with an aberrant karyotype, ii) patients with a white blood cell count  $\geq 20,000/\mu\text{L}$  and age  $>3$  years were independent predictors for poor EFS. ML-DS patients with monosomy 7 had a moderately worse outcome with EFS rates of 69%, though still superior than monosomy 7 AML in children without DS.<sup>62</sup>

Although the ML-DS group overall, has an excellent prognosis, a minority of patients with either refractory or relapsed disease have a poor prognosis. DS patients with relapsed leukemia treated on the POG 9421 and CCG-2891 AML studies had an overall survival (OS) rate of only 12%.<sup>63</sup> Twenty six Japanese DS AML patients (including 22 with ML-DS) with relapsed or refractory disease had an OS rate of only 25.9%.<sup>64</sup> In another study, ML-DS patients only had a 19% probability of survival following stem cell transplant (SCT). These studies all highlight that DS patients with refractory/relapsed leukemia have very chemotherapy resistant disease. A recent Japanese study reported an 80% EFS rate for ML-DS using a lower-intensity conditioning regimen preceding SCT for ML-DS<sup>65</sup> though the inclusion of patients in first remission who may have already been cured by frontline chemotherapy, may have biased the very favorable results.

Several new therapeutic approaches may offer promising treatments for relapsed ML-DS cases: i) Preventing cell cycle checkpoint activation by inhibiting the upstream kinase weel with the inhibitor MK-1775 in combination with araC. MK-1775 was able to synergistically enhance araC cytotoxic effects in ML-DS cell lines and *ex vivo* patient samples by abrogation of an intra-S phase DNA damage checkpoint and enhancement of araC-induced DNA damage.<sup>66</sup> ii) Inhibition of aurora A kinase with MLN9237 was able to induce polyploidization and differentiation of non-DS ML-DS cells and a ML-DS cell line.<sup>67</sup> iii) Histone deacetylase inhibitors inducing apoptosis by suppressing autophagy.<sup>68</sup>

## **ACUTE LYMPHOBLASTIC LEUKEMIA IN DOWN SYNDROME:**

### **INCIDENCE:**

Studies suggest a 10-20 fold increased risk of leukemia in children with Down syndrome (DS), with acute lymphoblastic leukemia (ALL) occurring in 1 in 300 children with DS vs. 1 in 3500 children without DS.<sup>69,70</sup> Hasle et al described the incidence of malignancy in 2,814 individuals with DS registered in the Danish Cytogenetic Registry during the period 1968-1995 and reported that leukemia made up 60% of the malignant diseases overall, with the majority occurring prior to age 15 years.<sup>71</sup> In this registry study of individuals with DS, no cases of leukemia were seen after the age of 29 years.

### **CLINICAL FEATURES, THERAPY AND OUTCOME IN CHILDREN WITH DS ALL:**

Children with DS and ALL do have a few unique clinical characteristics as compared to those without DS as well as more therapy related challenges. The age distribution at presentation of the leukemia is similar in children with and without DS, with the majority of children presenting at age > 1 year. ALL is very rarely diagnosed in DS children who are less than one year of age whereas approximately 2.6% of non-DS ALL cases occur in infants less than one year of age.<sup>72-76</sup> Most studies also report no significant difference in initial white blood cell (WBC) count, racial or ethnic differences in the population or assignment to National Cancer Institute (NCI) risk groups<sup>72,73,75-79</sup>. Although not uniformly reported, the incidence of a mediastinal mass or the presence of central nervous system (CNS) disease at diagnosis is similar between DS ALL and non-DS ALL<sup>72,73,78</sup>. However, there are clear immunophenotypic differences between DS and non-DS ALL. T-cell phenotype (T-ALL) and mature B-cell phenotype are very rare in patients with DS but occur at higher incidences in non-DS leukemia.<sup>75</sup> A recent review of 708 DS ALL patients found only 5 DS patients with T-ALL, compared to the expected 10-15% in non-DS ALL.<sup>80</sup>

Induction therapy for DS ALL and non-DS ALL is now essentially the same with only minimal differences in DS ALL to enhance safety in the majority of treatment protocols. In most modern therapeutic trials, clinical remission (CR) at the end of induction is found to be similar between DS ALL and non-DS ALL.<sup>72,73,79</sup> The rates of CR at end induction are reported to range from 96%-99% and were not statistically significant from the CR rates of non-DS ALL patients in these studies.<sup>75,77,81</sup> However, a large retrospective study evaluated 653 DS ALL patients and found induction failures to occur in 3% of DS ALL vs 1% of non-DS ALL ( $p < 0.001$ ).<sup>80</sup> Increased



mortality, primarily due to infection, during remission induction in DS ALL has been well described and contributes to induction failure.<sup>80,82,83</sup>

Additional measures about the depth of remission or rapidity of response to induction therapy are used to augment the risk classification and prognosis. There is limited data that suggests DS ALL responses in small studies are equivalent to those with non-DS ALL. Prednisone response (prednisone response = number of lymphoblasts in blood after a 7-day exposure to prednisone with a good response being  $<1000/\mu$  blood blasts) was not different between DS ALL and non-DS ALL in the Berlin Frankfurt Munster protocols (BFM) with prednisone good response in 90.7% and 98.1%, respectively.<sup>73</sup> Levels of bone marrow minimal residual disease (MRD) as measured by flow cytometry (measured from  $<0.1\%$  to  $>1\%$ ) did not show a difference between DS-ALL vs. non-DS ALL at day 29 of induction in the most recent Children's Oncology Group (COG) therapeutic trials.<sup>84</sup> In addition, the corresponding marrow response at day 8 and day 15 was similar between DS ALL and non-DS ALL in this study.

Currently, the majority of ALL cooperative group protocols use the same or very similar therapy for DS ALL as for their patients without DS and have in some cases successfully increased the intensity of therapy.<sup>72,73,77,85</sup> (L. Silverman, personal communication). Frequently, however, there may be additional modifications or supportive care guidelines for DS ALL vs non DS ALL. COG and Medical Research Council United Kingdom Childhood Acute Lymphoblastic Leukaemia (MRC UKALL) trials now incorporate discontinuous dexamethasone during delayed intensification. Additionally, the COG therapy trials added leucovorin rescue after intrathecal administration of methotrexate.<sup>82,83</sup> Anthracycline exposure in DS ALL is approached differently amongst the groups. Two groups are decreasing the anthracycline exposure to DS ALL high risk patients by only giving induction daunomycin to those patients with a slow response.<sup>86</sup> However, St. Jude Research Hospital ALL therapies incorporate anthracycline along with steroids in induction with no excess toxicity or mortality in the DS ALL population (M. Relling, personal communication). The balance in modern therapy is to continue to improve the survival of this special group of patients while decreasing the severe adverse effects of therapy in DS ALL. One of the key agents used in ALL therapy is methotrexate (MTX). It is well known that DS ALL patients are more susceptible to MTX-induced side effects than non-DS ALL patients. Multiple studies have shown that patients with DS ALL suffer significantly higher incidences of gastrointestinal toxicity primarily as well as more hematologic toxicity.<sup>80,87-90</sup> For this reason, infusional mtx is often started at a more modest dose for DS patients, increasing if tolerated. Treatment related mortality (TRM) is higher for patients with DS ALL as compared to non-DS ALL. In the large retrospective study, the TRM for DS patients was 7.7% as compared to 2.3% in non-DS patients.<sup>80</sup> The majority of the TRM in DS ALL is due to infection/sepsis. In fact, the infection related mortality (IRM) can be as high as 18.6% vs 1.9% in non-DS ALL.<sup>82</sup> Additionally, IRM in non-DS ALL occurs primarily during induction but for DS ALL, this risk is spread throughout all phases of therapy.<sup>80,82,91</sup> When caring for DS ALL patients, careful observation and adherence to supportive care measures is quite important. However, further studies are needed to assess the value of additional supportive care such as prophylactic antibiotics and immunoglobulin use.

The prognosis of children with DS ALL is worse than for those with non-DS ALL in general<sup>76,80,91</sup>. The Ponte di Legno study group reported a lower event free survival (EFS) of 64% vs 81% at 8 years for DS ALL vs non-DS ALL and consequently a lower overall survival (OS) of 74% vs 89%, respectively.<sup>80</sup> Similar results have also been shown in smaller studies. COG reported an inferior EFS and OS for DS patients enrolled on the AALL0232, the most recently completed HR trial, largely due to increased toxic mortality rates as discussed above. The EFS for the DS patients on the NCI standard risk trial, AALL0331, were similar to non-DS patients but the OS was significantly lower, 89% vs 96%, respectively.<sup>91</sup>

Despite a high rate of TRM, relapse is still a main cause of treatment failure in this group of patients.<sup>80</sup> However, enrollment on relapse treatment protocols for this group of patients has not been consistent so there is limited data about the outcome following relapse. Relapses in DS ALL

patients tend to occur later when compared to non-DS ALL.<sup>80,92</sup> The BFM106529 relapsed trials (ALL REZ BFM) retrospectively found that a significantly higher proportion of children with DS has fatal treatment-related adverse events during induction therapy (22%) and subsequent treatment phases (10%) as compared to children without DS when treated for relapse (3% and 6%, respectively). In addition, hematopoietic stem cell transplant was used less frequently in DS ALL after relapse than in non-DS ALL.<sup>92</sup> As the numbers are small, further understanding of tolerance of therapy and transplant in this population is important for making therapeutic decisions for relapse.

#### **BIOLOGIC FEATURES OF DS ALL:**

The biologic features of DS with ALL are distinctly different than ALL in children without DS. Additionally, DS ALL does not have an overriding lesion responsible for the transformation as does DS Acute Myeloid Leukemia. The Ponte di Legno study group reported *BCR-ABL1* fusion in 0.7% compared to 2.4% non-DS ALL and *MLL* rearrangements of <1% in DS ALL vs 1.2% of non-DS ALL.<sup>80</sup> These findings are consistent with other studies as well which have confirmed this lower incidence of hypodiploidy, t(9;22) and 11q23 translocations in DS ALL as compared to non-DS ALL.<sup>43,78,84</sup>

Different frequencies of favorable subtypes in DS ALL may also be responsible for some of the inferior survival seen in DS ALL. COG 9900 series, a series of therapeutic trials with a uniform classification strategy, showed that the incidence of the favorable cytogenetic lesions are different between DS ALL and non-DS ALL.<sup>84</sup> The incidence of ETV6-RUNX1 fusion transcripts were found in 2.5% of DS ALL vs. 24% of non-DS ALL. Similarly, trisomy of both chromosomes 4 and 10 occurred in 7.7% of DS ALL versus 23.9% of non-DS ALL. The proportion of high hyperdiploidy as measured by a DNA index of greater than 1.16 was significantly different between the two groups with 5% in DS ALL versus 24.6% in non-DS ALL.

Additional genetic abnormalities, *JAK* mutations, Cytokine receptor-like factor 2 (*CRLF2*) expression and *IKZF1* mutations, have been recognized in pediatric ALL. The aberrant expression of *CRLF2* is detected in 50% of DS ALL vs 5% non-DS ALL.<sup>93</sup> The majority of the *CRLF2* alterations were observed in cases lacking translocations associated with ALL, suggesting that *CRLF2* alteration is a potent leukemogenic event in the setting of trisomy 21.<sup>93,94</sup> Although the overexpression of *CRLF2* is frequently found in DS ALL, it has not been proven to be associated with a therapeutic outcome which is in contrast to non-DS ALL where *CRLF2* overexpression has been associated with a poor EFS.<sup>94,95</sup> *JAK2* activating mutations have recently been described in approximately 20% of DS-ALL cases and approximately 10% of high risk non-DS ALL.<sup>96,97</sup> DS ALL patients with *JAK2* mutations have a similar outcome to those without the mutation.<sup>80,98</sup> The identification of *JAK2* mutations in DS ALL leads to the possibility of targeted therapy with a *JAK2* inhibitor. Early trials of these drugs are occurring in myeloproliferative disorders and may then lead to additional therapeutic options for DS ALL with potentially less toxicity. Recently, *IKZF1* gene deletions/alterations have been shown to be associated with a very poor outcome in B-cell-progenitor ALL. *IKZF1* deletions have been reported in ~30% of DS ALL patients. Similar to those with non-DS ALL, these mutations are associated with a poor prognosis, EFS 45% with DS ALL and *IKZF1* mutation vs 95% for DS ALL without the mutation.<sup>99</sup> Larger trials as we move forward will be needed to confirm the prognostic importance of these more newly described genetic alterations in DS ALL.

#### **Conclusion:**

Children with DS and ALL have unique biologic, cytogenetic and intrinsic factors which affect their treatment and outcome. As their EFS and OS are poorer than non-DS ALL, it is important to continue to study the biology of their leukemia, enroll them on therapeutic trials, including relapse trials, investigate new agents that could potentially improve their leukemia free survival without additional toxicity and strive to maximize the supportive care these patients need.

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