

# Neptune’s HCl upper limit from Herschel/HIFI<sup>☆</sup>

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## Abstract

Here we search for hydrogen chloride (HCl) in Neptune’s stratosphere using observations of the 1876.22 GHz J=3–2 transition from the Heterodyne Instrument for the Far-Infrared (HIFI) on Herschel. Observations comprise a 7.2 hr disc-averaged integration, originally designed to investigate stratospheric methane. Significant HCl emission was not detected. Instead, we determine upper limits using step-type abundance profiles, defined by zero deep abundance and uniform volume mixing ratio for pressures less than a transition pressure (assumed to be 0.1 or 1 mbar). These profiles are a reasonable first-order approximation for an externally sourced species; at higher pressures HCl is expected to be removed by aerosol scavenging and reactions with ammonia. The  $3\sigma$  upper limits are  $<0.70$  parts per billion (ppb) for a 0.1 mbar transition pressure and  $<0.076$  ppb for a 1 mbar transition pressure. These upper limits are the most stringent to date and are consistent with current estimates of interplanetary dust particle flux and the hypothesis that

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Neptune experienced a large comet impact in the past 1000 years.

*Keywords:* Neptune, Atmosphere, Composition, Herschel, sub-millimetre

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## 1. Introduction

Hydrogen chloride (HCl) provides an important probe of external flux and in-situ chemistry in giant planet atmospheres, but has so far not been detected on any of the giant planets. Therefore, in the absence of any detections, we start by considering Neptune’s bulk chlorine abundance for context. Measurements from chondritic meteorites and observations of the Sun’s photosphere suggest the solar Cl/H ratio is  $1.8 \times 10^{-7}$  (Lodders, 2010). If HCl were Neptune’s dominant chlorine compound and assuming a molar He/H<sub>2</sub> ratio of 0.15 (Conrath et al., 1993), the implied bulk HCl volume mixing ratio would be 0.3 ppm (parts per million). However, Neptune is significantly enriched in heavy elements compared to the Sun, due to a more significant mass component being contributed from icy planetesimals during formation, rather than direct nebular gas capture (Owen and Encrenaz, 2006; Irwin, 2009; Fortney and Nettelmann, 2010; Helled et al., 2011; Nettelmann et al., 2013; Cavalié et al., 2020; Mousis et al., 2020; Atreya et al., 2020). This enrichment would result in a larger bulk chlorine abundance.

The exact magnitude of elemental enrichment in Neptune is currently uncertain, but can be estimated using observations of trace species in Neptune’s observable atmosphere. This is challenging because the observable atmospheric composition may not be directly related to the interior composition due to many atmospheric and interior processes (Atreya et al., 2020; Cavalié et al., 2020; Teanby et al., 2020). These processes include: exter-

23 nal flux from micrometeorites and interplanetary dust particles (Moses and  
24 Poppe, 2017); comet impacts (Moreno et al., 2017; Lellouch et al., 2005);  
25 photochemical processing (Moses, 1992; Moses et al., 2018; Dobrijevic et al.,  
26 2020); internal thermochemical reactions (Lodders and Fegley, 1994; Cavalié  
27 et al., 2020; Venot et al., 2020); and uncertainty in mixing and equilibra-  
28 tion processes in the deep interior (Helled and Stevenson, 2017; Helled et al.,  
29 2020).

30 Nevertheless, with caution some inferences from atmospheric observations  
31 can be made, assuming that the interior is well mixed. These are reviewed in  
32 detail by Teanby et al. (2020), with the key observations summarised briefly  
33 here. Observations of  $\text{CH}_4$  abundances of 2–4% by volume, at pressures  
34 higher than the 1–2 bar condensation level, provide the most reliable indi-  
35 cator of internal enrichment currently available (Atreya et al., 2020; Cavalié  
36 et al., 2020; Mousis et al., 2020), and suggest a C/H enrichment of  $\sim 50$ –100  
37 (Baines et al., 1995; Karkoschka and Tomasko, 2011; Tollefson et al., 2019;  
38 Irwin et al., 2019a). Inferred interior water content derived from observations  
39 of D/H suggest an O/H enrichment of  $\sim 50$ –150 (Feuchtgruber et al., 2013),  
40 but rely on assumptions about the D/H ratio in the source ices. CO obser-  
41 vations imply even more extreme enrichments might be possible for O/H,  
42 with enrichments as high as 250–650 being suggested to explain to explain  
43 the presence of possibly internally-sourced CO in Neptune’s troposphere (Lel-  
44 louch et al., 2005; Luszcz-Cook and de Pater, 2013; Cavalié et al., 2017; Venot  
45 et al., 2020). Alternatively, if CO is externally sourced and only present in  
46 Neptune’s stratosphere and upper troposphere because of downward mixing,  
47 O/H enrichments of  $\sim 30$ –130 may be more reasonable (Teanby et al., 2019;

48 Teanby et al., 2020).

49     Given the variability and inconsistency of interior enrichment estimates,  
50 a conservative estimate of Neptune’s bulk Cl/H enrichment is  $\sim 50$ . This  
51 would imply a bulk HCl volume mixing ratio of  $\sim 50 \times 0.3 \text{ ppm} = 15 \text{ ppm}$   
52 if all chlorine is in the form of HCl. Thermochemical models developed for  
53 Jupiter and Saturn’s interiors show that HCl is only dominant at tempera-  
54 tures from  $\sim 450\text{--}1500 \text{ K}$ , corresponding to pressures of  $\sim 200\text{--}10^5 \text{ bar}$  (Fegley  
55 and Lodders, 1994). At higher pressures and temperatures, in the very deep  
56 interior, alkali chlorides such as NaCl are the dominant form of chlorine. At  
57 lower pressures and temperatures HCl reacts rapidly with  $\text{NH}_3$  to form am-  
58 monium chloride salts ( $\text{NH}_4\text{Cl}$ ), so is removed from the atmosphere (Fegley  
59 and Lodders, 1994; Showman, 2001). Showman (2001) estimates this loss  
60 process is extremely rapid, with a timescale of a few seconds or less, so an  
61 internal source for HCl is extremely unlikely.

62     Therefore, any HCl observed in Neptune’s stratosphere must be external  
63 in origin and so provides constraints on stratospheric chemistry and external  
64 fluxes. Possible external sources include micrometeorites, interplanetary dust  
65 particles, and comet impacts (Moses and Poppe, 2017; Moreno et al., 2017).  
66 Stratospheric HCl should be stable to loss via ammonia salt creation as any  
67 residual  $\text{NH}_3$  advected from the deep troposphere will be effectively removed  
68 by condensation, reactions with excess  $\text{H}_2\text{S}$  to form  $\text{NH}_4\text{SH}$  (Irwin et al.,  
69 2019b), and photolysis (Kaye and Strobel, 1983; Moses, 2000). However,  
70 other HCl loss processes such as aerosol scavenging provide alternative po-  
71 tentially efficient removal processes, but are not well constrained (Showman,  
72 2001; Teanby et al., 2014). Therefore, observations of HCl provide important

73 constraints on external sources, loss processes, and photochemical pathways  
74 in Neptune’s stratosphere.

75 Previous studies have searched for HCl on Jupiter (Fouchet et al., 2004;  
76 Teanby et al., 2014) and Saturn (Teanby et al., 2006; Fletcher et al., 2012),  
77 but have been unsuccessful. The current best  $3\sigma$  upper limit for Jupiter is  
78 0.061 ppb (parts per billion) (Teanby et al., 2014) and for Saturn is 130 ppb  
79 (Fletcher et al., 2012), assuming for both planets that HCl is confined to  
80 pressures less than 1 mbar. Neptune provides a promising target for HCl  
81 detection as it has the second highest interplanetary dust particle (IDP) flux  
82 after Jupiter (Moses and Poppe, 2017), a colder tropopause resulting in less  
83 stratospheric residual ammonia, and is thought to have recently experienced  
84 a large comet impact (Moreno et al., 2017).

85 Evidence for a recent comet impact is provided by observations of Nep-  
86 tune’s stratospheric CO, where many studies have observed  $\sim 1$  ppm (parts  
87 per million) abundances (Lellouch et al., 2005; Marten et al., 2005; Hesman  
88 et al., 2007; Lellouch et al., 2010; Fletcher et al., 2010; Luszcz-Cook and  
89 de Pater, 2013; Teanby et al., 2019). This CO abundance is not compati-  
90 ble with the external steady IDP flux derived from modelling (Moses and  
91 Poppe, 2017) or inferred from the observed stratospheric H<sub>2</sub>O abundance  
92 (Feuchtgruber et al., 1997), which also has an external origin. A possible  
93 explanation for abundant stratospheric CO is that Neptune has suffered a  
94 giant kilometre-scale comet impact in the last  $\sim 1000$  years, supplying sig-  
95 nificant external material directly into the stratosphere and producing CO  
96 from cometary H<sub>2</sub>O via shock chemistry (Lellouch et al., 2005; Moreno et al.,  
97 2017). This would also explain recent observations of CS in Neptune’s strato-

98 sphere (Moreno et al., 2017), another shock chemistry product, which was  
99 also observed on Jupiter in the wake of the SL9 impact (Lellouch, 1996).  
100 The disparate CO and H<sub>2</sub>O observations suggest Neptune’s stratospheric  
101 composition may not be in a steady-state and observing HCl could provide  
102 additional constraints on Neptune’s external flux and chemistry.

103 The Herschel Space Telescope (Pilbratt et al., 2010) provides an excellent  
104 opportunity to search for strong sub-mm HCl lines due to its sensitive in-  
105 strumentation and high spectral resolution. The Heterodyne Instrument for  
106 the Far-Infrared (HIFI) instrument (de Graauw et al., 2010) is particularly  
107 suitable for observing narrow emission lines from upper stratospheric gases.  
108 In this study we use HIFI observations to place new constraints on Neptune’s  
109 stratospheric HCl abundance.

## 110 2. Observations

111 Observations were taken with Herschel’s HIFI instrument. The Herschel  
112 Space Telescope is summarised in Pilbratt et al. (2010) and HIFI is explained  
113 in detail by de Graauw et al. (2010). Herschel’s instruments rely on cryo-  
114 genic cooling and were operational between 2009 and 2013. Over 44000 data  
115 products from the mission have been reduced and are now publicly available  
116 from the Herschel Science Archive (HSA<sup>1</sup>), although a small number ( $\sim 1000$ )  
117 of calibration and engineering products remain restricted. We searched the  
118 HSA for any HIFI observations of Neptune that had a spectral range overlap-  
119 ping with any of HCl’s sub-millimetre rotational lines. This revealed only one

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<sup>1</sup><http://archives.esac.esa.int/hsa/whsa/>

120 suitable observation: open time program (OT1) `OT1_rmoreno_2` (observation  
121 ID 1342233296) in band 7 covering a 2 GHz region around the 1882 GHz  
122 methane line (Moreno, 2010). This observation has been previously analysed  
123 to determine Neptune’s CH<sub>4</sub> profile (Lellouch et al., 2015).

124 HIFI is a double side band (DSB) instrument, where two spectral ranges  
125 are covered simultaneously either side of a central local oscillator frequency,  
126 and combine into a single spectrum (de Graauw et al., 2010). Observations  
127 are designed to target specific species in either the upper side band (USB)  
128 or lower side band (LSB), with the other sideband ideally having no strong  
129 lines to simplify data analysis. However, sometimes unexpected detections  
130 may be possible using the other sideband. For example, HNC on Titan was  
131 first discovered this way using the LSB of an observation designed to study  
132 H<sub>2</sub>O emission in the USB (Moreno et al., 2011).

133 The selected HIFI observation spans the spectral range 1881.3–1883.9 GHz  
134 in the upper side band (USB) covering the CH<sub>4</sub> emission features, but fortu-  
135 itously also 1874.1–1876.7 GHz in the lower side band (LSB), including the  
136 HCl J=3–2 transition line at 1876.22GHz. The combined double side band  
137 (DSB) spectrum had a central local oscillator frequency of 1878.7950 GHz.  
138 The single side band efficiencies for the LSB and USB can be assumed to be  
139 0.5 in band 7, so that LSB and USB spectral regions have equal weighting  
140 in the combined spectrum (Roelfsema et al., 2012). Total integration time  
141 was 25817 sec (7.2 hrs) starting at 06:21:22 on 29<sup>th</sup> November 2011 (oper-  
142 ational day 929) at a spectral resolution of 1.1 MHz with HIFI’s wide-band  
143 spectrometer (WBS). HIFI’s dual beam switch single point observation mode  
144 was used (HIFI Observers’ Manual, 2011; Roelfsema et al., 2012) and data

145 were reduced using pipeline version SPG v14.1.0 to give separate horizon-  
 146 tally and vertically polarised DSB spectra (Shipman et al., 2017). We use  
 147 the Level 2.5 pipeline data products, which have been converted into phys-  
 148 ical units of antenna temperature ( $T_A$ ), have individual sub-bands stitched  
 149 together to give continuous spectra, and are Doppler corrected for relative  
 150 motion between Neptune and Herschel.

151 HIFI band 7 observations are effected by electrical standing waves, which  
 152 have a typical wavelength of 100 MHz. These standing waves are effectively  
 153 removed using task `doHebCorrection` during pipeline processing (Shipman  
 154 et al., 2017; Kester et al., 2014) and are not apparent in the Level 2.5 data.  
 155 This processing is not expected to affect any real spectral features or the  
 156 baseline level (Kester et al., 2014). The standing waves are also much longer  
 157 wavelength than the expected narrow HCl emission features. At the time  
 158 of the observation, Neptune had a relative velocity of  $29.62 \text{ km s}^{-1}$  away  
 159 from Herschel, which meant the local oscillator had an effective frequency  
 160 of 1878.9806 GHz in the Doppler corrected spectrum. Herschel’s 3.28 m  
 161 effective diameter primary mirror has an Airy disc diameter of 12.3 arcsec  
 162 at 1875GHz - much larger than Neptune’s apparent diameter of 2.27 arcsec  
 163 - resulting in a disc-average spectrum. The Doppler corrected level 2.5 data  
 164 are shown in Figure 1a.

165 The original 1.1 MHz resolution spectrum was re-binned to a 6 MHz  
 166 resolution prior to analysis. This new resolution is still higher than the in-  
 167 trinsic line widths of spectral features in Neptune’s spectrum (see section 3),  
 168 so does not degrade the spectrum, but has advantages of: (1) providing an  
 169 independent estimate of the uncertainty of each spectral point from the stan-



170 dard error of the points in each bin; and (2) reducing the number of spectral  
 171 points, so making the spectral modelling more efficient. The re-binning also  
 172 improve the signal-to-noise by a factor of  $\sqrt{6/1.1} \sim 2.3$ . However, the accu-  
 173 racy of any subsequent analysis is unchanged due to the reduced number of  
 174 points in the re-binned spectrum. Antenna temperature was then converted  
 175 to a line-to-continuum ratio by rescaling with the mean antenna tempera-  
 176 ture of the continuum after masking out the CH<sub>4</sub> emission lines and HCl line  
 177 position. The advantage of rescaling the spectrum to a line-to-continuum ra-  
 178 tio is that the data then becomes independent of beam efficiency and beam  
 179 dilution due to the disc averaged nature of spectrum. Vertical and hori-  
 180 zontal polarisations were averaged together to provide an overall spectrum  
 181 for analysis mapped onto the LSB frequency axis. The final DSB binned  
 182 line-to-continuum spectrum is shown in Figure 1b.

### 183 **3. Spectral modelling**

184 HIFI spectra were analysed using the NEMESIS radiative transfer code  
 185 (Irwin et al., 2008). Our nominal Neptune atmospheric model is the same  
 186 as that used by Teanby et al. (2019) and has a temperature profile based on  
 187 Voyager radio occultations (Lindal, 1992) and AKIRI observations (Fletcher  
 188 et al., 2010) (Figure 2a). Atmospheric gases that contribute to the 1875 GHz  
 189 spectral region are H<sub>2</sub>, He, N<sub>2</sub> and CH<sub>4</sub>. We used a He/H<sub>2</sub> ratio of 0.15 by  
 190 volume and an N<sub>2</sub> volume mixing ratio of 0.003 derived by Conrath et al.  
 191 (1993) from Voyager infrared and radio observations. The CH<sub>4</sub> profile (Fig-  
 192 ure 2b) is from analysis by Lellouch et al. (2015) who use the same HIFI  
 193 observations as those used here.

194 The overall effective instrument function of the HIFI DSB spectra is de-  
 195 fined by a convolution of the original 1.1 MHz instrument function, the square  
 196 6 MHz width noise reducing bin, and Doppler rotation broadening caused  
 197 by Neptune’s rapid rotation and the disc-averaged nature of the spectrum.  
 198 Following the method in Teanby et al. (2014), the Doppler rotation broad-  
 199 ening has a full-width half-maximum (FWHM) of 25.6MHz. This Doppler  
 200 broadening dominates the overall combined FWHM of 24.6MHz and the ef-  
 201 fect of the noise-reducing binning is negligible. For computational efficiency,  
 202 pre-tabulated absorption parameters were calculated using the correlated-k  
 203 approximation (Goody and Yung, 1989; Lacis and Oinas, 1991) and the in-  
 204 strument function was incorporated directly into the k-tables. Spectroscopic  
 205 line parameters for the gases were the same as those used by Teanby et al.  
 206 (2019).

207 To create a representative disc-average Neptune spectrum, an area-weighted  
 208 average spectrum was generated from 33 annuli centred on Neptune with  
 209 mean emission angles spanning Neptune’s disc and limb; 20 on disc and 13  
 210 covering the limb (Teanby et al., 2019). This methodology is outlined in de-  
 211 tail in Teanby et al. (2013). To allow a direct comparison with the measured  
 212 HIFI data, the resulting synthetic spectrum is folded about the Doppler cor-  
 213 rected local oscillator frequency and averaged with single side band weights  
 214 of 0.5 (Roelfsema et al., 2012) to create a synthetic DSB spectrum. The  
 215 same methane and HCl line masking was applied to the DSB synthetic as to  
 216 the observations to convert the synthetic into a line-to-continuum ratio by  
 217 rescaling with the mean continuum level.

218 To include HCl emission in the synthetic spectrum requires some knowl-

219 edge of its likely atmospheric profile. A uniform volume mixing ratio through-  
 220 out the troposphere and stratosphere is not realistic as HCl will have been  
 221 removed from the observable troposphere by reactions with ammonia in the  
 222 deep atmosphere. A uniform abundance throughout the stratosphere is also  
 223 not likely. Externally sourced HCl is likely to be most abundant in the upper  
 224 stratosphere and mesosphere as maximum ablation of IDPs occurs at pres-  
 225 sures less than  $\sim 1$ – $0.1$  mbar (Moses and Poppe, 2017). In the middle and  
 226 lower stratosphere of giant planets, scavenging of HCl by aerosols is expected  
 227 to be an important removal process (Showman, 2001; Teanby et al., 2014).  
 228 On Neptune, hazes are known to exist at pressures higher than  $\sim 1$  mbar  
 229 (Irwin et al., 2011; Luszcz-Cook et al., 2016; Irwin et al., 2016; Moses et al.,  
 230 1995), which suggests HCl is unlikely to be present in significant quantities  
 231 in the lower stratosphere. Therefore, we consider the simplest appropriate  
 232 profile of HCl to be a step function, with a uniform volume mixing ratio  
 233 at pressures below  $0.1$  or  $1$  mbar and zero abundance at higher pressures  
 234 (Figure 2c). These are similar to the profiles used by Teanby et al. (2014)  
 235 for HCl on Jupiter and Moreno et al. (2017) for CS on Neptune. Nominal  
 236 abundances of  $1$  ppb for the  $0.1$  mbar step and  $0.1$  ppb for the  $1$  mbar step  
 237 were used to define the mask for the HCl emission line and as a starting point  
 238 for the subsequent analysis.

## 239 **4. Results**

240 To determine if significant HCl was present in Neptune’s stratosphere we  
 241 created a suite of synthetic spectra with HCl abundances on a finely spaced  
 242 grid from  $0$ – $1$  ppb using both  $1$  and  $0.1$  mbar step function profiles. For each

synthetic spectrum with HCl abundance  $x$  the  $\chi^2$  misfit between observation  
 $I_{\text{obs}}(\nu_i)$  and synthetic  $I_{\text{fit}}(\nu_i, x)$  was calculated following the method outlined  
in Teanby et al. (2019):

$$\chi^2(x) = \frac{\Delta\nu_{\text{obs}}}{\Delta\nu_{\text{res}}} \sum_{i=1}^N \left[ \frac{I_{\text{obs}}(\nu_i) - I_{\text{fit}}(\nu_i, x)}{\sigma(\nu_i)} \right]^2 \quad (1)$$

where  $\Delta\nu_{\text{obs}}$  is the spacing of the binned data (6MHz),  $\Delta\nu_{\text{res}}$  is the obser-  
vation spectral resolution (24.6MHz), and the observed spectrum has er-  
rors  $\sigma(\nu_i)$  and is measured at  $N$  frequencies  $\nu_i$  ( $i = 1 \dots N$ ). The fac-  
tor  $\Delta\nu_{\text{obs}}/\Delta\nu_{\text{res}}$  is a modification to the usual  $\chi^2$  definition and accounts  
for the fact that the channel spacing is smaller than the effective spec-  
tral resolution, which means the effective number of independent spectral  
points is less than the number of channels by this factor. The significance  
of any detection is given by the change in  $\chi^2$  from the zero abundance  
case  $\Delta\chi^2(x) = \chi^2(x) - \chi^2(0)$ . From Press et al. (1992) a  $1\sigma$  detection  
has  $\Delta\chi^2(x) = -1$ , a  $2\sigma$  detection has  $\Delta\chi^2(x) = -4$ , a  $3\sigma$  detection has  
 $\Delta\chi^2(x) = -9$ , and in the case of no detection a  $3\sigma$  upper limit is given by  
 $\Delta\chi^2(x) = +9$ . We consider  $3\sigma$  the threshold for a reliable detection.

Figure 3 shows the variation of  $\Delta\chi^2$  with HCl volume mixing ratio for  
1 and 0.1 mbar step profiles along with fits to the observed spectrum. The  
Lellouch et al. (2015) CH<sub>4</sub> profile fits the methane emission lines very well  
and to within errors, independently confirming the spectral fitting procedure.  
A small feature in the observed spectrum around the position of the HCl line  
results in a modest  $\Delta\chi^2$  minima of -2.2 at 0.20 ppb for the 0.1 mbar step  
and -2.3 at 0.021 ppb for the 1 mbar step. This only corresponds to a  $\sim 1\sigma$   
detection of HCl, which at such a low significance level must be considered

dubious and is not robust. Furthermore, there are multiple unfitted features in the spectrum at a similar level, which do not correspond to any known spectral lines and are most likely due to noise. A more robust result is  $3\sigma$  upper limits on HCl of 0.70 ppb for the 0.1 mbar step and 0.076 ppb for the 1 mbar step.

## 5. Discussion

Our HCl  $3\sigma$  upper limits of 0.70 ppb ( $p < 0.1$  mbar) and 0.076 ppb ( $p < 1$  mbar) can be compared to other externally supplied stratospheric species to check the consistency of current theories on Neptune’s external flux and chemistry. In particular we consider H<sub>2</sub>O, CO, and CS, which are all believed to be externally sourced.

Stratospheric water is thought to mostly originate from the external flux of IDPs, so can be used to provide insight into expected external HCl, assuming both species are sourced from the same material. Feuchtgruber et al. (1997) measured a stratospheric H<sub>2</sub>O volume mixing ratio of 1.5–3.5 ppb above an assumed condensation level at 0.55–0.7 mbar with Infrared Space Observatory (ISO) observations. More recent analysis of Herschel data by Lellouch et al. (2010) determined  $0.85 \pm 0.20$  ppb H<sub>2</sub>O, assuming uniform mixing above a revised 1.2 mbar condensation level, which was based on an updated slightly warmer temperature profile than that used by Feuchtgruber et al. (1997). These measurements are consistent with estimates derived from IDP ablation modelling (Moses and Poppe, 2017), which have H<sub>2</sub>O volume mixing ratio varying between  $\sim 1$  and 10 ppb in the 1 mbar–1  $\mu$ bar range.

Therefore, an order of magnitude H<sub>2</sub>O estimate suitable for our purposes

290 is uniformly mixed 1 ppb  $\text{H}_2\text{O}$  for pressures less than 1 mbar. Our HCl results  
 291 can be compared to this by converting the  $3\sigma$  HCl upper limit using the  
 292 1 mbar step profile into a  $3\sigma$  upper limit on the Cl/O ratio of 0.076 ppb/1 ppb  
 293 = 0.076, assuming all chlorine is in the form of HCl. This is equivalent to  
 294 an upper limit of 230 times the solar Cl/O ratio of  $3.3 \times 10^{-4}$  from Lodders  
 295 (2010). Measurements of IDP composition show chlorine is typically enriched  
 296 by only 0.5–3 times the solar abundance (Arndt et al., 1996), so this upper  
 297 limit is easily consistent with an IDP water source. The same calculation  
 298 using our extremely speculative  $\sim 1\sigma$  detection of 0.021 ppb for the 1 mbar  
 299 step profile would imply a Cl/O ratio of  $\sim 64$  times the solar Cl/O ratio. This  
 300 is unreasonably high and if confirmed would require a significant additional  
 301 chlorine source to that provided by IDPs.

302 Comet impacts provide an alternative and more sporadic source of ex-  
 303 ternal material into Neptune’s stratosphere. Neptune’s high stratospheric  
 304 CO abundance of  $\sim 1$  ppm cannot be explained with the same steady state  
 305 IDP flux that explains  $\sim 1$  ppb stratospheric water using any reasonable IDP  
 306 composition (Moses and Poppe, 2017). A large comet impact is one solu-  
 307 tion to this discrepancy (Lellouch et al., 2005), as  $\text{H}_2\text{O}$  can be efficiently  
 308 converted to CO by shock chemistry (Zahnle, 1996; Moses, 1996). Thus  
 309 inputting large quantities of CO into Neptune’s stratosphere without a cor-  
 310 respondingly large amount of  $\text{H}_2\text{O}$ . A recent large impact is also consistent  
 311 with observation of CS by Moreno et al. (2017) - a direct product of shock  
 312 chemistry (Lellouch, 1996; Moses, 1996). Recent estimates place Neptune’s  
 313 stratospheric CO abundance at  $\sim 1$  ppm (Luszcz-Cook and de Pater, 2013;  
 314 Teanby et al., 2019). If we ignore all HCl loss processes and assume HCl

315 is the dominant chlorine product from a comet impact then we can use our  
 316 upper limits combined with the CO abundance to determine a Cl/O ratio  
 317 upper limit of  $0.076 \text{ ppb}/1 \text{ ppm} = 7.6 \times 10^{-5}$ . Using the solar Cl/O ratio of  
 318  $3.3 \times 10^{-4}$  (Lodders, 2010) implies a stratospheric Cl/O ratio upper limit of  
 319 0.23 times solar. This sub-solar abundance is apparently inconsistent with  
 320 an approximately solar composition comet. However, HCl is expected to  
 321 have significant loss processes, resulting in a much lower overall atmospheric  
 322 abundance, especially if the comet impact occurred a long time ago, so our  
 323 upper limit is entirely consistent with an ancient comet impact. Further-  
 324 more, recent observations of comets (Bockelée-Morvan et al., 2014; Dhooghe  
 325 et al., 2017) suggest HCl is depleted by a factor of  $\sim 3$ – $6$  compared to so-  
 326 lar composition, although chlorine could potentially be in other forms that  
 327 convert to HCl in Neptune’s atmosphere.

328 CS provides an example of a species that experiences significant strato-  
 329 spheric loss processes and provides an interesting comparison to HCl that  
 330 can help explain the sub-solar Cl/O upper limit. The CS generated during  
 331 the SL9 impact into Jupiter in 1994 (Lellouch, 1996; Moreno et al., 2003)  
 332 still persists to this day, indicating it is moderately long-lived, but it has  
 333 decayed by a factor of about ten in the 20+ years since the impact due to  
 334 mixing and photochemical processes (Iino et al., 2016). On Neptune, Moreno  
 335 et al. (2017) estimate a 4 km diameter comet impact occurring  $\sim 1000$  years  
 336 ago is needed to explain Neptune’s current CS abundance of  $\sim 0.1 \text{ ppb}$  above  
 337 0.1 mbar. Therefore, Neptune’s current CS would be significantly depleted  
 338 compared to its abundance just after the impact. The magnitude of this de-  
 339 pletion can be estimated by assuming a solar composition comet with an S/O

ratio of  $\sim 0.027$  (Lodders, 2010), whereas the current stratospheric CS/CO ratio is around  $\sim 10^{-4}$ , implying an S/O ratio of  $\sim 4 \times 10^{-3}$  times solar. In the unlikely event that HCl behaved exactly like CS, and combining the observed  $\sim 0.1$  ppb CS abundance with an assumed a solar Cl/S ratio of 0.0123 (Lodders, 2010), the HCl abundance would be approximately 1 ppt, much lower than our upper limit. This illustrates how HCl loss mechanisms have the potential to reduce abundances to undetectable levels, even if significant amounts are supplied sporadically by comets.

Further quantification of HCl loss processes is currently not possible as they are not included in photochemical models. Also many of the relevant reactions are not well understood. However, some qualitative discussion of potentially relevant processes is possible. A major loss mechanism for HCl is expected to be scavenging by aerosols (Showman, 2001; Teanby et al., 2014). While this mechanism is poorly constrained in giant planets, 1D diffusion modelling of Jupiter showed that scavenging can be an efficient loss process unless sticking coefficients between HCl and aerosol particles are unrealistically low (Teanby et al., 2014). On Neptune, aerosols are known to exist up to at least 1 mbar pressure levels (Irwin et al., 2011; Luszcz-Cook et al., 2016; Irwin et al., 2016; Moses et al., 1995), so could provide an efficient loss mechanism on Neptune too. Another potential reaction to remove HCl from Neptune’s stratosphere is the so called “acetylene process” commonly used in industrial chemistry to combine  $C_2H_2$  and HCl into chlorinated hydrocarbons such as polyvinyl chloride (PVC). Typically this reaction takes place at high temperature using metal catalyst substrates, but recent work shows that carbon-nitrogen compounds, such as those formed by photochemistry on



Neptune, could also provide viable catalysts (Xu and Luo, 2018). These reactions could be especially important within a high temperature impact plume.  $\text{C}_2\text{H}_2$  and many other hydrocarbons have been observed in Neptune’s atmosphere and provide the raw materials for such a process (Orton et al., 1987; Bezard et al., 1991; Fletcher et al., 2010; Greathouse et al., 2011).

Compared to other giant planets, our upper limit of 0.076 ppb (for  $p < 1$  mbar) is similar to the upper limit for Jupiter of 0.061 ppb, also derived from HIFI (Teanby et al., 2014). These results cannot unambiguously distinguish between potential HCl sources, but do suggest HCl abundances are extremely low in all giant planets as Jupiter and Neptune have the highest IDP fluxes (Moses and Poppe, 2017) and both have had fairly recent large comet impacts supplying additional material. Currently, photochemical models of Neptune (Moses et al., 2018; Dobrijevic et al., 2020) and Jupiter (Moses et al., 2005; Hue et al., 2018) do not include HCl or chlorine species. Inclusion of these species in more complex future models combined with remote or in-situ observations could allow further constraints on the external flux into these planets and possibly distinguish between external supply sources. However, a significant increase in sensitivity compared to the observations analysed here would be required.

## 6. Conclusion

Herschel HIFI observations of Neptune were used to determine upper limits on stratospheric HCl abundance. We found a  $3\sigma$  upper limit of 0.076/0.70 ppb for a profile uniformly mixed at pressures less than 1/0.1 mbar respectively. The HCl upper limits provide useful constraints on Neptune’s external flux

and are consistent with the modelled IDP flux and observations of other trace  
stratospheric species such as  $\text{H}_2\text{O}$ ,  $\text{CO}$ , and  $\text{CS}$ . Our upper limit estimations  
are also consistent with a putative large comet impact in Neptune’s recent  
past, provided that  $\text{HCl}$  loss mechanism are active in Neptune’s stratosphere.  
Further constraints would require incorporation of chlorine species into pho-  
tochemical models, although given the very low expected abundances, these  
constraints might be relatively modest.

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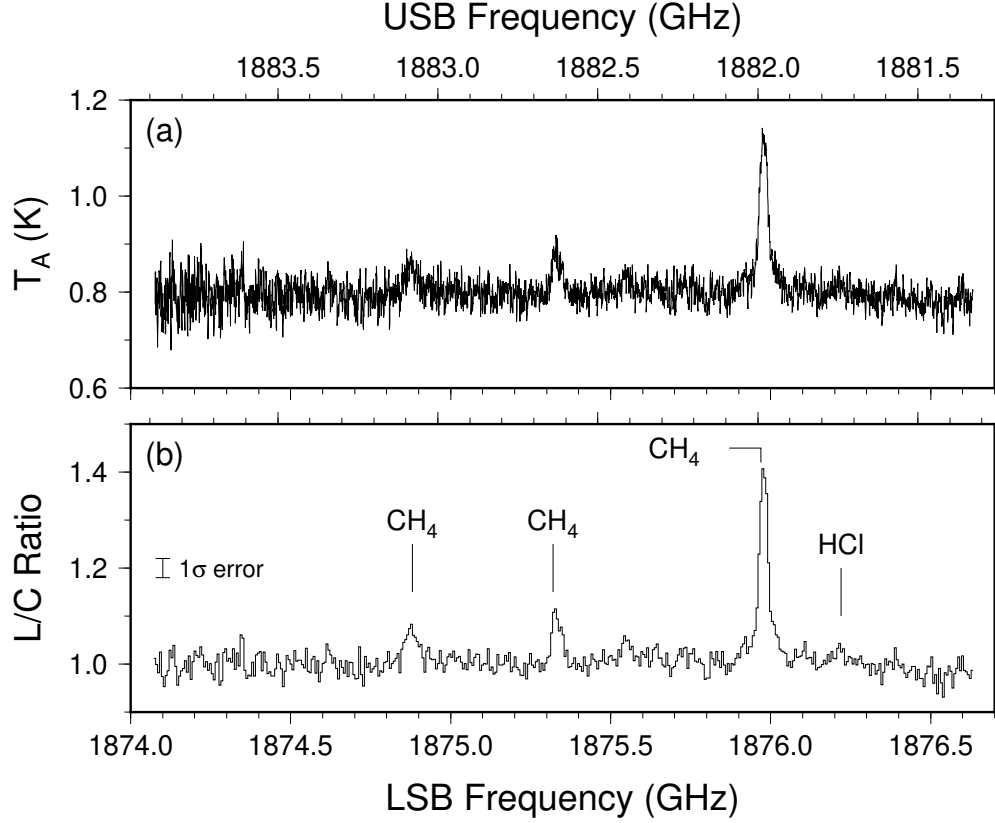


Figure 1: HIFI band 7 disc-averaged observations of Neptune. (a) Level 2.5 double side band (DSB) spectrum from the Herschel Science Archive at 1.1 MHz spectral resolution. Spectrum is the average of horizontal and vertical polarisations. (b) DSB spectrum convolved with a 6 MHz width bin to improve signal-to-noise and converted to a line-to-continuum ratio to simplify analysis.  $1\sigma$  standard error shown.  $\text{CH}_4$  emission features are in the upper side band (USB) and were the original target of the observation (Lellouch et al., 2015),  $\text{HCl}$  line position is in the lower side band (LSB).

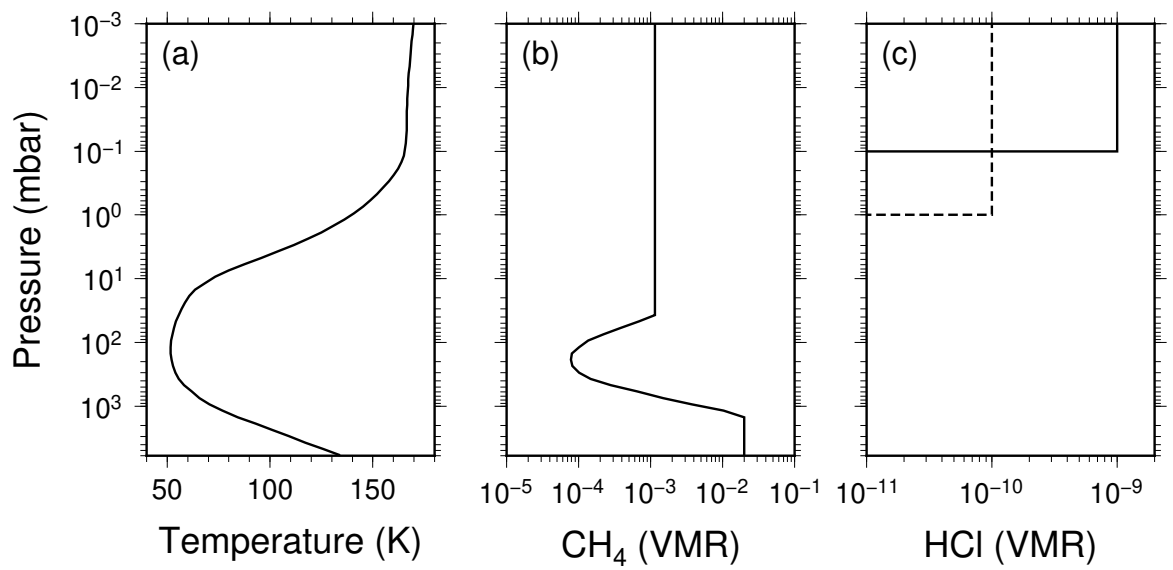


Figure 2: Atmospheric profiles used to model the HIFI Neptune spectrum. (a) Temperature profile based on Lindal (1992) and Fletcher et al. (2010). (b) Methane profile from Lellouch et al. (2015). (c) Nominal initial step profiles used to model HCl emission.

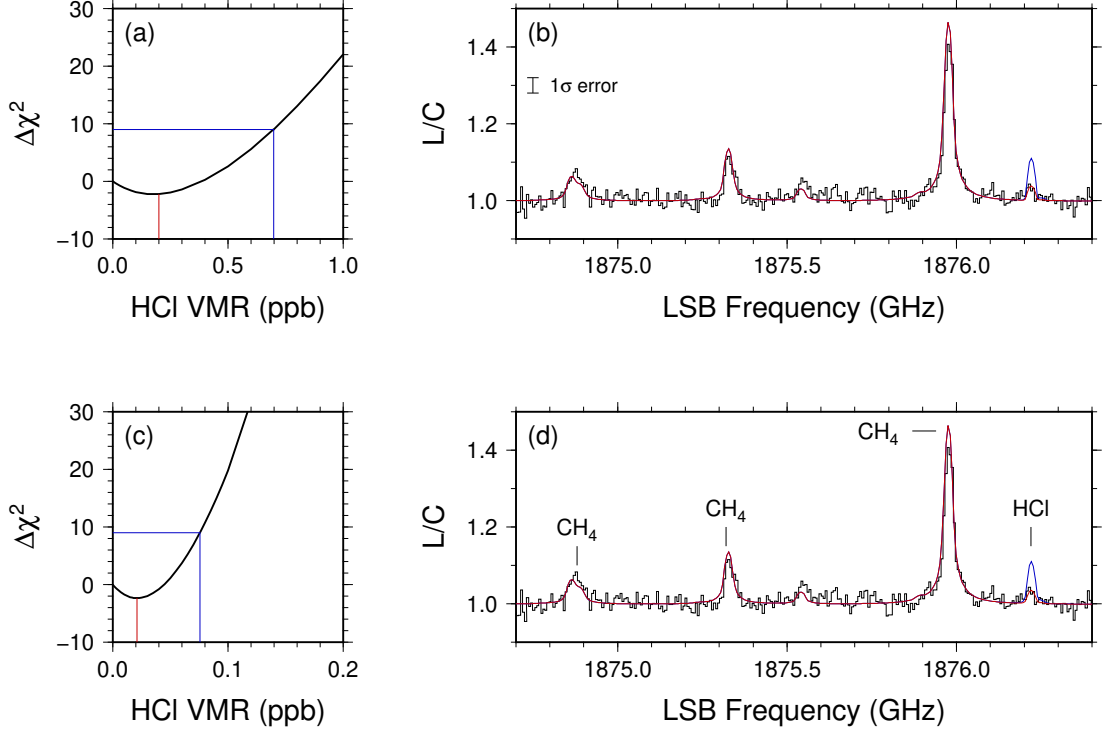


Figure 3: Fits to the HIFI spectrum. (a)  $\Delta\chi^2$  as a function of HCl volume mixing ratio (VMR) for a uniform profile at pressures less than 0.1 mbar. Red line indicates minimum and best fitting abundance, whereas blue line indicates  $3\sigma$  upper limit. (b) Fits to the data using the best fitting HCl profile (red) and  $3\sigma$  upper limit (blue). (c,d) Show the same analysis for a 1 mbar pressure cut off. The  $\Delta\chi^2$  minima are not deep enough to be considered significant, making these  $3\sigma$  upper limits of 0.70 ppb for the 0.1 mbar profile and 0.076 ppb for the 1 mbar profile. CH<sub>4</sub> emission features (folded in from the upper side band) are well fitted using the reference profile from Lellouch et al. (2015).