

Robust adiabatic approach to optical spin entangling in coupled quantum dots

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Abstract. Excitonic transitions offer a possible route to ultrafast optical spin manipulation in coupled nanostructures. We perform here a detailed study of the three principal exciton-mediated decoherence channels for optically controlled electron spin qubits in coupled quantum dots: radiative decay of the excitonic state, exciton–phonon interactions, and Landau–Zener transitions between laser-dressed states. We consider a scheme for producing an entangling controlled-phase gate on a pair of coupled spins which, in its simplest dynamic form, renders the system subject to fast decoherence rates associated with exciton creation during the gating operation. In contrast, we show that an adiabatic approach employing off-resonant laser excitation allows us to suppress all sources of decoherence simultaneously, significantly increasing the fidelity of operations at only a relatively small gating time cost. We find that controlled-phase gates accurate to one part in 10^2 can realistically be achieved with the adiabatic approach, whereas the conventional dynamic approach does not appear to support a fidelity suitable for scalable quantum computation. Our predictions could be demonstrated experimentally in the near future.

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1. Introduction

Quantum computation (QC) promises a significant speedup for certain classes of problems [1]–[3] as well as an efficient way of simulating quantum systems [4]. The broad range of expertise and knowledge that exists in fabricating and characterizing semiconductor structures has naturally led to a number of proposals for quantum dot (QD) QC being put forward. The spin of a single electron confined within a QD has been suggested as a scalable qubit [5], while another possibility is to define the computational basis states as the presence or the absence of a confined electron–hole pair (an exciton). While excitonic qubits can be conveniently optically addressed, they also interact strongly with their surroundings and thus suffer from rapid decoherence (typically within a nanosecond [6]). Spin-based qubits, on the other hand, have much longer coherence times (up to a millisecond [7]), but can suffer from the fact that inter-spin interactions tend to be weak. Hybrid schemes have therefore emerged that propose to store the qubit in a long-lived localized electronic spin state, moving selectively to an excited state spin–exciton (trion) representation only when a gate is actually performed. Such schemes hope to marry the advantages of the two different representations [8, 9], though it should be borne in mind that any excitonic component of the system state will still suffer from rapid decoherence, and hence a significant excited state population is ideally avoided.

For universal QC, it suffices to have arbitrary single qubit operations and at least one entangling two qubit gate [1]. Single qubit operations are simply rotations of the state vector on the Bloch sphere, and proposals based both on stimulated Raman adiabatic passage and direct optical excitation exist for spins in QDs [10]–[12]. Decoherence processes have been thoroughly studied in these cases [11], [13]–[15], leading to the prediction that high-fidelity single-qubit operations should be feasible. In many physical systems, the easiest entangling operation to implement is a controlled-phase (CPHASE) gate that leaves three of the four possible two-qubit computational basis states unaltered, while generating a phase of π on the fourth (e.g. $|00\rangle \rightarrow |00\rangle$, $|01\rangle \rightarrow |01\rangle$, $|10\rangle \rightarrow |10\rangle$, $|11\rangle \rightarrow -|11\rangle$) [1]. Various schemes for realising such a gate exist for spins in coupled QDs [8, 16, 17], of which adiabatic optical control is a particularly promising example as it naturally suppresses excited-state population throughout the operation [8, 18]. The technique relies on varying external control parameters of the system, here the intensity and frequency of a laser, sufficiently slowly compared to the

characteristic timescales of the system itself that the entire population remains in instantaneous eigenstates throughout the gating operation. This approach overcomes light-heavy hole mixing in the QD valence band [8, 18] and is also thought to be robust to phonon-induced dephasing mechanisms [8]. While the adiabatic approach seems extremely promising as perhaps the best way to achieve rapid control of solid state spins, it does have potential issues like every approach. It is therefore essential to perform a comprehensive study of all major noise sources during a *two-qubit* gate. Here, we provide such a study, and conclude that the approach is in fact remarkably robust. We address the principal decoherence channels of radiative recombination and carrier-phonon interactions by deriving a Markovian master equation (ME) for the laser-driven two-dot system, accounting for both processes. We will use the ME for assessing the performance of the adiabatic approach within experimentally accessible parameter regimes. We also derive conditions for avoiding non-adiabatic Landau-Zener (LZ) transitions between eigenstates [19]–[22], an effect that poses an additional error source. In order to put the results in context, we will consider a simple dynamic approach based on resonant Rabi flopping as a comparison.

2. The system

By observing Rabi oscillations, optical coherent control of excitons in single QDs has been demonstrated by a number of groups in recent years [23]–[25]. Further, excitonic interactions between QDs have been observed experimentally [26]–[28] and optical conditional logic between two excitonic qubits within a single QD has been accomplished [29]. A QD spin qubit Rabi flopping has been achieved both through direct spin resonance (on the sub-microsecond timescale) [30] and optically (on the picosecond timescale) [31]. A single-shot read-out by spin for charge conversion [32] has also been achieved. In addition, Greilich *et al* [33] have performed optical measurements of spin coherence and Xu *et al* [34] have done fast spin cooling using excitons.

We consider a realistic state-of-the-art system: two adjacent self-assembled QDs (I and II, respectively) with a distinct heavy- and light-hole valence band structure, such as those grown on a GaAs substrate [35]. The dots could be placed side-by-side, though are more usually grown in stacks (see [36] for example). We consider QDs that are small and have a strong confinement potential that dominates over any intra-dot Coulomb interactions. Each of them are doped such that a single excess electron, the spin of which embodies the qubit, permanently occupies the lowest energy state of the conduction band. The qubit basis is defined as $|0\rangle \equiv |\downarrow\rangle \equiv |-1/2\rangle$ and $|1\rangle \equiv |\uparrow\rangle \equiv |1/2\rangle$, where $|m_z\rangle$ is the spin projection, with $m_z = \pm 1/2$. The z -direction also defines the quantization axis for the hole spins (see below). Our qubit representation is different to that used by Imamoglu *et al* [9], where an applied magnetic field defines the qubit basis in the x -direction. Further, since our scheme relies on direct inter-dot interactions rather than the cavity-mediated Raman transitions needed in [9], we require only a single off-resonant laser pulse for effecting a CPHASE gate.

In order to couple the two spin qubits, we exploit the fact that trion-trion inter-dot Coulomb interactions are expected to be relatively strong [16, 37] such that we can address the system optically through spin-selective exciton creation [8, 16, 37]. This effect, which has recently been observed experimentally [38, 39], relies on the Pauli exclusion principle for the lowest energy conduction band electron state (see figure 1). This state can be occupied by at the most two electrons, each of opposite spin orientation, with the electron qubit permanently occupying

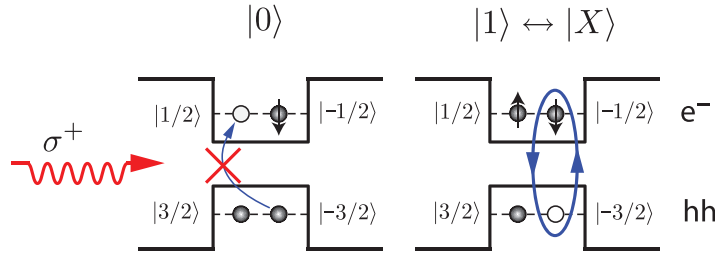


Figure 1. Pauli blocking effect: σ^+ light cannot excite electron–hole pairs if the qubit is in the state $|0\rangle$ with $m_z = -1/2$ (left-hand side (lhs)). On the other hand, if the qubit is in the $|1\rangle$ configuration the excitation is possible, leading to a three-particle trion state $|X\rangle$ (right-hand side (rhs)).

one of the slots. In the valence band, the heavy- and light-hole energy levels split due to their differing effective masses. Heavy holes occupy the lowest energy states with spin $m_z = \pm 3/2$ (whereas the light holes have spin $m_z = \pm 1/2$) and are the only valence band states considered here (see [18] for detailed calculations of the effects of light–heavy hole mixing). A σ^+ circularly polarized laser incident on the QD carries photons with angular momentum $l = +1$. Thus, if the qubit is in state $|0\rangle$, with spin $m_z = -1/2$, promoting another electron to the conduction band is incompatible with the conservation of angular momentum and no transition can occur. Conversely, for a qubit in state $|1\rangle$ ($m_z = 1/2$) the transition is allowed and leads to the creation of a three-particle trion state $|X\rangle \equiv |\uparrow, \downarrow, \nabla\rangle$, where the arrows symbolize the spin projection of the electron, and the triangle of the hole ($|\nabla\rangle \equiv |-3/2\rangle$), respectively.

Those Coulomb interactions between trions on the two adjacent dots mediating a coupling of the two-spin qubits can be seen by considering the full system Hamiltonian. We assume that the qubit states are degenerate and write the Hamiltonian of the two QDs and a single classical laser field in the basis $\{|0\rangle, |1\rangle, |X\rangle\}$ ($\hbar = 1$) as

$$H(t) = \omega_0(|X\rangle\langle X| \otimes \mathbf{1} + \mathbf{1} \otimes |X\rangle\langle X|) + \Omega \cos \omega_l t (|1\rangle\langle X| \otimes \mathbf{1} + \mathbf{1} \otimes |1\rangle\langle X| + \text{h.c.}) + V_{XX}|XX\rangle\langle XX| + V_F(|1X\rangle\langle X1| + \text{h.c.}), \quad (1)$$

where h.c. denotes the Hermitian conjugate, $\mathbf{1}$ is the identity operator, and ω_0 is the exciton creation energy (assumed the same for both dots). Here, Ω represents the coupling between the QD transition and laser mode and ω_l is the laser frequency, both of which may be time-dependent quantities. The dots are Coulomb-coupled both by virtual photon exchange (Förster interaction) of strength V_F [40], and by a bi-excitonic dipolar coupling V_{XX} [37]. We consider typical inter-dot separations of 5–10 nm, close enough for both V_F and V_{XX} to be on the milli-electronvolt scale. We also assume that we are in a regime where tunneling processes are suppressed [41].

To the lowest nonzero order, the Förster coupling element is equivalent to the interaction of two-point dipoles situated on dots I and II [42],

$$V_F = \frac{C}{\epsilon_r R^3} \left(\langle \mathbf{r}_I \rangle \cdot \langle \mathbf{r}_{II} \rangle - \frac{3}{R^2} (\langle \mathbf{r}_I \rangle \cdot \mathbf{R}) (\langle \mathbf{r}_{II} \rangle \cdot \mathbf{R}) \right), \quad (2)$$

where \mathbf{R} is the vector connecting the centre of the two dots, $\langle \mathbf{r}_{I/II} \rangle$ the position operator between the electron and hole on either dot I/II, respectively, ϵ_r the dielectric constant, and C the usual

Coulomb term $C = e^2/4\pi\epsilon_0$. The sign of V_F is determined by the relative orientation of $\langle \mathbf{r}_I \rangle$ and $\langle \mathbf{r}_{II} \rangle$ with respect to \mathbf{R} . Ultimately, the orientation of $\langle \mathbf{r}_{I/II} \rangle$ depends on the lattice vector structure [42] and is hard to predict. However, for two identical dots in the same crystal structure, $\langle \mathbf{r}_I \rangle$ and $\langle \mathbf{r}_{II} \rangle$ should be parallel and have the same magnitude, such that their joint orientation to \mathbf{R} is the sole factor determining whether V_F is positive or negative. While the sign of V_F is an immutable property of any given two-dot system, both positive and negative values of V_F should be possible in principle by a suitable geometrical arrangement.

It can be seen from equation (1) that the Hamiltonian decouples into four non-interacting subspaces [16]: $\mathcal{H}_0 = \{|00\rangle\}$, $\mathcal{H}_1 = \{|01\rangle, |0X\rangle\}$, $\mathcal{H}_{1'} = \{|10\rangle, |X0\rangle\}$ and $\mathcal{H}_2 = \{|11\rangle, |1X\rangle, |X1\rangle, |XX\rangle\}$; this is a direct result of the Pauli-blocking effect. To implement the CPHASE operation, we need to achieve a net phase shift of π on the input state $|11\rangle$, and are therefore primarily interested in the dynamics of \mathcal{H}_2 . When we do need to consider dynamics in the two-level subspaces \mathcal{H}_1 and $\mathcal{H}_{1'}$ we can appeal to the results of [15], which analysed an isomorphic Hamiltonian structure.

Proceeding as in [16, 43], we first transform \mathcal{H}_2 into the basis of its eigenstates in the absence of driving ($\Omega = 0$): $|11\rangle$, $|\psi_+\rangle = (|1X\rangle + |X1\rangle)/\sqrt{2}$, $|\psi_-\rangle = (|1X\rangle - |X1\rangle)/\sqrt{2}$ and $|XX\rangle$, giving

$$H_2(t) = (\omega_0 - V_F)|\psi_-\rangle\langle\psi_-| + (\omega_0 + V_F)|\psi_+\rangle\langle\psi_+| + \sqrt{2}\Omega \cos \omega_l t (|11\rangle\langle\psi_+| + |\psi_+\rangle\langle XX| + \text{h.c.}) \\ + (2\omega_0 + V_{XX})|XX\rangle\langle XX|. \quad (3)$$

We now move to a frame rotating with the laser frequency ω_l , detuned from the $|11\rangle \leftrightarrow |\psi_+\rangle$ transition by an amount $\Delta = \omega_0 + V_F - \omega_l$. Within the rotating wave approximation (RWA), equation (3) becomes

$$H'_2 = (\Delta - 2V_F)|\psi_-\rangle\langle\psi_-| + \Delta|\psi_+\rangle\langle\psi_+| + (2\Delta - 2V_F + V_{XX})|XX\rangle\langle XX| \\ + \frac{\Omega}{\sqrt{2}}(|11\rangle\langle\psi_+| + |\psi_+\rangle\langle XX| + \text{h.c.}). \quad (4)$$

Nazir *et al* [16] describe how to perform a dynamic CPHASE operation by applying a resonant 2π laser pulse to the $|11\rangle \leftrightarrow |\psi_+\rangle$ transition (i.e. with $\Delta = 0$), generating the required π phase shift on state $|11\rangle$. In this case, certain conditions on the driving strength Ω must be satisfied in order to suppress unwanted transitions to $|XX\rangle$ and transitions within \mathcal{H}_1 and $\mathcal{H}_{1'}$ [16]. However, the gate may also be operated adiabatically without those same constraints by slowly switching an off-resonant laser beam, forcing the system to follow its instantaneous eigenstates [18]. The energy difference between the states $|11\rangle$ and $|\psi_+\rangle$ then allows a phase accumulation on the $|11\rangle$ state, as can be seen by analysing the eigenstates in more detail.

The three levels $|11\rangle$, $|\psi_+\rangle$ and $|XX\rangle$ are coupled by the laser in equation (4). Moving to the diagonal basis results in three dressed states, which we shall label $\{|\zeta_-\rangle, |\zeta_+\rangle, |\zeta_X\rangle\}$, each of which are superpositions of the three bare basis states weighted according to certain mixing angles that are complicated functions of the system parameters. Nevertheless, we can greatly simplify matters by an appropriate choice of parameters, and work with an approximate 2LS that describes the full dynamics with a high degree of accuracy. As shown in the inset of figure 2, for positive $V_F = 0.85$ meV, $V_{XX} = 5$ meV, and positive Δ , the energies of states $|\zeta_-\rangle$ and $|\zeta_+\rangle$ are very well approximated by taking

$$|\zeta_-\rangle \approx \cos \Theta |11\rangle - \sin \Theta |\psi_+\rangle, \\ |\zeta_+\rangle \approx \sin \Theta |11\rangle + \cos \Theta |\psi_+\rangle, \quad (5)$$

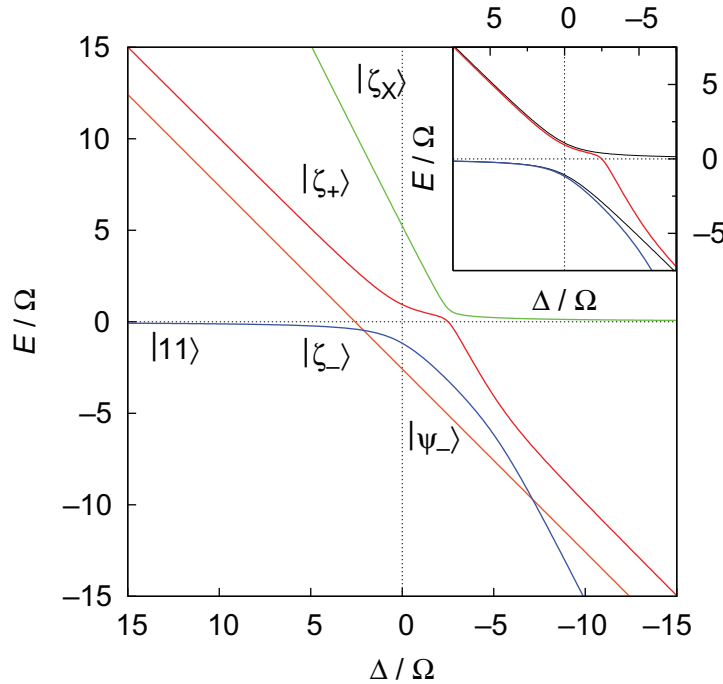


Figure 2. Dressed states of subsystem \mathcal{H}_2 . The parameters are: $V_{XX} = 5$ meV, $V_F = 0.85$ meV and $\Omega = 1$ meV. The inset shows the states $|\zeta_- \rangle$ and $|\zeta_+ \rangle$ around the origin. Also shown are the dressed states obtained if $|XX \rangle$ is neglected. For positive Δ , these approximate $|\zeta_- \rangle$ and $|\zeta_+ \rangle$ well.

where $\Theta = (1/2) \arctan(\sqrt{2}\Omega/\Delta)$. The agreement improves even further for larger Δ , which we shall demonstrate, is desirable for the adiabatic scheme, or smaller driving Ω_0 . A negative Förster coupling $V_F < 0$ simply shifts the dark state $|\psi_- \rangle$ upwards in figure 2. At the same time, the avoided crossing between $|\zeta_+ \rangle$ and $|\zeta_X \rangle$ moves further to the right and hence interactions with the biexcitonic level are suppressed even more effectively. Therefore, we can safely assume that the perturbation caused by $|XX \rangle$ is negligible for a wide range of parameters.

Let us now consider shining a chirped laser on the QD with temporal evolutions $\Delta(t)$ and $\Omega(t)$ that slowly and continuously change Θ from 0 to some value Θ_{\max} , and back to 0 again. Since $|11 \rangle$ and $|\zeta_- \rangle$ coincide for $\Theta = 0$, any population in state $|11 \rangle$ will adiabatically follow the instantaneous eigenstate of $|\zeta_- \rangle$ and return to $|11 \rangle$ at the end of the pulse. As a consequence of its time evolution, the phase of an eigenstate $|\mu \rangle$ changes according to $|\mu(t) \rangle = \exp(-iE_\mu t)|\mu(0) \rangle$, where E_μ is the relevant eigenenergy. Hence, at the end of the pulse, $|11 \rangle$ picks up a phase of $\exp(-i\phi_{11})$ relative to $|00 \rangle$, where

$$\phi_{11} = \int_0^T dt E_{\zeta_-}(t) = \int_0^T dt \frac{1}{2} \left[\Delta(t) - \sqrt{\Delta^2(t) + 2\Omega^2(t)} \right], \quad (6)$$

to a good approximation. Here, T is the pulse duration and $E_{\zeta_-}(t)$ the energy of $|\zeta_- \rangle$ relative to $|00 \rangle$. Of course, states $|01 \rangle$ and $|10 \rangle$ also accumulate the phase relative to $|00 \rangle$ due to the laser-induced coupling to $|0X \rangle$ and $|X0 \rangle$, giving

$$\phi_{01,10} = \int_0^T dt \frac{1}{2} \left[\Delta'(t) - \sqrt{\Delta'^2(t) + \Omega^2(t)} \right], \quad (7)$$

respectively, where $\Delta'(t) = \Delta(t) - V_F$ is the detuning in the \mathcal{H}_1 subspace. To achieve a gate locally equivalent to the CPHASE, $\phi_{00} - \phi_{01} - \phi_{10} + \phi_{11} = \pi$ following the operation. This constrains the control parameters $\Delta(t)$, $\Omega(t)$ and T .

For adiabatic following to hold, we must consider two further points. Firstly, Θ must change sufficiently slowly that LZ transitions between the dressed states do not occur; we will consider these in section 5. Secondly, we must start and end with $\Theta = 0$. In principal, both the laser detuning $\Delta(t)$ and the coupling strength $\Omega(t)$ can be time-dependent, though it is essential to start with an off-resonant pulse and to slowly switch the coupling strength. It is then possible, although by no means necessary, to chirp the pulse and tune into resonance [8]. In this case, the approach to resonance dominates the dynamics and the gating time of the operation. For the sake of simplicity and practicality, we will only consider here laser pulses with a constant detuning and Gaussian pulse envelopes. The duration of the pulse is then described by the parameter τ :

$$\Delta(t) \equiv \Delta, \quad (8)$$

$$\Omega(t) = \Omega_0 e^{-(t/\tau)^2}, \quad (9)$$

in contrast with previous works [8, 18], where chirped laser pulses were studied. Having described, in some detail, the internal dynamics of the driven system, we now turn to the impact of the external environment on the CPHASE operation.

3. Radiative decay

The lifetime of excitons in a QD can be as long as a nanosecond [7], while coherent control should be possible on the picosecond timescale [44]. However, in order to avoid phonon-induced pure dephasing [45] and to maintain adiabaticity it can be advantageous to perform operations much more slowly. In this case, the finite exciton lifetime becomes an important source of decoherence which cannot be neglected.

Any population in an excited state of the system is susceptible to radiative decay at the rate of the inverse natural lifetime Γ , due to coupling with the vacuum radiation field. As discussed above, we need only consider the behaviour of an effective 2LS to capture such effects in \mathcal{H}_2 , which we write $H_{\text{2eff}} = \Delta|\psi_+\rangle\langle\psi_+|$ (in the basis $\{|11\rangle, |\psi_+\rangle\}$). The system is coupled strongly to a laser mode and weakly to a reservoir of empty modes (the radiation field). In contrast to section 2, we treat the laser mode quantum mechanically, assuming it to be monochromatic and in a coherent state with mean photon number $\langle N \rangle \gg \Delta N \gg 1$. We also demand that the number of photons emitted into new modes as a result of fluorescence is much smaller than the width of the photon distribution $\Delta N \gg \Gamma T$, with T being a characteristic dot–light interaction time. Under these assumptions, we can write the coupling term simply as $\Omega(|11\rangle\langle\psi_+| + \text{h.c.})/\sqrt{2}$ [46]. This form agrees with the coupling term for a classical laser (within the RWA), and so we formally retrieve the relevant terms in equation (4).

In the absence of either the dot–laser coupling term or an environment, the energies of the combined QD and laser mode states $\{|11, N+1\rangle, |\psi_+, N\rangle\}$ differ by the detuning $\Delta \equiv \omega_0 - \omega_1 + V_F$. If the detuning is small ($\Delta \ll \omega_0$), the states are energetically close to each other and can be conveniently grouped into a manifold $\mathcal{M}(N)$. Likewise, we group the pairs $\{|11, N\rangle, |\psi_+, N-1\rangle\}$, $\{|11, N+2\rangle, |\psi_+, N+1\rangle\}$ etc into a ladder of manifolds differing in energy by steps ω_1 . Introducing the dot–laser coupling mixes the bare eigenstates, again defining

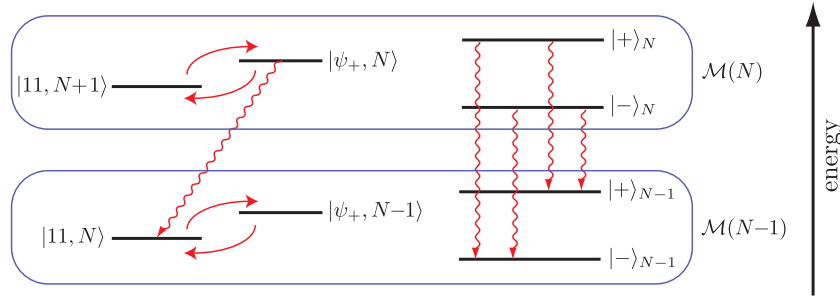


Figure 3. Radiative decay from manifold $\mathcal{M}(N)$ to $\mathcal{M}(N-1)$. Left: the uncoupled basis. The energy difference between the two states in each manifold is the detuning Δ , the solid arrows correspond to absorption and stimulated emission processes, and the wavy arrows denote spontaneous emission. Right: allowed spontaneous emission transitions between the dressed states. The energetic splitting in each manifold is the effective Rabi frequency $\Omega' = \sqrt{\Delta^2 + 2\Omega^2}$ and the spacing between adjacent manifolds is the laser frequency ω_l . The leftmost emission process is at frequency $\omega_l + \Omega'$, the two centre lines emit at the frequency of the laser and the rightmost emission is at $\omega_l - \Omega'$; this gives rise to the famous Mollow triplet [47].

a dressed basis

$$|-\rangle_N = \cos \Theta |11, N+1\rangle - \sin \Theta |\psi_+, N\rangle, \quad (10)$$

$$|+\rangle_N = \sin \Theta |11, N+1\rangle + \cos \Theta |\psi_+, N\rangle, \quad (11)$$

with $\Theta = (1/2) \arctan(\sqrt{2}\Omega/\Delta)$ as before, and we use the subscript N for denoting the manifold $\mathcal{M}(N)$. Recall the similar set of eigenstates $|-\rangle = \cos \Theta |11\rangle - \sin \Theta |\psi_+\rangle$, $|+\rangle = \sin \Theta |11\rangle + \cos \Theta |\psi_+\rangle$ that would be obtained by considering a classical laser. In contrast with the dressed states of equations (10) and (11), the classical treatment does not give any information on the number of photons in the laser mode nor on the manifold $\mathcal{M}(N)$. As a result, it appears at first glance that $|-\rangle$ is truly the system's ground state from which no relaxation is possible. Yet, it is clear that for $\Theta \neq 0$ both dressed states have some excitonic character and a transition from each into either state of the adjacent manifold hereinafter, $\mathcal{M}(N-1)$, is possible. During this process, a photon from the laser mode is emitted into a different, previously empty mode, by means of spontaneous emission (see figure 3). As is easily seen in the dressed picture, three transitions are possible, emitting photons at frequencies ω_l , and $\omega_l \pm \Omega'$, where the effective Rabi frequency $\Omega' = \sqrt{\Delta^2 + 2\Omega^2}$ is the energy separation between the dressed states in each manifold. In the context of the CPHASE gate, this implies that following the state $|\zeta_-\rangle \approx |-\rangle$ adiabatically does not leave the operation immune to radiative decay. On the contrary, if the pulse is tuned all the way to resonance, $\Theta = \pi/4$, half the population will be susceptible to recombination, analogous in fact to the case of resonant excitation where half the population remains in state $|11\rangle$ and half in state $|\psi_+\rangle$ on average.

To estimate the impact of spontaneous emission on the gate fidelity, we need to know the transition rates between the dressed states. Since our proposal relies on adiabatic following the $|-\rangle$ state, only its decay rate Γ_- needs to be considered. It is given by the sum of two

processes: $|-\rangle_N \rightarrow |-\rangle_{N-1}$ and $|-\rangle_N \rightarrow |+\rangle_{N-1}$. The total decay rate is then

$$\Gamma_- = \sqrt{2}\Gamma_0 \sin^2 \Theta \cos^2 \Theta + \sqrt{2}\Gamma_0 \sin^4 \Theta = \sqrt{2}\Gamma_0 \sin^2 \Theta, \quad (12)$$

confirming $\Gamma_- = \Gamma_0/\sqrt{2}$ for $\Theta = \pi/4$, as expected, where Γ_0 is defined as the single dot spontaneous emission rate. We note that Γ_- decreases as Θ becomes smaller, suggesting that keeping Θ small during the gating operation should be advantageous. However, as it is the energetic difference between the unperturbed $|00\rangle$ state and the $|-\rangle$ states in \mathcal{H}_1 , \mathcal{H}_1' , and \mathcal{H}_2 that leads to the CPHASE operation, a smaller mixing angle entails prolonging the gating time. The interesting case to consider is that of weak mixing, $\Theta \ll \pi/2$, realized by keeping Ω/Δ small. Rewriting equation (12) as

$$\Gamma_- = \frac{\Gamma_0}{\sqrt{2}} \left(1 - \frac{1}{\sqrt{1 + (\sqrt{2}\Omega/\Delta)^2}} \right) \quad (13)$$

and expanding in a Taylor series around $\Omega/\Delta = 0$, yields

$$\Gamma_- = \frac{\Gamma_0}{\sqrt{2}} \left(\frac{\Omega}{\Delta} \right)^2 + \mathcal{O} \left(\frac{\Omega}{\Delta} \right)^4. \quad (14)$$

For fixed Ω , we see that $\Gamma_- \sim \Delta^{-2}$ to the leading order.

The CPHASE gate requires that the phase accumulated on $|11\rangle$ exceeds that on $|01\rangle$ and $|10\rangle$ by π , the build-up being essentially due to V_F . During the pulse, $|11\rangle$ follows $|\zeta_-\rangle$ with an energy well approximated by $E_{\zeta_-} = (\Delta - \sqrt{\Delta^2 + 2\Omega^2})/2$, whereas $|01\rangle$ and $|10\rangle$ follow the dressed eigenstates in their respective subspaces, each of which has an energy $E_D = (\Delta - V_F - \sqrt{(\Delta - V_F)^2 + \Omega^2})/2$. Again, in the limit of a large detuning, we Taylor expand E_{ζ_-} around $\Omega/\Delta = 0$, yielding

$$E_{\zeta_-} = \frac{\Omega}{2} \left(\frac{\Omega}{\Delta} \right) + \mathcal{O} \left(\frac{\Omega}{\Delta} \right)^3. \quad (15)$$

Similarly, expanding E_D around $\Omega/(\Delta - V_F) = 0$ gives

$$E_D = \frac{\Omega}{4} \left(\frac{\Omega}{\Delta - V_F} \right) + \mathcal{O} \left(\frac{\Omega}{\Delta - V_F} \right)^3. \quad (16)$$

Therefore, assuming Ω is fixed for the moment and that phase accumulates as $\phi = \delta E t$, we obtain to the leading order

$$\delta E = E_{\zeta_-} - 2E_D = -\frac{\Omega^2 V_F}{2\Delta(\Delta - V_F)}, \quad (17)$$

for the relevant energy shift during the CPHASE operation. For $\Delta \gg V_F$, the denominator is clearly dominated by Δ^2 , such that the required gating time t would simply take the form $t = \pi/(E_{\zeta_-} - 2E_D) \sim \Delta^2/V_F$, in the case of a square pulse. Of course, the adiabatic gate relies on a Gaussian pulse profile, though in the limit $\Delta \gg \Omega$ we would expect the above analysis to provide a good approximation. Further, the numerical data in the inset of figure 4 illustrate that the Gaussian pulse duration τ also follows a parabolic form with Δ . Comparing with $\Gamma_- \sim \Delta^{-2}$ from before, we see that any decrease in the decay rate Γ_- by means of a larger detuning Δ is compensated for by the longer duration of the operation. Hence, contrary to the naive expectation, in this parameter regime applying a farther-detuned laser pulse does not improve the robustness of the adiabatic CPHASE gate to spontaneous emission.

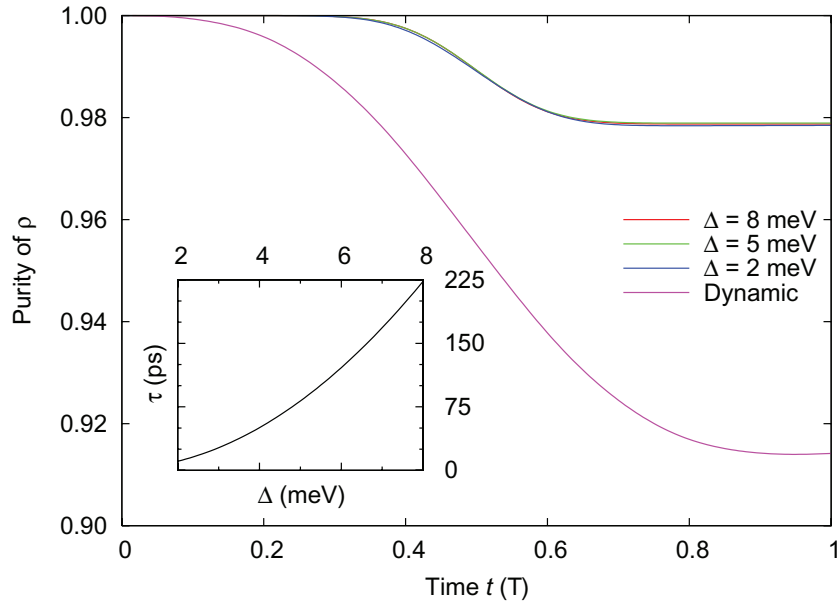


Figure 4. Purity of the density matrix for a CPHASE gate with $\Gamma_0 = 1/100 \text{ ps}^{-1}$, $V_{XX} = 5 \text{ meV}$ and $V_F = +0.85 \text{ meV}$. The dynamic gate uses $\Omega = 0.1 \text{ meV}$, whereas the adiabatic gates are performed at $\Omega_0 = 1 \text{ meV}$ with differing Δ (given in meV). The purity of the adiabatic operation does not depend on the detuning despite the substantial differences in the pulse duration, which are shown in the inset. This is due to the clearly discernible quadratic dependence of τ , the Gaussian pulse duration, on Δ (see text).

To validate this result, we perform a numerical simulation of the laser-driven CPHASE operation (as described by equations (8) and (9)) accounting for radiative decay. The appropriate ME describing spontaneous emission from a 2LS dressed by a laser mode is [46]

$$\dot{\chi} = -i[H, \chi] + \Gamma \left(\sigma_- \chi \sigma_+ - \frac{1}{2}(\sigma_+ \sigma_- \chi + \chi \sigma_+ \sigma_-) \right), \quad (18)$$

where $H = H_S + H_L + V$ is the joint Hamiltonian of the QD (H_S), the laser mode (H_L) and the interaction between them (V). Here, χ is the joint QD-laser density matrix and σ_+ and σ_- are, respectively, the raising and lowering operators of the 2LS. Any thermally induced emission and absorption processes are neglected as the bosonic thermal occupancy $N(\omega_0)$ is extremely small even at room temperature. Information about the state of the laser mode is no longer needed, allowing us to sum over all manifolds and obtain an ME for the density matrix ρ of the system alone. For the coherent part of the dynamics, we again retrieve the same form of H_{2LS} as the qubit Hamiltonian H_S [46]. The incoherent, spontaneous emission part is described by the operator σ_- with associated rate Γ [48, 49]. For ρ the ME can be written in a compact way as [50]

$$\dot{\rho} = -i[H_{\text{nh}}, \rho] + L_{\text{sp}} \rho L_{\text{sp}}^\dagger, \quad (19)$$

where $H_{\text{nh}} = H_S - (i/2)L_{\text{sp}}^\dagger L_{\text{sp}}$ is an effective non-Hermitian Hamiltonian, and $L_{\text{sp}} = \sqrt{\Gamma} \sigma_-$ is a Lindblad operator for the spontaneous emission processes. Radiative recombination affects each dot separately in \mathcal{H}_1 and \mathcal{H}_V , and the Lindblad operator describing spontaneous emission in both subspaces is therefore the same as it would be in the single dot case, $L = \sqrt{\Gamma_0} |01\rangle \langle 0X|$

and $L = \sqrt{\Gamma_0}|10\rangle\langle X0|$, respectively. In the larger subspace \mathcal{H}_2 transformation to the diagonal basis still gives a single effective Lindblad operator, $L = (\sqrt{2}\Gamma_0)^{1/2}|11\rangle\langle\psi_+|$, acting with respect to the Hamiltonian of equation (4).⁷

We now use equation (19) for simulating the adiabatic CPHASE gate, at the same time comparing it with the dynamic operation proposed in [16]. We present our results as the purity of the full 9LS density matrix, including all decoupled subsystems, taking a general input state of the form $|\phi\rangle = (|00\rangle + |01\rangle + |10\rangle + |11\rangle)/2$. The purity is defined as the trace of the squared density matrix $\text{tr}[\rho^2]$. By definition, it is equal to one as long as the system is in a pure state, and decays to $1/d$ for a totally mixed state, where d is the dimension of the Hilbert space [1]. Decoherence effects render the system into a mixed state, thereby indicating corruption of the output through a reduction of the purity. Figure 4 shows the results obtained for a natural excitonic lifetime of 0.1 ns. The adiabatic curves are plotted for $\Omega_0 = 1$ meV and for various Δ . We note that they nearly coincide, clearly showing that the purity has no apparent dependence on the value of Δ , as expected from our earlier simple analysis. We see also that the adiabatic gate retains a purity much closer to unity than the dynamic gate, the speed of which is limited by the conditions set out in [16].

4. Interaction with phonons

In contrast to an isolated atom, a QD is embedded in the macroscopic solid-state matrix of its substrate. The equilibrium positions of the lattice ions are determined by the overall electrostatic potential of all charge carriers. As a consequence, the electronic state of the QD couples with the lattice vibrations (phonons) [51]. Three processes result from the interaction of an exciton with phonons in semiconductor QDs: pure dephasing, phonon emission and phonon absorption.

For many systems, pure dephasing is dominant at very low temperatures [52]–[54]. On excitation, an abrupt change in the charge configuration shifts the equilibrium lattice positions of surrounding ions and a phonon packet is emitted as lattice relaxation occurs. This corresponds to transfer of information about the electronic state of the QD into the environment and can be interpreted as a kind of environmental ‘which way’ measurement of the charge qubit [55], resulting in a loss of coherence and forcing the system into a mixed state. Pure dephasing is energy conserving and does not lead to a relaxation in the QD. It is also an intrinsically non-Markovian process [11] and its influence has been studied extensively for Rabi oscillations [56, 57], absorption line shapes [53, 54] and in the context of the spin-boson model [52]. Several authors have shown how pure dephasing may be eliminated if the coherent system excitation is slow enough such that all lattice ions adiabatically follow their equilibrium positions [8, 11, 45, 57, 58].

On the other hand, phonon absorption and emission correspond to the real transitions between energy levels in the QD. Absorption is only possible at finite temperatures but can become a substantial problem in semiconductor structures even for temperatures well below 10 K. Much like spontaneous photon emission, phonon-mediated relaxation processes are possible at any temperature. To study these effects, we derive a Markovian ME from first principles. Since we are interested primarily in the limit of low-frequency dynamics we neglect optical phonons, which are separated by a large energy gap of 30 meV or more [54]. In this

⁷ No differentiation between positive and negative values of V_F is necessary throughout this section as the radiative decoherence channel is insensitive to the sign.

situation, deformation and piezoelectric coupling to longitudinal acoustic (LA) phonons are the dominant phonon decoherence mechanisms. The interaction between charge carriers and acoustic phonons is generically given by a sum over the phonon modes \mathbf{q} [51]

$$H_{\text{ep}} = \sum_{\mathbf{q}} M_{\mathbf{q}} \hat{\rho}(\mathbf{q}) (a_{\mathbf{q}} + a_{-\mathbf{q}}^{\dagger}). \quad (20)$$

Here, $M_{\mathbf{q}}$ is the coupling element,

$$M_{\mathbf{q}} = \sqrt{\frac{\hbar}{2\mu V \omega_{\mathbf{q}}}} C_{\mathbf{q}}, \quad (21)$$

where μ is the mass density, V the lattice volume and $\omega_{\mathbf{q}}$ the phonon frequency, with wavevector \mathbf{q} . The coupling constant is denoted by $C_{\mathbf{q}} = D_{\mathbf{q}}$ for the deformation potential and $C_{\mathbf{q}} = P$ for piezoelectric coupling, while $\hat{\rho}$ is the charge density operator

$$\hat{\rho}(\mathbf{q}) = \sum_{i,j} d_j^{\dagger} d_i \int d^3r e^{-i\mathbf{q} \cdot \mathbf{r}} \psi_j^{\dagger}(\mathbf{r}) \psi_i(\mathbf{r}), \quad (22)$$

with d_i^{\dagger}, d_i the creation and annihilation operators of charge carrier i , and $\psi_i(\mathbf{r})$ the corresponding wavefunction. For strongly bound excitons with no wavefunction overlap between carriers on adjacent QDs, the coupling elements are diagonal and given by the difference of those for electron and hole, $M_{\mathbf{q}}^e$ and $M_{\mathbf{q}}^h$, respectively, multiplied by the Fourier transform of the electron and hole density operator $\mathcal{P}[\psi^e(\mathbf{r})]$ and $\mathcal{P}[\psi^h(\mathbf{r})]$:

$$M_{\mathbf{q}} \hat{\rho}(\mathbf{q}) = \sum_i (M_{\mathbf{q}}^e \mathcal{P}[\psi_i^e(\mathbf{r})] - M_{\mathbf{q}}^h \mathcal{P}[\psi_i^h(\mathbf{r})]) c_i^{\dagger} c_i. \quad (23)$$

Here, $c_i^{\dagger} (c_i)$ denotes excitonic creation (annihilation) operators.

Consider the two-dot system Hamiltonian of equation (4), once more neglecting the biexcitonic level $|XX\rangle$ ⁸

$$H_2'' = (\Delta - 2V_F) |\psi_{-}\rangle \langle \psi_{-}| + \Delta |\psi_{+}\rangle \langle \psi_{+}| + \frac{\Omega}{\sqrt{2}} (|11\rangle \langle \psi_{+}| + \text{h.c.}). \quad (24)$$

The full Hamiltonian including a common bath of phonons is given by $H = H_2'' + H_B + H_I$, where the bath and interaction terms are given by

$$H_B = \sum_{\mathbf{q}} \omega_{\mathbf{q}} a_{\mathbf{q}}^{\dagger} a_{\mathbf{q}}, \quad (25)$$

and

$$H_I = \sum_{\mathbf{q}} \left(g_{\mathbf{q}}^{0X} c_{0X}^{\dagger} c_{0X} + g_{\mathbf{q}}^{X0} c_{X0}^{\dagger} c_{X0} \right) (a_{\mathbf{q}} + a_{-\mathbf{q}}^{\dagger}), \quad (26)$$

respectively. In the absence of $|XX\rangle$, the phonon interaction term involves only single exciton levels, with $g_{\mathbf{q}}^i = M_{\mathbf{q}}^e p_{\mathbf{q}}^e(\psi_i) - M_{\mathbf{q}}^h p_{\mathbf{q}}^h(\psi_i)$. If the wavefunctions $\psi_{0X}(\mathbf{r})$ and $\psi_{X0}(\mathbf{r})$ are of identical form, albeit centred at different positions $\pm \mathbf{d}$, we obtain, by the shift property of the

⁸ In fact, on resonance, this assumption requires $|V_{XX} - 2V_F| \gg |\Omega|$. However, for a larger detuning, Ω can be larger as well, and we have checked numerically that excitations to the $|XX\rangle$ level remain well below 10^{-5} for all parameters used in the following.

Fourier transform,

$$g_{\mathbf{q}}^{0X} = e^{+i\mathbf{q} \cdot \mathbf{d}} (M_{\mathbf{q}}^e \mathcal{P}[\psi^e(\mathbf{r})] - M_{\mathbf{q}}^h \mathcal{P}[\psi^h(\mathbf{r})]), \quad (27)$$

$$g_{\mathbf{q}}^{X0} = e^{-i\mathbf{q} \cdot \mathbf{d}} (M_{\mathbf{q}}^e \mathcal{P}[\psi^e(\mathbf{r})] - M_{\mathbf{q}}^h \mathcal{P}[\psi^h(\mathbf{r})]). \quad (28)$$

We now diagonalize equation (24) and write H_I in the resulting basis $\{|\zeta_-\rangle, |\zeta_+\rangle, |\psi_-\rangle\}$, with respective eigenenergies $(\Delta - \sqrt{2\Omega^2 + \Delta^2})/2$, $(\Delta + \sqrt{2\Omega^2 + \Delta^2})/2$ and $\Delta - 2V_F$. Moving onto the interaction picture with respect to $H_2'' + H_B$ (see appendix), the system operators are ordered by their frequencies, giving

$$\tilde{H}_I(t) = \frac{1}{2} \sum_{\omega' \in \{0, \Lambda, \Upsilon, \Xi\}} (P_{\omega'} e^{-i\omega' t} + P_{\omega'}^\dagger e^{i\omega' t}) \sum_{\mathbf{q}} (g_{\mathbf{q}}^{0X} \pm g_{\mathbf{q}}^{X0}) (a_{\mathbf{q}} e^{-i\omega_{\mathbf{q}} t} + a_{-\mathbf{q}}^\dagger e^{i\omega_{\mathbf{q}} t}), \quad (29)$$

where $\pm \equiv +$ for $\omega' \in \{0, \Lambda\}$ and $-$ for $\omega' \in \{\Upsilon, \Xi\}$. Here,

$$P_0 = \frac{1}{2} (\cos^2 \Theta |\zeta_+\rangle \langle \zeta_+| + \sin^2 \Theta |\zeta_-\rangle \langle \zeta_-| + |\psi_-\rangle \langle \psi_-|), \quad (30)$$

$$P_\Lambda = -\frac{1}{2} \sin 2\Theta |\zeta_-\rangle \langle \zeta_+|, \quad (31)$$

$$P_\Upsilon = \cos \Theta |\psi_-\rangle \langle \zeta_+|, \quad (32)$$

$$P_\Xi = -\sin \Theta |\zeta_-\rangle \langle \psi_-|, \quad (33)$$

with frequencies

$$\Lambda = \sqrt{2\Omega^2 + \Delta^2}, \quad (34)$$

$$\Upsilon = 2V_F - \frac{1}{2} \left(\Delta - \left(\sqrt{2\Omega^2 + \Delta^2} \right) \right), \quad (35)$$

$$\Xi = \frac{1}{2} \left(\Delta + \left(\sqrt{2\Omega^2 + \Delta^2} \right) - 2V_F \right). \quad (36)$$

In this form, the phonon operators equations (32) and (33) are only appropriate for the detuned adiabatic gate with $\Delta > 2|V_F|$. Otherwise, care must be taken for ensuring all frequencies are greater than zero. Around resonance and for $V_F > 0$, Ξ switches sign (see figure 2) and we need to use P_Ξ^\dagger with associated frequency $|\Xi|$ instead. On the other hand, for $V_F < \Omega/\sqrt{2}$, Υ switches sign requiring the redefinition of P_Υ to P_Υ^\dagger with associated frequency $|\Upsilon|$.

A master equation for the system evolution is now derived in the usual way [48, 59] by integrating the von-Neumann equation for the joint density matrix R of the system and bath and tracing over the phonon modes. This results in a reduced system density matrix obeying

$$\dot{\rho} = - \int_0^t dt' \text{tr}_{\text{ph}} \left([\tilde{H}_I(t), [\tilde{H}_I(t'), R(t')]] \right). \quad (37)$$

The Born–Markov approximation is now performed, which relies on two assumptions. Firstly, we assume there is no back-action from the small system on the much larger bath, meaning the joint density matrix can be written as a product at all times $R = \rho \otimes \rho_B$. Secondly, the bath has no memory and we therefore replace $\rho(t')$ by $\rho(t)$, justified for rapid bath relaxation [48, 59]. If the system dynamics occurs on a timescale much faster than relaxation due to interactions

with the bath, we can perform an RWA⁹ for arriving at an interaction picture ME in Lindblad form [48, 60]

$$\dot{\rho} = J_+(\Lambda) \left([N(\Lambda) + 1] D[P_\Lambda] \rho + N(\Lambda) D[P_\Lambda^\dagger] \rho \right) + \sum_{\omega' \in \{\Upsilon, \Xi\}} J_-(\omega') \left([N(\omega') + 1] D[P_{\omega'}] \rho + N(\omega') D[P_{\omega'}^\dagger] \rho \right), \quad (38)$$

with $D[L]\rho \equiv L\rho L^\dagger - 1/2(L^\dagger L\rho + \rho L^\dagger L)$ usually referred to as the ‘dissipator’ of the ME. Here, $N(\omega) = (\exp(\omega/k_B T) - 1)^{-1}$ describes the thermal occupation of the phonon modes and $J_\pm(\omega)$ are the spectral densities given by

$$J_+(\omega) = 2\pi \sum_{\mathbf{q}} |g_{\mathbf{q}}^{0X} + g_{\mathbf{q}}^{X0}|^2 \delta(\omega - \omega_{\mathbf{q}}) \quad (39)$$

$$J_-(\omega) = 2\pi \sum_{\mathbf{q}} |g_{\mathbf{q}}^{0X} - g_{\mathbf{q}}^{X0}|^2 \delta(\omega - \omega_{\mathbf{q}}). \quad (40)$$

Note that the Lindblad operator P_0 has been dropped since the spectral density vanishes in the limit of $\omega = 0$. Consequently, such an ME does not describe non-Markovian pure dephasing [52, 54] effects in the dressed basis, on account of the Born–Markov approximation, but does provide a suitable description of phonon-assisted transitions. In the bare basis, the Lindblad operators $P_{\omega'}$ and P_Λ consist of emission, and absorption terms and P_Λ features an additional dephasing term.

For the calculation of $J_\pm(\omega)$, we choose the simple case of an isotropic harmonic confinement potential for each dot. The excitonic ground state therefore has a Gaussian wavefunction [41]

$$\psi_{e/h}(\mathbf{r}) = \left(\frac{1}{d_{e/h}\sqrt{\pi}} \right)^{(3/2)} e^{-(r^2/2d_{e/h}^2)}, \quad (41)$$

with $d_{e/h} = (\hbar/\sqrt{m_{e/h}c})^{1/2}$ giving the width of the wavefunction envelopes, which depend on the different effective masses $m_{e/h}$ for electrons and holes. The confinement strength c is chosen to be $c = 8.3 \times 10^{-3} \text{ J m}^{-2}$ such that electrons and holes are subject to a harmonic potential $V = cr^2$ with strength 162 meV at $r = 2.5 \text{ nm}$ from the centre of the dot. Assuming a linear and isotropic phonon dispersion $\omega_{\mathbf{q}} = c_s|\mathbf{q}|$, we then obtain for the deformation potential

$$J_\pm(\omega) = \frac{D_e^2 \hbar \pi^2}{4\pi \mu c_s^2} \left(\frac{\omega}{\omega_p} \right)^3 \left(1 \pm \text{sinc} \frac{\omega}{\omega_p} \right) \left(e^{-(\omega/\omega_e)^2} - 2 \frac{D_h}{D_e} e^{-(\omega/\omega_{eh})^2} + \frac{D_h^2}{D_e^2} e^{-(\omega/\omega_h)^2} \right), \quad (42)$$

where $\omega_p = c_s/(2R)$. Both spectral densities are obviously of superohmic form [61], with high-frequency cutoff terms $\omega_{e,h} = \sqrt{2}c_s/d_{e,h}$ and $\omega_{eh} = 2c_s/\sqrt{d_e^2 + d_h^2}$ related to the finite QD size. These terms filter out phonons with wavelengths too short for interacting with the dots. For piezoelectric coupling, we get ohmic spectral densities given by

$$J_\pm(\omega) = \frac{\hbar P^2}{4\pi \mu c_s^2 d} \frac{\omega}{\omega_p} \left(1 \pm \text{sinc} \frac{\omega}{\omega_p} \right) \left(e^{-(\omega/\omega_e)^2} - 2 \frac{D_h}{D_e} e^{-(\omega/\omega_{eh})^2} + \frac{D_h^2}{D_e^2} e^{-(\omega/\omega_h)^2} \right). \quad (43)$$

⁹ The RWA requires $\Lambda, \omega' \gg J_\pm(\Lambda), J_\pm(\omega')$ the diagonal Lindblad-type ME only being strictly valid in this limit. Fortunately, the RWA assumption is indeed justified when $\Omega_0 < 1$ and $\Delta = 0$ as in the dynamic case or $\Delta \gtrsim \omega_{e/h}$ as will be used in the adiabatic case.

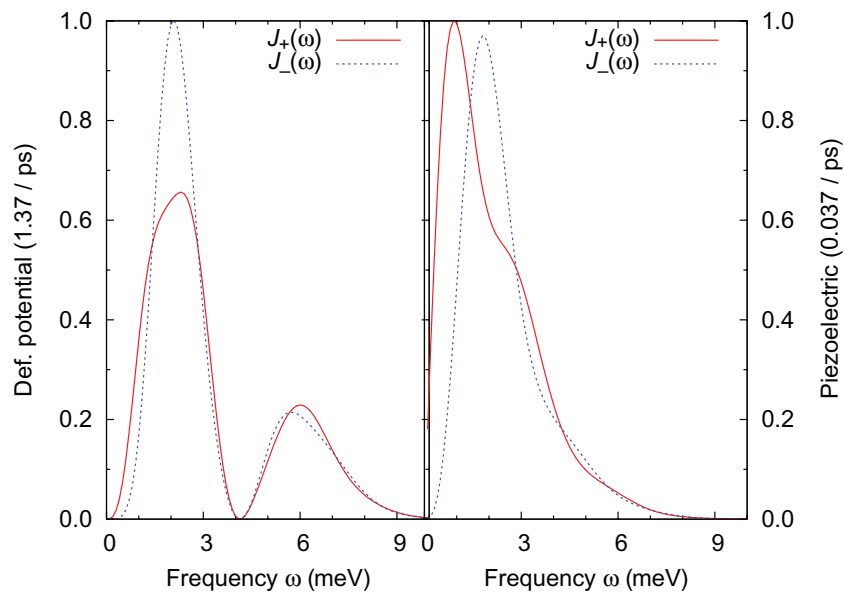


Figure 5. Normalized spectral densities $J_{\pm}(\omega)$: left, for deformation potential, and right, for piezoelectric coupling (note the different scales for the y -axes of the two plots).

Table 1. Material parameters for GaAs [52, 54, 60].

Electron deformation potential D_e	14.6 eV
Hole deformation potential D_h	4.8 eV
Piezoelectric coupling constant	1.45 eV nm ⁻¹
Effective electron mass m_e	0.067 m_0
Effective hole mass m_h	0.34 m_0
Mass density μ	5.3 g cm ⁻³
Velocity of sound c_s	4.8 × 10 ⁵ cm s ⁻¹

All four spectral functions are plotted in figure 5 for the parameters given in table 1, and with a dot centre-to-centre distance of $2R = 7$ nm, fulfilling the assumption of negligible wavefunction overlap. Crucially, the dots are still close enough for sustaining a significant Förster interaction and dipolar shift [41]. From figure 5 we identify deformation potential coupling as the dominant phonon–decoherence mechanism and neglect piezoelectric coupling in the following. This agrees with the literature [52, 54] and is primarily due to the fact that electrons and holes couple individually and with a different strength to phonons through the deformation potential, whereas only the difference in their wavefunctions contributes to the piezoelectric coupling. The difference in deformation potentials D_e and D_h combined with the slightly different electron and hole cutoff frequencies gives rise to the two peaks in the lhs of figure 5. The drop down to zero between the peaks is a ‘sweet spot’ at which point the phonon couplings completely cancel out. Typically, self-assembled QDs are disc-shaped with a lateral width of 10–20 nm and a smaller height of around 5 nm in the growth direction. Accounting explicitly for this would introduce an angular dependency into the spectral density with the largest value of the cutoff frequency being

in the growth direction, and having a value similar to that obtained using the isotropic potential model¹⁰. Hence, the error introduced by the simple model above is likely to be an overestimation of the magnitude of the spectral density towards large frequencies, with no considerable change in the position of the cutoff. Furthermore, in the limit of very small frequency, the spectral density does not depend on the form of the wavefunctions for electron and hole at all because the Fourier transforms then give $\mathcal{P}[\psi^{e/h}(\mathbf{r})] \approx 1$.

Solving equation (38) allows us to characterize the performance of both adiabatic and dynamic operation of the CPHASE gate in the presence of a phonon bath. We find that the dynamic gate, with positive coupling $V_F = 0.85$ meV, fares poorly even at zero temperature due to phonon emission processes. In this case, $|\psi_- \rangle$ is the lowest energy eigenstate at resonance such that relaxation from both $|\zeta_- \rangle$ and $|\zeta_+ \rangle$ into the dark state $|\psi_- \rangle$ is possible. By the definitions of Υ and Ξ (equations (35) and (36)), it is clear that a reduction of both these frequencies simultaneously to values much smaller than $2V_F$ is impossible. The result is that phonon emission processes, being roughly proportional to $J_{\pm}(2V_F)$, always operate at a fast rate, eventually transferring all population initially in $|11 \rangle$ into $|\psi_- \rangle$. However, if the Förster coupling is negative, with $|V_F| > \Omega/\sqrt{2}$, the upwards shift of $|\psi_- \rangle$ is sufficiently large to lift it above both $|\zeta_- \rangle$ and $|\zeta_+ \rangle$ at resonance and the dynamic scheme then works relatively well at low temperatures. In contrast, in the adiabatic approach phonon emission is always prevented for $\Delta > 2|V_F|$ because $|\zeta_- \rangle$ is then the ground state of the system, yielding a perfect gating operation at zero temperature. In fact, if V_F is negative this is even true irrespective of its particular value. At finite temperature, we expect the adiabatic performance for improving with increasing detuning due to the rapid reduction in spectral density for large frequencies.

In figure 6, we plot the purity of the full 9LS density matrix at $T = 5$ K for both the dynamic and adiabatic approaches. The purity of the dynamic gate with positive Förster coupling quickly drops to a value of around 0.62, corresponding to the expected state where all population has been transferred into $|\psi_- \rangle$. Of course, decoherence also occurs in \mathcal{H}_I and $\mathcal{H}_{I'}$, and this is included in the calculation as reflected by the slow and steady decline of the curve as time progresses. As expected, the performance of the adiabatic gate improves dramatically with increasing Δ by pushing both Λ and Ξ beyond the spectral cutoff¹¹, meaning $J(\Lambda)$ and $J(\Xi)$ decay exponentially. Physically, this implies that phonons with an energy matching the transitions between the relevant system eigenstates no longer interact with the dot because of their short wavelengths. This advantageous regime sets in at an energy below 10 meV, allowing us to safely assume a linear phonon dispersion and to ignore optical phonon modes.

5. LZ transitions

Non-adiabatic LZ transitions between system eigenstates are a further error source in the adiabatic scheme and can only be avoided by varying the control parameters more slowly. There is, of course, a limit as to how slowly things can be done, since typically many operations need to be performed within the lifetime of the electron spin (roughly a millisecond [7]).

¹⁰ Accounting for the anisotropy would also slightly affect the position of the minima of the deformation potential spectral density in figure 5, which would cease to be identically zero after averaging over the angular dependence.

¹¹ Υ does not increase with larger Δ , but this turns out to be unimportant as P_{Υ} couples states which, ideally, remain unpopulated.

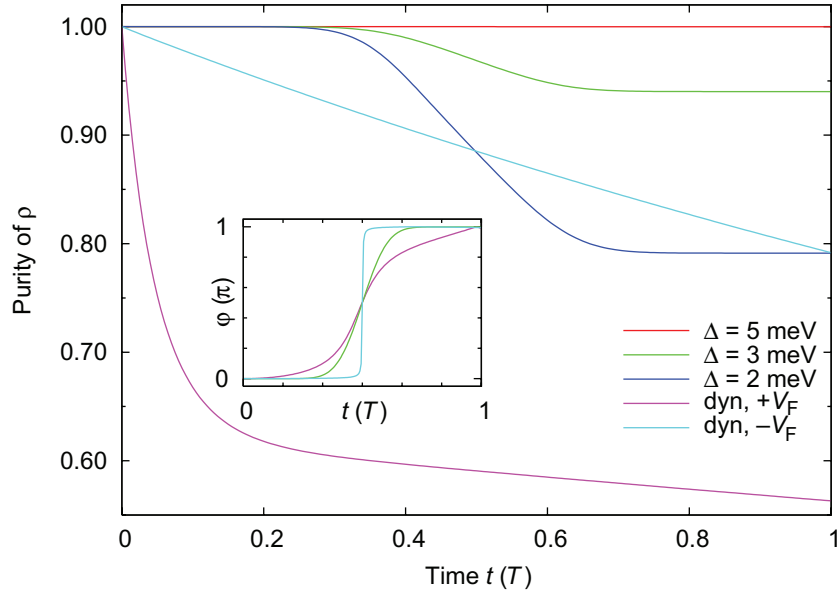


Figure 6. The purity of the full density matrix during a CPHASE operation at $T = 5$ K. The system is coupled to a phonon bath as described by the ME (38). For the dynamic operation, we show results for $V_F = \pm 0.85$ meV, as indicated by the labels ‘dyn, $+V_F$ ’ and ‘dyn, $-V_F$ ’. The phase build-up of the dynamic gate (shown in the inset) should be step-like, but is considerably smeared out in the case of the positive V_F , indicative of the fact that this approach does not work (see text). The congruence of the terminal value of the adiabatic curve for $\Delta = 2$ meV and the dynamic curve with negative V_F is coincidental.

For the simplest case of a 2LS with an avoided crossing, the final transition amplitude was derived by Landau, Zener and Stückelberg [19]–[21]. Unfortunately, this theory cannot generally be applied to driven qubits so that obtaining the transition amplitude often involves numerically solving the Schrödinger equation. Wubs *et al* [22] performed a detailed study of LZ transitions in optically controlled qubits, including subtleties such as phase effects of the driving laser. They find that if the final transition amplitude vanishes after the pulse has finished, i.e. all population returns to the ground state, there may still be a significant population transfer at intermediate times. In particular, for a symmetric laser pulse and a transition amplitude not much larger than one in a thousand at all times during the operation, almost the entire population undergoing an LZ transition is transferred back into the $|-\rangle$ state once the pulse has finished. This is illustrated in some of the curves of figure 7. This is undesirable as it then becomes difficult to accurately predict the final phase achieved during the adiabatic operation. Furthermore, any population transferred to the excited state is much more susceptible to decoherence processes.

In general, any time-dependent perturbation of a 2LS will lead to a finite transition probability between the dressed states. In order to keep this probability sufficiently small, we must explore the conditions under which adiabatic following can reasonably be expected. An adiabaticity condition for the simple model of a linear detuning sweep $\Delta = \dot{\Delta}t$ and constant coupling strength $\Omega/\dot{\Delta} \ll 1$ has been suggested in [8, 18]. Here, we take a more general approach and assume that both Δ and Ω are time-dependent unless explicitly stated.

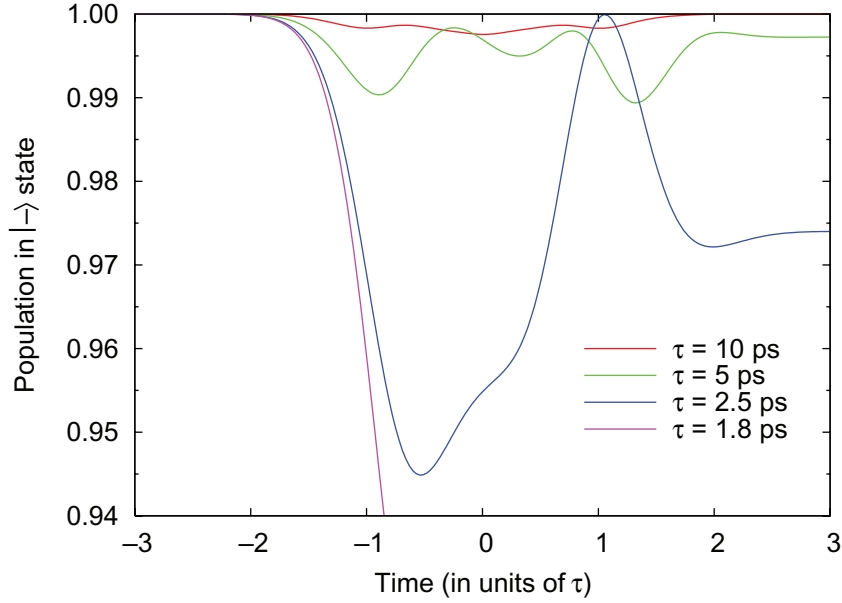


Figure 7. The population remaining in the $|-\rangle$ ($\approx |\zeta_{-}\rangle$) state of the \mathcal{H}_2 subspace is shown for different pulse durations τ of a laser pulse $\Omega(t) = \Omega_0 \exp[-(t/\tau)]$ and $\Omega_0 = \Delta = 1 \text{ ps}^{-1}$. In this case, the adiabaticity condition (49) requires $\tau \gg \sqrt{2} \text{ ps}$. The final population leakage for the $\tau = 1.8 \text{ ps}$ curve is roughly 8.5% and off the scale of this plot.

To derive an adiabaticity condition for the CPHASE gate, we again consider the 2LS approximation to equation (4), valid as before for large positive detuning. In fact, in this regime the level spacing between $|\zeta_{-}\rangle$ and the upper dressed state $|\zeta_X\rangle$ is significantly larger than that between $|\zeta_{-}\rangle$ and $|\zeta_{+}\rangle$. Furthermore, the coupling between $|\zeta_{-}\rangle$ and $|\zeta_X\rangle$ originates from the coupling of $|\psi_{+}\rangle$ to $|XX\rangle$, but, at large detuning, $|\zeta_{-}\rangle$ only contains a small admixture of $|\psi_{+}\rangle$. Therefore, the probability of LZ transitions from $|\zeta_{-}\rangle$ to $|\zeta_X\rangle$ is doubly small and it is sufficient to consider only those between $|\zeta_{-}\rangle$ and $|\zeta_{+}\rangle$. The transformation to the basis of approximate instantaneous eigenstates (see equation (5)),

$$U = \begin{pmatrix} \cos \Theta & -\sin \Theta \\ \sin \Theta & \cos \Theta \end{pmatrix}, \quad (44)$$

is time-dependent because Ω and Δ vary with time (recall that $2\Theta = \arctan(\sqrt{2}\Omega/\Delta)$), while the Hamiltonian transforms as

$$\tilde{H} = U^\dagger H U + i \left(\frac{d}{dt} U^\dagger \right) U. \quad (45)$$

Let $\lambda^\pm = 1/2(\Delta \pm \sqrt{\Delta^2 + 2\Omega^2})$ denote the approximate instantaneous eigenenergies of the states $|\zeta_{-}\rangle$ and $|\zeta_{+}\rangle$. In this basis

$$\tilde{H} = \lambda^- |\zeta_{-}\rangle \langle \zeta_{-}| + \lambda^+ |\zeta_{+}\rangle \langle \zeta_{+}| + \dot{\Theta} (i |\zeta_{-}\rangle \langle \zeta_{+}| + \text{h.c.}), \quad (46)$$

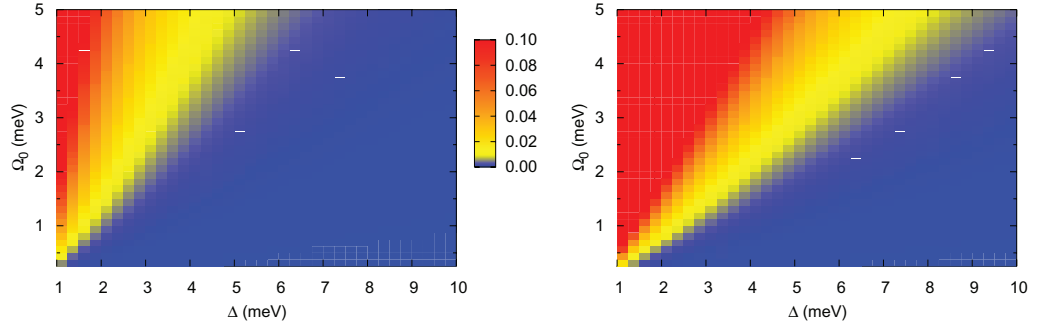


Figure 8. Comparison of adiabaticity conditions. Left: the lhs of inequality (48) is plotted as a function of detuning and coupling strength. The pulse duration τ is chosen for generating a phase shift of π . The blue colour denotes a region where LZ transitions are adiabatically suppressed, i.e. where the lhs of (48) is much smaller than one. Towards the yellow region, it approaches values of $1/100$ and the approximation starts to break down. The red colour denotes a value of $1/10$ or more and adiabatic following can no longer be expected. Right: the same for the lhs of equation (49) divided by τ . We observe the same qualitative behaviour as in the left part of the plot, however, this simplified form of the adiabatic condition is more stringent than the previous one, confirming that it guarantees adiabatic following, but is not necessarily required for it.

where the off-diagonal terms in \tilde{H} couple the eigenstates and lead to LZ transitions. To achieve adiabatic following, the magnitude of these terms must be much smaller than the energetic difference between the eigenstates, giving

$$|\dot{\Theta}| \ll |\lambda^+ - \lambda^-|. \quad (47)$$

This is equivalent to the more familiar condition $\langle \zeta_- | \frac{d}{dt} | \zeta_+ \rangle \ll |\lambda^+ - \lambda^-|$ [22]. Inserting λ^\pm , we arrive at the following adiabaticity condition:

$$\frac{\dot{\Omega}\Delta - \Omega\dot{\Delta}}{\sqrt{2}(\Delta^2 + 2\Omega^2)^{3/2}} \ll 1, \quad (48)$$

valid for the arbitrary field amplitude Ω and the detuning Δ . If the temporal evolutions of Ω and Δ are known, this condition can be brought into the form $F(\Delta_0, \Omega_0) \ll \tau$, where $F(\Delta_0, \Omega_0)$ is a time-independent relation of known parameters and τ gives the characteristic time of the applied pulse. For instance, in the particular case considered here of a Gaussian field amplitude $\Omega = \Omega_0 \exp[-(t/\tau)^2]$ and constant detuning $\Delta = \Delta_0$, an upper bound for adiabaticity is found to be

$$\sqrt{2}\Omega_0/\Delta_0^2 \ll \tau. \quad (49)$$

In figure 8, we evaluate the adiabaticity conditions of both equations (48) and (49), plotting as a function of Δ and Ω_0 . The same conditions should also hold in subspaces \mathcal{H}_1 and $\mathcal{H}_{1'}$, with the change $\sqrt{2}\Omega \rightarrow \Omega$. We see clearly that LZ transitions do not occur in the limit $\Delta \gg \Omega_0$, exactly the same limit as that for which phonon transitions are suppressed, allowing us to circumvent both error sources simultaneously.

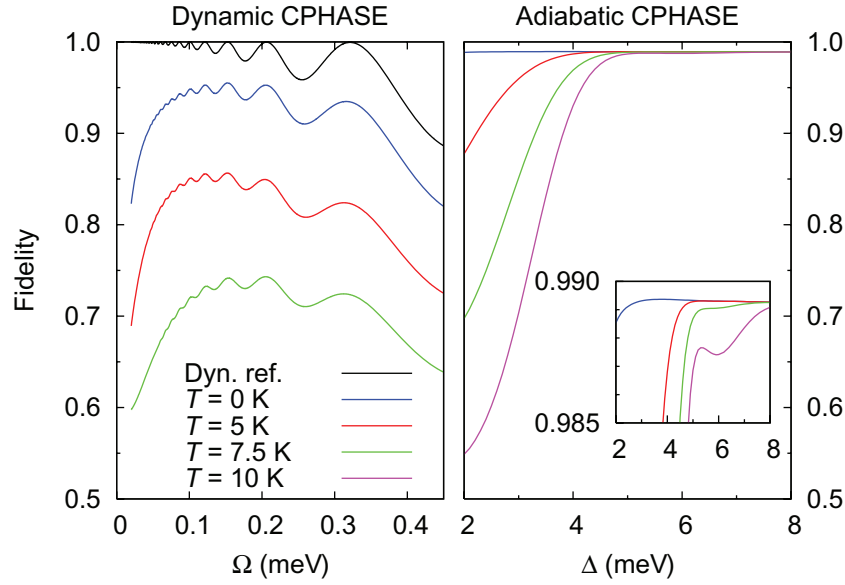


Figure 9. Fidelity of the CPHASE gate. Left: fidelity of the dynamic operation for a negative $V_F = -0.85$ meV. The dynamic reference curve gives the fidelity without additional decoherence (see text). Right: fidelity of the adiabatic operation for fixed $\Omega_0 = 1$ meV. The inset shows the same curves on a different scale for resolving more subtle features.

6. CPHASE gate fidelity

To bring together the results of the previous sections, we now calculate the overall fidelity of the CPHASE operation, obtained from a numerical solution to an ME that includes both spontaneous emission as well as phonon-induced processes (and, of course, allows LZ transitions). We take an input state given by $|\phi_i\rangle = (|00\rangle + |01\rangle + |10\rangle + |11\rangle)/2$, which, after the CPHASE operation, should ideally produce an output state $|\phi_f\rangle = (|00\rangle + |01\rangle + |10\rangle - |11\rangle)/2$. However, in practice, for both the adiabatic and dynamic approaches some phase is picked up in the \mathcal{H}_1 and $\mathcal{H}_{1'}$ subspaces. This needs to be ‘unwound’ using two single qubit operations that we do not consider here. We therefore define the fidelity as $\mathcal{F} = \langle \phi_f | \rho | \phi_f \rangle$, where $|\phi_f\rangle$ accommodates the additional phases on $|10\rangle$ and $|01\rangle$, and ρ is the full density matrix of the system after the gate has finished, including all detrimental environmental effects. As before, we use the material parameters of table 1 and assume a single dot radiative decay rate of $\Gamma_0 = 0.01$ ps $^{-1}$.

The results are plotted in figure 9. The lhs shows the fidelity of the dynamic gate with $V_F = -0.85$ meV as a function of the coupling strength Ω , and for different temperatures. The degradation of the fidelity due to detuned Rabi oscillation in both \mathcal{H}_1 and $\mathcal{H}_{1'}$ is included and, as expected, the effect is oscillatory and becomes more pronounced as Ω increases [16] (to further illustrate this point, we have omitted all other sources of decoherence for the curve labelled ‘dyn. ref.’). The fidelity is limited by the finite excitonic lifetime towards small values of Ω , while to the right of the plot phonon-induced processes become more important. The best fidelity achieved here is roughly 0.95 at absolute zero, and decreases even further at finite temperature.

The contrasting behaviour of the adiabatic scheme is shown in the rhs of figure 9. Here, the gate fidelity is plotted as a function of the detuning Δ , for a fixed value of $\Omega_0 = 1$ meV. In agreement with the conclusions of the previous sections, the fidelity can be substantially increased simply by applying a suitably large detuning to the driving laser. In this limit, the fidelity is restricted by spontaneous emission, as the effects of LZ and phonon-induced transitions are confined to relatively small values of Δ . Nonetheless, for $\Delta > 5$, meV the fidelity is greater than 0.985 for all temperatures shown and would improve even further for a smaller spontaneous emission rate (the rate used here translates into a rather pessimistic excitonic lifetime of 0.1 ns). For interest, the inset shows an intermediate peak visible only at higher temperatures, which is related to the dip in the spectral density of the deformation potential (see figure 5).

7. Conclusion

To summarize, we have performed a realistic decoherence study of both the adiabatic and dynamic approaches to exciton-mediated spin manipulation in coupled QDs. We have shown that while dynamic gates suffer from rapid decoherence at finite temperatures (to an extent that may prohibit fault-tolerant QC), performing off-resonant adiabatic manipulations allows us to greatly suppress decoherence during the entangling operations. While a trade-off situation for minimizing overall decoherence arises for the coupled QD dynamic scheme, this is no longer the case in the adiabatic scheme. Since no upper bound is imposed on the gate duration by radiative decay, a detuned and slow adiabatic operation is suitable for alleviating the adverse effects of both phonon-induced decoherence and LZ transitions. In this case, it is the finite spin coherence time that sets a bound on the possible gate duration. However, the adiabatic gates we consider operate on timescales of around 100 ps, much shorter than typical spin coherence times [7] and only about an order of magnitude greater than the ‘fast’ dynamic gates. We therefore conclude that adiabatic optical manipulation is a remarkably robust method for entangling spin qubits embodied in semiconductor nanostructures.

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Appendix. Interaction picture transformation

The system interaction picture operators of equation (29) are strictly valid when the system’s eigenfrequencies are constant in time, as is the case for the dynamic control scheme. This appendix gives a justification for using the same interaction picture operators within the

adiabatic approach, which has a time-varying system Hamiltonian due to $\Omega(t)$. We start with a qualitative argument followed by a more formal justification.

The transformation of equation (26) to the interaction picture as in equation (29) would be strictly correct if the system frequencies Λ , Υ and Ξ were constant. We require each of them to change sufficiently slowly in time for achieving adiabatic following. As long as $\dot{\Lambda}t \ll \Lambda$, etc. Λ , Υ and Ξ can be assumed to be essentially constant at any given moment of time, such that a system operator $P_{\omega'}$ is well described by $P_{\omega'}e^{i\omega't}$ as its interaction picture representation (up to a quasiconstant additional phase only varying on timescales much larger than $1/\omega'$). Since the Markovian approximation in the derivation of the ME only depends on the current time, it seems only reasonable and consistent to use an instantaneous interaction picture operator.

More formally, we want to transform

$$H(t) = H_S(t) + H_B + H_I \quad (\text{A.1})$$

to the interaction picture with respect to $H_S(t)$ and H_B . $H_S(t)$, H_B and H_I are given by equations (24), (25) and (26), respectively. The precise interaction picture transformation for a constant H_B and a time-dependent $H_S(t)$ is given by the unitary transformation

$$U(t) = T \exp \left(-i \int_0^t d\tau H_S(\tau) \right) e^{-iH_B t}, \quad (\text{A.2})$$

where T is the time-ordering operator and we have chosen the Schrödinger and the interaction picture to coincide at the arbitrarily chosen initial time $t = 0$. As $H_S(t)$ does not commute with itself at different times, we go into the diagonal basis (in a similar way as for equation (46)) and perform the adiabatic approximation, so that the system Hamiltonian is simply

$$H'_S(t) = \lambda^-(t)|\zeta_-\rangle\langle\zeta_-| + \lambda^+(t)|\zeta_+\rangle\langle\zeta_+| + \lambda^\psi(t)|\psi_-\rangle\langle\psi_-|, \quad (\text{A.3})$$

where the $\lambda^{\pm,\psi}(t)$ are functions of time. Hamiltonian (A.3) now commutes with itself at different times and we can drop the time-ordering operator from equation (A.2). The system part $U_S(t)$ of the transformation is then

$$U_S(t) = \exp \left(-i \int_0^t d\tau H'_S(\tau) \right). \quad (\text{A.4})$$

Instead of using equation (A.4) to transform into the interaction picture, we apply the following transformation:

$$U_S(t) = \exp \left(-iH'_S(t)t \right) \quad (\text{A.5})$$

for obtaining transformed system operators $P_{\omega'}e^{i\omega't}$ with nearly constant ω' , which is the form we require for the derivation of the Markovian ME. However, since the total Hamiltonian transforms as

$$\tilde{H} = U^\dagger H U + i \left(\frac{d}{dt} U^\dagger \right) U, \quad (\text{A.6})$$

this gives

$$\tilde{H} = H_I - \left(\frac{d}{dt} H'_S(t) \right) t, \quad (\text{A.7})$$

rather than the desired $\tilde{H} = H_I$ as the transformed Hamiltonian. The additional contribution can be neglected if $\dot{H}'_S t \ll H'_S$ (this condition is essentially equivalent to the condition on the eigenfrequencies, $\dot{\omega}' t \ll \omega'$, as stated above). The ME derived in this way then fails to capture

the effect of the phonon bath on a small part of the system's dynamics. Therefore, the error is likely proportional to the overall effect caused by the phonon bath rather than being a constant of a given magnitude. Most importantly, the approximation made by neglecting the additional contribution to \tilde{H} improves rapidly as Δ increases relative to Ω . In the limit $\Delta \gg \Omega$, for which we predict a very high fidelity, the approximation is then very good indeed.

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