

Mechanisms of atrial fibrillation

Review article for *Heart*

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Introduction

Atrial fibrillation (AF) is the most common sustained arrhythmia, currently affecting over 33 million individuals worldwide¹. The frequency of AF is closely related to advancing age and its prevalence is expected to more than double over the next 40 years, partly due to changes in population demographics². AF is associated with a two-fold increase in premature mortality³, and important major adverse cardiovascular events such as heart failure⁴, severe stroke⁵, and myocardial infarction⁶.

Significant effort has been made over a number of years to define the underlying cellular, molecular, and electrophysiological changes that predispose to the induction and maintenance of AF in patients⁷. Progress has been limited by the realisation that AF is a complex arrhythmia that can be the end result of various different pathophysiological processes, with significant heterogeneity between individual patients (and between species)⁷.

In this focused Review article, we aim to succinctly summarise for the non-specialist the current state of knowledge regarding the mechanisms of AF. We address all aspects of pathophysiology, including the basic electrophysiological and structural changes within the left atrium, the genetics of AF, and the links to comorbidities and wider systemic and metabolic perturbations that may be upstream contributors to development of AF. Finally, we outline the translational implications for current and future rhythm control strategies in patients with AF.

Key concepts: trigger and substrate

AF is characterised and defined by very rapid and uncoordinated atrial activity. Conceptually, the initiation and maintenance of AF can be linked to the interaction between a trigger and the substrate. A “trigger” is a rapidly firing focus that can act as an initiator for the arrhythmia, the maintenance of which generally requires a “substrate”, that is, electrophysiological, mechanical, and anatomical characteristics of the atria that sustain AF. Development of this substrate usually includes both electrical and structural elements of atrial remodelling. Electrical remodelling encompasses changes in the properties of ion channels affecting atrial myocardial activation and conduction, whilst structural remodelling refers to alterations in the tissue architecture, both microscopic (e.g. fibrosis) and macroscopic (e.g. atrial dilatation). This conceptual framework for the key concepts underlying the induction and maintenance of AF is summarised in **Figure 1**.

It is thought that there is a progression over time from a trigger-driven disease, through development of a functional atrial substrate, followed by predominant structural atrial remodelling⁸. This would correspond to the clinical observation that AF is often initially paroxysmal, before progressing to a persistent and ultimately permanent form of arrhythmia (**Figure 2**).

Basic atrial electrophysiology

In health, atrial cell depolarisation is mediated by a large and rapidly activating and deactivating inward Na⁺ current, and the slower L-type Ca²⁺ current. Repolarisation is also rapid due to activation

of a series of voltage-gated K⁺ channels. Action potential duration and refractory period are shorter in the atria (particularly in the left atrium) compared to the ventricular myocardium, although there is significant regional heterogeneity within and between the atria, reflecting systematic differences in intra-atrial ion channel density⁹. Overall, the atrial myocardium is more prone to the development of very rapid rates with complex patterns of conduction than the ventricular myocardium, even before considering the pro-arrhythmic effects of atrial remodelling.

Triggers for AF

Seminal studies by Haisaguerre *et al.* identified the muscular sleeves within the pulmonary vein (PV) ostia as the source of the ectopic beats triggering AF in many patients with paroxysmal AF¹⁰. The myocardial sleeves within PVs appear to demonstrate key differences from the remaining atrial myocardium in terms of cellular electrophysiology¹¹, gross anatomy, and fibre geometry; these changes appear to predispose the PV muscle sleeves to rapid focal firing or re-entrant activation¹². It follows that electrical isolation of the PVs from the rest of the atrium (termed “PV isolation”) is the cornerstone of catheter ablation of AF.

Non-PV triggers have also been described^{13, 14}, including (amongst others) the superior vena cava, coronary sinus, left atrial appendage, ligament of Marshall, crista terminalis, and left atrial posterior free wall, potentially due to the presence of myocardial sleeves or regional atrial fibrosis at these sites. Non-PV triggers are more common in advanced subtypes of AF and in patients who have already undergone a catheter ablation procedure. Ablation targeting non-PV triggers may be a useful addition to therapeutic approaches in selected individuals^{13, 15}. Similarly, ganglionated plexi, which are conglomerations of autonomic ganglia on the epicardial surface of the heart, may play a role in the initiation and maintenance of AF¹⁶. Ablation of these plexi in addition to PV isolation led to improved freedom from atrial tachyarrhythmia compared to PV isolation alone in one small clinical trial¹⁷. Finally, AF may occasionally also be triggered by other forms of supraventricular arrhythmia, such as atrioventricular nodal re-entrant tachycardia (AVNRT), atrioventricular re-entrant tachycardia (AVRT), and typical counter-clockwise right atrial flutter.

These triggers for AF are themselves often initiated or maintained by “upstream” processes including atrial stretch, ischaemia, and autonomic imbalance. This could explain, at least in part, the clinical observation that AF is more common in conjunction with comorbidities predisposing to these processes, such as mitral regurgitation, myocardial infarction, and vagal stimulation, respectively.

Arrhythmic mechanisms that sustain AF

In the early days of investigation of the pathophysiology of AF, macro re-entrant circuits were suspected to be the predominant electrophysiological mechanism by key clinical scientists such as Sir Thomas Lewis¹⁸, but *in vivo* evidence was lacking. In 1959, Moe and Abildskov extended the idea of re-entry to that of “multiple wavelets” – i.e. the presence of multiple simultaneous re-entrant circuits within the atria¹⁹. Subsequent work provided mapping evidence of multiple re-entrant wavelets in animal and human atria²⁰, and Cox’s surgical maze procedure was designed to prevent

sustained re-entry by compartmentalising the atrium into small and electrically-isolated units. Unlike classic re-entrant circuits that rely on a central anatomic barrier or scar, so-called “leading circle” re-entry in AF is thought to be functional, due to constant centripetal activation of the centre of the circuit resulting in continuous local refractoriness.

There remains a degree of controversy and uncertainty regarding the precise mechanisms that initiate and sustain AF. Some investigators have described “rotors” or spiral waves as a special form of functional re-entry²¹. In a rotor, the wavefront has a curved or spiral form, with the velocity of any specific portion of the wavefront depending on its degree of curvature. The area of wavefront with the highest curvature has the slowest conduction velocity; this results in functional block at the centre of the rotor due to the propagating wavefront being unable to invade this core of tissue. Critically, this means that rotors can meander through space as there is no area of truly refractory myocardium, in contrast to leading circle re-entry, which must remain fixed around the unexcitable centre. Mapping studies have shown that stable rotors can also anchor at certain sites (often around the PVs and in areas of heterogeneous atrial tissue) – wavefronts spreading away from the centre of the rotor then fragment, inducing chaotic and fibrillatory activity within the rest of the atrium²². The current hypotheses for AF maintenance are summarised in **Figure 3**, which illustrates how rotors may be compatible with the ectopic foci and multiple wavelets. This illustration of circuits that can involve the epicardial and mid-myocardial layers also highlights the significant challenges to invasive mapping and identification of rotors, since conventional electroanatomic mapping only directly interrogates the endocardial layer.

Notwithstanding this evidence of complex re-entrant mechanisms involving large areas of atrial myocardium, AF may in some cases also be driven by a rapid localised source of triggered discharge or micro re-entry. In this situation, the remainder of the atrial myocardium may be a bystander as suggested by a study demonstrating that ablation of these so-called driver domains terminated persistent AF in many cases, particular in patients where AF had been persistent for less than 6 months²³.

Overall, although AF is defined by the presence of chaotic atrial electrical activity, it is now recognised that the pro-arrhythmic mechanisms are extremely heterogeneous. The relative contributions of potential mechanisms appear to be widely variable between different individuals, and may also change over time within a single individual.

Development of a substrate for AF

The maintenance of AF is thought to reflect development of a vulnerable substrate as a result of electrical and structural remodelling, particularly within the left atrium. Aspects of atrial electrical remodelling include shortening of the refractory period due to downregulation of the Ca^{2+} current²⁴, accelerated repolarisation and hyperpolarization of atrial cells due to increases in outward K^{+} currents²⁵, and conduction abnormalities due to altered expression and localisation of connexins that connect atrial myocytes²⁶. These changes all promote re-entry and chaotic patterns of atrial activation, and are closely related to autonomic nervous activity²⁷.

The most prominent aspects of structural remodelling include progressive atrial dilatation, readily detected by transthoracic echocardiography²⁸. Atrial dilatation may support re-entry directly, but is also strongly correlated to the presence of fibrosis²⁹. Fibrosis appears to be of critical mechanistic importance to the development and maintenance of AF, by causing heterogeneity of electrical conduction and predisposing to re-entry. Atrial fibrosis results from activation of fibroblasts, and has classically been ascribed to ageing, comorbidities and risk factors, although experimental evidence for these assertions is somewhat lacking²⁹. In contrast, both animal studies³⁰ and post-mortem histological studies in humans²⁹ support an association between AF and progressive atrial fibrosis – leading to the notion that “AF begets AF”, i.e. that AF directly induces atrial remodelling that supports the further induction and maintenance of AF. This concept appears to tie in with the clinical observation that AF often progresses from infrequent paroxysms to more frequent and long-lasting episodes, and then persistent AF, although an alternative possibility is continuous evolution of the atrial phenotype over time, largely independent of the presence or absence of AF. Meanwhile, progressive structural atrial abnormalities have also been described in the absence of AF, suggesting an alternative paradigm where fibrotic atrial cardiomyopathy is, at least in some patients, an independent disease process that occurs first and predisposes to the subsequent development of arrhythmia³¹.

Cardiac magnetic resonance now offers the possibility of accurate, non-invasive, and serial atrial imaging³², including assessment of atrial tissue characteristics with atrial late gadolinium enhancement imaging (**Figure 4**)³³. Atrial late gadolinium enhancement is thought to reflect the presence of atrial fibrosis, although this is largely based on correlation with invasive electroanatomic data³⁴ and studies of post-ablation atrial injury in animals³⁵, rather than histological validation in patients. Nevertheless, these advanced imaging approaches should in time increase our understanding of the predictors, natural history, and clinical significance of atrial remodelling. Already, such studies have shown that patients with stroke of undetermined cause have more atrial late gadolinium enhancement than patients with an identified non-AF related specific cause of stroke, suggesting a possible aetiological role for an underlying atrial cardiomyopathy without clinical evidence of AF³⁶. Meanwhile, the multicentre DECAAF trial demonstrated that atrial late gadolinium enhancement was independently associated with likelihood of recurrent arrhythmia after catheter ablation of AF³⁷. Thus, increasing evidence suggests that atrial fibrosis may be an important marker of disease severity and predictor of clinical outcomes. However, it remains unclear whether atrial fibrosis is a potentially modifiable risk factor - this hypothesis is being tested in the ongoing DECAAF II trial (NCT02529319), which will test the efficacy of fibrosis-guided ablation in addition to conventional PV isolation.

Alterations in myocardial redox state in atrial remodelling and AF

More recent efforts have focused on identifying the underlying cellular and molecular mechanisms that lead to atrial remodelling. Alterations in myocyte nitroso-redox state have been closely linked to the initiation, development, and maintenance of AF³⁸. Redox signalling can affect downstream targets in various subcellular compartments via effects on transcription factors, direct protein transnitrosylation, or targeting of other signalling molecules. For example, angiotensin-II-induced oxidation of Ca²⁺/calmodulin-dependent protein kinase 2 (CaMKII) results in increased sarcoplasmic

reticulum Ca^{2+} leak through the ryanodine receptor, and contributes directly to increased susceptibility to AF in mice³⁹.

Alterations in redox signalling in AF are complex, and the atrial sources of reactive oxygen species have been shown to differ with the duration and substrate of AF⁴⁰. Recent work has identified that atrial specific up-regulation of a small noncoding RNA (miR-31) leads to depletion of neuronal nitric oxide synthase and repression of dystrophin (which binds neuronal nitric oxide synthase in the myocardium)⁴¹. The disruption in neuronal nitric oxide signalling leads to shorter action potential duration and loss of rate-dependent adaptation in action potential duration, creating a pro-arrhythmic substrate⁴¹. This suggests that local inhibition of relevant miRs in the atrial myocardium could reverse atrial remodelling and potentially act as a novel adjunct to current therapeutic strategies, assuming that tissue-specific delivery strategies can be developed.

Genetics of AF

Individuals with a family member affected by AF have a 40% greater risk of incident AF than those without an affected family member, after adjusting for AF risk factors⁴². Genome-wide association studies have progressively identified more risk variants and genes that underlie the observation of familial risk, enriched within cardiac developmental, electrophysiological, contractile, and structural pathways⁴³. A recent such study of over 1 million individuals identified 142 independent risk variants at 111 loci, corresponding to 151 gene candidates likely to be involved in AF pathogenesis⁴⁴. Pathway and functional enrichment analyses have further highlighted foetal heart tissue and pathways related to cardiac development as being functionally relevant in AF pathogenesis, implying that such genes and pathways either act in the developing heart to influence the future risk of AF, or that they are activated in the adult heart as a response to stress⁴⁴.

The genes and pathways identified from such approaches may allow new insights into AF pathophysiology, and potentially reveal new therapeutic targets. Whilst genetic testing is not currently undertaken routinely in patients with AF, this could change rapidly if polygenic risk scores can be identified that, for example, contribute to the clinical classification of AF phenotype, aid stroke risk stratification, or predict response to catheter ablation.

Beyond the atrium – is AF a systemic disease?

As detailed above, several decades of detailed investigation have yielded fundamental insights into the pathophysiology of AF and the associated alterations in the cellular, molecular, electrophysiological and structural architecture of the atria. More recently, it has become increasingly recognised that AF is more than just an atrial disease, with documented associations with systemic inflammation, endothelial dysfunction, cardiometabolic disturbance, and wider abnormalities in myocardial structure and function⁴⁵.

Longitudinal and multiparametric cardiac magnetic resonance studies show that even patients with apparently lone AF have significantly impaired ventricular myocardial energetics (**Figure 5**), coronary microvascular dysfunction, and subtle reduction in left ventricular performance, which fail to

normalise following catheter ablation^{32, 46}. This suggests that AF may actually be the consequence (rather than the cause) of an occult cardiomyopathy, which is unaffected by successful rhythm control, and that adjunctive therapies may be needed to target the ongoing drivers of the disease process.

These observations are also in keeping with the strong epidemiological associations between AF and other cardiac, metabolic, and systemic comorbidity⁴⁷. An exemplar is obesity, which is the strongest modifiable risk factor for AF⁴⁸, with a Mendelian randomisation study indicating a direct causal relationship^{49, 50}. Further evidence for the clinical relevance of obesity and other systemic diseases in AF comes from emerging randomised and cohort studies demonstrating dramatic improvements in AF burden and symptoms following weight loss and risk factor control⁵¹⁻⁵³. The mechanism by which obesity predisposes to AF is unclear, but much interest has focused on the potential role of epicardial fat, which is closely associated with AF phenotype and recurrence. A body of work indicates that epicardial fat may influence the triggers and substrate for AF through a number of mechanisms, including fatty infiltration of the atrial myocardium, induction of atrial fibrosis, and activation of inflammatory and oxidative stress pathways^{54, 55}. Other potential mechanisms include left atrial enlargement, left ventricular hypertrophy⁵⁶, and altered cardiac energetics⁵⁷.

Finally, the intriguing possibility that AF progression is linked to vascular risk via hypercoagulability that influences atrial vascular remodelling and fibrosis is being assessed in the ongoing RACE-V cohort study (NCT02726698).

Implications for current and future rhythm control strategies

Progressive advances in our knowledge of the mechanisms of AF have directly translated into current rhythm control strategies, both pharmacological and interventional. It is important to emphasise that rhythm control strategies have generally not demonstrated any prognostic benefit compared to rate control strategies in patients with AF^{58, 59}. Similarly, the recently published CABANA trial failed to demonstrate an improvement in the composite outcome of mortality, stroke, bleeding, and cardiac arrest in patients randomised to pulmonary vein isolation via catheter ablation compared to those randomised to rate and rhythm control with medical therapy, despite a significant reduction in recurrent AF in the former group⁶⁰. Catheter ablation remains commonly indicated solely for the improvement of symptoms⁶¹⁻⁶³, although evidence for improvement in symptoms and/or quality of life derives from open-label studies including CABANA⁶², where the mean differences in quality of life and symptom scores between the groups at 12 months were of questionable clinical significance, particularly given the possibility of a larger placebo effect resulting from an interventional therapy. Definitive evidence of symptomatic benefit from ablation would require more rigorous blinded comparisons of ablation with a sham procedure, and such trials are currently lacking.

Meanwhile, the recent and relatively small CASTLE-AF randomised trial also demonstrated a significant improvement in the composite primary endpoint of mortality and the rate of hospitalisation for worsening heart failure with ablation compared to medical therapy, suggesting prognostic benefit of ablation in selected patients with AF and heart failure⁶⁴. These data are consistent with the results of prior smaller randomised trials comparing catheter ablation versus

1 medical therapy in patients with AF and heart failure⁶⁵, and a trend towards benefit of catheter
2 ablation compared to medical therapy in patients with a history of congestive heart failure or NYHA
3 II-IV symptoms in CABANA⁶⁰. Meanwhile, the soon-to-report EAST trial (NCT01288352) has been
4 designed to test the hypothesis that early and structured rhythm control therapy (with anti-
5 arrhythmic drugs and catheter ablation) can prevent AF-related complications compared to usual
6 care in a less selected group of AF patients.

7 Pharmaceutical approaches with anti-arrhythmic drugs are targeted to reverse the effects of atrial
8 electrical remodelling, mainly by prolonging the atrial effective refractory period and lengthening
9 atrial action potential duration, thereby reducing the propensity for induction and maintenance of
10 AF. Although theoretically potentially pro-arrhythmic, these medications are relatively safe in clinical
11 use, with an incidence of major ventricular arrhythmia of just 0.8% over the median 4-year follow-up
12 in CABANA⁶⁰. Nevertheless, side-effects remain a limiting factor to the long-term acceptability of
13 such medications at therapeutic doses for many individuals.

14 Over the last two decades, catheter ablation has become a mainstream rhythm control strategy,
15 established in clinical guidelines⁶¹. PV isolation is the mainstay of catheter ablation, and can be used
16 as a first line treatment in patients with paroxysmal AF, in whom it is at least as effective as anti-
17 arrhythmic drug therapy⁶¹. Persistent AF is much more challenging, as no approach to atrial
18 substrate modification with ablation has proven effective. In the pivotal STAR AF II trial, patients
19 were randomised in a 1:4:4 ratio to ablation with PV isolation alone, PV isolation plus ablation of
20 electrograms showing complex fractionated activity, or PV isolation plus additional linear ablation
21 across the left atrial roof and mitral valve isthmus. The results were sobering: around 40% of
22 patients experienced recurrent AF after ablation with no statistically significant differences between
23 the groups, although there was a trend to more recurrent AF in both groups who received additional
24 ablation compared to those treated with PV isolation alone⁶⁶. Further work is therefore still needed
25 to understand if, and how, the atrial substrate for AF can be ameliorated by ablation. In this context,
26 the results of the recent RACE 3 trial are also particularly relevant⁶⁷. Patients with early persistent AF
27 and mild-to-moderate heart failure randomised to targeted therapy of underlying conditions
28 (consisting of both pharmacological and lifestyle interventions) had improved maintenance of sinus
29 rhythm at 1 year compared to those randomised to conventional therapy⁶⁷. Similarly, weight loss⁵¹
30 and improvement in cardiorespiratory fitness⁶⁸ appear to be associated with a reduction in AF
31 burden and symptom severity. This supports the paradigm that more holistic therapy, beyond
32 catheter ablation alone, is likely to be required for the successful treatment of persistent AF.

34 Summary and conclusions

35 AF is a complex arrhythmia that is characterised and defined by rapid and uncoordinated atrial
36 activity. The pattern of atrial electrical activity in AF is not completely understood, but can include
37 complex re-entrant mechanisms as well as localised focal discharges and micro re-entry. The
38 initiation and maintenance of AF is dependent on the presence of both trigger and substrate,
39 including electrical and structural atrial remodelling. Paroxysmal AF often precedes persistent AF,
40 consistent with experimental evidence showing that AF can itself induce atrial remodelling that
41 contributes to the further maintenance of AF.

1 Atrial remodelling and AF often reflect the combined effects of several discrete and interacting
2 pathophysiological processes, both inherited and acquired, although there is significant
3 heterogeneity in the balance of the contributions of each of these mechanisms in any one individual.
4 AF is closely associated with advanced age, the presence of comorbidities and systemic disease,
5 cardiometabolic disturbance, and wider abnormalities in myocardial structure and function,
6 consistent with a pathophysiological basis that goes beyond the atrial myocardium, although the
7 precise mechanisms linking extra-atrial pathology to AF remain poorly defined.

8 Pharmacological and interventional therapeutic approaches to rhythm control in AF mainly address
9 alterations in atrial electrophysiology and triggers for AF. The limitations of current approaches are
10 particularly pronounced in patients with persistent AF and/or advanced structural atrial remodelling.
11 Further mechanistic, translational, and clinical studies are needed to improve understanding of AF
12 mechanisms and pathophysiology, and direct development of novel therapeutic approaches.

Figure Legends

Figure 1: Key concepts underlying the induction and maintenance of AF

Figure 1. Key concepts underlying the induction and maintenance of atrial fibrillation (AF). AF can be maintained by either re-entrant or rapid and sustained ectopic activity. Development of re-entry depends on the action of a trigger (usually from an ectopic beat) acting on vulnerable substrate. In normal hearts, atrial electrical properties are less likely to support the maintenance of AF. Atrial remodelling creates a substrate for re-entrant AF, by altering ion-channel function and/or inducing tissue fibrosis. Remodelling can also make ectopic activity more likely by producing changes in Ca^{2+} -handling that promote both triggered activity and re-entry. EADs indicates early afterdepolarisations; DADs, delayed afterdepolarisations. Reproduced with permission from ref.⁶⁹

Figure 2: Progression in AF mechanisms over time

Figure 2. Progression in atrial fibrillation (AF) mechanisms over time. **A**, Local ectopic firing. **B**, Single-circuit re-entry. **C**, Multiple-circuit re-entry. **D**, Mechanisms underlying clinical forms of AF. Paroxysmal AF is mostly underpinned by local triggers/drivers, particularly from pulmonary veins (PVs). As AF becomes more persistent and eventually permanent, re-entry substrates (initially functional and then structural) predominate. RA indicates right atrium; SVC, superior vena cava; LA, left atrium; and IVC, inferior vena cava. Reproduced with permission from ref.⁸

Figure 3: Current hypotheses for AF maintenance

Figure 3. Current hypotheses for AF maintenance. **(A)** Diagram of AF maintenance near a pulmonary vein that has been hypothesized to be driven by ectopic focus (left), rotor (middle), or multiple wavelets (right). Different wavefronts are represented in purple. **(B)** Representation of the compatibility of rotor maintenance with other mechanisms. Rotors can be initiated by wavebreaks near an ectopic focus (left) and underlie endocardial or epicardial breakthroughs (middle). A drifting rotor, whose trajectory is depicted in blue, can be the driver of multiple and apparently disorganized atrial wavelets (right). Reproduced with permission from ref.²¹

Figure 4: Left atrial tissue characterisation using late gadolinium enhancement magnetic resonance imaging

Figure 4. Left atrial tissue characterisation using late gadolinium enhancement (LGE) magnetic resonance imaging (MRI). Following acquisition of high-resolution LGE-MRI scans (step 1), the left atrial wall is identified and isolated by manually tracing the blood pool in each slice of the LGE-MRI volume (step 2). The mitral valve and extension of the left ventricle are manually excluded. Quantification of fibrosis is based on the relative signal intensity of LGE (step 3). A 3-dimensional model of the LA is rendered with the maximum enhancement intensities being projected on the

model surface; healthy tissue is depicted as blue, whereas any tissue with LGE is depicted as green and yellow (step 4). Reproduced with permission from ref.³³

Figure 5: Left ventricular energetics in patients with lone atrial fibrillation

Figure 5. Left ventricular energetics in patients with lone atrial fibrillation (AF). The ³¹P magnetic resonance spectra and derived PCr/ATP ratios are shown in a control subject (A, top panel) and a patient with lone AF before catheter ablation (A, bottom panel). Despite a significant reduction in AF burden at a median of 7 months after ablation ($p < 0.001$) (B), there was no change in PCr/ATP ratio ($p = 0.57$) (C, left panel), with myocardial energetics remaining significantly impaired compared with matched control subjects in sinus rhythm ($p = 0.001$) (C, right panel). 2,3-DPG indicates 2,3-diphosphoglycerate; AF = atrial fibrillation; IQR = interquartile range; PCr/ATP = phosphocreatine/adenosine triphosphate; PDE = phosphodiester. Reproduced with permission from ref⁷⁰, originally adapted from ref³².

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