

1 **Papain-like Cys proteases as hubs in plant immunity**

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26 **Summary**

27 Plants deploy a sophisticated immune system to cope with different microbial pathogens and  
28 other invaders. Recent research provides an increasing body of evidence for papain-like  
29 cysteine proteases (PLCPs) being central hubs in plant immunity. PLCPs are required for full  
30 resistance of plants to various pathogens. At the same time, PLCPs are targeted by secreted  
31 pathogen effectors to suppress immune responses. Consequently, they are subject to co-  
32 evolutionary host-pathogen arms race. When activated, PLCPs induce a broad spectrum of  
33 defense responses including plant cell death. While the important role of PLCPs in plant  
34 immunity has become more evident, it remains largely elusive how these enzymes are activated  
35 and which signaling pathways are triggered to orchestrate different downstream responses.

36

37 **Key words:** cysteine proteases, PLCPs, apoplast, plant immune signaling, pathogen effectors

38

39 **Introduction**

40 Plants are continuously challenged by microbes and have developed different mechanisms to  
41 defeat pathogens and other invaders. The first contact with microbes usually takes place in  
42 extracellular compartments, namely on the epidermal surface and in the apoplast, including cell  
43 walls. Processes in this extracellular battleground determine the primary outcome in the majority  
44 of plant-microbe interactions. After this first contact, different signaling pathways in the cell are  
45 activated to orchestrate downstream responses such as modulation of various enzymatic  
46 activities. In this article, we will emphasize the role of papain-like cysteine proteases (PLCPs),  
47 which control key processes at different levels of plant defense. PLCPs are prominent enzymes  
48 in the plant apoplast and belong to MEROPS (<https://merops.sanger.ac.uk/>) protease family

49 C1A of clan CA, of which papain is the type member. Animal PLCPs are often called cathepsins  
50 whereas PLCPs in plants fall into nine subfamilies exhibiting a distinctive domain structure  
51 (Figure 1, Richau et al., 2011). PLCPs are produced as pre-proproteases, containing an N-  
52 terminal signal peptide for secretion and an auto-inhibitory pro-domain that needs to be  
53 removed for protein activation, releasing a mature 25-35 kDa active protease. The protease  
54 domain contains the catalytic triad formed by the amino acids Cys, His and Asn. Some PLCPs  
55 also carry a C-terminal granulin domain with unknown function. Based on recent research, we  
56 present here five observations demonstrating that PLCPs are essential and central hubs of plant  
57 immunity:

58

### 59 1. Depletion of PLCPs hampers plant immunity

60 Many cases of protease depletion (e.g. by knock-out or RNAi) indicate important roles for  
61 PLCPs in plant immunity. Arabidopsis null mutants for the PLCP RD21 are more susceptible to  
62 the necrotrophic fungal pathogen *Botrytis cinerea* (Shindo et al., 2012), although these lines  
63 were more resistant for the same pathogen in detached leaf assays (Lampl et al., 2013).  
64 Silencing of *Nicotiana benthamiana* C14 leads to increased susceptibility for the oomycete  
65 pathogen *Phytophthora infestans* (Kaschani et al. 2010; Bozkurt et al., 2011). Likewise, tomato  
66 *rcr3* null mutants have lost resistance based on the *Cf-2* resistance gene against both the  
67 fungus *Cladosporium fulvum* and the nematode *Globodera rostochiensis* (Dixon et al., 2000;  
68 Lozano-Torres et al., 2012). The *rcr3* null mutants are also more susceptible for *P. infestans*  
69 (Song et al., 2009), even in the absence of *Cf-2* (Ilyas et al., 2015). Antisense lines depleted for  
70 the Pip1 protease of tomato are hyper-susceptible to *C. fulvum*, *Pseudomonas syringae* and *P.*  
71 *infestans* (Ilyas et al., 2015). Interestingly, silencing *NbPip1* in *N. benthamiana* blocks Avr4/Cf-4  
72 induced hypersensitive response (HR) (Xu et al., 2011) whereas silencing *NbCYP1* or *NbCYP2*  
73 in *N. benthamiana* increases susceptibility to the necrotrophic fungal pathogen *Colletotrichum*  
74 *destructivum* (Hao et al., 2006). Furthermore, Arabidopsis *rd19* null mutants are impaired in  
75 resistance to the bacterial pathogen *Ralstonia solanacearum* (Bernoux et al., 2008). Resistance  
76 to herbivore attack is also tightly linked to protease expression. Most prominent example is  
77 papain from Papaya, which is present in wound-exuding latex and is activated during wounding  
78 (El Moussaoui et al., 2001; Azarkan et al., 2006). Papain is also responsible for the strong  
79 toxicity of papaya leaves to insects (Konno et al., 2004). In maize leaves, Mir1, ~~a PLCP~~  
80 ~~containing a granulin domain~~, accumulates at wounding sites and confers enhanced resistance  
81 against caterpillars by degrading the peritrophic matrix of the insect gut (Pechan et al., 2000;

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82 2002). Accumulation of Mir1 also enhances resistance to root-feeding herbivores (Gill et al.,  
83 2011) and Mir1 itself acts as an ethylene-dependent, long-distance transport signal that confers  
84 resistance to corn leaf aphids (Louis et al., 2015). In summary, PLCPs are found to be required  
85 for plant defense to various kinds of biotic stresses in unrelated species.

86

## 87 **2. PLCPs are common targets of pathogen effectors**

88 PLCPs representing different subfamilies are targeted by a variety of unrelated pathogen-  
89 derived effectors (Table 1). C14 of tomato and potato is inhibited by the cystatin-like effectors  
90 EpiC1 and EpiC2B, which are secreted by *P. infestans* (Kaschani et al., 2010). The C14  
91 protease of tomato is also targeted by the *P. infestans* effector AvrB1b2, which prevents C14  
92 secretion into the apoplast presumably by blocking its function in defense (Bozkurt et al., 2011).  
93 Closely related to C14 are maize proteases CP1A and CP1B, which are inhibited by the Pit2  
94 effector from the fungal pathogen *Ustilago maydis* (Müller et al., 2013). Pit2 also suppresses the  
95 activity of maize proteases XCP2 and CP2, respectively (Müller et al., 2013). Likewise, tomato  
96 CYP1, is targeted and inhibited by the RNA-silencing suppressor V2 from the tomato yellow leaf  
97 curl geminivirus (Bar-Ziv et al., 2012; 2015). A striking example for a PLCP being targeted by  
98 unrelated plant pathogens is tomato Rcr3. At first, it was found to be required for fungal  
99 resistance (Krüger et al., 2002). The fungal pathogen *C. fulvum* secretes the effector Avr2,  
100 which inhibits Rcr3 (Rooney et al., 2005). In addition, Rcr3 is inhibited by EpiC1 and EpiC2B  
101 from *P. infestans* (Song et al., 2009) as well as by Gr-VAP1, an allergen-like effector secreted  
102 by the nematode *G. rostochiensis* (Lozano-Torres et al., 2012). Notably, Avr2, EpiC1/2B and  
103 Gr-VAP1, although all inhibiting Rcr3, are unrelated proteins. A PLCP closely related to Rcr3 is  
104 tomato Pip1, which is also inhibited by EpiC2B (Tian et al., 2007) and Avr2 (Shabab et al.,  
105 2008). Another example is Arabidopsis RD19, which is targeted and miss located to the host  
106 cell nucleus by the bacterial type III effector PopP2 from *R. solanacearum* (Bernoux et al.,  
107 2008). In summary, a growing body of literature demonstrates that evolutionary unrelated plant  
108 pathogens including fungi, oomycete, nematodes, bacteria and viruses actively interfere with the  
109 activity and subcellular location of plant PLCPs.

110

## 111 **3. PLCPs induce defense responses and cell death**

112 One of the first indications that apoplastic cysteine proteases may act in immune-signalling was  
113 the finding that E-64, a well-known inhibitor of cysteine proteases, can delay hypersensitive  
114 response in the cowpea – cowpea rust fungus system (D’Silva et al., 1998). Later on, it was  
115 discovered that *N. benthamiana* Cathepsin B (*NbCathB*) is required for the hypersensitive

116 response and disease resistance induced by non-host bacterial pathogens (Gilroy et al., 2007;  
117 McLellan et al., 2009). Furthermore, Arabidopsis Cathepsin B (*ctb*) mutants show reduced  
118 programmed cell death (PCD) induced by abiotic stresses (Ge et al., 2016). Arabidopsis RD21  
119 has been identified as a “pro-death” signal activated during elicitation of cell death. The serpin  
120 protease inhibitor, AtSerp1, exhibits a pro-survival function by covalently inhibiting RD21 and  
121 causing a change in compartmentalization (Lampl et al., 2013). Gene expression analysis on  
122 barley have shown upregulation of PLCPs during senescence, a form of PCD, for almost all  
123 members of different subfamilies (Diaz-Mendoza et al., 2014) but a role during disease  
124 resistance still remains to be elucidated.

125 Besides the contribution of PLCPs in PCD, direct evidence for the importance of  
126 apoplastic cysteine proteases during defense responses came from the finding that salicylic  
127 acid (SA) treatment activates PLCPs in maize, and that PLCPs themselves activate SA-related  
128 gene expression (Van der Linde et al., 2012a, b). Remarkably, inhibition of maize apoplastic  
129 cysteine proteases by the endogenous cystatin CC9 is essential to suppress host immunity  
130 during infection with the biotrophic pathogen *U. maydis* (van der Linde et al., 2012a, b).  
131 Furthermore, Arabidopsis PIRIN2, a member of the cupin protein subfamily, stabilizes the  
132 protease XCP2 and increases susceptibility to the vascular pathogen *R. solanacearum* (Zhang  
133 et al., 2014). Recently, a 9-lipoxygenase-derived cyclopentanone in maize, 10-oxo-11-  
134 phytoenoic acid (10-OPEA), was found to act as a potent cell death signal in multiple organs  
135 present during biotic stresses and developmental conditions (Christensen et al., 2015; 2016).  
136 The cell death inducing activity of 10-OPEA was characterized by ion leakage and apoptotic-like  
137 DNA fragmentation in maize treated leaves (Christensen et al., 2015). Interestingly, the cell-  
138 death inducing activity of 10-OPEA requires induction of PLCPs. Consequently, maize plants  
139 overexpressing the cystatin CC9 were partially insensitive to 10-OPEA providing further  
140 evidence for the importance of PLCPs during immunity.

141

#### 142 **4. PLCPs can act as co-receptors**

143 Tomato Rcr3 is required for the function of the receptor-like protein Cf-2, which confers  
144 resistance against *C. fulvum* secreting Avr2 (Krüger et al., 2002). Avr2 binds to and inhibits Rcr3  
145 and this complex is sensed by Cf-2, consistent with the Guard and Decoy Models (Rooney et  
146 al., 2005; Van der Hoorn & Kamoun, 2008). Remarkably, Rcr3 is also required for the  
147 perception of nematode effector Gr-VAP1, which also inhibits Rcr3 and triggers immune  
148 responses in the presence of Cf-2 (Lozano-Torres et al., 2012). Interestingly, VAP proteins from  
149 different nematodes can suppress PCD mediated by surface-localized immune receptors in

150 Arabidopsis (Lozano – Torres et al., 2014). The molecular mechanism of Avr2/Gr-VAP1  
151 perception is not yet fully understood, but one emerging hypothesis is that Rcr3 is constitutively  
152 bound to Cf-2 protein, acting as a co-receptor to perceive the presence of protease inhibitors  
153 (Ilyas et al., 2015). Rcr3 of cultivated tomato (Rcr3<sup>lyc</sup>) triggers auto-necrosis in combination with  
154 Cf-2, which originates from *S. pimpinellifolium* (Krüger et al., 2002). However, the allelic Rcr3<sup>pim</sup>  
155 protein suppress this necrotic response in the Rcr3<sup>pim</sup>/Rcr3<sup>lyc</sup> hybrid, suggesting that Rcr3<sup>pim</sup>  
156 protein can outcompete Rcr3<sup>lyc</sup> and consistent with the preexisting co-receptor model (Ilyas et  
157 al., 2015). These data illustrate that PLCPs can operate as co-receptors, sensing perturbations  
158 of receptor proteins thus activating defense responses.

159

### 160 **5. Natural variation in PLCPs is caused by arms races and host adaptation**

161 Antagonistic protease-inhibitor interactions cause an arms-race that has left its traces in the  
162 natural variation of proteases. This was first observed for Rcr3 and Pip1 (Shabab et al., 2008).  
163 Natural variation of Rcr3 in wild tomato species resides on the surface of Rcr3, surrounding the  
164 active site, and likely represents the footprints of pathogen-derived inhibitors. Indeed, the variant  
165 N194D residue in Rcr3 locates close the catalytic Cys and reduces its interaction with Avr2  
166 (Shabab et al., 2008). Interestingly, N194D is also the only variant residue that exclusively  
167 prevents inhibition by Avr2 in natural Rcr3 variants (Hörger et al., 2012). The N194D mutation  
168 also abolished HR-inducing activity in plants carrying *Cf-2* resistance genes. Other variant  
169 residues affect the strength of the HR response, presumably because of the interaction of Rcr3  
170 with Cf-2 (Hörger et al., 2012). Natural variation within Rcr3 also affects its interaction with Gr-  
171 VAP1 of the nematode *G. rostochiensis*, which interacts with Rcr3<sup>pim</sup> but not Rcr3<sup>lyc</sup>, even  
172 though these proteases only differ in a few amino acids (Lozano-Torres et al., 2012). The other  
173 apoplastic proteases of tomato do not accumulate many variant residues on the surface,  
174 consistent with not being targeted by pathogen-derived inhibitors (Shabab et al., 2008). This  
175 includes C14 of wild tomato, which is inhibited by cystatin-like EpiC of *P. infestans*. However, *P.*  
176 *infestans* has co-evolved with wild potato, and C14 in wild potato carries variant residues at its  
177 surface, illustrating that traces of arms races can only be found in co-evolving host-pathogen  
178 interactions (Kaschani et al., 2012). Interestingly, the cystatin-like *PmEpiC* inhibitor of *P.*  
179 *mirabilis*, which has only recently jumped onto a different host plant, carries an adaptation that  
180 facilitates inhibition of the proteases of the new host, but causes reduced affinity to the  
181 proteases of the presumed former host (Dong et al., 2014). Taken together, PLCP inhibitor  
182 arms-races strengthen the notion that PLCPs are an important part of extracellular defense.

183

184 **Conclusion: How do PLCPs activate immunity?**

185 In light of the increasing evidence of PLCPs being crucial components of plant immunity, one of  
186 the most intriguing questions is how their activity actually results in defense stimulation (Figure  
187 2). Interestingly, their capability to induce immune responses is not restricted to plants, which  
188 may suggest activation of highly conserved pathways in the innate immune system. Known  
189 plant-derived allergens are cysteine proteases, such as the ragweed (*Ambrosia artemisiifolia*)  
190 allergen Amba11 (Bouley et al., 2015), papain or bromelain (Stewart and Thompson, 1996). For  
191 Papain it has been found that its proteolytic activity is required for triggering immune responses  
192 including MAPK signaling in human cells (Rosenstein et al., 2014). Besides the well-known  
193 mechanism that proteases break down the barrier in lungs against allergens, a recently  
194 discovered mechanism of PLCPs to induce immune responses is the activation of protease-  
195 activated G-protein coupled receptors (Reddy et al., 2015). Interestingly, not only endogenous  
196 Cathepsin S, but also the plant-derived proteases papain and mucunin (from tropical bean)  
197 were found to induce protease-activated receptors in mammals (Reddy et al., 2015). Controlled  
198 proteolysis of receptor proteins, also referred as ectodomain shedding is well known in animal  
199 systems, nevertheless there is little known in plants. First evidence for this mechanism comes  
200 from the *A. thaliana* chitin receptor CERK1, however the protease involved in this process  
201 remains elusive (Petutschnig et al., 2014). Besides activation of receptors, proteases can  
202 release small peptides that are perceived as DAMPs to induce immunity. A fascinating  
203 mechanism was found for a soybean subtilisin-like protease, which releases an embedded  
204 cryptic 12aa signal that triggers defense gene activation (Pearce et al., 2010). However, for  
205 PLCPs this kind of mechanism has not been identified so far. It is challenging to deepen our  
206 understanding of the involvement of PLCPs in plant immunity since many open questions still  
207 have to be addressed. For example, to which extent is proteolytic activity of PLCPs required for  
208 triggering plant immunity? How is activation of PLCPs orchestrated? Salicylic acid treatment in  
209 maize triggers activation of PLCPs but there is still the possibility that it acts as a feed-back loop  
210 since PLCPs themselves induce *PR*-gene expression (van der Linde et al., 2012a). Additionally,  
211 the substrates of PLCPs are still unknown. Plants contain a plethora of PLCPs localized in  
212 different compartments, but how is specificity achieved? Interestingly, E-64d has been  
213 extensively used to suppress autophagy but also apoplastic cysteine proteases. Is it a strategy  
214 that pathogens like *P. infestans* deploy to prevent secretion of PLCPs into the apoplast by  
215 AvrBib2 (Bozkurt et al., 2011), or to antagonize host autophagy cargo receptors to counteract  
216 host defenses (Dagdaz et al., 2016)? [Furthermore, activation of PLCPs in the cell might induce](#)  
217 [a massive proteolytic activity provoking clearance of cell contents and cell death. In this case](#)

218 [PLCPs may need little specificity whilst still releasing signaling molecules.](#) –In light of all the  
219 different immune responses involving PLCP activity, it will be a striking challenge to elucidate  
220 how target-specificity of PLCPs is regulated and how they discriminate between pathogen and  
221 host proteins.

222

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## Figure Legends

**Figure 1:** Schematic representation of PLCPs found in plants. In general, PLCPs contain a signal peptide (SP, light grey), an auto-inhibitory pro-domain (Pro-, grey) and a protease domain (blue). The mature protease domain holds the catalytic triad Cys-His-Asn. [Some members of subfamily 1 and subfamily 4](#) ~~Some proteases~~ also have at the C- terminus a proline rich domain (P, grey) and a granulin domain ([Gran.](#), purple). [Subfamily 8 proteases have at the N-terminus a vacuolar targeting signal \(NPIR, green\) and a minichain that remains after cleavage of the pro-domain \(light blue\).](#) Family 9 proteases contain the C-terminus motif ECGIE (red). [Disulphide bridges, common to most PLCPs \(red thin lines\) and, subfamily specific disulphide bridges \(orange thin lines\) are indicated.](#) SF: subfamily classification. Arab.: Arabidopsis.

**Figure 2:** Tentative model summarizing known and hypothetical functions of PLCPs during plant immune-signaling. (1) PLCPs might release DAMPs (Damage Associated Molecular Patterns) or PAMPS (Pathogen Associated Molecular Patterns) that are recognized by receptors activating signaling cascades and consequently immune responses. (2) Likewise, induction of defense responses e.g. by SA signaling, may lead to an activation of PLCPs, establishing a feed-back loop. (3) PLCPs act as co-receptors and “decoys” that evolve during an evolutionary arms-race to avoid pathogen colonization. (4) To overcome immunity, pathogens produce effector molecules inhibiting PLCPS activity. Since PLCPs are mainly activated by post-transcriptional processing, endogenous inhibitors such as cystatins or serpins may control the outcome in different signaling pathways leading to activation or deactivation of immune responses including PCD (5).

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Table 1: Plant PLCPs involved in biotic interactions.

PLCP	Species	SF*	Function / Phenotype	Reference
RD21	Arabidopsis	1	<a href="#">KO-lines susceptible to <i>B.cinerea</i></a>	Shindo et al., 2012
			<a href="#">KO-lines resistant to <i>B. cinerea</i> in detached leaves and <i>S. sclerotiorum</i>. Inhibited by AtSerpin1</a>	Lampf et al., 2013
Mir1	Maize	1	<a href="#">accumulates at wounding sites</a>	Pechan et al., 2000; 2002
			<a href="#">enhanced resistance against caterpillars / root-feeding herbivores</a>	Gill et al., 2011
C14	Potato	1	<a href="#">acts as ethylene signal conferring resistance to aphids</a>	Louis et al., 2015
	Tomato		<a href="#">inhibited by <i>P. infestans</i> effectors EPIC1 and EPIC2B . Protease under diversifying selection. targeted by <i>P. infestans</i> effectors EPIC1, EPIC2B and AvrB1b2</a>	Kaschani et al., 2010; 2011 Kaschani et al., 2010 Bozkurt et al., 2011
Papain	Papaya	3	<a href="#">activated during wounding</a>	Azarkan et al., 2006
XCP2	Arabidopsis	3	<a href="#">involved in defense against polyphagous pests</a>	Konno et al., 2004
	Maize		<a href="#">increases susceptibility to <i>R.solanacearum</i></a> <a href="#">inhibited by <i>U. maydis</i> effector Pit2 and maize cystatin CC9</a>	Zhang et al., 2014 Müller et al., 2013, van der Linde et al., 2012
C14	<i>N. benthamiana</i>	4	<a href="#">silenced plants resistant to <i>P. infestans</i></a>	Kaschani et al. 2010; Bozkurt et al., 2011
CP1A/ CP1B	Maize	4	<a href="#">inhibited by <i>U. maydis</i> effector Pit2 and maize cystatin CC9</a>	Müller et al., 2013, Van der Linde et al., 2012
Rcr3	Tomato	6	<a href="#">Resistance to <i>C. fulvum</i>, <i>G. rostochiensis</i> and <i>P. infestans</i></a>	Dixon et al., 2000; Lozano-Torres et al., 2012, Song et al., 2009
			<a href="#">required for the function of Cf2 conferring fungal resistance</a>	Krüger et al., 2002
			<a href="#">inhibited by effectors Avr2, EPIC1, EPIC2B and GrVAP1</a>	Rooney et al., 2005, Song et al., 2009, Lozano-Torres et al., 2012
Pip1	Tomato	6	<a href="#">mutants are hypersusceptible to <i>C. fulvum</i>, <i>P. infestans</i> and <i>P. syringae</i></a>	Ilyas et al., 2015
	<i>N. benthamiana</i>		<a href="#">inhibited by <i>P. infestans</i> EPIC2B and <i>C. fulvum</i> Avr2</a> <a href="#">silencing blocks HR induced by Avr4/Cf4 recognition</a>	Tian et al., 2007, Shabab et al., 2008, Xu et al., 2011
RD19	Arabidopsis	7	<a href="#">mutants are impaired in resistance to <i>R. solanacearum</i></a> <a href="#">targeted by PopP2 from <i>R. solanacearum</i></a>	Bernoux et al., 2008 Bernoux et al., 2008
CYP1/ CYP2	<i>N. benthamiana</i>	8	<a href="#">silencing enhanced susceptibility to <i>C. destructivum</i></a>	Hao et al., 2006
CYP1	Tomato	8	<a href="#">inhibited by V2 from tomato yellow leaf curl geminivirus</a>	Bar-Ziv et al., 2012; 2015
CP2	Maize	8	<a href="#">inhibited by <i>U. maydis</i> effector Pit2 and maize cystatin CC9</a>	Müller et al., 2013, Van der Linde et al., 2012
CathB	Arabidopsis	9	<a href="#">required for HR induced by non-host bacterial pathogens</a>	Gilroy et al., 2007; McLellan et al., 2009
			<a href="#">mutants show reduced programmed cell death during abiotic stress</a>	Ge et al., 2016

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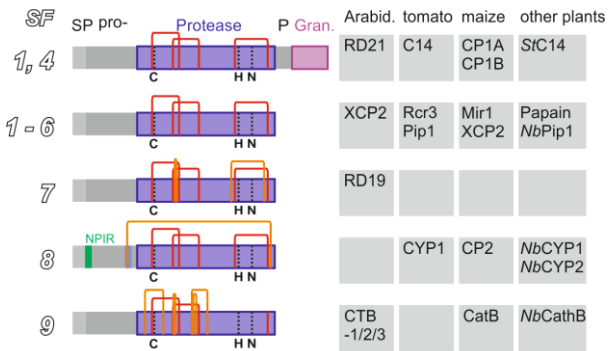
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\*SF: phylogenetic classification of PLCPs into subfamilies according to Richau et al., 2012; †DS:  
[Domain structure as depicted in Figure 1](#)

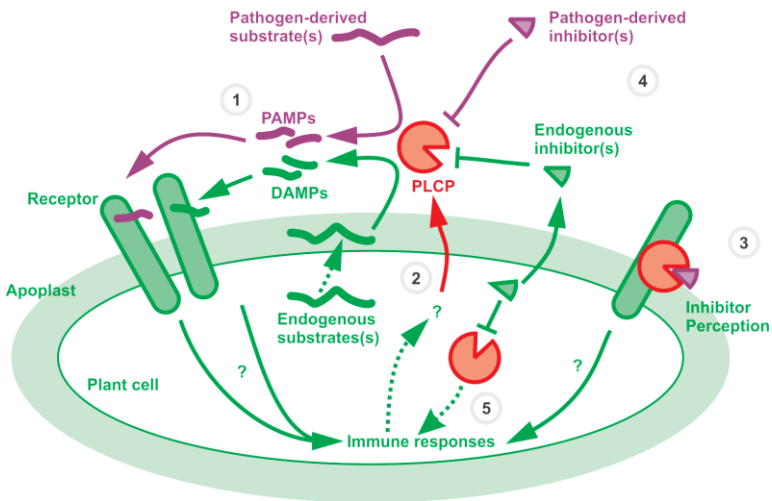
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458 Figure 1. Schematic representation of PLCPs found in plants  
 459 domain—structure types found in plants



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461 Figure 2. Tentative model summarizing known and hypothetical functions of PLCPs during plant  
 462 immune-signaling.