

Hydrodynamic simulations of black hole evolution in AGN discs – I. Orbital alignment of highly inclined satellites

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ABSTRACT

The frequency of compact object interactions in AGN discs is naturally tied to the number of objects embedded within it. We investigate the evolution of black holes in the nuclear stellar cluster on inclined orbits to the AGN disc by performing adiabatic hydrodynamical simulations of isolated black hole disc crossings over a range of disc densities and inclinations $i \in [2^\circ, 15^\circ]$. We find radiation dominates the pressure in the wake that forms around the BH across the full inclination and disc density range. We identify no well defined steady state wake morphology due to the thin geometry of the disc and the vertical exponential density drop off, where the wake morphology depends on the vertical depth of the transit within the disc. The inclination damping Δi relative the pre-transit inclination behaves as a power law in $\sin(i)$ and the ambient Hill mass $m_{\text{H},0}$ as $\Delta i/i \propto m_{\text{H},0}^{0.4} \sin(i)^{-2.7}$. The drag on the BH is dominated by the gravity of the wake for the majority of our inclination range until accretion effects become comparable at $\sin(i) \gtrsim 30H_0/R_0$, where H_0/R_0 is the disc aspect ratio. At low inclinations ($\sin(i) \lesssim 3H_0/R_0$) the wake morphology becomes more spherical, leading to a regime change in the inclination damping behaviour. Our results suggest that the inclination damping time-scale is shorter than expected from only episodic Bondi–Hoyle–Lyttelton accretion events during each transit, implying inclined objects may be captured by the AGN disc earlier in its lifetime than previously thought.

Key words: accretion discs – hydrodynamics – planets and satellites: dynamical evolution and stability – galaxies: nuclei.

1 INTRODUCTION

It is expected that AGN discs will modify the angular momentum distribution of objects (stars and black holes) in the Nuclear Stellar Cluster (NSC) that cross through the highly dense accretion disc, within the lifetime of the AGN. Previous analytic (Syer, Clarke & Rees 1991; McKernan et al. 2012; Bartos et al. 2017; Yang et al. 2019b; Fabj et al. 2020; MacLeod & Lin 2020) and semi-analytic (Tagawa, Haiman & Kocsis 2020a; Rowan, Whitehead & Kocsis 2024a; Xue et al. 2025) studies have shown that objects that transit through the AGN disc can experience significant inclination damping due to dynamical gas drag and linear momentum accretion from the gas. As a result, an initially isotropic distribution will become flattened along the axis parallel to the angular momentum of the disc, with many objects initially on lower inclinations becoming fully embedded in the AGN disc.

AGN could host a non-negligible fraction of compact object mergers (e.g. Bartos et al. 2017; Yang et al. 2019a, b; McKernan et al. 2020a; Tagawa et al. 2020a, b, 2021; McKernan, Ford & O’Shaughnessy 2020b; Delfavero et al. 2025; Rowan et al. 2024a; Xue et al. 2025) detected by current (e.g. Abbott et al. 2016, 2019,

2020a, b, c, d, 2022, 2023a, b, c; Venumadhav et al. 2020) and future (e.g. Hild, Chelkowski & Freise 2008; Amaro-Seoane et al. 2017; Reitze et al. 2019; Adhikari et al. 2020) gravitational wave (GW) detectors. Mergers in the so-called ‘AGN channel’ are facilitated by a diverse array of phenomena including black hole binary formation through the ‘gas-capture’ mechanism (Li et al. 2023; DeLaurentiis, Epstein-Martin & Haiman 2023; Rowan et al. 2023, 2024b; Rozner, Generozov & Perets 2023; Whitehead et al. 2024a, b; Whitehead, Rowan & Kocsis 2025a) and Jacobi captures (e.g. Trani, Fujii & Spera 2019a; Trani et al. 2019b; Boekholt, Rowan & Kocsis 2023), BH binary-single encounters with (e.g. Rowan et al. 2025; Wang et al. 2025), and without gas (e.g. Leigh et al. 2018; Ginat & Perets 2021; Fabj & Samsing 2024) and binary hardening through gas induced torques (Baruteau, Cuadra & Lin 2011; Li et al. 2021, 2022; Dempsey et al. 2022; Li & Lai 2022, 2023, 2024; Rowan et al. 2023; Dittmann, Dempsey & Li 2024; O’Neill et al. 2024; Vaccaro et al. 2024).

The literature suggests that many merging BHs will have formed through the gas-capture mechanism and then brought to merger through a combination of gas torques and binary-single interactions. The number and physical parameter distribution (i.e. mass, mass ratio etc) of merging binaries in the AGN channel is sensitive to several parameters, but primarily the achievable number density of objects embedded in the disc (e.g. Tagawa et al. 2020a; Rowan et al. 2024a) and the AGN lifetime t_{AGN} (Delfavero et al. 2025; Xue et al. 2025).

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The number density governs the frequency of object interactions and t_{AGN} gives the time-scale over which they will have gas to drive orbital migration through the disc and encounter each other (Secunda et al. 2019, 2021), assist in binary formation and dissipate their orbital energy thereafter. The embedded number density across the AGN disc will increase over time as more objects damp their inclination, until a balance is achieved between objects embedding themselves and the reduction due to mergers and the scattering of objects back out of the disc through scattering (Delfavero et al. 2025; Xue et al. 2025). The anticipated number density depends intimately on how efficiently the inclination is damped. Typically in the literature, an inclined BH's orbital elements are evolved according to Bondi–Hoyle–Lyttelton (BHL) drag (e.g. Bartos et al. 2017; Tagawa et al. 2020a), where t_{damp} is inversely proportional to the accreted mass per transit. However, whether the true drag on the BH can be accurately captured by BHL drag (which assumes the BH is moving with constant velocity through an infinite uniform medium) remains to be tested.

In this work, we perform high resolution radiative adiabatic hydrodynamical simulations of BH's on inclined orbits crossing the AGN disc. We perform a total of 79 simulations covering inclinations in the range $i \in [2^\circ - 15^\circ]$. This work is presented alongside a sibling paper Whitehead, Rowan & Kocsis (2025b), hereafter W25b, which covers the low inclination regime $i \in [0^\circ - 2^\circ]$. Together, these two papers simulate the orbital re-alignment of moderately to lowly inclined BHs, moving towards a more accurate model for the alignment time for inclined objects in AGN. The structure of this paper is as follows. We outline our computational methods and assumptions in Section 2. We present our results in Section 3. The implications and limitations of our findings are discussed in Section 4. We summarize our results in Section 5.

2 METHODS

The system is modelled using the Eulerian general relativistic magnetohydrodynamics code ATHENA++ (Stone et al. 2020), simulating a portion of the AGN disc in 3D as a shearing box (e.g. Goldreich & Tremaine 1978; Hawley, Gammie & Balbus 1994, 1995). The natural length-scale of the system is the Hill sphere of the binary

$$r_{\text{H}} = R_0 \left(\frac{m_{\text{BH}}}{3M_\bullet} \right)^{1/3}, \quad (1)$$

where R_0 is the radial position of the shearing box centre from the supermassive black hole (SMBH), M_\bullet is the SMBH mass and m_{BH} is the BH mass.

2.1 The shearing box

The shearing box lies in a non-inertial reference frame that co-rotates with the AGN disc at a fixed radius R_0 and angular frequency $\Omega_0 = \sqrt{GM_\bullet/R_0^3}$. The Cartesian coordinate system of the shearing box $\{x, y, z\}$ can be translated to a position in the global AGN disc in cylindrical coordinates $\{R, \phi, z\}$ via

$$\mathbf{r} = \begin{pmatrix} R \\ \phi \\ z \end{pmatrix} = \begin{pmatrix} R_0 + x \\ \Omega_0 t + y/R_0 \\ z \end{pmatrix}. \quad (2)$$

The gas and BH are subject to accelerations from the SMBH in the co-rotating frame according to

$$\mathbf{a}_{\text{SMBH}} = 2\mathbf{u} \times \Omega_0 \hat{z} + 2q\Omega_0^2(x - x_{\text{sh}})\hat{x} - \Omega_0^2 z \hat{z}, \quad (3)$$

where \mathbf{u} is the object's velocity, and $q = -\frac{d \ln \Omega}{d \ln R} = \frac{3}{2}$ is the velocity shearing term for a Keplerian disc and x_{sh} is the centre of the shearing frame, i.e. the co-rotation radius.

The boundary conditions at the x and z boundaries are set to outflow, i.e. the flow properties are reset to their initial values at the start of the simulation. The inflow regions assume the initial gas velocities and densities at the start of the simulation; $\Sigma = \Sigma_0$ (see Section 2.6.1) and $\{u_x, u_y, u_z\} = \{0, -q\Omega(x - x_{\text{sh}}), 0\}$.

$$(y = y_{\text{max}}) : \begin{cases} \text{outflow} & x < x_{\text{sh}}, \\ \text{refill} & x > x_{\text{sh}}, \end{cases} \quad (4)$$

$$(y = y_{\text{min}}) : \begin{cases} \text{refill} & x < x_{\text{sh}}, \\ \text{inflow} & x > x_{\text{sh}}. \end{cases} \quad (5)$$

The dimensions of the shearing box are $\{\Delta x, \Delta y, \Delta z\} = \{17 \times 34 \times 13.5\} H_0$, i.e. $y_{\text{min}}, y_{\text{max}} = -17H_0, 17H_0$ where H_0 is the disc scale height, see Section 2.6.1. We adopt a comparatively large boxsize compared to the wakes generated so the acceleration to the plane of the disc is captured accurately throughout the transit. While less of a concern in our vertically stratified set-up, simulations within uniform media can lead to large scale accelerations being divergent with the boxsize (see Wang & Li 2022).

2.2 Gas dynamics

2.2.1 Equations of motion

ATHENA++ solves the fluid equations in Eulerian form through the extended Navier–Stokes equations:

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0, \quad (6)$$

$$\frac{\partial (\rho \mathbf{u})}{\partial t} + \nabla \cdot (\rho \mathbf{u} \mathbf{u} + P \mathbf{I} + \Pi) = \rho (\mathbf{a}_{\text{SMBH}} - \nabla \phi_{\text{BH}}). \quad (7)$$

Here, ρ , \mathbf{u} , P , Π , are the cell gas density, velocity, pressure, and viscous stress tensor

$$\Pi_{ij} = \Sigma \nu \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} - \frac{2}{3} \delta_{ij} \nabla \cdot \mathbf{u} \right). \quad (8)$$

For simplicity and to reduce computational expense, we ignore any magnetic and viscous effects, i.e. $\nu = 0$. We neglect any cooling effects.

The remaining $\nabla \phi_{\text{BH}}$ term is the acceleration from the stellar mass BH

$$-\nabla \phi_{\text{BH}}(\mathbf{r}) = m_{\text{BH}} g \left(\frac{\mathbf{r} - \mathbf{r}_{\text{BH}}}{h} \right), \quad (9)$$

where $g(s)$ is the gas gravitational softening kernel (e.g. Price & Monaghan 2007)

$$g(s) = -\frac{G}{h^2} \hat{s} \begin{cases} \frac{32}{3}s - \frac{192}{5}s^3 + 32s^4 & 0 < s \leq \frac{1}{2}, \\ -\frac{1}{15s^2} + \frac{64}{3}s - 48s^2 + \frac{192}{5}s^3 - \frac{32}{3}s^4 & \frac{1}{2} < s \leq 1, \\ \frac{1}{s^2} & s > 1. \end{cases} \quad (10)$$

We set the softening length to a hundredth of the Hill sphere of a single BH, i.e. $h = 0.01r_{\text{H}}$. The small softening is necessary so that we do not soften on a scale larger than the standoff distance of the bow shocks produced, as this would incorrectly model the pressure balance at the shock front.

2.2.2 Equation of state

We evolve the energy equation according to

$$\frac{\partial E}{\partial t} + \nabla \cdot [(E + P)\mathbf{u} + \mathbf{\Pi} \cdot \mathbf{u}] = \rho \mathbf{u} \cdot (\mathbf{a}_{\text{SMBH}} - \nabla \phi_{\text{BH}}). \quad (11)$$

Here, P is the pressure, E is the fluid energy per unit volume, comprised of kinetic K and internal U components,

$$E = K + U = \frac{1}{2} \rho \mathbf{u} \cdot \mathbf{u} + U. \quad (12)$$

We utilize a gamma law equation of state assuming a two component mixture of gas and radiation in local thermal equilibrium, where the pressure and energy density have a gaseous and radiation contribution,

$$P = P_{\text{gas}} + P_{\text{rad}} = \frac{k_{\text{B}}}{\mu_{\text{p}} m_{\text{p}}} \rho T + \frac{1}{3} a_{\text{rad}} T^4, \quad (13)$$

$$U = U_{\text{gas}} + U_{\text{rad}} = \frac{3}{2} \frac{k_{\text{B}}}{\mu_{\text{p}} m_{\text{p}}} \rho T + a_{\text{rad}} T^4. \quad (14)$$

Here k_{B} , m_{p} , a_{rad} are the Boltzmann constant, mean molecular weight, proton mass, and radiation constant, respectively. We adopt a mean molecular mass of $\mu_{\text{p}} = 0.6$. The adiabatic sound speed c_{s} for the gas-radiation mixture can be calculated from the first adiabatic exponent Γ (e.g. Chandrasekhar 1939)

$$c_{\text{s}}^2 = \Gamma \frac{P}{\rho}, \quad (15)$$

$$\Gamma = \frac{32 - 24\beta - 3\beta^2}{24 - 21\beta}, \quad (16)$$

$$\beta = \frac{P_{\text{gas}}}{P_{\text{gas}} + P_{\text{rad}}}, \quad (17)$$

such that $\Gamma \rightarrow \frac{4}{3}(\frac{5}{3})$ for $\beta \rightarrow 0(1)$. To avoid the computational expense calculating the temperature through multiple inversions per cell per time-step (a significant computational burden) a lookup table (LUT) of thermodynamic values is instead passed to ATHENA++ (Coleman 2020) from which the code can then interpolate the values, providing directly the required mappings of

$$P = f_1(\rho, E), \quad (18)$$

$$E = f_2(\rho, P), \quad (19)$$

$$c_{\text{s}} = f_3(\rho, P). \quad (20)$$

As memory access time is independent of the size of an array, we can provide large (1000×1000) LUTs which provide great accuracy without an increase in runtime. We do not expect the minor deviations from analytical values to have any significant effect on the hydrodynamics.

2.3 The black hole

The black hole is represented by a point-like particle, which responds to the gravity of the gas (see equation 9) and acceleration due to the SMBH (equation 3). We also consider drag on the BH via the accretion of gas during the transit. The BH accretes momentum from the gas within a sink radius which we set equal to the softening radius, $r_{\text{sink}} = h$. We compute the accelerations due to accretion by projecting the linear momentum of each gas cell within r_{sink} on to the radial vector from the BH

$$\mathbf{p}_{\text{r}} = \sum_i^{N_{\text{acc}}} [m_i (\mathbf{v}_i - \mathbf{v}_{\text{BH}}) \cdot (\mathbf{r}_i - \mathbf{r}_{\text{BH}})] \frac{\mathbf{r}_i - \mathbf{r}_{\text{BH}}}{\|\mathbf{r}_i - \mathbf{r}_{\text{BH}}\|^2}, \quad (21)$$

so as not to accrete any angular momentum from the gas (e.g. Dempsey, Muñoz & Lithwick 2020). The acceleration from accretion is then calculated via

$$\mathbf{a}_{\text{acc}} = \frac{f_{\text{acc}}}{\Delta t} \frac{\mathbf{p}_{\text{r}}}{m_{\text{BH}}}, \quad (22)$$

where Δt is the simulation time-step.¹ The remaining factor f_{acc} is a scaling factor which limits accretion beyond the Eddington limit.

$$f_{\text{acc}} = \begin{cases} 1 & M_{\text{acc}} \leq M_{\text{Edd}}, \\ \frac{M_{\text{Edd}}}{M_{\text{acc}}} & M_{\text{acc}} > M_{\text{Edd}}, \end{cases} \quad (23)$$

$$M_{\text{Edd}} = \dot{M}_{\text{Edd}} \Delta t = \frac{L_{\text{Edd}}}{\xi c^2} \Delta t, \quad (24)$$

where L_{Edd} is the Eddington luminosity

$$L_{\text{Edd}} = \frac{4\pi G m_{\text{BH}} \mu_{\text{p}} m_{\text{p}} c}{\sigma_{\text{T}}}. \quad (25)$$

The remaining terms are the speed of light c , the proton mass m_{p} , the Thomson cross-section σ_{T} and the efficiency of accretion on to the stellar mass BH ξ , which we take to be 0.1. Note that we do not actually evolve the mass of the BH or deplete gas within the cells of the simulation. While this may slightly modify the mass flux around the BH, this is a negligible consideration for our Eddington-limited accretion rate. Instead, as we will see in Section 3, the dynamics of the gas within the softening/accretion radius are dominated by chaotic gas inflows/outflows generated within the bowshock generated by the BH.

As we consider high inclinations in the BH orbit ($i \sim 2^\circ - 15^\circ$) relative to the disc, the small angle approximation of the shearing box breaks down when considering the full orbit of the BH. However, we make the assumption that any significant impulse on the BH only takes place when the BH transitions through the thin AGN disc. With this, we can ignore the lateral motion of the BH we would expect (in the frame of the shearing box) and model the orbit of the BH purely through changes in its momentum when the BH is within the shearing box domain, where the BH velocity and position components can be reliably described under the transformation of equation (2). The inclination of the BH after each transit can be measured directly via

$$i = \arcsin \left(\frac{z_{\text{max}}}{R_0} \right), \quad (26)$$

where z_{max} is the maximum height reached by the BH from the box mid-plane after each transit.

2.4 The azimuthal headwind

We initialize the simulation with the BH $\{x, y\}$ position in the centre of the box. The shearing frame is set such that the azimuthal velocity of the BH during its transit through the disc is zero in the reference frame of the box. To accomplish this, the shearing centre of the box is given by $x_{\text{sh}} = \Delta v_y / (q \Omega_0)$ where $\Delta v_y = v_{\text{Kep}} [1 - \cos(i)]$, $v_{\text{Kep}} = \sqrt{GM_{\bullet} / R_0}$. This means the BH, which has initially zero \hat{y} velocity component in the simulation frame, will experience a headwind in the \hat{y} direction from the gas with velocity Δv_y as it passes through the gas.

¹Note we are ignoring any potential momentum gains from accreting the surrounding radiation.

2.5 Mesh refinement

We apply an adaptive mesh refinement (AMR) procedure, allowing us to resolve the area around the BHs to a high degree, whilst minimizing compute time and resources. The mesh closer to the location of the BH becomes more refined, down to a maximum refinement level. In all simulations shown here, we maintain a base resolution of $192 \times 768 \times 192$ with a minimum refinement level of 6. For our box size, this gives a maximal and minimal cell size of $\delta_{\max} \simeq 0.028r_{\text{H}}$ and $\delta_{\min} \simeq 0.00086r_{\text{H}}$. The softening/accretion length is resolved by $(h/\delta_{\min})^3 \gtrsim 1300$ cells. The AMR scheme is centred on the BH and moves with it throughout the simulation, ensuring the flow around the BH is resolved at all times. The refinement algorithm begins refinement at a distance of $0.5r_{\text{H}}$ from the BH and reaches maximal refinement at a distance of $0.2r_{\text{H}}$. Outside of this radius, the resolution transitions to its base value.

2.6 Initial conditions

2.6.1 The AGN disc

We obtain a fiducial ambient surface density Σ_0 and sound speed $c_{s,0}$ of the gas in the shearing box from AGN disc profiles generated using pAGN (Gangardt et al. 2024) to ensure accurate AGN disc properties. In this paper, we consider a fiducial set-up assuming an AGN disc with an Eddington fraction $L_{\epsilon} = 0.1$, radiative efficiency $\epsilon = 0.1$ and hydrogen/helium fractions $X/Y = 0.7/0.3$. We set $M_{\bullet} = 10^7 M_{\odot}$ and the shearing box radius is set to $R_0 = 0.01$ pc. For these parameters, this gives $\Sigma_0 \simeq 1.6 \times 10^5 \text{ kg m}^{-2}$, $c_{s,0} \simeq 11.9 \text{ km s}^{-1}$ and disc thickness ratio $H_0/R_0 \simeq 0.0057$. In Section 3.3, we consider alternate ambient densities by modifying the fiducial value above. We perform four simulation suites, covering four disc densities $\Sigma = \{0.25, 0.5, 1, 2\} \Sigma_0$.

2.6.2 The BHs

We maintain a fixed BH mass of $25 M_{\odot}$ with initial inclinations in the range of $i \in [2^{\circ} - 15^{\circ}]$, which are used to set their initial z position via $z_{\max,i} = R_0 \sin(i)$. Parametrizing the inclination by the scale height, this corresponds to $\sin(i) \in [5 - 45] H_0/R_0$. The BH trajectories assume circular orbits with zero eccentricity. We limit ourselves to a maximum inclination of 15° as beyond this the BHL radius becomes comparable with our softening length.

2.6.3 Repeated encounters

We find that at an approximate inclination of $\sim 3^{\circ} \sim 5H_0/R_0$ or below, the dynamics of a second encounter are affected non-negligibly by the perturbation to the disc from the first. This invalidates our assumption that we can capture the inclination damping by simulating individual transits. Therefore, we evolve these lower inclination systems continuously in time. This demands a significantly greater computational expense as the simulation must be integrated for longer and more notably the BH spends more time in the domain of the hydro mesh, which is highly refined around the BH.

3 RESULTS

3.1 Fiducial example ($i = 5^{\circ}$)

We first present an example transit from our fiducial run with an initial inclination of 5° . A visual timeline of the transit is shown through $\{y, z\}$ density slices of the simulation domain at $x = 0$ in Fig. 1 (top panel) as well as zoom-ins of the wake morphology close to the BH (bottom panel).

The high vertical velocity of the BH as it begins to penetrate the upper atmosphere of the disc ($z = 2.5H_0$) leads to the formation of a strong bow shock that continues to propagate through the disc after the BH exits on the opposing side. The flow immediately behind the BH remains highly volatile with Kelvin–Helmholtz flow instabilities generated on the inner edges of the shock front that trails the BH. This is consistent with previous works that include radiation pressure in BHL like flows (e.g. Blondin et al. 1990).

We display additional physical properties of the wake at $z/H_0 = 0.21$ in Fig. 2. These are the pressure, temperature, the ratio of gas pressure to total pressure β and the radial velocity of the gas with respect to the BH v_r .

The pressure contrast across the shock front is approximately two to three orders of magnitude, with a similar contrast in temperature. We find the pressure is intensely radiation dominated ($1 - \beta > 0.9$) at the shock front ahead of the black hole and remains important ($1 - \beta \gtrsim 0.5$) in the trailing wake out to a distance of $\sim r_{\text{H}}/2$. This finding applies to all simulations in this study. Therefore we encourage future studies to include the effects of radiation pressure, as we will see this region dominates the gravitational drag on the BH (Section 3.2).

Looking at the last panel of Fig. 2, we note the absence of a well-defined BHL tail in the flow around the BH, despite our softening radius and cell size being $\sim 1/20R_{\text{BHL}}$ and $\sim 1/200R_{\text{BHL}}$, where R_{BHL} is the BHL radius given by

$$R_{\text{BHL}} = \frac{2Gm_{\text{BH}}}{v_{\text{rel}}^2 + c_s^2} \quad (27)$$

$$\simeq \frac{m_{\text{BH}}R_0}{M_{\bullet} \sin^2(i/2)} \quad (v_{\text{rel}} \gg c_s). \quad (28)$$

These size comparisons assume the BHL radius is at its minimum value over the course of the orbit (i.e. where v_{rel} is maximized), which occurs at the crossing of the mid-plane where $v_{\text{rel}} = 2\sqrt{GM_{\bullet}/R_0} \sin(i/2)$. In principle, the BHL radius is larger further from the mid-plane as z is reduced. The chaotic flow close to the BH in our simulations prevents the formation of a steady and well-defined accretion tail typical of a BHL flow, consistent with previous simulations of BHL flows which include radiation pressure (e.g. Blondin et al. 1990; Edgar & Clarke 2004). The BHL formalism also assumes the medium is uniform, where as in our case the BH passes through a density gradient spanning six orders of magnitude (mid-plane to background density) in a short time-scale. Krumholz, McKee & Klein (2006) report that BHL accretion can be easily exceeded when the medium is turbulent, suggesting that the flow may not be accurately described by BHL drag when the density is changing rapidly.

3.2 Dissipation sources

To assess the work done by the gas against the vertical motion of the BH, we define the ‘vertical’ energy of the BH as

$$E_z = \frac{1}{2} \dot{z}^2 + \frac{1}{2} \Omega_0^2 z^2. \quad (29)$$

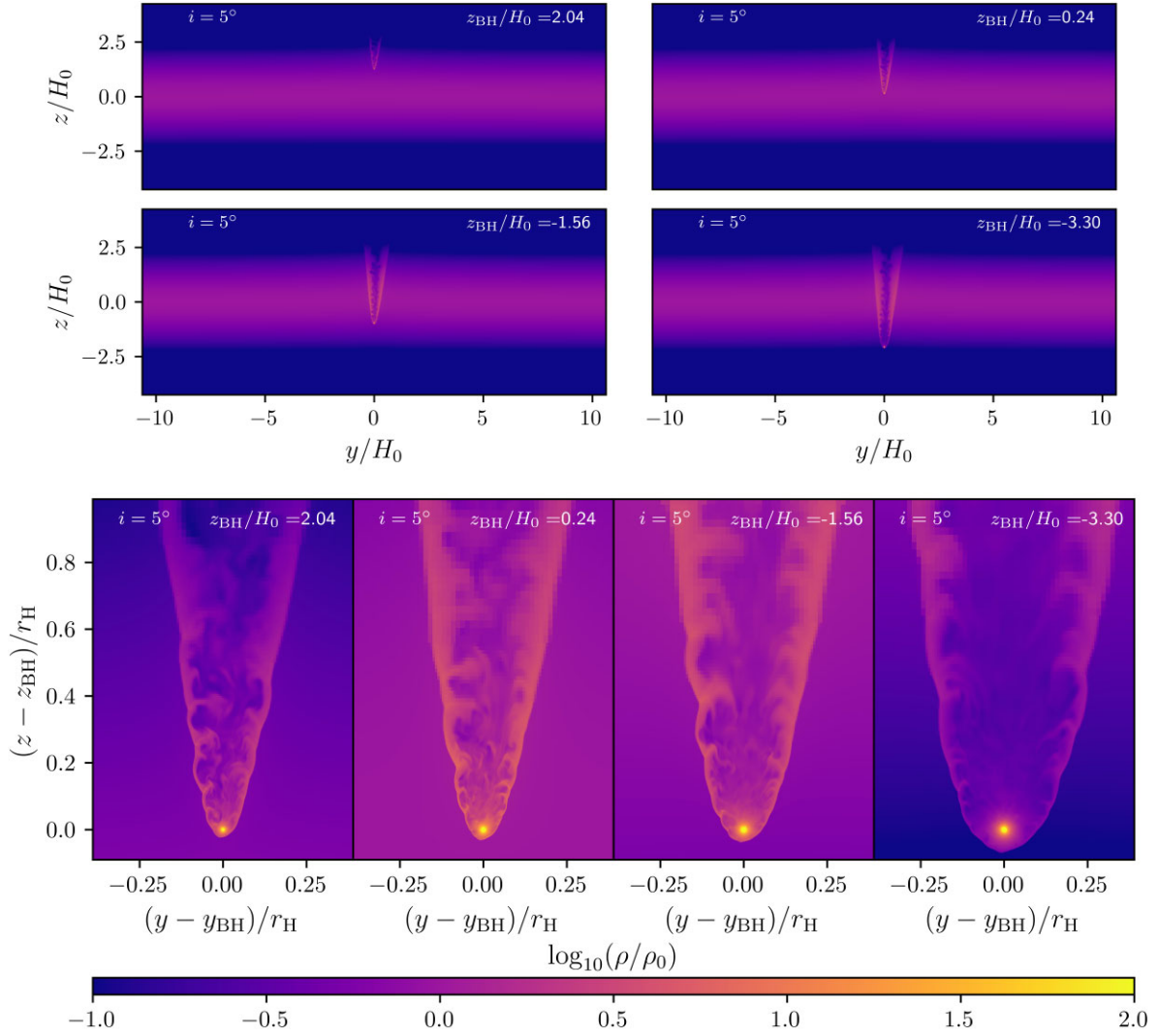


Figure 1. A timeseries of our fiducial model with $i = 5^\circ$ and $\Sigma = \Sigma_0$, visualized through the 2D cross-section in $\{y, z\}$ with x centred on the BH position, with coordinates $\{y_{\text{BH}}, z_{\text{BH}}\}$. Top panels: the full simulation domain. Bottom panels: close-up of the BH wake. We show the current vertical position z in units of H_0 for each frame.

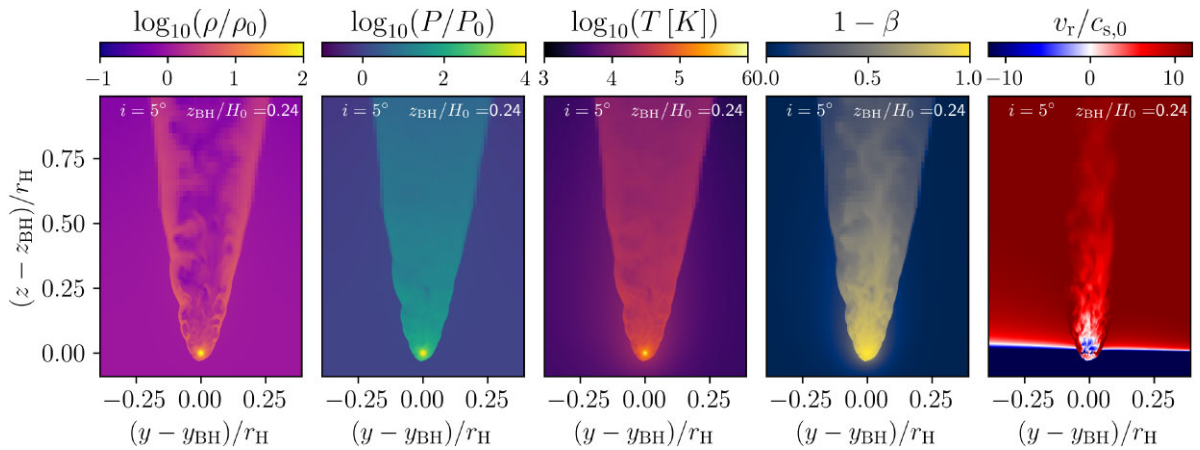


Figure 2. Snapshot of the gas properties in a $\{y, z\}$ cross-section centred on the BH. Left to right: the density relative to the initial mid-plane density ρ_0 , the pressure relative to the mid-plane pressure P , the temperature, the pressure ratio β (equation 17), the radial gas velocity relative to the BH.

The vertical energy dissipation rates for gravitational and accretion drag are then given by

$$\varepsilon_{\text{grav},z} = a_{\text{grav},z} v_z, \quad (30)$$

$$\varepsilon_{\text{acc},z} = a_{\text{acc},z} v_z, \quad (31)$$

where $a_{\text{grav},z}$ and $a_{\text{acc},z}$ are the vertical accelerations on the BH due to gas and accretion, respectively and v_z is the BH's vertical velocity. One can extract the instantaneous inclination by computing the anticipated height the BH will reach according to its current E_z within the SMBH potential.

$$i = \arcsin\left(\frac{z_{\text{max}}}{R_0}\right) = \arcsin\left(\sqrt{\frac{2E_z}{\Omega_0^2} \frac{1}{R_0}}\right) = \arcsin\left(\sqrt{\frac{\Omega_0^2 z^2 + \dot{z}^2}{\Omega_0^2 R_0^2}}\right). \quad (32)$$

Note that both E_z and the instantaneous i here both ignore the gravitation to the gas itself and so E_z and i will increase slightly as the BH approaches the mid-plane and decrease as the BH moves away from it. In the absence of accretion and perturbations to the initial symmetries of the 3D density structure of the disc in $\{x, y, z\}$ about the origin of the simulation, the values of E_z and i will be symmetric about $z = 0$ and their value at each $|z|$ will be conserved.

In Fig. 3, we show a density map of the contribution to $\varepsilon_{\text{grav}}$ from the BH wake as well as the cumulative value of $\varepsilon_{\text{grav}}$ when integrated along the wake.

Paying attention to the bottom panel, the high density of the shock front ahead of the BH does positive work on the BH ($\varepsilon > 0$) while the tail does negative work. The magnitude of the BH's inclination change due to the gas gravity depends on the size of the imbalance between these two competing effects. The contribution from ahead of the BH (upstream) increases as the BH approaches the mid-plane and then decreases after the crossing. While there is a spike at the shock front, there is a non-negligible upstream contribution to $\varepsilon_{\text{grav}}$ from the ambient medium while the BH is still approaching the mid-plane, compared with the downstream contribution which is largely dominated by contributions close behind the BH throughout.

3.3 Inclination damping as a function of inclination and disc density

We now consider transiting BHs with varying inclinations. In Fig. 4, we show the z position, cumulative gravitational work done $\varepsilon_{\text{grav}}$ (red) and cumulative accretion work done ε_{acc} (blue) as a function of time.

The gravitation of the BH to the gas plane leads to an initial positive spike in the cumulative $\varepsilon_{\text{grav}}$. The gravitation with the wake (see Fig. 3) breaks the symmetry of $\varepsilon_{\text{grav}}$ about the mid-plane, leading to a net negative work done on the BH, reducing its inclination. We find cumulatively $\varepsilon_{\text{grav}}$ decreases as i increases towards zero. The opposite is true in the case of accretion, as the accretion becomes less spherically symmetric. As low inclination BHs spend more time in the disc and dynamical gas drag is more efficient, we observe a smaller and earlier peak in $\varepsilon_{\text{grav}}$ as strong dissipation occurs earlier in the transit. At low i , ε_{acc} is negligible compared to $\varepsilon_{\text{grav}}$ but increases to comparable values at $i \sim 15^\circ$, see the bottom panel of Fig. 4.

The negative work done in the vertical direction leads to a reduction in the BH inclination. In Fig. 5, we show the net fractional change in inclination and the ratio of the net change in E_z due to gas and accretion integrated over the transit as a function of inclination. The data is colour-coded according to the relative initial Hill mass

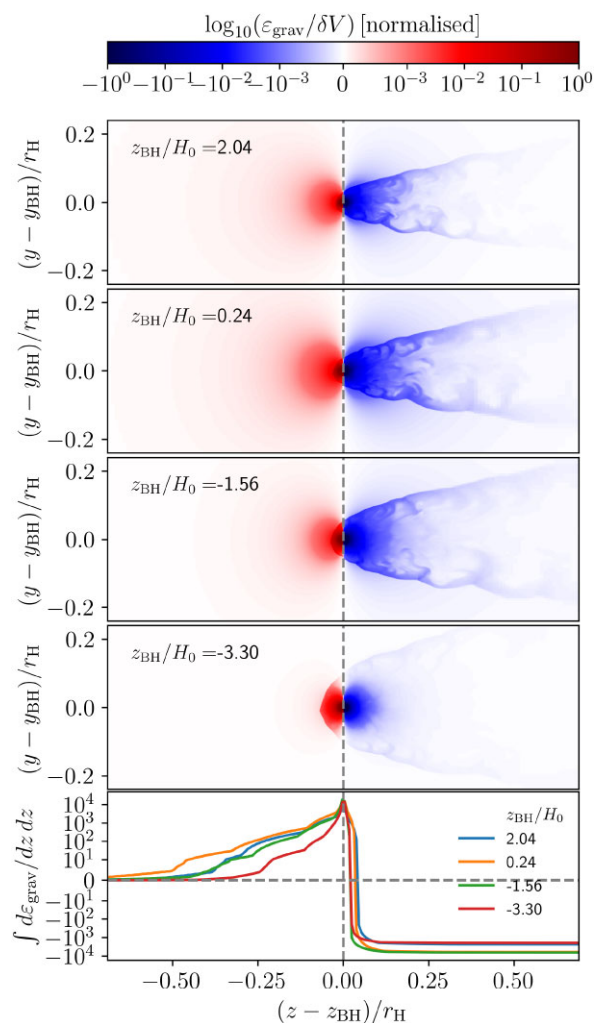


Figure 3. Top four panels: cross-sections of the contribution to $\varepsilon_{\text{grav}}$ per unit volume δV visualized in the plane of $\{z, y\}$. Bottom: the cumulative value of $\varepsilon_{\text{grav}}$ when summing over the contribution from cells in the z direction contained within a cuboid of dimensions $|x/r_H| \leq 0.25$, $|y/r_H| \leq 0.25$, and $|z/r_H| \leq 0.7$ (the dimensions of the top panels).

of the BH in the simulation

$$m_{\text{H},0}/m_{\text{BH}} = 2\pi r_{\text{H}}^2 \Sigma/m_{\text{BH}}, \quad (33)$$

as this has been shown to be the most influential parameter for gas driven dissipation for satellite interactions in accretion discs (e.g. Whitehead et al. 2024a, 2025a).

Driven by the decreasing gravitational drag, the relative inclination change decreases as a function of i . At the low inclination end $\sin(i) \lesssim 3H_0/R_0$ we find the inclination change per orbit starts to become less steeply dependent on i (see Section 3.5.1). At our highest inclinations $\sin(i) \gtrsim 30H_0/R_0$, the inclination damping appears to undergo a regime change, with a more shallow dependence on i . However, we caution that at these inclinations R_{BHL} approaches the softening radius when the BH is at the mid-plane and v_{rel} is maximized. Further simulations which push to even higher resolutions are necessary to distinguish if this is a physical or numerical effect.

We fit a power law to the data in log space with the form

$$\log_{10}(|\Delta i|/i)_{\text{high}} = a_1 \log_{10}(\tilde{m}) + b_1 \log_{10}(\tilde{i}) + c_1, \quad (34)$$

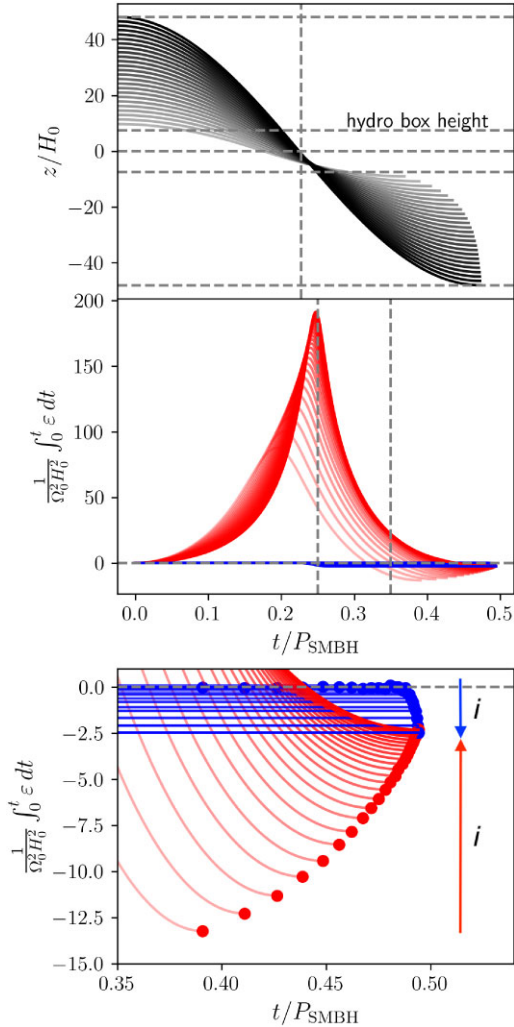


Figure 4. The black hole position and cumulative work done by gravity and accretion as a function of time, where $P_{\text{SMBH}} = 2\pi/\Omega_0$. Top: the BH z position. Middle: the cumulative work done on the BH by the gas due to gravitation (red) and accretion (blue). Bottom: a zoom in of the cumulative work done as the BH completes its transit, reaching its new z_{max} . The blue and red arrows indicate how the net work done by each dissipation mechanism evolves with increasing initial inclination.

where $\tilde{i} = \sin(i)R_0/H_0$ and $\tilde{m} = m_{\text{H},0}/m_{\text{BH}}$. The fit parameters are shown in Table 1.

3.4 Comparison to analytical drag models

3.4.1 Drag models

Understanding how quickly compact objects from the surrounding spherical-like NSC can embed in the AGN disc will affect the rate of compact object mergers by governing the density of embedded objects over time. The thin geometry of the disc $H/R \lesssim 0.01$ implies the vast majority of objects (assuming a spherically symmetric distribution) will exist outside the AGN disc when a galactic nucleus enters an active phase. Therefore even if a small fraction of objects can embed themselves within t_{AGN} , this could heavily alter the anticipated number of mergers. The majority of studies to date utilize analytical approaches to estimate the alignment time. The most popular approach is to treat each disc passage as an instantaneous

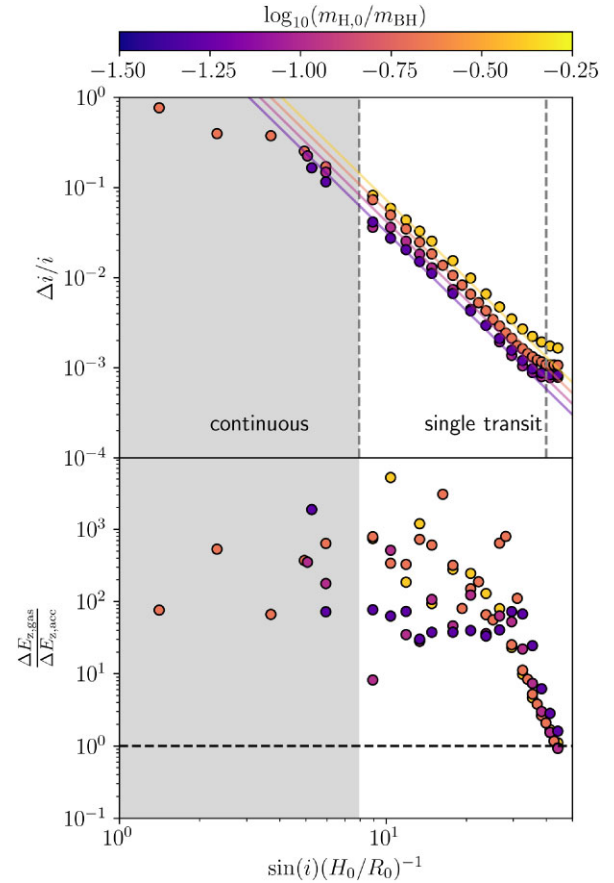


Figure 5. Top: the relative change in inclination $\Delta i/i$ for each transit as a function of the inclination i . The solid lines represent the power-law function of equation (34) using our best-fitting parameters. The vertical dashed lines highlight the inclination range for the data points used in the fit. Bottom: the net work done on the BH by drag in the vertical direction due to gas (equation 30) versus accretion (equation 31).

Table 1. Best-fitting parameters μ_X and their 1σ uncertainties σ_X and fit root-mean-square error for the fit given by equation (34).

Parameter X	μ_X	σ_X	RMS δ
a_1	0.39	0.03	0.07
b_1	-2.73	0.04	
c_1	1.70	0.06	

impulse driven by accretion (e.g. Yang et al. 2019b; Fabj et al. 2020; Nasim et al. 2023; Wang, Zhu & Lin 2024; Spieksma & Cannizzaro 2025; Xue et al. 2025), where the momentum of the BH is updated after crossing. Alternatively, as in Bartos et al. (2017), Generozov & Perets (2023), and Rowan et al. (2024a) for example, a simpler characteristic time-scale can be determined by assuming the fractional change in vertical velocity v_z scales with the fractional change in mass Δm_{cross} per crossing such that

$$t_{\text{align}} \sim \frac{\pi v_z}{\Delta v_z \Omega_0} \sim \frac{\pi m_{\text{BH}}}{\Delta m_{\text{cross}} \Omega_0}. \quad (35)$$

The assumption is then the BH accretes mass in a cylinder of radius R_{BHL} with v_{rel} taken to be the relative velocity of the BH to the gas at the mid-plane v_{mid} . Folding this all in gives the alignment time as (e.g. Rowan et al. 2024a)

$$t_{\text{align}} = \frac{t_{\text{orb}} \cos(i/2)(v_{\text{mid}}^2 + c_s^2)^2}{2 \cdot 4G^2 m_{\text{BH}} \pi \Sigma}, \quad (36)$$

$$v_{\text{mid}} = 2\sqrt{\frac{GM_{\bullet}}{R_0}} \sin\left(\frac{i}{2}\right). \quad (37)$$

We give the full derivation and set of assumptions for this expression in Appendix A for completeness.

Alternatively, the drag may be modelled by dynamically integrating the orbit with the drag expressions for BHL drag, Ostriker dynamical friction (e.g. Ostriker 1999) a_{GDF} , or some combination of both. The acceleration on an object moving with through a uniform medium of density ρ , constant isothermal sound speed c_s with relative velocity to the gas v_{rel} under BHL drag is given by

$$\mathbf{a}_{\text{BHL}} = -\dot{M}_{\text{BHL}} \mathbf{v}_{\text{rel}}, \quad (38)$$

where the accretion rate is given by

$$\dot{M}_{\text{BHL}} = \pi r_c^2 \rho v_{\text{rel}}, \quad (39)$$

$$r_c = \min(R_{\text{BHL}}, r_{\text{H}}), \quad (40)$$

such that in the high inclination limit where $R_{\text{BHL}} \ll r_{\text{H}}$, we have

$$\mathbf{a}_{\text{BHL}} = -\frac{4\pi G^2 m_{\text{BH}} \rho(z)}{(v_{\text{rel}}^2 + c_s^2)^{3/2}} \mathbf{v}_{\text{rel}}, \quad (41)$$

which is the case for the majority of our parameter space. For dynamical friction, the acceleration is given by

$$\mathbf{a}_{\text{GDF}} = -\frac{4\pi G^2 m_{\text{BH}} \rho(z)}{v_{\text{rel}}^3} \mathbf{v}_{\text{rel}} f(\mathcal{M}), \quad (42)$$

where $\mathcal{M} = v_{\text{rel}}/c_s$ is the Mach number and $f(x)$ is expressed as

$$f(x) = \begin{cases} \frac{1}{2} \ln\left(\frac{1+x}{1-x}\right) - x & x < 1 - x_m, \\ \frac{1}{2} \ln\left(\frac{1+x}{x_m}\right) + \frac{(x-x_m)^2 - 1}{4x_m} & 1 - x_m \leq x < 1 + x_m, \\ \frac{1}{2} \ln(x^2 - 1) + \ln \Lambda & x \geq 1 + x_m, \end{cases} \quad (43)$$

where in this work we adopt $\ln \Lambda = -\ln x_m = 3.1$ following Chapon, Mayer & Teyssier (2013). We use equations (41) and (42) to calculate a lower bound on the alignment time by evolving the vertical motion of a BH starting from 15° and ignoring the azimuthal and radial contributions to v_{rel} . With this assumption, we reduce the problem to a damped harmonic oscillator in z with the accelerations given by

$$a_{z,\text{damp}} = -\Omega_0^2 z + a_{\text{drag}}, \quad (44)$$

where a_{drag} is the selected drag formula of (41) or (42). We note that much like in our simulations the general assumptions of BHL and Ostriker drag are not met (i.e. v_{rel} , the local ρ are not fixed in time and $\rho(z)$ is not uniform), but we nevertheless test the applicability of these expressions to our system.

3.4.2 Alignment time comparisons

In Fig. 6, we compare the predicted alignment times inferred by our fit to the slope of $\Delta i/i$ with the alignment times predicted by the BHL impulse approximation and our simplified damped harmonic oscillators assuming equations (41) and (42). We calculate an alignment time from equation (34) by determining the number of crossings required to evolve from i to $i = \arcsin(H_0/R_0)$, i.e. when the BH's vertical motion no longer exceeds the scale height. We also compare the inclination change per crossing from the analytic BHL and Ostriker drag formulae with our simulation data.

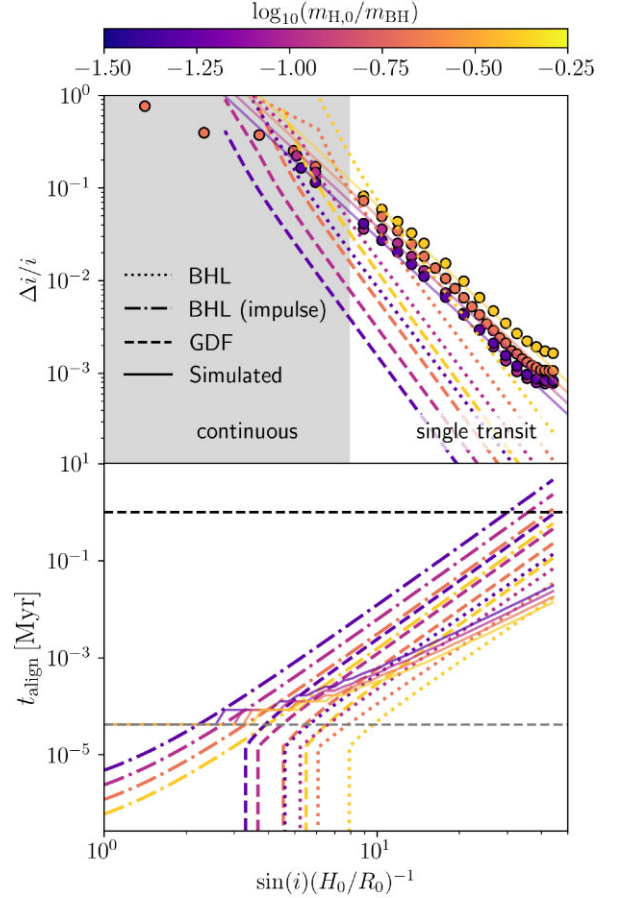


Figure 6. Top: comparison of the relative inclination change $\Delta i/i$ as a function of i between our simulations, the predictions of BHL (equation 41) and gas dynamical friction (equation 42). Bottom: the anticipated alignment time as a function of inclination from our simulations alongside the predictions from BHL drag (from both the impulse approximation 36 and damped oscillator 41), and gas dynamical friction.

Our results show that simply evolving $z(t)$ with Ostriker drag leads to a shorter alignment time compared to the other analytical models. The single orbit impulse approximation consistently predicts the longest alignment time-scale. This is unsurprising since the impulse model makes three conservative assumptions: (i) the rate of inclination change per orbit does not evolve as the inclination damps, (ii) the momentum change is due to accretion alone, and (iii) v_{rel} is fixed at its maximal (mid-plane) value, minimizing R_{BHL} . The simulations indicate dynamical gas drag can remain significant compared to BHL accretion for our inclination range. The alignment time predicted by our simulations lies between the predictions of the impulse and live BHL drag models. Due to the differing slope in $\Delta i/i$ in our simulations, the alignment time-scale is in better agreement with the impulse approximation at low inclinations and the live gas dynamical friction (GDF) drag at higher inclinations. We posit that the smaller t_{align} at low i predicted from (41) results from hyper-accretion on to the BH. We show the ratio of $\dot{M}_{\text{BHL}}/\dot{M}_{\text{Edd}}$ according to equations (24) and (39) in Fig. 7.

The accretion predicted by BHL ranges from 10^7 – $10^3 \dot{M}_{\text{Edd}}$ for $i = 2^\circ$ to $i = 15^\circ$. Given this discrepancy, it is natural that the simulated alignment time becomes longer than the BHL expectation as the BH becomes more aligned with the disc. The reduction in the

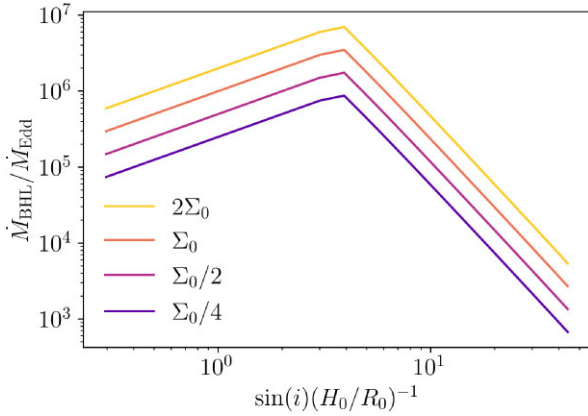


Figure 7. The ratio of the accretion rates expected from the BHL formalism (equation 39) versus the Eddington accretion rate for our four disc densities.

accretion rate at low inclinations results from the accretion cross-section transitioning from the BHL radius to the Hill radius.

The validity of such hyper-Eddington accretion rates, particularly at lower inclinations, is uncertain as radiation pressure is neglected in the construction of equation (41). For example Kley, Shankar & Burkert (1995) report significantly reduced drag compared to the BHL expectation when the wake is radiation dominated and optically thick (as given in this work). If accretion driven radiative feedback is significant enough, the acceleration can change sign and become positive (e.g. Cantó et al. 2013; Li et al. 2020). Furthermore, Zanotti et al. (2011) performed general relativistic radiative simulations of an object moving through a radiation dominated gas medium, finding BHL to overestimate the accretion rate and drag by at least two orders of magnitude, yet the accretion is still super-Eddington with Eddington fractions between 1–7. We note that their ambient densities are around 5 orders of magnitude lower than our mid-plane density. Whether we should expect a similar accretion limit in our problem is therefore more uncertain.

To quickly summarize these comparisons, we find the alignment time is in reasonable agreement with BHL drag at low inclinations, but diverges at higher inclinations ($\sin(i) \gtrsim 20H_0/R_0$, agreeing more with GDF models).

3.5 Inclination damping from high to low i

3.5.1 Inclination evolution in the low i limit

The sibling paper W25b, presented simultaneously with this work, examines the inclination evolution continuously from lower inclinations of $\sin(i) \leq 3H_0/R_0$ until the BH becomes fully embedded in the AGN disc. There are several differences in the simulations of W25b compared to this work, most notably W25b include viscosity and neglected radiation pressure, accretion effects, the horizontal (azimuthal) velocity of the BH relative to the gas² and utilize a slightly larger softening and lower resolution. The initial conditions of W25b were generated by changing R_0 and determining Σ_0 and H_0 self consistently from an AGN disc model constructed by pagr (Gangardt et al. 2024), whereas this work scales Σ_0 while arbitrarily fixing all other parameters. Crucially this means that in W25b, changes to the ambient Hill mass are accompanied by changes in other quantities e.g. radial position in the disc, sound speed, and

²This velocity becomes vanishingly small at such low inclinations.

scale height. The evolution of the BH trajectories are performed continuously from their initial inclination until the termination of each simulation, covering many SMBH periods. Together, W25b and this study cover a wide range of initial inclinations, spanning $i \in [0.1^\circ, 15^\circ]$.

W25b find the inclination damping in the low i limit follows an exponential decay, with the damping modelled by a power law in \tilde{m}

$$\log_{10}(|\Delta i|/i)_{\text{low}} = a_2 \log_{10}(\tilde{m}) + c_2, \quad (45)$$

with $a_2 = 0.69 \pm 0.03$ and $c_2 = -0.09 \pm 0.06$. The strength of damping in the low inclination regime is independent of i , markedly different from the high i regime, and resulting in an exponential decay in inclination over time. The Hill mass dependence $a_2 = 0.69$ is stronger than in the high i regime $a_1 = 0.39$. We suspect this may result from the differing thermodynamics in our simulations here, where the wakes are acutely radiation pressure dominated. Alternatively, the Hill mass m_H may not provide the same scaling as the morphology of the perturbation to the gas by the BH is different between the inclination regimes considered by each work (see Section 3.6). W25b reports weak evidence for a mass dependency in a_2 , though we lack the statistics to comment on this more definitively.

3.5.2 The regimes of inclination damping

Combining the data from both works, we show $\Delta i/i$ as a function of i in Fig. 8. The strength of inclination damping varies significantly across the full inclination range; we identify three rough regions with differentiable behaviour

(i) Exponential regime ($\sin(i) < 3H_0/R_0$): For objects partially embedded in the disc, inclination decays exponentially with a fixed time-scale dependent only on the ambient gas mass. Gas gravity dominates over accretion effects. The gas morphology around the BH is more spherical.

(ii) Transitory regime ($3H_0/R_0 < \sin(i) < 30H_0/R_0$): For intermediate inclinations, where the transitor is only very weakly embedded, damping is less efficient for higher inclinations. The gas morphology around the BH is more comet-like. Gas gravity remains the dominant source of damping.

(iii) Accretion regime ($\sin(i) > 30H_0/R_0$): At very high inclinations, the strength of damping by gravity has fallen sufficiently that accretion effects can begin to contribute more significantly.

The geometry of damping by gas differs between the low/high inclination regimes. In the low inclination regime, significant drag can be generated from large scale interactions with the disc, as the transitor perturbs the disc to follow its motion (see fig. 4 of W25b). In the high inclination regimes, the high velocity of the transit means that only gas very close to the transitor contributes. Fig. 8 shows that damping efficiency ($\Delta i/i$) is a monotonic function of inclination i ; damping is consistently less efficient at higher inclinations, inline with the analytical expectation. This means that for objects initialized at higher inclinations, the majority of their damping lifetime will be spent at these higher inclinations. Given little time is spent at low inclinations, the alignment time can be easily approximated by evolving just equation (34).

3.5.3 A combined alignment function

In Fig. 8, we combine the data from this work with W25b and plot the inclination damping as a function of inclination, covering inclinations

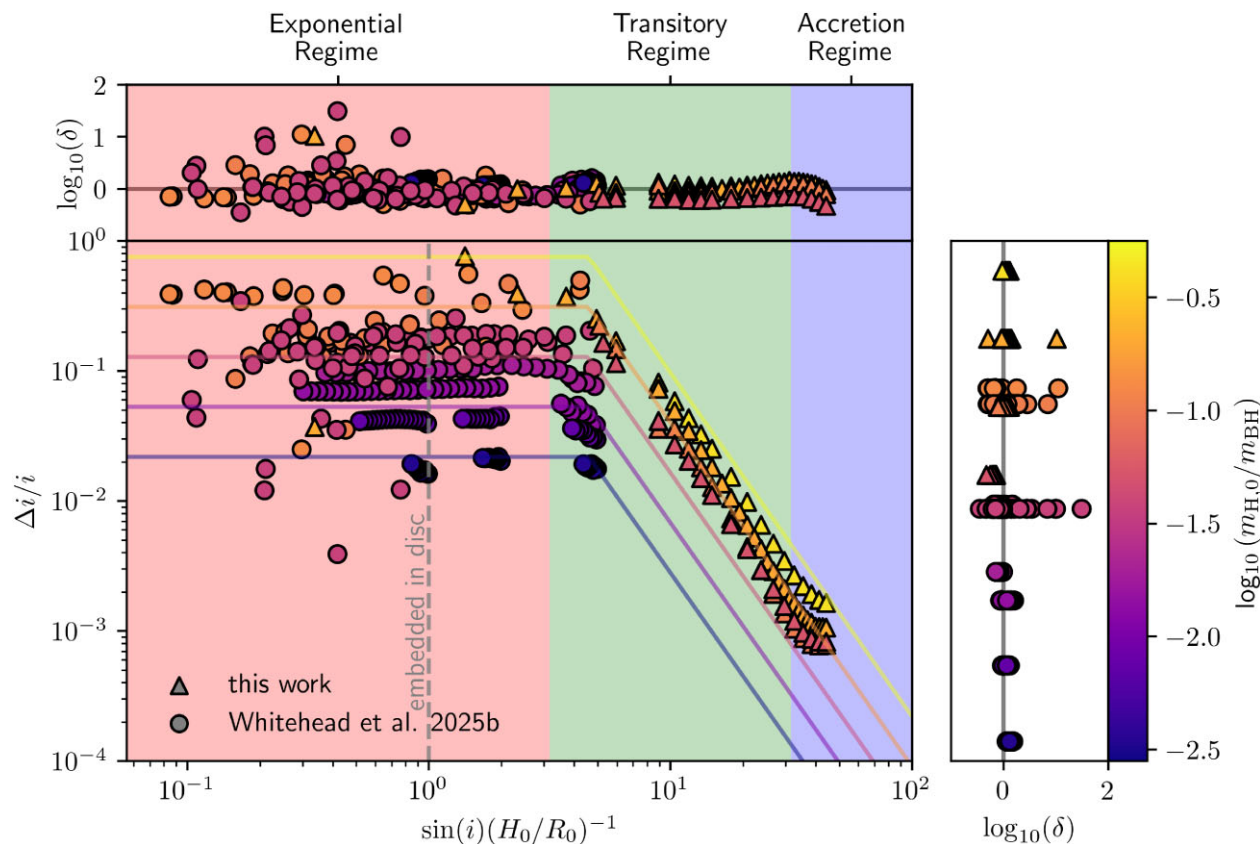


Figure 8. The combined data of this work and sibling paper W25b, showing the relative inclination change $\Delta i/i$ per transit as a function of the pre-transit inclination. The markers are colour-coded by the ambient Hill mass $m_{H,0}/m_{BH}$. We overlay our phenomenological model (equation 46) for five values of $m_{H,0}/m_{BH}$ and highlight the three damping regimes discussed in Section 3.5.2. We also show the residuals δ across both parameters in equation (46).

Table 2. Best-fitting parameters μ_X , their 1σ uncertainties σ_X and fit root-mean-square error for the three models presented in this paper, covering the high inclination regime of this work equation (34), the low inclination regime of W25b (equation 45) and the combined fit of equation (46) using both data sets. We also show the appropriate inclination ($\tilde{i} = \sin(i)R_0/H_0$) ranges for the individual high and low inclination models.

Regime	\tilde{i} range	X	μ_X	σ_X	RMS δ
High i (this work)	$\tilde{i} > 3$	a_1	0.39	0.03	0.07
		b_1	-2.73	0.04	
		c_1	1.70	0.06	
Low i (W25b)	$\tilde{i} < 3$	a_2	0.69	0.03	0.20
		c_2	-0.09	0.06	
		a_3	0.67	0.02	
Both (combined)	-	b_3	-2.64	0.06	0.18
		c_3	1.80	0.09	
		\tilde{i}_c	4.6	1.1	

in the range $i \in [0.1^\circ, 15^\circ]$. We fit a piecewise power-law function to the full data set with the form

$$\log_{10}(|\Delta i/i|)_{\text{combined}} = \begin{cases} a_3 \log_{10}(\tilde{m}) + b_3 \log_{10}(\tilde{i}_c) + c_3 & \tilde{i} < \tilde{i}_c, \\ a_3 \log_{10}(\tilde{m}) + b_3 \log_{10}(\tilde{i}) + c_3 & \tilde{i} \geq \tilde{i}_c, \end{cases} \quad (46)$$

where \tilde{i}_c represents the transition inclination between the exponential low i damping regime (equation 45) and power-law high i regime (equation 34). We display the best-fitting parameters to all three expressions in Table 2.

We find the transition inclination between the exponential and transitory damping regime is $\tilde{i}_c \simeq 4.6$. It is not surprising that we would expect a transition regime as the vertical motion of the BH damps towards the scale height of the disc, i.e. $\tilde{i} \lesssim 1$, as this marks the approximate inclination where the vertical headwind imparted on the gas around the BH becomes subsonic, i.e. $\langle \dot{z} \rangle / c_s \lesssim 1$. The true transition \tilde{i}_c is naturally above unity as the sound speed in the vicinity of the BH is increased. In our low inclination study W25b, we explore this transition region of the parameter space in more depth.

3.6 Inclination dependence of gas morphology

To visualize the encounters across our inclination range, we show the gas morphology around the BH as it transitions through the mid-plane for different inclinations in Fig. 9. The width of the wake is inversely dependent on the inclination, consistent with the higher relative velocity. The underdensity trailing the BH within the wake becomes more pronounced as the gas has less time to respond to the perturbation of the BH as it transits through the disc, driving the reduction in $\varepsilon_{\text{grav}}$ with i . This effect is well documented for simulations of supersonic perturbers in uniform mediums (e.g. Cantó et al. 2013; Grishin & Perets 2015). As the inclination of the transitor becomes smaller, the underdense region becomes less pronounced and the mass of the perturbed gas following the BH increases. Meanwhile, the width of the bow shock continues to increase until the bow shock ‘opens’, becoming more spherical and chaotic for inclinations $\sin(i) \lesssim 6H_0/R_0$, coincident with the aforementioned

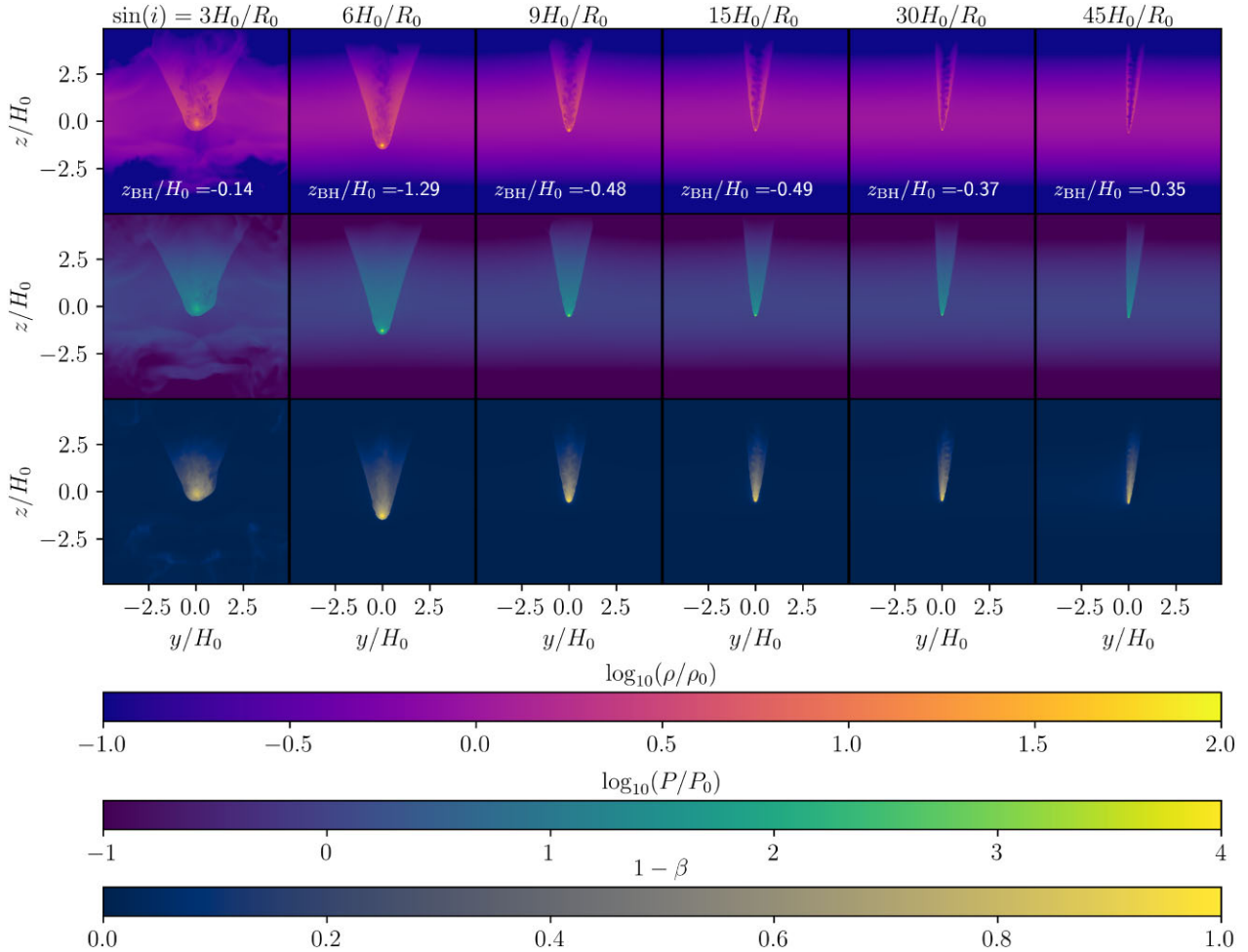


Figure 9. The gas morphology of the wakes generated by transitors across inclinations from $\sin(i) \in [3H_0/R_0, 45H_0/R_0]$ and $\Sigma = \Sigma_0$, shown in cross-sections at $x = 0$. Top row: the density ρ relative to the initial mid-plane density ρ_0 . Middle row: the pressure P relative to the initial mid-plane pressure P_0 . Bottom row: the ratio of the gas pressure to total pressure β . The figure illustrates the increasing width of the wake with declining inclination, until the bow shock undergoes a regime change, becoming more chaotic and spherical for $\sin(i) \lesssim 6H_0/R_0$, coincident with the regime change observed in the inclination damping at $\sin(i) \sim 4.6H_0/R_0$.

change in damping behaviour at $\sin(i) \lesssim (4.6 \pm 1)H_0/R_0$. Note this transition is also coincident with the inclination where the accretion cross-section r_c switches from $r_c \sim R_{\text{BHL}}$ to $r_c \sim r_{\text{H}}$ (see Section 3.4.2). As the BH continues to sink into the disc, the pressure begins to reflect that of a more hydrostatic system, observe the reduced pressure around the BH in the first column of Fig. 9 as the ram pressure becomes less significant. Indeed, this is found to be the end state of the low inclination simulations of W25b. Radiation pressure dominates in the wake for the majority of our inclination range, only beginning to wane in the same inclination range of $\sin(i) < 6H_0/R_0$, although we note β retains a value of ~ 0.5 close to the BH even here.

4 DISCUSSION

4.1 Implications

Population studies of BHs in AGN must account for a vast array of physical processes in order to obtain accurate predictions for present and future GW detections. The predictions for the merging mass function (e.g. Yang et al. 2019b; Rowan et al. 2024a), maximum BH mass (e.g. Xue et al. 2025) and overall rates (e.g. Tagawa et al.

2020a) sensitively depend on the number of BHs embedded in the AGN disc. The embedded population is taken to be either the initial population geometrically within the disc scale height (e.g. Delfavero et al. 2025), the number of objects that may embed within the AGN lifetime (e.g. Bartos et al. 2017; Rowan et al. 2024a) or modelled in a time-dependent manner based on analytic models [i.e. equations (36), (41) and (42)] for the inclination damping time-scale (e.g. Tagawa et al. 2020a; Xue et al. 2025).

The alignment time-scale comparisons of Section 3.4.2 imply that the alignment time for moderately inclined objects ($3H_0/R_0 < \sin(i) < 30H_0/R_0$) is overestimated by approximately an order of magnitude. For the studies where the embedded population is simply the objects that embed within t_{AGN} (usually taken to be 10^6 – 10^7 yr), our findings likely do not have a significant impact on their results as the alignment times from equation (36) and (41) also predict that most objects in this inclination range will embed. For studies that allow for a time-dependent disc population (e.g. Tagawa et al. 2020a; Xue et al. 2025), a shorter alignment time would suggest a larger ‘burst’ of BH mergers earlier on in the AGN lifetime (e.g. Delfavero et al. 2025) as the density of objects in the disc rises faster. Note that with a shorter inclination damping time-scale and denser disc population of

objects also comes a shorter relaxation time-scale, where relaxation from single-single and binary-single encounters competes with the realignment from gas until a quasi-stable velocity dispersion is reached. More efficient disc damping will reduce this characteristic dispersion. Combining this with the low i results from W25b, we make an overall prediction that typical encounter energies between isolated BHs and other BHs, or even other binary BH systems are likely lower than previously expected, facilitating easier binary formation (e.g. Whitehead et al. 2024a; Rowan et al. 2024a, 2024b) and with typically low inclinations at formation, i.e. the formed inner binaries have closer to pure prograde $i \sim 0^\circ$ or retrograde $i \sim 180^\circ$ configurations. The shock heating generated at shock front leads to a radiation pressure-dominated flow around the BH, compared with the gas pressure-dominated background. While the BH transits the disc, this radiation is trapped by the high optical depth of the disc. The punctures left by the BH at the exit and entry points of the disc provide an escape point for the breakout emission of this radiation. While we do not perform radiation transfer in this work, semi-analytic studies predict electromagnetic emission with a hardened Wien-like spectrum after the disc transits of stars (e.g. Franchini et al. 2023; Vurm, Linial & Metzger 2025; Yao et al. 2025) in what’s known as quasi-periodic eruptions or ‘QPEs’. These eruptions are observationally characterized by periodic high amplitude and high-energy emissions, detectable via X-ray emission (e.g. Arcodia et al. 2021, 2022, 2024; Chakraborty et al. 2024; Hernández-García et al. 2025; Jiang & Pan 2025; Linial, Metzger & Quataert 2025), although there is still some uncertainty regarding their astrophysical cause, with others proposing variations in the accretion flow on to the SMBH (e.g. Bollimpalli et al. 2024; Middleton et al. 2025).

4.2 Limitations

This study makes several assumptions and physical simplifications. Below we list considerations not addressed here and their potential implications.

(i) *Viscosity*: The simulations performed here are inviscid. We expect that its effects will primarily manifest in the damping of the instabilities at the inner edge of the BH wake. As the majority of the drag is caused by gas close to the BH, we do not expect it’s inclusion to greatly affect our results.

(ii) *Radiative transport*: We have assumed that the gas is always optically thick to radiation so that the gas cannot quickly cool radiatively, allowing us to ignore the need for simulating radiative transport and cooling effects. This assumption will generally hold for the majority of each transit, although one might expect small modifications to the pressure in the underdense centre of the wake behind the BH. As the BH enters and exits the disc where the optical depth is low, radiation transport may alter the gas dynamics around the BH and hence the drag in this regime. Furthermore, small subgrid level effects close to the BH such as the launching of jets may be powerful enough to modify the drag efficiency even in the optically thick regions. A full radiative transport framework would also allow one to make more detailed predictions for observable electromagnetic features of disc transitors.

(iii) *Mass accretion*: We have ignored the mass gain of the BH, which may slightly alter the mass flux into our accretion radius.

(iv) *Zero eccentricity*: Our simulations only considered transitors on circular orbits about the AGN. In reality, objects in the NSC have a wide distribution of eccentricities. The relative velocity of the BH to the gas will be eccentricity dependent, hence so will the drag and therefore alignment time. Exploring this parameter in the future

will be necessary to better understand the orbital alignment of NSC objects on less idealized (circular) orbits.

(v) *Eddington-limited accretion*: We limited the accretion rate on to the BHs to the Eddington Limit. While this with in the range predicted by previous simulations of accretion on to fast moving BHs in a uniform radiation dominated medium, further work is necessary to understand whether this limit is still as robust for our problem. This would require simulating down to the ISCO of the BH, far beyond the resolution limit of our simulations. Our choice to limit the accretion rate to the Eddington limit sets an upper bound on the accretion drag for a given relative velocity. Allowing for hyper-Eddington accretion will almost certainly lead to much stronger accretion drag. At the same time, higher accretion will lead to enhanced accretion feedback which will act to limit accretion rate in addition to potentially changing the gravitational drag, if the feedback is strong enough to alter the wake morphology. Further simulations are required to assess the role of hyper-Eddington accretion in these systems.

(vi) *Radiative feedback*: We have not accounted for the radiative feedback from the BH as it accretes. For luminous accretors, feedback has been shown to reduce the drag on objects moving through a uniform medium (e.g. Velasco Romero & Masset 2019, 2020; Liu, Wang & Peng 2025). An analogy to this can also be found in the role of thermal torques combating those generated by Linblad resonances acting on a migrating satellite in a disc (e.g. Hankla, Jiang & Armitage 2020; Grishin, Gilbaum & Stone 2024). Like the accretion, this effect occurs on a subgrid-scale in our treatment, warranting future studies at higher resolution.

(vii) *Restricted parameter space*: We have only considered a small portion of the AGN parameter space, i.e. fixed radial position, sound speed and SMBH mass. It is possible that objects may align faster if they are allowed to migrate inwards to denser inner disc regions (e.g. Fabj et al. 2020). Further simulations exploring different environmental parameters are necessary to develop a more comprehensive grasp of the alignment time for the full population of inclined objects.

5 SUMMARY AND CONCLUSIONS

Motivated to better understand the alignment process NSC objects in AGN, we performed a total of 79 radiative hydrodynamical simulations of BH transits through an varying AGN disc environments with varying inclinations between $i \in [2^\circ, 15^\circ]$. We summarize our key findings below:

(i) The transiting BH drives the formation of a strong shock front ahead of the object with a narrow wake trailing its path.

(ii) Radiation dominates the thermodynamics of the wake, with $\beta = \frac{P_{\text{gas}}}{P_{\text{gas}} + P_{\text{rad}}} \sim 1$ in the vicinity of the BH for the vast majority of the transit.

(iii) Gravitation with the wake leads to a net deceleration of the BH in the vertical direction, reducing its inclination.

(iv) The inclination change, relative to the initial inclination ($\Delta i/i$) is a function of inclination itself. We find a power-law relation of $\Delta i/i \propto \sin(i)^{-2.7}$, where inclination damping becomes increasingly less efficient at higher inclinations.

(v) For our inclination range, damping is dominated by the BH’s gravitation with the wake. As the inclination is increased, gravitational drag becomes less efficient until accretion drag becomes comparable around $\sin(i) \sim 30H_0/R_0$.

(vi) We combine the data from this study with our sibling paper examining partially embedded BH satellites W25b, developing a

comprehensive picture of inclination damping from high to low inclinations, identifying three key regimes (Section 3.5.1).

(vii) The time-scale for the BH to align with the AGN disc is roughly consistent with BHL drag at low inclinations $\sin(i) \sim 3H_0/R_0$. However at higher inclinations, we find these analytic predictions overestimate the alignment time by approximately an order of magnitude, which we attribute to the breakdown of the BHL assumption of a uniform and unchanging density and strong radiation pressure.

(viii) Our results suggest that more BHs from the NSC may be embedded within the AGN disc than expected from previous analytical expectations, increasing population of potential gas-driven mergers in AGN.

In simulating the transit of these compact objects through the AGN disc with more realistic hydrodynamics, we begin to reduce the uncertainty in the alignment time of these objects, a key component in population studies of BH mergers in the AGN channel.

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DATA AVAILABILITY

The data underlying this article will be shared on reasonable request to the corresponding author.

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APPENDIX A: DERIVATION OF ALIGNMENT TIME UNDER THE IMPULSE APPROXIMATION

Here, we derive the alignment time-scale for a BH in an AGN disc assuming the BH receives an impulse from BHL accretion as it crosses the disc, as described in Bartos et al. (2017). The primary assumption is that the vertical velocity change Δv_z induced by

the crossing is directly proportional to the mass accreted Δm_{cross} . Therefore the damping time-scale is

$$t_{\text{align}} \sim \frac{T v_z}{2 \Delta v_z} \sim \frac{T m_{\text{BH}}}{2 \Delta m_{\text{cross}}}. \quad (\text{A1})$$

The crossing mass is given by the cylindrical volume carved out by the BHL radius

$$r_{\text{BHL}} = 2 G m_{\text{BH}} / (\Delta v^2 + c_s^2), \quad (\text{A2})$$

where

$$\Delta v = v_{\text{orb}} ((1 - \cos(i))^2 + \sin^2(i))^{1/2} = 2 v_{\text{orb}} \sin\left(\frac{i}{2}\right) \quad (\text{A3})$$

is the relative velocity of the BH to the gas, which orbits the SMBH with velocity $v_{\text{orb}} = \sqrt{GM_{\bullet}/R}$. The crossing mass is then

$$\Delta m_{\text{cross}} = \Delta v t_{\text{cross}} r_{\text{BHL}}^2 \pi \Sigma / (2 H_0) \quad (\text{A4})$$

with the crossing time given by

$$t_{\text{cross}} \approx 2 H_0 / (v_{\text{orb}} \sin i), \quad (\text{A5})$$

where we have assumed the disc has a thickness $2 H_0$ and uniform density $\Sigma / (2 H_0)$. Putting all this together gives an alignment time of

$$t_{\text{align}} = \frac{t_{\text{orb}} \cos(i/2) (\Delta v^2 + c_s^2)^2}{2 \cdot 4 G^2 m_{\text{BH}} \pi \Sigma}, \quad (\text{A6})$$

where the identity $\sin(i) / \sin(i/2) = 2 \cos(i/2)$ has been applied.

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