

The reverberation signatures of rotating disc winds in active galactic nuclei

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ABSTRACT

The broad emission lines (BELs) in active galactic nuclei (AGNs) respond to ionizing continuum variations. The time and velocity dependence of their response depends on the structure of the broad-line region: its geometry, kinematics and ionization state. Here, we predict the reverberation signatures of BELs formed in rotating accretion disc winds. We use a Monte Carlo radiative transfer and ionization code to predict velocity-delay maps for representative high- (C IV) and low-ionization (H α) emission lines in both high- and moderate-luminosity AGNs. Self-shielding, multiple scattering and the ionization structure of the outflows are all self-consistently taken into account, while small-scale structure in the outflow is modelled in the microclumping approximation. Our main findings are as follows: (1) The velocity-delay maps of smooth/microclumped outflows often contain significant *negative* responses. (2) The reverberation signatures of disc-wind models tend to be rotation dominated and can even resemble the classic ‘red-leads-blue’ *inflow* signature. (3) Traditional ‘blue-leads-red’ outflow signatures can usually only be observed in the long-delay limit. (4) Our models predict lag–luminosity relationships similar to those inferred from observations, but systematically underpredict the observed centroid delays. (5) The ratio between ‘virial product’ and black hole mass predicted by our models depends on viewing angle. Our results imply that considerable care needs to be taken in interpreting data obtained by observational reverberation mapping campaigns. In particular, basic signatures such as ‘red-leads-blue’, ‘blue-leads-red’ and ‘blue and red vary jointly’ are not always reliable indicators of inflow, outflow or rotation. This may help to explain the perplexing diversity of such signatures seen in observational campaigns to date.

Key words: accretion, accretion discs – radiative transfer – quasars: general.

1 INTRODUCTION

Reverberation mapping (RM) has become a powerful tool in the study of active galactic nuclei (AGNs; Peterson 1993). Its primary application to date has been in efforts to estimate central black hole (BH) masses. The broad emission lines (BELs) in AGNs respond to variations in the underlying continuum with a characteristic time delay, τ . This delay is due to the light traveltime from the central engine to the broad-line region (BLR) and therefore

depends on the size of the BLR, $R_{\text{BLR}} \simeq c\tau$. If the dynamics of the BLR are dominated by the gravitational potential of the BH, the width of the Doppler-broadened emission lines must be $\Delta v_{\text{BLR}} \simeq (GM_{\text{BH}}/R_{\text{BLR}})^{1/2}$. Thus, the combination of line width and lag immediately provides an estimate of the BH mass, the so-called *virial product* $M_{\text{BH}} \simeq c\tau_{\text{BLR}}v_{\text{BLR}}^2/G$.

Lag-based BH mass estimates have been successfully calibrated against both the $M_{\text{BH}}-\sigma$ (Gebhardt et al. 2000; Ferrarese et al. 2001; Onken et al. 2004; Woo et al. 2010) and the $M_{\text{BH}}-M_{\text{bulge}}$ relations (McLure & Dunlop 2002; Wandel 2002). However, even though the BLR sizes obtained via RM seem to yield reliable BH masses, the nature of the BLR itself has remained controversial. This uncertainty

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regarding the geometry and kinematics of the BLR also limits the accuracy of RM-based BH mass estimates (Park et al. 2012; Shen & Ho 2014; Yong, Webster & King 2016).

RM itself offers one of the most promising ways to overcome this problem, since the physical properties, geometry and kinematics of the BLR are encoded in the time- and velocity-resolved responses of BELs to continuum variations. Decoding this information places strong demands on observational data sets (Horne et al. 2004), but recent campaigns have begun to meet these requirements (e.g. LAMP; Bentz, Manne-Nicholas & Ou-Yang 2011; Pancoast et al. 2012; Pancoast, Brewer & Treu 2014; Skielboe et al. 2015, SEAMBH; Du et al. 2014, 2016, AGN Storm; De Rosa 2015). Most previous velocity-resolved RM studies found signatures that were interpreted as evidence for inflow and/or rotation (Gaskell 1988; Koratkar & Gaskell 1989; Ulrich & Horne 1996; Bentz et al. 2008; Bentz et al. 2010; Grier 2013), although apparent outflow signatures have also been reported (Denney et al. 2009; Du et al. 2016).

On the theoretical side, considerable effort has been spent over the years on modelling and predicting BLR reverberation signatures (e.g. Blandford & McKee 1982; Welsh & Horne 1991; Wanders et al. 1995; Pancoast, Brewer & Treu 2011; Pancoast et al. 2012; Pancoast et al. 2014). However, most modelling efforts to date have treated the line formation process by adopting parametrized emissivity profiles, rather than calculating the actual ionization balance and radiative transfer within the BLR self-consistently. Also, one of the most promising models for the BLR – rotating accretion disc winds (Young et al. 2007) – has received surprisingly little attention in these modelling efforts.

Evidence for powerful outflows from luminous AGNs is unambiguous: approximately 10 per cent–15 per cent of quasars display strong, blueshifted broad absorption lines (BALs) in UV resonance transitions such as C IV 1550 Å (e.g. Weymann et al. 1991; Tolea, Krolik & Tsvetanov 2002). The *intrinsic* BAL fraction must be even higher – probably 20 per cent–40 per cent – since there are significant selection biases against these so-called BALQSOs (Hewett & Foltz 2003; Reichard et al. 2003; Knigge et al. 2008; Dai, Shankar & Sivakoff 2008; Allen et al. 2011). These outflows matter. First, they provide a natural mechanism for ‘feedback’, i.e. they allow a supermassive BH to affect its host galaxy on scales far beyond its gravitational sphere of influence (Fabian 2012). Secondly, they can produce most of the characteristic signatures of AGNs, from BELs (Emmering, Blandford & Shlosman 1992; Murray et al. 1995) to BALs to X-ray warm absorbers (Krolik & Kriss 1995). Thirdly, they may be the key to unifying the diverse classes of AGNs/QSOs (Elvis 2000).

Despite the importance of these outflows, there have been few attempts to study the reverberation signature of rotating disc winds. Chiang & Murray (1996) – hereafter CM96 – calculated the time- and velocity-resolved responses of the C IV 1550 Å line based on the line-driven disc-wind model described by Murray et al. (1995). Taking the outflow to be smooth, CM96 treated velocity-dependent line transfer effects self-consistently, but adopted a power-law radial emissivity/responsivity profile instead of carrying out photoionization calculations. They also assumed that only the densest parts of the wind (near the disc plane) produce significant line emission. More recently, Waters et al. (2016) used a similar formalism to predict the emission line response according to their hydrodynamic numerical model of a line-driven AGN disc wind.

Similarly, Bottorff et al. (1997) predicted time- and velocity-dependent response functions for C IV, based on the centrifugally driven hydromagnetic disc-wind model of Emmering et al. (1992).

In this model, the BLR is composed of distinct, magnetically confined clouds, each of which is assumed to be optically thick to both the Lyman continuum and C IV and to possess no significant internal velocity shear. Moreover, Bottorff et al. (1997) adopted the ‘locally optimally emitting cloud’ (LOC) picture of the BLR (Baldwin et al. 1995), according to which a population of clouds spanning a huge range of particle density is assumed to exist at any given distance from the central engine. These assumptions dramatically reduce the complexity of the necessary photoionization and line transfer calculations.

Against this background, our goal here is to use a fully self-consistent treatment of ionization and radiative transfer to predict H α and C IV reverberation signatures for a generic model of rotating accretion disc winds in both high- and moderate-luminosity AGNs. Our starting point is the disc-wind model developed by Matthews et al. (2016) for luminous QSOs. This model was explicitly designed with disc-wind-based geometric unification in mind: it predicts strong BALs for observer orientations that look into the outflow, produces strong emission lines for other orientations and is broadly consistent with the observed X-ray properties of both QSOs and BALQSOs. We use a scaled-down version of this model to represent lower luminosity AGNs, such as Seyfert galaxies. For both types of model AGNs, we calculate the time- and velocity-resolved emission line responses and compare the predicted reverberation signatures to observations.

The remainder of this paper is organized as follows. In Section 2, we outline the theory behind RM and describe the code we have employed to model it. In Section 3, we use the code to calculate model response functions for both Seyfert galaxies and QSOs. In Section 4, we test the lag–luminosity relationships predicted by our models against observations. We also present the viewing angle dependence of the virial product for these models and compare it to the results of recent observational modelling efforts. Finally, in Section 5, we summarize our conclusions.

2 METHODS

2.1 Fundamentals

The premise of RM is that the BLR reprocesses the ionizing continuum generated by the central engine. This immediately implies that the emission lines produced in the BLR should respond to variations in the continuum. The response of a small parcel of BLR gas to a change in the continuum flux it receives is effectively instantaneous. As seen by a distant observer, parts of the BLR which lie directly along the line of sight to the central engine will therefore respond to a continuum pulse with no delay. However, the response from any other part of the BLR will be delayed with respect to the continuum. For each location in the BLR, the delay is just the extra time it takes photons to travel from the central engine to the observer, via this location. As illustrated in Fig. 1, the isodelay surfaces relative to a point-like continuum source are paraboloids centred on the line of sight from the source towards the observer.

The response of a line will usually involve a range of delays. The relationship between continuum variations, $\Delta C(t)$, and variations in the flux of an emission line, $\Delta L(t)$, can be expressed in terms of a *response function*, $\Psi_R(\tau)$,

$$\Delta L(t) = \int_{-\infty}^{\infty} \Delta C(t - \tau) \Psi_R(\tau) d\tau. \quad (1)$$

The response function describes how the emission line flux responds to a sharp continuum pulse as a function of the time delay, τ .

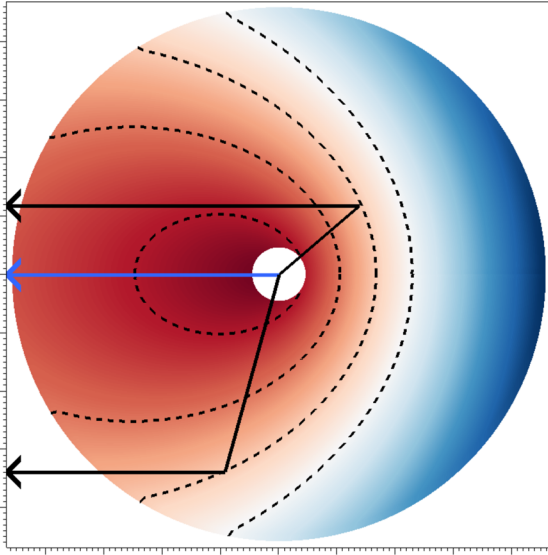


Figure 1. A cut through the isodelay surfaces surrounding a point source. Colour indicates the total path to the observer for photons scattering off that point; contours indicate isodelay curves on the 2D plot. The blue arrow shows the direct path to observer; the two black arrows show the paths taken by two photons that scatter on different points of the same isodelay surface. Figure produced using Visit (Childs et al. 2012).

Since each parcel of gas has a different line-of-sight velocity v with respect to the observer the line profile will also change with time. We can therefore define a 2D response function, $\Psi_R(v, \tau)$ that specifies the response of the BLR as a function of both time delay and radial velocity,

$$\Delta L(v, t) = \int_{-\infty}^{\infty} \Delta C(t - \tau) \Psi_R(v, \tau) d\tau. \quad (2)$$

While the 1D response function depends only on the geometry of the BLR, the 2D response function – also known as the ‘velocity-delay map’ – encodes information about both geometry and kinematics.

Turning this argument around, we can *predict* the response of an emission line to continuum variations for any physical model of the BLR. We can test such models by comparing the response functions they predict to those inferred from observations. Our goal here is to predict these observational reverberation signatures for a rotating disc-wind model of the BLR. A sketch of the outflow geometry we adopt is shown in Fig. 2, and is described in more detail in Section 3.

Our model includes both Keplerian rotation and outflow and may therefore be expected to show a mix of both rotation and outflow signatures. The conversion of spherical inflow, spherical outflow and rotating disc kinematics into velocity-delay space is illustrated in Fig. 3 (for more details, see e. g. Welsh & Horne 1991). Whilst a Keplerian disc has a symmetric signature, with the line wings leading the low-velocity core, outflow and inflow signatures are strongly asymmetric, with the blue wing leading the red for outflows, and the red wing leading the blue for inflows. As noted in Section 1, all of these basic signatures have been seen observationally, although symmetric and red-leads-blue signatures are more common.

Sketches like those shown in Fig. 3 represent a purely geometric projection of BLR gas from 6D position and velocity space on to our 2D line-of-sight velocity and time-delay space. The actual appearance of a velocity-delay map within the boundaries imposed by this projection depends on the *strength* of the line response across the map. As we shall see, this is a crucial effect that can

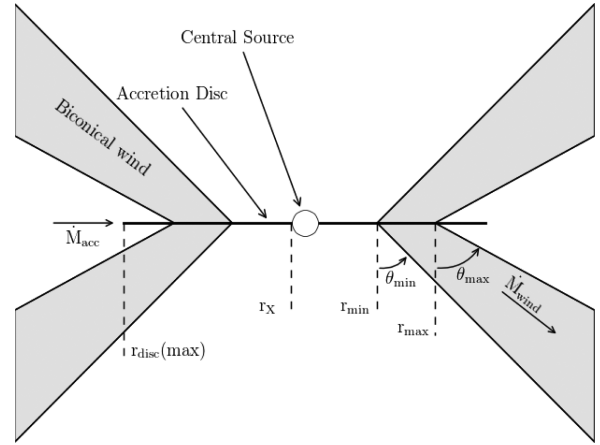


Figure 2. Sketch of the biconical disc-wind geometry from Matthews et al. (2016).

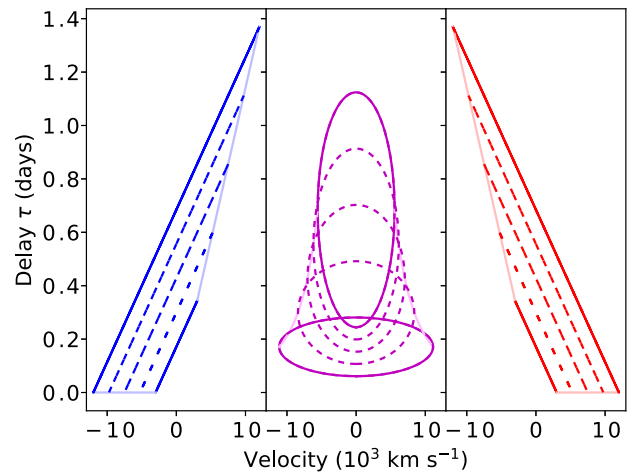


Figure 3. Outline transfer functions for Hubble-type spherical outflow (left), a rotating Keplerian disc viewed at a 20° angle (centre), and Hubble-type spherical inflow (right). Winds extend from $r_{\min} = 20r_g$ to $r_{\max} = 200r_g$ for an AGN of mass $10^7 M_\odot$. Hubble out/inflows have $V(r_{\min}) = \pm 3 \times 10^3 \text{ km s}^{-1}$. Solid lines denote the response from the inner and outer edges of the winds, dotted lines from evenly spaced shells within the wind. Pale lines describe the edge of the velocity-delay shape of the transfer function.

make it difficult to identify even basic reverberation signatures with particular kinematics.

2.2 Predicting reverberation signatures with self-consistent ionization and radiative transfer

The ionization state of the BLR depends primarily on its density structure, as well as on the luminosity and spectral shape of the ionizing continuum. In an outflowing biconical disc wind, the ionization structure is naturally stratified, which is in line with observations (Onken et al. 2004; Kollatschny & Zetzl 2013; Kollatschny et al. 2014).

For a given BLR ionization state, the emission line formation process involves the generation of line photons within the BLR and their radiative transfer through it. In order to reliably predict the strengths, shapes and reverberation signatures of BELs, it is necessary to deal correctly with radiative transfer effects and solve self-consistently for the ionization state of the BLR.

Table 1. Model parameters.

Parameter	Symbol, units	Seyfert	QSO
SMBH mass	M_{BH}, M_{\odot}	10^7	10^9
Accretion rate	$\dot{M}_{\text{acc}}, M_{\odot} \text{ yr}^{-1}$	0.02	5
	$\dot{m}_{\text{acc}}, \dot{M}_{\text{Edd}}$	≈ 0.1	≈ 0.2
X-ray power-law index	α_X	-0.9	-0.9
X-ray luminosity	$L_X, \text{ erg s}^{-1}$	10^{43}	10^{45}
X-ray source radius	r_X, r_g	6	6
	$r_X, \text{ cm}$	8.8×10^{12}	8.8×10^{14}
Accretion disc radii	$r_{\text{disc}}(\text{min})$	r_X	r_X
	$r_{\text{disc}}(\text{max}), r_g$	3400	3400
	$r_{\text{disc}}(\text{max}), \text{ cm}$	5×10^{15}	5×10^{17}
Wind outflow rate	$\dot{M}_w, M_{\odot} \text{ yr}^{-1}$	0.02	5
Wind launch radii	r_{min}, r_g	300	300
	$r_{\text{max}}, \text{ cm}$	4.4×10^{14}	4.4×10^{16}
	r_{min}, r_g	600	600
	$r_{\text{max}}, \text{ cm}$	8.8×10^{14}	8.8×10^{16}
Wind launch angles	θ_{min}	70°	70°
	θ_{max}	82°	82°
Terminal velocity	$v_{\infty}(r_0)$	$v_{\text{esc}}(r_0)$	$v_{\text{esc}}(r_0)$
Acceleration length	$R_v, \text{ cm}$	10^{16}	10^{19}
Acceleration index	α	1.0	0.5
Filling factor	f_V	0.01	0.01
Viewing angle	i	20°	20°
Number of photons		2×10^9	3×10^9

In our work, we accomplish this by using a modified version of our Monte Carlo radiative transfer and ionization code `PYTHON` (Long & Knigge 2002), which was developed specifically for modelling the spectra of accretion disc winds. `PYTHON` is a useful tool for reverberation modelling, since a given outflow geometry can be tested not just against the response function of a single line, but also against the full predicted spectrum. The code has already been described several times in the literature (Long & Knigge 2002; Sim, Drew & Long 2005; Noebauer et al. 2010; Higginbottom et al. 2013, 2014; Matthews et al. 2015, 2016), so we only provide a brief overview here, focusing on the modifications we have made in order to predict reverberation signatures. A full description of the method, including tests against existing reverberation modelling, and an exploration of radiative transfer effects in RM will be presented elsewhere.

`PYTHON` generates synthetic spectra for astrophysical systems in which an outflow with given geometry, kinematics and density structure reprocesses the radiation generated by one or more continuum sources. Our AGN wind models are based on a kinematic (i.e. parametrized) description of a rotating biconical outflow emanating from the surface of the accretion disc (Shlosman & Vitello 1993, Fig. 2). The same description was used by Higginbottom et al. (2013) and Matthews et al. (2016, hereafter M16) to predict the spectroscopic signatures of disc winds in QSOs. The geometry and kinematics of the wind are determined by a set of parameters (fully detailed in Table 1). Our high-luminosity (QSO) model is identical to that developed in M16, while our lower luminosity (Seyfert) model is a simple scaled-down version of this. These models are described further in Section 3. All of our radiative transfer and ionization calculations are performed on a cylindrically symmetric grid imposed on the wind. The relevant continuum sources are taken to be a geometrically thin, optically thick accretion disc (which dominates the UV and optical light) and a compact, optically thin corona (which dominates the X-ray emission).

The calculation of synthetic spectra with `PYTHON` proceeds in two steps. In the first step, the code generates ‘bundles’ of continuum

photons across the full frequency range, tracks their interactions and iterates towards thermal and ionization equilibrium throughout the wind. In the second step, the converged state of the wind is kept fixed, photons are generated only across a limited frequency range and emergent spectra are predicted for a set of user-defined observer orientations.

In our models, reverberation delays are associated solely with photon light traveltimes. This is in line with other RM studies (Welsh & Horne 1991; Waters et al. 2016) and can be justified on the basis that the recombination time-scales at typical BLR densities (minutes to hours) are much shorter than light traveltimes across the BLR (days to weeks).

For the purpose of this study, we have modified `PYTHON` to track the distances travelled by photons that contribute to the observed line emission. This is relatively straightforward, since `PYTHON` is a Monte Carlo code that already explicitly follows photons as they make their way through the outflow.

The use of a full ionization and radiative transfer code offers two significant advantages in predicting reverberation signatures. First, the dependence of line emissivity on position within the BLR is calculated self-consistently, based on the density, ionization and thermal structure of the outflow. Secondly, radiative transfer effects are self-consistently taken into account. For example, a continuum (e.g. disc) photon that scatters many times before reaching a particular location in the BLR would have travelled a significantly longer distance than one that reaches the same location without undergoing any scatter. BLR locations that ‘see’ primarily scattered/reprocessed continuum photons will therefore respond to continuum variations with a longer delay than expected solely based on geometry. A benefit of this approach is that we can isolate the contributions of singly or multiply scattered photons to the overall reverberation signature.

2.3 Initializing and updating photon path lengths

In line with essentially all RM models to date, we assume that all of the correlated line and continuum variability we observe have its origin in the immediate vicinity of the central BH. The time-dependent signal produced there is then reprocessed by other components in the system, such as the accretion disc and the BLR.

For the continuum photons produced by the accretion disc, we implement this picture by assigning to each an initial path length equal to its starting distance from the origin of the model, i.e. $r_{\text{disc}}(\text{min})$ from Table 1 for photons emitted at the inner edge of the disc. For our QSO model, the UV emission of the accretion disc is concentrated within a radius several times smaller than the wind launch radius, whilst optical emission is concentrated within the radius of the wind base. Both of these lie within the radius within which the accretion disc temperature profile would be expected to be dominated by the central source luminosity (King 1997) and therefore would lag behind changes in the central source continuum. Photons produced by the X-ray emitting corona are assigned initial path lengths equal to $r_X = r_{\text{disc}}(\text{min})$, i.e. we assume that the corona varies coherently. As the corona is compact in comparison to the wind (at least a factor of 50 smaller), this is a reasonable approximation. The light traveltime across the corona $2R_{\text{rx}}/c$ is ≈ 10 min for the Seyfert model and ≈ 16 h for the QSO model.

`PYTHON` enforces thermal equilibrium throughout the outflow, i.e. the heating and cooling rates are always in balance (in a converged model). Except for cooling due to adiabatic expansion, the wind is also assumed to be in radiative equilibrium, i.e. photon absorption and emission provide the only channels for net energy flows

into and out of a given grid cell. The effective initial path lengths of photons representing thermal wind emission must therefore reflect the path length distribution of the absorbed photons that were responsible for heating the wind. In order to ensure this, `PYTHON` keeps track of the path length distribution of photons depositing energy into each cell. When a ‘bundle’ of photons with a given wavelength passes through a cell, its total energy is reduced in proportion to the optical depth it encounters along its path. We record its path, and the bundle’s total energy, in the cell. When a line photon is thermally emitted within a given grid cell, it is then assigned an initial path length drawn from the distribution of paths contributing to heating weighted by the energy contribution at each path. We refer to this as the thermal approach to path length initialization.

Most of the strong metal lines seen in AGNs – e.g. N V 1240 Å, Si IV 1440 Å, C IV 1550 Å – are collisionally excited. In `PYTHON`, the formation of these lines is treated via a simple two-level atom approach (Long & Knigge 2002; Higginbottom et al. 2013). This works particularly well for resonance lines (such as all those listed above), in which the lower level is the ground state of the ion. Since collisional excitation is a thermal process, we use the thermal approach to path length initialization for all photons emitted in this way. Observations of these lines will also include a smaller contribution from continuum photons scattered into the line. These photons’ path lengths are calculated purely on the basis of their origin and their travel through the winds.

The two-level atom approximation is not appropriate for lines in which the upper level either does not couple strongly to the ground state, or is primarily populated from above. The most important example of such lines is the hydrogen and helium recombination lines that are prominent in the UV and optical spectra of AGNs. To model such features more accurately, one or both of these elements can be treated via Lucy’s (Lucy 2002, 2003) *macroatom* approach within `PYTHON` (Sim et al. 2005; Matthews et al. 2015; M16). In this approach, an H or He macroatom can be ‘activated’ by a photon packet travelling through a wind cell, then undergoes a number of internal energy level ‘jumps’, and finally ‘de-activates’ via the emission of a line or continuum photon. In order to predict the reverberation signature of a given H or He recombination line, the code keeps track of all macroatom de-activations associated with this line in a given cell. After each de-activation, the path length travelled by the photon that activated the macroatom is stored, gradually building up a distribution. When the same cell emits a photon associated with this recombination line, the initial path length is then drawn from this distribution. This approach is appropriate if photoionizations are quickly followed by radiative recombinations in the BLR. We therefore refer to this as the prompt recombination approach to path length initialization.

Our simulations allow for clumpiness in the wind within the ‘microclumping’ approximation (e.g. Hillier 1991; Hamann & Koesterke 1998; M16). Thus, we assume that the outflow, though smooth on a bulk scale, comprises many very small, optically thin clumps. These clumps enhance physical processes with a density-squared dependence. However, there is no effect on the photon paths due to microclumping compared to a fully smooth wind flow. Since each clump is optically thin at all wavelengths in this approximation, it is characterized by a unique set of physical conditions (ionization state, temperature, electron density...). Thus, like fully smooth flows, microclumped flows are stratified only on large scales. This is a fundamental difference to BLR models based on optically thick clouds, such as LOC models (Baldwin et al. 1995; Ferguson et al. 1997).

2.4 Generating response functions

The response function, $\Psi_R(v, \tau)$, describes the time- and velocity-dependent responses of an emission line to a continuum pulse. The contribution of a particular parcel of BLR gas to the overall response depends primarily on three factors: (i) its distance from the continuum source (which sets the characteristic delay with which it responds); (ii) its line-of-sight velocity (which sets the velocity at which we observe its response); (iii) its *responsivity* (which sets the strength of its response).

The responsivity is the hardest of these factors to calculate. It is a measure of the number of *extra* line photons that are created when a given *extra* number of continuum photons are produced. Note that this is different from the *emissivity* of the parcel, which describes the efficiency with which it reprocesses continuum into line photons in a steady state. To see this more clearly, consider a parcel with line-of-sight velocity v and characteristic delay τ , which produces a line flux $L(v, \tau)$ when subjected to a steady continuum flux C . The reprocessing efficiency of this parcel can be defined simply as $\epsilon(v, \tau) = L(v, \tau)/C$. However, its responsivity measures how the line flux *changes* when the continuum does.

In the limit of small continuum variations, the overall response of the parcel is fully characterized by the partial derivative of the line flux it produces, $L(v, \tau)$, with respect to the continuum flux, C . If we adopt a power-law approximation for the dependence of $L(v, \tau)$ on C ,

$$L(v, \tau) = L_0(v, \tau) \left(\frac{C}{C_0} \right)^\eta, \quad (3)$$

we can evaluate this partial derivative and write the response function at $C = C_0$ in the form

$$\Psi_R(v, \tau) = \left. \frac{\partial L(v, \tau)}{\partial C} \right|_{C=C_0} = \eta \frac{L_0(v, \tau)}{C_0} = \eta \epsilon_0(v, \tau). \quad (4)$$

The response function is therefore the product of reprocessing efficiency, $\epsilon_0(v, \tau)$, and a dimensionless responsivity, η .

In the limit that η is constant throughout the BLR, the response function is just a scaled version of $\epsilon_0(v, \tau)$. We will refer to a response function calculated under the assumption that $\eta \equiv 1$ as an *emissivity-weighted response function* (EWRf, originally defined as such in Goad, O’Brien & Gondhalekar 1993), $\Psi_E(v, \tau)$, i.e.

$$\Psi_E(v, \tau) = \epsilon(v, \tau) = \frac{L(v, \tau)}{C}. \quad (5)$$

However, in general, the assumption that $\eta = \text{constant}$ across the BLR is poor (e.g. Goad, O’Brien & Gondhalekar 1993; O’Brien, Goad & Gondhalekar 1995; Korista & Goad 2004; Goad & Korista 2014), i.e. $\eta = \eta(v, \tau)$. For example, a parcel of gas might be very efficient at reprocessing continuum into line photons, but may respond to a pulse of additional continuum photons by producing *fewer* line photons, either in relative or perhaps even in absolute terms. This is possible, for example, because its ionization state may have changed as a result of the pulse. Such a parcel will have a high emissivity (high reprocessing efficiency), but low (or even negative) responsivity.

Despite this, the EWRf provides a useful approximation for, and stepping stone towards, the response function proper. In our case, an EWRf can be calculated from a single run of `PYTHON`, simply by keeping track of the delay associated with each photon arriving at the observer. For example, given a simulation for continuum luminosity C_0 , we bin all photons from the simulation which are associated with a given line in time delay and velocity space, yielding the 2D EWRf for the line in that system.

In order to estimate the *true* (responsivity-weighted) response function, we then calculate two more EWRFs, for continuum luminosities $C_{\text{low}} < C_0 < C_{\text{high}}$, where $\Delta C = C_{\text{high}} - C_{\text{low}} \ll C_0$. In this limit, the line response is approximately linear, i.e. η does not depend on C . This allows us to estimate the response function from the high- and low-state EWRFs as

$$\Psi_R(v, \tau) = \left. \frac{\partial L(v, \tau)}{\partial C} \right|_{C=C_0} \simeq \frac{\Delta L(v, \tau)}{\Delta C} \quad (6)$$

$$\simeq \frac{L_{\text{high}} - L_{\text{low}}}{C_{\text{high}} - C_{\text{low}}} \quad (7)$$

$$\simeq \frac{C_{\text{high}} \Psi_{E,\text{high}}(v, \tau) - C_{\text{low}} \Psi_{E,\text{low}}(v, \tau)}{C_{\text{high}} - C_{\text{low}}}. \quad (8)$$

For the response function calculations in this work, we use $\Delta C/C_0 = 0.1$. This is large enough to be astrophysically interesting, but small enough to ensure our models have an approximately linear response to changes in the continuum level.

3 RESULTS: THE REVERBERATION SIGNATURES OF ROTATING ACCRETION DISC WINDS

We are now ready to study the predicted reverberation signatures of our simple disc-wind scenario for the BLR. The basic geometry of our model is shown in Fig. 2 and describes a biconical outflow emerging from the surface of the accretion disc around the supermassive black hole (SMBH). The outflow gradually accelerates away from the disc plane, with each streamline reaching a terminal velocity on the order of the local escape speed at the streamline's footpoint on the disc surface. Similarly, material in the outflow initially shares the (assumed Keplerian) rotational velocity in the accretion disc, and then conserves its specific angular momentum as it rises above the disc and moves away from the rotation axis. Much more detailed descriptions of this basic disc-wind model, including the importance of clumping within the wind, can be found in Shlosman & Vitello (1993), Long & Knigge (2002), Higginbottom et al. (2013), Matthews et al. (2015) and M16.

To systematically study the reverberation signatures predicted by this model for the BLR, we need to distinguish between at least two types of AGNs and two types of emission lines. First, the highest luminosity AGNs – i.e. quasars/QSOs – are known to have significantly longer characteristic reverberation lags than lower luminosity AGNs – i.e. Seyfert galaxies (Kaspi et al. 2005; Grier et al. 2012b). Secondly, low-ionization and high-ionization lines are also known to display significantly different reverberation lags (e.g. Kaspi & Netzer 1999; Grier et al. 2012a). Both of these effects are qualitatively consistent with the idea that the physical conditions within the BLR are set by photoionization physics (Bentz et al. 2009). As discussed in the previous section, strictly speaking we should also distinguish between collisionally excited and recombination lines in this context.

To cover this parameter space, we explicitly consider two distinct AGN models: one representative of high-luminosity QSOs, the other representative of lower luminosity Seyferts. The physical characteristics we adopt for these models are listed in Table 1. Moreover, for each model, we present results for two types of lines: one high-ionization, collisionally excited metal line ($\text{C IV } 1550 \text{ \AA}$) and one low-ionization recombination line ($\text{H}\alpha$). $\text{H}\alpha$ was chosen due to the strong $\text{H}\alpha$ response in our model allowing for clearer response function plots than $\text{H}\beta$, though both lines are commonly used in RM studies.

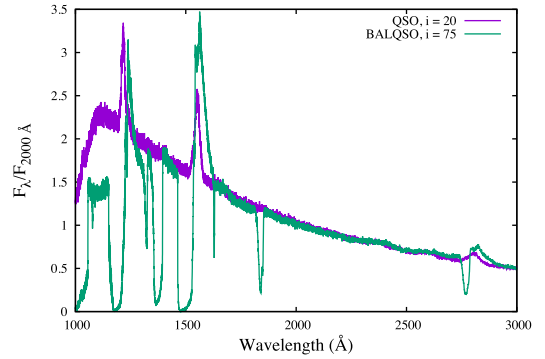


Figure 4. Spectra produced for the disc-wind model of M16, viewed along QSO ($i = 20^\circ$) and BALQSO ($i = 75^\circ$) sightlines. Both spectra have been normalized to unity at 2000 \AA .

3.1 A high-luminosity QSO

The key parameters of our representative QSO disc-wind model are summarized in Table 1. It is essentially identical to the model described in M16, which was in turn based on the model described by Higginbottom et al. (2013). The M16 model is an attempt to test disc-wind-based geometric unification for BALQSOs and ‘normal’ (non-BAL) QSOs. It produces BALs for sightlines that look directly into the wind cone and BELs for sightlines that do not. It is also broadly consistent with the observed X-ray properties of both QSOs and BALQSOs.

Fig. 4 shows the UV and optical spectra predicted by this model for two representative sightlines. The first corresponds to an inclination of $i = 75^\circ$, where $i = 90^\circ$ corresponds to an edge-on view of the system. An observer at this orientation necessarily views the central engine through the accretion disc wind and therefore sees a BALQSO. The second sightline corresponds to $i = 20^\circ$ and allows a direct view of the inner accretion disc. An observer at this orientation should therefore see the system as an ordinary Type I QSO. The spectra in Fig. 4 are consistent with this. The predicted UV spectrum for $i = 75^\circ$ exhibits strong blueshifted BALs and P Cygni features, whereas the spectra for $i = 20^\circ$ only show BELs superposed on a blue continuum. Since absorption features are necessarily formed along the line of sight to the continuum source, BAL troughs respond to continuum variations without any light travel time delays. Our focus here is therefore on the BEL reverberation signatures in our $i = 20^\circ$ Type I QSO model.

Figs 5 and 6 show the 2D EWRFs and response functions we predict for the C IV and $\text{H}\alpha$ lines in the $i = 20^\circ$ QSO model. Figs 7 and 8 show the distribution of the C IV and H I ionization fractions and line luminosities within the outflow.

As shown in the upper panels of Figs 5 and 6, the EWRFs at short delays generally lie within the $v \propto \tau^{-1/2}$ envelope expected for a virialized flow dominated by Keplerian rotation, $v \propto R^{-1/2} \propto (c\tau)^{-1/2}$. The outflow kinematics of our models are only marginally apparent and only at the longest delays. In this regime, the EWRFs, particular that for C IV , show a weak diagonal ‘blue-leads-red’ structure. However, the strongest overall feature is found at the shortest delays ($\tau \lesssim 50 \text{ d}$) and positive velocities ($v \simeq 2000 \text{ km s}^{-1}$). Partly because of this, the EWRf in the observationally relevant regime $\tau \lesssim 200 \text{ d}$ appears to have a diagonal slant towards short delays and positive velocities, which could easily be (mis)interpreted as an inflow signature.

In addition, whilst the two EWRFs are broadly similar, the C IV function is clearly narrower in the velocity space. This reflects the

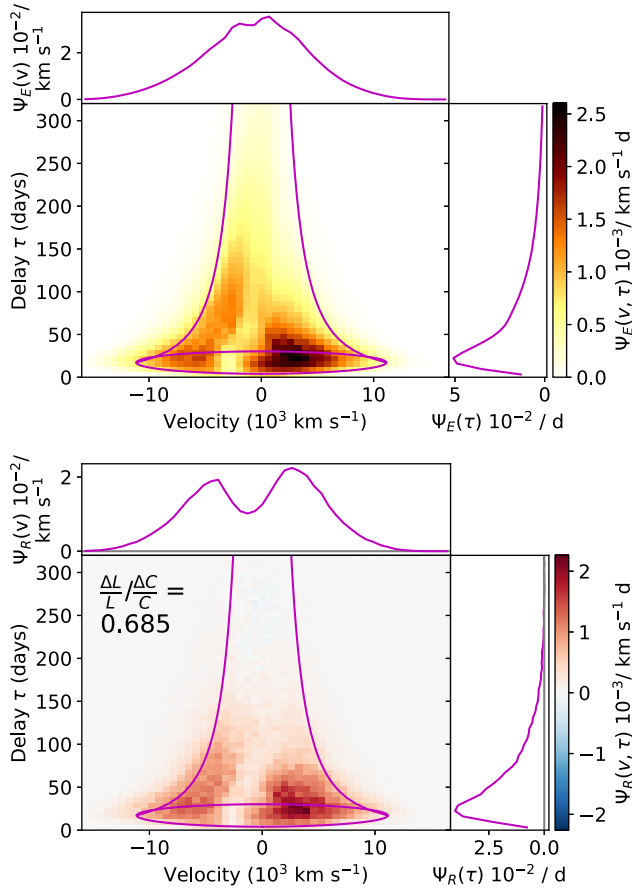


Figure 5. The velocity-resolved EWRf (upper) and response function (lower) for $H\alpha$ in the QSO model, shown for time delays up to 320 d. The violet lines illustrate the function edges for a Keplerian disc at the wind launching radius, and the $v \propto \tau^{-1/2}$ ‘virial flow’ envelope. Note that the velocity-integrated line response is weakly *negative* at low velocities beyond $\tau \gtrsim 220$ d.

difference in the respective line-forming regions, as shown in Fig. 8: $H\alpha$ is concentrated in the Keplerian-dominated wind base, whilst $C\text{ IV}$ has a substantial contribution from the extended outflow (as well as a contribution from a thin layer near the inner edge of the wind).

Broadly speaking, the *response* functions look similar to the EWRfs, but there are some important differences. For example, the response functions for the two lines differ more than their EWRf counterparts, with the long delay component being far stronger in $C\text{ IV}$ than in $H\alpha$. Thus, even though the $C\text{ IV}$ emission is quite concentrated towards short delays, the *response* of the line is more evenly spread across a range of delays. Conversely, we see a weak *negative* response at long delays in $H\alpha$. This happens because an increase in continuum luminosity overionizes the extended wind and pushes the line-forming region (seen in Fig. 8) down towards the denser wind base. The potential to generate negative responses is an important feature of smooth/microclumped disc-wind models. As we shall see in Section 3.2, it can have a very significant effect on the overall reverberation signature.

3.2 A moderate luminosity Seyfert galaxy

Since the most extensive RM campaigns to date have been carried out on nearby Seyfert galaxies, rather than on QSOs, it is

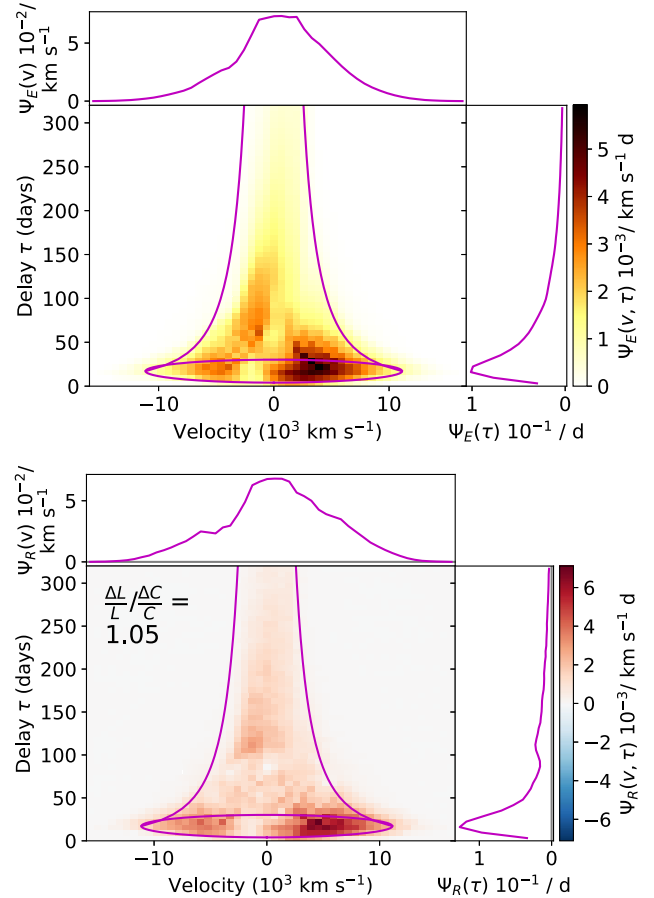


Figure 6. The velocity-resolved EWRf (upper) and response function (lower) for $C\text{ IV}$ in the QSO model, shown for time delays up to 320 d. The violet lines illustrate the function edges for a Keplerian disc at the wind launching radius, and the $v \propto \tau^{-1/2}$ ‘virial flow’ envelope.

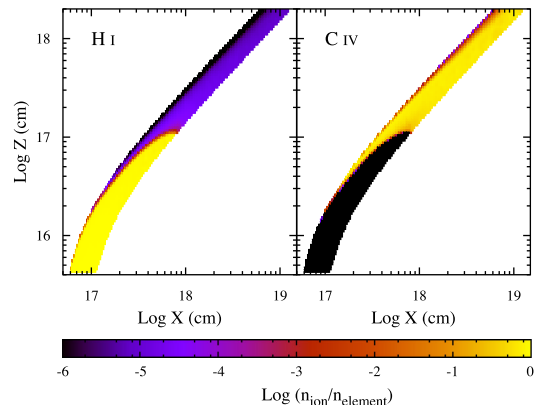


Figure 7. The $H\text{ I}$ and $C\text{ IV}$ ionization fractions within the wind in the QSO model.

important to ask what the reverberation signature of a rotating disc-wind BLR would be in this setting. We can attempt to answer this question by down-scaling down the QSO model developed by M16. In principle, there are many ways to carry out such a scaling, since essentially all system and outflow parameters may be mass- and luminosity-dependent. As a first step in this direction, here we take the simplest possible approach and scale the wind launching radii, wind acceleration length and X-ray luminosity in the model in

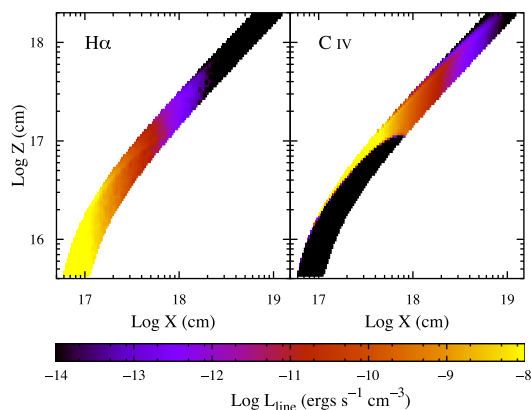


Figure 8. The line emissivity distribution for the H α and C IV lines in the QSO model.

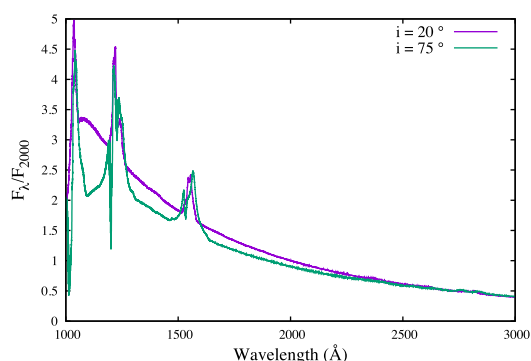


Figure 9. Spectra for Seyfert model along above wind $i = 20^\circ$ and through-wind (BAL-equivalent in QSO model) $i = 75^\circ$ sightlines, for comparison to Fig. 4, normalized to flux at 2000 Å. Only very faint BAL features are apparent for BALQSO-like sightlines.

proportion with the adopted BH mass ($10^7 M_\odot$), as suggested by Sim et al. (2010).

In order to make our Seyfert model at least somewhat specific, we also adopt $\dot{M}_{\text{acc}} = 0.02 M_\odot \text{ yr}^{-1}$, based on the inferred accretion rate of NGC 5548 (Woo & Urry 2002; Chiang & Blaes 2003). We then set $\dot{M}_w = \dot{M}_{\text{acc}}$, as in the QSO model, and the basic geometry and kinematics of the outflow are also kept exactly the same as for the QSO case. The overall set of parameters describing our Seyfert model is listed in Table 1. Even though this model should not be construed as an attempt to *fit* observations of NGC 5548, it is instructive as a point of comparison for RM campaigns on this systems, such as that recently carried out by the AGN Storm collaboration (De Rosa et al. 2015; Edelson et al. 2015; Kriss & Agn Storm Team 2015).

Fig. 9 shows the UV and optical spectra predicted by our NGC 5548-like Seyfert I model for the same two sightlines as for our QSO model. In this case, even the sightline looking directly into the outflow does *not* produce a strong BAL signature in the UV resonance lines. This is interesting: to the best of our knowledge, there are no Type I Seyfert galaxies that exhibit permanent BALs, and only two that have shown bona fide transient BAL features. One of these is, in fact, NGC 5548 (Kaastra et al. 2014), the other being WFPS 007 (Leighly 2009). Additionally, in both of those cases the BALs appeared superposed on the overall BEL features without dipping significantly below the local continuum level. Thus, empirically, BALs are rare in Seyfert galaxies compared to QSOs.

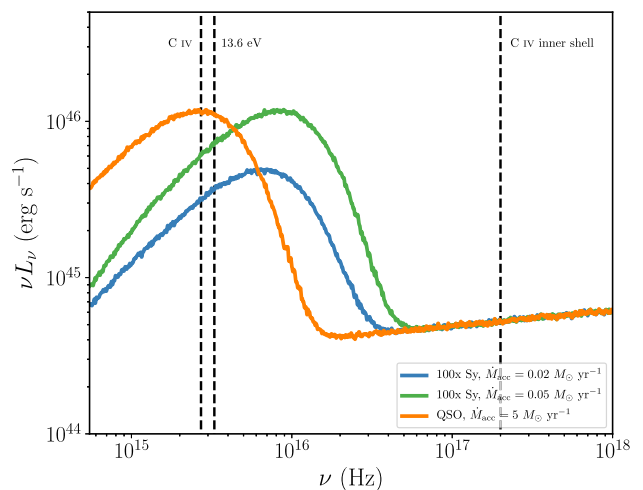


Figure 10. The SEDs for Seyfert and QSO models, including the contributions of both disc and corona. Our standard Seyfert model has an Eddington-normalized accretion rate of $\dot{m}_{\text{acc}} \simeq 0.1$, but we also show here an additional Seyfert model in which the Eddington fraction is identical to the QSO model, $\dot{m}_{\text{acc}} \simeq 0.2$. The SEDs for both Seyfert models are multiplied by a factor of 100, so they can be shown on the same scale as that for the QSO model. The locations of the H I (13.6 eV), C IV and C IV inner shell ionization edges are marked.

Intriguingly, our result here suggests that the BLR in Seyferts may nevertheless be an outflow, even one with the same overall geometry as those responsible for the BALs in QSOs.

Physically, the reason for the weakness of BAL features in the Seyfert model is related to the ionization structure of the outflow. In this type of model, the more distant parts of the outflow which are responsible for producing BALs in the QSO model are overionized (relative to the ionization stages associated with BAL transitions). The optical depth can therefore be insufficient for the production of strong, broad absorption features in these transitions, even for sightlines that look directly into the outflow cone. This overionization arises because Seyferts preferentially harbour BHs at the lower end of the mass range for AGNs. As a result, their accretion discs extend to much smaller radii and reach much higher maximum temperatures. This leads to the spectral energy distribution (SED) being noticeably harder in comparison to QSOs, as seen in Fig. 10. The tendency of AGNs with lower mass BHs to produce more ionized winds has also been seen in hydrodynamic models of line-driven winds and reduces the efficiency of this driving mechanism (Proga & Kallman 2004; Proga 2005). This effect might explain the relative dearth of UV BAL features in Seyferts, as well as the occurrence of highly blueshifted and highly ionized X-ray lines (Tombesi et al. 2010; Pounds 2014; Reeves et al. 2016).

Figs 11 and 12 show the predicted 2D EWRFs and response functions for H α and C IV for our NGC 5548-like model Seyfert I model. To aid interpretation, we also once again show the distribution of the H I and C IV ionization fractions and line luminosities within the outflow (Figs 13 and 14).

The EWRFs in Figs 11 and 12 show reverberation signatures roughly similar to those of QSO models. However, the characteristic delay time-scales are much shorter, as would be expected from the much smaller wind launch radius (1/100th that of the QSO model). This trend is also observed empirically (Bentz et al. 2013; also see Section 4.1 below). There are also other differences from the QSO EWRFs. Perhaps most notably, the velocity ranges in which C IV and H α are preferentially found have switched: H α now appears at

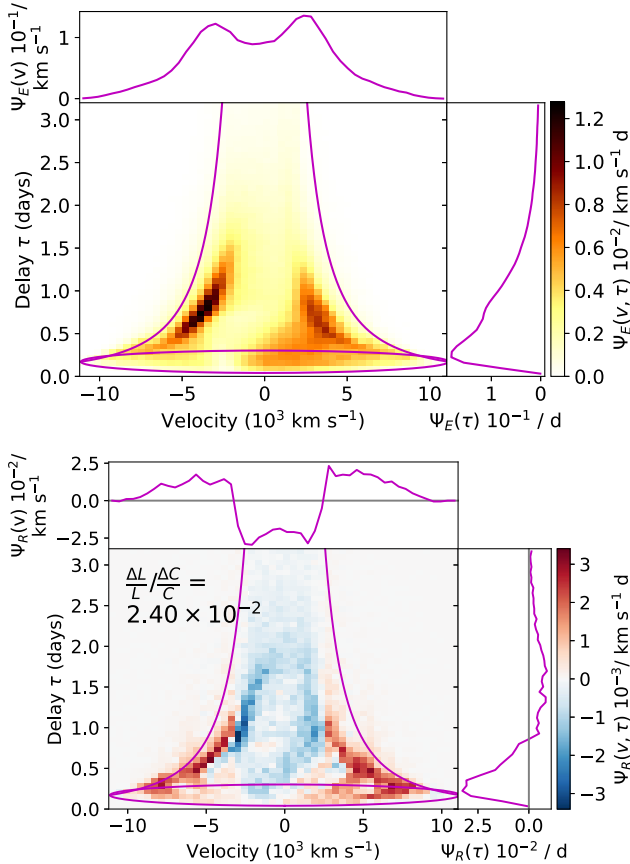


Figure 11. The velocity-resolved EWRf (upper) and response function (lower) for $H\alpha$ in the Seyfert model, shown for time delays up to 3.2 d. The violet lines illustrate the function edges for a Keplerian disc at the wind launching radius, and the $v \propto \tau^{-1/2}$ ‘virial flow’ envelope.

lower characteristic velocities than $C\text{IV}$. The reason for this is clear from Fig. 13. The inner edge of the disc wind in these models is more strongly ionized in the Seyfert model compared to the QSOs one. This pushes the $H\alpha$ emission back into the dense, heavily shielded back of the wind. Meanwhile, $C\text{IV}$ emission is concentrated in a thin ionization front near the inner edge of the flow and along the transition region between the dense, quasi-Keplerian base and the fast wind. The outflow-dominated distant regions of the flow are strongly overionized, so there are no obvious diagonal outflow signatures in Figs 5 and 6, even at long delays.

The *response* functions for the Seyfert model are even more interesting. Most importantly, both $H\alpha$ and $C\text{IV}$ exhibit strong *negative* responses in the low-velocity regime ($|v| \lesssim 5000 \text{ km s}^{-1}$). In the case of $H\alpha$, this negative response contribution is strong enough to render even the integrated line response negative. Unlike in LOC-type models, negative responsivities can arise naturally in smooth/microclumped models. A simplistic explanation for this behaviour is that, as the continuum luminosity increases or decreases, any given line-forming region tends to move outwards or inwards. Parts of the wind that shift *out of* the line-forming region when the continuum increases will exhibit negative responsivities. In practice, the line-forming region is, of course, not always so sharply delineated.

To illustrate this, Fig. 15 shows the local responsivities in the wind. The $C\text{IV}$ line provides a particularly simple example, since here the emission is concentrated near a thin ionization front that shifts backwards through the wind with increasing luminosity. In

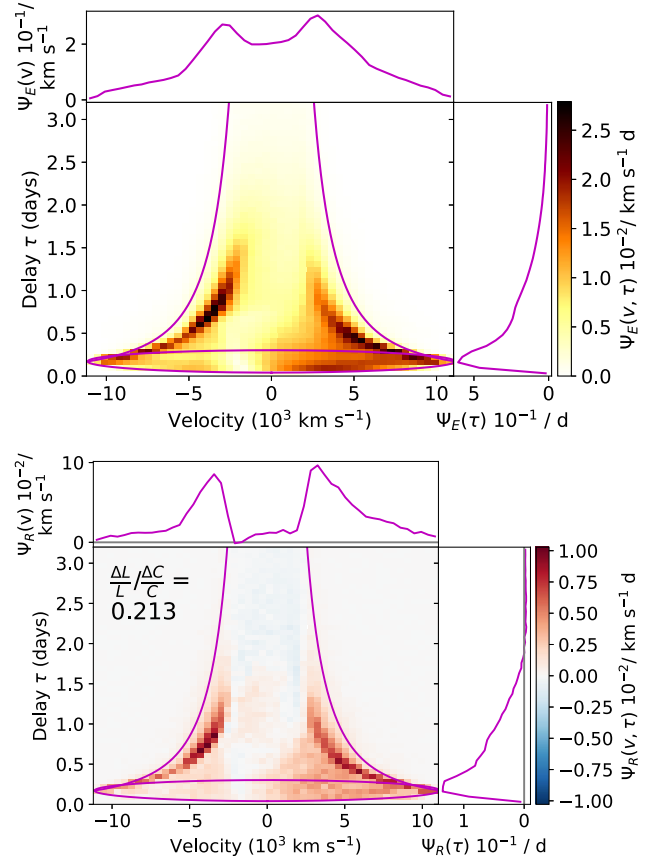


Figure 12. The velocity-resolved EWRf (upper) and response function (lower) for $C\text{IV}$ in the Seyfert model, shown for time delays up to 3.2 d. The violet lines illustrate the function edges for a Keplerian disc at the wind launching radius, and the $v \propto \tau^{-1/2}$ ‘virial flow’ envelope.

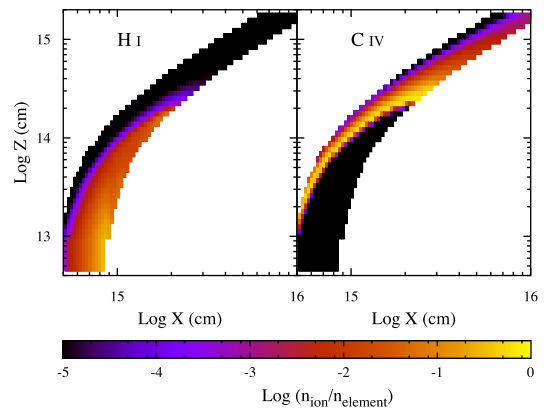


Figure 13. The $H\text{I}$ and $C\text{IV}$ ionization fractions within the wind in the Seyfert model. This graph shows a smaller region of the wind than Fig. 7 as the outflow is overionized in the Seyfert model and does not show emission in common RM lines.

this case, the regions of peak emission all exhibit positive responsivities of the order of $\eta \simeq 1$. By contrast, there is no clear ionization front for $H\alpha$, which is instead mostly produced near the outer edge of the wind. Near the disc plane, in the dense base of the wind, the responsivity remains positive, corresponding to the positive response seen at high velocities in Fig. 11. However, the responsivity is mostly negative everywhere else. In these regions, the increased

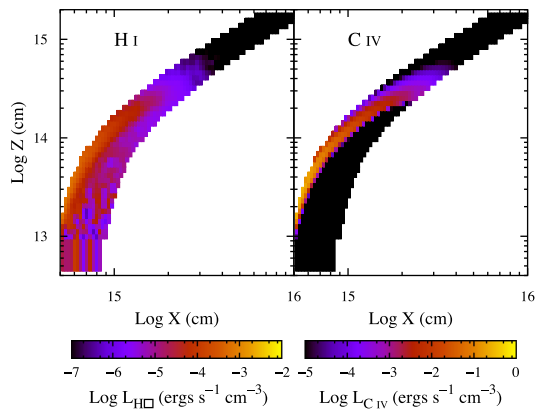


Figure 14. The line emissivity distribution for the H α and C IV lines in the Seyfert model. This graph shows a smaller region of the wind than Fig. 8 as the outflow is overionized in the Seyfert model and does not show emission in common RM lines.

continuum luminosity has changed the thermal and ionization state in such a way as to *reduce* the number of photons that are produced.

Seyfert galaxies have long been attractive targets for RM campaigns, thanks to their short characteristic delays. Our results suggest that such campaigns may be able to test models such as ours, but considerable care needs to be taken in interpreting the observational data. Most importantly, attempts to reconstruct the response function from such data sets need to allow for the possibility of *negative* emission line responses in parts of the velocity-delay plane. Moreover, it is *not* safe to assume that all rotating disc winds will produce response functions with a diagonal structure running from negative velocities and short delays to positive velocities and long delays. Such a structure is commonly thought of as the smoking-gun of an outflow (Denney et al. 2009), but our disc-wind models do not produce this.

4 DISCUSSION

In the previous section, we have presented the reverberation signatures predicted by smooth/microclumped rotating disc winds for representative QSO and Seyfert galaxy models. The resulting response functions are complex and differ substantially from those predicted by simple models for rotating accretion discs and quasi-spherical outflows (Welsh & Horne 1991).

Perhaps most importantly, at the short lags that dominate the overall response, our response functions do *not* usually show the ‘blue-leads-red’ diagonal structure that is often considered to be a characteristic outflow signature (cf. Fig. 3). Such a signature is really only visible in our QSO model for C IV, on time-scales of $\gtrsim 100$ d. Given the limitations of current RM campaigns, this is unlikely to be detectable in practice. Instead, the response functions for our Seyfert models are roughly symmetric, indicating that rotational kinematics dominate in the line-forming regions. This finding is in line with earlier disc-wind modelling efforts (CM96; Kashi et al. 2013; Waters et al. 2016).

In our QSO models, the strongest response actually occurs at short lags and positive velocities. Indeed, the overall response functions for this model could easily be (mis-)interpreted as the ‘red-leads-blue’ signature of an *inflow* (see Figs 5 and 6). ‘Red-leads-blue’ reverberation signatures have, in fact, already been observed in several AGNs and interpreted as evidence for inflows (Grier et al. 2012b; Bentz et al. 2010; Gaskell 1988; Koratkar & Gaskell 1989). Based on our results, we urge caution in taking such interpretations at face value, at least in the absence of detailed kinematic and radiative transfer modelling of the data.

Another key feature of our disc-wind reverberation modelling is the prevalence for *negative* responses, particularly at low velocities and long delays. Negative responsivities are a common occurrence in smooth/microclumped flows, as an increase in the ionizing continuum shifts the line-forming region through the flow, enhancing the emissivity in some regions, but reducing it in others. This is

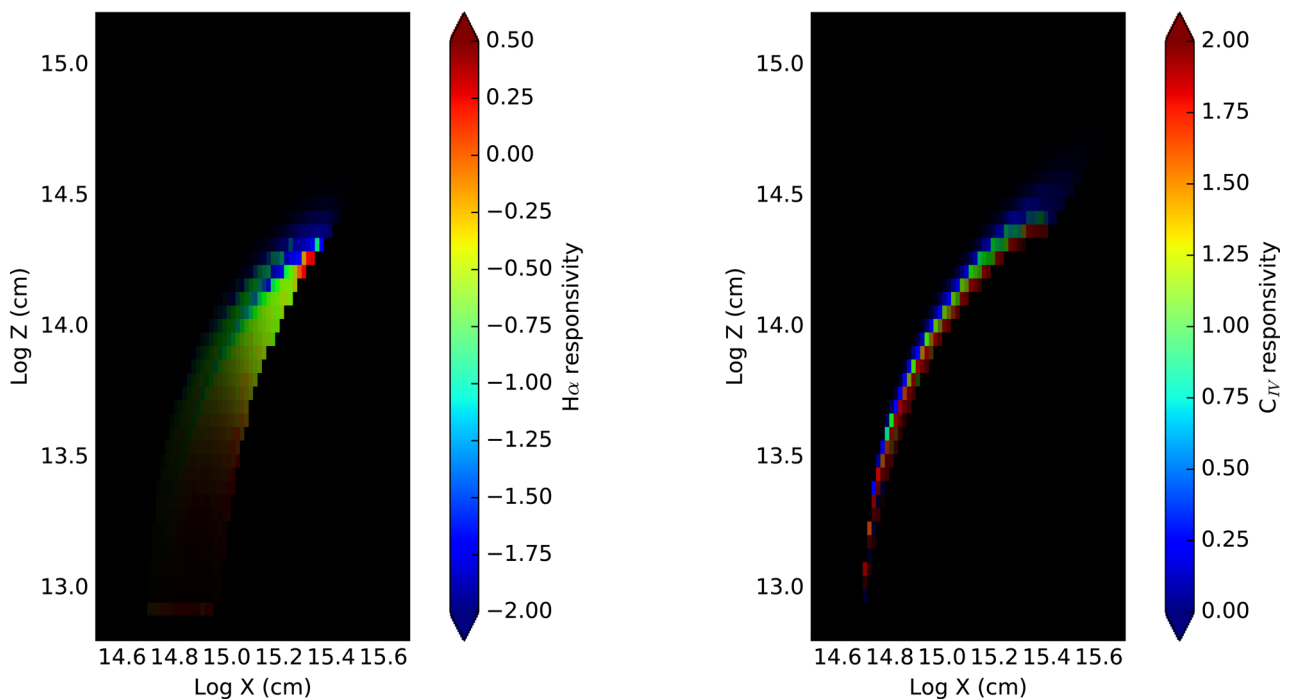


Figure 15. The local responsivity η for H α (left) and C IV (right). Colour indicates responsivity, brightness indicates local emissivity. The brightest cells on the figure are in the upper 99.9 per cent of cells for line emission; other cell brightnesses are scaled linearly to this value.

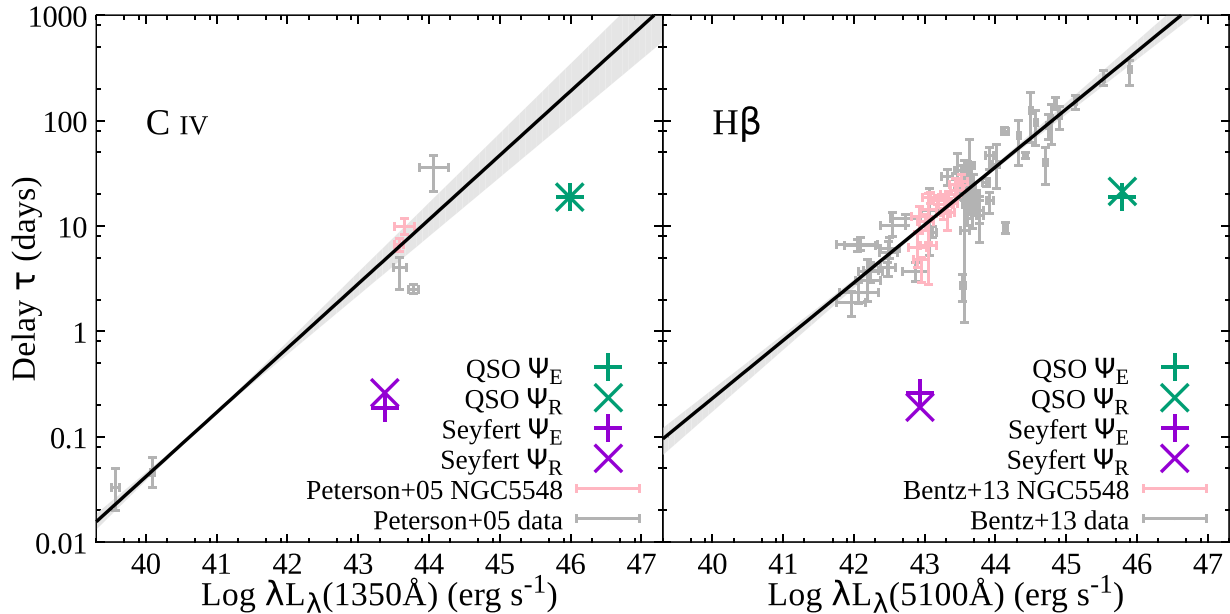


Figure 16. Luminosity-delay relationship for $H\beta$ and $C\text{ IV}$ compared to that determined observationally by Bentz et al. (2013) and Peterson et al. (2004). The line and shaded area around it are the fit and the error on the fit. The points are the values from Bentz et al. (2013) and Peterson et al. (2004) with errors, with those corresponding to NGC5548 highlighted.

similar to the way in which negative responsivities can arise in ‘pressure-law’ models containing a significant portion of optically thin clouds (Goad et al. 1993). As such, we predict that negative responses will arise in a wide range of systems, not just our specific model.

The process of inferring response functions from observational data is sensitive to negative responsivities in parts of the velocity-delay plane. At least one technique that allows for this possibility is available (Regularized Linear Inversion: Krolik & Done 1995; Skielboe et al. 2015). However, both of the two most widely used methods – maximum entropy inversion (Krolik et al. 1991; Horne, Welsh & Peterson 1991; Horne 1994; Ulrich & Horne 1996; Bentz et al. 2010; Grier 2013) and forward dynamical modelling (Pancoast et al. 2011; Waters et al. 2016) – explicitly assume that the responsivity is positive-definite. Given that negative responsivities are quite common in smooth/microclumped BLR models, this may not be a safe assumption.

We have also pointed out the weakness of BAL features in the spectra we have calculated for our Seyfert model, even for sightlines that look directly into the wind cone. This same sightline does produce strong BAL features in our QSO model. This behaviour is consistent with the observational dearth of BAL features in Seyfert galaxies. In our models, the absence of strong BALs in Seyferts is associated with the higher ionization state of their outflows. This is interesting, since it implies that disc winds might be ubiquitous in Seyfert galaxies – and even dominate the BLR emission – even though hardly any of them show the BAL features that are the smoking gun for such outflows in QSOs.

4.1 Comparison to empirical lag–luminosity relations

A detailed comparison of our predicted response functions to observations is beyond the scope of this study. However, it is instructive to ask whether the characteristic delays we predict for the $C\text{ IV}$ and $H\beta$ lines are broadly consistent with the trends that have been established empirically (Peterson et al. 2005, 2006; Bentz et al. 2013).

An important consideration here is how to calculate the appropriate characteristic delay. In observational analyses, lags are usually estimated from the cross-correlation function (CCF) of the line and continuum light curves. More specifically, a typical procedure is to quote the centroid of the CCF, calculated within some fixed distance from the peak of the CCF. As discussed in detail by Welsh & Horne (1991), the CCF is equal to the velocity-integrated 1D EWRF/response function convolved with the autocorrelation function of the continuum. Thus, the CCF is simply a blurred version of the 1D EWRF/response function. Consequently, we estimate characteristic delays for our models by calculating the centroid of the EWRF/response function within 80 per cent of its peak (cf. De Rosa 2015).¹

Fig. 16 shows the empirical lag–luminosity relations for both transitions, over a luminosity range that includes both Seyferts and QSOs. The luminosities and mean lags predicted by our models are also shown. The luminosities are those that would be inferred from the spectrum observed for this particularly sightline (i.e. $\lambda L_\lambda = 4\pi d^2 \lambda F_\lambda$). For comparison, we show delays estimated from both EWRFs and response functions for our standard Seyfert and QSO models.

Fig. 16 shows that the lags predicted by our standard Seyfert and QSO models are too small to match observations, by about an order of magnitude. The *slope* of the line connecting low- and high-luminosity models matches the data very well, however. Thus, our models reproduce the observed power-law index of the lag–luminosity relation, but not its normalization. In principle, the simplest way to reconcile models and observations would be to move the wind-launching region radially outwards in the accretion disc. In practice, this will also require other parameter changes in order

¹ It is worth noting that centroid delays calculated across the *entire* EWRF can be significantly longer, by as much as $\times 3$ or more. Moreover, the overall response of the $H\alpha$ line in our Seyfert model – integrated over both velocity and delay – is negative, so it is hard to justify any estimate of a global centroid delay for this line.

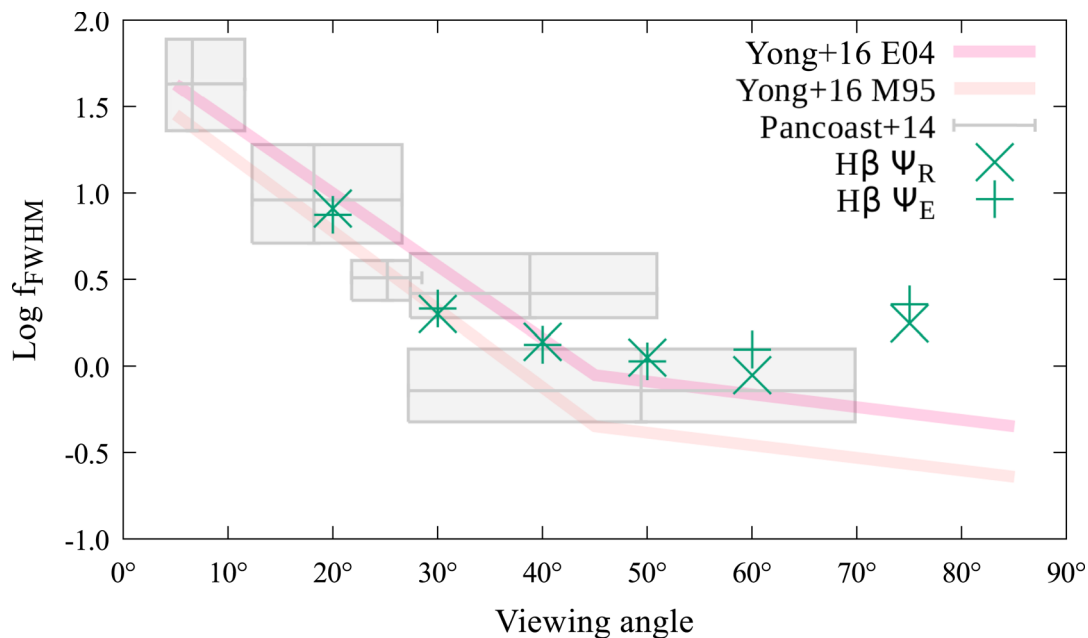


Figure 17. The virial factor (equation 9) for our QSO disc-wind model. The values listed here have been estimated from the velocity widths (FWHM) and centroid delays of the emission lines for mean emission. Observational data for four AGNs from Pancoast et al. (2014) are plotted with their error bars. Two sets of models from Yong et al. (2016) are also shown (see the text for details).

to roughly preserve the ionization state and spectral signatures of the outflow.

4.2 The inclination dependence of the virial product

As mentioned in Section 1, RM-based SMBH mass estimates rely on the determination of the so-called *virial product*, $c\tau_{\text{BLR}}v_{\text{BLR}}^2/G$. Even if the velocity field in the BLR is dominated by the gravitational field of the SMBH, the virial product is, strictly speaking, not an estimate of M_{BH} , but is only proportional to it,

$$M_{\text{BH}} = f c\tau_{\text{BLR}}v_{\text{BLR}}^2/G, \quad (9)$$

where f is the constant of proportionality (the so-called virial factor). The need to introduce f arises primarily because the BLR is unlikely to be spherically symmetric. As a result, the measured lags and velocities are both expected to depend on the observer orientation with respect to the system. In such situations, f will then also depend on the emission line that is being used, due to the ionization stratification of the BLR.

There have been several attempts to estimate a ‘typical’ or mean value for f . The derived values typically lie in the range $3 \lesssim f \lesssim 6$ (e.g. Onken et al. 2004; Woo et al. 2010; Park et al. 2012; Grier 2013; Ho & Kim 2014). More recently, Pancoast et al. (2014) carried out an inverse RM analysis for several AGNs in order to constrain the geometry and kinematics of the BLR. The inclination-dependence of the virial product – i.e. $f(i)$ – is a byproduct of their analysis and is shown in Fig. 17. We also show there the values of f predicted by Yong et al. (2016) from two types of disc-wind models in the literature (Murray et al. 1995; Elvis 2004), for two specific inclinations. All of these results are for the H β line.

For our own models, M_{BH} is an input parameter, and we can measure v_{BLR} from our predicted spectra and τ_{BLR} from our reverberation modelling. We can therefore easily calculate $f(i)$ for the models. The results for our $M_{\text{BH}} = 10^9 M_{\odot}$ QSO model are given in Table 2, where we provide our estimates of the virial factor as-

Table 2. Table of f factors against observation angles.

Angle	Log f	
	H β Ψ_R	H β Ψ_E
20°	0.9102	0.8738
30°	0.3011	0.3330
40°	0.1410	0.1239
50°	0.0509	0.0293
60°	−0.0508	0.0965
75°	0.2478	0.3573

sociated with the H β line for five viewing angles. In Fig. 17, we compare these calculations to the results of Pancoast et al. (2014) and Yong et al. (2016). Pancoast et al. (2014) model observations of AGNs with $10^6 \lesssim M_{\text{BH}} \lesssim 10^7 M_{\odot}$, whilst Yong et al. (2016) adopt $M_{\text{BH}} = 10^8 M_{\odot}$ for their models. Despite these differences in M_{BH} , we find reasonable agreement between all of these estimates, as well as with the results of (Storchi-Bergmann et al. 2017).

We do see one feature in our calculations of the virial factor that is not present in the simulations of Yong et al. (2016). At angles approaching the edge of the wind cone, f increases markedly in our models. This increase in f -factor arises as sightlines close to the disc wind see their blue wing suppressed significantly, narrowing the line and reducing the estimated v_{BLR} . Sightlines through the disc wind experience an even greater suppression of their blue wing, but this is counterbalanced by an increase in the associated delay. Real AGN disc winds are unlikely to have sharp edges, of course. In any case, there is currently no observational data at high inclinations that could be used to look for such a feature.

5 SUMMARY

RM has become an important tool for studying the structure of the BLR of AGNs. Here, we have used a Monte Carlo radiative

transfer code to calculate the reverberation signatures for rotating disc-wind models of the BLR. The QSO model presented by M16 is used to describe high-luminosity AGNs, while a scaled-down version of this model (with parameters similar to NGC 5548) is used to describe moderate-luminosity Seyferts. We present spectra, velocity-delay maps and lag–luminosity relationships for the H α and C IV emission lines for these models. Our main conclusions are as follows:

- (i) Smooth/microclumped disc-wind models of the BLR can produce *negative* responses in parts of the velocity-delay plane. This possibility needs to be taken into account when reconstructing response functions from observational data.
- (ii) The kinematics of the line-forming region tend to be rotation-dominated, rather than outflow-dominated. In some lines, and at short delays, the red wing can even lead the blue wing, a signature usually thought of as characteristic of *inflow*.
- (iii) The classic ‘blue-leads-red’ outflow signature can usually only be observed in the long-delay limit.
- (iv) The slope of the lag–luminosity relations predicted by the models is consistent with observations, but the centroid delays are too short, by about an order of magnitude.
- (v) The dependence of the ‘virial product’ on viewing angle in our models is consistent with the relationship suggested by recent observational modelling efforts.

Whilst our conclusions have been derived for a specific disc-wind model, many of them can be applied more generally.

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