

Weyl Semimetal Phase in non-Centrosymmetric Compound TaAs

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Three-dimensional (3D) topological Weyl semimetals (TWSs) represent a novel state of quantum matter with unusual electronic structures that resemble both a “3D graphene” and a topological insulator by possessing pairs of Weyl points (through which the electronic bands disperse linearly along all three momentum directions) connected by topological surface states, forming the unique “Fermi-arc” type Fermi-surface (FS). Each Weyl point is chiral and contains half of the degrees of freedom of a Dirac point, and can be viewed as a magnetic monopole in the momentum space. Here, by performing angle-resolved photoemission spectroscopy on non-centrosymmetric compound TaAs, we observed its complete band structures including the unique “Fermi-arc” FS and linear bulk band dispersion across the Weyl points, in excellent agreement with the theoretical calculations^{1,2}. This discovery not only confirms TaAs as the first 3D TWS, but also provides an ideal platform for realizing exotic physical phenomena (e.g. negative magnetoresistance, chiral magnetic effects and quantum anomalous Hall effect) which may also lead to novel future applications.

The discovery of quantum materials with non-trivial topological electronic structures such as topological insulators, topological crystalline insulators and Dirac semimetals³⁻⁹, has recently ignited worldwide interest due to their rich scientific implications and broad application potentials³⁻⁹. Although being the subject of condensed matter physics, the research on topological quantum matter has benefited from the connection to other fields of physics, such as high energy physics, by the introduction of Dirac and Majorana fermions into the electronic spectra of crystals. Recently, another intriguing particle - the Weyl fermion - which was also originally introduced in high energy physics (e.g. as a description of neutrinos), is proposed to have its counterpart in solid state physics¹⁰, leading to a new type of topological quantum matter, the topological Weyl semimetals (TWSs)^{1,2,10-12}.

A TWS exhibits unique band structures that resemble to both a “3D graphene” and a topological insulator. On one hand, the bulk conduction and valence bands of a TWS touch linearly at pairs of discrete points - the Weyl points, through which the bands disperse linearly along all three momentum directions (thus is a 3D analogue of graphene); as Weyl points of opposite chirality can be either the “source” or “sink” of Berry curvature^{1,2,10} (Fig. 1a), they can also be viewed as magnetic monopoles in the momentum space. On the other hand, in a TWS, there exist unique surface “Fermi-arcs”^{1,2,10-12} (Fig. 1a), or unclosed Fermi-surfaces originated from the topological surface states (similar to those in topological insulators) that start and end at the Weyl points of opposite chirality (Fig. 1a). The unique bulk Weyl fermions and the surface Fermi-arcs can give rise to many unusual physical phenomena, such as negative magnetoresistance, chiral magnetic effect, quantum anomalous Hall effect, novel quantum oscillations (in magneto-transport) and quantum interference (in tunneling spectroscopy)¹³⁻¹⁷.

In principle, a TWS can be realized by breaking either the time reversal symmetry (TRS) or inversion symmetry^{12,18} of a topological Dirac semimetal (TDS) recently discovered^{9,19-21}. In this way, the bulk Dirac point in a TDS can be split into two Weyl points (Fig. 1a), thus realizing the TWS state. However, this method does not generate intrinsic TWSs and the need for large external magnetic field or mechanical strain requires complicated instrumentation and experiment setup (thus limits the use and application of these materials). Under this

circumstance, the pursuit of intrinsic materials with spontaneously broken symmetry has become the focus of current research. Up to date, several candidates with naturally broken TRS have been proposed (e.g. $\text{Y}_2\text{Ir}_2\text{O}_7$ ¹⁰ and HgCr_2Se_4 ¹¹), however, none of them have been experimentally confirmed, leaving the existence of the TWS elusive.

Recently, another type of TWS candidates with naturally broken inversion symmetry were proposed in several compound families, including finely tuned solid solutions $\text{LaBi}_{1-x}\text{Sb}_x\text{Te}_3$, $\text{LuBi}_{1-x}\text{Sb}_x\text{Te}_3$ ²² and transition metal monoarsenides/phosphides (including TaAs, TaP, NbAs and NbP)¹. In this work, by using angle resolved photoemission spectroscopy (ARPES), we systematically studied the electronic structure of single crystal TaAs and observed the unique surface “Fermi-arcs” on its Fermi-surface as well as the linear bulk band dispersions through the Weyl points. The excellent agreement between our experimental band structures and *ab initio* calculations (including previous theoretical predictions^{1,2}), clearly establishes that TaAs is a TWS.

The crystal structure of TaAs is shown in Fig. 1b. There are four TaAs layers in a unit cell along the *c*-direction, forming repeating ...-A-B-C-D-... stacking structure²³ without inversion symmetry (Fig. 1b). As the distance along *c*-direction between intra- and inter-plane Ta and As layer is $0.083c$ ($0.966\text{\AA}$) and $0.167c$ ($1.944\text{\AA}$) respectively, the crystal naturally cleaves between adjacent TaAs layers along the (001) plane (Fig. 1b, Fig. 1e(i)), which is ideal for the ARPES measurements. In recent theoretical investigations^{1,2}, TaAs was proposed as a TWS candidate with twelve pairs of Weyl points in each Brillouin zone (BZ) (Fig. 1c), with each pair of Weyl points connected by topologically non-trivial surface states, forming the unique surface “Fermi-arcs”. The characteristic FS of TaAs with the surface “Fermi-arcs” on the (001) surface from our *ab initio* calculations (details of the calculations can be found in the *Methods* section) is shown in Fig. 1d, in nice agreement with the previous theoretical works^{1,2}.

High quality TaAs crystals were synthesized (details of growth can be found in the *Methods* section) for our ARPES measurements (Fig. 1e(i)), showing flat and shiny cleaved surface. The X-ray diffraction along different crystalline orientations (Fig. 1e(ii-iv)) confirmed the crystal structure. The core level photoemission spectrum (Fig. 1f) shows sharp characteristic

Ta $5p$, $4f$ and As $3d$ core levels and the broad Fermi-surface mapping (Fig. 1g) illustrates the overall FS topology agreeing with the *ab initio* calculations in Fig. 1d (fine measurements with more details will be discussed below).

Due to the intrinsic surface sensitivity, ARPES is an ideal tool to study the unusual surface states and search for the unique surface Fermi-arcs FS in TaAs. In Fig. 2, we illustrate the overall FS geometry and the band structure evolution with different binding energies around both $\bar{X}$ and $\bar{Y}$ points of the surface BZ.

The 3D band structures around both $\bar{X}$ and $\bar{Y}$ regions are presented in Fig. 2a and Fig. 2d respectively, which illustrate the FS geometry with related band dispersions. In panel **b** and **e**, three constant energy contours at different binding energies are selected to show the band evolution around $\bar{X}$ and $\bar{Y}$ points - both vary from the cross-shape FSs to more complicated shapes at higher binding energy. Each set of the cross-shape FSs (Fig. 2b(i) and Fig. 2e(i)) is comprised of two orthogonal sub-sets of FSs, one forms the spoon-like pockets (marked as α -FSs) and the other forms the bowtie-shape pockets (marked as β -FSs) – all of these FSs agree well with our *ab initio* calculations (presented side-by-side in Fig. 2b and Fig. 2e).

Besides the FS topology, we also studied the band dispersions across the BZ, which are illustrated in Fig. 2c and Fig. 2f. The comparison between the measurements and calculations again shows excellent agreements (see Fig. S1 of the Supplementary Information for more comparison). The surface nature of the bands that form the spoon-like α -FSs (Fig. 2a, b(i), d, e(i)) can be verified by the photon energy dependent ARPES measurements²⁴ (Fig. 2g, h), where the ARPES spectra clearly show no k_z -dispersion (i.e. vertical dispersions, more information can be found in Supplementary Information), in contrast to the bulk band dispersion which we will discuss later in Fig. 4.

After establishing the overall correspondence between the experimental and theoretical band structures, we zoom into the spoon-like α -FSs by performing fine ARPES mapping with high resolution to study the detailed FS geometry and search for the unusual surface Fermi-arcs – the unique signature of a TWS.

In Fig. 3a, our *ab initio* calculations show clear surface Fermi-arc (green curve, marked as FS-1) terminating at the Weyl points (see Fig. 3a inset for clarity), while the other two FS pieces (FS-2, FS-3) extend across the Weyl points. This unusual FS topology was indeed experimentally observed in Fig. 3b, which matches Fig. 3a excellently. The change of the FS pieces across the Weyl points in experiment can also be verified by the band dispersions (Fig. 3c). Evidently, measurements above the Weyl points (Fig. 3c (i-iii)) show three bands dispersing across E_F while there are only two bands crossing E_F below the Weyl points (Fig. 3c (iv-vi)), caused by the termination of FS-1 at the Weyl points. More discussion on the nature (including the spin polarization) and evolution of each FS piece, as well as quantitative momentum distribution curve (MDC) analysis can be found in the Supplementary Information.

Interestingly, as a Fermi-arc is an unclosed FS, we can also verify the existence of Fermi-arcs by counting the total number of Fermi-crossings along a closed loop in the BZ that enclosed an odd number of Weyl points - and get an odd number of total Fermi-crossings (more discussion on the principle of Fermi-crossing counting can be found in the Supplementary Information). We thus choose the $\bar{\Gamma} - \bar{Y} - \bar{M} - \bar{\Gamma}$ loop in a BZ (see Fig. 3d(iv)) which encloses three Weyl points, including two degenerate ones (red color, at different k_z , but projected to the surface BZ at the same location, see Fig. 1c, d for details) and a singular one (blue color). In Fig. 3d, the counting of the Fermi-crossings along the $\bar{\Gamma} - \bar{Y}$ (panel (ii)), $\bar{Y} - \bar{M}$ (panel (iii)) and $\bar{M} - \bar{\Gamma}$ (panel (i)) yields five, two and zero crossings, respectively. Thus there are totally seven (an odd number) Fermi-crossings along the $\bar{\Gamma} - \bar{Y} - \bar{M} - \bar{\Gamma}$ loop, which confirms the existence of the Fermi-arcs on the FS of TaAs (the Fermi-crossing counting along the $\bar{\Gamma} - \bar{X} - \bar{M} - \bar{\Gamma}$ loop can also be found in the Supplementary Information).

In addition to the unique surface Fermi-arcs, we also carried out ARPES measurements (Fig. 4) with high photon energy to investigate the bulk band structure of TaAs. In Fig. 4b, bulk bands with strong k_z dispersion can be clearly seen in the k_y - k_z spectra intensity map (in contrast to the surface α -bands in Fig. 2g,h without k_z dispersion, more remarks can be found in the Supplementary Information), agreeing well with our calculation (overlapped on Fig. 4b, note

that the Weyl points are not observed here as they are off the $k_x=0$ plane, see Fig. 1c, d). Also, the measured dispersions along high symmetry directions show nice agreement with calculations (Fig. 4c, d).

These excellent agreements between our experiments and calculations allow us to identify the Weyl points predicted lying at $k_z=\pm 1.16\pi/c$ and $k_z=0$ planes (Fig. 1c), which can be accessed by using 189eV ($k_z = -1.16\pi/c$ in the reduced BZ) and 204eV ($k_z=0$ in the reduced BZ) photons, respectively (see Fig. 4b). At each photon energy, we first carried out k_x - k_y FS mapping (Fig. 4e, g) to locate the in-plane momentum positions of the Weyl points, then measured the band dispersions across them (Fig. 4f, h). Indeed, the measured bulk band dispersions in both cases show clear linear dispersions that match well with our calculations (Fig. 4f, h) again, confirming the existence of Weyl points in the bulk band structure of TaAs (more details and discussion can be found in the Supplementary Information).

The observation of the unique surface Fermi-arcs and the bulk Weyl points with linear dispersions, together with the overall agreement of the measurements with the theoretical calculations, establish TaAs as the first TWS experimentally observed. This discovery further opens a door for the exploration of other exotic phenomena associated with the TWS and potential applications due to the ultra-high mobility and unusually large (and none-saturating) magnetoresistance in 3D semimetals recently discovered²⁵.

Methods:

Sample synthesis:

Precursor polycrystalline TaAs samples were prepared by mixing high purity (>99.99%) Ta and As elements and the mixture was sealed into a quartz tube under high vacuum, which was again sealed into another evacuated tube for extra protection. First, the vessel was heated to 600°C at the rate of 50°C/hour, after 10 hours of soaking, it was slowly heated to 1050°C at 30°C/hour rate and kept at this temperature for 24 hours. Finally the vessel was cooled down to room temperature.

With the polycrystalline precursor, the single crystals were grown using chemical vapor

transport method in a two zone furnace. The polycrystalline TaAs powder and 0.46 mg/cm³ of iodine were loaded into a 24mm diameter quartz tube and sealed under vacuum. The charge part of the tube was kept at 1150°C and the other end at 1000°C for three weeks. The resulting crystals can be as large as 0.5-1mm in size.

Angle resolved photoemission spectroscopy:

ARPES measurements were performed at beamline 10.0.1 of the Advanced Light Source (ALS) at Lawrence Berkeley National Laboratory, USA and BL I05 of the Diamond Light Source (DLS), UK. The measurement pressure was kept below $3 \times 10^{-11}/9 \times 10^{-11}$ Torr in the two facilities, and data were recorded by Scienta R4000 analyzers at 10K sample temperature. The total convolved energy and angle resolutions were 16meV and 0.2°. The fresh surface of TaAs for ARPES measurement was obtained by cleaving the TaAs sample *in situ* along its natural (001) cleavage plane.

LDA calculations:

Electronic structures were calculated by the density-functional theory (DFT) method which is implemented in the Vienna *ab initio* Simulation Package (VASP)²⁶. The core electrons were represented by the projected augmented wave method²⁷. The exchange-correlation was considered in the generalized gradient approximation (GGA)²⁸ and spin-orbital coupling (SOC) was included self-consistently. The energy cut off was set to be 300 eV for the plane-wave basis. Experimental lattice parameters were used in the construction of a slab model with seven-unit-cell thick to simulate a surface, in which the top and bottom surface are terminated by As and Ta, respectively. Positions of outermost four atomic layers were fully optimized to consider the surface atomic relaxation. The surface band structures and Fermi surfaces were projected to the first unit cell of the As-terminated side, which fits the experimental band structure well. We adopted 12×12 and 400×400 k-point grids in the charge self-consistent and Fermi surface calculations, respectively.

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Author Contributions:

Y.L.C conceived the experiments. L. X. Y and Z. K. L carried out ARPES measurements with the assistance of H. P, H. F. Y, T. Z, B. Z, Y. Z and S. K. M. D.P, Y. F.G and M. R synthesized and characterized bulk single crystals. B.H.Y and Y.S performed *ab initio* calculations. All authors contributed to the scientific planning and discussions.

Competing financial interests:

The authors declare no competing financial interests.

Figure 1. Basic characteristics of the TWS and characterization of TaAs single crystals.

a. Illustration of the splitting of a Dirac point (DP). A DP can be split into a pair of Weyl points with opposite chirality (marked as WP+ and WP-) which behave as the “source” or “sink” of Berry curvature by breaking time-reversal or inversion symmetry (see text for discussions). The two Weyl points are connected by the Fermi-arc type of FS formed by the topological surface states. **b.** Crystal structure of TaAs, showing the ..A-B-C-D... stacking of TaAs layers. **c.** Schematic of the bulk and (001) surface Brillouin zones (BZs) of TaAs. Twelve pairs of Weyl points are predicted in each BZ, with four pairs at the $k_z=0$ and $\pm 1.16 \pi/c$ planes, respectively. **d.** FS from *ab initio* calculations are plotted on the (001) surface BZ with the (projected) Weyl points overlapped, showing characteristic Fermi-arc FS geometry. Color bar shows the surface contribution of the FS (white/0% to red/100%), same in Fig. 2-4. **e. (i)** Image of the TaAs single crystal with flat cleavage plane used for ARPES measurements. **(ii-iv)** X-ray diffraction patterns of the TaAs crystal from different crystalline directions. **f.** Core level photoemission spectrum clearly showing the characteristic As 3d, Ta 5p and 4f peaks. **g.** Broad FS map confirming the (001) cleavage plane and the lattice constant in **b**, the uneven intensity of the FS at different BZs is resulted from the matrix element effect.

Figure 2. General FS geometry and band evolution with binding energy.

a. 3D intensity plot of the photoemission spectra around the $\bar{X}$ point, showing the band dispersions and the resulted FSs. The spoon-like α -FSs are marked. **b.** Comparison of three constant energy contours at different binding energies between experiments and *ab initio* calculations which show excellent agreements. The spoon/bowtie-like α/β -FSs (see text) are marked. For better comparison with calculations, the experiment plot has been symmetrized with respect to $k_y=0$ plane according to the crystal symmetry (same in **e** below). **c.** Comparison

of measured and calculated dispersions along high symmetry directions: $\bar{\Gamma} - \bar{X} - \bar{M}$. **d-f**, same as **a-c**, around the $\bar{Y}$ point of the surface BZ. **g, h**. Photon-energy-dependent ARPES measurement plot of photoemission intensities at E_F along the high symmetry $\bar{\Gamma} - \bar{X}$ (**g**) and $\bar{\Gamma} - \bar{Y}$ (**h**) directions as function of photon energy (48-68eV), showing the k_z dispersion of different bands. The α bands in **c, f** are labelled.

Figure 3. Observation of the Fermi-arc FS on (001) surface.

a. Calculated FS geometry with fine k-space grid around the $\bar{Y}$ point of the BZ showing the Fermi-arc FS (green piece, see text and ref [1,2] for discussion). Different FS pieces are color coded and labelled as FS 1-3. The inset shows the detailed evolution of different FS pieces around the Weyl point. **b.** FS measured by ARPES with high resolution showing excellent agreement with that in **a**. The dashed line connects the two Weyl points for reference. Three arrows above and below the dashed line indicate the measurement positions in **c**. For better comparison with the calculation in **a**, the plot has been symmetrized with respect to $k_x=0$ according to the crystal symmetry (same in **c** and **d** below). **c.** Three band dispersions measured above and below the dashed line in **b** (with the locations indicated by the red and green arrows in **b**, respectively). Band dispersions corresponding to the 1-3 FS pieces in **b** are also labelled as 1-3, respectively. The plot has been symmetrized with respect to $k_x=0$ according to the crystal symmetry. **d.** (i-iii) Dispersions from ARPES measurements along different high symmetry directions overlapped by the *ab initio* calculations, showing excellent agreement. The Fermi-crossing locations 1-7 are marked by green arrows in panels (ii) and (iii), with the corresponding positions in the surface BZ also marked in panel (iv). (iv) The surface BZ with the Weyl points and the Fermi-crossings marked.

Figure 4. Bulk band structure of TaAs.

a. Schematic illustration of the measurement k_y - k_z plane (vertical grey plane) of the intensity plot in **b**, Weyl points are also shown. **b.** Photoemission intensity plot of the k_y - k_z plane ($k_x=0$, the grey plane in **a**). The integration window is from $E_F-100\text{meV}$ to E_F (see Supplementary Information for more information). Overlapped yellow curves are calculated bulk bands' constant energy contour at the center energy of the intensity map ($E_F-50\text{meV}$), showing excellent agreement with the experiment. Green and magenta curves indicate the k_z momentum locations probed by 204eV and 189eV photons, respectively. The two dashed lines marked as “cut1” and “cut2” indicate the momentum direction of the two band dispersions shown in **c**, **d**. **c**, **d.** Bulk band dispersions along two high-symmetry directions, indicated as cut1 and cut2 in **b**, respectively. The calculated band dispersions (red curves) are overlaid. **e.** (i) Schematic illustration of the k_z measurement plane (large grey plane) at 189eV photons that cut through the Weyl points at $k_z=-1.16\pi/c$ (in the reduced BZ). (ii). FS map of the k_x - k_y plane using 189eV photons with integration window from $E_F-20\text{meV}$ to $E_F+20\text{meV}$, with the calculated FS overlapped (orange pockets). Black dashed line indicates the measurement direction of the band dispersion in **f**, and the blue lines indicate the k_x - k_y BZ at $k_z=-1.16\pi/c$ (in the reduced BZ). For better comparison with calculations, the experiment plot has been symmetrized with respect to $k_x=0$ and $k_y=0$ planes according to the crystal symmetry (same in **f-h**). The uneven spectra intensity between $k_x=0$ and $k_y=0$ directions are due to the matrix element effect. **f.** Broad band dispersion (i) and its zoomed-in plot (ii) across the Weyl points (along the direction indicated by the dashed line in **e(ii)**); the inset of panel (ii) shows the detailed band dispersion around the Weyl points without calculations overlapped for clarity. **g**, **h.** Same as **e**, **f** for photon energy of 204 eV (through the Weyl points at $k_z=0$ (in the reduced BZ)).