

1 **Western US high June 2015 temperatures and their**  
2 **relation to global warming and soil moisture**

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9 **Abstract** The Western US states Washington (WA), Oregon (OR) and California  
10 (CA) experienced extremely high temperatures in June 2015. The temperature  
11 anomalies were so extreme that they cannot be explained with global warming  
12 alone. We investigate the hypothesis that soil moisture played an important role  
13 as well.

14 We use a land surface model and a large ensemble from the weather@home  
15 modelling effort to investigate the coupling between soil moisture and temperature  
16 in a warming world. Both models show that May was anomalously dry, satisfying  
17 a prerequisite for the extreme heat wave, and they indicate that WA and OR are  
18 in a wet-to-dry transitional soil moisture regime.

19 We use two different land surface-atmosphere coupling metrics to show that  
20 there was strong coupling between temperature, latent heat flux and the effect of  
21 soil moisture deficits on the energy balance in June 2015 in WA and OR. June  
22 temperature anomalies conditioned on wet/dry conditions show that both the  
23 mean and extreme temperatures become hotter for dry soils, especially in WA and  
24 OR.

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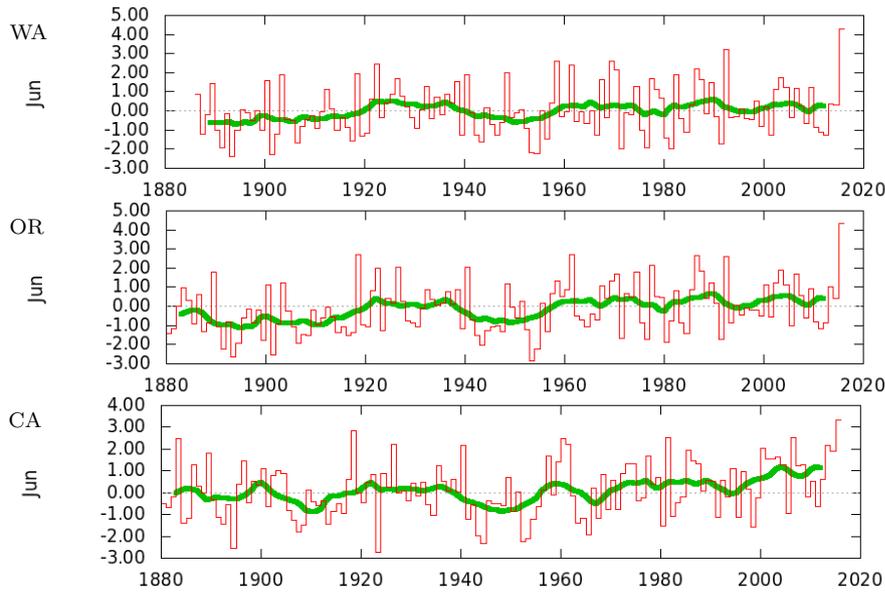
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**Fig. 1** June temperature anomalies. Data from GISTEMP, NASA/GISS (Hansen et al. 2010)), and anomalies are calculated with respect to the 1951–1980 climatology, for three states. The green lines show the 10-year running average.

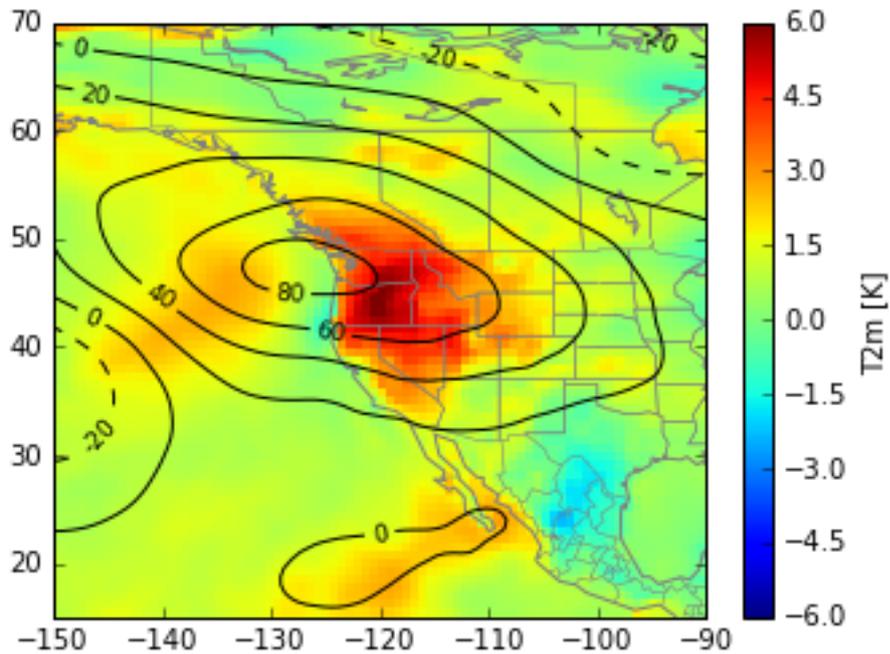
25 Fitting a Gaussian model to temperatures using soil moisture as a covariate  
 26 shows that the June 2015 temperature values fit well in the extrapolated empirical  
 27 temperature/drought lines. The high temperature anomalies in WA and OR are  
 28 thus to be expected, given the dry soil moisture conditions and that those regions  
 29 are in the transition from a wet to a dry regime. CA is already in the dry regime and  
 30 therefore the necessity of taking soil moisture into account is of lower importance.

31 **Keywords** temperature extremes · land attribution · global warming · surface-  
 32 atmosphere coupling ·  $\pi$ -metric · VAC-metric · soil moisture · US West Coast  
 33 states

## 34 1 Introduction

35 The year 2015 was marked by record-breaking early-summer temperatures in the  
 36 US Pacific states, both for individual days and locations (e.g. 45°C/103°F at Chief  
 37 Joseph Dam WA on June 28) and the June monthly mean state averages (see  
 38 Fig. 1 for the historical series of June temperatures). In both Washington (WA)  
 39 and Oregon (OR) the temperature anomaly of June 2015 is the most extreme ever  
 40 recorded, and even looks like an outlier. The mean June temperature in California  
 41 (CA) was also record high, although not as extreme as in WA or OR.

42 Heat waves can have a significant impact on human health (e.g. Guirguis et al.  
 43 2014) particularly when there is no respite from the heat during warm nights (e.g.  
 44 Grize et al. 2005) and where they are uncommon, due to a lack of preparedness  
 45 (e.g., lack of airconditioning) and acclimatisation, which is likely the case in WA,



**Fig. 2** Distribution of T2m temperature anomalies. Data are taken from ERA-Interim (Dee et al. 2011) and anomalies in Z500 are averaged over June 2015.

46 OR and CA. The combination of high temperatures and drought conditions can  
 47 also facilitate the spread of wildfires. Indeed, from June to September, the region  
 48 suffered numerous wildfires, with WA experiencing the largest wildfires in the  
 49 state's history.

50 Over the past few years, numerous studies have addressed the ongoing Cal-  
 51 ifornian drought, including its climatic and anthropogenic controls (Swain 2015;  
 52 AghaKouchak et al. 2015; Seager et al. 2015; Van Loon et al. 2016). By 2015, the  
 53 drought was, however, no longer confined to CA. OR was experiencing the impacts  
 54 of two years of drought, and WA had experienced the first year of drought due  
 55 to very low snowpack resulting from warm, mild winter conditions. The role of  
 56 extreme temperature in exacerbating the drought has been explored for the years  
 57 2012-2014, focussed on CA (e.g. Williams et al. 2015; Diffenbaugh et al. 2015).  
 58 Others investigated the contribution of the global warming trend to exacerbation  
 59 of drought in terms of soil moisture deficits (e.g. Seager and Hoerling 2014; Cheng  
 60 et al. 2016).

61 In this paper, we address the origin of the exceptional June temperatures  
 62 reached in 2015 in the West Coast states, and explore some of the potential  
 63 mechanisms that led to them. Previous heatwave studies like Fischer et al. (2007)  
 64 and Hauser et al. (2016) hypothesize that a feedback between soil moisture and  
 65 the atmosphere can substantially augment extreme temperatures. Miralles et al.  
 66 (2014) provided additional insight into both soil and atmosphere feedbacks, by  
 67 highlighting the role of desiccating soils and atmospheric boundary layer growth

68 over extended periods of time. Yin et al. (2014) explored two interpretations of  
69 the coupling between temperature and precipitation/evaporation: a temperature  
70 anomaly leading to increased evaporation and a precipitation deficit leading via  
71 higher evaporation to higher sensible heat flux, resulting in higher temperatures.

72 A general pre-requisite for heat waves is a persistent mid-tropospheric anti-  
73 cyclonic circulation anomaly, which is dynamically linked to subsidence and clear  
74 skies (Meehl and Tebaldi 2004, and references therein) and consequently to adia-  
75 batic warming, strong insolation and surface sensible heating (e.g. Miralles et al.  
76 2012; Stegehuis et al. 2013). Indeed, the area suffering extreme June temperatures  
77 corresponded well with the form of an anomalous mid-tropospheric ridge (Fig. 2).  
78 The ERA-Interim Z500 anomaly (Fig. 2) was in itself extreme, with a return pe-  
79 riod (not shown) of more than 75 years in WA and OR. The June-averaged 700  
80 hPa vertical winds reveal an anomalously strong region of subsidence over WA  
81 (return period  $> 1000$  years, not shown) that extends partially into OR. Although  
82 the state-averaged subsidence over Oregon was not extreme, the mid to lower tro-  
83 pospheric circulation was such that the air descending over WA was transported  
84 southward into OR and likely contributed to the temperature anomaly there too.

85 In this paper we investigate different mechanisms leading to the extreme tem-  
86 peratures. The influence of global warming is investigated using both observations  
87 and model data. Furthermore, we use climate models to analyse the contribution  
88 of anomalous SST conditions on temperature. Finally, we investigate the influ-  
89 ence of anomalous soil moisture conditions (drought) on the temperature extremes  
90 through land surface-atmosphere feedbacks.

91 The paper is organized as follows. Section 2 outlines the datasets and methods  
92 used. In Section 3 the temperature extremes are discussed and a new hypothesis  
93 on the origin of the temperature extremes is suggested. The development of soil  
94 moisture is shown in Section 4 and the coupling of soil moisture and temperature  
95 is investigated in Section 5. Finally, we conclude in Section 6.

## 96 2 Data and Methods

97 For the analysis of surface temperature anomalies we use the National Aeronautics  
98 and Space Administration (NASA) Goddard Institute for Space Science (GISS)  
99 surface temperature analysis (GISTEMP) with 250 km smoothing (Hansen et al.  
100 2010). This dataset provides monthly surface temperature anomalies from 1880 to  
101 now, has a nominal resolution of  $1^\circ \times 1^\circ$  and uses 1951–1980 as the base period. This  
102 long dataset allows us to investigate the extremity of the June 2015 temperature  
103 anomaly.

104 A large ensemble of hundreds of climate model simulations from the weather@home  
105 project (Massey et al. 2015) is analysed (hereafter w@h). w@h employs the Global  
106 Climate Model HadAM3P, which is downscaled to roughly 25 km over the Western  
107 US by the Regional Climate Model HadRM3P (see, e.g., Li et al. 2015; Mote et al.  
108 2016b, for a description of the setup over the Western US). Both models share  
109 essentially the same physics and are driven by observed sea surface temperature  
110 and sea ice. Two sets of simulations have been performed: The first is an ‘All For-  
111 cings’ scenario, using observations in 2015. Sea surface temperature and sea ice data  
112 were obtained from the Operational Sea Surface Temperature and Sea Ice Analysis  
113 (OSTIA) dataset (Donlon et al. 2012). The second set of simulations is a ‘Natural’

114 scenario, in which an estimate of the changes in SST pattern due to anthropogenic  
115 forcing (CMIP5 ensemble mean, (Taylor et al. 2012)) have been subtracted from  
116 the observed 2015 OSTIA SSTs. In this scenario, sea ice is prescribed by taking the  
117 distribution from years with the highest Antarctic and Arctic sea ice extent (2012  
118 and 1986, respectively), and a pre-industrial atmospheric composition is specified.  
119 Initialised on 1 December 2014 with restart files taken from from spin-up runs,  
120 the model simulations are run for 12 months, i.e., until the end of November 2015.  
121 Several hundred simulations for each ensemble are analysed in this paper, as well  
122 as about 130 All-forcing simulations per year from 1986-2014 .

123 To obtain a best estimate of soil moisture conditions and latent heat flux, we  
124 forced the Community Land Model (CLM, version 4.0; Oleson et al. (2010)) with 6  
125 hourly ERA-Interim diagnostic and prognostic variables. The offline simulation on  
126 a  $0.5^\circ$  grid extends from 1979–2015. This approach is similar to ERA-Interim/Land  
127 (Balsamo et al. 2015), which, however, only extends to 2010. It has been success-  
128 fully applied in Orth and Seneviratne (2015); Hauser et al. (2016). The Pearson  
129 correlation for mean June soil moisture between CLM and ERA-Interim/Land for  
130 the overlapping period (1979–2010) for WA, OR and CA is 0.95, 0.94, and 0.97, re-  
131 spectively. Note, however, that these two soil moisture data sets have been derived  
132 with (almost) the same forcing data.

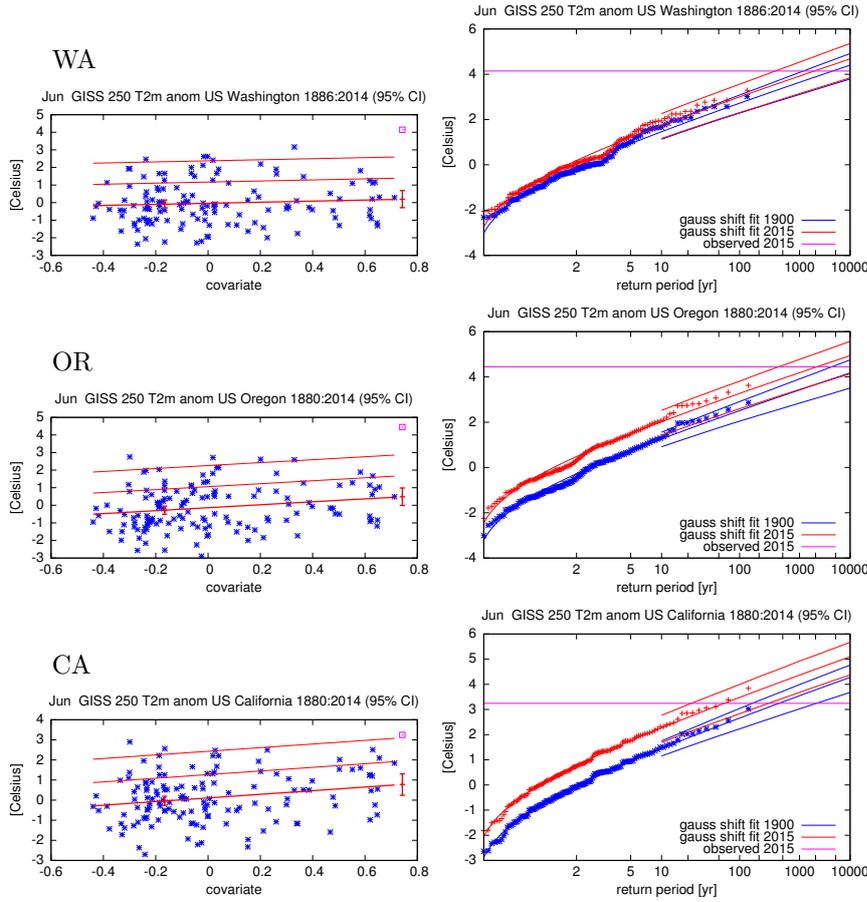
133 In order to determine the return periods of the monthly temperature anoma-  
134 lies we fit observed June mean temperatures to a Gaussian distribution. Further-  
135 more, we investigate how the likelihood of occurrence of extreme temperatures has  
136 changed as a result of, for instance, global warming. The effects of global warm-  
137 ing are included by allowing one parameter of the Gaussian distribution to vary,  
138 assuming a linear dependence on the (low pass filtered) global mean temperature.  
139 For temperature extremes, a reasonable first order assumption is to only allow the  
140 location parameter  $\mu$  to vary with global mean temperature, as was proposed by  
141 van Oldenborgh (2007) and Otto et al. (2012). Note that this applies to observa-  
142 tional data, while for w@h return periods are directly estimated for both ensembles  
143 (all forcings and natural).

### 144 3 Temperature extremes in the West Coast States

145 A first order explanation for the extreme temperatures in the summer of 2015 is  
146 the higher global mean temperature. When the global mean temperature rises,  
147 the magnitude and frequency of extreme temperature events often rise as well.  
148 This hypothesis can be investigated by fitting both observed and modeled June  
149 temperatures.

150 We fit a Gaussian distribution to the observed June temperature anomalies  
151 from 1886–2014 (i.e. excluding the month under investigation, June 2015), and  
152 allow the location parameter to vary with global mean temperature (Fig. 3). The  
153 distribution before 2015 is described well by a Gaussian distribution, as can be  
154 seen by the agreement between the observations and fitted curve.

155 This analysis shows that the temperatures in the summer of 2015 were indeed  
156 extreme in WA, OR and CA under the assumption that increased global mean  
157 temperature was the sole mechanism causing the heat wave. In WA, an event of  
158 the same magnitude is expected to happen at most once in 350 years in the climate  
159 of 2015 (lower bound of 95% confidence interval). The likelihood of this event has



**Fig. 3** Impact of changing global mean temperatures on the return period of temperature extremes. Left: Monthly GISTEMP June temperature anomalies against the change in global mean temperature. The thick line denotes the time-varying mean and the thin lines are  $1\sigma$  and  $2\sigma$  above, respectively. The purple square shows the 2015 value, which was not used in the fit, and the two vertical red lines show the 95% confidence interval of  $\mu$  for the climates of 1900 and 2015. Right: Return periods for the 2015 climate (red lines) and the 1900 climate (blue lines with 95% CI). Observations are shown twice, once shifted up to the climate of 2015 with the fitted trend (red signs), once shifted down to 1900 (blue signs).

160 increased by a factor of 2 since 1900. This is due to the trend excluding the year  
 161 2015, which is  $0.3 \pm 0.6$  times the Global Mean Surface Temperature (GMST),  
 162 however this trend is not significantly different from zero. The influence of GMST  
 163 is larger in OR. The 2015 event in OR is also outside all previously measured  
 164 temperatures, even after accounting for the linear trend, and the lower bound  
 165 for the return period is 400 years. Due to a fitted trend of  $0.8 \pm 0.6$  times the  
 166 GMST, the event is now 10 (95% confidence interval 2–90) times more likely than  
 167 in 1900. The Californian temperature anomaly was less extreme in the sense that  
 168 the temperature falls inside the range of observed values, once the trend has been  
 169 accounted for. However, it was still extreme under the assumptions of the fit, with

170 a return period of 60 years. It became 7 (2–35) times more likely now than it was  
171 around 1900 due to a trend of  $0.9 \pm 0.7$  times the GMST.

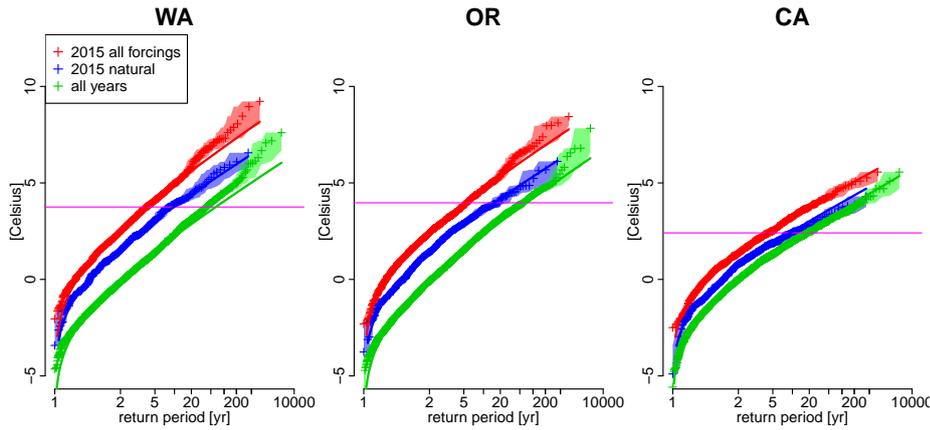
172 In WA and OR, the shifts in the distributions of June temperature anoma-  
173 lies to warmer, recent GMST conditions (using the 1880–2014 period) was small  
174 compared to the June 2015 temperature anomalies. The observed temperature  
175 anomaly remains very extreme even after accounting for this trend. This indicates  
176 that a simple shift of the distribution proportional to the rise in GMST due to  
177 greenhouse gases (Stocker et al. 2013) alone is probably not sufficient to explain  
178 the high 2015 temperatures in these states.

179 To further test the hypothesis that the high June 2015 temperature cannot be  
180 explained by a linear shift alone, we use the w@h ensemble. The advantage of this  
181 ensemble is that the large amount of members results in many more ‘weather years’  
182 than are available from observations. This allows possible changes to be detected  
183 at the high end of the temperature distribution without making the assumption  
184 that the Gaussian distribution shifts linearly with GMST, which we made in the  
185 observational analysis.

186 Fig. 4 shows the return period plots for June mean temperatures. The green  
187 markers and shading show the June temperature for all years (1986–2015) for runs  
188 with all forcings (‘all years’). The observed temperature anomaly (magenta line)  
189 gives an indication of the return period of the event in the w@h ensemble. We  
190 corrected for the difference in base period for GISTEMP data. Note that the en-  
191 semble is not bias-corrected (aside from comparing anomalies relative to the ‘all  
192 years’ mean), so the value is only a rough indication of the return period. Given  
193 that we only compare the relative results of the ‘all forcings’ ensemble with the  
194 ‘natural’ and the ‘all years’ ensembles, the lack of bias correction does not reduce  
195 the confidence in the risk ratios (ratios of the return periods) calculated from the  
196 ensembles. The red markers show the 2015 runs only, with all forcings and condi-  
197 tioned on the 2015 SST pattern. The impact of the 2015 SSTs can be seen from the  
198 difference between the green and red markers. For the blue markers, temperatures  
199 are conditioned on 2015 SST anomalies, but with natural forcings (i.e., natural  
200 greenhouse gas concentrations, and with an estimate of climate change on SST  
201 subtracted from the 2015 SST). The difference between the red and blue markers  
202 is thus explained by anthropogenic climate change, i.e., emissions of greenhouse  
203 gases and aerosols.

204 First, the w@h ensembles show that global warming has increased the chances  
205 of very high June temperatures in all three states: the red lines are to the left of  
206 the blue lines. The increase in likelihood, as given by the risk ratio (ratio of the  
207 return periods of the natural and all forcings ensembles), is a factor of about 2.2  
208 (WA), 3.4 (OR) and 2.2 (CA) smaller but within the uncertainty bounds of the  
209 ratio based on the observed trends. The risk ratio also increases with temperature  
210 in all three states, as could be expected from a shift of the Gaussian distribution.  
211 Feedbacks may influence the slope of this increase, for example by making the  
212 increase steeper if hot extremes are amplified by a shift into a regime with drier  
213 soils.

214 The w@h ensemble also highlights the role of SSTs for this event: the difference  
215 between the green and red lines is even larger than between the blue and red  
216 lines. The probability of a hot month was much higher, given the sea surface  
217 temperatures that forced these 2015 experiments.



**Fig. 4** Return periods of June temperature anomalies in the w@h ensemble for the three states. The green markers show results for all years (1986–2015, all forcings). The red and blue markers are simulations for 2015, conditioned on the SST patterns of 2015, for the all and natural forcings ensembles, respectively. Error margins (shading) are obtained from the 2.5–97.5% range from 1000 bootstrap samples. The horizontal line shows the observed temperature anomaly (GISTEMP). The red, blue and green lines indicate fits to Gaussian distributions.

218 In the w@h ensemble we have more ‘weather years’ than in the observations,  
 219 thus, the upper tails of the return periods extend to higher temperatures than  
 220 for observations. The upper tails of the return periods for WA and OR in the all  
 221 forcings experiments differ from the rest of the distribution: they do not follow  
 222 a Gaussian distribution, in contrast to the natural forcing experiments (and in  
 223 contrast to California). In other words, the probability density function (PDF) of  
 224 the temperatures does seem to change with global warming: the temperatures are  
 225 higher than expected for return periods larger than about 20 years in the current  
 226 climate.

227 For the shown return period plots of the observed temperatures, we assumed  
 228 that the PDFs shift linearly with global mean temperature. However, when a  
 229 different thermodynamic regime has been entered, then this assumption is not  
 230 appropriate any more. Feedbacks are one mechanism that may influence the slope  
 231 of this increase, for example by making the increase steeper if hot extremes are  
 232 amplified by a shift into a regime with drier soils. Thus, our new hypothesis is  
 233 that soil moisture plays an important role as well. For instance, a shift into a drier  
 234 regime in WA, which is usually wet, may lead to enhanced temperature extremes  
 235 and therefore change the PDF. In the next sections we investigate the evolution of  
 236 soil moisture (Section 4) and the influence of soil moisture deficits on the observed  
 237 temperatures (Section 5).

#### 238 4 Soil moisture deficits in the western states

239 Soil moisture is an important variable during droughts. Apart from its relevance  
 240 to some sectors such as agriculture, soil moisture has the ability to influence the  
 241 atmosphere by altering the partitioning of the energy available at the land surface

242 into sensible (SH) and latent (LH) heat fluxes (Seneviratne et al. 2010), particu-  
243 larly in transitional regions between wet and dry climates. In these regions, soil  
244 moisture can feedback onto temperature (via SH) and humidity (via LH) in the  
245 boundary layer, and thus also potentially on precipitation (Guillod et al. 2015,  
246 e.g.). In addition, soil moisture is a useful drought indicator because it integrates  
247 the effect of precipitation and evaporation over time scales of a few weeks to  
248 months. In Section 5, land surface-atmosphere energy feedbacks will be discussed.

249 In this section, we examine the temporal evolution of 2015 soil moisture in the  
250 context of its recent climatology for the three western states using the land surface  
251 model CLM. We then examine the potential influence of SSTs and global warming  
252 in contributing to the dry soils using the w@h simulations.

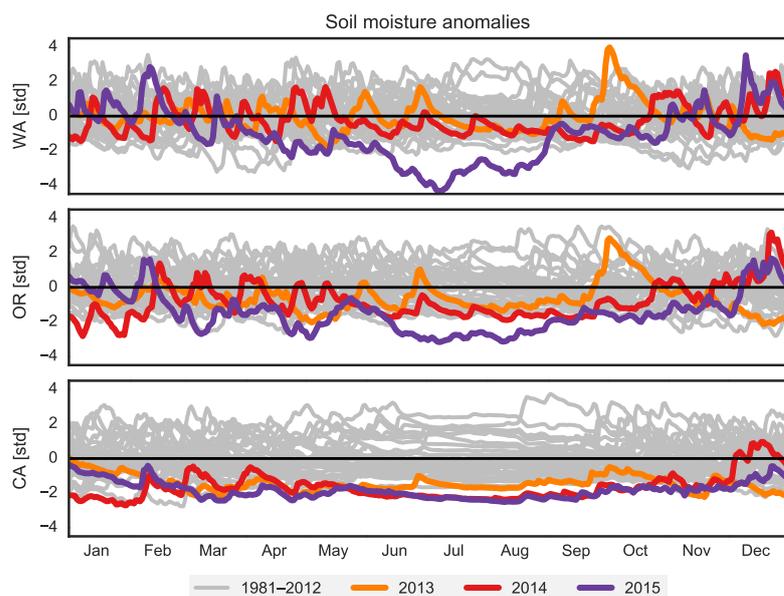
#### 253 4.1 Observed soil moisture deficits

254 Due to a lack of daily, gridded soil moisture observations, we use CLM, forced by  
255 the ERA-Interim reanalysis, as a state of the art estimate for soil moisture. Fig. 5  
256 shows the yearly time series of daily soil moisture calculated by CLM (upper 1m  
257 of soil, upper 10cm of soil shows similar time series) for each state, expressed as  
258 normalized anomalies. In CA, the three most recent years (2013–2015) have been  
259 anomalously dry in all seasons, while in WA and OR this was mostly restricted  
260 to summer months, or spring to autumn in the case of 2015. Interestingly, soil  
261 moisture increased to average conditions at the end of 2014 in all states but went  
262 back to dry conditions in March 2015. The most outstanding period is the summer  
263 of 2015 with by far the lowest soil moisture anomalies of the whole 1979–2015  
264 period in both WA and OR. The dryness at the end of May provided a good base  
265 for a heatwave, amplified by further drying in June.

#### 266 4.2 Impact of SST forcing and internal variability on soil moisture

267 Soil moisture in w@h model data provides an ideal framework to investigate the  
268 effect of SSTs on soil moisture, and compare this effect to internal variability. Here,  
269 we refer to internal variability as the chaotic variability in the atmosphere alone,  
270 i.e., excluding the oceanic variability which is prescribed in w@h and thus consid-  
271 ered as a forcing component. We analyse our w@h simulations with all forcings  
272 from 1986–2015 and investigate monthly normalised anomalies of state-averaged  
273 soil moisture (weighted average with an exponential decay function over the root  
274 zone; this includes roughly the upper 1m of the soil). Differences in the mean  
275 soil moisture between each year are driven by the SSTs, while differences between  
276 ensemble members in a given year result from chaotic variability. The ensemble  
277 means in each year (see Supplementary Figure S1) indicate no significant impact  
278 of SSTs in 2013, followed by a dry period in the first half of 2014 in all three states.  
279 Soil moisture then returned back to average, with a new strong drying in 2015 in  
280 WA and OR but not in CA.

281 These results indicate that the SSTs increased the chance of a dry spring in  
282 2015 in WA and OR. However, the variability between ensemble members is large,  
283 and more interesting is the behaviour of the dry tail of the ensemble members. In  
284 other words, we would like to investigate whether, in cases when internal variability

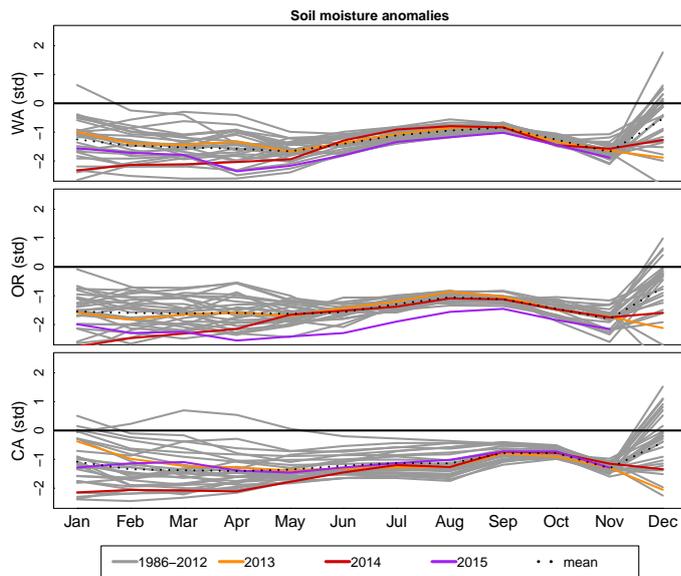


**Fig. 5** Normalized soil moisture anomaly time series for each year and each analyzed state as estimated by CLM. The time series was normalized for every day of the year individually, i.e. the seasonal cycle was removed.

285 leads to rather dry conditions (i.e. by chance), the SSTs further aggravate these  
 286 conditions. Figure 6 shows time series of the driest 5th percentile of the simulations  
 287 for each year, as well as their average from all years. The impacts of the SSTs are  
 288 similar to those on the ensemble mean described above: while 2013 falls close to  
 289 the average of all years, the first half of 2014 is rather dry. Most interestingly,  
 290 a dry 2015 is among the driest from all years in both WA and OR from about  
 291 April to late summer (Figure 6a-b), showing that part of the dryness was forced  
 292 by SSTs. In contrast, CA did not exhibit particularly high risks of dry conditions  
 293 in that year, with values in 2015 positioned around the mean of the 5th percentile  
 294 from other years (Figure 6c), indicating that internal variability has been the most  
 295 relevant factor in the dry soils in that state.

#### 296 4.3 Impact of global warming on soil moisture

297 In order to investigate the impact of global warming on average June soil moisture,  
 298 we check the difference between pre-industrial and current soil moisture conditions.  
 299 Quantile-Quantile, or QQ-plots of June soil moisture averaged over each state, for  
 300 the natural versus all forcings w@h ensemble (not shown), indicate no impact of  
 301 climate change on average soil moisture conditions.



**Fig. 6** Same as Fig. 5 but based on monthly soil moisture data and for the driest 5th percentile of the ensemble for each year of w@h simulations. The average of all years is shown as a dotted black line. The normalisation is based on all years (with a constant number of randomly chosen simulations per year).

## 302 5 Soil moisture-temperature coupling

### 303 5.1 Climatological coupling relation

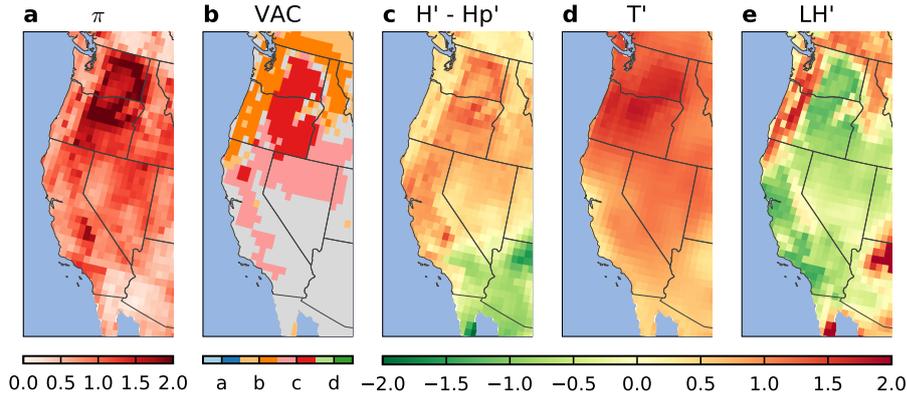
304 We quantify the climatological land–atmosphere coupling, i.e., the extent to which  
 305 soil moisture influences the atmosphere, using the correlation between seasonally  
 306 averaged air temperature and latent heat flux, see Supplement for details and  
 307 figures (Figs. S2–S3). This coupling between temperature and soil moisture in  
 308 CLM and w@h implies that the models represent well the associated feedbacks of  
 309 soil moisture with temperature. The correlation maps confirm that CA is already  
 310 in the dry regime, and that OR and WA are both in a transitional soil moisture  
 311 regime.

312 The above correlations give insight in the climatological coupling regime. In the  
 313 next paragraph we investigate the coupling between soil moisture and temperature  
 314 in June 2015 in CLM data.

### 315 5.2 Coupling in June 2015

316 The relation between soil moisture and temperature can be investigated by study-  
 317 ing different metrics. Below we show two different metrics that are investigated  
 318 for the region with CLM data.

319 A first metric to investigate the soil moisture–temperature coupling is the  $\pi$   
 320 metric developed by Miralles et al. (2012). When considering the impact of soil



**Fig. 7** Land atmosphere coupling metrics and anomalies for June 2015. (a)  $\pi$  metric, (b), VAC index, (c) sensible heat flux minus potential sensible heat flux anomaly  $H' - H'_p$ , (d) temperature anomaly  $T'$  and (e) latent heat flux anomaly  $LH'$ .

321 moisture on temperature (through the surface energy balance), one might simply  
 322 look at the relation between daily evaporation and temperature. This introduces  
 323 the complication that on a day-to-day basis, variability in evaporation or sensible  
 324 heat flux is controlled by variability in atmospheric conditions (or potential evap-  
 325 oration) rather than soil moisture. Such variations can for instance be caused by  
 326 differences in cloudiness or temperature. In order to correct for these variations,  
 327 the  $\pi$  metric consists of the product between standardized temperature anomaly  
 328 ( $T'$ , expressing the extremity of the temperature) and the standardized anomaly  
 329 in sensible heat flux corrected for the anomaly in sensible heat flux that would  
 330 occur if soil moisture was sufficient for evaporation to occur at the potential rate  
 331 ( $H' - H'_p$ , for details see Miralles et al. 2012). A related metric to diagnose the soil  
 332 moisture–temperature coupling at longer (climate) timescales is  $\Pi$ , see also the  
 333 Supplement (Fig. S4).  $\Pi$  is calculated as the correlation between (daily) sensible  
 334 heat flux and temperature, minus the correlation between the “potential” sensi-  
 335 ble heat flux (that would occur if evapotranspiration would occur under potential  
 336 rates) and temperature.

337 Figure 7a,c,d shows the spatial distribution of  $\pi$  and its two constituents  
 338  $H' - H'_p$  and  $T'$ . Panel a reveals that the coupling is strongest in WA and in  
 339 northern OR. The spatial pattern of  $\pi$  reflects variations in  $H' - H'_p$  rather than  
 340  $T'$ , whereas the overall magnitude of  $\pi$  is determined by  $T'$  rather than  $H' - H'_p$ .  
 341 This indicates that anomalies in the surface energy balance likely contributed lo-  
 342 cally to the temperature extremes, but they are not the sole mechanism that can  
 343 explain the temperature extremes. Large positive temperature anomalies can be  
 344 found over the whole of the Western US and are thus much more widespread than  
 345 strong anomalies in the surface energy balance. Note that the absolute values  
 346 for  $\pi$  are lower than those reported for the 2003 heatwave in Europe, the 2006  
 347 heatwave in the central US, and the 2010 Russian heatwave (Miralles et al. 2012,  
 348 2014). This can be explained by the longer (monthly) time period considered in  
 349 Figure 7, whereas the other studies focussed solely on the period with maximum  
 350 temperature anomalies.

351 The above  $\pi$  metric is on a monthly scale. Shorter timescales (weekly and daily)  
 352 are shown and investigated in the Supplement (Figs. S5–S6). From that analysis  
 353 we conclude that the weekly  $\pi$  metric was stronger during the June 2015 event in  
 354 WA and OR than any other time in the period 1979–2014.

355 A second metric to explore the land-atmosphere coupling is the Vegetation  
 356 Atmosphere Coupling Index (VAC; Zscheischler et al. 2015). It identifies regions  
 357 where large anomalies of T and LH occur at the same time. This yields four  
 358 combinations of negative and positive anomalies in T and LH. If anomalies of  
 359 both variables are larger than one standard deviation, we use ‘light’ colors in the  
 360 figures; if they are larger than two standard deviations, ‘dark’ colors are used. Of  
 361 the four coupling regimes, only two are relevant here:  $VAC_b$  and  $VAC_c$ .  $VAC_b$  (light  
 362 and dark orange) denotes concurrent positive anomalies in T and LH, indicating a  
 363 drying of the soil and atmospheric control. For  $VAC_c$  (light and dark red), positive  
 364 anomalies in T coincide with negative anomalies in LH, indicating dry soils and a  
 365 coupling controlled by the land surface.

366 VAC for monthly anomalies in T and LH is shown in Figure 7 (e). VAC iden-  
 367 tifies the interior of WA and OR as a region of strong land-atmosphere coupling  
 368 ( $VAC_c$ ), in close agreement to  $\pi$ . Additionally, it shows a ring of  $VAC_b$  indicating  
 369 a strong loss of soil moisture due to evapotranspiration.

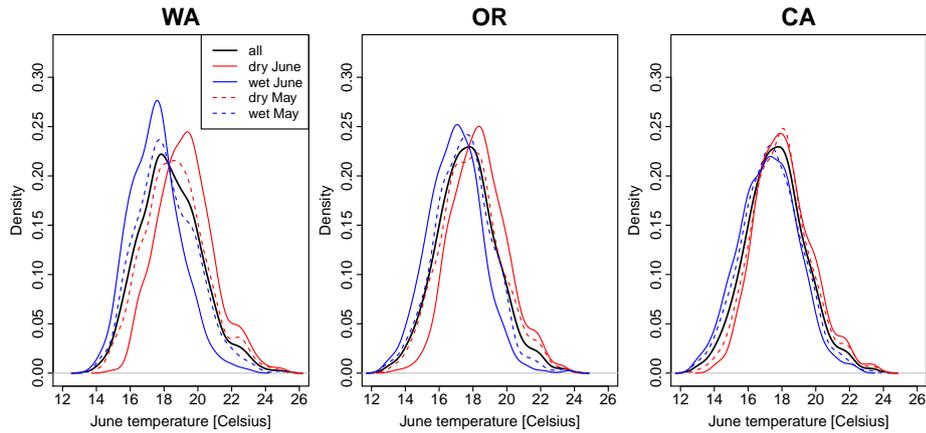
370 Both metrics agree in the strong coupling between soil moisture and temper-  
 371 ature in June 2015 in WA and also in OR. This strengthens the conclusion that  
 372 soil moisture played an important role in June 2015 in WA and OR.

### 373 5.3 Soil moisture and return periods for June 2015 temperature extremes

374 The forecast of May 2015 of the EUROSIP forecast system (European multi-model  
 375 Seasonal to Inter-annual Prediction, ECMWF) already showed positive temper-  
 376 ature anomalies for the summer of 2015 in the western US states, up to 1–2 °C  
 377 above normal in WA. In this section we further investigate the preconditioning  
 378 of temperature extremes with w@h data. Furthermore, we quantify the relation  
 379 between temperature and soil moisture in CLM.

380 We investigate the influence of wet versus dry soils on state-averaged June  
 381 temperatures in the three states, by comparing the dry half of the 2015 w@h  
 382 ensemble members with the wet half (from about 740 simulations with all forcings  
 383 for 2015). The distributions of June temperatures for all ensemble members and  
 384 for ensemble members conditioned on wet/dry May and wet/dry June monthly soil  
 385 moisture are plotted in Fig. 8, using a smoothing bandwidth of 0.4. The strong  
 386 coupling between wet/dry June soils and June temperatures is clearly visible for  
 387 WA and OR: dry soils are associated with higher temperatures. Both the mean  
 388 temperature and the hot tail become hotter for dry soils.

389 It should be noted that this strong relationship is not necessarily a causal  
 390 effect, as it may also simply emerge from the difference between ensemble members  
 391 with more clouds, rain and thus colder temperature and wetter soils, and those  
 392 with clear skies, leading to warmer temperatures and soil drying. The result from  
 393 preconditioning on wet/dry May soil moisture is in the same direction, although  
 394 somewhat weaker, as expected as soil moisture slowly loses memory. This better  
 395 isolates possible causal effects and shows that, in WA and OR, the preconditioning  
 396 of May soil moisture impacts June temperature. Note that the small blob found



**Fig. 8** PDF of June 2015 temperature conditioned on soil moisture: all simulations (black), wet and dry soils in May (blue/red dotted lines) and wet and dry soils in June (blue/red continuous lines) for w@h 2015 all forcings simulations, for the three states.

397 in the hot tail of the dry distributions is due to the smoothing and should not be  
 398 over-interpreted.

399 The general conclusion from this is that in WA and OR there is no longer a  
 400 linear relation between local temperature and the GMST, as the coupling between  
 401 temperature and soil moisture plays an important role as well. Therefore, we will  
 402 include soil moisture in our investigation of the high temperatures.

403 From the quantification of the linear relation between temperature and GMST  
 404 we see that the contribution of GMST is probably not enough to explain the  
 405 high temperatures (Section 3). We now quantify the relation of temperature with  
 406 soil moisture in CLM. Whan et al. (2015) showed that using soil moisture as a  
 407 covariate in extreme value analysis can provide an indication of the increase in  
 408 temperature expected between wet and dry soil conditions. Here, we similarly as-  
 409 sess how soil moisture influences the distribution of monthly temperature extremes  
 410 for the Western states.

411 The investigation on the coupling between temperature and soil moisture, using  
 412 antecedent soil moisture conditions (e.g., May) gives an indication if the extreme  
 413 temperatures are due to a pre-existing soil moisture anomaly, in other words if  
 414 soil moisture is a good predictor of temperature. We find that this is indeed the  
 415 case. However, we are not only interested in the predictability of temperature  
 416 from antecedent soil moisture, since dry May conditions do not guarantee low  
 417 soil moisture in the next month. In order to obtain extremely high temperatures  
 418 in June, soil moisture also needs to stay low in June. If there is precipitation in  
 419 June, temperatures will be lower and the soil will be less dry. As we are interested  
 420 in the highest temperatures, we take simultaneous measures of soil moisture and  
 421 temperature, as this includes both information on additional temperature amplifi-  
 422 cation due to feedbacks and amplification of negative soil moisture anomalies due  
 423 to weather conditions. In subsequent analyses we therefore take June soil moisture  
 424 as a covariate for June temperature.

425 For the analysis of the influence of soil moisture on temperature we first de-  
 426 trend the CLM monthly temperatures. This gives, at first order, insight into the

427 relative contribution of soil moisture on temperature anomalies, separate from  
428 global warming. There is no significant trend in soil moisture. Then, we again fit a  
429 Gaussian distribution to the detrended temperature, this time with soil moisture  
430 as the linear covariate for the mean of the distribution.

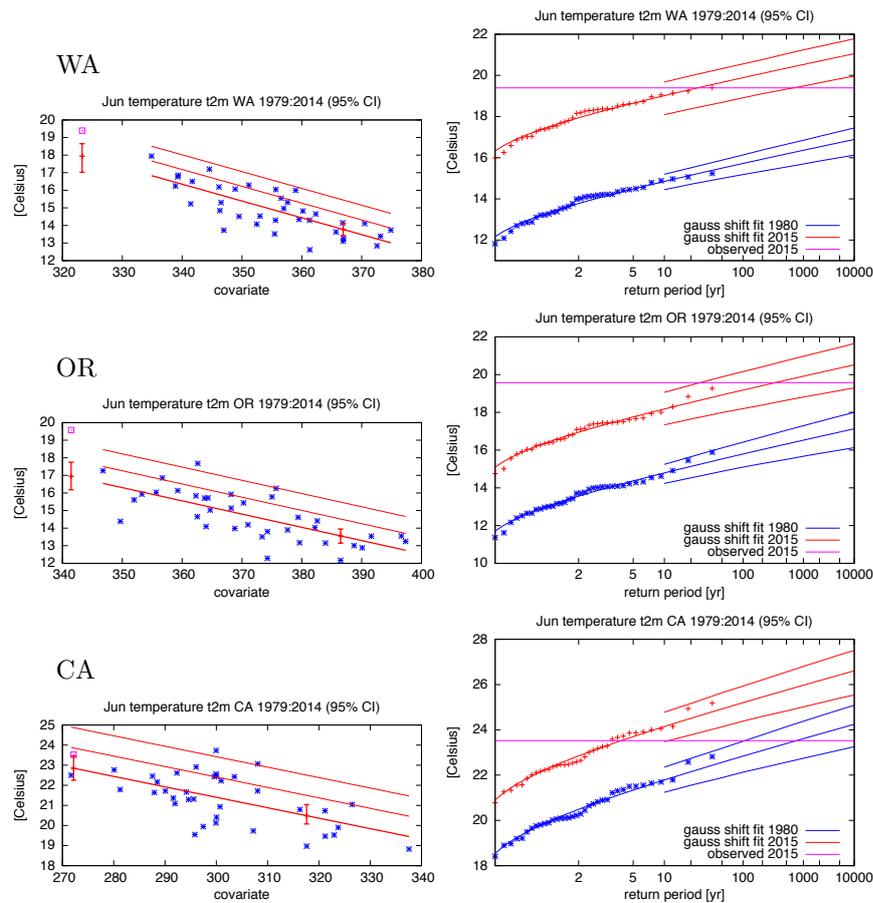
431 We have to choose soil moisture conditions representative of a dry and a wet  
432 regime to estimate return periods of temperature conditional on soil moisture. We  
433 choose the reference dry soil moisture year to be 2015, as we want to investigate  
434 the influence of this year's soil moisture on temperature. For the wet regime we use  
435 1980, as this was a year with a relatively wet soil in all three states. For WA and  
436 OR it is the 6th wettest year of the time series, and for CA the 7th wettest year.  
437 The fit (see Fig. 9) shows again that the summer temperatures of 2015 were indeed  
438 extreme in WA and OR. The extrapolated temperature/drought lines explain a  
439 large part of the anomalies of June 2015, so their extremity is explained to a  
440 large extent by the dryness. Hence, the return periods are not as extreme when  
441 we include soil moisture as when we only look at the effect of the global mean  
442 temperature rise, with return periods of the June 2015 value of 4 years for WA  
443 (95% confidence interval 2–47), 43 years for OR (95% confidence interval 7–1500)  
444 and 3 years for CA (95% confidence interval 2–6) for years with dry soil moisture.  
445 In a year with high soil moisture values, a temperature event like this would have  
446 been very unlikely.

447 Note that we do not state that soil moisture is an explanation for the tempera-  
448 ture. The positive feedback loop between low soil moisture and heat enhances the  
449 high temperatures. The causal connection between temperature and soil moisture  
450 is also present when end-of-May soil moisture is used instead of June soil moisture  
451 (not shown).

452 A direct comparison of these return periods with the return periods of obser-  
453 vations of local T2m extremes is not possible as, for the analysis with GMST as  
454 a covariate, we use the long GISTEMP dataset. However, the correlation of CLM  
455 temperature and GISTEMP temperature is high (0.99, 0.98 and 0.96 for WA, OR  
456 and CA respectively). An analysis of CLM temperatures with GMST as a covari-  
457 ate gives return periods for 2015 in the same order of magnitude as the analysis  
458 with GISTEMP temperatures as mentioned in Section 3. The same holds for an  
459 analysis of GISTEMP temperature against CLM soil moisture.

460 A similar analysis in which we replace the detrended temperatures with actual  
461 temperatures but still take soil moisture as a covariate shows the combined effect  
462 of global warming and wet/dry soils. A comparison with the detrended analysis  
463 then gives, to first order, insight in the relative contributions of global warming and  
464 drought separately. For WA, the return period of the (not-detrended) temperature  
465 extreme is in the order of 24 years in case of dry soils, whereas the detrended  
466 temperature anomaly has a return period of 4 years in case of dry soils. The  
467 difference due to detrended temperatures is thus of the order of a factor of 6,  
468 whereas the difference in return period between wet and dry June soils is very  
469 large (i.e.  $> 10^7$  for WA and OR). For OR, the detrended and actual return  
470 periods are 325 and 43 (which gives a difference of a factor of 8). In CA, the  
471 difference is not significant. This means that, in WA and OR, the dry soil has a  
472 larger influence on the temperature extreme than the increased GMST.

473 From the relation between soil moisture and temperature, we conclude that  
474 the return periods including the effects of soil moisture are not as extreme as the  
475 return periods including only the effect of GMST rise. The June 2015 values fit



**Fig. 9** Gaussian distribution that shifts with the monthly soil moisture content. Left: Monthly detrended CLM June temperature against the soil moisture value (1m) of the same month. The thick line denotes the location parameter and the thin lines are 1 sigma and 2 sigma above. The purple box shows the 2015 value, which was not used in the calculations, and the two vertical red lines show the 95% contour interval at the level of the 1980 and 2015 climates. Right: Return periods for temperatures shifted upwards towards dry soil conditions (red line, 2015) and for temperatures shifted downwards towards wetter soil conditions (blue line, 1980).

476 well in the extrapolated temperature/drought lines, whereas in a year with high  
 477 soil moisture values a temperature event like 2015 would have been very unlikely.  
 478 The magnitude of the temperature anomaly thus fits well in the expected increase  
 479 in temperature in the presence of dry soils, in a region that is in transition from a  
 480 wet to dry regime.

481 Arguably, there are some differences between the states both in terms of the  
 482 extremity of the temperatures as well as their impacts. Although the temperature  
 483 anomaly was very high in CA, it was not as extreme as in WA or OR. Furthermore,  
 484 CA was already in the dry regime in the summer months, whereas WA and OR  
 485 are in the transitional regime. In terms of resilience and adaptation we have to  
 486 keep in mind that in CA most of the farmlands are irrigated, but in WA and OR

487 this is much less the case. In addition, there is a particularly high prevalence of  
488 air conditioning in inland CA. Therefore, the impact of the extreme temperature  
489 of June 2015 may well have been much larger in WA and OR than in CA.

## 490 6 Conclusions

491 The Western US states WA, OR and CA experienced extremely high temperatures  
492 in June 2015, especially WA and OR. The state-averaged June temperatures were  
493 record high, even in the long GISTEMP dataset. Fitting a Gaussian distribution  
494 to the data, shifting with GMST as a covariate, showed that the June 2015 tem-  
495 perature anomalies were so extreme that they cannot be explained with global  
496 warming alone. The w@h ensemble deviates from a Gaussian distribution for the  
497 highest temperature values.

498 Models driven by reanalysis show that soil moisture was very low at the time  
499 of the event. The soil moisture-temperature coupling metrics  $\pi$  and VAC both  
500 show that there is strong coupling between soil moisture and temperature in June  
501 2015 in WA and OR. This means that, whereas CA is already in the dry regime,  
502 OR and WA are both in a transitional soil moisture regime where soil moisture  
503 changes affect temperature.

504 A PDF of w@h June temperature conditioned on soil moisture shows that for  
505 high temperatures there is no longer a linear relation between regional temperature  
506 and the global mean surface temperature. Both the mean temperature and the hot  
507 tail become hotter for dry soils, especially in WA and OR. From this we conclude  
508 that coupling between temperature and soil moisture plays an important role as  
509 well.

510 From the relation between soil moisture and temperature found above we hy-  
511 pothesized that we need to take soil moisture into account in investigating return  
512 periods of extreme temperatures. Using soil moisture as a covariate in the Gaus-  
513 sian model fit provides an indication of the magnitude increase in temperature  
514 expected between specified wet and dry conditions after taking the trend into  
515 account linearly. The June 2015 temperature values fit well in the extrapolated  
516 temperature/drought lines from a gaussian fit of temperatures with soil moisture  
517 as a covariate. The temperature anomalies in WA and OR thus fit well in the  
518 expected increase in temperature in the presence of dry soils, in regions that are  
519 in the transition from a wet regime towards a dry regime. In CA we find that, be-  
520 cause the region is already in the dry soil moisture regime, the necessity of taking  
521 soil moisture changes into account is of lower importance.

522 We thus find that, on top of the expected global warming trend, the dry summer  
523 also contributed to the temperature extreme, with the remainder due to weather  
524 fluctuations (that were made more likely due to the SST pattern of this summer).

525 The conclusions imply that for all regions that are in the transition zone from  
526 wet to dry, soil moisture is an important factor in temperature extremes. This  
527 may also become the case for regions that will be in such a transition in future, as  
528 temperatures continue to rise. Similar or even warmer events can then be expected  
529 more often in the future, and events can be warmer than expected from the local  
530 trend with respect to GMST alone, due to the coupling with soil moisture (Vogel  
531 et al. 2017).

532 The feedback between soil moisture and temperature is a two-way coupling:  
533 soil moisture affects temperature and vice versa. In a wet-to-dry transition zone,  
534 the higher temperatures that are reached due to anthropogenic climate change  
535 may lead to drier conditions during hot periods. Therefore agricultural droughts  
536 may become more common. Besides, in those (future) transition zones, extreme  
537 temperatures might become more extreme than expected from the rising GMST  
538 alone; they will be influenced by drier soils as well.

539 An additional factor that may well have contributed to the summer drought is  
540 reduced water storage in snow. According to Mote et al. (2016a), climate change  
541 reduced snow accumulation on the mountains, exacerbating the drought. Global  
542 temperature changes may lead to a change in the partitioning of precipitation  
543 falling as rain or snow. Increased winter temperatures will lead to reduced snow-  
544 packs (even if precipitation increases, it is more likely to fall as rain than snow),  
545 and increased spring temperatures will lead to earlier melting of the remaining  
546 snowpacks, with peak streamflows from snowmelt occurring well before the dry  
547 summer season. Both effects will lead to a reduction in high altitude snow storage  
548 and reduced water availability for the summer. Excess liquid water, that in the  
549 past would have fallen as snow, may also need to be released from storage earlier  
550 in the year if there is insufficient capacity to dam it in liquid form. These condi-  
551 tions will occur more frequently in the future, leading to more extreme summer  
552 temperatures than expected from a simple shift of the distribution with the global  
553 temperature trend.

554 In this study we limited the areal event definition to three individual states.  
555 Results for sub-regions might be slightly different. However, by focusing on a state  
556 as a whole, we select a region which is not defined by the event's boundaries. This  
557 is necessary to avoid creating extreme events by construction and permits a more  
558 general interpretation of the results. In addition, results based on state boundaries  
559 can be easily compared with other (future) studies.

560 We investigated the instantaneous relation between soil moisture and tempera-  
561 ture, which includes effects of the positive feedback between low soil moisture and  
562 heat that enhances the high temperatures. We did not disentangle the feedback  
563 by investigating the response of temperature to soil moisture or soil moisture to  
564 temperature separately. For this a modelling approach is required in which the  
565 processes can be individually controlled (Hauser et al. 2016). This was beyond the  
566 scope of this study.

567 An assumption we made in our analysis is that there is a linear dependence of  
568 temperature and soil moisture on the (low pass filtered) global mean temperature.  
569 This is represented by a linear shift in the location parameter of the Gaussian fits.  
570 For temperature, this has been proposed and tested in earlier studies. The depen-  
571 dence of temperature on soil moisture is not yet confirmed by many studies. For  
572 soil moisture it probably also depends on whether the region is wet, in transition  
573 from wet to dry, or dry. Here, in line with studies on temperature, we choose for  
574 the simplest assumption, a linear shift of the PDF depending on soil moisture,  
575 to keep method simplest for all three regions. Future studies can focus more on  
576 investigating this assumption.

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