

# Numerical modelling of the influence of scour and scour protection on monopile dynamic behaviour

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## Abstract

Scour of seabed sediments can occur around offshore foundations. For monopile-supported offshore wind turbine structures, the reduction in foundation stiffness due to scour presents certain operational challenges. In cases where scour causes the natural frequency of the structure to become, for example, close to the range of rotor loading frequencies then – due to the increased risk of fatigue damage - turbine support structures are at risk of reduced operation, or even premature decommissioning. In practice, scour protection and/or remediation systems are typically used to mitigate the development of scour. As well as preventing further erosion, scour remediation systems may have a restorative effect on the stiffness of the foundation. This paper describes a one-dimensional (1D) finite element model for the analysis of natural frequencies for monopile-supported turbine support structures with active scour process around the foundation. The model also incorporates procedures to model the influence of a rock fill scour remediation system on the foundation stiffness. The numerical model is calibrated and validated by comparison with a set of previously-described reduced-scale model tests conducted in a flume. The calibrated 1D model is applied to a field case study at a UK offshore windfarm site.

Keywords: Monopile; Scour; Wind Turbine; Sediment

25

## 26 **Introduction**

27 Scour processes (erosion of sediments) present various potential inter-related challenges for  
28 monopile-supported wind turbine structures. These include: a decrease in the structural natural  
29 frequency (which may cause the rate of fatigue damage to increase), an increase in the bending  
30 moments in the foundation (due to the larger loading lever arm) and a reduction in foundation  
31 stability.

32 Scour around offshore foundations can take the form of 'global scour' (in which lowering of the  
33 seabed occurs over spatial dimensions that are significantly larger than those of the foundation), or  
34 'local scour' (in which scour holes develop around the foundation due to regional sediment transport  
35 gradients; this mechanism is also referred to as 'general scour'). Scour development is influenced by  
36 the local wave and current environment, the character of the seabed sediments, the local geology  
37 and the dimensions and layout of the structures and associated seabed infrastructure (e.g.  
38 Whitehouse, 1998 and Whitehouse and Draper, 2020).

39 Global scour is caused by large-scale processes such as migrating sand waves, sand bank or channel  
40 features. This occurs naturally in continental shelf environments due to variations in sediment  
41 transport causing erosion or deposition at a range of temporal and spatial scales (Couldrey et al.  
42 2020; Velenturf et al. 2021). In contrast, local scour is associated with localised erosion caused by  
43 the action of flow-induced vortices around the foundation (e.g. Sumer et al. 1992). Scour holes  
44 caused by local scour processes tend to increase in depth with time until an equilibrium is reached  
45 such that the scour hole dimensions remain stable in the prevailing wave and current conditions.  
46 The equilibrium depth of scour holes typically scales with the diameter of the foundation; large  
47 diameter monopiles are therefore particularly susceptible to the effects of local scour.

48 A key concern around the influence of scour processes on the performance of monopile-supported  
49 offshore wind turbine structures is the potential for the rate of fatigue damage to be increased as a

50 consequence of changes to the dynamic behaviour of the structure due to loss of foundation  
51 stiffness (e.g. Dingle et al. 2023). Offshore wind turbine support structures are typically designed  
52 such that the fundamental natural frequency lies between the rotor (1P) and blade (3P) frequencies,  
53 and above the frequencies of the wind and wave environmental excitation. If scour processes cause  
54 the fundamental natural frequency to drift downwards towards the range of significant excitations,  
55 then – for example – increased dynamic amplification of the cyclic stresses induced by imbalances in  
56 the rotor and drive train could have a damaging effect on the fatigue life of the structure. It is  
57 therefore of considerable importance – for the design and management of windfarms – to  
58 understand the influence of scour processes on the dynamic characteristics of offshore wind turbine  
59 support structures. In working offshore wind farms, it is common practice to either install rock  
60 armour scour protection during the initial foundation installation process or to employ a scour  
61 remediation system, after the wind farm has been commissioned, if scour damage is detected that  
62 exceeds specified design limits.

63 A key motivation for the installation of scour remediation systems is to add stiffness to the  
64 foundation to correct for any downward drift of the fundamental natural frequency that may have  
65 occurred. Previous studies (e.g. Prendergast et al., 2015, Qi et al. 2016, Lin and Jiang 2019) have  
66 confirmed that the loss of soil support due to scour can have a significant detrimental influence on  
67 the stiffness and stability of the foundation. The influence of scour protection on pile behaviour is  
68 intuitively expected to have a restorative effect on foundation performance (e.g. Yang et al. 2019);  
69 this aspect of scour protection systems has been the subject of recent studies (e.g. Kallehave et al.  
70 2015, Stuyts et al. 2020, Winkler et al. 2023). To support the design and management of scour  
71 remediation procedures, estimates are needed of the likely improvements in foundation stiffness  
72 that will be achieved by the placement of scour remediation material.

73 The current paper describes a simplified one dimensional (1D) numerical model that has been  
74 developed to predict the influence of scour processes – and rock fill scour remediation – on the

75 dynamic performance of a monopile-supported offshore wind turbine support structure. The 1D  
76 model is developed with reference to previously-described data on reduced-scale (1:20) tests on a  
77 monopile-supported wind turbine support structure (Mayall et al. 2020). These tests are described  
78 briefly in the current paper; for complete details of the experimental procedures reference should  
79 be made to Mayall et al. 2020. These previous tests were conducted in the Fast Flow Facility (FFF)  
80 flume at HR Wallingford, UK. To conduct the tests the monopile foundation was embedded in a  
81 prepared sand bed in the base of the flume. Active scour processes were induced in the sand bed by  
82 forced flow regimes. The experiments were designed to investigate the changes in the dynamic  
83 performance of the wind turbine support structure – quantified in terms of measured natural  
84 frequencies and mode shapes – caused by scour processes around the base of the foundation. The  
85 influence of scour protection and remediation systems on the dynamic performance of the support  
86 structure was also investigated.

87 The application of the proposed 1D modelling approach to working offshore wind turbine support  
88 structures is demonstrated in the current paper via a field case study on the influence of scour  
89 processes at a UK offshore windfarm. Scour protection was not incorporated during the foundation  
90 installation process when the wind turbine support structures were initially constructed. Instead, a  
91 scour management approach was adopted in which rock fill scour remediation was installed at  
92 selected wind turbine locations in response to the observed development of scour. It was found that  
93 the fundamental natural frequency of the support structures – inferred from data from  
94 accelerometers mounted in the nacelle – tended to drift downwards in response to global and local  
95 scour processes as observed from bathymetry data. Restoring the fundamental frequencies to their  
96 design values (in addition to the prevention of further scour) was a key purpose of the scour  
97 remediation system that was employed. Two of the wind turbine locations at the offshore site have  
98 been selected to provide representative case study data for the current paper.

99 The numerical model described in the current paper provides a means of extracting key information  
100 from a set of previously-described laboratory-scale experiments and then upscaling the findings to  
101 formulate a modelling procedure for the influence of scour and scour protection in the field case  
102 study.

103 The model described in the current paper relates to (selected) previous studies as follows. 1D  
104 models of an embedded monopile have been previously employed to incorporate the influence of  
105 scour holes on the soil reactions that act on the pile. In Prendergast et al. 2015, for example, the  
106 influence of local scour is represented in a 1D model by the removal of a soil layer (with thickness  
107 corresponding to the scour depth) from the model. The current work employs a similar 'soil layer  
108 removal approach' although an extended form of the model is developed to represent in more detail  
109 the local changes in vertical effective stress in the soil that occur due to loss of material when a scour  
110 hole develops. Procedures employed in the current work to incorporate these effective stress  
111 changes are consistent with the proposals - based on data from centrifuge experiments - in Qi et al.  
112 2016. Separately, prior studies on the use of 1D models to represent the influence of scour  
113 protection on the dynamic performance of offshore wind turbine support structures have  
114 incorporated a corresponding approach in which an additional soil layer is added to the 1D model to  
115 represent the stiffness that is added to the system by the scour protection. Winkler et al. 2023, for  
116 example, describe a 1D model of this sort in which, consistent with the approach described in the  
117 current paper, soil reactions acting on the pile are represented using the PISA design model (Burd et  
118 al. 2020). In the Winkler et al. 2023 analysis the stiffness of the added soil layer is determined by  
119 seeking a direct match with data from a full-scale structure. The current paper describes an  
120 approach which differs from the Winkler et al. 2023 model in two respects. Firstly, a procedure is  
121 incorporated to represent the localised effective stress increases in the soil due to the weight of the  
122 added scour protection material (incorporating the possibility that scour protection might be used to  
123 mitigate a pre-existing scour hole). Secondly, parameters to define the stiffness of the scour  
124 protection for the analysis of full-scale wind turbine support structures are determined from prior

125 reduced-scale model experiments (described in Mayall et al. 2020) rather than being adjusted to  
126 achieve a direct match with the measured performance of a full-scale structure.

## 127 **Structural Dynamics Testing in the FFF Tests**

128 A detailed description of the experimental program on reduced scale physical model tests in the FFF  
129 facility is given in Mayall et al. 2020. Features of these tests that are especially relevant to the  
130 current work on the development and calibration of the 1D model are reviewed below; for further  
131 details – including a discussion on potential model and scale effects – the reader should refer to  
132 Mayall et al. 2020.

133 The tests employed the configuration in Fig. 1. A reduced-scale monopile (1:20 scale) manufactured  
134 from glass fibre reinforced polymer was installed by means of a percussive pile driving system into a  
135 compacted sand bed (comprising Bathgate psf sand,  $d_{50}=0.161\text{ mm}$ ,  $G_s=2.65$ ) in the FFF flume.

136 The tower was modelled as an aluminium tube; an adjustable set of brass discs, each with mass  
137 1.107 kg, was mounted at the top of the tower to simulate the mass of the nacelle and rotor. (No  
138 attempt was made to model the rotational inertia of the rotor blades). The materials for the physical  
139 model of the pile-tower system were selected for similarity of geometry, pile relative stiffness  
140 (Poulos & Davis, 1980; Abadie et al., 2015) and tower relative stiffness (Arany et al., 2016), further  
141 details are described in Table 3, and related text, in Mayall et al. 2020. Accelerometers were  
142 mounted at key locations inside the tower. Strain gauges were installed on the monopile foundation.

143 Six tests were conducted as specified in Table 1. The sand bed was prepared using a consistent  
144 protocol and the structural characteristics of the monopile-tower system were the same for each  
145 test. Dynamic characteristics of the monopile-tower system were investigated by applying a finger  
146 tap to a force sensor at the top of the tower and recording the structural response via the  
147 accelerometers and strain gauges. It was possible to obtain highly repeatable data on natural  
148 frequencies and mode shapes using this relatively simple procedure. The damping ratio determined  
149 from these finger tap tests was generally in the range between 0.5% and 2.0%. This is broadly

150 comparable to reported values for full scale structures (e.g. Arany et al. 2016). These relatively low  
151 damping ratios are significant since they demonstrate that damping in the system is sufficiently small  
152 for its effect on the natural frequencies to be negligible. Damping is therefore not incorporated in  
153 the analyses presented in this paper.

154 Scour processes (both global bed lowering and local scour around the pile) were induced in the  
155 flume by the action of forced cyclic flow regimes. Target values of local and global scour depths ( $S_L$   
156 and  $S_G$ ) are listed in Table 1. Finger tap tests were conducted at various stages in the development  
157 of scour; this procedure provided data on the natural frequencies and mode shapes as the scour  
158 processes developed.

159 A key purpose of the physical model tests was to investigate the influence of scour protection  
160 systems on the dynamic performance of the structure. In Test 1 (Table 1) scour protection systems  
161 were absent; this test therefore provided a baseline for comparison with the other configurations. In  
162 Test 2, scour processes were initially induced in the sand bed; remedial scour protection in the form  
163 of 'tyre-filled nets' (Whitehouse et al. 2011; Fazeres-Ferradosa et al. 2021) was then installed (see  
164 Mayall et. al. 2020). Data from these tests indicate that the effectiveness of tyre-filled nets in adding  
165 stiffness to the foundation is minimal; the performance of tyre-filled nets is therefore not further  
166 considered in this paper (although data from Test 2 prior to the installation of the tyre-filled nets are  
167 employed to support the calibration of the 1D model for scoured conditions). Tests 3, 4 and 6  
168 adopted a protocol in which a remedial rock fill scour mitigation system was deployed after scour  
169 had developed in the sand bed; these tests are representative of the remedial scour protection  
170 system employed at the case study offshore windfarm site. Test 5 adopted a different approach. In  
171 this test, rock armour was installed around the monopile before installation (i.e. before the  
172 development of scour); this procedure was intended to model the case where rock armour scour  
173 protection is installed as part of the initial construction phase for an offshore wind turbine support  
174 structure. This test is not relevant to the current work (which is concerned with the performance of

175 remedial (rather than pre-installed) scour protection). Moreover, data from Test 5 indicated that the  
176 dynamic behaviour of the structure remained relatively unchanged during the test procedure; data  
177 from Test 5 are therefore not considered in the current paper.

178 Test 1 was concerned entirely with the influence of scour processes on the dynamic performance of  
179 the system; Tests 2, 3, 4 and 6 all involved the development of scour prior to the installation of  
180 remedial scour protection systems. Tests 1, 2, 3, 4 and 6 therefore provide a data set on the  
181 influence of scour processes on the dynamic performance of the model structure; these data are  
182 employed in the current work to refine the procedures employed in the 1D model to represent the  
183 scour-induced stress and stiffness changes that occur in the soil around the pile. Separately, data  
184 from Tests 3, 4 and 6 are used to refine and demonstrate the procedures employed in the 1D model  
185 to represent the influence of remedial rock-fill scour mitigation on the structural behaviour of the  
186 model structure; relevant data from these tests are listed in Table 2.

## 187 **Formulation of the 1D Numerical Model**

### 188 *Overview of the Model*

189 An idealised form of the problem at full scale is illustrated in Fig 2a; this idealised configuration  
190 forms the basis of the formulation of the proposed 1D model. A wind turbine support structure is  
191 mounted on a monopile foundation via a transition piece. Global scour is presumed to lower the  
192 global seabed level by distance  $S_G$ . Additional local scour processes cause a scour hole of depth  $S_L$   
193 to develop. Loading applied to the foundation, via the tower and transition piece, is considered to  
194 induce distributed lateral load soil reactions,  $p$ , along the embedded length of the monopile.  
195 Additionally, vertical shear tractions are presumed to act on the sides of the pile; these shear  
196 tractions are considered to apply a distributed moment,  $m$ , along the embedded length of the  
197 monopile. A horizontal force  $H_B$  and moment  $M_B$  are considered to act at the pile base.

198 The components of the 1D model are illustrated in Fig 2b. This model is based on the PISA design  
199 model, specified in Byrne et al. 2020 and Burd et al. 2020a; this model is suitable for the analysis of  
200 monopile foundations subjected to monotonic loading. In this analysis procedure the embedded  
201 monopile is modelled as a beam. Specially-formulated soil elements are employed to represent the  
202 soil reactions that act along the embedded length of the monopile; the formulation of these  
203 elements adopts the Winkler assumption (i.e. the distributed lateral load and moment soil reactions  
204 are considered to be functions of the *local* pile lateral displacement,  $v$  and rotation,  $\psi$ , i.e. spatial  
205 coupling via the soil is not incorporated in the model). Similarly, the horizontal force  $H_B$  and  
206 moment  $M_B$  reactions at the base of the pile are functions only of the base lateral displacement,  $v_B$   
207 and rotation  $\psi_B$ . The relationships between the soil reactions and the local displacements/rotations  
208 are referred to in Byrne et al. 2020 and Burd et al. 2020a as 'soil reaction curves'. The current model  
209 employs the initial, linear, portion of these (nonlinear) curves. To reflect the linear nature of the  
210 current model, the term 'stiffness coefficient' is employed in the current paper to define the (linear)  
211 relationships between the various load and displacement soil reaction components that are present  
212 in the model.

213 The current numerical implementation extends the PISA design model as follows. A structural  
214 dynamics modelling procedure is employed to determine the natural frequencies and mode shapes  
215 of the structure via a linear eigenvalue analysis (e.g. Williams 2016). (The current model does not  
216 consider the response of the structure for detailed loading time histories; this extension is beyond  
217 the scope of the current paper). The influence of the mass of water inside, and in proximity to, the  
218 monopile/transition piece is incorporated via additional 1D 'water mass' elements (e.g. DNV 2010).  
219 The mass and stiffness of the soil plug is incorporated in the model with the same beam element  
220 formulation that is employed for the monopile and tower. Importantly, the displacement  
221 interpolation functions adopted for the soil plug elements and the water mass elements are

222 consistent with the Hermitian shape functions employed for the Euler-Bernoulli beam elements that  
 223 are used to model the monopile and the tower.

224 The dimensions and definitions used to define the 1D model are illustrated in Fig. 3.

### 225 **Beam Element Formulation**

226 The pile-tower structure and the soil plug are modelled with conventional four degree-of-freedom  
 227 Euler-Bernoulli beam elements employing the conventions in Fig. 4. The element stiffness matrix  
 228  $k_{beam}$  and element mass matrix  $mass_{beam}$  are determined from,

$$k_{beam} = \int_{z_1}^{z_2} B_b^T (EI) B_b dz \quad (1)$$

$$mass_{beam} = \int_{z_1}^{z_2} B_\psi^T (\rho I) B_\psi + B_v^T (\rho A) B_v dz \quad (2)$$

229 where  $B_v$  is a vector containing Hermitian shape functions, and  $B_\psi$  and  $B_b$  are vectors containing  
 230 appropriate shape function derivatives. The cross-section area and second moment of area are  $A$   
 231 and  $I$  respectively;  $E$  is the Young's modulus and  $\rho$  is the mass density of the material.

232 To model the soil plug, the Young's modulus of the plug,  $E_{plug}$ , is related to the small strain shear  
 233 modulus of the soil by,

$$E_{plug} = 2G_0(1+\nu) \quad (3)$$

234 where the depth variation of the small strain shear modulus,  $G_0$ , is assumed identical to the  
 235 variation of shear modulus in unscoured soil. Since the soil is confined, a value of Poisson's ratio of  
 236  $\nu=0.5$  is assumed, corresponding to undrained behaviour.

### 237 **Soil Distributed Stiffness Elements**

238 The soil reactions  $p=(p, m)^T$  are related to the local pile displacement and rotation  $v=(v, \psi)^T$  by,

$$p = D_s v \quad (4)$$

239 where the local soil stiffness matrix is,

$$D_s = G_o \begin{pmatrix} k_p & 0 \\ 0 & D^2 k_m \end{pmatrix} \quad (5)$$

240 and  $k_p$ ,  $k_m$  are dimensionless stiffness coefficients.  $D$  is the outside diameter of the pile. Values of  
 241 the stiffness coefficients – determined via three dimensional finite element calibration procedures –  
 242 are determined from the data in Byrne et al. 2020 and Burd et al 2020a,b as described in the  
 243 Appendix.

244 The displacement/rotation  $v$  at a point in the soil distributed stiffness element is related to the  
 245 element nodal lateral displacements/rotations  $V^e$  by,

$$v = B_s V^e \quad (6)$$

246 where, consistent with the displacement interpolation adopted for the beam elements (Eqs. 1 and  
 247 2), the vector  $B_s$  incorporates appropriate Hermitian shape functions to define the lateral soil  
 248 displacement  $v$  and Hermitian shape function derivatives to define the rotation  $\psi$ . The distributed  
 249 stiffness matrix  $k_{soil,d}$  is obtained from,

$$k_{soil,d} = \int_{z_1}^{z_2} B_s^T D_s B_s dz \quad (7)$$

250 At the base of the pile the soil reactions are represented by the lumped stiffness model,

$$\begin{pmatrix} H_B \\ M_B \end{pmatrix} = G_o \begin{pmatrix} D k_H & 0 \\ 0 & D^3 k_M \end{pmatrix} \begin{pmatrix} v_B \\ \psi_B \end{pmatrix} \quad (8)$$

251 where  $v_B$ ,  $\psi_B$  are the displacement and rotation at the base of the pile and  $k_H$ ,  $k_M$  are  
 252 dimensionless stiffness coefficients (Byrne et al. 2020, Burd et al 2020a,b) determined as defined in  
 253 the Appendix.

254 Scour remediation material, when present, is incorporated in the model by additional soil distributed  
 255 stiffness elements with an appropriate depth variation of shear modulus. Scour remediation  
 256 materials are assigned a lateral dimensionless stiffness  $k_p$  as defined in the Appendix.

### 257 **Lumped Nodal Mass Elements**

258 The turbine rotor and nacelle, and other attachments, are modelled as rigid bodies with appropriate  
 259 values of mass and moment of inertia assigned to the topmost node in the finite element mesh.

### 260 **Water Mass Elements**

261 The water mass elements incorporate mass effects due to lateral translation of the water inside and  
 262 in proximity to the pile/transition piece, but rotational inertia effects are excluded. The cross-section  
 263 area of the internal water is  $A_{w, \int} = \pi D_i^2/4$  where  $D_i$  is the inner diameter of the pile. The cross-  
 264 section area of the external water is  $A_{w, ext} = C_a \pi D^2/4$  where  $D$  is external diameter of the pile and  
 265  $C_a = 1$  is an assumed added mass coefficient (DNV 2010). The mass matrix  $mass_w$  for the water mass  
 266 elements is,

$$mass_w = \int_{z_1}^{z_2} B_v^T \rho_w \dot{u} \dot{u} \quad (9)$$

267 where the density of water is taken as  $\rho_w = 1000 \text{ kg/m}^3$  and, consistent with Eq. (2),  $B_v$  is a vector  
 268 containing Hermitian shape functions.

### 269 **Eigenvalue Problem**

270 The homogeneous equation of motion for the discretised system with assembled mass matrix  $M$   
 271 and assembled stiffness matrix  $K$  is,

$$M \ddot{V} + KV = 0 \quad (10)$$

272 where  $V$  is a vector comprising the displacements/rotations at all nodes in the model. The natural  
273 frequencies  $\omega_m$  (where the subscript '  $m$  ' denotes the mode number) and the mode shapes  $V_m$  are  
274 determined from the solution to,

$$(K - \omega_m^2 M) V_m = 0 \quad (11)$$

275

## 276 **1D Modelling Procedures for the FFF Tests**

### 277 ***Soil Stiffness Coefficients***

278 In previous work (Burd et al. 2020a) a soil reaction curve calibration was conducted for monopiles  
279 embedded in a coarse-grained material known as Dunkirk sand ( $D_{50} = 0.28 \text{ mm}$ ). The characteristics  
280 of the Bathgate sand employed in the FFF tests are considered to be broadly similar to those of  
281 Dunkirk sand (e.g. the values of maximum and minimum void ratio, and the critical state friction  
282 angle, are comparable for the two sands, see Mayall 2019). The soil stiffness coefficients determined  
283 from the Generalised Dunkirk Sand Model (GDSM) are therefore adopted in the current application  
284 (see Appendix).

285

### 286 ***Small Strain Soil Shear Modulus***

287 The small strain soil shear modulus,  $G_0$ , for the sand bed depends on the local values of the effective  
288 stress and void ratio (as well as the grading and the detailed mineralogy and shape of the particles).  
289 The small strain modulus for the Bathgate sand employed in the FFF tests is represented by the  
290 Hardin and Richart 1963 correlation,

$$G_0 = \frac{B p_{ref}}{0.3 + 0.7 e^2} \left( \frac{p'}{p_{ref}} \right)^{0.5} \quad (12)$$

291 where  $B$  is an empirical coefficient,  $e$  is void ratio,  $p'$  is mean effective stress and  $p_{ref} = 100 \text{ kPa}$ .  
292 Data from bender element tests (Mayall et al. 2020) indicate that  $B = 478$  is appropriate for the

293 Bathgate sand; this value is therefore adopted in the current model. In the absence of information  
294 on the lateral effective stresses in the prepared sand bed, the coefficient of lateral earth pressure,  
295  $K_0$ , was assumed, straightforwardly, to be  $K_0=1$  for the purpose of determining  $p'$ ; this gives  
296  $p' = \sigma'_v$  where  $\sigma'_v$  is the vertical effective stress.

297 Data on relative density,  $D_R$  for the five prepared sand beds considered in the current analyses,  
298 determined by cone penetrometer tests (Mayall et al. 2020), are plotted in Fig. 5a. Also shown (Fig  
299 5b) are inferred depth distributions of submerged unit weight,  $\gamma'$  (determined on the basis of  
300 minimum and maximum void ratios of 0.502 and 0.753 respectively for the Bathgate sand, together  
301 with the inferred  $D_R$  values) and (Fig 5c)  $G_0$ , determined using Eq. (12).

302 When the surface of the sand is level and scour remediation materials are absent, the vertical (and  
303 horizontal) effective stresses adjacent to the pile are estimated straightforwardly. In such cases,  
304 depth-wise profiles of  $G_0$  can be developed as shown in Fig. 5c. Scour holes around the pile,  
305 however, cause the effective stresses in the soil adjacent to the pile to depart from the level bed  
306 case - especially near to the soil surface - with a consequential variation in  $G_0$ . When scour holes  
307 are present, therefore, certain assumptions are needed to estimate the depth variation of the  
308 effective stresses adjacent to the pile for the purpose of estimating local values of  $G_0$  from Eq. (12).

309 Fig. 6 illustrates the 'bilinear approach' that is incorporated in current design standards (e.g. API  
310 2011) to estimate the apparent vertical effective stress  $\sigma'_{v,A}$  acting in the soil adjacent to the pile  
311 when a scour hole is present. In this approach, an 'overburden reduction depth',  $\Delta z_O$ , is chosen. At  
312 depths greater than  $\Delta z_O$  below the global bed level the vertical effective stresses are assumed equal  
313 to the 'global effective stresses'  $\sigma'_{v,G}$  (i.e. the effective stress that correspond to a flat seabed at the  
314 global bed level). At shallow depths (i.e. less than  $\Delta z_O$  below the global bed level) the vertical  
315 effective stress is influenced by the 'local effective stress'  $\sigma'_{v,L}$ , (i.e. the effective stress

316 corresponding to a flat bed at the level of the base of the scour hole) and the apparent stress is given  
 317 by,

$$\sigma'_{v,A} = \alpha_L \sigma'_{v,G} + (1 - \alpha_L) \sigma'_{v,L} \quad (13)$$

318 where the local scour influence factor,  $\alpha_L$ , is,

$$\alpha_L = \frac{z_L}{\Delta z_O - S_L} \quad (14)$$

319 This bilinear approach is adopted in the current work. The recommendations in API 2011 suggest  
 320 that the overburden reduction depth is specified as  $\Delta z_O = 1.6D$ . In the analyses of the FFF tests,  
 321 however, it is found that the overall fidelity of the 1D model is improved when a smaller value of  
 322  $\Delta z_O$  is adopted.

323 When scour protection material is present the local vertical effective stresses  $\sigma'_{v,L}$  are calculated  
 324 with respect to the level of the scour protection material adjacent to the pile using a similar bilinear  
 325 approach, as illustrated in Fig. 7. In the configuration in Fig. 7b the local bed level (i.e. the level of the  
 326 scour protection material adjacent to the monopile) is higher than the global bed level by a distance  
 327  $h_L$ . In this case the apparent vertical stress adjacent to the pile is given by Eq. (13) but with the local  
 328 scour influence factor defined by,

$$\alpha_L = \frac{z_L}{\Delta z_O + h_L} \quad (15)$$

### 329 ***Small Strain Shear Modulus for Rock Fill Scour Remediation Materials***

330 To estimate the stiffness introduced by rock fill scour protection, a simplified form of the Hardin and  
 331 Richart 1963 correlation is employed,

$$G_0 = B_{SP} p_{ref} \left( \frac{p'}{p_{ref}} \right)^{0.5} \quad (16)$$

332 where  $B_{SP}$  is a (non-dimensional) scour protection stiffness coefficient. In the current work it was  
333 not feasible to determine values of  $B_{SP}$  for rock fill scour protection by direct measurement. Instead,  
334 representative values of  $B_{SP}$  were inferred from natural frequency data obtained from the FFF tests.  
335 Values of  $G_0$  to represent the scour protection material are determined from Eq. (16). The  
336 corresponding value of the stiffness coefficient  $k_p$  is determined as described in the Appendix.

### 337 **1D Finite Element Mesh**

338 Nodes are generated at the elevation of structure geometry changes, point masses, soil layer  
339 boundaries including scour protection layering, scour depths, water levels, and plug levels. To  
340 achieve a suitably refined mesh, if the spacing between adjacent nodes exceeds a specified value  
341 then additional nodes are incorporated in the model.

342 In the current analyses a total of about 200 elements was used. This number of elements was  
343 chosen on the basis of convergence studies of the frequency of the first three vibration modes  
344 changing less than 0.1% as the mesh was refined.

## 345 **Analysis of the FFF Tests**

### 346 **Overall Modelling Procedures**

347 Tables 3 and 4 list the adopted beam element and nodal mass/inertia properties respectively. The  
348 mass of the cables (for accelerometer, force sensor, and strain gauge instrumentation) is not  
349 incorporated in the model. The top mass is incorporated in the 1D model as a beam element with a  
350 solid circular cross-section, where the length depended on the number of top-mounted brass discs,  
351  $N_M$ , employed in the tests and the density,  $\rho$ , was selected to give the correct total mass,  $M_{top}$ . The  
352 transition piece (i.e. the  $h_{TP}$  region indicated in Fig. 8) is modelled using beam elements with the  
353 same stiffness properties as the tower. The mass of the pile material within the transition piece is  
354 incorporated into the transition piece mass (as indicated in Table 4); this is implemented as a nodal  
355 mass located at the mid-height of the transition piece.

### 356 **Analysis of Unscoured Cases**

357 With the exception of Test 5 (which incorporated pre-installed scour protection), all of the tests  
358 provided the opportunity to investigate the monopile-tower system under flat bed conditions  
359 without scour. Natural frequencies and mode shapes for unscoured conditions were therefore  
360 measured in five of the six tests. In each case four separate top mass configurations ( $N_M = 0, 1, 3, 6$ )  
361 were employed. These tests provided an extensive data set for analyses using the 1D model.

362 Natural frequency data from Test 1 (taken as an example case) are plotted in Fig. 9. These data  
363 indicate that the natural frequencies  $f_m^{Eig}$  computed using the 1D model conform closely to the  
364 measured values  $f_m^{Meas}$  for the first three modes. Also shown are the computed natural frequencies  
365 for separate cases where full fixity at seabed level and fixity at the pile base are imposed on the  
366 model. As expected, the measured and computed natural frequencies lie between the fixed seabed  
367 and fixed pile base analyses.

368 Equivalent data from Tests 2, 3, 4 and 6 indicate a similar pattern to the Test 1 data in Fig. 9 (see  
369 Mayall 2019). For the complete set of analyses and measured data on unscoured conditions, the  
370 computed natural frequencies are generally slightly higher than the measured data for all three  
371 modes. On the basis of data from all of the tests, the natural frequencies are overpredicted in the 1D  
372 model by a mean of 1.9% for mode 1, 2.7% for mode 2 and 3.4% for mode 3.

### 373 **Analysis of the Influence of Scour on the Natural Frequencies**

374 With the exception of Test 5 (in which pre-installed scour protection was incorporated) all of the  
375 tests incorporated phases in which un-remediated local and/or global scour was present. Analyses  
376 were conducted using the 1D model for each of these cases. The bathymetry analysis for Test 1  
377 suggested that sand backfilling (i.e. deposition of sand into the local scour hole) occurred during one  
378 part of this test where a reduction in depth was observed adjacent to the pile. There was a  
379 corresponding slight increase in the observed natural frequency during this part of the test but, since

380 the effect was small, this component of the test was excluded from the current analysis. It is possible  
 381 that sand backfilling could also have occurred – undetected – in some of the other tests.

382 The influence of scour on the natural frequency is quantified in terms of the change in natural  
 383 frequency ( $\Delta f_m^{Meas}$  for the measured data and  $\Delta f_m^{1D}$  for data computed with the 1D model) where,

$$\Delta f_m^{Meas} = f_m^{Meas} / f_{m,0}^{Meas} - 1 \quad (17)$$

$$\Delta f_m^{1D} = f_m^{1D} / f_{m,0}^{1D} - 1 \quad (18)$$

384 In Eq. (17),  $f_m^{Meas}$  refers to the measured natural frequency in the presence of scour and  $f_{m,0}^{Meas}$  refers  
 385 to the measured natural frequency for the same pile-tower configuration in the initial (unscoured)  
 386 case. Similarly, in Eq. (18),  $f_m^{1D}$  and  $f_{m,0}^{1D}$  signify the computed natural frequencies with, and without,  
 387 the presence of scour.

388 Global scour is incorporated straightforwardly in the model by adjusting downwards the seabed level  
 389 (Fig 3a). To incorporate a local scour hole in the analysis, the bilinear approximation of apparent  
 390 vertical effective stress (Eqs. 13 and 14) was employed, together with the shear modulus correlation  
 391 in Eq. 12, to specify the depth variation of shear modulus in the 1D model. Since the appropriate  
 392 value of the overburden reduction depth  $\Delta z_o$  is uncertain, an approach was adopted in which the  
 393 non-dimensional parameter,

$$\delta_L = \Delta z_o / S_L \quad (19)$$

394 was adjusted to provide a match between the experiment and the model. The change in the first  
 395 natural frequency  $\Delta f_1$  was used to provide independent best-fit values of  $\delta_L$  for each scour depth  
 396 and top mass configuration. The median value obtained from this process was  $\delta_L = 1.49$ ; this value  
 397 was employed in subsequent analyses. The calibrated bilinear approach with  $\delta_L = 1.49$  produces a  
 398 faster recovery of stress with depth than the API 2011 recommended specification.

399 **Analysis of Rock Fill Scour Protection on Natural Frequencies**

400 Table 2 presents an overview of the scour protection properties and associated measured scour  
 401 depths at key stages in the flume experiments for Tests 3,4 and 6. Consistent with data in An et al.  
 402 2014, sand accretion was observed in the rock scour protection matrix in the flume experiments. For  
 403 the numerical model, the scour protection was assumed to contain accreted sand throughout its  
 404 thickness after any post-installation flume flow had occurred. The bulk unit weight of scour  
 405 protection with accreted sand was estimated as,

$$\rho_{bulk} = \rho_w \frac{G_{S,SP}(1+e_{sand}) + G_{S,sand}e_{SP} + e_{SP}e_{sand}}{(1+e_{SP})(1+e_{sand})} \quad (20)$$

406 where  $G_{S,SP}$  and  $G_{S,sand}$  are the specific gravity of the rock and sand respectively, and  $e_{SP}$  and  $e_{sand}$   
 407 are the void ratio of the rock and sand matrix respectively (see Fig. 10). To estimate  $e_{sand}$  a simplified  
 408 approach was adopted in which the relative density of the accreted sand was assumed to be  $D_R =$   
 409 50%.

410 1D analyses were performed to determine the influence of scour protection systems on the natural  
 411 frequencies of the system. The shear modulus of the scour protection materials is estimated using  
 412 Eq. (16). In the absence of independent data on the scour protection stiffness parameter  $B_{sp}$ , a  
 413 procedure was adopted in which values of  $B_{sp}$  were estimated by comparing the 1D model with the  
 414 experimental data on the first natural frequency. In these analyses the vertical effective stresses in  
 415 the soil adjacent to the pile were determined with the bilinear approach with  $\Delta z_0 \dot{\delta}_L S_L$  (see Fig.  
 416 7a) with  $\delta_L = 1.49$  . In instances where the global scour level dropped below the scour protection  
 417 level at the pile wall (i.e.  $S_T < S_G$ , Fig. 7b) the bilinear approach was modified assuming  $\Delta z_0 \dot{\delta}_L h_L$   
 418 with  $\delta_L = 1.49$  .

419 Analyses were conducted on a total of fifty six natural frequency measurements from fourteen scour  
 420 protection geometries with four top mass configurations ( $N_M = 0, 1, 3, 6$ ) drawn from Tests 3,4 and

421 6. The scour protection geometries comprise three as-installed conditions plus eleven geometries  
422 measured after further flume flow.

423 Fig. 11 shows values of  $\Delta f_m^{1D}$  (i.e. change in natural frequency computed with the 1D model) for a  
424 range of values of  $B_{SP}$  for the conditions in Test 3, for the different values of  $N_m$  that were employed  
425 in the test. A polynomial fit to these data is also shown. Results are shown in Fig11a for the  
426 configuration immediately after installation of the scour protection and in Fig11b at the end of the  
427 test. Also shown are the values,  $\Delta f_m^{Meas}$ , actually measured in the tests. These data sets were used to  
428 infer a set of independent values of  $B_{SP}$  as the value from the polynomial fit corresponding to the  
429 measured frequency change  $\Delta f_m^{Meas}$ . The data in Fig. 11 indicate that the inferred values of  $B_{sp}$   
430 depend to some extent on  $N_M$ . This dependency on  $N_M$  (which does not have a physical basis) is  
431 considered a consequence of the simplifications and approximations employed in the 1D model.

432 Fig. 12 presents the variation of the inferred  $B_{SP}$  values at certain stages in Tests 3, 4 and 6  
433 determined using the procedures outlined in the previous paragraph. Measurement number 1,  
434 refers to the 'as installed' condition. The other measurements relate to cases where flow had  
435 occurred in the flume; these measurements are therefore potentially affected by further scour and/  
436 or sand accretion within the scour protection material. The inferred  $B_{SP}$  values in Fig. 12 vary with  
437 measurement number in all cases; the measurement numbers correspond to testing windows after  
438 subsequent flow regimes and scouring processes (as described in Mayall et al. 2020). The increase of  
439  $B_{SP}$  in Tests 3 and 6 after the initial flow stages is presumed to be caused by sand accretion in the  
440 scour material. There is a lack of evidence in the literature on whether sand accretion occurs in field  
441 conditions, such accretion would likely only occur at sites with live bed conditions and suspended  
442 sediment in the flow. It is possible that this accretion mechanism is responsible for the observations  
443 of frequency gains for wind turbines with scour protection at Greater Gabbard offshore wind farm  
444 (Hucker et al. 2019). The decreases of  $B_{SP}$  in Test 4, and in Test 3 and 6 (after a peak), are presumed

445 to be due to reductions in the effective stresses around the pile caused by further global scour. The  
 446 simplified scoured effective stress model and scour protection stiffness model employed in the  
 447 current work may be insufficiently detailed to capture the full detail of the scour protection stiffness  
 448 contribution for the more complex three-dimensional geometries encountered in Tests 4 and 6.

449 Table 5 presents the average inferred values of  $B_{SP}$  for the rock fill remedial scour protection  
 450 material for the 'as-installed' and 'post flow' conditions. Table 5 also shows the standard deviations  
 451 in the inferred values of  $B_{SP}$ ; these relatively high standard deviations reflect the wide range of fitted  
 452  $B_{SP}$  values, as indicated in Fig. 12. The median values in Table 5 are considered to provide  
 453 appropriate values of  $B_{SP}$  for the rock fill scour protection material. The 'as-installed' median value (  
 454  $B_{SP}=259$ ) is relevant to cases where the rock fill is in its initial state. The higher 'post flow' median  
 455 value ( $B_{SP}=500$ ) is considered appropriate for cases where sand accretion is has occurred.

456 It is instructive to compare the stiffness of the rock fill material with the stiffness of the native  
 457 Bathgate sand. To facilitate this comparison an 'equivalent stiffness parameter'  $B^i$  is defined for the  
 458 sand such that,

$$B^i = \frac{B}{0.3 + 0.7(e^i)^2} \quad (21)$$

459 where  $e^i$  is a representative void ratio for the in situ sand. This definition of equivalent stiffness  
 460 parameter facilitates a direct comparison of the stiffness of the Bathgate sand (defined by Eq. 12)  
 461 and the scour protection material (defined by Eq. 16). For comparison purposes the loose state  
 462  $e^i = e_{max} = 0.753$  is selected. For the case where  $B=478$ , this gives  $B^i=686$ . On this basis the as-  
 463 installed stiffness of the rock fill material is about 40% of the stiffness of the native sand, rising to  
 464 about 73% of the native sand stiffness as sand accretion occurs.

## 465 Offshore Windfarm Field Case Study

466 The case study considers an application of the numerical model to two full-scale wind turbines at a  
467 UK offshore windfarm where remedial rock fill scour mitigation was employed in response to the  
468 development of scour around the monopile foundations. Data on the details of the bathymetry data  
469 and scour protection systems relevant to the case study were supplied by HR Wallingford. Data on  
470 measured natural frequencies were supplied by E.ON. The case study is concerned with two  
471 locations - referred to below as Pile 1 and Pile 2. A water density  $\rho_w = 1000 \text{ kg/m}^3$  is assumed for the  
472 model.

### 473 **Case Study Description**

474 Fig. 13 provides an overview of the structural details (based on the original confidential design  
475 reports) of the wind turbine structures at the offshore windfarm. Vertical coordinates are generally  
476 presented as elevation above the local chart datum ( $z_{CD}$ ) which corresponds approximately to the  
477 lowest astronomical tide. The monopile-tower-nacelle structures comprise a monopile with outside  
478 diameter 4.3 m and variable wall thickness. The tower, which has variable diameter and thickness is  
479 connected by a grouted joint at the transition piece.

480 The geotechnical conditions comprise layered sand and clay. A mudstone bedrock is present at  
481 depth. The original site investigation did not include measurements of  $G_0$ ; the depth variation of  $G_0$   
482 therefore was estimated for the current study. The sand layers comprise fine sand  $e_{min} = 0.5868$ ,  $e_{max}$   
483  $= 1.0385$ , and  $G_s = 2.65$ . The clay layers typically comprise a slightly sandy clay. Data from  
484 oedometer tests suggest that the clay layers are normally consolidated or lightly consolidated.

485 Rock fill remedial scour protection was installed 7.76 years after pile installation at Pile 1 and 7.72  
486 years after pile installation at Pile 2. The rock fill material has specific gravity  $G_s = 3.15$ . The median  
487 particle mass is 22 kg, which corresponds to  $d_{50} = 509 \text{ mm}$  for a spherical particle assumption. The  
488 total mass of installed scour protection was measured by the installation contractors (3433 tonnes at

489 Pile 1; 3166 tonnes at Pile 2). The *in situ* installed bulk unit weight of the rock fill has not been  
490 assessed.

#### 491 **Bathymetry Data**

492 Bathymetry surveys, typically covering the full windfarm extent, were conducted approximately  
493 annually after wind farm had been remediated. These data were collected using Multibeam Echo  
494 Sounder surveys as part of the management process for the wind farm. Example bathymetry data,  
495 for Pile 2, indicating the bathymetry both before and after scour protection installation, are shown in  
496 Fig 14.

497 The bathymetry data were employed to determine representative seabed level data for  
498 incorporation in the 1D model. Following the approach in Mayall et al. 2020 local bed levels were  
499 taken as the median value of data points close to the pile wall ( $r/D \leq 0.75$ ) where  $r$  is the radial  
500 distance from the pile centre; global bed levels were assessed using data points beyond the scour  
501 hole extent ( $7.5 \leq r/D \leq 15$ ). Fig. 15 shows the inferred scour depths determined in this way; Table 6  
502 lists the maximum inferred scour depths.

503 The scour depths in Fig. 15 show temporary reductions at approximately 1.2 and 6.4 years, caused  
504 by natural backfilling of the scour holes. Since the site bathymetry is only conducted periodically, it is  
505 unclear for how long this backfill was present.

506 The installation of scour protection, indicated in Fig. 15 manifests as a reduction in local scour depth.  
507 By coincidence at Pile 1 there was a sudden increase in global scour approximately 7.5 years after  
508 pile installation (Fig. 15a) shortly before the scour protection was installed. At Pile 2 (Fig. 15b) the  
509 global scour continued after installing the scour protection and the global bed level at the end of the  
510 data set is lower than the top of the scour protection (i.e.  $S_G > S_T$  as indicated in Fig. 7b).

511 **Natural Frequency Data**

512 Fundamental natural frequencies of the monopile-tower system were determined from the peak of  
513 the power spectral density of acceleration data obtained from accelerometers mounted in the  
514 nacelle. Accelerations were measured during rotor stop tests and standstill periods.

515 There are some uncertainties in the acceleration data as follows. Natural frequency data are only  
516 available from four years after turbine installation (corresponding to the end of the turbine  
517 warranty). It is therefore not possible to track the complete evolution of the structural  
518 characteristics of the system. Additionally, the frequency resolution of the data (approximately 0.012  
519 Hz, corresponding to roughly 4% of the fundamental natural frequency) is relatively low.  
520 Measurements are relative to the nacelle orientation (which is a rotating reference frame);  
521 frequencies are reported in the downwind (DW) and crosswind (CW) directions. The orientation of  
522 the nacelle was not analysed in the current work. It is therefore not possible to determine whether  
523 the scatter in the measured frequencies is caused by scour asymmetry or rotor inertia effects.

524 Fig. 16 shows the natural frequency measurement data; a measurement trend is plotted as the mean  
525 of five values centred on each measurement. At Pile 1 (Fig. 16a) the natural frequency was stable  
526 before scour protection was installed (4 years to 7.76 years), and the scour depths (Fig 15a) are also  
527 relatively stable within the same period. At Pile 2 (Fig. 16b) the natural frequency reduces over the  
528 monitored period before scour protection was installed (4 years to 7.72 years); the total scour depth  
529 (Fig. 15b) increased over the same period leading to a reduction in pile embedment depth.

530 Consistent with the data from the FFF tests, the measured natural frequencies tended to rise  
531 immediately after the installation of the scour protection at both pile locations. The FFF results also  
532 show that the natural frequencies after installing scour protection tend to drift upwards due to sand  
533 accretion, or to drift downwards in cases of continued global scour. It is unclear whether these  
534 effects are fully captured in the measured natural frequency field data due to the discrete nature of

535 the natural frequency data but the general correlation with seabed lowering and scour protection  
536 installation look to be plausible.

### 537 **Numerical Model**

538 A 1D model representing the monopile, transition piece and tower was generated employing data  
539 on mass, diameter and wall thickness supplied by E.ON. Items attached to the structure (e.g. rotor,  
540 nacelle, ladders, flanges, platforms, etc.) were modelled as lumped nodal masses and moment of  
541 inertia.

542 Temporal variations in the water depth (e.g. due to tides) are likely to induce small changes to the  
543 natural frequencies of the structure (due to the influence of water added mass). In the current  
544 model, however, a single water depth, corresponding to be the mean water level  $z_{CD}=4.38\text{ m}$ , was  
545 adopted to specify the water mass elements.

546 The soil stratigraphy (illustrated in Fig. 13) comprises interbedded layers of sand and clay. Following  
547 the procedures in Burd et al. 2020a the small strain shear modulus,  $G_0$ , for the sand layers was  
548 calculated using Eq. (12) with  $B=875$ . The soil stiffness coefficients were determined from the  
549 GDSM (Burd et al. 2020a) as described in the Appendix. The clay layers are reported as being  
550 approximately normally consolidated. Shear modulus distributions with depth, and associated soil  
551 stiffness coefficients were therefore based on the 'Bothkennar clay' model described in Burd et al.  
552 2020b. (Bothkennar clay is an approximately normally consolidated clay; the PISA design model  
553 calibration for this soil is therefore considered to be applicable to the current case). The  
554 relationships  $G_0=500 p'$  where  $p'$  is mean effective stress, employed in the calibration process for  
555 Bothkennar clay, as described in Burd et al. 2020b), is therefore adopted for the current analysis.  
556 The stiffness coefficients adopted for the sand and clay layers are defined in the Appendix.

557 Variations with depth of relative density, submerged unit weight  $\gamma'$  and  $G_0$  for both pile locations are  
558 plotted in Figs. 17 and 18. The soil plug length is unknown and was assumed to be equal to the  
559 installed pile embedment length.

560 The scour protection unit weight (Table 7) was calculated using an assumed voids ratio  
561 representative of the flume experiments (void ratio  $e = 0.95$ ), and the rock fill stiffness was based on  
562 the analysis of the FFF experiments (Table 5). The 'as-installed' value of median scour stiffness  
563 parameter  $B_{sp} = 259$  was used to model the natural frequency change immediately after scour  
564 mitigation installation. The 'post-flow' median value  $B_{sp} = 500$  was used in subsequent analyses; this  
565 is based on the assumption that sand accretion occurs in the rock fill material, as observed in the FFF  
566 tests.

### 567 **1D Model Results**

568 The natural frequencies of Pile 1 and 2 were calculated using the 1D model for each of the measured  
569 scour depths in Fig. 15c to produce a time series of predicted natural frequencies. Two scour  
570 bilinear effective stress models were analysed: (i) 'FFF Calibrated' model from the flume experiments  
571 with  $\delta_L = 1.49$  and (ii) 'API' (2011) with  $\Delta z_o/D = 6$ .

572 Fig. 19 shows the computed change in fundamental natural frequency with reference to a common  
573 initial unscoured natural frequency, inferred from the 1D model. These data are presented alongside  
574 the changes in natural frequency determined from the measurements. The data in Fig. 19 indicate  
575 that the 1D model provides a realistic representation of the evolution of the fundamental natural  
576 frequency for both piles. Interestingly the results are insensitive to the precise assumption on the  
577 bilinear stress distribution adjacent to the pile; the 'FFF Calibrated' and 'API' data are similar for  
578 scour only but the API formulation cannot include the effect of the scour protection.

### 579 **Conclusions**

580 A simplified 1D model is shown to provide high fidelity predictions of the fundamental natural  
581 frequency of a model wind turbine support structure - tested in the FFF flume - for a range of scour  
582 and scour mitigation conditions.

583 Results from the 1D model for level-bed conditions in the FFF tests (i.e. in which scour and scour  
584 protection are absent) indicate that - in comparison with test results - the model is able to provide  
585 consistently reliable estimates of the natural frequency. This outcome supports the modelling  
586 choices that are incorporated in the 1D model.

587 For cases where local scour holes develop around the base of the monopile, the influence of stress  
588 changes in the soil (due to the surface erosion) is represented with a bilinear model as proposed in  
589 API design guidance (API 2011). The API standard recommends that the value of overburden  
590 reduction depth,  $\Delta z_0$ , in the bilinear model is set to  $\Delta z_0=6D$ . It was found, however, that the quality  
591 of the fit between the data and the measurements on the reduced-scale model structure in the FFF  
592 tests could be improved by adopting a smaller value of  $\Delta z_0$ . After some numerical experimentation,  
593 the correlation  $\Delta z_0=1.49 S_L$  was found to provide an optimum fit between the test results and the  
594 1D model (for the first mode natural frequency). This is consistent with other research that found  
595 stresses in the soil to be higher than the API approach (e.g. Lin and Jiang 2019). Applications of the  
596 1D model to the field case study piles indicate that both assumptions ( $\Delta z_0=6D$  and  $\Delta z_0=1.49 S_L$ )  
597 provide a close representation of the natural frequency measurements.

598 Independent stiffness data on the rock fill material employed in the FFF tests and at the offshore site  
599 are not available. Indeed, the significant practical difficulties that would be associated with these  
600 measurements mean that obtaining test data for such materials is unlikely to be practical. In the  
601 current paper, an alternative approach is employed in which the stiffness characteristics of the rock  
602 fill scour mitigation material is determined indirectly on the basis of fitting an overall structural  
603 model to data obtained from the FFF tests. This appears to provide a successful approach to  
604 approximating the natural frequency of the complete system, although the procedure was found to

605 deliver values of  $B_{SP}$  that are subject to significant scatter, as quantified by the relatively large  
606 standard deviations in the inferred values. A key aspect of the inverse modelling employed in the  
607 study is that relatively small changes in observed natural frequency lead to large changes in inferred  
608 values of  $B_{SP}$ ; variations in  $B_{SP}$  are therefore inevitable when employing this approach.

609 The analyses of the FFF tests reported in the paper suggests that rock fill remediation is capable of  
610 restoring some measure of stiffness to the foundation, but consistent with the earlier experimental  
611 work in Mayall et al. 2020 the stiffness contributed to the system by rock fill scour remediation does  
612 not fully reverse the losses due to the scour processes.

613 The calibrated 1D model is shown to provide a close representation of the evolution of the  
614 fundamental natural frequencies observed for the field case study piles. The 1D model is also able to  
615 represent the recovery in the natural frequency after scour mitigation rockfill has been installed. This  
616 supports the application of the 1D model to the assessment of the influence of scour and scour  
617 protection on the fundamental natural frequency for full scale offshore monopile-supported wind  
618 turbine support structures.

## 619 **Appendix: Soil Stiffness Coefficients for Incorporation in the 1D Model**

620 The soil stiffness coefficients are determined from the soil reaction curve calibrations developed for  
621 the PISA design model (Byrne et al. 2020, Burd et al. 2020a,b) as described below.

### 622 ***Soil and Rock fill Stiffness Coefficients for the FFF Tests***

623 The coefficients adopted for the Bathgate sand are based on the general Dunkirk sand model  
624 (GDSM) described in Burd et al. 2020a. A particular feature of the sand framework on which the  
625 GDSM is based is that the distributed moment reaction,  $m$ , scales with the current value of the net  
626 distributed lateral load reaction,  $p$ . Since in the initial state the net distributed lateral load is zero,  
627 the initial stiffness coefficient  $k_m$  is also zero. The dimensionless lateral load stiffness coefficient  $k_p$   
628 (Burd et al. 2020a) is,

$$k_p = 8.731 - 0.6982 D_R - 0.9178 \times \frac{z_L}{D} \quad (22)$$

629 where  $z_L$  is distance below the level of the soil surface adjacent to the monopile,  $D_R$  is relative  
 630 density of the sand expressed as a percentage and  $D$  is the external pile diameter. The values of  
 631  $k_m = 0$  and  $k_p$  defined above are employed in the local stiffness matrix,  $D$  defined in Eq. (5).

632 For the stiffness model at the base of the pile, Eq. (5), the dimensionless stiffness coefficients  
 633 specified in the GDSM (Burd et al. 2020a) are,

$$k_H = 6.505 - 2.985 D_R + \frac{z_L}{D} (-0.007969 - 0.4299 D_R) \quad (23)$$

$$k_M = 0.3515$$

634 The lateral load stiffness coefficient for the remedial scour protection is determined from Eq. (22)  
 635 with  $z_L = 0$  and  $D_R = 67.5\%$  (representing the mid-point of the relative density calibration for the  
 636 GDSM, Burd et al. 2020b). Consistent with the model employed for the sand soil, the moment  
 637 stiffness coefficient is  $k_m = 0$ .

638

### 639 **Stiffness Coefficients for the Field Case Study**

640 The sand layers employ the GDSM with values of  $k_p$ ,  $k_H$  and  $k_M$  as defined in Eqs. (22) and (23), with  
 641  $k_m = 0$ .

642 The clay layers employ the Bothkennar model specified in Burd et al. 2020b. The stiffness  
 643 coefficients in this case are:

$$\text{Distributed stiffness coefficients} \quad k_p = 12.05 - 1.547 \times \frac{z_L}{D} \quad (24)$$

$$k_m = 1.698 - 0.1576 \times \frac{z_L}{D} \quad (25)$$

Base stiffness coefficients

$$k_H = 3.008 - 0.2701 \times \frac{L}{D} \quad (26)$$

$$k_M = 0.3409 - 0.01995 \times \frac{L}{D} \quad (27)$$

644 It is noted that the original embedment lengths,  $L$ , of some piles at the site (e.g.  $L/D=6.93$  at Pile  
645 1 are outside the calibration space of the PISA soil reaction models ( $2 \leq z_L/D \leq 6$ ). For cases where

646  $\frac{z_L}{D} > 6$  or  $\frac{L}{D} > 6$  the stiffness coefficients were determined from Eqs. (24) to (27) by putting  $\frac{z_L}{D} = 6$  or

647  $\frac{L}{D} = 6$  .

## 648 **Data Availability Statement**

649 The data plotted in Figs. 5, 9, 11, 12, 15, 16 and 19 are available from the corresponding author upon  
650 reasonable request.

## 651 **Acknowledgements**

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657 support the modelling procedures described in the paper.

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739 **Table 1.** Schedule of tests conducted in the FFF flume (see Mayall et al. 2020 for further details).  
 740 Water depth  $h_w$ , local scour depth  $S_L$ , and global scour depth  $S_G$ , are defined in Fig. 2. The data  
 741 indicate ‘target values’; relevant values actually recorded during the tests are listed in Table 2

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| Test | Initial condition           |                            | Local scour phase          | Global scour phase                         |                             |
|------|-----------------------------|----------------------------|----------------------------|--|-----------------------------|
|      | Target pile embedment $L/D$ | Target water depth $h_w/D$ | Target local scour $S_L/D$ | Scour protection system                    | Target global scour $S_G/D$ |
| 1    | 4.5                         | 4.5                        | 1.5                        | None                                       | 1.5                         |
| 2    | 4.5                         | 4.5                        | 1.5                        | Tyre-filled nets (remedial)                | 1.5                         |
| 3    | 4.5                         | 4.5                        | 1.5                        | Rock fill (remedial)                       | 1.5                         |
| 4    | 4.5                         | 4.5                        | 0.75                       | Rock fill in partial scour hole (remedial) | 1.5                         |
| 5    | 4.5                         | 4.5                        | 0.0                        | Pre-installed rock armour                  | 1.5                         |
| 6    | 5.5                         | 3.5                        | 1.5                        | Rock fill (remedial)                       | 2.5                         |

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746 **Table 2.** Data determined from the FFF tests for Tests 3, 4 and 6 . The local scour thickness  $S_L$  and  
 747 scour protection thickness  $t_{sp}$  are defined in Fig. 7

| Test | Local scour  | Scour protection 'as-installed' condition |                                  |       | Scour protection end of test condition |                                  |                     |              |
|------|--------------|---|----------------------------------|-------|--|----------------------------------|---------------------|--------------|
|      | $S_L$<br>[m] | $t_{sp}$<br>[m]                           | $\gamma$<br>[kN/m <sup>3</sup> ] | $e$   | $t_{sp}$<br>[m]                        | $\gamma$<br>[kN/m <sup>3</sup> ] | $\Delta S_T$<br>[m] | $S_G$<br>[m] |
| 3    | 0.294        | 0.161                                     | 18.85                            | 0.953 | 0.155                                  | 23.70                            | 0.006               | 0.059        |
| 4    | 0.025        | 0.105                                     | 18.99                            | 0.923 | 0.065                                  | 23.77                            | 0.117               | 0.072        |
| 6    | 0.297        | 0.201                                     | 18.60                            | 1.008 | 0.188                                  | 23.60                            | 0.013               | 0.352        |

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751 **Table 3.** Beam element data for finite element models of the monopile – tower system for the FFF  
752 tests

| Beam element type | Material  | $D$    | $t_w$   | $E$    | $\rho$               |
|-------------------|-----------|--------|---------|--------|----------------------|
|                   |           | [m]    | [m]     | [GPa]  | [kg/m <sup>3</sup> ] |
| Top mass          | Brass     | 0.0945 | 0.04725 | 407    | 8529                 |
| Tower             | Aluminium | 0.1016 | 0.0016  | 69     | 2700                 |
| Pile              | GFRP      | 0.197  | 0.0035  | 31.235 | 1855                 |

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**Table 4.** Nodal mass properties for finite element models of the monopile – tower system for the FFF tests.  $R_{gyr}$  signifies radius of gyration

| <b>Nodal mass description</b>      | <b><math>z</math><br/>[m]</b>         | <b>Mass<br/>[kg]</b> | <b><math>R_{gyr}</math><br/>[m]</b> |
|------------------------------------|---------------------------------------|----------------------|-------------------------------------|
| Force sensors and mounting         | 5.47                                  | 0.296                | 0.050                               |
| Accelerometers and mounting        | 5.38, 4.13, 3.53,<br>3.18, 2.83, 2.33 | 0.070                | 0.025                               |
| Transition piece plus mass of pile | 2.38                                  | 3.667                | 0.075                               |

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762 **Table 5.** Values of  $B_{SP}$  for rock fill remedial scour protection inferred from measurements in the FFF  
763 tests

| <b>Scour protection condition</b> | <b>Median</b> | <b>Mean</b> | <b>Standard deviation</b> |
|-----------------------------------|---------------|-------------|---------------------------|
| As-installed                      | 259           | 407         | 357                       |
| Post flow                         | 500           | 562         | 527                       |

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767 **Table 6.** Maximum observed scour depths in the field case study

| Location        | Installed pile embedment<br>$L/D$ | Maximum global scour<br>$S_G/D$ | Maximum total scour<br>$S_T/D$ | Maximum local scour<br>$S_L/D$ | Installed Scour protection thickness<br>$t_{sp}/D$ |
|-----------------|-----------------------------------|---------------------------------|--------------------------------|--------------------------------|--|
| Pile 1 (median) | 6.93                              | 1.58                            | 3.14                           | 1.80                           | 1.40   |
| Pile 2 (median) | 7.21                              | 2.30                            | 3.14                           | 1.85                           | 1.24   |

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771 **Table 7.** Scour protection parameters employed for the field case study

| Scour protection condition | $\gamma$ [kN/m <sup>3</sup> ] | $B_{SP}$ |
|----------------------------|-------------------------------|----------|
| As-installed               | 20.63                         | 259      |
| With accreted sand         | 25.47                         | 500      |

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## Figure Captions

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776 **Fig. 1.** General test arrangement adopted in the FFF tests showing indicative dimensions; detailed  
777 dimensions are given in Fig. 8. The tower comprises an aluminium tube with external diameter  
778 0.1016m. The monopile is constructed from a filament wound glass fibre reinforced polymer,  
779 outside diameter  $D = 0.197$  m. The target pile embedment length,  $L$ , is 0.9m (Tests 1 to 5) and  
780 1.1m for Test 6. Further details are provided in Mayall et al. 2020.

781 **Fig. 2.** 1D finite element model configuration for monopile eigenvalue analysis: (a) idealised physical  
782 configuration for a full-scale wind turbine system; (b) 1D numerical model representation. The  
783 vertical coordinate  $z$  is used to formulate and define the 1D model;  $z_{BSF}$  is used to specify and  
784 interpret relevant soil conditions;  $z_l$  is used to interpret the influence of scour on the soil effective  
785 stresses.

786 **Fig. 3.** Dimensions and definitions used to define the 1D model; (a) after scour processes have  
787 occurred but before installing scour protection; (b) after installing scour protection.

788 **Fig. 4.** Definitions for the elements used to represent the beams, soil stiffness elements, soil plug  
789 elements and water mass elements in the 1D model. Nodes 1 and 2 have coordinates  $z = z_1$  and  
790  $z = z_2$ . The lateral displacement and rotation at nodes 1 and 2 are  $V_1, V_2$  and  $\Psi_1, \Psi_2$  respectively.  
791 The lateral displacement and rotation at an arbitrary point in the element are  $v$  and  $\psi$ .

792 **Fig. 5.** Inferred soil parameter profiles for initial flat bed configurations in the FFF tests: (a) relative  
793 density,  $D_R$ ; (b) submerged unit weight,  $\gamma'$ ; (c) small strain shear modulus,  $G_0$ . Data in (a) and (c) are  
794 reproduced from Fig. 3 in Mayall et al. 2020. Further explanation of these data is given in Mayall et  
795 al. 2020.

796 **Fig. 6.** Soil vertical effective stress profiles when scour is present: (a) Idealised local scour hole  
797 geometry; (b) Vertical effective stress profiles indicating the 'bilinear approach'.  $z_{BSF}$  is the depth  
798 below the initial bed level (i.e. the bed level at the time of pile installation).

799 **Fig. 7.** Proposed soil vertical effective stress profiles when scour and scour protection is present; (a)  
800 scour protection recessed in scour hole,  $S_T > S_G$ ; (b) scour protection raised above global bed level,  $S_T$   
801  $< S_G$ . (i) Idealised scour protection geometry; (ii) assumed vertical effective stress profiles. The  
802 coordinate  $z_{BSF}$  is the depth below the initial seabed level (i.e. the seabed level at the time of pile  
803 installation).

804 **Fig. 8.** Numerical model representation of the monopile – tower system in the FFF tests. The left  
805 figure shows the structural idealisation that is employed. The right figure illustrates the numerical  
806 model.

807 **Fig. 9.** Data on the first three natural frequencies computed using the 1D model and from  
808 measurements. '1D model' signifies computed data from the 1D model and 'Measured data' signifies  
809 corresponding data from the FFF tests. '1D model; fixed at pile base' and '1D model; fixed at seabed'  
810 signify separate 1D calculations to explore the likely bounds of the behaviour of the system.

811 **Fig. 10.** Grain structures and phase diagrams for scour protection rock without and with accreted  
812 sand.

813 **Fig. 11.** Data for the conditions in Test 3 showing values of  $\Delta f_1^{1D}$  (see Eq. 18) computed using the 1D  
814 model for the different values of  $N_m$  employed in the test, for a range of assumed values of  $B_{SP}$ : (a)  
815 data collected immediately after installation of scour protection; (b) data collected at the end of the  
816 test. Hollow circles indicate data for  $\Delta f_1^{1D}$ ; dotted lines indicates a polynomial fit for  $\Delta f_1^{1D}$ . Also  
817 shown, indicated as \*, are the values of  $\Delta f_1^{Meas}$  determined using data from the test using Eq. 17.

818 **Fig. 12.** Inferred  $B_{SP}$  data for rock fill remedial scour protection: (a) Test 3 (rock fill in a full scour  
819 hole); (b) Test 4 (rock fill in a partial scour hole); (c) Test 6 (rockfill in a full scour hole with falling  
820 apron development). Measurement number 1 corresponds to the first scour protection  
821 measurement. Increasing measurement numbers correspond to testing windows after additional  
822 flow had occurred (see Mayall et al. 2020).

823 **Fig. 13.** Characterisation of offshore wind turbine structures and soil stratigraphy at the field case  
824 study Piles 1 and 2. The tower, transition piece and monopile are made from steel with assumed  
825 values of 210 GPa for the Young's modulus and 7850 kg/m<sup>3</sup> for the density .

826 **Fig. 14.** Example bathymetry measurements before and after scour protection installation for field  
827 case study Pile 2: (a) 0.6 years after pile installation; (b) 7.7 years after pile installation; (c) 8.3 years  
828 after pile installation (0.6 years after scour protection installation); (d) 10.2 years after pile  
829 installation (2.5 years after scour protection installation). Coordinates  $x$  and  $y$  are the distance east  
830 and north from the pile centreline, respectively; coordinate  $z$  is vertical distance of the bed level  
831 above the pile tip.

832 **Fig. 15.** Time series of measured scour depths for the field case study: (a) total and global scour  
833 depths at Pile 1, scour protection installed at 7.76 years (red dashed line); (b) total and global scour  
834 depths at Pile 2, scour protection installed at 7.72 years (red dashed line); (c) local scour depths,  
835 calculated from median total and global scour in (a)&(b). Notation; pXX indicates XXth percentile,  
836 i.e. p50 indicates the median value. In Figs. (a) and (b)  $t_{sp}$  is the thickness of scour protection adjacent  
837 to the pile wall.

838 **Fig. 16.** Time series of measured natural frequency at Pile 1 and 2 for the field case study: (a) natural  
839 frequency measurements at Pile 1: (b) natural frequency measurements at Pile 2. DW and CW  
840 indicate measurement in the downwind and crosswind direction respectively. The legend 'SP  
841 installed' denotes the time at which rock fill remedial scour protection was installed. 'Measurement  
842 Trend' is a five-point moving average.

843 **Fig. 17.** Field case study soil parameter profiles at Pile 1;  $Z_{BSF}$  is the depth below seabed level at the  
844 time of pile installation. These data relate to the design parameters that were adopted during the  
845 original design process for the wind farm.

846 **Fig. 18.** Field case study soil parameter profiles at Pile 2;  $Z_{BSF}$  is the depth below seabed level at the  
847 time of pile installation. These data relate to the design parameters that were adopted during the  
848 original design process for the wind farm.

849 **Fig. 19.** Comparison of natural frequency results computed with the 1D model and the measured  
850 data from the field case study: (a) change in natural frequency at Pile 1; (b) change in natural  
851 frequency at Pile 2. The legend 'SP installed' denotes the time at which rock fill remedial scour  
852 protection was installed. A 'bilinear' approach is used to define the depth variation of vertical  
853 effective stress in the soil adjacent to the monopile; the legend 'FFF calibrated' refers to the bilinear  
854 model developed in the current paper with  $\delta_L = 1.49$  and 'API' refers to the bilinear stress model as  
855 specified in API (2011).

856