



# Simulating Intermediate Black Hole Mass Measurements for a Sample of Galaxies with Nuclear Star Clusters Using ELT/HARMONI High Spatial Resolution Integral-field Stellar Kinematics

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## Abstract

Understanding the demographics of intermediate-mass black holes (IMBHs,  $M_{\text{BH}} \approx 10^2\text{--}10^5 M_{\odot}$ ) in low-mass galaxies is key to constraining black hole seed formation models, but detecting them is challenging due to their small gravitational sphere of influence (SOI). The upcoming Extremely Large Telescope (ELT) High Angular Resolution Monolithic Optical and Near-infrared Integral Field Spectrograph (HARMONI) instrument, with its high angular resolution, offers a promising solution. We present simulations assessing HARMONI’s ability to measure IMBH masses in nuclear star clusters (NSCs) of nearby dwarf galaxies. We selected a sample of 44 candidates within 10 Mpc. For two representative targets, NGC 300 and NGC 3115 dw01, we generated mock HARMONI integral-field data cubes using realistic inputs derived from Hubble Space Telescope imaging, stellar population models, and Jeans anisotropic models (JAM), assuming IMBH masses up to 1% of the NSC mass. We simulated observations across six near-infrared gratings at 10 mas resolution. Analyzing the mock data with standard kinematic extraction and JAM models in a Bayesian framework, we demonstrate that HARMONI can resolve the IMBH SOI and accurately recover masses down to  $\approx 0.5\%$  of the NSC mass within feasible exposure times. These results highlight HARMONI’s potential to revolutionize IMBH studies.

*Unified Astronomy Thesaurus concepts:* [Astrophysical black holes \(98\)](#); [Galaxy kinematics \(602\)](#); [Galaxy dynamics \(591\)](#); [Galaxy nuclei \(609\)](#); [Galaxy spectroscopy \(2171\)](#); [Astronomy data modeling \(1859\)](#)

## 1. Introduction

Supermassive black holes (SMBHs,  $M_{\text{BH}} \gtrsim 10^6 M_{\odot}$ ) residing in the centers of massive galaxies ( $M_{\star} \gtrsim 10^{10} M_{\odot}$ ) exhibit well-established correlations with host galaxy properties, such as bulge stellar mass ( $M_{\star}$ ) and velocity dispersion ( $\sigma_{\star}$ ) (J. Kormendy & D. Richstone 1995; J. Magorrian et al. 1998; L. Ferrarese & D. Merritt 2000; K. Gebhardt et al. 2000; J. Kormendy & L. C. Ho 2013). These scaling relations suggest a fundamental coevolution between SMBHs and their hosts (J. Silk & M. J. Rees 1998; T. Di Matteo et al. 2008; A. C. Fabian 2012; D. Krajnović et al. 2018), offering insights into galaxy assembly and the growth of cosmic structures (K. Gültekin et al. 2009; N. J. McConnell et al. 2011; H. Netzer 2015; J. W. Nightingale et al. 2023).

However, a significant gap exists between the observed populations of stellar-mass black holes (sBHs,  $M_{\text{BH}} \lesssim 10^2 M_{\odot}$ ), the remnants of massive stars (M. J. Graham et al. 2020), and the dynamically confirmed SMBHs ( $M_{\text{BH}} \gtrsim 10^5 M_{\odot}$ ) (R. Pechetti et al. 2022). The nature of objects within this intermediate-mass range (IMBHs,  $M_{\text{BH}} \approx 10^{2-5} M_{\odot}$ ) remains unconstrained (D. D. Nguyen et al. 2017, 2018, 2019).

Understanding the demographics of IMBHs is crucial for deciphering the origins of SMBHs. Current theories propose that SMBHs grow from “seeds” formed in the early Universe, which could be either light seeds ( $M_{\star} \approx 10^{2-3} M_{\odot}$ ) derived from the first stars (S. van Wassenhove et al. 2010; M. Volonteri 2012; M. Volonteri et al. 2021) or heavy seeds ( $M_{\star} \approx 10^{4-5} M_{\odot}$ ) formed via direct gas collapse (J. E. Greene 2012; S. Bonoli et al. 2014). The abundance and mass distribution of IMBHs in present-day low-mass galaxies provide a key observational test for these seeding scenarios (M. Mezcua 2017; J. E. Greene et al. 2020; K. Inayoshi et al. 2020).

Detecting and characterizing IMBHs is observationally challenging due to their relatively small gravitational influence compared to SMBHs, requiring high spatial resolution kinematics. This difficulty explains the current uncertainty surrounding their existence and properties, motivating the simulations presented in this work.

### 1.1. Previous IMBH Determinations and Observational Challenges

Dynamical mass measurements, which infer mass from the motion of tracers (stars or gas) under gravity, exist for roughly 120 black holes (BHs, see review by J. Kormendy & L. C. Ho 2013). However, only about 23 of these fall within the intermediate-mass black hole (IMBH) range ( $M_{\text{BH}} \sim 10^{2-5} M_{\odot}$ ) (see review by J. E. Greene et al. 2020). These measurements utilize various

kinematic tracers and techniques, including stellar dynamics (e.g., E. K. Verolme et al. 2002; K. Gebhardt et al. 2003; D. D. Nguyen 2017; D. D. Nguyen et al. 2025), ionized gas dynamics (e.g., A. J. Barth et al. 2001; J. L. Walsh et al. 2013), molecular gas dynamics (e.g., T. A. Davis et al. 2013; D. D. Nguyen 2019; D. D. Nguyen et al. 2020), atomic gas dynamics (e.g., D. D. Nguyen et al. 2021), and maser disk kinematics (e.g., M. Miyoshi et al. 1995; E. González-Alfonso et al. 2023).

While SMBHs are common in massive galaxies (J. Kormendy & L. C. Ho 2013; N. J. McConnell & C.-P. Ma 2013; R. P. Saglia et al. 2016), the existence and characteristics of IMBHs in lower-mass galaxies are less certain. Some studies report detections (D. D. Nguyen et al. 2019, 2022; R. Pechetti et al. 2022), while others provide only upper limits or suggest their absence (K. Gebhardt et al. 2001; D. Merritt et al. 2001; M. Valluri et al. 2005; D. D. Nguyen et al. 2014). Indirect evidence, such as the off-nuclear X-ray source HLX-1 (O. Straub et al. 2014), also points toward the existence of IMBHs. Promising locations for IMBH searches include nuclear star clusters (NSCs) in dwarf galaxies, globular clusters (GCs), and ultracompact dwarf galaxies (UCDs) (K. Gebhardt et al. 2005; E. Noyola et al. 2010; A. C. Seth et al. 2014; N. Neumayer et al. 2020).

A major challenge in dynamically detecting IMBHs is resolving their gravitational sphere of influence (SOI), the region where the BH’s gravity dominates. The SOI radius is approximately  $R_{\text{SOI}} \equiv GM_{\text{BH}}/\sigma_*^2$ , where  $\sigma_*$  is the stellar-velocity dispersion outside the SOI. For a typical IMBH ( $M_{\text{BH}} \approx 10^5 M_\odot$ ) in a dwarf galaxy ( $\sigma_* \approx 10 \text{ km s}^{-1}$ ) at a distance of  $D \approx 10 \text{ Mpc}$ , the SOI radius is very small,  $R_{\text{SOI}} \approx 0''.09$  (T. de Zeeuw 2001).

This small angular scale is difficult to resolve with current telescopes. Even facilities equipped with adaptive optics (AO), such as the Very Large Telescope (VLT)/ERIS or Keck/OSIRIS (achieving a point-spread function (PSF)  $\text{FWHM}_{\text{PSF}} \approx 0''.05$ ), struggle to resolve the SOI of IMBHs beyond the Local Group. The James Webb Space Telescope (JWST), despite its excellent sensitivity, lacks the angular resolution needed for unambiguous kinematic detection of IMBHs in distant dwarf galaxies. As a result, the demographics of IMBHs remain poorly constrained.

Understanding the IMBH population is vital for several reasons. It helps distinguish between different BH seeding scenarios (e.g., light seeds from Population III stars versus heavy seeds from direct gas collapse), which predict varying IMBH occupation fractions in low-mass galaxies (E. Gallo et al. 2008; D. D. Nguyen et al. 2018, 2019). It is also crucial for understanding BH–galaxy coevolution and for predicting gravitational wave event rates for future observatories (C. S. Kochanek 2016; M. Bailes et al. 2021). The limitations of current instruments highlight the need for next-generation facilities such as the Extremely Large Telescope (ELT) with instruments such as the High Angular Resolution Monolithic Optical and Near-infrared Integral Field Spectrograph (HARMONI).

### 1.2. The Role of ELT/HARMONI

Detecting IMBHs ( $M_{\text{BH}} \sim 10^{2-5} M_\odot$ ) is challenging because their gravitational SOI is extremely small (Section 1.1), requiring observations with very high angular resolution. The HARMONI (N. A. Thatte et al. 2016, 2020, 2024) instrument on the ELT is well-suited for this task. Equipped with AO, HARMONI will provide excellent sensitivity along with high

spectral ( $\lambda/\Delta\lambda \sim 3300\text{--}17,400$ ) and angular ( $\text{FWHM}_{\text{PSF}} \approx 12 \text{ mas}$ , mas) resolution.

With these capabilities, HARMONI can resolve the SOI and measure detailed stellar kinematics within NSCs—the likely hosts of IMBHs—in galaxies out to  $\approx 10 \text{ Mpc}$  (this work). HARMONI will greatly expand the volume accessible for IMBH searches compared to current instruments and should provide the first robust constraints on IMBH demographics. This is crucial for distinguishing between BH seeding scenarios in the early Universe (J. E. Greene et al. 2020; K. Inayoshi et al. 2020) and understanding the coevolution of BHs and galaxies at low masses. This work complements our previous study on HARMONI’s capability for measuring SMBHs in more massive galaxies (D. D. Nguyen et al. 2023, hereafter N23).

We outline the selection criteria for our HARMONI IMBH survey in Section 2 and present the sample properties in Section 3. The dynamical and photometric models are described in Section 4 and Section 5, respectively. Section 6 details the mock HARMONI IFS simulations using the HARMONI Simulator ( $\text{HSIM}$ ;<sup>9</sup> S. Zieleniewski et al. 2015) and the kinematic extraction process. We discuss the recovery of IMBH masses and potential limitations in Section 7, and summarize our findings in Section 8.

Throughout this paper, we adopt a flat  $\Lambda$ CDM cosmology with  $H_0 = 70 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ,  $\Omega_{\text{m},0} = 0.3$ , and  $\Omega_{\Lambda,0} = 0.7$ . All magnitudes use the AB system (J. B. Oke 1974) and are corrected for foreground extinction (E. F. Schlafly & D. P. Finkbeiner 2011) using the J. A. Cardelli et al. (1989) law.

## 2. Sample Selection

Our sample selection process aims to identify nearby, low-mass galaxies ( $M_* \lesssim 5 \times 10^{10} M_\odot$ ) likely hosting NSCs and potentially IMBHs, suitable for observation with ELT/HARMONI. Unlike the selection for the MMBH survey of ultramassive galaxies (N23), which relied heavily on homogeneous 2MASS  $K_s$ -band photometry (T. H. Jarrett et al. 2003; M. F. Skrutskie et al. 2006) from the 2MASS Redshift Survey (2MRS; J. P. Huchra et al. 2012), we adopted a multistage approach due to the limitations of 2MASS for dwarf galaxies. The shallow depth and fixed aperture integration method of 2MASS can lead to unreliable total luminosities, effective radii ( $R_e$ ), and Sérsic indices for compact, low-surface-brightness dwarf galaxies (J. Schombert & A. K. Smith 2012).

We began with the 2MRS catalog, estimating stellar masses from  $K_s$ -band luminosities assuming a constant mass-to-light ratio  $M/L_K = 1 (M_\odot/L_\odot)$  (D. D. Nguyen et al. 2018) and using distances from the Updated Nearby Galaxy Catalog (I. D. Karachentsev et al. 2013). We then estimated a virial velocity-dispersion proxy using the well-calibrated virial relation  $\sigma_{\text{vir}}^2 = GM_*/(5R_e)$  (M. Cappellari et al. 2006), where  $R_e$  was taken from 2MRS. We applied the following initial cuts:

1. Virial Dispersion Proxy  $\sigma_{\text{vir}} \lesssim 70 \text{ km s}^{-1}$ : This threshold aims to select galaxies likely hosting IMBHs, based on extrapolating the  $M_{\text{BH}}\text{--}\sigma_*$  relation (J. E. Greene et al. 2020). Lower dispersions also imply larger angular sizes for the IMBH  $R_{\text{SOI}}$ , making them easier to resolve kinematically.

<sup>9</sup> v3.11; available from <https://github.com/HARMONI-ELT/HSIM>.

**Table 1**

Targets Selection Criteria for Low-mass Galaxies Hosting NSCs

Distant range:	$D \lesssim 10$ Mpc
Galaxies $K_s$ -band absolute magnitude:	$-23.5 \lesssim M_K \lesssim -15.4$ mag
Central stellar-velocity dispersion range: (peak of NSC, when available)	$\sigma_{*,c} \lesssim 70$ km s <sup>-1</sup>
Virial dispersion proxy:	$\sigma_{\text{vir}} \lesssim 70$ km s <sup>-1</sup>
ELT observability (Armazones site):	$ \delta + 24^\circ  < 45^\circ$
Galaxy zone of avoidance:	$ b  > 8^\circ$

- Distance  $D \lesssim 10$  Mpc: This ensures the targets are close enough for HARMONI to potentially resolve the NSC structure and IMBH SOI.
- ELT Observability: Decl.  $|\delta + 24^\circ| < 45^\circ$  for good AO performance from the Armazones site.
- Galactic Plane Exclusion: Galactic latitude  $|b| > 8^\circ$  to avoid regions of high dust extinction.

These criteria yielded an initial list of  $\approx 500$  galaxies.

We then visually inspected images for these  $\approx 500$  candidates, primarily using the Sloan Digital Sky Survey (SDSS; STScI 2020) or Digitized Sky Survey (DSS; STScI 2020) and higher-resolution Hubble Space Telescope (HST) archives when available, to identify galaxies clearly exhibiting a central nucleus (NSC).

Finally, to ensure completeness, we cross-referenced our visually selected nucleated galaxies with extensive literature compilations and studies focused on NSCs. This included photometric (T. Böker et al. 2002; C. J. Walcher et al. 2005, 2006; A. Seth et al. 2008; I. Y. Georgiev & T. Böker 2014; I. Y. Georgiev et al. 2016; R. Pechetti et al. 2020) and spectroscopic (L. C. Ho et al. 2009; N. Kacharov et al. 2018; D. D. Nguyen et al. 2018; V. F. Baldassare et al. 2022; K. Fahrion et al. 2022) surveys, as well as detailed case studies (G. F. Hägele et al. 2007; J. C. Shields et al. 2008; B. de Swardt et al. 2010; K. Fahrion et al. 2020; O. Müller et al. 2021; F. Pinna et al. 2021; D. D. Nguyen et al. 2022). Any galaxy meeting our initial criteria ( $D$ ,  $\sigma_{\text{vir}}$ , observability) that was confirmed to host an NSC in the literature was added to our final sample, even if missed during the visual inspection phase.

The selection criteria are summarized in Table 1. This process resulted in a final sample of 44 galaxies, which we refer to as the ‘‘HARMONI IMBH survey.’’ This sample spans stellar masses  $2 \times 10^7 \lesssim M_* \lesssim 5 \times 10^{10} M_\odot$  (corresponding to  $K_s$  absolute magnitudes  $-23.5 \lesssim M_K \lesssim -15.4$  mag) and includes a mix of morphological types (6 dE, 7 S0, 27 S, 4 Irr; see Table 2). Figure 1 displays images of the sample galaxies, and their properties are summarized in Table 3.

A crucial practical requirement for HARMONI observations using laser tomography adaptive optics (LTAO<sup>10</sup>) is the availability of a nearby tip-tilt star. This natural guide star (NGS) is needed for correcting atmospheric turbulence and should ideally have a  $H$ -band AB magnitude  $m_H < 20.4$  mag, located within an annulus of  $12''$  to  $60''$  surrounding the science target (N. A. Thatte et al. 2020). We searched Gaia and SDSS archives for suitable NGS candidates for our sample galaxies. Potential NGSs are marked in Figure 1 with their estimated  $H$ -band AB magnitudes. SDSS magnitudes were converted following Section 2.1 of N23, and Gaia Vegamags

<sup>10</sup> LTAO mode on the ELT/HARMONI instrument needs at least one NGS to work simultaneously with six artificial off-axis laser guide stars. This system allows the required NGS to be more than 10,000 times fainter than those needed for classical AO used on Gemini and VLT.

**Table 2**

Main Characteristics of the HARMONI IMBH Sample

Galaxy stellar-mass range:	$2 \times 10^7 \lesssim M_* \lesssim 5 \times 10^{10} M_\odot$
NSC’s mass range:	$10^5 \lesssim M_{\text{NSC}} \lesssim 6 \times 10^7 M_\odot$
NSC’s effective radius range:	$3 \lesssim R_e \lesssim 27$ pc
#Galaxies in the sample:	$N_{\text{gal}} = 44$
#Dwarf ellipticals ( $T \leq -3.5$ ):	$N_{\text{dE}} = 6$ ( $\approx 13\%$ )
#Lenticulars ( $-3.5 < T \leq -0.5$ ):	$N_{\text{S0}} = 7$ ( $\approx 16\%$ )
#Spirals ( $-0.5 < T \leq 8$ ):	$N_{\text{S}} = 27$ ( $\approx 60\%$ )
#Irregulars:	$N_{\text{Irr}} = 4$ ( $\approx 11\%$ )

**Note.** The galaxy Hubble type ( $T$ ) is defined from HyperLeda: <https://leda.univ-lyon1.fr/search.html>.

were converted using relations from the Gaia DR3 documentation (Section 5.5.1; G. Busso et al. 2022). Suitable NGSs were found for the vast majority of targets, confirming the feasibility of LTAO observations.

### 3. Properties of the HARMONI Intermediate-mass Black Hole Sample

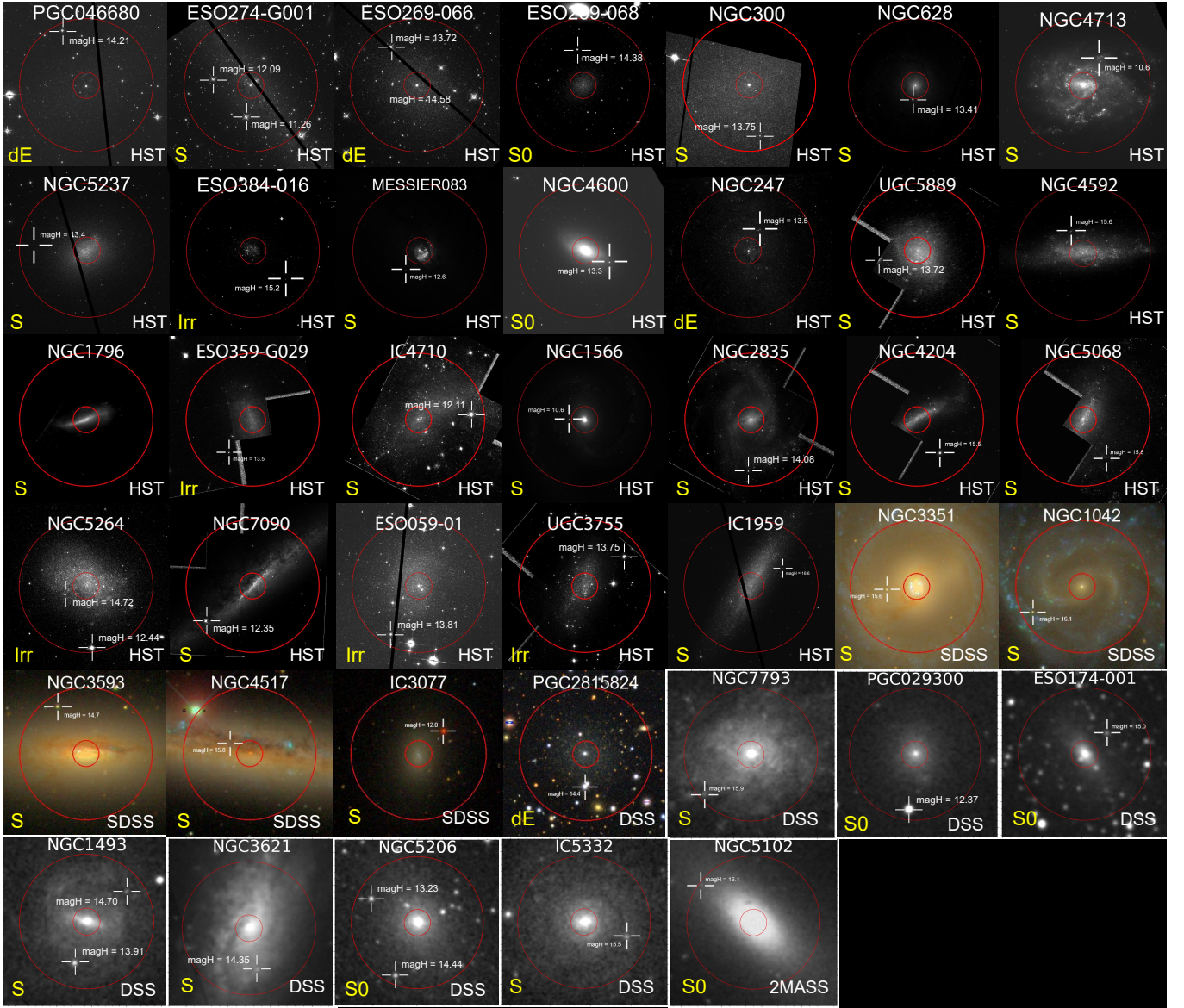
#### 3.1. HARMONI Intermediate-mass Black Hole Sample on the Mass–Size Diagram

The left panel of Figure 2 shows the distribution of the HARMONI IMBH survey targets (red dots) in the absolute magnitude ( $M_K$ ) versus distance plane. This sample occupies the low-mass end of the galaxy population. For comparison, we also plot galaxies from larger surveys such as ATLAS<sup>3D</sup> (M. Cappellari et al. 2011), MASSIVE (C.-P. Ma et al. 2014), and MMBH (N23), highlighting the unique parameter space probed by the HARMONI IMBH sample.

The right panel of Figure 2 presents the mass–size diagram ( $M_*$ ,  $R_e$ ) for the HARMONI IMBH sample, using available stellar masses ( $M_*$ ) and effective radii ( $R_e$ ). We overlay data from the 2MRS galaxy catalog (compiled by N23), excluding sources with potentially unreliable  $R_e$  measurements ( $R_e < 5''$  or  $R_e < 0.3$  kpc, see Section 2). Data from the ATLAS<sup>3D</sup>, MASSIVE, and MMBH surveys are also included. This comparison emphasizes that our sample targets galaxies with expected low stellar-velocity dispersions ( $\sigma_*$ ) and, consequently, potentially low BH masses ( $M_{\text{BH}}$ ). Lines of constant  $\sigma_*$  are shown for reference.

The HARMONI IMBH sample covers over four orders of magnitude in stellar mass. Its location on the mass–size diagram is consistent with the region occupied by dwarf and spiral galaxies, lying just below the approximate upper envelope defined by  $(R_e/\text{kpc}) = 8 \times [M_*/(10^{10} M_\odot)]^{0.24}$  (dashed blue line). The distribution resembles that of dwarf galaxies shown in Figure 9 of M. Cappellari et al. (2013a).

For galaxies with  $M_* \gtrsim 10^9 M_\odot$ , various properties (stellar populations, gas fraction, dark matter fraction, density slope, IMF) tend to correlate with  $\sigma_*$  on the ( $M_*$ ,  $R_e$ ) diagram (see review by M. Cappellari 2025). BH masses also follow this trend, suggesting coevolution (D. Krajnović et al. 2018). However, below  $M_* \approx 10^9 M_\odot$ , the relationships between  $M_{\text{BH}}$ ,  $\sigma_*$ ,  $M_*$ , and  $R_e$  are poorly understood due to the scarcity of IMBH measurements and limitations of surveys such as 2MASS at low masses and small sizes (especially for  $\sigma_* < 30$  km s<sup>-1</sup>). Despite these limitations, the similarity between our sample’s distribution and that of other dwarf galaxy samples with reliable  $R_e$  measurements suggests our selection is reasonably representative.



**Figure 1.** The 40 available observations out of the total number of 44 dwarf galaxies from our HARMONI IMBH sample, as captured in HST, SDSS, DSS, and 2MASS images. To emphasize their NSCs at the core, the galaxy brightness has been adjusted. Each galaxy’s name and galaxy types are also shown. Two red circles define the region around the galaxy’s center, spanning from  $12''$  to  $60''$ , where we search for a faint natural guide star (NGS) essential for laser tomography adaptive optics performance. The white crosses pinpoint the available NGS locations, specifying their apparent AB magnitudes measured in the  $H$  band. Here, dE = dwarf Elliptical, S0 = lenticular, S = Spiral, Irr = Irregular.

Recent studies (D. D. Nguyen et al. 2018, 2019; J. E. Greene et al. 2020) indicate that IMBHs in low-mass galaxies may deviate from the scaling relations established for more massive galaxies, hinting at different coevolutionary pathways. While M. Mezcua (2017) discussed potential offsets in these relations at the low-mass end, observational challenges hinder definitive conclusions. Our HARMONI IMBH survey aims to overcome these limitations by providing high-resolution kinematic data for nearby IMBH candidates, shedding light on the connection between IMBHs and their host galaxies.

### 3.2. Nuclear Star Clusters and Central Massive Black Holes

Our HARMONI IMBH sample includes galaxies with NSCs. Among them, 31 NSCs have known masses and effective radii falling within the ranges of  $10^5 \lesssim M_{\text{NSC}} \lesssim 10^8 M_{\odot}$  and

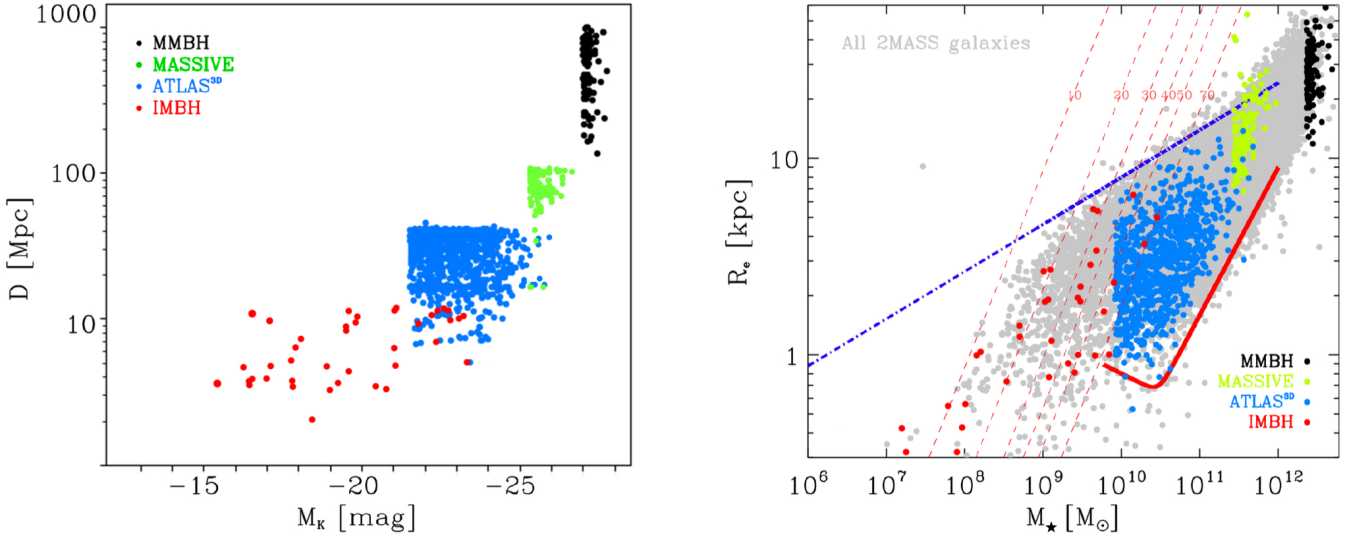
$3 \lesssim R_{\text{c}}^{\text{NSC}} \lesssim 27$  pc, respectively. For the remaining NSCs, this information is unavailable. We have compiled data on these NSCs and the properties of their host galaxies in Figure 3 alongside with those obtained from literature, including both photometric and spectroscopic surveys.

Out of the 44 selected targets, two IMBHs were estimated through the VLT/SINFONI stellar kinematics: NGC 5102 with  $M_{\text{BH}} \approx 9.1 \times 10^5 M_{\odot}$  and NGC 5206 with  $M_{\text{BH}} \approx 6.3 \times 10^5 M_{\odot}$  (D. D. Nguyen et al. 2018, 2019). D. D. Nguyen et al. (2022) found a firm signature of an IMBH with  $3 \times 10^5 \lesssim M_{\text{BH}} \lesssim 4.3 \times 10^6 M_{\odot}$  in NGC 3593 based on the ALMA observation of circumnuclear CO(2-1)-molecular gas disk. Additional dynamical models presented in N. Neumayer & C. J. Walcher (2012) suggested that the IMBH in NGC 300, with kinematics measured from VLT/UVES spectra (C. J. Walcher et al. 2005), likely has a  $M_{\text{BH}} < 10^5 M_{\odot}$ .

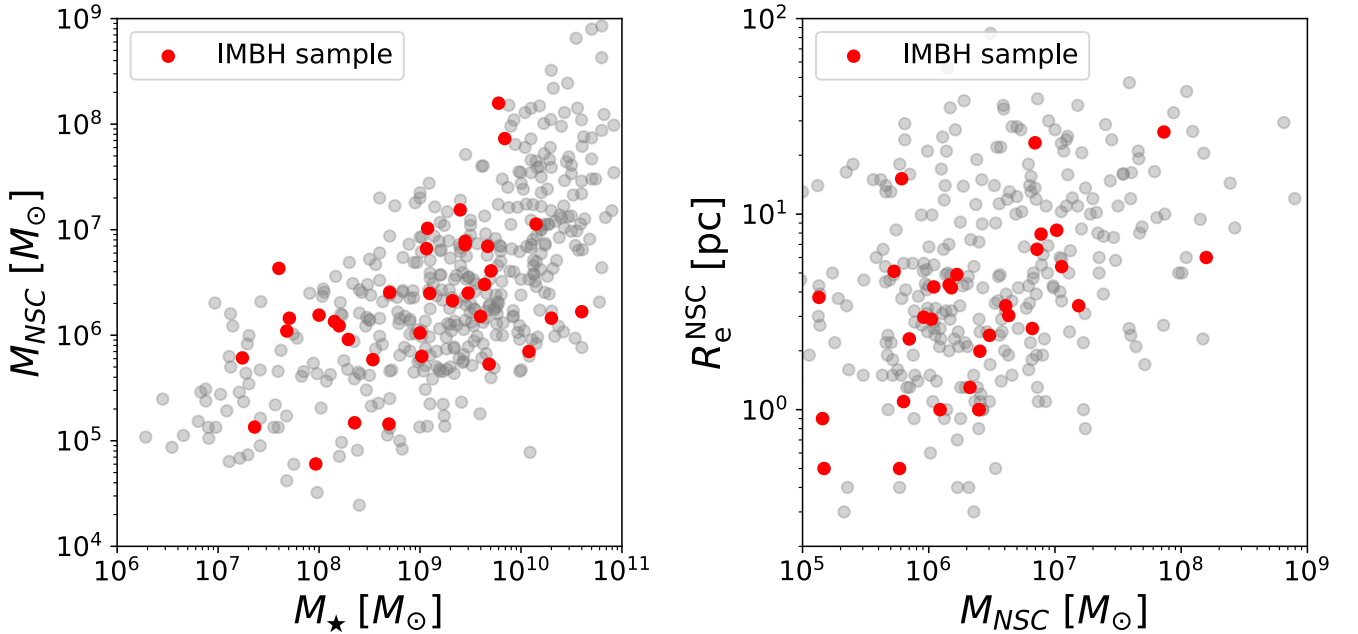
**Table 3**  
Full List of our HARMONI IMBH Survey: Host Galaxies and their NSC's Properties

No.	Galaxy Name	R.A. (J2000)	Decl. (J2000)	D	Hubble Type	$L_K$	$M_{*,gal.}$	$\sigma_{*,NSC}$	$R_{e,gal.}$	$M_{NSC}$	$R_{e,NSC}$	Reference
(1)	(2)	(h:m:s)	(d:m:s)	(Mpc)	(6)	( $L_{\odot}$ )	( $M_{\odot}$ )	( $\text{km s}^{-1}$ )	(kpc)	( $M_{\odot}$ )	(pc)	(13)
1	PGC046680	13:22:02.048	-42:32:07.34	3.77	-3.0	$1.32 \times 10^8$	$4.0 \times 10^7$	13.2	0.73	$4.30 \times 10^6$	3.0	(1)
2	NGC 5206	13:33:43.961	-48:09:03.95	3.50	-3.0	$1.05 \times 10^9$	$2.5 \times 10^9$	46.0	0.99	$1.54 \times 10^7$	3.4	(2)
3	ESO269-066	13:13:09.156	-44:53:23.63	3.66	-2.1	$2.75 \times 10^8$	$1.0 \times 10^8$	...	0.70	$1.55 \times 10^6$	...	...
4	ESO269-068	13:13:11.917	-43:15:54.69	3.77	-2.1	$8.91 \times 10^7$	$1.7 \times 10^7$	...	0.41	$6.10 \times 10^5$	15.2	(11)
5	NGC 4600	12:40:22.957	03:07:03.91	8.15	0.0	$1.32 \times 10^9$	$1.2 \times 10^9$	73.6	0.94	$1.03 \times 10^7$	8.3	(2)
6	NGC 5102	13:21:57.610	-36:37:48.37	3.20	0.0	$4.27 \times 10^9$	$6.9 \times 10^9$	61.1	1.20	$7.30 \times 10^7$	26.3	(3)
7	NGC 300	00:54:53.444	-37:41:03.22	2.01	6.9	$5.00 \times 10^8$	$1.0 \times 10^9$	47.0	2.94	$1.05 \times 10^6$	8.0	(4, 5)
8	NGC 7793	23:57:49.753	-32:35:27.74	3.40	7.4	$7.94 \times 10^7$	$2.8 \times 10^9$	24.6	2.19	$7.76 \times 10^6$	7.9	(4, 5)
9	NGC 3621	11:18:16.511	-32:48:50.55	6.60	7.0	$2.24 \times 10^{10}$	$7.9 \times 10^9$	43.0	2.60	$1.01 \times 10^7$	4.2	(6)
10	NGC 247	00:47:08.470	-20:45:36.71	3.38	6.9	$3.16 \times 10^9$	$3.0 \times 10^9$	15.1	2.46	$2.51 \times 10^6$	3.4	(7)
11	IC 3077	12:24:22.180	21:09:35.95	9.12	5.8	$1.45 \times 10^8$	$1.3 \times 10^9$	25.0	1.40	...	...	...
12	NGC 5237	13:37:39.050	-42:50:49.099	3.33	-3.5	$2.82 \times 10^8$	$1.6 \times 10^8$	48.5	0.34	...	...	...
13	PGC029300	10:05:41.599	-07:58:53.40	9.70	-1.9	$1.86 \times 10^9$	$2.8 \times 10^9$	32.0	1.20	$7.01 \times 10^6$	6.6	(3)
14	ESO384-016	13:57:01.370	-35 19 58.699	4.49	-4.9	$6.76 \times 10^7$	$6.0 \times 10^7$	...	0.69	...	...	...
15	ESO174-001	13:33:19.680	-53:21:16.88	3.60	-1.7	$7.94 \times 10^7$	$7.9 \times 10^7$	...	0.42	...	...	...
16	MESSIER083	13:37:00.950	-29:51:55.50	4.85	5.0	$7.24 \times 10^{10}$	$4.5 \times 10^{10}$	40.0	5.29	$1.67 \times 10^6$	4.9	...
17	NGC 3593	11:14:37.001	12:49:03.61	9.20	-4.8	$2.69 \times 10^{10}$	$1.5 \times 10^{10}$	60.0	1.90	$1.58 \times 10^8$	6	(3, 8)
18	ESO274-G001	15:14:14.554	-46:48:19.32	3.15	6.7	$8.32 \times 10^8$	$5.0 \times 10^8$	17.2	1.45	$2.54 \times 10^6$	2.0	(3)
19	NGC 4713	12:49:57.874	05:18:41.04	10.7	6.8	...	$3.0 \times 10^{10}$	23.2	2.12	...	...	...
20	NGC 3351	10:43:57.701	11:42:13.72	9.46	3.1	$2.00 \times 10^{10}$	$2.0 \times 10^{10}$	67.0	3.95	$1.45 \times 10^6$	4.4	(9)
21	IC 5332	23:34:27.490	-36:06:03.89	4.63	6.8	$5.50 \times 10^9$	$4.7 \times 10^9$	58.3	1.18	$6.95 \times 10^6$	23.2	(3)
22	NGC 1493	03:57:27.430	-46:12:38.52	11.3	6.0	...	$4.0 \times 10^9$	25.0	...	$1.51 \times 10^6$	4.2	...
23	NGC 1042	02:40:23.966	-08:26:00.74	4.21	6.0	...	$2.1 \times 10^9$	32.0	1.09	$2.12 \times 10^6$	1.3	(4)
24	NGC 628	01:36:41.747	15:47:01.18	4.21	5.2	$3.98 \times 10^{10}$	$1.4 \times 10^{10}$	72.2	6.60	$1.13 \times 10^7$	5.4	(10)
25	ESO059-01	07:31:18.000	-68:11:14	4.57	9.8	$1.48 \times 10^8$	$5.1 \times 10^7$	...	...	$1.45 \times 10^6$	...	...
26	UGC 3755	07:13:51.57	10:31:16.53	4.99	9.9	$2.69 \times 10^8$	$9.3 \times 10^7$	...	...	$6.03 \times 10^4$	0.5	(10)
27	IC 1959	03:33:12.53	-50:24:52.19	6.05	8.4	$3.09 \times 10^8$	$1.4 \times 10^8$	...	...	$1.35 \times 10^6$	...	...
28	UGC 5889	10:47:22.4	14:04:16.58	6.89	8.9	$3.55 \times 10^8$	$1.6 \times 10^8$	...	...	$1.23 \times 10^6$	1.0	(10)
39	NGC 4592	12:39:18.76	-00:31:55.61	10.6	7.9	$1.45 \times 10^9$	$1.0 \times 10^9$	38.9	...	$6.31 \times 10^5$	1.1	(10)
30	NGC 1796	05:02:41.27	-61 08 18.79	10.6	5.3	...	$1.2 \times 10^9$	...	...	$6.61 \times 10^6$	2.6	...
31	ESO359-G029	04:12:50.52	-33:00:10.34	10.1	9.9	...	$2.3 \times 10^8$	29.5	...	$1.48 \times 10^5$	0.5	(10)
32	IC 4710	18:28:40.9	-66:59:10.0	8.91	8.9	$1.74 \times 10^9$	$1.3 \times 10^9$	67.2	...	$2.49 \times 10^6$	1.0	(10)
33	NGC 1566	04:20:0.4	-54:56:16.5	9.95	4.0	...	$1.5 \times 10^{10}$	116	...	$1.49 \times 10^8$	2.3	(12)
34	NGC 2835	09:17:52.85	-22:21:16.79	10.8	5.0	$2.19 \times 10^{10}$	$5.1 \times 10^9$	70.7	...	$4.07 \times 10^6$	3.4	(10)
35	NGC 4204	12:15:14.44	20:39:30.14	7.8	7.8	$1.32 \times 10^9$	$4.9 \times 10^8$	20.1	...	$1.44 \times 10^5$	0.9	(10)
36	NGC 4517	12:32:45.51	00:06:54.9	10.6	6.0	$1.86 \times 10^{10}$	$1.2 \times 10^{10}$	28.3	...	$7.01 \times 10^5$	2.3	(10)
37	NGC 5068	13:18:54.77	-21:02:19.66	6.03	6.0	$5.37 \times 10^9$	$4.83 \times 10^9$	15.6	...	$5.31 \times 10^5$	5.1	(10)
38	NGC 5264	...	...	4.51	9.7	$7.59 \times 10^8$	$3.40 \times 10^8$	51.0	...	$5.83 \times 10^5$	0.5	(10)
39	NGC 7090	21:36:28.19	-54 33 19.86	8.71	5.0	$1.10 \times 10^{10}$	$4.36 \times 10^9$	56.3	...	$3.03 \times 10^6$	2.4	(10)
40	[KK2000] 03	02 24 44.4	-73 30 51	2.12	-4.9	$7.70 \times 10^7$	$2.30 \times 10^7$	...	...	$1.35 \times 10^5$	3.8	(11)
41	LV J0956-0929	09 56 37.57	-09 29 10.8	9.37	-5	...	$1.95 \times 10^8$	...	...	$9.12 \times 10^5$	3.0	(11)
42	UGC 01104	01 32 42.53	+18 19 01.6	7.55	9.5	$3.28 \times 10^8$	$1.00 \times 10^8$	...	8.17	...	3.7	(11)
43	PGC 154449	09 57 08.88	-09 15 48.7	9.68	-1	$1.60 \times 10^8$	$5.01 \times 10^7$	...	5.25	...	...	(11)
44	ESO 553-046	05 27 05.72	-20 40 41.1	6.7	4	$1.56 \times 10^8$	$4.79 \times 10^7$	...	1.47	$1.10 \times 10^6$	4.3	(11)

**Note.** Columns (2)–(5): Galaxy name, R.A., and Decl., and distance to the galaxy. Column (6): Galaxy Hubble type. Columns (7) and (8): Total galaxy's  $K$ -band luminosity and total galaxy stellar mass. Columns (9)–(12): The nucleus stellar-velocity dispersion, the galaxy effective radius that enclosed half of the galaxy mass or light, the NSC mass, and the effective radius, respectively. Column (13): References. (1): K. Fahrion et al. (2020), (2): D. D. Nguyen et al. (2018), (3): R. Pechetti et al. (2020), (4): C. J. Walcher et al. (2005), (5): N. Neumayer & C. J. Walcher (2012), (6): A. J. Barth et al. (2009), (7): S. G. Carlsten et al. (2022), (8): D. D. Nguyen et al. (2021), (9): A. Ashok et al. (2023), (10): I. Y. Georgiev & T. Böker (2014), (11): and N. Hoyer et al. (2023). Target numbers from 41–45 are galaxies those are not present in Figure 3 (main text).



**Figure 2.** Left: Distance vs. absolute  $K_s$ -band magnitude of the HARMONI IMBH (red; this work), MASSIVE (green; C.-P. Ma et al. 2014), ATLAS<sup>3D</sup> (blue; M. Cappellari et al. 2011), and MMBH (black; N23) surveys. There is an overlapping region between the HARMONI IMBH sample and the ATLAS<sup>3D</sup> survey (i.e.,  $\approx 1.8 M_K$  mag or  $10^{10} < M_* < 5 \times 10^{10} M_\odot$ ). The plotted distance is the angular size distance  $D = D_A$ . Right: The mass–size diagram of the 2MRS sources (gray dots) shows a wide range of stellar mass:  $10^7 < M_* < 6 \times 10^{12} M_\odot$ . The inclined red-dashed lines indicate constant  $\sigma_*$  of 10, 20, 30, 40, 50, and 70 km s<sup>-1</sup>. The ATLAS<sup>3D</sup>, MASSIVE, MMBH, and HARMONI IMBH samples occupy different regions of the diagram. The thick-solid red curve defines the zone of exclusion described by Equation (4) of M. Cappellari et al. (2013a) in the previously explored stellar-mass range of  $5 \times 10^9 \leq M_* \lesssim 10^{12} M_\odot$ . The thick dashed–dotted blue line shows the relation  $(R_e/\text{kpc}) = 8 \times [M_*/(10^{10} M_\odot)]^{0.24}$ , which provides a convenient approximation for the lower 99% contour for the distribution of early-type galaxies (M. Cappellari et al. 2013a).



**Figure 3.** Left: The  $M_*$ – $M_{\text{NSC}}$  relation for galaxies in which galaxy and NSC masses are available regardless of their Hubble types. The compilation of dynamical and spectroscopically modeled NSC masses from P. Erwin & D. A. Gadotti (2012), I. Y. Georgiev et al. (2016), C. Spengler et al. (2017), Y. Ordenes-Briceno et al. (2018), and R. Sánchez-Janssen et al. (2019). Right: The  $(M_{\text{NSC}}, R_e)$  distribution for galaxies in which their NSC’s mass and effective radius are available. The data are taken from C. J. Walcher et al. (2005), P. Côté et al. (2006), J. Rossa et al. (2006), P. Erwin & D. A. Gadotti (2012), M. A. Norris et al. (2014), I. Y. Georgiev et al. (2016), C. Spengler et al. (2017), V. F. Baldassare et al. (2022), and K. Fahrion et al. (2022).

Similarly, the IMBH in NGC 3621, with kinematics measured from the Keck Echelle Spectrograph (A. J. Barth et al. 2002), suggest an  $M_{\text{BH}} < 3 \times 10^6 M_\odot$  (A. J. Barth et al. 2009).

In the regime of dwarf galaxies with  $M_* < 7 \times 10^9 M_\odot$ , the behavior of the  $M_{\text{BH}}$ –galaxy correlations remain largely unconstrained. It strongly depends on the mass distribution

of the currently elusive IMBH population found in the nearby universe (e.g., M. Mezcua 2017). Recent work by D. D. Nguyen et al. (2019) also provided evidence that the dynamical  $M_{\text{BH}}$  of five IMBHs in a sample of early-type galaxies within 3.5 Mpc fall well below the predictions from the J. Kormendy & L. C. Ho (2013), N. Sahu et al. (2019), and J. E. Greene et al. (2020)  $M_{\text{BH}}-\sigma_*$  and  $M_{\text{BH}}-M_{\text{bulge}}$  relations.

### 3.3. Simulated Targets

We created mock integral field spectrograph (IFS) observations with H<sub>SM</sub> for two dwarf galaxies with NSCs: NGC 300 and NGC 3115, which are located at 2.2 Mpc (B. F. Williams et al. 2013) and 9.7 Mpc (H. Jerjen et al. 2000a, 2000b; I. D. Karachentsev et al. 2004), respectively. These galaxies lie at the extreme ranges of our distance selection and are meant to represent our HARMONI IMBH survey’s overall characteristics.

NGC 300 is an SA(s)d galaxy, with inclination  $i = (42.3 \pm 3.0)^\circ$  (S. C. Kim et al. 2004), lacks a bulge (G. Bono et al. 2010), and has a total stellar mass of  $M_* \approx 2.2 \times 10^9 M_\odot$  (N. Kacharov et al. 2018). This galaxy exhibits a steadily increasing size of its NSC from ultraviolet (UV) to infrared (IR) wavelengths (D. J. Carson et al. 2015), which has a central velocity dispersion of  $\sigma_* = 13.3 \pm 2.0 \text{ km s}^{-1}$  and a dynamical mass of  $M_{\text{NSC}} \approx 10^6 M_\odot$ , determined from the VLT/UVES spectroscopy (C. J. Walcher et al. 2005), or  $\sigma_* = 13.3 \pm 0.3 \text{ km s}^{-1}$  when measured from the VLT/X-Shooter spectroscopy (N. Kacharov et al. 2018).

The Jeans anisotropic model (JAM, M. Cappellari 2008) of the VLT/UVES stellar kinematics measurements (C. J. Walcher et al. 2005) found a  $M/L_I \approx 0.6 (M_\odot/L_\odot)$  and suggested an upper limit of  $M_{\text{BH}} < 10^5 M_\odot$  (or  $\approx 10\%$  of  $M_{\text{NSC}}$ ) for its IMBH, while its best-fit estimate is  $M_{\text{BH}} \approx 10^2 M_\odot$  (N. Neumayer & C. J. Walcher 2012).

The star formation history (SFH) of NGC 300’s NSC had been independently analyzed by C. J. Walcher et al. (2006) and N. Kacharov et al. (2018), utilizing different spectra, spectral ranges, and simple stellar population models. Their findings reveal a relatively consistent stellar population. More than 50% of the stars in the NSC formed over 5 Gyr ago with low metallicity ( $[\text{Fe}/\text{H}] \sim -1$  dex). Subsequent star formation episodes involving young populations ( $\approx 10$  Myr) occurred until a few hundred million years ago, resulting in solar metallicity of 1 Gyr ago. Despite these later episodes, their contributions remain modest, accounting for only 10% of the total luminosity and 1% of the total stellar mass. There is no evidence of emission lines in the spectra, which implies that the contribution of a very young population in NGC 300’s NSC is likely from an extended horizontal branch (C. Conroy et al. 2018) or blue straggler stars (R. P. Schiavon 2007).

NGC 3115 dw01 (PGC029300) is classified as a dwarf elliptical (dE; H. Jerjen et al. 2000a; B. R. Parodi et al. 2002) with  $M_* \approx 8.9 \times 10^8 M_\odot$  determined from its  $B - V$  color. The galaxy hosts an NSC with  $R_e^{\text{NSC}} \approx 6.61 \pm 0.09 \text{ pc}$  and  $M_{\text{NSC}} \approx 7.2 \times 10^6 M_\odot$ , constrained from the HST/ WFC2 F814W images with a photometric  $M/L_{\text{F814W}} \approx 1.4 (M_\odot/L_\odot)$  (R. Pechetti et al. 2020). Stellar kinematic measurements with the echelle spectrograph of the 4 m telescope at the Cerro Tololo Inter-American Observatory yield a central velocity dispersion of  $\sigma_* = 32 \pm 5 \text{ km s}^{-1}$  in the NSC. However, more global measurements within the galaxy’s effective radius ( $R_e \approx 1.2 \text{ kpc}$ ) result in a value of  $\sigma_e \approx 45 \text{ km s}^{-1}$  (R. C. Peterson & N. Caldwell 1993).

Due to the absence of stellar populations and SFH in NGC 3115 dw01, we opted for simplicity in our H<sub>SM</sub> IFS simulations. We employed stellar population synthesis (SPS) spectra with a fixed age of 5 Gyr, solar metallicity ( $z \approx 0$ ), and inclination  $i = 42^\circ$ , which are similar to the nucleus of NGC 300.

## 4. Dynamical Model

### 4.1. Jeans Anisotropic Modeling

NSCs in dwarf galaxies, such as those targeted by our HARMONI IMBH survey, frequently display significant rotation (e.g., A. Seth et al. 2008; A. C. Seth et al. 2010; D. D. Nguyen et al. 2018; S. Thater et al. 2023). Observed ratios of rotational velocity to stellar-velocity dispersion ( $V/\sigma_*$ ) typically range from 0.1 to 0.6 (F. Pinna et al. 2021), indicating substantial ordered motion.

To model the kinematics of these rotating systems, we utilize the JAM (M. Cappellari 2008, 2020). Specifically, we adopt the JAM variant that assumes cylindrical alignment of the velocity ellipsoid (JAM<sub>cyl</sub>), an approach proven effective for modeling rotating NSCs (e.g., M. Hartmann et al. 2011; their Figure 4) and rapidly rotating galaxies (e.g., M. Cappellari 2016; their Figure 10).

We implemented these models using the JAMPY package.<sup>11</sup> In the JAM.AXI.PROJ procedure, we set `align="cyl"` to enforce cylindrical alignment. We further assumed an oblate velocity ellipsoid, where the vertical dispersion differs from the equal radial and tangential dispersions ( $\sigma_z \neq \sigma_R = \sigma_\phi$ ), by setting `gamma=theta` and `kappa=1`.

A crucial aspect of this study is probing radii very close to the central BH. To accurately capture the BH’s gravitational influence in this regime, we set the keyword `analytic_los=False`. This treats the BH potential as a point mass, enabling the analytical calculation of the intrinsic second velocity moments ( $\langle\langle\sigma_z^2\rangle\rangle$  and  $\langle\langle\sigma_\phi^2\rangle\rangle$ ) in its vicinity, rather than using a Gaussian approximation. These analytically derived intrinsic moments are then numerically integrated along the line of sight to predict the observable kinematics.

### 4.2. Predicted Velocity-dispersion Profiles

Before presenting detailed simulations of the predicted kinematics due to IMBHs of different  $M_{\text{NSC}}$ , here we try to understand the quantitative behavior we should expect. This is important because we have to decide how to extrapolate the inner surface brightness profile of NSCs, at radii much smaller than resolvable with HST.

In their classic paper, S. Tremaine et al. (1994) studied the qualitative behavior of the projected velocity-dispersion ( $\sigma_p$ ) profiles in elliptical galaxies with central SMBHs. They described the predicted velocity-dispersion profile of isotropic galaxy models described by a double power-law density profile, with general inner density  $\rho(r) \propto r^{-\gamma}$  and fixed outer density  $\rho(r) \propto r^{-4}$  (W. Dehnen 1993), and a smooth transition between these two power-law regimes. Where,  $\gamma$  is the power-law index.

Here, we illustrate the behavior of the velocity dispersion for the more general core-Sérsic model (A. W. Graham et al. 2003; I. Trujillo et al. 2004), which parametrizes the projected surface brightness (not the intrinsic density) as follows

$$I(R) = I' \left[ 1 + \left( \frac{R_b}{R} \right)^\alpha \right]^{\gamma/\alpha} \exp \left[ -b_{n_{\text{Ser}}} \left( \frac{R^\alpha + R_b^\alpha}{R_e^\alpha} \right)^{1/(\alpha n_{\text{Ser}})} \right], \quad (1)$$

where  $I'$  is the normalization and  $b_{n_{\text{Ser}}} = Q^{-1}(2n_{\text{Ser}}, 1/2)$  is a factor defined in such a way that the half-light radius  $R_e$  encloses

<sup>11</sup> v7.2.0; available from <https://pypi.org/project/jampy/>.

**Table 4**  
Best-fit Core-Sérsic ( $\gamma = 0.1$ ) + Pure-Sérsic Parameters of the HST/WFPC2 Surface Brightness Profiles

Galaxy Name	Filter	$\mu_b$ (mag/arcsec <sup>-2</sup> )	$n_{\text{Ser}}$	$\alpha$	$R_c$ (arcsec)	$R_b$ (arcsec)	$\mu_e$ (mag/arcsec <sup>-2</sup> )	$R_e$ (arcsec)	$n$
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
NGC 300	F814W	15.72 ± 0.22	9.74 ± 0.05	2.87 ± 0.05	0.17 ± 0.03	0.02 ± 0.01	22.61 ± 0.45	224.20 ± 1.52	0.84 ± 0.11
NGC 3115 dw01	F814W	15.59 ± 0.21	9.67 ± 0.05	5.42 ± 0.12	0.07 ± 0.02	0.03 ± 0.01	21.96 ± 0.20	19.18 ± 0.25	1.59 ± 0.07
...	F547M	16.44 ± 0.25	9.51 ± 0.06	5.27 ± 0.15	0.06 ± 0.02	0.03 ± 0.01	23.01 ± 0.24	18.73 ± 0.27	1.71 ± 0.05

**Note.** Column (1): The galaxy name. Column (2): The broadband filter used to take the data. Columns (3)–(7): The best-fit parameters of the core-Sérsic profile for the NSC components, in order the surface brightness density at the break radius, the Sérsic index of the outer Sérsic part, the sharpness parameter which indicates how fast/slow the profile changes from the outer Sérsic to inner power-law regime, the break radius where the profile changes from the outer Sérsic part to the inner power-law part, and the effective radius of the outer Sérsic part. Columns (8)–(10): The best-fit parameters of the pure-Sérsic profile for the galaxies’ extended parts, in order the surface brightness density at the effective radius, the effective radius, and the Sérsic index.

half of the total light of the Sérsic profile (without the core), and  $Q^{-1}$  is the inverse of the regularized incomplete gamma function<sup>12</sup> (K. Zhu et al. 2025, Equation (14)). Here,  $n_{\text{Ser}}$  is the Sérsic index, which controls the shape of the outer Sérsic part.  $R_b$  is the break radius, which is the point at which the surface brightness changes from the outer Sérsic part to the inner power-law regime of the profile. The intensity  $I_b$  at the break radius is given by Equation (1) with  $R = R_b$  (we give it in magnitudes  $\mu_b$  in Table 4).  $\alpha$  is the sharpness parameter that controls the sharpness of the transition between the outer Sérsic and inner power-law regimes. Outside the inner break at radius  $R > R_b$  this is a J. L. Sérsic (1968) profile of projected half-light radius  $R_e$ , but gradually transitions to a power-law surface brightness  $\Sigma(R) \propto R^{-\gamma}$  at smaller radii  $R \ll R_b$ .

We adopt the core-Sérsic profile because it can describe the profile of real NSCs. However, we allow for a cusp in the inner slope to parameterize our ignorance of the profile of NSC at radii which are inaccessible with current instruments, but which will become observable with the ELT. In our analysis, we model the NSC in isolation, even though they are embedded in the large-scale gravitational potential of the host galaxy. However, the latter has an insignificant effect on the predicted  $\sigma_p$  profiles at the center of the NSC. In particular, in our case,  $R_c$  represents the size of the NSC, not the size of the host galaxy.

To compute the predictions for the  $\sigma_p$  profiles, we first used the MGE.FIT\_1D procedure in the MGEFIT package<sup>13</sup> (M. Cappellari 2002) to fit the core-Sérsic profiles in the range  $R_b/100 < R < 20R_e$  using 20 Gaussians. Then, we used the JAM\_SPH\_PROJ procedure in the JAMPY package (see footnote 7; M. Cappellari 2008, 2020) to compute the isotropic velocity dispersion for different values of the Sérsic index  $n_{\text{Ser}}$  and the core inner slope  $\gamma$ . In all our tests, we adopted a fixed  $\alpha = 2$  value, which produces a smooth transition between the outer Sérsic and inner power-law profiles. We show the results in Figure 4. The qualitative behavior of the profiles is independent of the absolute normalization of their total masses  $M_*$  and  $R_e$ . It can be applied unchanged to describe the  $\sigma_p$  profile of NSC or giant elliptical galaxies. However, in this figure, we adopt as mass and size reference the values  $\lg(M_{\text{NSC}}/M_\odot) = 6.2$  and  $R_e = 3.1$  pc. These are the median values for NSC of late-type galaxies with  $M_* < 10^9 M_\odot$  (N. Neumayer et al. 2020; their Table 2).

In Figure 4, we adopt either no BH or BH masses of 0.5% and 1% of the mass of the NSC. These values are the same

characteristic fractions observed for normal galaxies (J. Kormendy & L. C. Ho 2013, Equation (11)). However, nothing is currently known about the mass of IMBH in NSC. In fact, NSCs may not have IMBHs at all, or they may be dominated by the IMBH mass. But the qualitative behavior remains unchanged.

For  $\gamma > 0$  and without BHs the  $\sigma_p$  profile decreases toward the nucleus, regardless of  $n_{\text{Ser}}$ . This is a well-known general feature of realistic galaxy models. It appeared in puzzling contrast with the early observations (J. Binney 1980) until it became clear that all massive galaxies contain SMBHs. With an IMBH, the  $\sigma_p$  profile starts increasing toward the center, inside the IMBH’s SOI, asymptotically approaching the Keplerian rise  $\sigma_p \propto R^{-1/2}$  (for  $0 < \gamma < 2$ ), regardless of the profile slope, as shown by S. Tremaine et al. (1994, Equation (52)).

S. Tremaine et al. (1994) also pointed out that,<sup>14</sup> when  $\gamma = 0$ , namely when the inner surface brightness approaches a constant value, the asymptotic behavior becomes qualitatively different. In that case, without an IMBH, the  $\sigma_p$  profile approaches a constant positive value, instead of dropping toward zero. We confirm the behavior for the core-Sérsic profile with a flat inner core regardless of  $n_{\text{Ser}}$ . With an IMBH, the  $\sigma_p$  profile rises toward the center, but it does so less steeply than the  $\gamma > 0$  case, as predicted for the double power-law models.

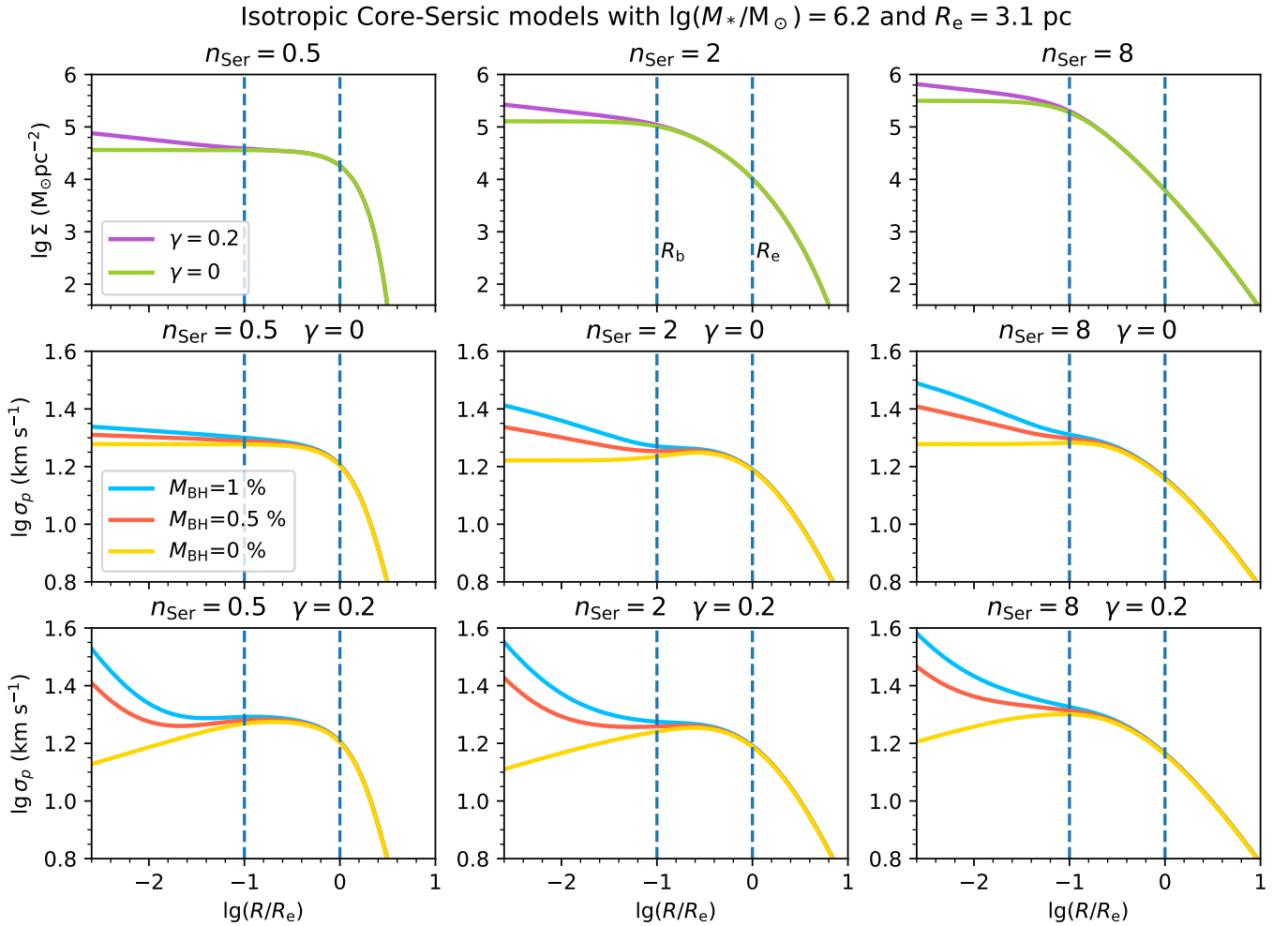
What is new in this study is the fact that we explore a range of Sérsic indices, including the extreme case  $n_{\text{Ser}} = 1/2$ , which corresponds to a Gaussian profile, when  $\gamma = 0$ . We find that in this extreme situation, the  $\sigma_p$  profile becomes only weakly sensitive to the  $M_{\text{BH}}$ . This is quite important for the present study, because we are approximating the surface brightness of the NSC using the multi-Gaussian expansion (MGE) method (E. Emsellem et al. 1994; M. Cappellari 2002) and we are fitting photometric data that have a much lower resolution than the one we will be able to obtain with the ELT. This implies that our model may end up being described by a Gaussian in the central parts, well inside the width of the PSF of our photometry. This is precisely the key region, near the BH, where we want to produce an accurate  $\sigma_p$  prediction.

In practice, we should not worry about NSC having flat surface brightness profiles and isotropic orbital distributions because such models are unphysical and cannot exist in real stellar systems. Instead, if an IMBH is present, isotropic models produce a density cusp that rises as  $\rho(r) \propto r^{-1/2}$  near the BH (S. Tremaine et al. 1994), or  $\Sigma(R) \propto R^{-3/2}$  in surface brightness (see also R. P. van der Marel 1999). One would require extreme tangential

<sup>12</sup> Implemented in [scipy.special.gammaincinv](https://pypi.org/project/mgefit/).

<sup>13</sup> v5.0 of the Python package from <https://pypi.org/project/mgefit/>.

<sup>14</sup> S. Tremaine et al. (1994) studied densities  $\rho(r) \propto r^{-\gamma'}$ , which we convert to the corresponding surface brightness  $\Sigma(R) \propto R^{-\gamma}$  using  $\gamma = \gamma' + 1$ .



**Figure 4.** Atlas of the central surface-mass density ( $\Sigma$ , three top-row panels) and projected velocity dispersion ( $\sigma_p$ ), the three middle-row and bottom-row panels) profiles resolved deeply into the resolvable region of HARMONI, which are predicted by various values of the Sérsic index ( $n_{\text{Ser}}$ ) and the core inner slope ( $\gamma$ ) of the isotropic core-Sérsic model. These theoretical profiles can be used to describe the qualitative behavior of the  $\sigma_p$  of stellar systems spanning from galaxies to NSC. For illustration, the values of  $\Sigma$  and  $\sigma_p$  were normalized to approximate the median values of the mass and radii of NSC (see text). We utilized three different Sérsic indices—including the low  $n_{\text{Ser}} = 0.5$  (corresponding to the Gaussian profile), intermediate  $n_{\text{Ser}} = 2$ , and high  $n_{\text{Ser}} = 8$  of the Sérsic index value—and two different values of the core inner slope:  $\gamma = 0$  (green line) and  $\gamma = 0.2$  (purple line). To illustrate the effects of central IMBHs having at the central region of the projected velocity-dispersion profiles, we modeled with three different BH masses:  $M_{\text{BH}} = 0\%$  of  $M_{\text{NSC}}$  (no BH, yellow line),  $M_{\text{BH}} = 0.5\%$  of  $M_{\text{NSC}}$  (or  $M_{\text{BH}} = 8 \times 10^3 M_\odot$ , red line), and  $M_{\text{BH}} = 1\%$  of  $M_{\text{NSC}}$  (or  $M_{\text{BH}} = 1.6 \times 10^4 M_\odot$ , blue line). The two vertical dashed lines represent the break radius ( $R_b$ , inner line) and the effective radius ( $R_e$ , outer line) of the NSC’s isotropic core-Sérsic model. The core inner slope  $\gamma$  control the inner behavior of the central surface-mass density and the projected velocity-dispersion profiles, while the Sérsic index describes the outer profile.

anisotropies for flat profiles  $\gamma = 0$  to be allowed, as indicated by the cusp-slope versus central anisotropy theorem (J. H. An & N. W. Evans 2006). We have no reason to think NSC satisfies this requirement. However, what is important is that, lacking real high-resolution photometry at the resolution of HARMONI, we extrapolate the observed surface brightness using a nonzero inner power slope, when constructing the mock kinematics of the NSCs. Failing to do so, we would generate predictions for  $\sigma_p$  profiles that vastly underestimate the central rise that we expect from high-resolution HARMONI observations.

#### 4.3. MARCS Synthetic Library of Stellar Spectra

To create mock H<sub>2</sub> IFS simulations, we required SPS spectra<sup>15</sup> that contain information about the stellar populations (C. Maraston & G. Strömbäck 2011), based on the Model Atmospheres with a Radiative and Convective Scheme (MARCS)

synthetic library of theoretical spectra, originally developed by B. Gustafsson et al. (2008). Although MARCS synthetic library spectra are not as reliable as an empirical stellar library, when expecting the stellar kinematics of real galaxies, its minor imperfections are not an issue when generating mock spectra. The library offers broad wavelength coverage spanning from 1300 Å to 20 μm at high spectral resolution with  $\sigma = 6.4$  km s<sup>-1</sup> (equivalent to  $R = \lambda/\Delta\lambda \sim 20,000$ ). We assumed a Salpeter IMF, an age of 5 Gyr, and solar metallicity (z002) for both NGC 300’s NSC (N. Kacharov et al. 2018) and NGC 3115 dw01 (Section 3.3). The SPS spectra were truncated within the wavelength range of 1.0–2.5 μm to match the HARMONI/*J*-, *H*-, *K*-, *H*-high, *K*-short, and *K*-long gratings.

## 5. Galaxy Photometry Models

### 5.1. HST Images and Their Point-spread Function Models

We summarized the HST/Wide Field and Planetary Camera 2 (WFPC2) Planetary Camera (PC) images used in this study

<sup>15</sup> Available from <https://marcs.astro.uu.se/>.

**Table 5**  
HST/WFPC2 PC (PC1) Observations (1 pixel = 0".045) of the Two Galaxies Hosting NSCs Chosen to Perform HISM Simulations

Galaxy name	$\alpha$ (J2000) (h:m:s)	$\delta$ (J2000) ( $^{\circ}$ : $'$ : $''$ )	UT Date	PID	PI	Filter	Exptime (s)	Zero-point (mag)	$A_{\lambda}$ (mag)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
NGC 300	50:54:53.477	-37:41:03.31	2001 May 06	8599	Boeker	F814W	3 $\times$ 213	23.758	0.025
NGC 3115 dw01	10:05:41.599	-07:58:53.40	1995 Nov. 29	5999	Phillips	F814W	2 $\times$ 160	23.758	0.050
...	10:05:41.599	-07:58:53.40	1995 Nov. 29	5999	Phillips	F547M	1 $\times$ 160	23.781	0.025

**Note.** Column (1): The galaxy name. Columns (2) and (3): The galaxy's position (R.A. and Decl.) from HST/Hubble Legacy Archive. Column (4): The date when observations were performed. Columns (5) and (6): The project (PID) and principal investigator (PI) identification numbers. Column (7): The board-band filter used to take the data. Column (8): The exposure times of the observations show the number of exposures multiplied by the time spent on source for each exposure. Columns (9) and (10): The photometric zero-point and extinction value in each filter.

in Table 5. We accessed the reduced and drizzled images from the HST/Hubble Legacy Archive, with a pixel scale of 0".045.

For our analysis, we need the PSFs to deconvolve the HST profiles into their intrinsic forms (Section 5.2). We thus employed the simulated images of the HST/WFPC2 PSFs in each filter using `tiny1` and `tiny2` routines within the `Tiny Tim` software package<sup>16</sup> (J. Krist 1995; J. E. Krist et al. 2011), which creates a model HST PSF based on the instrument, detector chip, detector chip position, and filter used in the observations. To ensure that the model PSFs were processed in the same way as the HST images, we produced individual versions of each PSF corresponding to their realistic exposure in each filter and each galaxy on a subsampled grid with subpixel offsets, using the same four-point box dither pattern as the HST/WFPC2 exposures. Next, in order to account for the effect of electrons leaking into neighboring pixels on the CCD, each model PSF was convolved with the appropriate charge diffusion kernel. The same filters set of PSFs of each galaxy were then combined and resampled onto a final grid with a pixel size of 0".045 using `Drizzlepac/AstroDrizzle` (R. J. Avila et al. 2012) to produce the final PSF image.

### 5.2. Surface Brightness Profiles and Galaxy Mass Models

We used the HST data and their simulated PSFs in Section 5.1 to measure the surface brightness profiles of the galaxies. We fitted the model of Equation (1) and extrapolated these profiles using the best-fit parameters to create our mock surface brightness at higher resolution than HST.

Our initial step involved extracting the one-dimensional (1D) surface brightness of NGC 300 and NGC 3115 dw01 by employing the Image Reduction and Analysis Facility (IRAF) `ellipsetask` (R. I. Jedrzejewski 1987) to conduct this task. The ellipse routine systematically integrated the flux of stars within concentric annuli, allowing for variations in position angles and ellipticities along the semimajor axis of the galaxy, although fixing these two parameters did not yield any significant changes in our results. Before extracting the intrinsic 1D surface brightness profiles in IRAF for each image, we first performed spatial deconvolution using the corresponding PSF image generated in Section 5.1. Subsequently, we converted the average flux within each annulus, measured in counts/s, into surface brightness expressed in  $\text{mag arcsec}^{-2}$ . This conversion was carried out using the photometric information derived from the broadband filters and camera specifications (Table 5). The large-scale profile of NGC 300 ( $r > 2''$ ) was taken from N. Neumayer et al. (2020), which was extracted from the Wide Field Imager (WFI) observed

in  $R$  band with the MPG/ESO 2.2 m telescope.<sup>17</sup> Here, we calibrated our inner F814W profile ( $r \leq 2''$ ) with the same HST profile from D. J. Carson et al. (2015) using the AB magnitude system.

Next, we applied analytical functions to fit these 1D surface brightness of NGC 300 and NGC 3115 dw01. These functions involved combinations of a core-Sérsic model (A. W. Graham et al. 2003; I. Trujillo et al. 2004) and a pure-Sérsic model (J. L. Sersic 1968). Here, while the core-Sérsic model is used to fit the NSC component, the pure-Sérsic model was applied to fit the extended component of the galaxy. This model fit also gives the Sérsic index ( $n_{\text{Ser}}$ ) of the NSC, which is useful to predict the kinematics trend (see Section 4.2). Furthermore, the pixel size of the HST/WFPC2 is 45 mas. This means that a single pixel contains over 60 spaxels of the proposed 10 mas mode adopted for this HARMONI IMBH survey. In fact, it is more than 11 times larger than the pixel size for ELT/MICADO images (i.e., 4 mas). We thus do not know the stellar profile at this scale, and this region is indeed embedded deeply in the core of an NSC, which is controlled by the power-law behavior of the core-Sérsic model. In this work, we adopted the power-law index  $\gamma = 0.1$  as in N23 for both galaxies, although our tests with varying  $\gamma$  provide  $\gamma = 0.08$  for NGC 300 and  $\gamma = 0.13$  for NGC 3115 dw01 with their current HST 1D surface brightness, implying our global choice of  $\gamma = 0.1$  for mock HARMONI IFS simulation in the subsequent Section is reasonable.

Additionally, the pure J. L. Sersic (1968) model has a form:

$$I(R) = I_e \exp\left(-b_n \left[\left(\frac{R}{r_e}\right)^{1/n} - 1\right]\right). \quad (2)$$

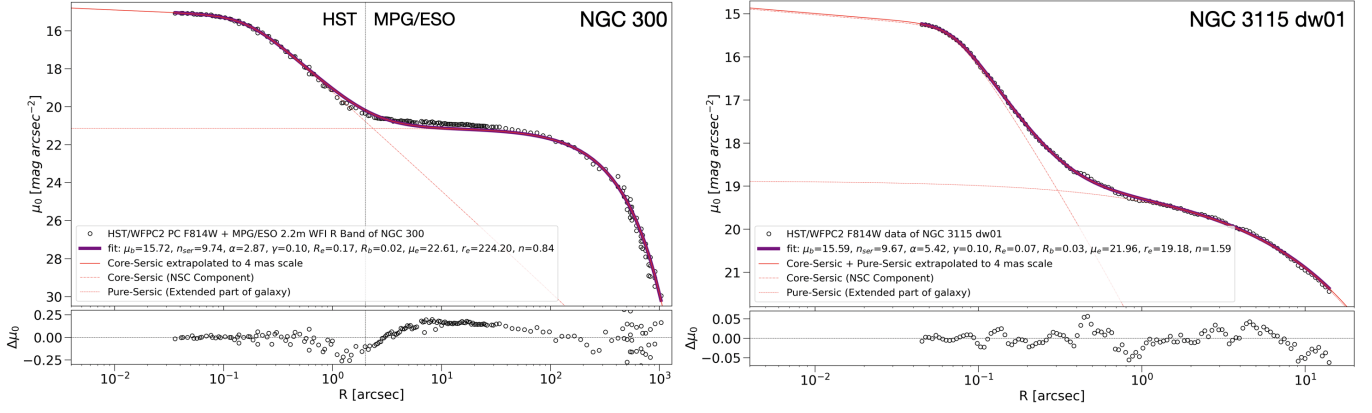
In which, we denoted  $n$  is the Sérsic index of the pure-Sérsic profile to distinguish with that  $n_{\text{Ser}}$  of the core-Sérsic profile in Equation (1), which controls the degree of curvature of the profile.  $I_e$  is the intensity at  $R_e$ , which is converted into surface brightness density  $\mu_e$  shown in the legends of Figure 5 and Table 4.

We applied a nonlinear least-squares algorithm using the `MPFIT`<sup>18</sup> function (C. B. Markwardt 2009) to iteratively fit the combined function of a core-Sérsic and a pure-Sérsic above for both NGC 300 and NGC 3115 dw01 to their corresponding spatially deconvolved profiles, thereby obtaining the best-fit parameters homogeneously. After the fits, we extrapolated the best-fit surface brightness toward the centers of the galaxies to a scale of 4 mas required for this IMBH survey's imaging with MICADO (Figure 5). The best-fit parameters and their

<sup>16</sup> <https://github.com/spacetelescope/tinytim>

<sup>17</sup> Available at <https://www.eso.org/public/images/eso1037a/>.

<sup>18</sup> Available from <http://purl.com/net/mpfit>.



**Figure 5.** Upper panels: The HST/WFPC2 F814W surface brightness of NGC 300 (left) and NGC 3115 dw01 (right) constructed from IRAF ellipse are plotted in open black dots, while their best-fit core-Sérsic + pure-Sérsic surface brightness are plotted in purple solid-thick lines. Their best-fitting parameters are shown in the legends. Here, the core-Sérsic profile is plotted in a red-dashed line, while the pure-Sérsic profile is depicted in a red dotted line. For  $r < 0''.045$ , these surface brightness are extrapolated to the 4 mas scale required for this IMBH survey’s imaging with MICADO (red solid-thin lines). For NGC 300, the vertical black line indicates the radius at which we combined the narrow-field HST/WFPC2 F814W data with the wide-field MPG/ESO 2.2 m WFI R-band data. Lower panels: The differences, data - model, between the IRAF ellipse surface brightness and their corresponding best-fit core-Sérsic + pure-Sérsic models illustrate the fit’s goodness.

**Table 6**  
The Stellar-light MGE Models of NGC 300 and NGC 3115 dw01

(1)	$\log_{10} \Sigma_{*j} (L_{\odot} \text{ pc}^{-2})$ (2)	$\sigma_j (")$ (3)	$q'_j = b_j/a_j$ (4)	$\log_{10} \Sigma_{*j} / (L_{\odot} \text{ pc}^{-2})$ (5)	$\sigma_j (")$ (6)	$q'_j = b_j/a_j$ (7)
$j$	NGC 300	NGC 300	NGC 300	NGC 3115 dw01	NGC 3115 dw01	NGC 3115 dw01
1	<b>3.954</b>	<b>0.0007</b>	<b>0.90</b>	<b>4.298</b>	<b>0.0069</b>	<b>0.90</b>
2	<b>3.745</b>	<b>0.0012</b>	<b>0.90</b>	<b>4.791</b>	<b>0.0512</b>	<b>0.90</b>
3	<b>3.714</b>	<b>0.0020</b>	<b>0.90</b>	<b>4.339</b>	<b>0.1044</b>	<b>0.90</b>
4	<b>3.377</b>	<b>0.0028</b>	<b>0.90</b>	3.201	0.2293	0.90
5	<b>3.195</b>	<b>0.0039</b>	<b>0.90</b>	2.886	0.6191	0.90
6	<b>3.774</b>	<b>0.0052</b>	<b>0.90</b>	2.739	1.3815	0.90
7	<b>3.971</b>	<b>0.0119</b>	<b>0.90</b>	2.570	2.8272	0.90
8	<b>3.159</b>	<b>0.0174</b>	<b>0.90</b>	2.376	5.4128	0.90
9	<b>3.456</b>	<b>0.0267</b>	<b>0.90</b>	2.154	9.8186	0.90
10	<b>4.334</b>	<b>0.0358</b>	<b>0.90</b>	1.905	17.040	0.90
11	<b>4.778</b>	<b>0.0877</b>	<b>0.90</b>	1.632	28.594	0.90
12	<b>3.620</b>	<b>0.1103</b>	<b>0.90</b>	1.332	47.157	0.90
13	<b>4.814</b>	<b>0.1774</b>	<b>0.90</b>	0.994	78.302	0.90
14	4.518	0.5033	0.75	0.587	136.755	0.90
15	3.312	57.735	0.75	-0.019	288.675	0.90

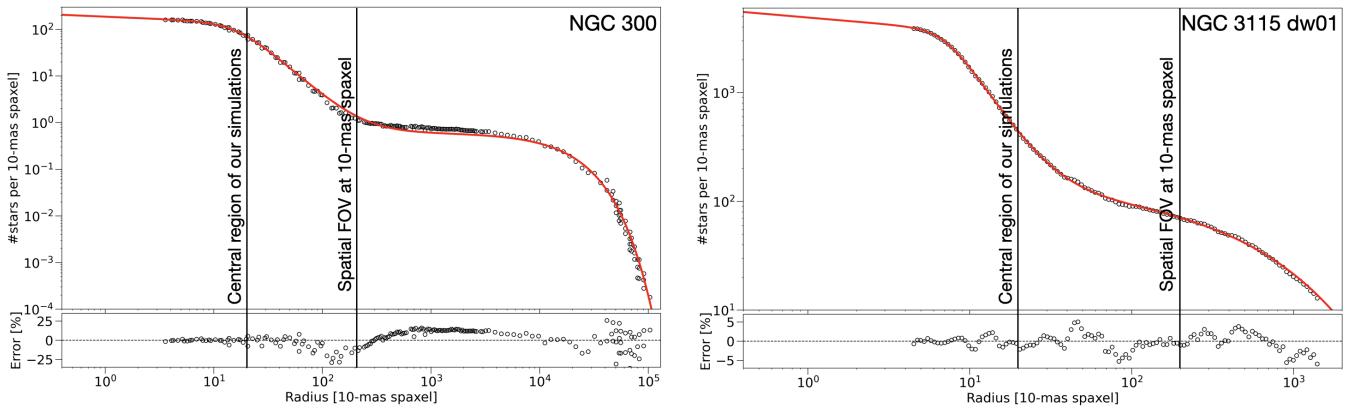
**Note.** The MGE models used in JAM<sub>cy1</sub> model to create the input cubes for H<sub>2</sub>IM (Section 6.2) and recover the IMBH mass (Section 7.1). Each MGE model has 15 Gaussian components as shown in Column (1). Columns (2)–(4): The MGE models that represented the mass models of the galaxies when scaled with a constant  $M/L_{\text{F814W}}$ , the dispersion of Gaussians, and the ratio between the semimajor and semiminor axes for NGC 300, respectively. Columns (5)–(7): Similarities of Columns (2)–(4) but for NGC 3115 dw01. Bold-face numbers are representative parameters for the Gaussians decomposed from the core-Sérsic profile, which describes the NSC.

associated errors derived from these surface brightness fits are saved in Table 4.

Next, we employed these derived parameters to describe the two-dimensional (2D) luminosity density models extrapolated toward the central 4 mas for each galaxy. We assumed a small flattening based on the fact that not all NSCs are perfectly spherical and nonrotating, characterized by the ratio between the semiminor and semimajor axes  $q' = b/a \approx 0.9$ . This assumption also works for the extended bulge or disk of NGC 3115 dw01 since the galaxy’s morphology looks round through its HST images. However, the extended scale of NGC 300 revealed through the MPG/ESO 2.2 m WFI R band image suggests the galaxy’s outer part ( $r > 2''$ ) can be approximately assumed as  $q' = b/a \approx 0.75$ . Subsequently, we approximated these 2D luminosity density models using the

MGE method (E. Emsellem et al. 1994; M. Cappellari 2002). This involved using the MGE.FIT\_SECTORS routine from the MGEFIT package (M. Cappellari 2002) and included 15 Gaussians spanning radii from 4 mas to  $\approx 1000''$  for NGC 300 and  $\approx 15''$  for NGC 3115 dw01, as listed in Table 6.

Finally, we converted the luminosity surface density into mass surface density by assuming a constant mass-to-light ratio,  $M/L_{\text{F814W,dyn}} \approx M/L_I \approx 0.6 (M_{\odot}/L_{\odot})$  for NGC 300 (N. Neumayer & C. J. Walcher 2012) and  $M/L_{\text{F814W,phot}} \approx M/L_I \approx 1.4 (M_{\odot}/L_{\odot})$  for NGC 3115 dw01 derived in AB mag (R. Pechetti et al. 2020). To simplify our HARMONI IFS simulations, we disregarded (1) potential variations in  $M/L_I$  due to differences in stellar populations (e.g., M. Mitzkus et al. 2017) and (2) the distribution of dark matter halos (J. F. Navarro et al. 1996), given that the stellar density is expected to be



**Figure 6.** The upper panels present the estimated number of stars per 10 mas spaxel for the nuclei of NGC 300 (left) and NGC 3115 dw01 (right). The data points derived directly from the SB in Figure 5 are shown in black circles at their best-fit models are shown in red lines. The lower panels of the figure display the residuals, calculated as  $(\text{data} - \text{model})$ , which provide a relative error in our estimation, helping to assess the accuracy of our calculations. The inner vertical solid line represents our spatially central regions of HARMONI simulations in Section 6.2 ( $0.4 \times 0.4$ ), while that same outer line illustrates the full spatial FOV of HARMONI at our chosen 10-mas spaxel survey ( $2.15 \times 1.54$ ; see S. Zieleniewski et al. 2015).

orders of magnitude larger in the NSC. We focus on the stellar kinematics within the central part of  $0.4 \times 0.4$  of HARMONI field of view (FOV), where the central BH’s and NSC’s potentials are the dominant factor.

### 5.3. Effect of Individual Stars

A critical consideration for our HARMONI IMBH survey, with its proposed 10 mas spaxel resolution, is ensuring a sufficient number of stars within each spaxel. This is necessary to treat the stellar distribution as continuous rather than needing to model individual stars, a challenge that increases with closer targets. To evaluate this, we estimated the average number of stars per 10 mas spaxel using the surface brightness profiles provided in Figure 5. Our method involved converting the surface brightness density from  $\text{mag arcsec}^{-2}$  to surface luminosity density in  $L_{\odot} \text{arcsec}^{-2}$ , and then calculating the average number of stars within the 10 mas spaxel area based on this luminosity density.

Our findings are illustrated in Figure 6, which shows the estimated number of stars per 10 mas spaxel versus radius for NGC 300 and NGC 3115 dw01. In NGC 300, the stellar density per spaxel falls dramatically from over 100 in the nucleus to approximately one star at radii exceeding 200 spaxels (equivalent to the  $\approx 2.15$  extent of our HARMONI FOV). NGC 3115 dw01, being significantly denser, shows a decrease from about 5000 central stars per spaxel to around 700 within the FOV. Notably, even in the difficult case of NGC 300—a close and well-resolved target—the central  $0.4 \times 0.4$  region maintains a density of roughly 100 stars per 10 mas spaxel, supporting the validity of a continuous distribution assumption in such dense central areas. It is important to understand that this issue of discrete stars per single spaxel is primarily a concern only for the few innermost spaxels. For radii further out, we will employ Voronoi binning (see footnote 13, Section 6.3). This technique combines adjacent spaxels into larger spatial bins, thereby guaranteeing a sufficient number of stars within each bin for accurate integrated light measurements.

## 6. HARMONI IFS Simulations

First, we describe the HARMONI instrument on ELT and HARMONI Simulator (HSIM) in Section 6.1. Next, we combine the mass models of NGC 300 and NGC 3115 dw01 constructed in

Section 5 with HSIM to simulate their  $J$  ( $1.046\text{--}1.324 \mu\text{m}$ ),  $H$  ( $1.435\text{--}1.815 \mu\text{m}$ ),  $K$  ( $1.951\text{--}2.469 \mu\text{m}$ ),  $H$ -high ( $1.538\text{--}1.678 \mu\text{m}$ ),  $K$ -short ( $2.017\text{--}2.201 \mu\text{m}$ ), and  $K$ -long ( $2.199\text{--}2.400 \mu\text{m}$ ) mock data cubes in Section 6.2. Finally, we present the extracted kinematics from these mock HSIM cubes in Section 6.3.

### 6.1. HARMONI Instrument on the ELT and HSIM Simulator

HARMONI is the first optical and near-infrared IFS ranging from  $0.458$  to  $2.469 \mu\text{m}$  and offering IFS at four distinct spatial scales (i.e.,  $4 \times 4$ ,  $10 \times 10$ ,  $20 \times 20$ , and  $30 \times 60 \text{mas}^2$ ) and three spectral resolving powers (i.e.,  $\lambda/\Delta\lambda \approx 3300$ ,  $\approx 7100$  and  $\approx 17,400$ ) through 13 gratings. As integrated from 798 hexagonal segments with 1.4 m diameter, HARMONI will gather spectral data from a field of  $152 \times 214$  spaxels ( $\approx 32,530$  spectra) over a 39 m primary mirror equipped with laser guide star AO. We note that HARMONI is currently undergoing a rescoping science evaluation, and its detailed specifications may change. In this work, we use the last available stable specifications given that the instrument characteristics are not yet sufficiently settled to incorporate the latest potential changes into our simulations.

N23 demonstrated that HARMONI could robustly measure SMBH masses for massive galaxies up to  $z \lesssim 0.3$ . Its scientific explorations can also extend from diffraction-limited imaging to ultrasensitive observations, including the study of morphology, spatially resolved populations and kinematics, abundances, and line ratios in distant sources, even in regions of faint SB (N. A. Thatte et al. 2016, 2020).

S. Zieleniewski et al. (2015) introduced a sophisticated HSIM pipeline designed for simulating observations with the HARMONI instrument on the ELT. This software takes as input high spectral and spatial resolution IFS cubes without random noise (generated in Section 6.2) and incorporates the physical properties of celestial targets to generate simulated data cubes. In the simulation process, HSIM factors in the complex atmospheric conditions at the ELT’s observation site and realistic detector statistics/readout, thereby producing data that mimics actual observations. This paper is primarily focused on utilizing HARMONI’s LTAO IFS simulations to probe the nucleus stellar kinematics of nearby dwarf galaxies. We aim to search for the kinematic signatures of IMBHs and

estimate their masses. These simulations also delineate the boundaries within which HARMONI can deliver feasible observables. Furthermore, they provide invaluable scientific insights, offering guidance for future research efforts that will use actual data obtained with HARMONI.

## 6.2. Simulations of Mock IFS Data cubes

We generated mock HARMONI IFS data cubes using the H<sub>SIM</sub> pipeline. These simulations were conducted for three medium-resolution gratings (*J*, *H*, and *K*, with  $\lambda/\Delta\lambda \approx 7100$  and  $\sigma_{\text{instr}} \approx 18 \text{ km s}^{-1}$ ) and three high-resolution gratings (*H*-high, *K*-short, and *K*-long, with  $\lambda/\Delta\lambda \approx 17400$  and  $\sigma_{\text{instr}} \approx 6 \text{ km s}^{-1}$ ). The primary goal of these simulations was to assess the impact of kinematic errors and evaluate the feasibility of using different stellar spectral features for determining the BH mass ( $M_{\text{BH}}$ ).

As discussed in N23 and A. Crespo Gómez et al. (2021), the *K*-band spectrum (covering both *K*-short and *K*-long) contains several molecular absorption lines crucial for measuring stellar kinematics. Prominent among these are the CO absorption bandheads in the range of 2.29–2.47  $\mu\text{m}$ , such as  $^{12}\text{CO}(2-0)$  at 2.293  $\mu\text{m}$  and  $^{12}\text{CO}(3-1)$  at 2.312  $\mu\text{m}$ . Additionally, atomic lines such as Na I  $\lambda 2.207 \mu\text{m}$ , Ca I  $\lambda 2.263 \mu\text{m}$ , and Mg I  $\lambda 2.282 \mu\text{m}$  in the *K* band are also important for this purpose.

In the *H* band (including *H*-high), a rich set of atomic-absorption lines is available (e.g., Mg I  $\lambda 1.487, 1.503, 1.575, 1.711 \mu\text{m}$ ; Fe I  $\lambda 1.583 \mu\text{m}$ ; and Si I  $\lambda 1.589 \mu\text{m}$ ). Strong CO absorption features are also present, including  $^{12}\text{CO}(3-0)$   $\lambda 1.540 \mu\text{m}$ ,  $^{12}\text{CO}(4-1)$   $\lambda 1.561 \mu\text{m}$ ,  $^{12}\text{CO}(5-2)$   $\lambda 1.577 \mu\text{m}$ ,  $^{12}\text{CO}(6-3)$   $\lambda 1.602 \mu\text{m}$ ,  $^{12}\text{CO}(7-4)$   $\lambda 1.622 \mu\text{m}$ , and  $^{12}\text{CO}(8-5)$   $\lambda 1.641 \mu\text{m}$ .

Furthermore, promising atomic and molecular absorption lines have been identified in the *J*-band IFS for potential use in future studies. These lines are primarily sensitive to metallicity and include species such as Fe I, Mg I, Si I, and Ti I. Examples include Ti I  $\lambda 1.1896, 1.1953 \mu\text{m}$ ; Si I  $\lambda 1.1988, 1.1995, 1.2035, 1.2107 \mu\text{m}$ ; Mg I  $\lambda 1.1831, 1.2087 \mu\text{m}$ ; and Fe I  $\lambda 1.1597, 1.1611, 1.1641, 1.1693, 1.1783, 1.1801, 1.1887, 1.1976 \mu\text{m}$  (J. T. Rayner et al. 2009; M. Lyubenova et al. 2012).

A representative subset of these potential atomic and molecular absorption lines is marked on the six H<sub>SIM</sub> mock IFS spectra displayed in Figure 7. These lines were selected to guide the determination of the optimal wavelength range for extracting stellar kinematics, specifically aiming to avoid contamination from sky emission (such as OH lines in the *J* band). For a more exhaustive list and visual representation of these stellar absorption tracers, interested readers are directed to L. Wallace & K. Hinkle (1996, 1997), J. T. Rayner et al. (2009), C. J. Evans et al. (2011), M. Lyubenova et al. (2012), and A. Crespo Gómez et al. (2021).

It is important to note that the atomic-absorption species and molecular absorption lines observed in the *J*, *H*, *H*-high, *K*-short, and the blue portion of the *K*-band IFS generally exhibit relatively narrow profiles and low intensity. This characteristic can lead to saturation at very high velocity dispersions, typical of the vicinity of massive galaxies hosting SMBHs (N23). However, this limitation is less critical for NSCs, which generally have significantly lower stellar-velocity dispersions ( $\sigma_*$ ). While these lines may be saturated near SMBHs ( $\sigma_* \gtrsim 70 \text{ km s}^{-1}$ ) due to line broadening and blending with noise, they are expected to serve as effective indicators for measuring stellar kinematics around IMBHs, where velocity dispersions are lower. In the context of this

simulated work focusing on IMBHs, we will specifically evaluate the feasibility of employing these lines in the *J*, *K*-short, and the blue part of the *K*-band IFS for measuring stellar kinematics, as detailed in Section 6.3.

### 6.2.1. Creation of the Input-noiseless H<sub>SIM</sub> Data cubes

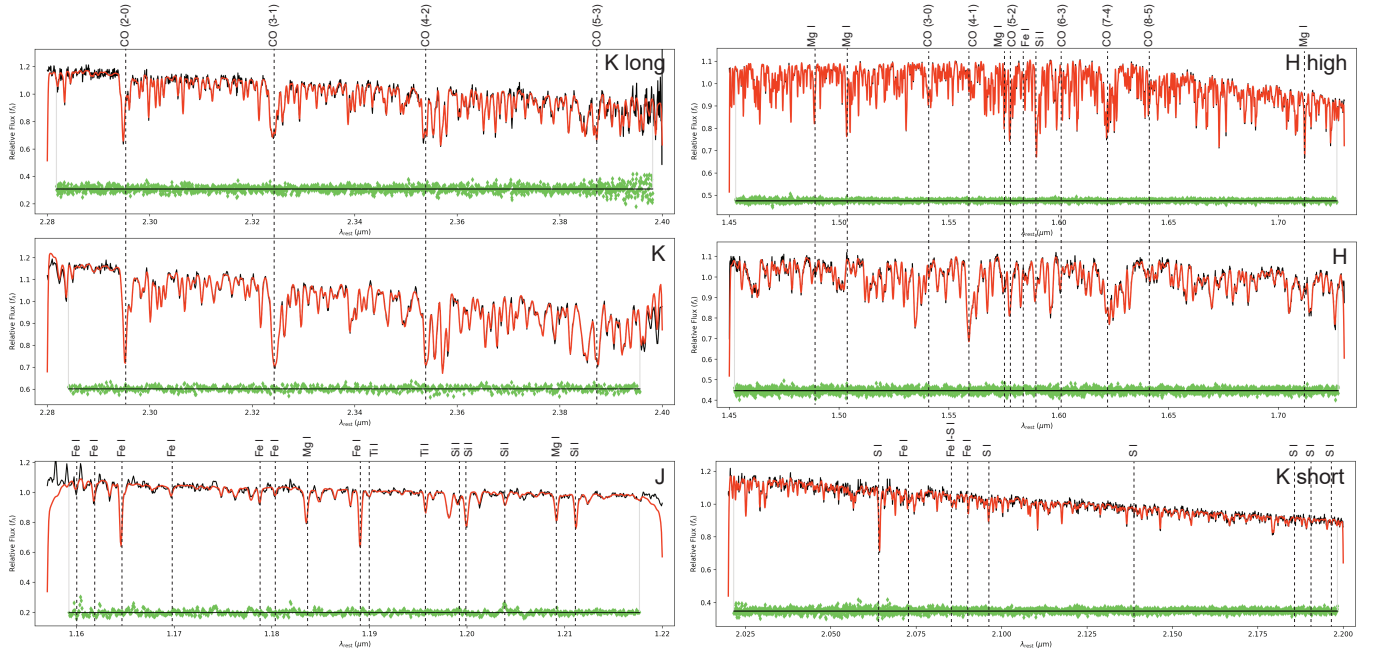
To generate the input-noiseless data cubes for H<sub>SIM</sub>, we adopted the MARCS synthetic stellar spectra (Section 4.3) and assumed a Gaussian line-of-sight velocity distribution (LOSVD).

First, we used the JAM\_AXI\_PROJ routine from the JAMPY package (M. Cappellari 2008, 2020) to compute the projected intrinsic first ( $V$ ) and second ( $V_{\text{rms}}$ ) velocity moments. We assumed an oblate velocity ellipsoid (JAM keywords  $\text{gamma}=0$ ,  $\text{kappa}=1$ ) for predicting  $V$ . The velocity dispersion was then calculated as  $\sigma_* = \sqrt{V_{\text{rms}}^2 - V^2}$ .

For each galaxy, we generated three kinematic models corresponding to different IMBH masses ( $M_{\text{BH}}$ ), expressed as fractions of the NSC mass ( $M_{\text{NSC}}$ ): 0%, 0.5%, and 1%. These fractions correspond to  $M_{\text{BH}} = (0, 5 \times 10^3, 10^4) M_{\odot}$  for NGC 300 and  $M_{\text{BH}} = (0, 3.5 \times 10^4, 7 \times 10^4) M_{\odot}$  for NGC 3115 dw01. The NSC masses,  $M_{\text{NSC}} = 10^6 M_{\odot}$  for NGC 300 and  $M_{\text{NSC}} = 7 \times 10^6 M_{\odot}$  for NGC 3115 dw01, were calculated using the MGE models representing the core-Sérsic profile (Table 6) and the respective mass-to-light ratios:  $M/L_I \approx 0.6 (M_{\odot}/L_{\odot})$  for NGC 300 (N. Neumayer & C. J. Walcher 2012) and  $M/L_{\text{F814W}} \approx 1.4 (M_{\odot}/L_{\odot})$  for NGC 3115 dw01 (R. Pechetti et al. 2020). These values are consistent with the JAM model masses within 3%. The JAM<sub>cyl</sub> kinematic maps were computed on a  $5 \times 5 \text{ mas}^2$  grid, assuming isotropy ( $\beta_z = 0$ ) and an inclination  $i = 42^\circ$  (Section 3.3).

Given the proximity of the HARMONI IMBH sample ( $D \lesssim 10 \text{ Mpc}$ ), redshift effects are negligible. The input-noiseless IFS data cube for H<sub>SIM</sub> was then created for each spaxel ( $x, y$ ) using the following procedure:

1. The chosen synthetic stellar spectrum (Section 4.3) was logarithmically rebinned to a velocity scale of  $\text{velscale} = 0.5 \text{ km s}^{-1}$  per pixel.
2. For each spatial position ( $x, y$ ), a kinematic Gaussian kernel was constructed using the mean velocity  $V(x, y)$  and velocity dispersion  $\sigma_*(x, y)$  predicted by the JAM<sub>cyl</sub> model. The kernel was sampled with a velocity step  $\Delta V = 0.5 \text{ km s}^{-1}$ .
3. The logarithmically rebinned spectrum from step (i) was convolved with the Gaussian kernel from step (ii) in log-wavelength space. The resulting spectrum represents the broadened stellar light at position ( $x, y$ ).
4. The convolved spectrum was then resampled onto a linear wavelength grid. The wavelength step  $\Delta\lambda$  was chosen to be at least two times smaller than the FWHM spectral resolution element of the highest-resolution HARMONI grating ( $\Delta\lambda \approx 0.5 \text{ \AA}$  for the high-resolution gratings), ensuring proper sampling and conservation of spectral information during subsequent processing by H<sub>SIM</sub>.
5. Finally, the spectrum at ( $x, y$ ) was scaled to match the surface brightness predicted by the MGE photometric model (Table 6) at that location. The scaling factor was determined by comparing the integrated flux of the unscaled spectrum within the relevant photometric band (e.g., F814W) to the MGE surface brightness, using the `ppxf.ppxf_util.mag_spectrum` function from the penalized pixel-fitting (pPXF) method (M. Cappellari 2023).



**Figure 7.** Parts of the simulated spectra over six HSIM data cubes, which are fixed as the best spectral wavelength ranges for measuring the stellar kinematics for NGC 300 and NGC 3115 dw01, including  $\lambda 2.28\text{--}2.40\ \mu\text{m}$  for both the *K*- and *K*-long,  $\lambda 1.45\text{--}1.74\ \mu\text{m}$  for both the *H*- and *H*-high, and  $\lambda 1.16\text{--}1.218\ \mu\text{m}$  for the *J*- and  $\lambda 2.05\text{--}2.20\ \mu\text{m}$  for *K*-long, specifically. For the fixed spectra parts of the *K*- and *K*-long bands, we used the CO absorption bandheads (e.g.,  $^{12}\text{CO}(2\text{--}0)\ \lambda 2.293\ \mu\text{m}$ ,  $^{12}\text{CO}(3\text{--}1)\ \lambda 2.312\ \mu\text{m}$ ,  $^{12}\text{CO}(4\text{--}2)\ \lambda 2.351\ \mu\text{m}$ , and  $^{12}\text{CO}(5\text{--}3)\ \lambda 2.386\ \mu\text{m}$ ; L. Wallace & K. Hinkle 1997). For the fixed spectra parts of the *H* and *H*-high bands, we used the atomic-absorption species of Mg  $\lambda 1.487, 1.503, 1.575, 1.711\ \mu\text{m}$ ; Fe  $\lambda 1.583\ \mu\text{m}$ ; Si  $\lambda 1.589\ \mu\text{m}$  and the CO-absorptions lines of  $^{12}\text{CO}(3\text{--}0)\ \lambda 1.540\ \mu\text{m}$ ;  $^{12}\text{CO}(4\text{--}1)\ \lambda 1.561\ \mu\text{m}$ ;  $^{12}\text{CO}(5\text{--}2)\ \lambda 1.577\ \mu\text{m}$ ;  $^{12}\text{CO}(6\text{--}3)\ \lambda 1.602\ \mu\text{m}$ ;  $^{12}\text{CO}(7\text{--}4)\ \lambda 1.622\ \mu\text{m}$ ;  $^{12}\text{CO}(8\text{--}5)\ \lambda 1.641\ \mu\text{m}$ . For the fixed spectrum part of the *J* band, we used the atomic-absorption species of Ti  $\lambda 1.1896, 1.1953\ \mu\text{m}$ ; Si  $\lambda 1.1988, 1.1995, 1.2035, 1.2107\ \mu\text{m}$ ; Mg  $\lambda 1.1831, 1.2087\ \mu\text{m}$ ; and Fe  $\lambda 1.1597, 1.1611, 1.1641, 1.1693, 1.1783, 1.1801, 1.1887, 1.1976\ \mu\text{m}$ . For the fixed spectrum part of the *K*-short band, we used the atomic-absorption lines of Fe  $\lambda 2.088, 2.070\ \mu\text{m}$ ; S  $\lambda 2.188, 2.183, 2.179, 2.137, 2.092, 2.062\ \mu\text{m}$ ; and the blended-absorption features of Fe I—S I at  $\lambda 2.070, \lambda 2.083\ \mu\text{m}$ . In each panel plot, the black vertical thin-dashed lines indicate the positions of atomic/molecule absorptions features of the stellar component extracted from one bin (black line) and its best-fit model produced by pPXF (red line). The two gray-vertical lines limit the wavelength range where the spectrum was fit, and blue dots show the residual between the galaxy spectrum and the best-fitting model ( $\text{data} - \text{model}$ ). The kinematic results of these pPXF fits are shown in Figures 8 and 10 for NGC 300 and Figures 9 and 11 for NGC 3115 dw01 in terms of the 2D maps.

### 6.2.2. HSIM Output Data cubes (Mock IFS HARMONI)

We used the input-noiseless cubes created in Section 6.2.1 as an input for HSIM to produce the mock HARMONI IFS observations. For computational efficiency, we limited our IFS simulations within a central subset of the full HARMONI FOV measuring  $0''.4 \times 0''.4$  region with a pixel size of  $10 \times 10\ \text{mas}^2$ . This choice ensured that the stellar kinematics, primarily influenced by the central BHs in our HARMONI IMBH sample, could be robustly detected. The exposure time for each simulation was carefully adjusted based on the gratings to ensure a median signal-to-noise ratio (S/N) of the final HARMONI spectral sampling produced in output by HSIM at least 5 per spectral pixel in each spaxel at the measured stellar features. Subsequently, pixel binning was employed to increase the S/N further. To replicate the actual ELT observations, we incorporated multi-exposure frames and dithering by setting a detector integration time (DIT) of 900 s (15 minutes) for each exposure. The total exposure time was determined by the number of exposures (NDIT), which is an integer specified in the HSIM pipeline.

All essential properties of NGC 300 and NGC 3115 dw01 needed for the modelings are presented in Section 3.3, while the chosen grating IFS and HSIM simulations are shown in Table 7. Regarding the AO performance during HSIM simulations, we applied the LTAO mode with an NGS of 17.5 mag in *H* band within the radial distance of  $30''$ , the optical (500 nm) zenith seeing of  $\text{FWHM} = 0''.64$ , and airmass of 1.3. These parameters are defaulted in HSIM to perform median observational conditions for the Armazones site.

**Table 7**  
Mock HSIM IFS Data cubes (DIT = 15 minutes)

Galaxy name	HSIM band	Exptime (Minutes)	Sensitivity (Minutes)
(1)	(2)	(3)	(4)
NGC 300	<i>J</i>	180=DIT×12	90=DIT×6
	<i>H</i> , <i>H</i> -high	210=DIT×14	120=DIT×8
	<i>K</i> , <i>K</i> -short, <i>K</i> -long	240=DIT×16	150=DIT×10
NGC 3115 dw01	<i>J</i>	180=DIT×12	90=DIT×6
	<i>H</i> , <i>H</i> -high	210=DIT×14	120=DIT×8
	<i>K</i> , <i>K</i> -short, <i>K</i> -long	240=DIT×16	150=DIT×10

**Note.** Column (1): The galaxy's name. Column (2): HSIM band chosen to perform IFS simulations. Column (3): Real exposure time we put in HSIM for our simulated kinematics maps presented in Figures 8 and 9, where the total integration time is determined as  $\text{DIT} \times \text{NDIT}$ . Column (4): Sensitivity in terms of exposure time at which we test the lowest limit of S/N from the simulated IFS so that our pPXF still extract accurate kinematics (will be discussed later in Section 7.3). We should note that the estimated times shown in Columns (3) and (4) are the science times on target without accounting for the target acquisition, overhead, and AO setup time.

### 6.3. Stellar Kinematics Extraction from HSIM Mock Data Cubes

To measure the stellar kinematics from the mock HARMONI data cubes generated in Section 6.2, we utilized the spectral ranges defined by the HARMONI gratings themselves, as simulated by

HSIM. Within these ranges, we focused on specific wavelength intervals known to contain prominent stellar absorption features suitable for kinematic analysis, as discussed in Section 6.2 and illustrated in Figure 7. The key intervals used within each grating’s range were:

1. Within the *K* (1.951–2.469  $\mu\text{m}$ ) and *K*-long (2.199–2.400  $\mu\text{m}$ ) bands:  $\lambda$ 2.28–2.40  $\mu\text{m}$  (targeting CO bandheads).
2. Within the *H* (1.435–1.815  $\mu\text{m}$ ) and *H*-high (1.538–1.678  $\mu\text{m}$ ) bands:  $\lambda$ 1.45–1.74  $\mu\text{m}$  (targeting various atomic lines and CO features).
3. Within the *J* band (1.046–1.324  $\mu\text{m}$ ):  $\lambda$ 1.16–1.218  $\mu\text{m}$  (targeting atomic lines, avoiding strong OH sky emission).
4. Within the *K*-short band (2.017–2.201  $\mu\text{m}$ ):  $\lambda$ 2.05–2.20  $\mu\text{m}$  (targeting atomic lines).

We verified the suitability of fitting only these restricted wavelength intervals by comparing the results with those obtained from fitting the full spectral range provided by each grating (excluding regions heavily contaminated by sky lines, particularly in the *J* band). The differences in the extracted kinematics were minimal ( $<5\%$ ), confirming that these selected features robustly capture the kinematic information.

Before extracting kinematics, we applied the adaptive Voronoi binning (`vorbin`)<sup>19</sup> method (M. Cappellari & Y. Copin 2003) to the spatial dimensions of the data cubes. This process groups adjacent spaxels into larger bins to achieve a targeted S/N greater than 20 per bin per Å within the selected spectral fitting ranges, thereby reducing uncertainties in the subsequent kinematic measurements.

Next, we extracted the stellar kinematics from the binned spectra using `pPXF`<sup>20</sup> (M. Cappellari 2023). For each bin:

1. The spectrum was logarithmically rebinned in wavelength.
2. A set of stellar templates, specifically the MARCS (B. Gustafsson et al. 2008) version of C. Maraston & G. Strömbäck (2011) SPS models (using 13 templates with ages 3–15 Gyr and solar metallicity,  $z002$ ), was fitted to the spectrum.
3. The template continuum shape was corrected using Legendre polynomials (`mdegree=0`, `degree=4`).
4. The LOSVD was parameterized by its first two moments (mean velocity  $V$  and velocity dispersion  $\sigma_*$ ) by setting `moments=2`.
5. The instrumental broadening of HARMONI (using the constant dispersion adopted by HSIM) was accounted for by convolving the templates during the fit.

Figure 7 shows examples of the best-fit SPS template overlaid on the simulated spectrum from the central bin for each of the six gratings, along with the fitting residuals (`data-model`).

The resulting kinematic maps ( $V$ ,  $\sigma_*$ , and the root-mean-square velocity  $V_{\text{rms}} = \sqrt{V^2 + \sigma_*^2}$ ) for the nuclei of NGC 300 and NGC 3115 dw01 are presented in Figures 8 and 9 for the *H*-high grating, and in Figures 10 and 11 for the other gratings. Since the rotation  $V$  is small in these models ( $V \approx 0.7 \text{ km s}^{-1}$  for NGC 300 and  $V \approx 5.0 \text{ km s}^{-1}$  for NGC 3115 dw01), the  $V_{\text{rms}}$  maps are very similar to the  $\sigma_*$  maps. The kinematic results are consistent across all six gratings for simulations with the same input  $M_{\text{BH}}$ , with differences in  $V_{\text{rms}}$  typically less than 3%, validating the extraction procedure.

As theoretically predicted (Section 4.2 and S. Tremaine et al. 1994), the kinematic maps clearly show the signature of the central IMBH. Models without a BH ( $M_{\text{BH}} = 0$ ) exhibit a characteristic drop in  $\sigma_*$  and  $V_{\text{rms}}$  toward the center. In contrast, models with sufficiently massive IMBHs show a distinct central peak in  $\sigma_*$  and  $V_{\text{rms}}$  within the BH’s SOI, with the peak becoming more pronounced as  $M_{\text{BH}}$  increases. This Keplerian-like rise in velocity dispersion is the key kinematic evidence for a central massive object.

In contrast, models that include a BH (i.e.,  $M_{\text{BH}} = 3.5 \times 10^4$  and  $7 \times 10^4 M_\odot$ ) for NGC 3115 dw01 show a characteristic central drop in  $\sigma_*$  and  $V_{\text{rms}}$ , although not as pronounced as in the  $M_{\text{BH}} = 0$  case. This may be due to the combined effects of the galaxy’s distance, a massive NSC, and the small assumed  $M_{\text{BH}}$ . However, the clear difference between the kinematic maps with and without an IMBH, even for this most distant target NGC 3115 dw01 (at 9.7 Mpc), demonstrates HARMONI’s capability to resolve the kinematic signatures of IMBHs in nearby NSCs. HARMONI can effectively distinguish between a scenario with no IMBH and one containing an IMBH with a mass of just 0.5%–1% of the NSC mass.

We note the presence of edge effects in the kinematic maps, particularly higher  $\sigma_*$  and  $V_{\text{rms}}$  values in the outermost bins. This is an artifact of the PSF convolution performed by HSIM near the boundary of the simulated field of view ( $0''.4 \times 0''.4$ ), resulting in the squared appearance of the outermost surface brightness contour. To avoid biasing the dynamical modeling, these affected edge bins were excluded during the  $M_{\text{BH}}$  recovery process (Section 7.1).

## 7. Discussion

### 7.1. Recovering Black Hole Masses

Using the mock IFS data cubes from the six HARMONI gratings and their derived kinematic maps (Section 6.3), we fitted these maps ( $V_{\text{rms}}$ ) with `JAMcyl` models. The goal was to determine the IMBH mass ( $M_{\text{BH}}$ ) and constrain other dynamical parameters, i.e., stellar anisotropy ( $\beta_z$ ), the stellar mass-to-light ratio relative to the HST/F814W photometry ( $M/L_{\text{F814W}}$ ), and the galaxy inclination ( $i$ ). We sampled  $M_{\text{BH}}$  logarithmically (assuming a flat prior in  $\lg M_{\text{BH}}$ ), while the other three parameters were sampled linearly. The `JAMcyl` models predict kinematics which are then compared to the mock  $V_{\text{rms}}$  maps, accounting for the HARMONI LTAO PSF ( $\text{FWHM}_{\text{PSF}} \approx 12 \text{ mas}$ , N23). We set the following search ranges for the parameters:

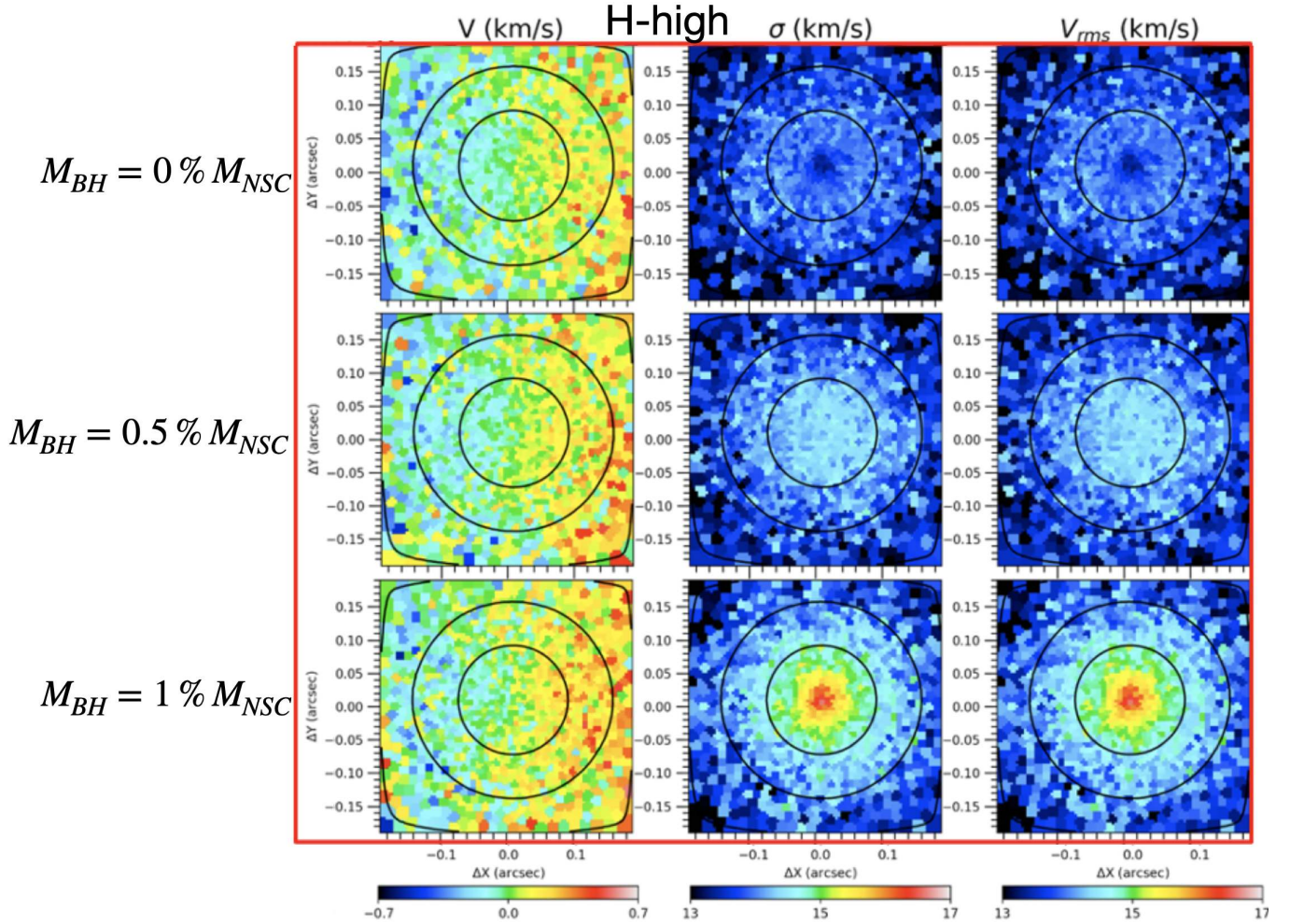
1.  $\log_{10}(M_{\text{BH}}/M_\odot)$ : 0 to 6.
2.  $M/L_{\text{F814W}}$ : 0.1 to 3 ( $M_\odot/L_\odot$ ).
3.  $\beta_z$ :  $-1.0$  to  $0.99$ .
4.  $i$ :  $5^\circ$  to  $90^\circ$ .

We started the fitting process with initial guesses for the parameters. For NGC 300, we used  $(M/L_{\text{F814W}}, \beta_z, i) = (0.6, 0, 42)$ , and for NGC 3115 dw01,  $(M/L_{\text{F814W}}, \beta_z, i) = (1.4, 0, 42)$ . The initial guesses for  $M_{\text{BH}}$  were set to the values used in the simulations (Section 6.2):  $M_{\text{BH}} = 0, 5 \times 10^3, 10^4 M_\odot$  for NGC 300 and  $M_{\text{BH}} = 0, 3.5 \times 10^4, 7 \times 10^4 M_\odot$  for NGC 3115 dw01, depending on the specific simulation being fitted.

To find the best-fit `JAMcyl` model and explore the parameter space thoroughly, we employed a Markov Chain Monte Carlo (MCMC) simulation using the adaptive Metropolis algorithm (H. Haario et al. 2001) within the Bayesian framework provided

<sup>19</sup> v3.1.5 available from <https://pypi.org/project/vorbin/>

<sup>20</sup> v8.2.1 available from <https://pypi.org/project/ppxf/>



**Figure 8.** Stellar kinematic maps ( $V$ ,  $\sigma_*$ , and  $V_{rms}$ ) for the nucleus of NGC 300, derived from mock HARMONI  $H$ -high IFS observations. The simulations were performed using `HSIM` (S. Zieleniewski et al. 2015), based on input kinematics generated with `JAMcyl` models (M. Cappellari 2008) assuming three different central BH masses:  $M_{BH} = 0 M_{\odot}$  (top row),  $5 \times 10^3 M_{\odot}$  (middle row), and  $10^4 M_{\odot}$  (bottom row). Kinematics were extracted from the simulated noisy data cubes using `pPXF` (M. Cappellari 2023), fitting the  $H$ -band spectral range  $\lambda 1.45$ – $1.74 \mu\text{m}$ . Contours represent isophotes from the collapsed data cube, spaced by  $1 \text{ mag arcsec}^{-2}$ . The color bars are fixed across the rows to facilitate comparison and illustrate the kinematic impact of the central IMBH. The results demonstrate HARMONI’s capability to detect IMBH kinematic signatures in NSCs.

by the `adamet`<sup>21</sup> package (M. Cappellari et al. 2013b). Each MCMC chain ran for  $3 \times 10^4$  iterations. We discarded the first 20% as the burn-in phase and constructed the full probability distribution function (PDF) from the remaining 80%. The best-fit parameters correspond to the point of highest likelihood in the PDF. We determined the  $1\sigma$  (16–84 percentile range) and  $3\sigma$  (0.14–99.86 percentile range) confidence levels from the posterior PDF.

The best-fit parameters and their uncertainties for NGC 300 are shown in Figure 12 (and Figures 13 and 14), and for NGC 3115 dw01 in Figure 15 (and Figures 16 and 17). These figures display the 2D posterior distributions for pairs of parameters, where color indicates likelihood (white is highest, black is below  $3\sigma$ ). Histograms show the 1D marginalized distributions. The best-fit values and uncertainties derived from these distributions are listed in Table 8 (NGC 300) and Table 9 (NGC 3115 dw01).

Inset plots in the top-right corner of each panel in these figures compare the input mock  $V_{rms}$  map (top) with the best-fit `JAMcyl` model prediction (bottom). Relative error

maps,  $(\text{data} - \text{model}) / \text{data}$ , are also shown (middle) to visualize the goodness of fit bin-by-bin. Overall, the models agree well with the mock kinematics within the central  $0''.4 \times 0''.4$  region for all gratings.

The recovered  $M_{BH}$  and  $M/L_{F814W}$  values are consistent with the input values used in the simulations, typically within 5%. This success is due to the high quality of the simulated kinematics and the fact that the IMBH SOI is well resolved by HARMONI’s 10 mas spaxels, even for the smallest simulated BH masses ( $M_{BH} = 5 \times 10^3 M_{\odot}$  for NGC 300 and  $M_{BH} = 3.5 \times 10^4 M_{\odot}$  for NGC 3115 dw01). The corresponding SOI radii are  $R_{SOI} = 13 \text{ mas}$  for NGC 300 ( $D = 2.2 \text{ Mpc}$ ,  $\sigma_* = 13.3 \text{ km s}^{-1}$ ) and  $R_{SOI} = 35 \text{ mas}$  for NGC 3115 dw01 ( $D = 9.7 \text{ Mpc}$ ,  $\sigma_* = 32 \text{ km s}^{-1}$ ).

The 2D posterior distributions show consistent features across different  $M_{BH}$  values and gratings. As expected, when  $M_{BH} > 0$ , there is a negative covariance between  $M_{BH}$  and  $M/L_{F814W}$ , creating the characteristic “banana shape” in the confidence contours. This degeneracy arises because a larger BH mass can be partially compensated by a smaller stellar  $M/L$ , and vice versa, while still fitting the mock kinematics.

<sup>21</sup> v2.0.9 available from <https://pypi.org/project/adamet/>.

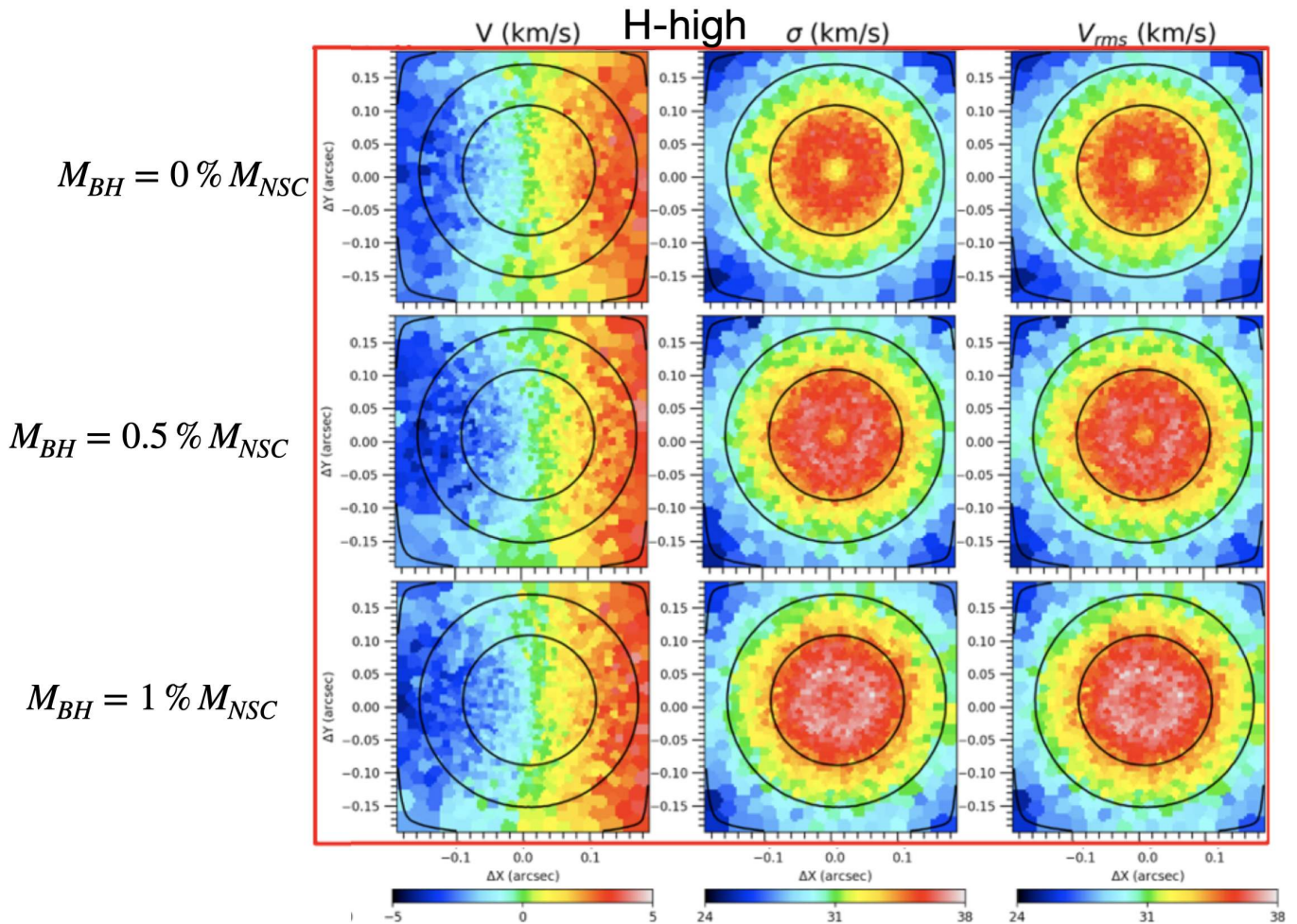


Figure 9. Same as Figure 8 but for NGC 3115 dw01 with three  $M_{\text{BH}} := 0 M_{\odot}$  (first row),  $=3.5 \times 10^4 M_{\odot}$  (second row), and  $=7 \times 10^4 M_{\odot}$  (third row).

The high spatial resolution of the simulations allows us to break this degeneracy effectively. For the simulations without a BH ( $M_{\text{BH}} = 0$ ), the fits yield upper limits of  $M_{\text{BH}} \approx 10^2 M_{\odot}$  for NGC 300 and  $M_{\text{BH}} \approx 10^3 M_{\odot}$  for NGC 3115 dw01. This indicates HARMONI’s ability to distinguish between the presence and absence of IMBHs above these mass thresholds at the respective distances (though see Section 7.2 for caveats regarding mass segregation).

The anisotropy parameter  $\beta_z$  is well constrained around the input value of  $\beta_z = 0$  (isotropy), with best fits slightly favoring tangential orbits ( $\beta_z \lesssim 0$ ) for NGC 300 and radial orbits ( $\beta_z \gtrsim 0$ ) for NGC 3115 dw01, consistent with isotropy within errors. The inclination  $i$ , however, is less well constrained. For NGC 300, it spans a wide range ( $40^\circ$ – $90^\circ$ ), while for NGC 3115 dw01, it is mostly constrained to low values ( $\gtrsim 5^\circ$ ), except for the  $J$ -band fit. This poor constraint on inclination is expected because the models are nearly spherical and show little rotation; spherical systems look identical regardless of viewing angle.

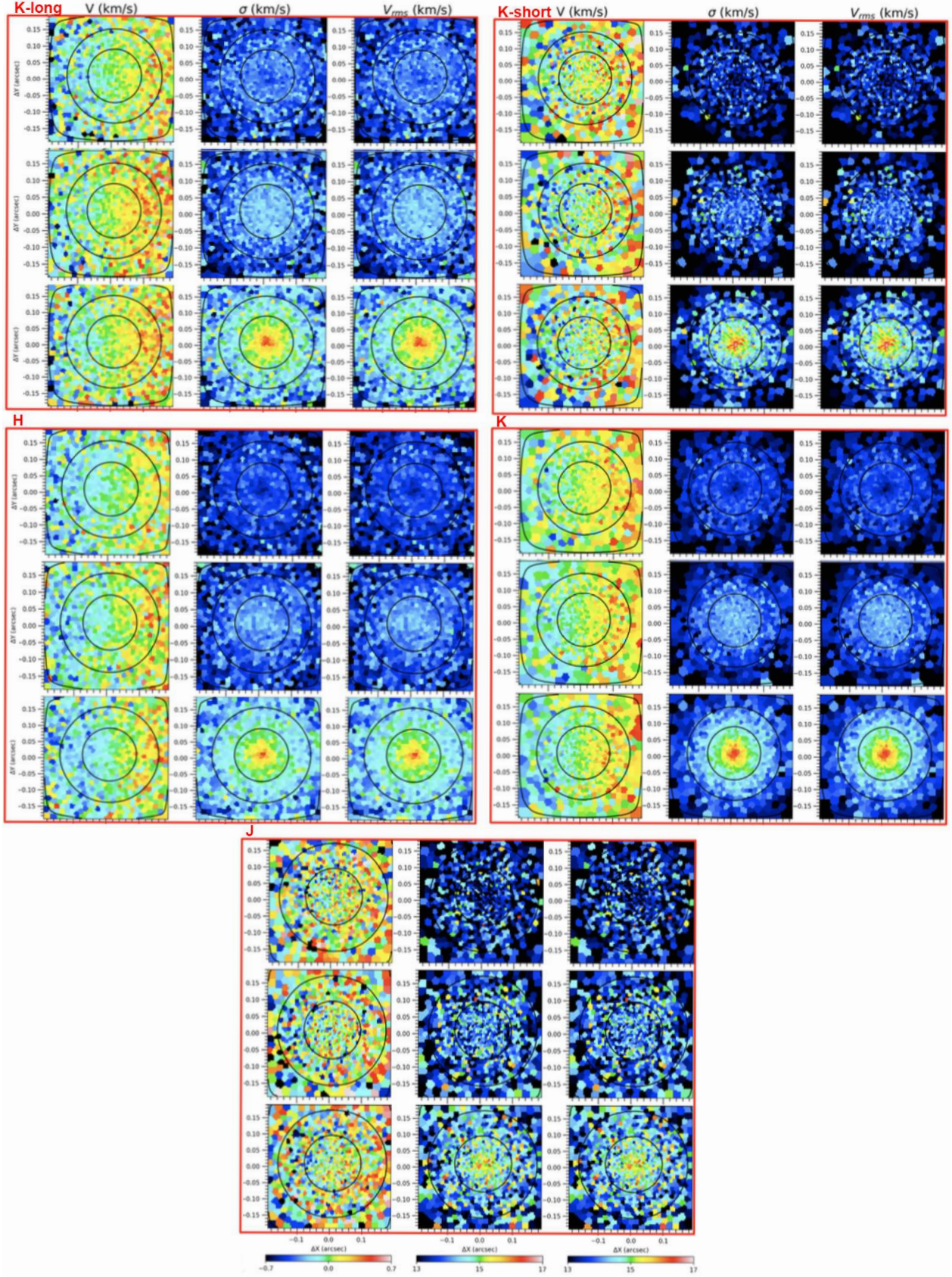
Figure 18 summarizes the comparison between the input  $M_{\text{BH}}$  values and the recovered values from the JAM<sub>cyl</sub> modeling across all six gratings. The plot shows the median recovered mass for each input mass, with error bars indicating the  $3\sigma$  confidence range. The results demonstrate excellent recovery, consistent with the input values within the uncertainties.

## 7.2. Caveat: Distinguishing IMBHs from Mass Segregation

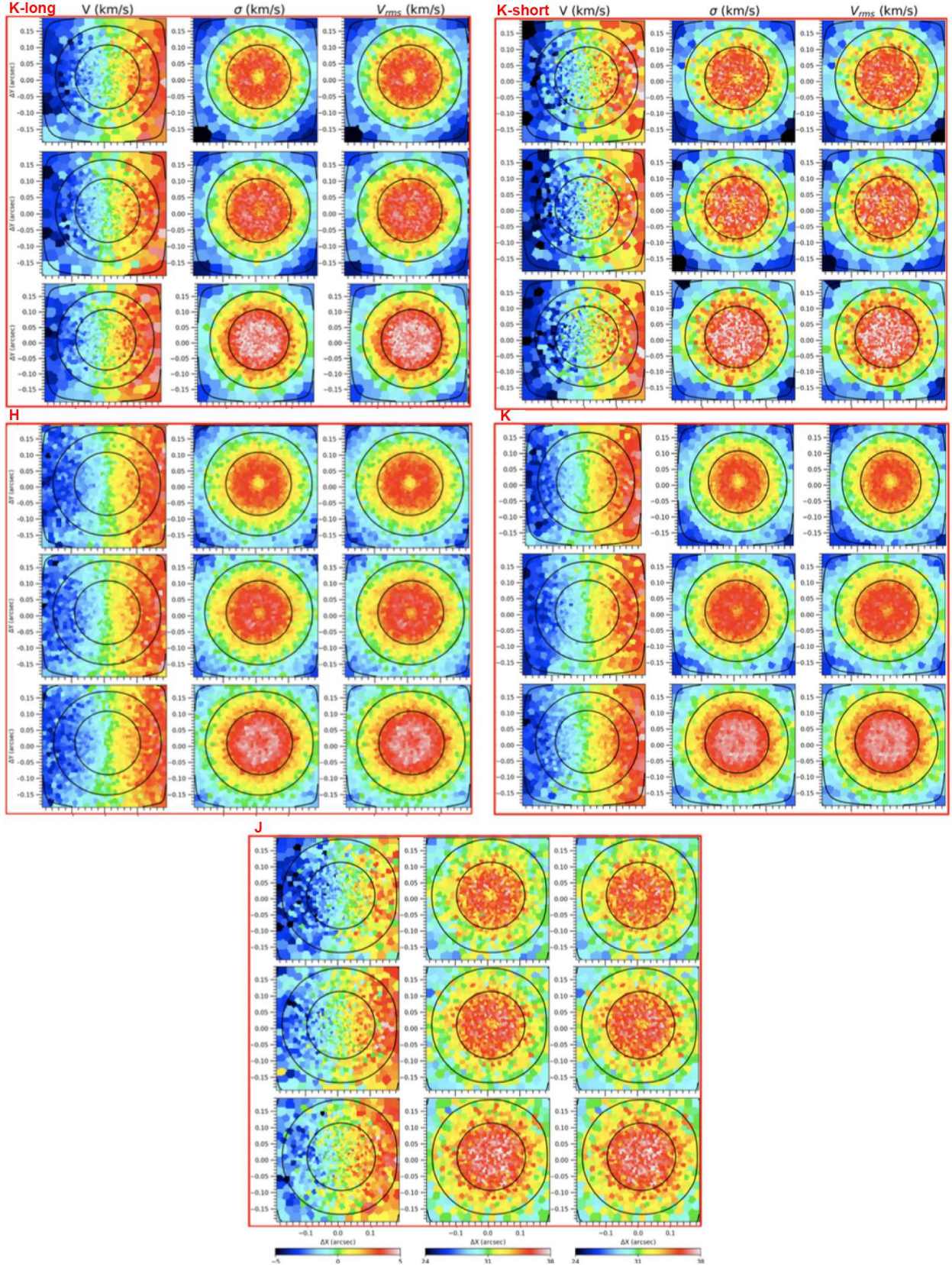
A potential complication in dynamically detecting IMBHs is the effect of mass segregation. Being more massive than typical stars, sBHs can sink toward the center of a dense stellar system such as an NSC over time. This process increases the central mass density and, consequently, the central velocity dispersion, potentially mimicking the kinematic signature of a single, more massive IMBH (J. N. Bahcall & R. A. Wolf 1977; P. Bianchini et al. 2017).

Theoretical studies suggest that IMBHs down to  $M_{\text{BH}} \approx 3 \times 10^3 M_{\odot}$  might be dynamically detectable in dense stellar systems such as Galactic GCs, even considering mass segregation (J. E. Greene et al. 2020, Section 3.2). Our simulations explore IMBHs with masses of 0.5% of their host NSC’s mass. For NGC 300 ( $M_{\text{NSC}} \approx 10^6 M_{\odot}$ ) and NGC 3115 dw01 ( $M_{\text{NSC}} \approx 7 \times 10^6 M_{\odot}$ ), this corresponds to  $M_{\text{BH}} \approx 5 \times 10^3 M_{\odot}$  and  $M_{\text{BH}} \approx 3.5 \times 10^4 M_{\odot}$ , respectively. Since these masses exceed the  $\approx 3 \times 10^3 M_{\odot}$  threshold, detecting them with HARMONI appears feasible based solely on mass.

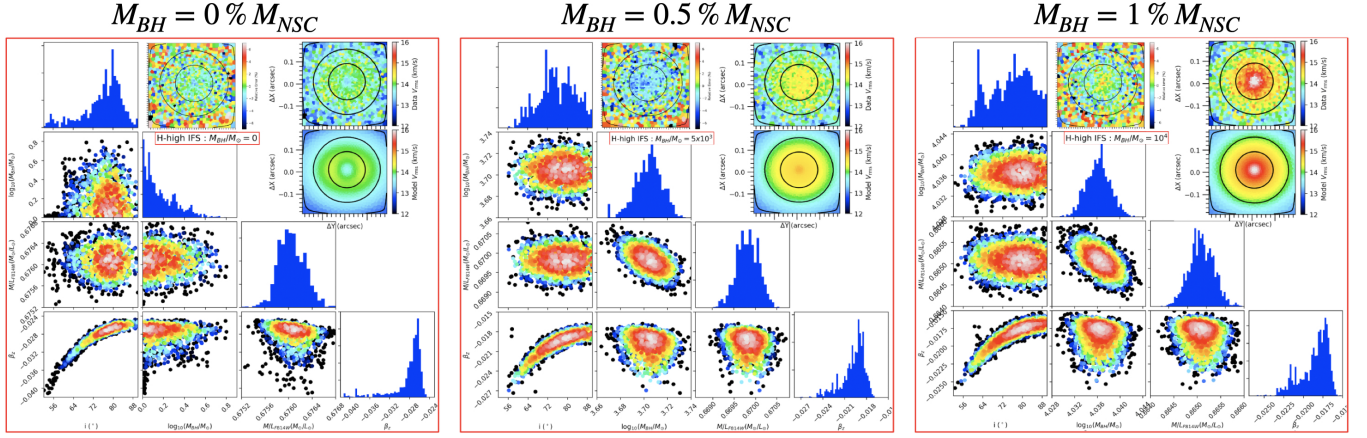
However, the central concentration of sBHs due to mass segregation can elevate the central  $M/L$ , potentially leading to a false IMBH detection (e.g., M. den Brok et al. 2014). To assess the importance of this effect, we can estimate the system’s relaxation timescale,  $t_{\text{relax}}(r)$ , which characterizes the time needed for stellar orbits to change significantly due to



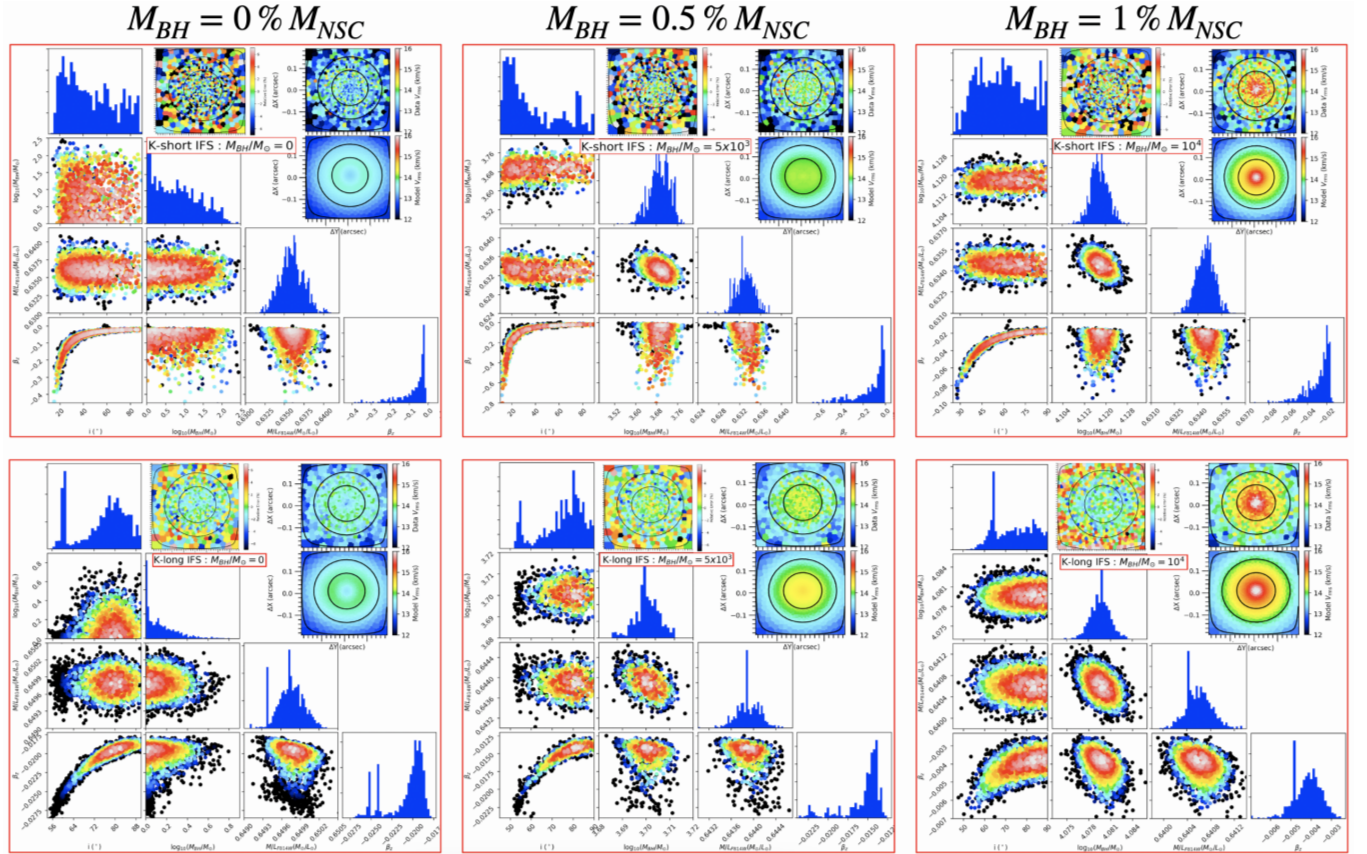
**Figure 10.** The stellar kinematic maps of NGC 300 extracted from the spectral part of  $\lambda 2.28\text{--}2.40 \mu\text{m}$  ( $K$  and  $K$ -long band),  $\lambda 2.05\text{--}2.20 \mu\text{m}$  ( $K$ -short band),  $\lambda 1.15\text{--}1.22 \mu\text{m}$  ( $H$  band), and  $\lambda 1.15\text{--}1.22 \mu\text{m}$  ( $J$  band) HSIIM IFS produced from JAM<sub>cy1</sub> (M. Cappellari 2020) using pPXF (M. Cappellari 2023). In each red rectangular, these maps are present with three  $M_{BH} := 0M_{\odot}$  (first row),  $=5 \times 10^3 M_{\odot}$  (second row), and  $=10^4 M_{\odot}$  (third row). On each row, the kinematic maps are listed in order with  $V$ ,  $\sigma_*$ , and  $V_{rms}$ . The contours indicate the isophotes from the collapsed HSIIM IFS cubes spaced by  $1 \text{ mag arcsec}^{-2}$ . The color bars are fixed at the same scale for all three  $M_{BH}$  to illustrate the kinematic effects of the central BHs and also indicate the robustness of our proposed kinematic measurements at the centers of these dwarf galaxies hosting bright NSCs as the kinematic signatures for IMBHs.



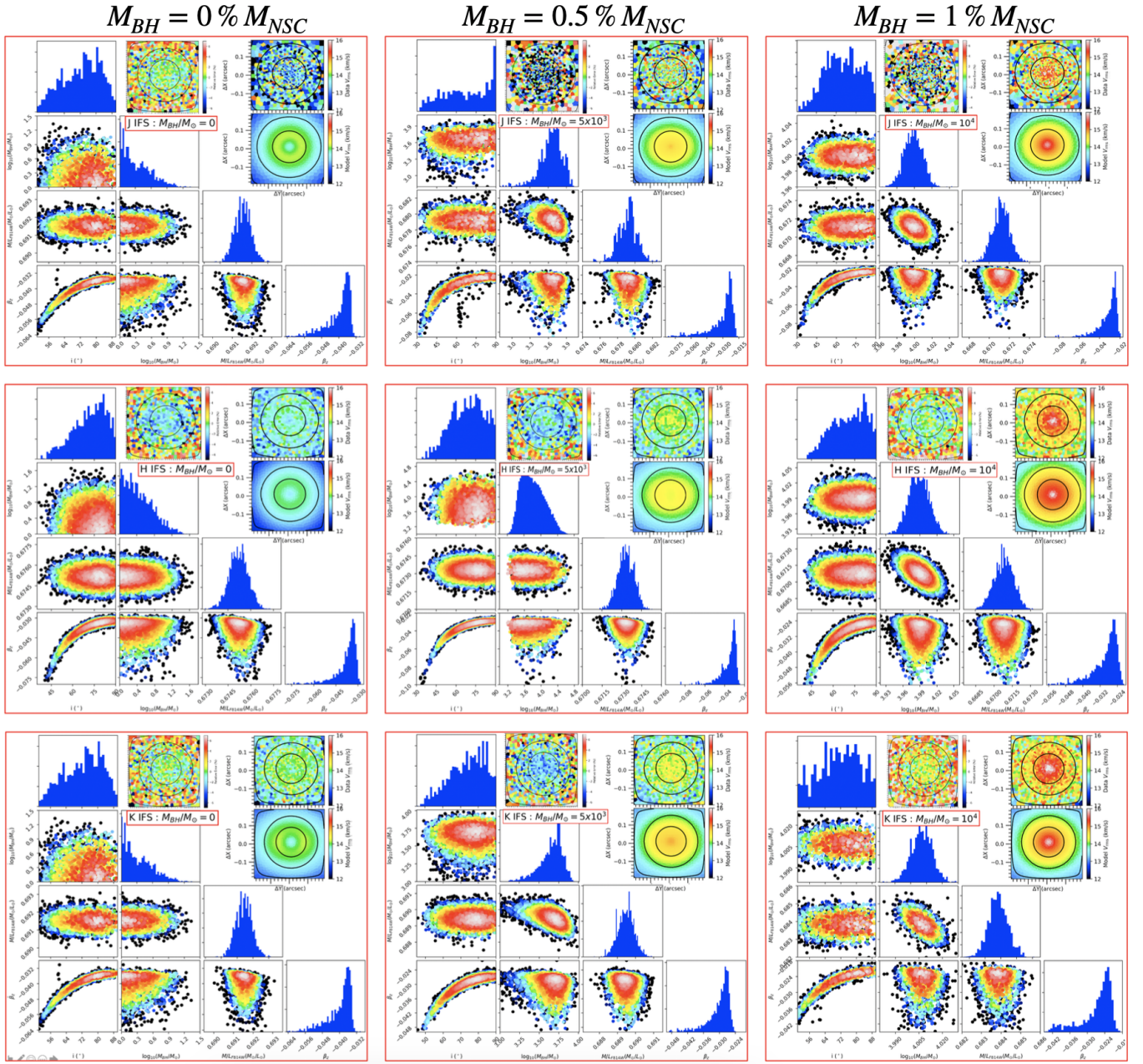
**Figure 11.** The stellar kinematic maps of NGC 3115 dw01 extracted from the spectral part of  $\lambda 2.28\text{--}2.40\ \mu\text{m}$  ( $K$  and  $K$ -long band),  $\lambda 2.05\text{--}2.20\ \mu\text{m}$  ( $K$ -short band),  $\lambda 1.15\text{--}1.22\ \mu\text{m}$  ( $H$  band), and  $\lambda 1.15\text{--}1.22\ \mu\text{m}$  ( $J$  band) HST IFS produced from JAM<sub>cy1</sub> (M. Cappellari 2020) using pPXF (M. Cappellari 2023). In each red rectangular, these maps are present with three  $M_{\text{BH}} := 0M_{\odot}$  (first row),  $=3.5 \times 10^4 M_{\odot}$  (second row), and  $=7 \times 10^4 M_{\odot}$  (third row). On each row, the kinematic maps are listed in order with  $V$ ,  $\sigma_x$ , and  $V_{\text{rms}}$ . The contours indicate the isophotes from the collapsed HST IFS cubes spaced by  $1\ \text{mag arcsec}^{-2}$ . The color bars are fixed at the same scale for all three  $M_{\text{BH}}$  to illustrate the kinematic effects of the central BHs and also indicate the robustness of our proposed kinematic measurements at the centers of these dwarf galaxies hosting bright NSCs as the kinematic signatures for IMBHs.



**Figure 12.** The posterior distributions obtained after the burn-in phase of the `adamet` MCMC optimization process for the  $\text{JAM}_{\text{cy1}}$  models applied to the  $H$ -high HST kinematics of NGC 300. These simulations were generated using the  $\text{JAM}_{\text{cy1}}$  models and feature three  $M_{\text{BH}}: 0 M_{\odot}$  (left),  $5 \times 10^3 M_{\odot}$  (middle), and  $10^4 M_{\odot}$  (right). Each red-square panel presents a set of four parameters ( $i$ ,  $M_{\text{BH}}$ ,  $M/L_{\text{F814W}}$ , and  $\beta_2$ ), depicted as scatterplots illustrating their projected 2D distributions and histograms displaying their projected 1D distributions. In the top-right corner, there are inset maps that depict the  $V_{\text{rms}}$  values. The top maps represent the simulated kinematic maps extracted from the simulated data cubes, while the bottom maps represent the kinematic maps recovered from the best-fit  $\text{JAM}_{\text{cy1}}$  model. These maps visually illustrate the level of agreement or disagreement at each spaxel between the simulated data and our best-fit model. The determination of the best-fit  $\text{JAM}_{\text{cy1}}$  model is based on the PDF with the highest likelihood.



**Figure 13.** The posterior distributions obtained after the burn-in phase of the `adamet` MCMC optimization process for the  $\text{JAM}_{\text{cy1}}$  models applied to the  $K$ -short and  $K$ -long HST kinematics of NGC 300. These simulations were generated using the  $\text{JAM}_{\text{cy1}}$  models and feature three  $M_{\text{BH}} := 0 M_{\odot}$  (left),  $= 5 \times 10^3 M_{\odot}$  (middle), and  $= 10^4 M_{\odot}$  (right). Each red-square panel presents a set of four parameters ( $i$ ,  $M_{\text{BH}}$ ,  $M/L_{\text{F814W}}$ , and  $\beta_2$ ), depicted as scatterplots illustrating their projected 2D distributions and histograms displaying their projected 1D distributions. In the top-right corner are inset maps that depict the  $V_{\text{rms}}$  values. The top maps represent the simulated kinematic maps extracted from the simulated data cubes, while the bottom maps represent the kinematic maps recovered from the best-fit  $\text{JAM}_{\text{cy1}}$  model. These maps visually illustrate the level of agreement or disagreement at each spaxel between the simulated data and our best-fit model. The determination of the best-fit  $\text{JAM}_{\text{cy1}}$  model is based on the PDF with the highest likelihood.



**Figure 14.** The posterior distributions obtained after the burn-in phase of the `adamet` MCMC optimization process for the JAM<sub>cy1</sub> models applied to the *J*, *H*, and *K* HSI kinematics of NGC 300. These simulations were generated using the JAM<sub>cy1</sub> models and feature three  $M_{\text{BH}} = 0 M_{\odot}$  (left),  $= 5 \times 10^3 M_{\odot}$  (middle), and  $= 10^4 M_{\odot}$  (right). Each red-square panel presents a set of four parameters ( $l$ ,  $M_{\text{BH}}$ ,  $M/L_{\text{F814W}}$ , and  $\beta_2$ ), depicted as scatterplots illustrating their projected 2D distributions and histograms displaying their projected 1D distributions. In the top-right corner are inset maps that depict the  $V_{\text{rms}}$  values. The top maps represent the simulated kinematic maps extracted from the simulated data cubes, while the bottom maps represent the kinematic maps recovered from the best-fit JAM<sub>cy1</sub> model. These maps visually illustrate the level of agreement or disagreement at each spaxel between the simulated data and our best-fit model. The determination of the best-fit JAM<sub>cy1</sub> model is based on the PDF with the highest likelihood.

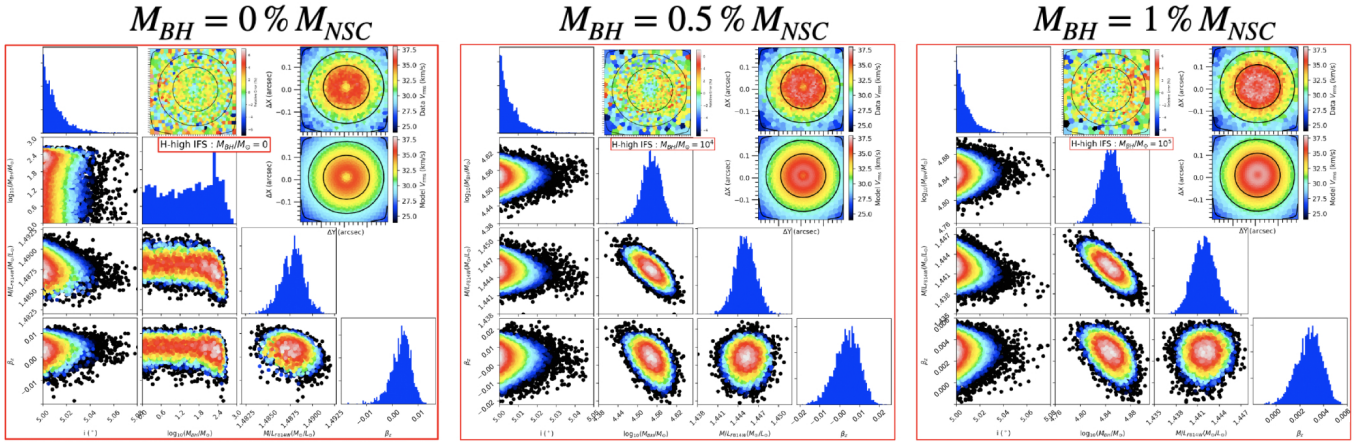
two-body interactions. Following J. N. Bahcall & R. A. Wolf (1977) and M. Valluri et al. (2005), we use:

$$t_{\text{relax}}(r) \approx (1.4 \times 10^8 \text{yr}) \sigma_{20}^3(r) \rho_5^{-1}(r) (\ln \Lambda_{10})^{-1}. \quad (3)$$

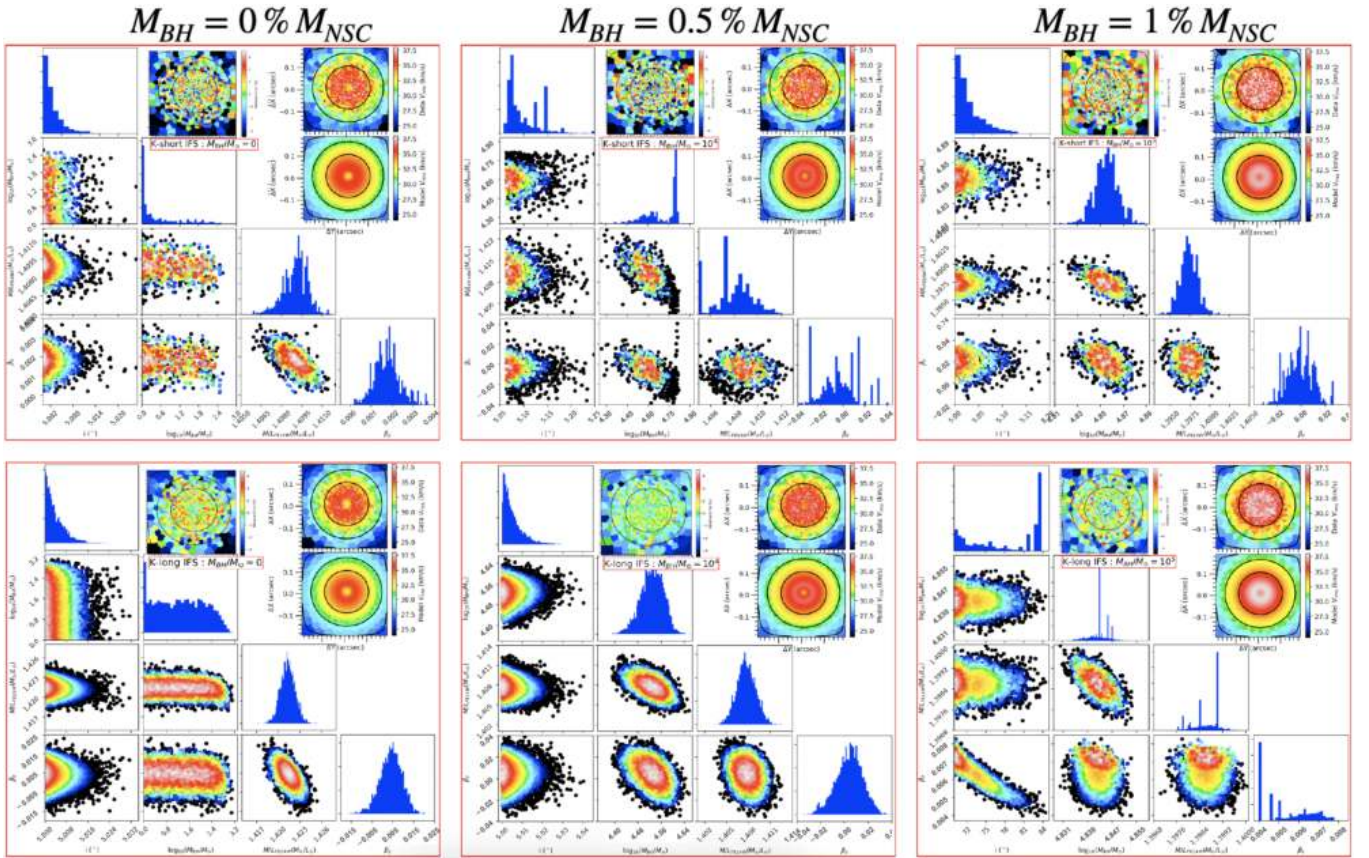
Here,  $\sigma_{20} = \sigma_*/(20 \text{ km s}^{-1})$  is the stellar-velocity dispersion normalized to  $20 \text{ km s}^{-1}$ ,  $\rho_5 = \rho/(10^5 M_{\odot} \text{ pc}^{-3})$  is the intrinsic stellar-mass density normalized to  $10^5 M_{\odot} \text{ pc}^{-3}$ , and  $\ln \Lambda_{10} = \ln(\Lambda)/10$  is the Coulomb logarithm, typically assumed to be  $\approx 10$ . If  $t_{\text{relax}}$  at a given radius is comparable to or

longer than the age of the Universe ( $\sim 13.8 \text{ Gyr}$ ), significant mass segregation of sBHs toward the center is unlikely to have occurred. In such cases, an observed central rise in velocity dispersion is more likely a genuine signature of an IMBH.

We calculated  $t_{\text{relax}}(r)$  for the NSCs of NGC 300 and NGC 3115 dw01 using their observed central velocity dispersions ( $\sigma_* = 13.3 \text{ km s}^{-1}$  for NGC 300, N. Kacharov et al. 2018;  $\sigma_* = 32 \text{ km s}^{-1}$  for NGC 3115 dw01, R. C. Peterson & N. Caldwell 1993) and intrinsic mass densities derived from the deprojected MGE models of the NSC components (Table 6



**Figure 15.** Same as Figure 12 but for the *H*-high HSIM kinematics of NGC 3115 dw01 with three  $M_{BH}$ :  $0 M_{\odot}$  (left),  $3.5 \times 10^4 M_{\odot}$  (middle),  $7 \times 10^4 M_{\odot}$  (right).

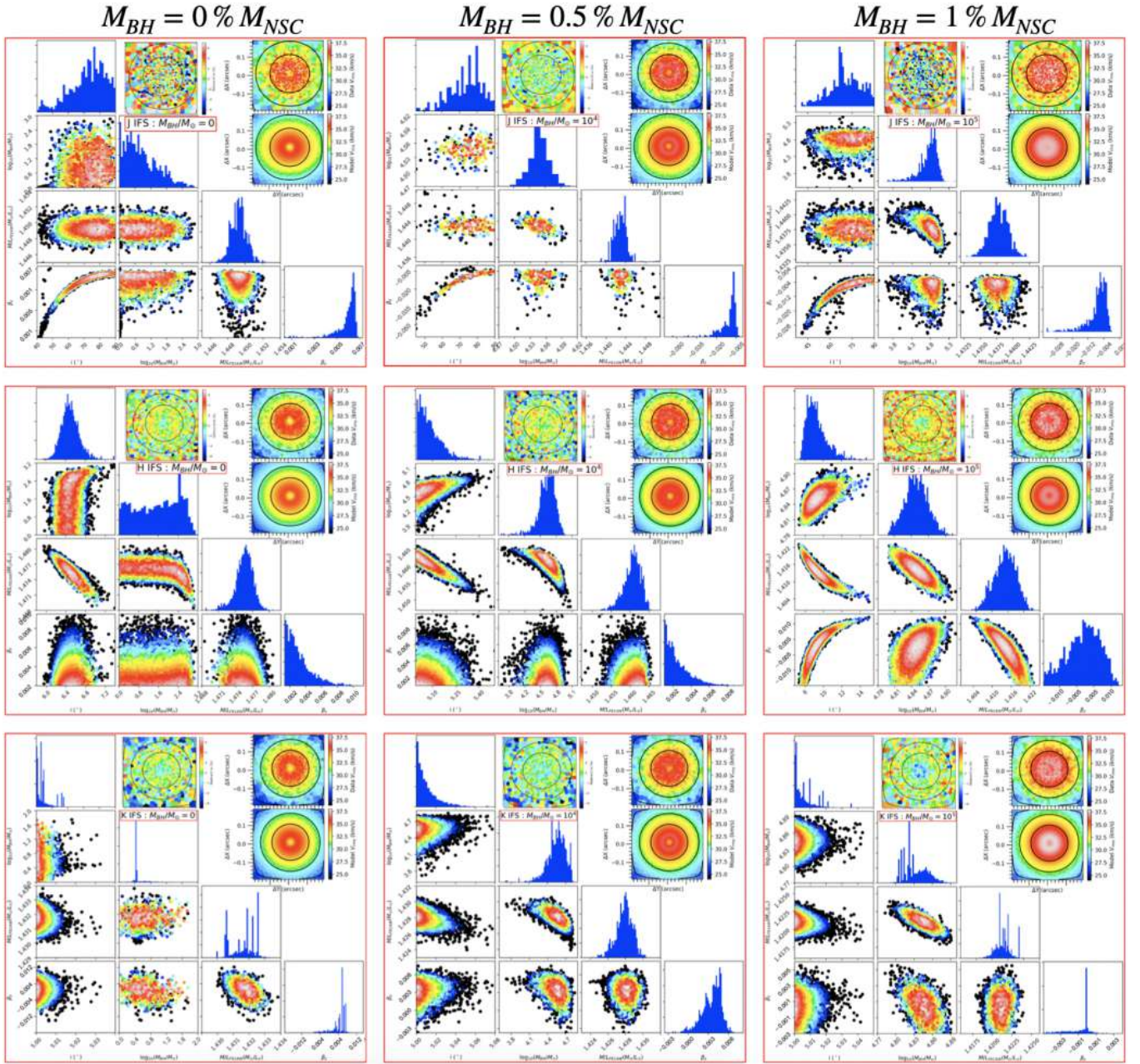


**Figure 16.** The posterior distributions obtained after the burn-in phase of the admet MCMC optimization process for the  $JAM_{cyl}$  models applied to the *K*-short and *K*-long HSIM kinematics of NGC 3115 dw01. These simulations were generated using the  $JAM_{cyl}$  models and feature three  $M_{BH}$ :  $= 0 M_{\odot}$  (left),  $= 3.5 \times 10^4 M_{\odot}$  (middle), and  $= 7 \times 10^4 M_{\odot}$  (right). Each red-square panel presents a set of four parameters ( $i$ ,  $M_{BH}$ ,  $M/L_{F814W}$ , and  $\beta$ ), depicted as scatterplots illustrating their projected 2D distributions and histograms displaying their projected 1D distributions. In the top-right corner are inset maps that depict the  $V_{rms}$  values. The top maps represent the simulated kinematic maps extracted from the simulated data cubes, while the bottom maps represent the kinematic maps recovered from the best-fit  $JAM_{cyl}$  model. These maps visually illustrate the level of agreement or disagreement at each spaxel between the simulated data and our best-fit model. The determination of the best-fit  $JAM_{cyl}$  model is based on the PDF with the highest likelihood.

bold entries) assuming spherical symmetry and the literature  $M/L$  values (Section 5.2). The results are shown in Figure 19. At the effective radius of the NSC ( $R_e$ ), we find  $t_{relax}(R_e \approx 1.9 \text{ pc}) \approx 3 \text{ Myr}$  for NGC 300, but  $t_{relax}(R_e \approx 3.2 \text{ pc}) \approx 10 \text{ Gyr}$  for NGC 3115 dw01.

The very short relaxation time for NGC 300’s NSC suggests that mass segregation could be significant, potentially complicating

the interpretation of its central kinematics. Conversely, the long relaxation time for NGC 3115 dw01’s NSC implies that mass segregation is likely unimportant, making it a cleaner target for IMBH detection. However, significant uncertainties remain regarding the efficiency of sBH segregation and retention in NSCs, requiring more detailed simulations (D. D. Nguyen et al. 2018).



**Figure 17.** The posterior distributions obtained after the burn-in phase of the `adamet` MCMC optimization process for the  $JAM_{\text{cyl}}$  models applied to the  $J$ ,  $H$ , and  $K$  HSI kinematics of NGC 3115 dw01. These simulations were generated using the  $JAM_{\text{cyl}}$  models and feature three  $M_{\text{BH}} = 0 M_{\odot}$  (left),  $=3.5 \times 10^4 M_{\odot}$  (middle), and  $=7 \times 10^4 M_{\odot}$  (right). Each red-square panel presents a set of four parameters ( $i$ ,  $M_{\text{BH}}$ ,  $M/L_{\text{F814W}}$ , and  $\beta_2$ ), depicted as scatterplots illustrating their projected 2D distributions and histograms displaying their projected 1D distributions. In the top-right corner are inset maps that depict the  $V_{\text{rms}}$  values. The top maps represent the simulated kinematic maps extracted from the simulated data cubes, while the bottom maps represent the kinematic maps recovered from the best-fit  $JAM_{\text{cyl}}$  model. These maps visually illustrate the level of agreement or disagreement at each spaxel between the simulated data and our best-fit model. The determination of the best-fit  $JAM_{\text{cyl}}$  model is based on the PDF with the highest likelihood.

While alternative methods such as gravitational microlensing have been proposed to detect populations of sBHs (D. P. Bennett et al. 2002), they are observationally challenging for extragalactic systems and beyond the scope of this paper. It is worth noting that while IMBH formation in GCs faces theoretical challenges and lacks strong observational support (R. P. van der Marel & J. Anderson 2010; A. Zocchi et al. 2017, 2019; H. Baumgardt et al. 2019), NSCs often possess higher velocity dispersions and longer relaxation times, making them more plausible environments for hosting IMBHs (see review by N. Neumayer et al. 2020, Section 10.1).

### 7.3. Sensitivity Limits

To determine the minimum exposure time required for reliable kinematic measurements, we performed additional simulations for both NGC 300 and NGC 3115 dw01. In these tests, we progressively reduced the simulated exposure time, which correspondingly lowered the S/N in the mock data cubes. We continued this process until the stellar kinematics could only marginally be extracted after applying Voronoi binning (`Vorbin`) to achieve a target S/N of  $\approx 20$  per bin.

The minimum required exposure times determined through this process are listed in Column 4 of Table 7. These times are

**Table 8**  
Best-fit JAM<sub>cy1</sub> Parameters and their Statistical Uncertainties for Six Mock IFS of NGC 300

Grating (1)	Parameters (2)	Input $M_{\text{BH}} = 0 M_{\odot}$			Input $\log_{10}(M_{\text{BH}}/M_{\odot}) = 3.7$			Input $\log_{10}(M_{\text{BH}}/M_{\odot}) = 4$		
		Best-fit (3)	1 $\sigma$ (16%– 84%) (4)	3 $\sigma$ (0.14%– 99.86%) (5)	Best-fit (6)	1 $\sigma$ (0.14%– 99.86%) (7)	3 $\sigma$ (16%– 84%) (8)	Best-fit (9)	1 $\sigma$ (16%– 84%) (10)	3 $\sigma$ (0.14%– 99.86%) (11)
<i>H</i> -high	$\log_{10}(M_{\text{BH}}/M_{\odot})$	0.1	<0.5	<0.8	3.710	$\pm 0.012$	$\pm 0.025$	4.04	$\pm 0.01$	$\pm 0.03$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	0.676	$\pm 0.001$	$\pm 0.003$	0.67	$\pm 0.001$	$\pm 0.003$	0.665	$\pm 0.001$	$\pm 0.002$
	$i(^{\circ})$	77.2	$\pm 7.7$	$\pm 19.0$	80.0	$\pm 9.1$	$\pm 17.6$	80.0	$\pm 8.2$	$\pm 17.2$
	$\beta_z$	−0.027	$\pm 0.002$	$\pm 0.006$	−0.019	$\pm 0.002$	$\pm 0.005$	−0.017	$\pm 0.002$	$\pm 0.006$
<i>K</i> -short	$\log_{10}(M_{\text{BH}}/M_{\odot})$	0.5	<1.9	<2.4	3.70	$\pm 0.04$	$\pm 0.17$	4.117	$\pm 0.004$	$\pm 0.013$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	0.636	$\pm 0.002$	$\pm 0.004$	0.635	$\pm 0.002$	$\pm 0.006$	0.634	$\pm 0.001$	$\pm 0.003$
	$i(^{\circ})$	84	$\pm 26$	$\pm 37$	56	$\pm 30$	$\pm 40$	89	$\pm 20$	$\pm 32$
	$\beta_z$	−0.024	$\pm 0.069$	$\pm 0.190$	−0.028	$\pm 0.120$	$\pm 0.400$	−0.017	$\pm 0.01$	$\pm 0.035$
<i>K</i> -long	$\log_{10}(M_{\text{BH}}/M_{\odot})$	0.1	<0.4	<0.7	3.703	$\pm 0.005$	$\pm 0.015$	4.080	$\pm 0.001$	$\pm 0.004$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	0.650	$\pm 0.001$	$\pm 0.002$	0.644	$\pm 0.001$	$\pm 0.002$	0.640	$\pm 0.001$	$\pm 0.002$
	$i(^{\circ})$	82	$\pm 6$	$\pm 16$	81	$\pm 11$	$\pm 18$	83.0	$\pm 11$	$\pm 19$
	$\beta_z$	−0.019	$\pm 0.001$	$\pm 0.003$	−0.014	$\pm 0.002$	$\pm 0.009$	−0.004	$\pm 0.001$	$\pm 0.003$
<i>J</i>	$\log_{10}(M_{\text{BH}}/M_{\odot})$	0.3	<0.6	<1.2	3.69	$\pm 0.19$	$\pm 0.45$	4.00	$\pm 0.01$	$\pm 0.03$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	0.692	$\pm 0.003$	$\pm 0.008$	0.679	$\pm 0.001$	$\pm 0.003$	0.671	$\pm 0.001$	$\pm 0.003$
	$i(^{\circ})$	80	$\pm 7$	$\pm 16$	82	$\pm 14$	$\pm 30$	75	$\pm 16$	$\pm 29$
	$\beta_z$	−0.038	$\pm 0.140$	$\pm 0.460$	−0.023	$\pm 0.011$	$\pm 0.032$	−0.025	$\pm 0.009$	$\pm 0.033$
<i>H</i>	$\log_{10}(M_{\text{BH}}/M_{\odot})$	0.1	<0.8	<1.6	3.66	$\pm 0.32$	$\pm 0.91$	4.00	$\pm 0.03$	$\pm 0.06$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	0.675	$\pm 0.001$	$\pm 0.002$	0.673	$\pm 0.001$	$\pm 0.002$	0.671	$\pm 0.001$	$\pm 0.003$
	$i(^{\circ})$	81	$\pm 11$	$\pm 23$	82	$\pm 14$	$\pm 24$	82	$\pm 14$	$\pm 24$
	$\beta_z$	−0.033	$\pm 0.007$	$\pm 0.031$	−0.025	$\pm 0.006$	$\pm 0.017$	−0.028	$\pm 0.003$	$\pm 0.009$
<i>K</i>	$\log_{10}(M_{\text{BH}}/M_{\odot})$	0.1	<0.7	<1.2	3.710	$\pm 0.11$	$\pm 0.30$	4.005	$\pm 0.007$	$\pm 0.015$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	0.691	$\pm 0.001$	$\pm 0.002$	0.689	$\pm 0.001$	$\pm 0.002$	0.684	$\pm 0.001$	$\pm 0.002$
	$i(^{\circ})$	80	$\pm 11$	$\pm 22$	79	$\pm 15$	$\pm 31$	80	$\pm 15$	$\pm 25$
	$\beta_z$	−0.038	$\pm 0.006$	$\pm 0.016$	−0.028	$\pm 0.006$	$\pm 0.012$	−0.025	$\pm 0.003$	$\pm 0.012$

**Note.** We fixed the search ranges for all four model parameters ( $M_{\text{BH}}$ ,  $M/L_{\text{F814W}}$ ,  $\beta_z$ , and  $i$ ) to ensure consistency in the fitting process. These ranges were set as follows:

1.  $M_{\text{BH}}$ : from 0 to  $10^6 M_{\odot}$  (or  $\log_{10}(M_{\text{BH}}/M_{\odot})$ : from 0 to 6).
2.  $M/L_{\text{F814W}}$ : from 0.1 to 3 ( $M_{\odot}/L_{\odot}$ ).
3.  $\beta_z$ : from −1.0 to 0.99.
4.  $i$ : from  $5^{\circ}$  to  $90^{\circ}$ .

Column (1): The ELT/HARMONI grating. Column (2): The JAM<sub>cy1</sub> model’s parameters. Columns (3)–(5): The best-fit parameters, 1 $\sigma$  (or 16%–84%), and 3 $\sigma$  (or 0.14%–99.86%) uncertainties provided by the JAM<sub>cy1</sub> models, respectively, when constrained from the HARMONI mock kinematics. These model constraints are associated with the case of no input BH ( $M_{\text{BH}} = 0 M_{\odot}$ ). Columns (6)–(8): Similarities of Columns (3)–(5) but for the case of input BH mass of  $M_{\text{BH}} = 5 \times 10^3 M_{\odot}$ . Columns (9)–(11): Similarities of Columns (3)–(5) but for the case of input BH mass of  $M_{\text{BH}} = 10^4 M_{\odot}$ .

relatively short (less than three hours per grating), suggesting that such observations are feasible with the ELT.

However, it is important to note that these sensitivity limits are based on simulations using photometric models derived from current HST data. Future, higher-resolution imaging (e.g., from JWST/NIRCam or ELT/MICADO) might resolve the NSCs more finely, potentially revealing lower central surface brightness than assumed in our current models. If the actual surface brightness is lower, the S/N achieved in a given exposure time will be reduced, and longer exposure times than estimated here might be necessary for real observations. Despite this caveat,

our simulations demonstrate the fundamental feasibility of detecting IMBH kinematic signatures with HARMONI within reasonable exposure times.

## 8. Conclusions

We investigated the capability of the ELT/HARMONI instrument to detect and measure the masses of IMBHs residing in the NSCs of nearby dwarf galaxies ( $D \lesssim 10$  Mpc). This mass range represents a critical link between sBHs and SMBHs. We defined the “HARMONI IMBH survey,” a sample of 44 galaxies selected based on criteria ensuring

**Table 9**  
Best-fit JAM<sub>cy1</sub> Parameters and their Statistical Uncertainties for Six Mock IFS of NGC 3115 dw01

Grating (1)	Parameters (2)	Input $M_{\text{BH}} = 0 M_{\odot}$			Input $\log_{10}(M_{\text{BH}}/M_{\odot}) = 4.544$			Input $\log_{10}(M_{\text{BH}}/M_{\odot}) = 4.845$		
		Best-fit (3)	$1\sigma$ (4)	$3\sigma$ (5)	Best-fit (6)	$1\sigma$ (7)	$3\sigma$ (8)	Best-fit (9)	$1\sigma$ (10)	$3\sigma$ (11)
			(16%– 84%)	(0.14%– 99.86%)		(0.14%– 99.86%)	(16%– 84%)		(16%– 84%)	(0.14%– 99.86%)
<i>H</i> -high	$\log_{10}(M_{\text{BH}}/M_{\odot})$	2.4	<2.5	<2.6	4.543	$\pm 0.031$	$\pm 0.091$	4.850	$\pm 0.020$	$\pm 0.050$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	1.487	$\pm 0.001$	$\pm 0.004$	1.446	$\pm 0.002$	$\pm 0.005$	1.441	$\pm 0.002$	$\pm 0.005$
	$i(^{\circ})$	5.00	$\pm 0.010$	$\pm 0.034$	5.001	$\pm 0.006$	$\pm 0.022$	5.000	$\pm 0.01$	$\pm 0.03$
	$\beta_z$	0.003	$\pm 0.002$	$\pm 0.006$	0.005	$\pm 0.005$	$\pm 0.018$	0.003	$\pm 0.001$	$\pm 0.003$
<i>K</i> -short	$\log_{10}(M_{\text{BH}}/M_{\odot})$	0.5	<1.8	<2.4	4.550	$\pm 0.13$	$\pm 0.27$	4.850	$\pm 0.01$	$\pm 0.08$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	1.410	$\pm 0.002$	$\pm 0.010$	1.408	$\pm 0.003$	$\pm 0.007$	1.398	$\pm 0.001$	$\pm 0.003$
	$i(^{\circ})$	5.000	$\pm 0.020$	$\pm 0.099$	5.07	$\pm 0.04$	$\pm 0.14$	5.00	$\pm 0.03$	$\pm 0.10$
	$\beta_z$	0.002	$\pm 0.001$	$\pm 0.002$	−0.002	$\pm 0.005$	$\pm 0.018$	−0.002	$\pm 0.007$	$\pm 0.022$
<i>K</i> -long	$\log_{10}(M_{\text{BH}}/M_{\odot})$	1.2	<2.8	<3.2	4.540	$\pm 0.04$	$\pm 0.12$	4.843	$\pm 0.003$	$\pm 0.010$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	1.422	$\pm 0.001$	$\pm 0.003$	1.408	$\pm 0.001$	$\pm 0.003$	1.398	$\pm 0.001$	$\pm 0.002$
	$i(^{\circ})$	5.000	$\pm 0.004$	$\pm 0.012$	5.000	$\pm 0.005$	$\pm 0.014$	70.1	$\pm 2.1$	$\pm 6.6$
	$\beta_z$	0.004	$\pm 0.006$	$\pm 0.015$	0.000	$\pm 0.012$	$\pm 0.031$	0.007	$\pm 0.001$	$\pm 0.002$
<i>J</i>	$\log_{10}(M_{\text{BH}}/M_{\odot})$	1.0	<2.0	<2.5	4.540	$\pm 0.015$	$\pm 0.043$	4.830	$\pm 0.240$	$\pm 0.750$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	1.449	$\pm 0.002$	$\pm 0.006$	1.443	$\pm 0.001$	$\pm 0.009$	1.438	$\pm 0.002$	$\pm 0.004$
	$i(^{\circ})$	80	$\pm 12$	$\pm 24$	79	$\pm 11$	$\pm 29$	80	$\pm 12$	$\pm 24$
	$\beta_z$	0.006	$\pm 0.001$	$\pm 0.003$	−0.007	$\pm 0.006$	$\pm 0.020$	−0.005	$\pm 0.005$	$\pm 0.016$
<i>H</i>	$\log_{10}(M_{\text{BH}}/M_{\odot})$	2.4	<2.0	<2.5	4.580	$\pm 0.15$	$\pm 0.59$	4.846	$\pm 0.022$	$\pm 0.053$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	1.475	$\pm 0.002$	$\pm 0.007$	1.463	$\pm 0.003$	$\pm 0.007$	1.414	$\pm 0.004$	$\pm 0.009$
	$i(^{\circ})$	6.49	$\pm 0.18$	$\pm 0.69$	5.006	$\pm 0.075$	$\pm 0.210$	9.0	$\pm 1.2$	$\pm 3.5$
	$\beta_z$	0.002	$\pm 0.002$	$\pm 0.006$	0.001	$\pm 0.003$	$\pm 0.008$	−0.001	$\pm 0.008$	$\pm 0.019$
<i>K</i>	$\log_{10}(M_{\text{BH}}/M_{\odot})$	0.5	<1.2	<1.6	4.550	$\pm 0.16$	$\pm 0.54$	4.845	$\pm 0.023$	$\pm 0.045$
	$M/L_{\text{F814W}}$ ( $M_{\odot}/L_{\odot}$ )	1.432	$\pm 0.001$	$\pm 0.002$	1.428	$\pm 0.001$	$\pm 0.004$	1.421	$\pm 0.001$	$\pm 0.003$
	$i(^{\circ})$	5.000	$\pm 0.004$	$\pm 0.010$	5.000	$\pm 0.01$	$\pm 0.04$	5.000	$\pm 0.007$	$\pm 0.018$
	$\beta_z$	0.001	$\pm 0.004$	$\pm 0.012$	0.003	$\pm 0.002$	$\pm 0.005$	−0.002	$\pm 0.001$	$\pm 0.004$

**Note.** We fixed the search ranges for all four model parameters ( $M_{\text{BH}}$ ,  $M/L_{\text{F814W}}$ ,  $\beta_z$ , and  $i$ ) to ensure consistency in the fitting process. These ranges were set as follows:

1.  $M_{\text{BH}}$ : from 0 to  $10^6 M_{\odot}$  (or  $\log_{10}(M_{\text{BH}}/M_{\odot})$ : from 0 to 6).
2.  $M/L_{\text{F814W}}$ : from 0.1 to 3 ( $M_{\odot}/L_{\odot}$ ).
3.  $\beta_z$ : from −1.0 to 0.99.
4.  $i$ : from  $5^{\circ}$  to  $90^{\circ}$ .

Column (1): The ELT/HARMONI grating. Column (2): The JAM<sub>cy1</sub> model’s parameters. Columns (3)–(5): The best-fit parameters,  $1\sigma$  (or 16%–84%), and  $3\sigma$  (or 0.14%–99.86%) uncertainties provided by the JAM<sub>cy1</sub> models, respectively, when constrained from the HARMONI mock kinematics. These model constraints are associated with the case of no input BH ( $M_{\text{BH}} = 0 M_{\odot}$ ). Columns (6)–(8): Similarities of Columns (3)–(5) but for the case of input BH mass of  $M_{\text{BH}} = 3.5 \times 10^4 M_{\odot}$ . Columns (9)–(11): Similarities of Columns (3)–(5) but for the case of input BH mass of  $M_{\text{BH}} = 7 \times 10^4 M_{\odot}$ .

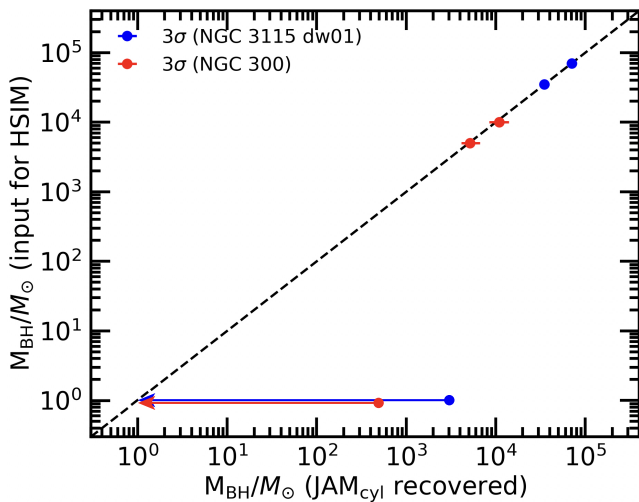
observability and suitability for IMBH searches, notably the presence of luminous NSCs and low central velocity dispersions ( $\sigma_{\star, \text{c}} < 70 \text{ km s}^{-1}$ ).

To assess HARMONI’s performance, we conducted realistic simulations for two representative galaxies (NGC 300 and NGC 3115 dw01). We combined photometric models derived from HST data with JAM to predict intrinsic stellar kinematics for scenarios including IMBHs with masses ranging from 0% to 1% of the NSC mass. These predictions served as input for the HSIM simulator to generate mock HARMONI IFS data cubes across six different gratings. We subsequently analyzed

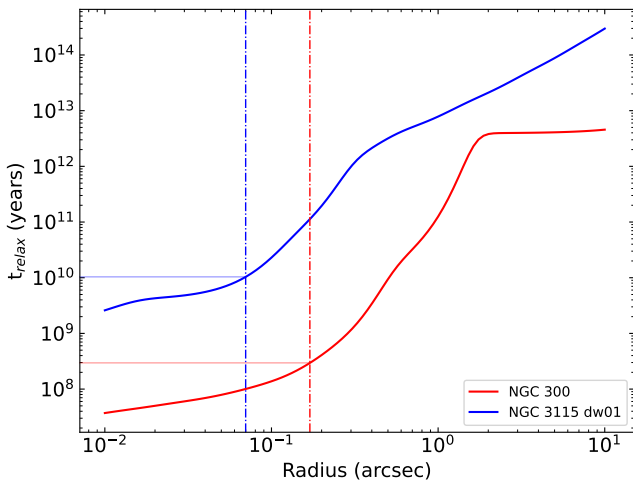
these mock observations using standard techniques: extracting kinematics with pPXF and recovering the IMBH mass and other dynamical parameters using JAM within a Bayesian MCMC framework.

Our key findings are:

1. HARMONI’s high angular resolution (simulated at 10 mas) can resolve the kinematic SOI of IMBHs with masses down to  $\approx 0.5\%$  of their host NSC mass, clearly distinguishing them from models without a central BH.



**Figure 18.** Comparison between the input IMBH masses used for the HARMONI simulations and the recovered masses from the JAM<sub>cyl</sub> fits. The points show the median recovered  $M_{\text{BH}}$  across all six gratings for each input mass. Error bars represent the  $3\sigma$  confidence interval (0.14th to 99.86th percentiles from the MCMC chains, see Tables 8 and 9). The dashed line indicates perfect recovery ( $M_{\text{BH, recovered}} = M_{\text{BH, input}}$ ). In this log-log scale plot, the (0, 0) coordinates are replaced by  $(10^0, 10^0)$ .



**Figure 19.** Relaxation time  $t_{\text{relax}}(r)$  as a function of radius for the NSC models of NGC 300 (red solid line) and NGC 3115 dw01 (blue solid line), assuming no central IMBH. The vertical dashed-dotted lines indicate the effective radii ( $R_e$ ) of the respective NSCs.

2. The recovered  $M_{\text{BH}}$  and stellar  $M/L_{\text{F814W}}$  accurately matched the input simulation values within  $\lesssim 5\%$  uncertainty, demonstrating the robustness of the measurement technique with HARMONI data.
3. Consistent kinematic results and successful parameter recovery were achieved across different HARMONI gratings, including both medium ( $\lambda/\Delta\lambda \approx 7100$ ) and high ( $\lambda/\Delta\lambda \approx 17,400$ ) spectral resolutions.
4. The simulations indicate that high-quality IFS data, sufficient for these demanding measurements, can be obtained within feasible exposure times (typically less than four hours per target under median conditions).

These results confirm that HARMONI will be a powerful instrument for constraining the elusive IMBH population in nearby galaxies. Such observations will provide crucial tests for BH seeding models, refine our understanding of galaxy–BH

scaling relations at the lowest mass scales, and inform predictions for future gravitational wave observatories.












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*Software:* python 3.12, Matplotlib3.6.0, numpy 1.22, scipy 1.3.1, photutils 0.7, MPFIT, plotbin 3.1.3, astropy 5.1 (Astropy Collaboration et al. 2022), adamet 2.0.9 (M. Cappellari et al. 2013b), jampy7.2.0 (M. Cappellari 2020), pPXF 8.2.1 (M. Cappellari 2023), vorbin 3.1.5 (M. Cappellari & Y. Copin 2003), MgeFit5.0.14 (M. Cappellari 2002), and HSIM 3.11 (S. Zieloniewski et al. 2015).

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