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Promoting the implementation of clinical decision support systems in primary care:

A qualitative exploration of implementing a Fractional Exhaled Nitric Oxide (FeNO)-guided decision support system in asthma consultations

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Corresponding Author:	Kate Morton University of York York, UNITED KINGDOM
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Abstract:	<p>Background: Clinical decision support systems (CDSSs) can promote adherence to clinical guidelines and improve patient outcomes. Exploring implementation determinants during the development of CDSSs enables intervention optimisation to promote acceptability, perceived appropriateness and fidelity during subsequent implementation. This study sought to explore how clinicians perceive the use of a CDSS which makes recommendations for asthma management based on factors including Fractional exhaled Nitric Oxide testing, and how CDSSs can be designed to promote their implementation.</p> <p>Methods: Twenty-three interviews were conducted with clinicians to explore perceptions about the CDSS. Participants included asthma nurses, pharmacists, General Practitioners and respiratory nurse specialists involved in conducting asthma reviews in primary care. Interviews were transcribed verbatim and analysed using reflexive thematic analysis.</p> <p>Results: Three themes were developed: Appreciating the recommendations of the CDSS, whilst wanting to retain control; Doubt about appropriateness of CDSS recommendations, especially when you can't see how they were produced; and Potential for the CDSS to increase patients' trust and adherence to their treatment. Clinicians perceived the CDSS could help them prioritise management options and consider broader factors relating to patients' asthma symptoms, but it was important to be able to override the recommendation. Lack of transparency over how recommendations were generated and concern about appropriateness of recommendations for specific patients led to uncertainty about adhering to the CDSS. Clinically tailored recommendations were perceived to help reassure patients and/or to support their adherence to asthma management.</p> <p>Conclusions: Even small changes to the content of CDSS recommendations, such as explaining how recommendations were generated and showing they are consistent with guidance, may help to overcome barriers to acceptability and perceived appropriateness for clinicians. Focusing on implementation during the development of CDSS interventions is worthwhile to help reduce the evidence-practice gap.</p>
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Summary of Comments on PONE-D-24-07315_reviewer.pdf

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	Ben Ainsworth
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1 **Promoting the implementation of clinical decision support systems in primary care:**

2 **A qualitative exploration of implementing a Fractional Exhaled Nitric Oxide (FeNO)-guided**

3 **decision support system in asthma consultations**

4 **Short title: Promoting the implementation of clinical decision support systems in primary care**

5

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21

22 **Abstract**

23 Background: Clinical decision support systems (CDSSs) can promote adherence to clinical guidelines
24 and improve patient outcomes. Exploring implementation determinants during the development of
25 CDSSs enables intervention optimisation to promote acceptability, perceived appropriateness and
26 fidelity during subsequent implementation. This study sought to explore how clinicians perceive the
27 use of a CDSS which makes recommendations for asthma management based on factors including
28 Fractional exhaled Nitric Oxide testing, and how CDSSs can be designed to promote their
29 implementation.

30 Methods: Twenty-three interviews were conducted with clinicians to explore perceptions about the
31 CDSS. Participants included asthma nurses, pharmacists, General Practitioners and respiratory nurse
32 specialists involved in conducting asthma reviews in primary care. Interviews were transcribed
33 verbatim and analysed using reflexive thematic analysis.

34 Results: Three themes were developed: Appreciating the recommendations of the CDSS, whilst
35 wanting to retain control; Doubt about appropriateness of CDSS recommendations, especially when
36 you can't see how they were produced; and Potential for the CDSS to increase patients' trust and
37 adherence to their treatment. Clinicians perceived the CDSS could help them prioritise management
38 options and consider broader factors relating to patients' asthma symptoms, but it was important to
39 be able to override the recommendation. Lack of transparency over how recommendations were
40 generated and concern about appropriateness of recommendations for specific patients led to
41 uncertainty about adhering to the CDSS. Clinically tailored recommendations were perceived to help
42 reassure patients and/or to support their adherence to asthma management.

43 Conclusions: Even small changes to the content of CDSS recommendations, such as explaining how
44 recommendations were generated and showing they are consistent with guidance, may help to
45 overcome barriers to acceptability and perceived appropriateness for clinicians. Focusing on

46 implementation during the development of CDSS interventions is worthwhile to help reduce the
47 evidence-practice gap.

48

49 **Keywords:** Clinical decision support system, implementation, implementation strategy, intervention,
50 qualitative, think-aloud, feasibility

51 **Introduction**

52 Clinical decision support systems (CDSSs) aim to ‘improve healthcare delivery by enhancing medical
53 decisions with targeted clinical knowledge, patient information, and other health information’ (1, 2).
54 They can promote adherence to guidelines, improve patient outcomes, and support clinical decision-
55 making (1, 3-5). CDSSs have been shown to be effective (6), but **Implementation in terms of fidelity**
56 **of delivery (also known as adherence), acceptability, and perceived appropriateness is often sub-**
57 **optimal, resulting in recommendations being over-ridden or poor uptake of the CDSS in practice (3,**
58 7). This suggests that the way in which these interventions are designed for and introduced to
59 clinical practice could be improved.

60 Implementation strategies are “methods or techniques used to enhance the adoption,
61 implementation, and sustainability of a clinical program or practice” (8). The selection of relevant
62 strategies for a specific intervention and context can be informed by theory, evidence around the
63 determinants of implementation (defined as “modifiable factors that prevent or enable the adoption
64 and implementation of evidence-based interventions” (9)), and stakeholder engagement (10). A
65 framework for implementation of CDSSs has been developed which provides an overview of six
66 positions that clinicians may adopt, with tailored implementation strategies for each (11). These
67 positions range along a spectrum from perceiving low control over the CDSS, where it is seen as an
68 interference or restriction on clinical decision making, to high control where it is seen as a helpful
69 tool to complement clinical practice. The implementation strategies generally focus on what can be
70 done within the organisation to facilitate the adoption of CDSSs, such as securing management
71 commitment, integrating with existing processes, and involving clinicians in selecting sources of
72 evidence on which CDSS recommendations are based.

73 This focus on changing the set-up and the setting *around* the intervention to promote
74 implementation is reflected outside the CDSS domain, for example in the 73 implementation
75 strategies listed in the ERIC taxonomy (Expert Recommendations for Implementing Change), which



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76 describe strategies that can be used at the organisation or user level to improve implementation of
77 an intervention (12).

78 However, we believe that optimal design of the intervention itself can also impact on
79 implementation outcomes. Indeed 'intervention' is included as one of five domains associated with
80 implementation in the Consolidated Framework for Implementation Research (CFIR), along with
81 inner and outer setting, process, and individual characteristics (24, 25). Recent recommendations
82 have called for implementation researchers to focus on ways to optimise implementation at the
83 level of the healthcare intervention, as well as at the clinician and organisational level (29). Explicitly
84 identifying and describing implementation strategies during early phases of intervention research,
85 such as development or feasibility studies, provides the opportunity to consider how to optimise
86 implementation while the intervention is still under development (13), thus helping to address the
87 evidence-to-practice gap (13, 14). Therefore, this study sought to identify ways that CDSS
88 interventions can be optimised to facilitate their implementation.

89 The CDSS in this study was an online system for use during asthma reviews in primary care. Its aim
90 was to reduce asthma exacerbations through the incorporation of Fractional exhaled Nitric Oxide
91 (FeNO) test results to clinical decision making about asthma management (15). FeNO tests are used
92 to assess airway inflammation during asthma diagnosis, and can provide a more accurate indication
93 of exacerbation risk than relying on patient-reported symptoms and lung function assessments alone
94 (16, 17). The National Institute for Health and Care Excellence (NICE) have called for evidence to
95 support the use of FeNO testing in improving asthma management (3). The CDSS was based on the
96 latest evidence, drew on theory to change clinicians' beliefs about the benefit of FeNO testing, and
97 was developed using the Person-Based Approach (18) with regular consultation with target users
98 and stakeholders (19).



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99 This study sought to explore how clinicians perceive the use of a CDSS intervention in asthma
100 consultations, and how CDSS interventions can be optimised to support their implementation into
101 practice.

102

103 **Methods**

104 Design

105 Two qualitative studies were conducted in primary care in the UK; a think-aloud study which took
106 place in iterative stages from 01 July 2020 to 30 April 2021 to inform intervention development, and
107 a process study from 01 August to 08 December 2021 which was nested within a feasibility study,
108 see Figure 1. This design enabled understanding of clinicians' perceptions of the CDSS in two
109 contexts; real-time in a hypothetical context during intervention development, and retrospectively
110 when recalling use during asthma reviews. Optimisations were made to the CDSS intervention
111 throughout the think-aloud and feasibility phases.

112 <Insert Figure 1>

113 This paper reports the findings of these studies following the consolidated criteria for reporting
114 qualitative research (COREQ) checklist (20) (Supporting Information File 1) and the Standards for
115 Reporting Implementation Studies (StaRI) statement (21) (Supporting Information File 2).

116 Intervention (the CDSS)

117 The CDSS was designed for use by clinicians during asthma reviews. It included web pages for
118 clinicians to input information about their patient: FeNO test result (an measure of steroid-
119 responsive airway inflammation); Asthma Control Test score (self-reported symptoms); and
120 presence or absence of asthma exacerbations in the last 12 months (exacerbation risk). The CDSS
121 then asked a series of tailored questions according to the data inputted, such as whether the patient

122 was adherent to their treatment, and whether they were already prescribed certain treatments,
123 before producing a tailored recommendation for the patient's care.

124 The CDSS recommendations were based on an algorithm developed by leading clinicians with
125 expertise in FeNO-guided asthma management (KW, MT and others) via consensus meetings. They
126 determined how the available evidence should be applied to interpreting FeNO tests for asthma
127 monitoring, taking account of British Thoracic Society (BTS) and NICE guidelines (22, 23).

128 Figure 2 shows a screenshot of the CDSS.

129 <insert Figure 2 here> Screenshot of the CDSS used in this study.

130 Before using the CDSS, clinicians completed an online training session about FeNO testing and how
131 to use the CDSS during asthma reviews. The training session and the wording for the CDSS's tailored
132 recommendations were co-developed with in-depth involvement from patients with asthma and
133 clinicians, using the person-based approach (24).


134 Table 1 shows examples of possible recommendations received by clinicians from the CDSS.

135

136 Table 1. Examples of clinically tailored CDSS recommendations

Patient information			Recommendation
FeNO test result (low indicates absence of airway inflammation, high indicates airway inflammation)	Patient has experienced at least one exacerbation in the last year	Patient-reported asthma symptoms	
Low	No	Well-controlled	<ul style="list-style-type: none"> • If your patient’s symptoms have been well controlled for 3 months or longer, consider stopping their leukotriene receptor antagonist. • Review your patient and check their FeNO in 6 to 8 weeks. • Advise them to seek medical advice sooner if they feel their asthma is getting worse.
Low	No	Poorly-controlled	<ul style="list-style-type: none"> • Ensure that any other factors which may be making your patient’s asthma symptoms worse are adequately addressed (or refer to a colleague who can do this). • Other strategies may be useful and should be tailored to your patient (Link) • Once these factors have been addressed adequately, review the patient again and check their FeNO.
Intermediate	No	Well-controlled	<ul style="list-style-type: none"> • Consider starting your patient on low dose inhaled corticosteroids • Review your patient and check their FeNO in 6 to 8 weeks
High	Yes	Well-controlled	<ul style="list-style-type: none"> • Consider increasing the dose of inhaled corticosteroids in your patient’s steroid or combination inhaler back to the dose they were taking before. • Review your patient and check their FeNO in 6 to 8 weeks

137

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Were these rule based recommendations e.g. based on a decision tree, or generated via other means e.g. Generative AI?

138

139 Context

140 GP practices in the UK invite patients with asthma to attend an annual review, and this is where the
141 CDSS was designed to be used. All general practices in the feasibility study were provided with a
142 FeNO analyser. At the time of this study, FeNO testing was not implemented as standard care for
143 asthma management in Primary Care in the UK, but some clinicians have experience of using it to
144 help diagnose asthma (25).


145 At the time of the think-aloud and feasibility studies (July 2020-December 2021), Covid-19 was still a
146 significant concern which likely impacted how practices managed and conducted asthma reviews.
147 Due to limited capacity and to minimise unnecessary face to face contact, practices tended to
148 conduct asthma reviews remotely where possible and limit face to face asthma reviews, but patients
149 were able to attend the practice ¹ person to use the FeNO analyser.


Recruitment

150 Clinicians managing patients with asthma in primary care were eligible to take part in the think-
151 aloud and feasibility study.

152 Think-aloud interviews

153 Recruitment to the think-aloud interviews was supported by the Thames Valley and South Midlands
154 Clinical Research Networks. A range of clinicians were invited by email through mailouts to practices
155 within the networks, including GPs, nurses, and pharmacists. ² recruitment took place in iterative
156 cycles of 4-5 interviews, with optimisations made to the CDSS recommendations and online training
157 after each batch of interviews. Recruitment stopped once no new barriers to using the intervention
158 were raised, in line with the person-based approach (26).

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What happens to the CDSS if there's no FeNO results available?

 Number: 2 Author: [REDACTED] Subject: Comment on Text Date: 7/23/2024 11:08:22 PM
Why 4-5 interviews the cut-off for one cycle?

159 Feasibility study process interviews

160 Recruitment to the feasibility study was supported by the Wessex Clinical Research Network. Where
161 possible practices with no experience of using FeNO testing in asthma reviews were purposively
162 sampled to explore how the intervention was implemented by novice users.

163 The six participating practices nominated a member of staff to use the CDSS during routine asthma
164 reviews, usually an asthma or respiratory nurse, who was then invited by email to a process
165 interview. All eligible staff involved in delivering the intervention in the feasibility study agreed to an
166 interview.

167

168 Procedure

169 In both studies, participant information sheets were sent by email, and informed consent recorded
170 verbally prior to the interview. All interviews took place either by telephone or MS Teams. The
171 interviews were conducted by MS (PhD), MV (PhD) and KM (PhD), female qualitative researchers at
172 the Universities of Oxford and Southampton with experience conducting semi-structured interviews.
173 Participants knew that the interviewers were researchers involved in the study, but were
174 encouraged to share any negative views about the intervention. GP practices were reimbursed for
175 clinicians' time. Interviews were audio-recorded and transcribed verbatim, but transcripts were not
176 returned to participants to check.

177 Think-aloud interviews

178 Participants were asked to think-aloud as they viewed the online training session, and/or used the
179 CDSS interface to receive recommendations with dummy patient data. Open questions explored
180 their experiences with a focus on understanding what was liked or disliked, and any perceived
181 barriers or facilitators to implementing the intervention in practice. These interviews provided a rich

182 complement to the process interviews by giving real-time, detailed perceptions of the CDSS content
183 and concerns about implementing it in practice.

184 Feasibility study process interviews

185 Semi-structured interviews explored participants' experiences of implementing the intervention
186 during the feasibility study (see Supporting Information file 3 for interview schedule). Each clinician
187 had conducted four or five asthma reviews using the CDSS at the time of the interview.

188 Analysis


189 Reflexive thematic analysis (27) was used to analyse the process and think-aloud interview
190 transcripts. This approach encourages themes to be built inductively from the data, influenced by
191 the researchers' own interpretations, facilitating an in-depth understanding of perceptions about
192 the CDSS. The process and think-aloud interviews were analysed together as the data were found to
193 contain similar themes, but the context in which the data were collected was considered when
194 writing up the analysis and quotes are shown as think-aloud (T) or process (P) interviews to facilitate
195 interpretation. KM led the thematic analysis, with feedback from STC, MS and BA to refine the
196 development of themes.

197 All transcripts were read thoroughly to become familiar with the data, and codes were developed to
198 identify meaning relevant to the research question. NVivo was used to capture the coding (28). The
199 researcher also kept a log during this process to record possible interpretations of the data. Themes
200 were developed by interpreting shared meaning across codes in an iterative process, with ongoing
201 revisions to the description of themes. Participants did not provide feedback on the analysis.

202

203 **Results**

204 **Sixteen think-aloud interviews** and seven qualitative process interviews were conducted. All
205 clinicians in the feasibility study agreed to take part in an interview. We do not know how many

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How many Think Aloud cycles were done and what was the change across the cycles?

206 clinicians were approached by the Clinical Research Network for think-aloud interviews but declined.
207 **1**uring the think-aloud interviews, eight clinicians viewed the online training, six inputted dummy
208 data to the CDSS to view recommendations, and two did both. The average length of interviews was
209 46 minutes (range 21 – 86 minutes).

210 **2**articipants included 8 asthma nurses, 6 respiratory nurse specialists or nurse prescribers, 4 GPs, 3
211 pharmacists and 2 research nurses. Nineteen of the 23 participants had not used FeNO testing
212 regularly prior to the study, whilst four had used it regularly.

213 **3**hree themes were developed: Appreciating the recommendations of the CDSS, whilst wanting to
214 retain control; Doubt about appropriateness of CDSS recommendations, especially when you can't
215 see how they were produced; and Potential for the CDSS to increase patients' trust and adherence
216 to their treatment.

217 **1. Appreciating the recommendations of the CDSS, whilst wanting to retain control**

218 Some clinicians in the think-aloud interviews liked the idea of using a CDSS to help prioritise which
219 management option to try.

220 *"Because I think sometimes it would have been a toss-up between reducing the dose of the*
221 *inhaler first or stopping the additional leukotriene [sic], and who knows which one I would*
222 *have picked?" (T15, pharmacist)*

223

224 Clinicians felt that the tailored questions and recommendations from the CDSS could encourage
225 them to consider additional factors when evaluating patients' asthma. For example, a nurse
226 prescriber in a think-aloud interview felt that the CDSS would remind them to consider what else
227 could be contributing to their patient's symptoms, rather than simply increasing asthma medication.

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Clarify this breakdown

Does this mean 8 clinicians did not had a direct interaction with the CDSS screens but only the online training module?

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Suggest a breakdown across the 2 parts - Think Aloud and Process evaluation. What were their their experiences in managing asthma, gender distribution, practice size, volume of asthma patients seen each month?

Number: 3 Author: [REDACTED] Subject: Comment on Text Date: 7/23/2024 11:15:37 PM

No quotes from GPs seem to have been included, please ensure representation from all the groups is present and negative/dissenting opinions were also included

228 *“You’ve got somebody with what appears to be uncontrolled asthma symptoms, so rather*
229 *than just ploughing on, upping the asthma treatment, this idea to really stop and think about*
230 *is it the asthma or is it something else that’s causing it? (T2, nurse prescriber)*

231

232 However, a lead practice nurse in a respiratory hub felt that the CDSS would change their usual way
233 of working and was unsure about being “told what to do”.

234 *“It’s interesting being told what to do by a tool, I think that’s something that would be very*
235 *new to us because I think that’s kind of our job” (T13, Lead practice nurse and respiratory*
236 *hub nurse)*

237 The CDSS is intended to facilitate conversation with the patient, with the ultimate decision about
238 asthma management remaining with the clinician and patient, but this clinician’s response suggested
239 some concern about her license to take alternative action.

240 When the CDSS was put into practice in the feasibility study, it appeared that clinicians did regard
241 recommendations merely as possible actions which they could decide whether to follow, but some
242 wanted to record their rationale if they chose to do something differently. This appeared to be more
243 about wanting to justify their decision to the CDSS, or whoever monitors it, rather than for their own
244 use or patient benefit.

245 *“It might be good to have some way of making note of that when you... They’re obviously on*
246 *our notes for the patient and we know why, but it might be an idea to do a little training*
247 *session on what to do if you disagree with the recommendations, because I wasn’t really*
248 *quite sure how to go about that” (P1.1, research nurse)*

249

250 Other clinicians in the feasibility study felt that the CDSS did not add anything for them because they
251 already had sufficient experience and knowledge to make decisions without it. These clinicians did
252 not feel the need to explain when they had not followed its recommendations.

253 *"I: Were there any cases where the [CDSS] influenced your clinical decision making*
254 *do you think?*

255 *R: No. [laugh] I think I went against one of them because you know, it just...I*
256 *think if somebody is or has little experience, I won't say inexperienced, so somebody*
257 *who is starting their journey they probably would find it a lot more useful... You know*
258 *I'm very happy to admit I am an old nurse, I've been doing this virtually for 20 years.*
259 *[laugh]" (P5, asthma nurse).*

260 Another clinician in the feasibility study agreed that the CDSS would be more useful for clinicians
261 with less experience of asthma management, highlighting that the suggestion to consider non-
262 pharmacological management options would be particularly beneficial.

263

264 **2. Doubt about appropriateness of CDSS recommendations, especially when you can't see how**
265 **they were produced**

266 Lack of transparency within the recommendations about how they were produced and which factors
267 they took into account could lead clinicians to doubt their appropriateness to action.

268 Recommendations were originally designed to be short and focused only on the action to take rather
269 than how the algorithm had arrived at that conclusion, e.g. 'Ensure any other factors which may be
270 making your patient's asthma worse are adequately addressed, or refer to a colleague who can do
271 this'. However, seeing this particular recommendation in practice, one clinician concluded that it was
272 just generic advice which was not that useful, and had not taken the patient's FeNO test result into
273 account (even though it had):

274 *“We know all that as an asthma nurse – you know that’s the basics..... I tended to then undo that,*
275 *and just concentrate on the FeNO” (P4, asthma nurse).*

276 Another clinician overrode a recommendation to increase their patient’s medication because the
277 patient had reported having well-controlled symptoms. This clinician decided that the FeNO test
278 must be wrong:

279 *“We know FeNO tests aren’t 100% accurate, we know that you can get false positives and*
280 *that there are other factors that can influence the FeNO result. I think having the FeNO result*
281 *is obviously helpful in some cases, but may also be a little bit of a red herring” (P6,*
282 *respiratory lead)*

283 These findings suggested that the brevity of the recommendations was a hindrance to their
284 implementation, due to the lack of context and rationale.

285 However, other times clinicians perceived the recommended action to be insufficient or unwise, not
286 so much due to the wording but rather because it was generated by an automated algorithm that
287 could not understand the individual patient as well as they could. For example, this clinician
288 described how the CDSS recommended a behavioural intervention but they felt that medication was
289 needed for this patient:

290 *“It wasn’t one that the computer had suggested but it’s one that I thought we needed to do”*
291 *(P1.2, asthma nurse).*

292

293 Other clinicians felt that the CDSS did not consider contextual factors, such as the pandemic, time of
294 year, changes in exercise, or patient anxiety. The need for a holistic approach was perceived as
295 particularly important when the CDSS recommended stepping down medication, for safety reasons.

296 *“Because I know the patients and how [long] it’s taken us to get to the level that they*
297 *are and in view of the climate that we’re in with the pandemic and everything and us*
298 *not knowing quite how the flu season is going to be this year, I’ve continued them on*

299 *the treatment they're currently on with a view to maybe stepping down next spring"*
300 *(P1.2, asthma nurse).*

301

302 **3. Potential for the CDSS to increase patients' trust and adherence to their treatment**

303 Some clinicians felt that the CDSS recommendations could enhance patients' understanding of their
304 asthma management and help engage them in the recommended approach for managing their
305 asthma.

306 *"I would happily use this at work because I think it would make a difference, particularly in terms*
307 *of explanation for the patients and helping them to come onboard with any changes that we*
308 *might feel we need to make". (T5, asthma nurse)*

309

310 One clinician in the feasibility study described how seeing a recommendation which was consistent
311 with the existing treatment plan could be reassuring for them and their patients.

312 *"One of my patients who is already under respiratory... when we put all the information in, it*
313 *came out, 'Have you considered referring to Respiratory?!' and that was good to see because*
314 *then, she said, 'Well, yeah, the machine is picking up the information that we're putting in,'*
315 *so that was a good thing – it just clarified what she was already under" (P2, research nurse)*

316

317 Clinicians wanted the option to add their own notes to the CDSS's recommendations to optimise this
318 potential for enhancing patient engagement.

319 *"And it might be a helpful tool actually to be able to add your own notes to then print off to give*
320 *to the patient. That could form part of their management plan if you put on there" (P3,*
321 *respiratory nurse specialist)*

322

323 **Discussion**



324 The findings of this study show how understanding clinicians' experiences and perceptions of a CDSS
325 can identify barriers to implementation. Specifically, concerns about retaining control to disregard
326 recommendations, doubts about appropriateness due to lack of transparency about how
327 recommendations were generated, and concerns about the recommendation not taking account of
328 the wider clinical context were potential barriers. Based on these findings, we identified several
329 strategies for optimising the implementation of CDSS interventions in healthcare settings through
330 adapting the design and content of the CDSS itself rather than focusing only on the processes around
331 the intervention. These theoretically-informed optimisations are discussed further below.

332 Specifically in this study, we implemented three implementation strategies to promote acceptability
333 and perceived appropriateness of the CDSS intervention, based on the think-aloud and feasibility
334 study process interviews. Firstly, we wanted to ensure it was clear to clinicians that they could
335 override CDSS recommendations if they perceived them to be inappropriate. We added an open
336 text- box to the CDSS for clinicians to record their decision-making, with an explanation that if a
337 clinician and patient decided the recommendation was not right, they can record the reason why, in
338 order to reinforce that the recommendation can be adapted.

339 Preserving clinician autonomy by ensuring CDSSs are not seen as prescriptive has been recognised as
340 a priority for effective implementation (1, 29-31). The concept of 'negotiation of control' has been
341 used to explain this process, whereby if clinicians perceive that a CDSS is dictating a course of action,
342 this can impinge on their professional autonomy and identity, reducing the likelihood of fidelity (11).
343 Furthermore, the concept of 100% adherence to a CDSS is usually neither realistic nor desirable, as
344 this would conflict with the need to adapt standardised evidence-based recommendations to enable
345 provision of holistic patient-centred care (32).

346 The design of the CDSS can facilitate the desired flexibility for clinicians, giving them 'permission' to
347 make their own decision. For example, allowing clinicians to record the action agreed with the



How does these results fit within the wider CDSs implementation literature? Some recent relevant papers:

<https://pubmed.ncbi.nlm.nih.gov/33965933/>

<https://pubmed.ncbi.nlm.nih.gov/35673136/>

<https://doi.org/10.3399/BJGP.2022.0608>

<https://pubmed.ncbi.nlm.nih.gov/36809791/>

As well as when considering common models of technology adoption e.g. UTAUT and TAM.

348 patient could help frame the CDSS as an advisory tool which informs you what the guidelines or
349 evidence would recommend but with the option to adjust as necessary. While this may increase
350 perceived acceptability, it could risk compromising adherence to the CDSS recommendations, also
351 known as fidelity (33), as emphasising choice may mean CDSSs fail to promote adherence to
352 guidelines in the very circumstances they are most needed, such as stepping down medication.
353 Therefore, it is important to balance adaptability of recommendations while still promoting
354 adherence when appropriate. Specific guidelines around when it may be acceptable to override a
355 recommendation might help to facilitate appropriate adherence (3). A further challenge for
356 implementation research is defining a reasonable target for adherence to recommendations from
357 CDSSs within a particular setting, in order to know whether a CDSS is being successfully
358 implemented with appropriate adjustments, or whether there are issues with adherence.

359 Secondly, we worked with our stakeholders to add information to each recommendation about how
360 it was generated, reassurance that it was in line with evidence, and to explicitly acknowledge and
361 address perceived discrepancies, e.g. “Although your patient seems to have well-controlled
362 symptoms, their FeNO result shows there may be some inflammation in their upper airways.
363 Therefore....”. In addition, a full table of the potential CDSS recommendations a clinician might see
364 was added to the training session, showing the circumstances in which each recommendation would
365 arise to demonstrate all the factors which are taken into account.

366 The need for transparency about how CDSSs generate recommendations is important for clinicians,
367 who need to be able to take responsibility for their clinical decisions (34). This is consistent with
368 research showing that clinicians need to understand the evidence behind a CDSS in order to trust it
369 (11, 35). Therefore, providing a clear rationale alongside the action being recommended is essential
370 to promote acceptability, fidelity and perceived appropriateness. This rationale might include fit
371 with evidence and guidelines, and details about the information that was used to produce the

372 clinically tailored recommendation. A challenge relating to this implementation strategy will be
373 ensuring that the CDSS can be updated based on newly emerging evidence and guidelines (11).

374 Finally, during training, and within the CDSS recommendations we explained how use of the CDSS
375 enhances patient outcomes, and added content to the interface to facilitate discussion with patients
376 about the recommendations during the consultation. The tendency for more experienced clinicians
377 to perceive a low need for CDSSs has been reported previously (11, 30, 36). While this low perceived
378 need might be addressed by first-hand experience of the benefits the CDSS can offer (11), this study
379 found it remained a barrier even when clinicians had used the CDSS in practice. The issue appeared
380 to be that the CDSS was not perceived to add anything to their own clinical judgment, defined by the
381 CFIR as 'relative advantage'. The CFIR suggests relative advantage can be promoted by visibly
382 demonstrating the benefits of the intervention (37), and while the online training in this study
383 included details about how the CDSS could improve patient outcomes in different clinical scenarios,
384 the credibility and impact of this message might be increased through top-down driven change to
385 show that senior leaders or managers endorse the intervention (11). Other strategies to visibly
386 demonstrate relative advantage may include showing how the CDSS has helped improve patient
387 outcomes in other sites, or encouraging clinicians to discuss recommendations with their patients, to
388 show how this can positively impact on patients' reassurance, motivation, or receptiveness to
389 certain management recommendations (38).

390 Figure 3 shows a logic model representing how the optimisations made to the CDSS following these
391 interviews acted as implementation strategies, and possible contextual factors that could impact on
392 how these strategies operate.

393 <Insert Figure 3>

394 Figure 3. Logic model showing CDSS optimisations (*italics*) to promote implementation and
395 contextual factors influencing implementation

396 Supporting Information file 4 provides more detail about how these strategies are theorised to work,
397 and how they map on to implementation outcomes and taxonomies.

398 In terms of implementation research, this study suggests it is valuable to explore implementation
399 during the early stages of intervention development and evaluation, in order to understand how
400 implementation strategies could be built into the intervention itself, potentially reducing the risk of
401 an evidence-practice gap (39). Indeed, even small changes to the CDSS recommendations may be quite
402 powerful for addressing concerns about acceptability and perceived appropriateness for clinicians.
403 This study supports the recommendation that CDSSs need to be designed with consideration of the
404 complex process and setting in which they will be used, drawing on implementation theories to best
405 understand how to optimise this process (11). The transferability of the implementation strategies
406 proposed by this study for other CDSS requires further exploration, but we propose there may be
407 value in identifying common implementation strategies for interventions which share characteristics
408 or purpose.

409 A strength of this research is that the feasibility study enabled perceptions of real-life implementation
410 of a CDSS to be explored, rather than just hypothetical factors. Also, although the recommendations
411 for optimising CDSSs to promote implementation were developed within the context of asthma
412 management, the implementation determinants resonated with broader CDSS research suggesting
413 that the optimisations could have wider relevance. However, the sample size was relatively small with
414 only six GP Practices implementing the CDSS, and most clinicians were asthma nurses which restricted
415 our ability to explore contextual variations between sites and roles that might influence
416 implementation outcomes. Furthermore, the process interviews relied on retrospective
417 considerations about how the CDSS was used, whereas real-time observations of patient-clinician
418 interactions using the CDSS could offer valuable insights into factors influencing fidelity, acceptability
419 and perceived appropriateness which may not be recalled or even consciously noticed by clinicians.
420 While the think-aloud interview participants did not use the intervention in a real-life setting, these

421 real-time reflections about the CDSS rationale and content of the recommendations were very
422 valuable in understanding possible barriers to implementation.

423

424 **Conclusions**

425 This paper recommends that CDSSs could promote acceptability, perceived appropriateness and
426 fidelity by enabling alternative actions to be recorded where clinicians decide to follow a different
427 management plan, showing clinicians how recommendations for patient care were generated,
428 including reminders to show recommendations are consistent with guidance, and encouraging
429 clinicians to discuss CDSS recommendations with patients. Considering implementation strategies
430 early on during intervention development and evaluation can enable the optimisation of interventions
431 to incorporate strategies which promote successful implementation.

432 Acknowledgements

433 Not applicable

434

435

436

437 **References**

438

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547 maximize public health impact: a call for the reporting and alignment of implementation strategy use
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549

550 **Supporting Information files**

- 551 Supporting Information file 1. Consolidated criteria for reporting qualitative research (COREQ)
552 checklist. (.doc)
- 553 Supporting Information file 2. Standards for Reporting Implementation Studies (StaRI) statement.
554 (.doc)
- 555 Supporting Information file 3. Feasibility study qualitative interview schedule. (.doc)
- 556 Supporting Information file 4. Implementation strategies identified from this analysis. (.doc)

557

558 **List of abbreviations**

559 BTS: British Thoracic Society

560 CDSS: Clinical Decision Support System

561 CFIR: Consolidated Framework for Implementation Research

562 COREQ: Consolidated criteria for reporting qualitative research

563 ERIC: Expert Recommendations for Implementing Change

564 FeNO: Fractional exhaled Nitric Oxide

565 NICE: National Institute for Health and Care Excellence

566 SIGN: Scottish Intercollegiate Guidelines Network

567 StaRI: Standards for Reporting Implementation Studies

568

569

570 **Declarations**

571 Ethics approval and consent to participate

572 Ethical approval was granted by National Health Service Research Ethics Committees for both

573 studies (South Central–Berkshire B Research Ethics Committee, 20/SC/0235, and Northwest -

574 Greater Manchester East Research Ethics Committee, 21/NW/0078, respectively).

575

576 All methods were carried out in accordance with relevant guidelines and regulations.

577 Informed consent was obtained from all participants prior to participation in the study.

578

579 Consent for publication

580 No individual data are reported in this publication. Participants consented to use the anonymised

581 quotes in research reports.

582

583 Availability of data and materials

584 The datasets generated and/or analysed during the current study are not publicly available due to the

585 need to protect the anonymity of the participants, but are available from the corresponding author

586 on reasonable request.

587

588 Competing interests

589 MHVV is currently employed by Evinova (a separate health-tech business within AstraZeneca).

590

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597 University of Oxford (NIHR200915). The funder had no role in the design of the study; in the collection,
598 analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish.

599

600 Authors' contributions

601 Kay Wang and Mike Thomas conceived of the CDSS and designed the clinical algorithm, with clinical
602 stakeholders.

603 Sarah Tonkin-Crine, Ben Ainsworth, Kate Morton, Marta Santillo, Lucy Yardley, Kay Wang, Michelle
604 Helena van Velthoven and Mike Thomas designed the online training.

605 Marta Santillo, Michelle Helena van Velthoven and Kate Morton conducted the interviews.

606 Kate Morton analysed the qualitative data, with consultations with Sarah Tonkin-Crine, Ben Ainsworth
607 and Marta Santillo.

608 Kate Morton wrote the manuscript.

609 Sarah Tonkin-Crine, Ben Ainsworth, Kate Morton, Marta Santillo, Lucy Yardley, Kay Wang, Michelle
610 Helena van Velthoven and Mike Thomas read and approved the final manuscript

Figure 3. Post-hoc logic model showing implementation strategies and mechanisms updated based on learning from the feasibility study

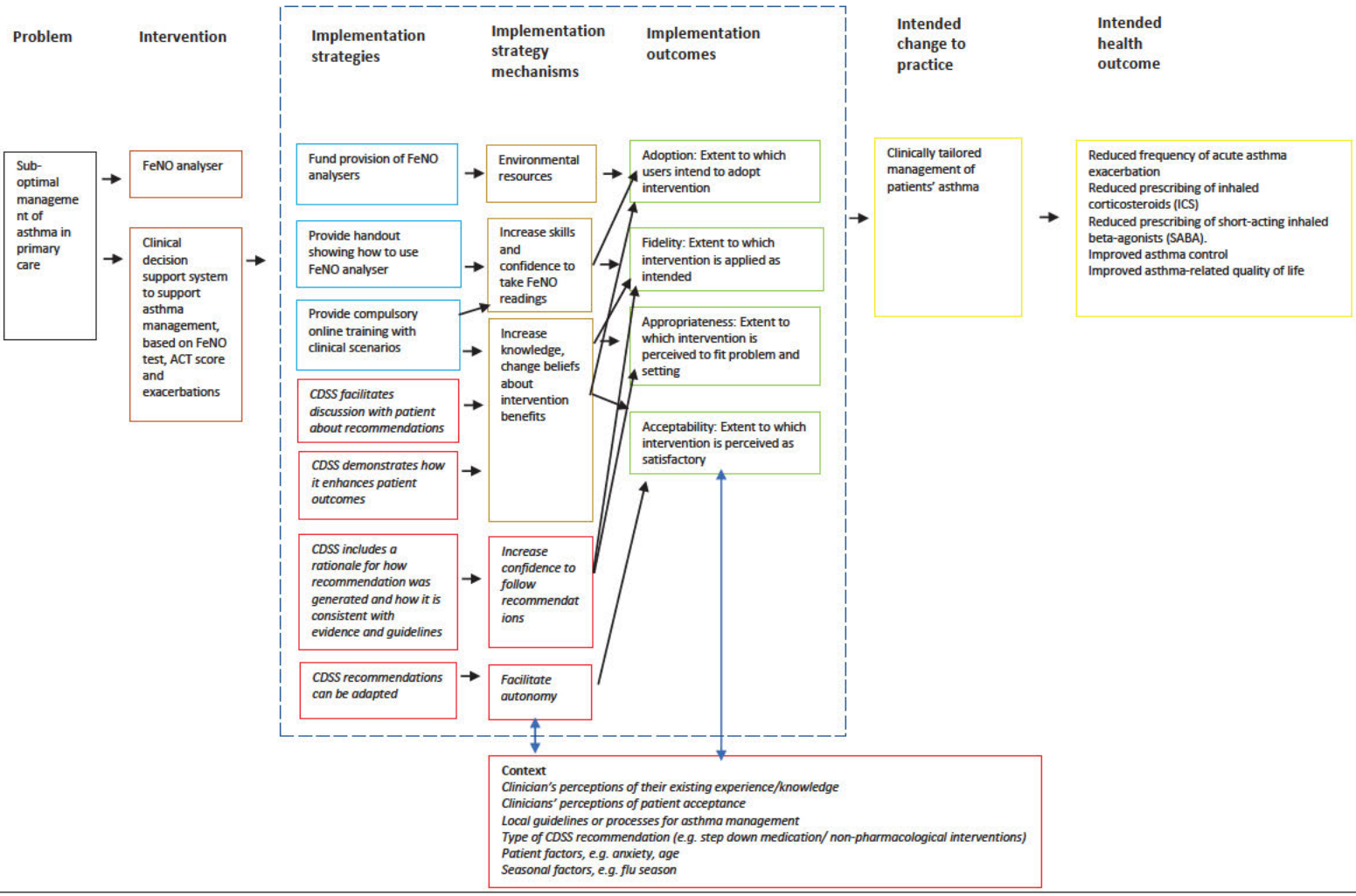
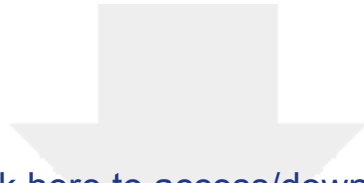


Figure 1. Screenshot of the CDSS

The screenshot displays the 'FeNO web tool' interface. At the top, there is a dark teal header with the title 'FeNO web tool' on the left and navigation links 'Home', 'New patients', and 'Patients' on the right, along with a user profile icon. The main content area is divided into two columns. The left column contains three assessment items, each with an icon, a score in a circle, and a text description: 1. A document icon with a score of '5' and the text 'Your patient's Asthma Control Test score shows that their symptoms are not well controlled.' 2. A lung icon with a score of '0' and the text 'They have not had any asthma attacks during the last year.' 3. A monitor icon with a score of '30' and the text 'Their FeNO result is in the intermediate range, which means'. The right column features a 'Back' button with a left arrow, a progress indicator with four circles (the fourth is filled), and a 'Recommendations' box. This box contains two items: a plus sign icon with the text 'Consider increasing the dose of inhaled corticosteroids in your patient's steroid or combination inhaler up to **medium dose**. Click [here](#) for the BTS/SIGN categorisation of inhaled corticosteroids by dose.' and a bar chart icon with the text 'Review your patient and check their FeNO in 6 to 8 weeks.' At the bottom of the right column, there are two buttons: 'Download patient summary' with a download icon and 'Done'.

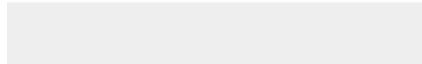


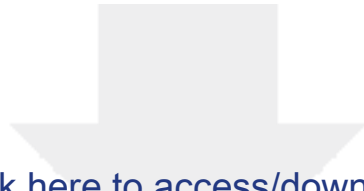


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Supporting Information

Supporting Information File 1 COREQ checklist.docx

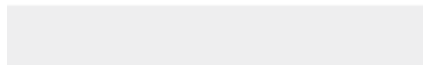


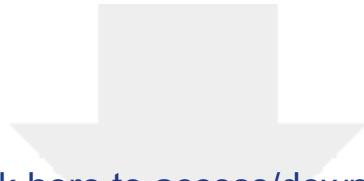


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Supporting Information

Supporting Information File 2 StaRI-checklist.docx

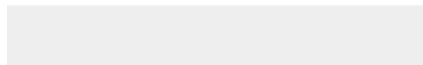


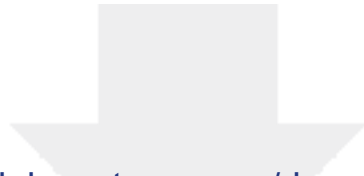


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Supporting Information

Feasibility study interview schedule.docx





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Implementation strategies.docx

