

1 **EFFECTS OF SODIUM GLUCOSE CO-TRANSPORTER-2 INHIBITORS BY DIABETES**
2 **STATUS AND LEVEL OF ALBUMINURIA: A COLLABORATIVE META-ANALYSIS**

3
4 Short title: Net absolute effects of SGLT2i

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66 **Key Points** (limit 100 words)

67 **Question:** What are the relative and absolute effects of SGLT2 inhibitors in the large trials
68 once stratified by a urine albumin:creatinine ratio (uACR) threshold of 200 mg/g, after
69 stratifying by diabetes status?

70 **Findings:** In this meta-analysis that included 58,816 participants from 8 trials of SGLT2
71 inhibitors vs placebo, there were net absolute benefits across efficacy outcomes, particularly
72 hospital admission, among patients with and without diabetes, and at both levels of
73 albuminuria. Greater baseline risk in those with uACR ≥ 200 mg/g may translate to larger
74 absolute benefits on for preventing kidney disease progression

75 **Meaning:** These data support removal of stratification by level of albuminuria from guideline
76 recommendations for use of SGLT2 inhibitors in chronic kidney disease and more
77 widespread use.

78

79 **ABSTRACT**

80 **Importance:** There is uncertainty about the effects of SGLT2 inhibitors (SGLT2i) in certain
81 types of patients with chronic kidney disease (CKD), with international guidelines offering
82 different strengths of recommendation based on diabetes status and urine
83 albumin:creatinine ratio (uACR) of ≥ 200 mg/g or < 200 mg/g.

84

85 **Objective:** To assess the relative and absolute effects of SGLT2i in participants across the
86 full range of efficacy and serious safety outcomes stratified by diabetes status and uACR.

87

88 **Data sources:** Eight large placebo control trials providing analyses to SMART-C.

89

90 **Study selection:** Trials were included if they: studied an SGLT2i with label indication for use
91 in kidney disease, and reported longitudinal kidney outcomes and baseline data on
92 albuminuria.

93

94 **Data extraction and synthesis:** Data were combined using inverse variance-weighted
95 meta-analysis. Group-specific absolute effects were estimated by applying relevant
96 subgroup-specific relative risks to the event rates in placebo groups.

97

98 **Main outcomes and measures:** We assessed effects on clinical efficacy and safety
99 outcomes, including kidney outcomes, heart failure and other hospitalization, and mortality.
100 We assessed heterogeneity by baseline uACR < 200 versus ≥ 200 mg/g separately by
101 diabetes status.

102

103 **Results:** A total of 58,816 participants (mean [SD] age, 64 [10] years; 20,543 (35%) female;
104 48,946 with diabetes and 9870 without diabetes) were included from 8 large randomized
105 trials of an SGLT2i versus placebo. Allocation to SGLT2i reduced risk of kidney disease
106 progression (33 vs 48 per 1000 patient-years [1000py], hazard ratio [HR] 0.65, 95%

107 confidence interval 0.60-0.70 in those with diabetes; and 32 vs 46/1000py, HR 0.74, 0.63-
108 0.85 in those without diabetes), acute kidney injury (14 vs 18/1000py, HR 0.77, 0.69-0.87 in
109 diabetes; and 13 vs 18/1000py, HR 0.72, 0.56-0.92 without diabetes), any hospitalization
110 (202 vs 231/1000py, HR 0.90, 0.87-0.92 with diabetes; and 203 vs 237/1000py, HR 0.89,
111 0.83-0.95 without diabetes), and any death (42 vs 47/1000py, HR 0.86, 0.80-0.91 with
112 diabetes; and 42 vs 48/1000py, HR 0.91, 0.78-1.05 without diabetes). In analyses further
113 stratified by uACR, diabetes-specific hazard ratios were generally similar in participants with
114 uACR \geq 200 mg/g versus $<$ 200 mg/g (heterogeneity test by uACR for death $p=0.03$, all other
115 outcomes $p>0.10$).

116

117 Higher absolute risk at uACR \geq 200 mg/g meant larger estimated absolute benefits on kidney
118 disease progression were evident in this subgroup. Net absolute benefits were evident for
119 other efficacy outcomes, and particularly hospitalization, in participants with uACR $<$ 200 mg/
120 g. Net benefits were clear in analyses restricted to non-heart failure populations and when
121 estimated glomerular filtration rate was <60 mL/min/1.73m².

122

123 **Conclusions and relevance:** Within the studied participants, there were clear net absolute
124 benefits of SGLT2i on kidney, hospitalization, and mortality outcomes irrespective of
125 diabetes status and level of uACR.

126 **INTRODUCTION**

127 There are an estimated ~850 million people with chronic kidney disease (CKD) globally,¹ and
128 it is associated with substantial increased risk of kidney failure and cardiovascular disease.²
129 Sodium-glucose co-transporter-2 (SGLT2) inhibitors reduce risk of kidney failure and/or
130 cardiovascular disease in patients with CKD or heart failure, and are recommended as a
131 standard of care in these conditions.³⁻⁵ To ensure maximum benefits, they should be
132 continued long-term.⁶ Despite relevant recommendations for SGLT2 inhibition, this class
133 remains underutilised in clinical practice among participants with CKD, especially in patients
134 without diabetes.⁶⁻⁹ This may be attributable in part to ongoing uncertainties on the net
135 effects of SGLT2 inhibition in certain subtypes of patient with CKD with an indication for
136 prescription. This is reflected in 2024 international guidance regarding the use of SGLT2
137 inhibitors in adults with CKD which provided class IA recommendations for most types of
138 patients who were eligible for the different SGLT2 inhibitor trials, but a 2B level
139 recommendation for patients with eGFR 20-45ml/min/1.73m² with urine albumin:creatinine
140 ratio (uACR) <200 mg/g. The rationale for that lower level of recommendation was evidence
141 for benefits on CKD progression in participants without diabetes and uACR <200 mg/g being
142 limited to eGFR slope analyses in heart failure trials and a subgroup of one CKD trial
143 (EMPA-KIDNEY).^{3,10}

144

145 In addition to confirmed benefits on kidney disease progression, SGLT2 inhibitors also
146 reduce risk of cardiovascular death, hospitalization for heart failure, hospitalization for other
147 causes, and acute kidney injury.¹⁰⁻¹² In the United States, individuals with the lowest levels of
148 albuminuria (i.e. A1 levels) represent around two thirds of patients with decreased
149 eGFR,^{13,14} and although they may be at lower risk of CKD progression than patients with
150 overt albuminuria, they remain at risk of all other adverse health outcomes which are
151 modifiable with SGLT2 inhibition.² Furthermore, the absolute risks of harms associated with
152 SGLT2 inhibition appear to be low, particularly among patients without diabetes.¹¹
153 Quantifying net effects of SGLT2 inhibition in patients with different levels of albuminuria

154 after stratification by diabetes within the totality of information from the large-scale trials is
155 therefore important to explore.

156

157 In this collaborative meta-analysis, first we aimed to quantify post-hoc the relative effects of
158 SGLT2 inhibition across the full range of clinical efficacy and relevant serious safety
159 outcomes reported within relevant large placebo-control trials. We then assess net absolute
160 effects within the included trial populations. We focus on subgroups with different strengths
161 of evidence in current CKD guidelines (i.e. by uACR ≥ 200 mg/g versus < 200 mg/g after
162 stratifying by diabetes status) rather than CKD staging categories.

163 **METHODS**

164 The SGLT2 Inhibitor Meta-Analysis Cardio-Renal Trialists' Consortium (SMART-C)
165 comprises completed randomized, double-blind, placebo-controlled outcome trials with at
166 least 500 participants in each treatment arm and follow-up of at least six months. All trials
167 were subject to relevant regulatory and ethics committee approvals, and participants
168 provided written informed consent. The Consortium is led by an academic steering
169 committee comprising representatives from each of the participating trials. This analysis was
170 restricted to trials evaluating SGLT2 inhibitors with a label indication for CKD progression
171 (canagliflozin, dapagliflozin and empagliflozin).

172

173 This meta-analysis included data from eight trials. Three trials enrolled participants with type
174 2 diabetes at high atherosclerotic cardiovascular risk (EMPA-REG OUTCOME¹⁵, CANVAS
175 Program¹⁶ and DECLARE-TIMI-58¹⁷), two trials studied participants with heart failure across
176 the spectrum of ejection fraction (EMPEROR-REDUCED¹⁸, EMPEROR-PRESERVED¹⁹),
177 and three were CKD trials (CREDENCE²⁰, DAPA-CKD²¹, EMPA-KIDNEY¹⁰). Of the other
178 SMART-C contribution trials, DAPA-HF²² and DELIVER²³ were excluded due to no baseline
179 information on albuminuria, DAPA-MI²⁴ and EMPACT-MI²⁵ were excluded due to no
180 systematic collection of longitudinal kidney outcomes; and SCORED,²⁶ SOLOIST-WHF²⁷ and
181 VERTIS-CV²⁸ were also excluded as sotagliflozin and ertugliflozin do not have a label
182 indication for kidney outcomes. Risk of bias of included studies was assessed using the
183 Cochrane Risk of Bias Version 2 tool.^{11,29}

184

185 *Outcomes*

186 The key objective of these analyses was effect estimation (i.e. there was no hierarchy of
187 outcomes). The categorical CKD progression outcome was a composite kidney disease
188 progression outcome defined as a sustained $\geq 40\%$ eGFR decline from randomization,
189 kidney failure (i.e. start of maintenance dialysis or receipt of a kidney transplant, or a
190 sustained low eGFR [usually < 15 mL/min/1.73m²]) or renal death. eGFR was calculated

191 using the race-adjusted 2009 CKD-EPI formula³⁰. A $\geq 40\%$ eGFR decline from randomization
192 is a validated surrogate for kidney failure (see eTable 1 for detailed kidney outcome
193 definitions by trial)³¹. Other efficacy outcomes included adverse events reported using the
194 MedDRA Preferred Term of acute kidney injury (eTable 1), hospitalization for heart failure
195 (excluding urgent heart failure visits), any hospitalization, cardiovascular death (based on
196 individual trial definitions), non-cardiovascular death, and any death. Myocardial infarction
197 and stroke were not included due to no clear evidence for benefit in our previous meta-
198 analysis.³² Safety outcomes were limited to the key complications that previous individual
199 trials or meta-analyses have indicated are potentially caused by SGLT2 inhibition (i.e.
200 ketoacidosis, lower limb amputation, serious urinary tract infection and bone fracture).^{16,33}

201

202 Additionally, we evaluated effects on the annualized rate of decline in eGFR (eGFR slope),
203 another surrogate of CKD progression.^{34,35} Continuous analyses of eGFR slope provide
204 additional statistical sensitivity compared to the categorical kidney disease progression
205 outcome, and is useful to evaluate subgroup effects on CKD progression. To account for the
206 acute reversible dip in eGFR that occurs after initiation of an SGLT2 inhibitor,³⁶ we
207 emphasize the effect of SGLT2 inhibitors on chronic eGFR slope. Chronic slope was defined
208 as the difference in annualized rate of change in eGFR between SGLT2 inhibitor and
209 placebo groups, calculated from the first post-randomization eGFR measurement, typically
210 occurring within three months of randomization to final follow-up. Total slope includes all
211 eGFR measurements from randomization to final follow-up. Neither of these slope methods
212 consider the reversibility of the acute dip (i.e. post-treatment eGFR measurements are not
213 included in analyses; see eMethods).

214

215 *Subgroups*

216 We evaluated treatment effects in analyses stratified by diabetes and then by uACR ≥ 200
217 mg/g versus < 200 mg/g. This mirrors the stratification used for different strengths/classes of

218 recommendation for use of SGLT2 inhibitors in CKD (these differ from KDIGO conventional
219 thresholds for staging which have thresholds at 30 and 300 mg/g).³

220

221 *Sensitivity analyses*

222 To account for differences in types of patients represented by trials, sensitivity analyses
223 were conducted restricting analyses to participants with eGFR <60 mL/min/1.73m²,
224 participants with eGFR <60 mL/min/1.73m² in the presence of heart failure, and excluding
225 heart failure trials, and considering the influence of CANVAS results on amputation risk.

226

227 *Statistical methods*

228 We followed the statistical methods implemented in previous SMART-C collaborative meta-
229 analyses.^{11,32,37} Two-stage meta-analysis was conducted with outcome definitions
230 harmonized across trials. We compared characteristics of participants at baseline across the
231 aforementioned diabetes and uACR categories. Treatment effects were obtained from
232 individual trials using intention-to-treat analyses and pooled using inverse variance weighted
233 (IVW) meta-analysis (i.e. adopts a common fixed effect across trials). Our previous meta-
234 analysis stratified by diabetes status has shown no important differences between included
235 trials for the estimates of treatment effect for each efficacy and mortality outcome.¹¹ If
236 multiple doses of an SGLT2 inhibitor were included in a trial, these groups were combined
237 and compared to matching placebo. For categorical clinical outcomes, Cox regression
238 models used covariate stratification as pre-specified in each trial. We obtained summary
239 effect estimates, overall, and according to baseline diabetes and uACR by pooling log-
240 transformed hazards ratios (HRs). We assessed effect modification by baseline uACR <200
241 versus ≥200mg/g separately by diabetes status using standard X² tests for heterogeneity on
242 the stratified pooled estimates. Two-sided p values <0.05 were considered statistically
243 significant, but were interpreted in the context of the multiple exploratory tests performed and
244 the absence of individual participant-level data from every trial. Participants with missing
245 values of uACR are included in overall rows but not in subgroups by albuminuria.

246

247 Absolute benefits and harms of SGLT2 inhibitors versus placebo per 1000 patient-years
248 were then estimated by diabetes status and uACR. Hypothetical absolute risk reductions and
249 increases for a given event rate were estimated by applying the diabetes status-specific HRs
250 and their 95% confidence intervals (CIs) to the corresponding mean event rates in the
251 placebo groups (first event only) without considering any error in the estimate of rate.

252

253 Effects on chronic and total eGFR slope were estimated using two-slope mixed-effects linear
254 spline model with unstructured covariance using methods that have been previously
255 reported³⁷ (<https://github.com/SGLT2-Trialists-Consortium/smartc-egfr-slope>). We obtained
256 combined estimates of slopes in each treatment group and the absolute difference between
257 them using IVW averages of the trial-specific estimates. As in previous studies, relative
258 differences were emphasized as they control for any differences in baseline risk.^{36,38} They
259 are calculated by dividing the IVW estimate of the absolute difference and its 95%
260 confidence interval by the IVW estimate of the mean slope in the placebo group.

261

262 Analyses were performed and validated directly on trial datasets for the four
263 empagliflozin trials and meta-analysed summary statistics provided from the other trials
264 using SAS software, version 9.4 (SAS Institute) by the University of Oxford. Reporting
265 followed PRISMA guidance (<https://www.equator-network.org/reporting-guidelines/prisma/>).

266 **RESULTS**

267 *Eligible trial characteristics*

268 The present analysis from eight large randomized clinical trials comprised 59,354
269 participants (eFigure 1 & eTable 2), including 34,322 participants randomized into trials of
270 type 2 diabetes at high risk of atherosclerotic cardiovascular disease, 9718 participants
271 randomized into trials of heart failure, and 15,314 participants randomized into CKD trials.
272 Two included trials (CREDESCENCE, DAPA-CKD) enrolled only participants with albuminuria
273 (uACR >300 mg/g and ≥200 mg/g, respectively) and four trials included only participants
274 with diabetes (EMPA-REG OUTCOME, CANVAS, DECLARE-TIMI 58, CREDESCENCE). All
275 eight included trials were considered low risk of bias, with <1% loss to follow-up for mortality
276 (eTable 3).

277

278 Of the included trial population, a uACR measurement was available for a total of 58,816
279 participants (99.1%). Of this population, 48,946 participants (83.2%) had a history of
280 diabetes, and 17,088 participants (29.1%) had baseline uACR ≥200 mg/g. eGFR was
281 highest among participants with diabetes and albuminuria <200 mg/g, (77.8 ± 20.8
282 mL/min/1.73m²) and lowest amongst participants with uACR <200 mg/g without diabetes
283 (42.3 ± 16.6 mL/min/1.73m²) (Table 1).

284

285 *Relative effects on efficacy outcomes by diabetes status*

286 Overall, kidney disease progression occurred in 2,917 participants with diabetes (5.9%) and
287 708 participants without diabetes (7.2%); acute kidney injury occurred in 1,127 participants
288 (2.6%) and 261 participants (2.6%) with and without diabetes, respectively; any
289 hospitalisation occurred in 18,486 participants (37.5%) and 3087 participants (31.2%) with
290 and without diabetes; and death from any cause occurred in 3807 participants (7.7%) with
291 diabetes and in 790 participants (8.0%) without diabetes.

292

293 Compared to placebo, allocation to SGLT2 inhibition reduced the hazard of kidney disease
294 progression by 35% (hazard ratio [HR] 0.65, 95%CI 0.60-0.70; Figure 1) among participants
295 with diabetes and 26% (HR 0.74, 0.63-0.85) among participants without diabetes, without
296 evidence of heterogeneity by diabetes status (P for heterogeneity [P-het] by diabetes
297 status=0.15).

298

299 Compared to placebo, allocation to SGLT2 inhibition reduced the hazard of acute kidney
300 injury to a similar extent in participants with and without diabetes, by 23% (HR 0.77, 0.69-
301 0.87) and 28% (HR 0.72, 0.56-0.92), respectively (P-het by diabetes status=0.61; Figure 1).
302 Similarly, the risk of hospitalization for heart failure was reduced by SGLT2 inhibition by 32%
303 (HR 0.68, 0.62-0.74) and 25% (HR 0.75, 0.63-0.88), and any hospitalization reduced by 10%
304 (HR 0.90, 0.87-0.92) and 11% (HR 0.89, 0.83-0.95), in participants with and without diabetes
305 respectively, without evidence of effect modification by diabetes status (Figure 1, eFigure 2).
306 The effects of SGLT2 inhibition on cardiovascular and non-cardiovascular death separately
307 and combined were also not modified by diabetes status (P-het by diabetes status >0.1 for
308 all these outcomes; Figure 1, eFigure 2).

309

310 *Relative effects on efficacy outcomes by uACR after stratification by diabetes status*

311 Among participants with diabetes, there was no evidence that the effect of SGLT2 inhibition
312 on kidney disease progression differed between participants with uACR <200 mg/g and
313 participants with uACR ≥200 mg/g (HR for uACR <200 mg/g 0.65, 0.56-0.75; HR for uACR
314 ≥200 mg/g 0.65, 0.59-0.71; P-het by uACR=0.95; Figure 1). Similarly, the effect of SGLT2
315 inhibition on kidney disease progression among participants without diabetes did not differ
316 by the presence or absence of uACR ≥200 mg/g (HR for uACR <200 mg/g 0.88, 0.64-1.23;
317 HR for uACR ≥200 mg/g 0.71, 0.60-0.84; P-het by uACR=0.24; Figure 1).

318

319 There was no evidence that the diabetes-specific HRs were modified by baseline uACR
320 category for acute kidney injury, hospitalization for heart failure, any hospitalization,

321 cardiovascular death, nor non-cardiovascular death (P-het tests by uACR all >0.1; Figure 1,
322 eFigure 2). When considering death from any cause among participants with diabetes, there
323 was some evidence that the magnitude of relative effect of SGLT2 inhibition was greater
324 among participants with uACR ≥ 200 mg/g versus <200 mg/g (HR 0.78, 0.80-0.91 versus
325 0.90, 0.83-0.98; P-het by uACR=0.03; Figure 1, eFigure 2).

326

327 *Relative effects on safety outcomes*

328 Allocation to SGLT2 inhibition approximately doubled the hazard of ketoacidosis (HR 2.29,
329 1.42-3.71) among participants with diabetes, without evidence of differential effect by uACR
330 status (Figure 2). Ketoacidosis was too infrequent among participants without diabetes to
331 estimate an HR or test for heterogeneity by diabetes or by uACR status. The HR for lower
332 limb amputation, serious urinary tract infection, and bone fracture among participants with
333 diabetes did not differ statistically from the HRs for participants without diabetes (P-het by
334 diabetes >0.3 for all outcomes); nor did the effect of SGLT2 inhibition on these outcomes
335 differ by baseline uACR within each diabetes stratum (Figure 2).

336

337 *Absolute effects on clinical outcomes within the trial populations*

338 Because kidney disease progression occurred at a greater rate among participants with
339 uACR ≥ 200 mg/g than among participants with uACR <200 mg/g in both participants with
340 and without diabetes, predicted absolute benefit was correspondingly greater among those
341 with uACR ≥ 200 mg/g. We estimate 31 (SE 2) and 24 (SE 5) fewer participants with kidney
342 disease progression outcomes per 1000 treated for one year when uACR was ≥ 200 mg/g
343 with and without diabetes, respectively. This compares to 4 (SE 0.3) and 4 (SE 0.9) fewer
344 participants with such outcomes per 1000 treated for a year when uACR was <200 mg/g
345 (Figure 3). Absolute benefits for all efficacy outcomes were evident among participants with
346 and without diabetes and in participants above and below a uACR of 200 mg/g, with
347 substantially fewer hospitalizations for heart failure or for other causes (Figure 3, eTable 5).
348 The predicted absolute number of safety outcomes resulting from use of SGLT2 inhibition

349 were notably smaller than the corresponding total absolute benefits for each subgroup, and
350 particularly among participants without diabetes, and were irrespective of albuminuria status
351 (Figure 3; eTable 5).

352

353 *Effects on eGFR slope analyses*

354 eGFR slope-based analyses found that allocation to SGLT2 inhibition reduced the chronic
355 annual rate of decline of eGFR in participants both with and without diabetes, though the
356 magnitude was greater among participants with diabetes (58% relative reduction in chronic
357 eGFR slope; 95%CI 54-61%) than among participants without diabetes (43% relative
358 reduction, 35-52%; P-het by diabetes status=0.0019; Figure 4).

359

360 Within each diabetes stratum, there was no difference in the relative effect of SGLT2
361 inhibitors on chronic eGFR slopes in participants with uACR <200 mg/g compared to
362 participants with uACR ≥200 mg/g (P-het by uACR among participants with and without
363 diabetes=0.21 and 0.23, respectively; Figure 4). A similar pattern was observed in analyses
364 using the total eGFR slope (Figure 4).

365

366 *Sensitivity analyses*

367 Relative effects were generally consistent in a range of sensitivity analyses. Clear net
368 absolute benefits were evident in analyses limited to participants with an eGFR <60 mL/min/
369 1.73m², and in the presence of heart failure plus eGFR <60 mL/min/1.73m² (eFigures 3-7).
370 After exclusion of heart failure populations, absolute net benefits remained substantial
371 despite smaller absolute effects on cardiovascular death or hospitalization for heart failure
372 (eFigure 8).

373 **DISCUSSION**

374 The overarching objective was to explore the impact of diabetes status and albuminuria on
375 the net absolute effects of SGLT2 inhibition on the range of clinical efficacy and relevant
376 serious safety outcomes reported within large placebo-control trials. Relative benefits for
377 kidney disease progression, acute kidney injury, hospitalization for any cause, and
378 cardiovascular death were generally similar in analyses stratified by diabetes status and then
379 by baseline uACR category. When absolute benefits were considered, higher baseline risk of
380 kidney disease progression at uACR ≥ 200 mg/g meant substantially larger absolute benefits
381 for this outcome were evident compared to participants with uACR < 200 mg/g. Nevertheless,
382 there were substantial absolute benefits on other efficacy outcomes irrespective of level of
383 albuminuria, with particularly large absolute benefits on risk of hospitalization, both for heart
384 failure and for other causes, in all subgroups. Diabetes status did not importantly modify net
385 absolute benefits in participants with a uACR < 200 mg/g, and absolute benefits on
386 hospitalization remained substantial even when uACR was < 200 mg and after exclusion of
387 participants from the heart failure trials. The absolute risks of serious harm were largely
388 limited to patients with diabetes, and were substantially outweighed by the cardiorenal,
389 hospitalization and mortality benefits.

390

391 The large absolute benefits of SGLT2 inhibitors on hospitalization have been shown to lead
392 to cost-effectiveness in patients with CKD irrespective of diabetes status and level of
393 albuminuria in analyses of several SGLT-2 inhibitor trials including EMPA-KIDNEY, DAPA-
394 CKD and DECLARE-TIMI 58.^{39,40} The widespread use of SGLT2 inhibitors in CKD could lead
395 to major reduction in kidney failure and hospitalization globally, thus improving efficiency for
396 healthcare services. The presented evidence offers an opportunity for guidelines to be
397 simplified to reduce arguably unnecessary stratification of recommendations by diabetes
398 status and by uACR, and consequently maximize implementation of appropriate SGLT2
399 inhibition. Patients with low levels of albuminuria represent the vast majority of patients with
400 decreased eGFR.^{13,14} Guidelines focusing on only one potential benefit of SGLT2 inhibition

401 (e.g. kidney disease progression outcomes alone) will overlook the major absolute benefits
402 on other important clinical outcomes among this large group of patients, including reduction
403 in hospitalizations and mortality. Availability of generic SGLT2 inhibitors now and in the near
404 future will help facilitate more equitable use globally, improve cost-effectiveness further, and
405 help achieve the objectives of a 2025 World Health Organization (WHO) resolution. This
406 resolution has recognized that the burden of non-communicable diseases globally can be
407 reduced through promotion of kidney health and strengthening prevention and control of
408 kidney disease.⁴¹ Strengthening prevention may include use of generic SGLT2 inhibitors in
409 earlier stages of CKD (e.g. stage G3A1), a group not included in CKD trials due to slow CKD
410 progression rate. The global prevalence of early CKD is high, and the clinical care of early
411 CKD usually takes place in primary care and non-nephrology specialities. Such patients are
412 at increased risk of hospitalization, as well as adverse kidney and cardiovascular outcomes,
413 and assessing the potential cost-effectiveness of treating early CKD long-term should be
414 considered in future health economic research.^{2,42}

415

416 The strengths of this meta-analysis include the availability of the full range of clinical efficacy
417 and serious safety outcomes, including a standardized kidney disease progression outcome,
418 and the ability to explore eGFR slope analyses in ~60,000 participants recruited into the
419 eight large placebo-controlled SGLT2 inhibitor trials.

420

421 *Limitations*

422 The first study limitation was that uACR was not available for a subset of the large placebo-
423 controlled trials of SGLT2 inhibition, precluding their inclusion. Second, the tests for
424 heterogeneity for categorical outcomes have low power. For example, effect modification by
425 diabetes status on eGFR slope was not detected using the categorical kidney disease
426 progression outcome. Third, our hypothetical absolute effect estimates for categorical events
427 and eGFR slopes are specific to the recruited trial populations, and not adjusted for potential
428 confounders nor competing risks. Such adjustments would have required individual

429 participant level data from every trial. The presented absolute effect estimates should be
430 interpreted as the hypothetical absolute effects of treatment with SGLT2 inhibition in a group
431 of 1000 patients with a given baseline risk (in this case, a baseline risk equal to that of
432 placebo-allocated participants from relevant populations in the included trials). As relative
433 estimates of effect appear generalizable, in routine clinical practice, absolute effects of
434 SGLT2 inhibitors for individuals could be estimated by calculating a patient's absolute risk for
435 a clinical outcome using a validated risk score, and then applying the relative effect estimate
436 for the outcome from the present meta-analysis. This method could also be applied to eGFR
437 slopes. Other meta-analysis have demonstrated the reversibility of the acute eGFR dip, so
438 we emphasize chronic eGFR slope over total slope when explaining benefits of SGLT2
439 inhibitors to patients.³⁶ Larger effects on chronic rate of eGFR decline were evident in
440 patients with diabetes versus not, with SGLT2 inhibition reducing the rate of eGFR decline
441 by about three-fifths and two-fifths respectively, irrespective of level of albuminuria. These
442 two statistics provide a simple method to explain the beneficial effect of initiating an SGLT2
443 inhibitor on kidney function over time when introducing eGFR-by-time plots.

444

445 *Conclusions*

446 In summary, the relative benefits of SGLT2 inhibitors on kidney outcomes, mortality, and
447 hospitalization in the reported large trials are similar in patients irrespective of diabetes
448 status or baseline uACR ≥ 200 versus < 200 mg/g. Within the included trial populations,
449 participants with higher uACR experience larger absolute kidney disease progression
450 benefits. Nevertheless, among participants with a uACR < 200 mg/g, net absolute benefits in
451 participants without diabetes appear similar to participants with diabetes. The absolute risks
452 of any harm (mainly ketoacidosis) are substantially smaller than the absolute benefits, and
453 largely limited to patients with diabetes. These data should encourage widespread utilization
454 of SGLT2 inhibitors in patients with CKD irrespective of diabetes status and level of
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456 **CONTRIBUTIONS**

457 WG Herrington & N Staplin conceived the meta-analysis and developed its analytical
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459 All authors contributed to interpretation and manuscript review. N Staplin & WG Herrington
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643 Data available: Yes

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791 TABLES AND FIGURES

792 **Table 1: Baseline characteristics from 8 included trials, by diabetes status and level of**
793 **albuminuria**

	DIABETES		NO DIABETES	
	uACR <200 mg/g	uACR ≥200 mg/g	uACR <200 mg/g	uACR ≥200 mg/g
Number of participants	35853	13093	5875	3995
Demographics				
Age at randomization (years)	65 (8)	64 (9)	70 (11)	57 (15)
Sex				
Male	22886 (64%)	9046 (69%)	3582 (61%)	2759 (69%)
Female	12967 (36%)	4047 (31%)	2293 (39%)	1236 (31%)
Race*				
N with known data	35832	13089	5846	3991
Asian	5318 (15%)	3322 (25%)	947 (16%)	1718 (43%)
Black	1417 (4%)	650 (5%)	266 (5%)	131 (3%)
White	27803 (78%)	8203 (63%)	4417 (76%)	2039 (51%)
Other	1294 (4%)	914 (7%)	216 (4%)	103 (3%)
Past medical history				
Heart failure trial	3813 (11%)	957 (7%)	4526 (77%)	377 (9%)
Non-heart failure trial - self-report	3664 (10%)	1612 (12%)	163 (3%)	170 (4%)
No heart failure	28376 (79%)	10524 (80%)	1186 (20%)	3448 (86%)
Any prior cardiovascular disease	22506 (63%)	7231 (55%)	4931 (84%)	961 (24%)
Medications				
RAS inhibitors	29177 (81%)	12045 (92%)	4792 (82%)	3646 (91%)
Mineralocorticoid receptor antagonists	3374 (9%)	868 (7%)	2402 (41%)	323 (8%)
GLP-1 receptor agonists	1457 (4%)	587 (4%)	0 (0%)	0 (0%)
Physical findings				
Systolic blood pressure, mean (SD)	133.9 (15.8)	140.9 (17.0)	127.6 (16.6)	134.3 (16.8)
Laboratory findings				
eGFR (mL/min/1.73m ²)				
Mean (SD)	77.8 (20.8)	55.8 (22.2)	56.5 (21.1)	42.3 (16.6)
<45	3137 (9%)	4888 (37%)	2061 (35%)	2541 (64%)
≥45 to <60	3893 (11%)	3109 (24%)	1354 (23%)	887 (22%)
≥60	28599 (80%)	5073 (39%)	2460 (42%)	567 (14%)
uACR (mg/g), geometric mean (geometric SD)	15.3 (3.1)	874.2 (2.5)	17.2 (3.3)	815.3 (2.2)

794 See eTable 2 for summary details of the included trials including baseline characteristics. *Race based on self
795 report. uACR = urinary albumin:creatinine ratio. eGFR = estimated glomerular filtration rate.

796

797 **Figure legends**

798 **Figure 1: Relative effects of SGLT2 inhibitors on selected efficacy outcomes, by**
799 **diabetes status and level of albuminuria**

800 Kidney disease progression is a composite outcome defined as a sustained $\geq 40\%$ eGFR
801 decline from randomization, kidney failure (i.e. start of maintenance dialysis or receipt of a
802 kidney transplant, or a sustained low eGFR [usually < 15 mL/min/1.73m²]) or renal death.
803 Acute kidney injury was generally based on reports of adverse events using the MedDRA
804 Preferred Term of Acute Kidney Injury (see eTable 1 for more details). * Participants with
805 missing values of uACR are included in overall rows but not in subgroups by albuminuria, so
806 the sum of the numbers of events and participants by level of uACR may not equal the
807 overall totals. † The mean follow-up time (in years) in the SGLT2i and diabetes, placebo and
808 diabetes, SGLT2i and no diabetes, placebo and no diabetes groups is 3.1, 3.0, 1.7 and 1.6
809 for kidney disease progression, 2.8, 2.7, 1.9 and 1.9 for acute kidney injury, 2.6, 2.4, 1.6 and
810 1.5 for any hospitalization, and 3.3, 3.1, 1.9 and 1.9 for any death. The area of each box is
811 proportional to the inverse of the variance of the log hazard ratios. SGLT2i = sodium-glucose
812 co-transporter-2 inhibitor. uACR = urinary albumin:creatinine ratio. Het = heterogeneity.

813

814 **Figure 2: Relative effect of SGLT2 inhibitors on safety outcomes, by diabetes status**
815 **and level of albuminuria**

816 Serious refers to the standard definition of a serious adverse event, including death, life-
817 threatening, hospitalization or other important medical event in the opinion of an investigator.
818 * Participants with missing values of uACR are included in overall rows but not in subgroups
819 by albuminuria, so the sum of the numbers of events and participants by level of uACR may
820 not equal the overall totals. † The mean follow-up time (in years) in the SGLT2i and diabetes,
821 placebo and diabetes, SGLT2i and no diabetes, placebo and no diabetes groups is 3.2, 3.0,
822 1.9 and 1.9 for bone fracture, 3.2, 3.1, 1.6 and 1.9 for lower limb amputation, 3.1, 2.9, 1.9
823 and 1.9 for serious urinary tract infections and 2.9, 2.9, 1.9 and 1.9 for ketoacidosis. The

824 hazard ratios for lower limb amputation and bone fracture in all participants (excluding
825 CANVAS) are 1.02 (0.88, 1.19) and 1.04 (0.96,1.14) respectively. The area of each box is
826 proportional to the inverse of the variance of the log hazard ratios. SGLT2i = sodium-glucose
827 co-transporter-2 inhibitor. uACR = urinary albumin:creatinine ratio. Het = heterogeneity.

828

829 **Figure 3: Hypothetical number of events prevented or caused per 1000 patients**
830 **treated with an SGLT2 inhibitor for a year, by diabetes status and level of albuminuria**
831 **(i.e. absolute effects)**

832 As no significant heterogeneity by level of albuminuria were identified in figures 1&2, this
833 absolute risk bar chart represents estimated absolute effects calculated by applying the
834 diabetes subgroup-specific hazard ratio (i.e. estimate of relative effects) to the average event
835 rate in the placebo arms for each subgroup (first event only). The presented numbers can be
836 considered the hypothetical number of events avoided or caused if a population of 1000
837 patients with the same baseline risk as placebo-allocated participants from the relevant
838 population were to be prescribed an SGLT2 inhibitor for 1 year, together with the standard
839 error of this estimate (calculated from the uncertainty in the hazard ratio in Figures 1&2). The
840 lighter shaded sections of the bars for any hospitalization and any death indicate the number
841 of hospitalizations for heart failure and cardiovascular deaths avoided (see eFigure 2 for
842 details of hazard ratios for these outcomes). eFigures 5, 7 and 8 provide sensitivity analyses
843 restricted to participants with eGFR <60 ml/min/1.73m², restricted to participants with eGFR
844 <60 ml/min/1.73m² and presence of heart failure and excluding heart failure trials
845 respectively. *Too few ketoacidosis events to estimate absolute effects in the absence of
846 diabetes. The estimated excess absolute risk of amputation per 1000 patient-years in
847 patients with diabetes after excluding CANVAS was 0.1±0.3 for uACR <200 mg/g and
848 0.4±0.9 for uACR ≥200mg/g. eGFR = estimated glomerular filtration rate. SGLT2i = sodium-
849 glucose co-transporter-2 inhibitor.

850

851 **Figure 4: Effect of SGLT2 inhibitors on chronic and total slopes, by diabetes status**
852 **and level of albuminuria (relative and absolute differences)**

853 Chronic slope was defined as the difference in annualized rate of change in eGFR between
854 SGLT2 inhibitor and placebo groups, calculated from the first post-randomization eGFR
855 measurement, typically occurring within three months of randomization to final follow-up.
856 Total slope includes all eGFR measurements from randomization to final follow-up (see
857 eMethods for further explanation). The forest plot presents effects on the relative scale and
858 assesses for evidence for effect modification by study treatment. The area of each box is
859 proportional to the inverse of the variance of slope. There is evidence of larger relative
860 effects on chronic slope in patients with diabetes, but no strong evidence of effect
861 modification by level of albuminuria. The bar chart plots absolute differences in eGFR
862 slopes. SGLT2i = sodium-glucose co-transporter-2 inhibitor. uACR = urinary
863 albumin:creatinine ratio. Het = heterogeneity.

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