

Immunotherapeutic approaches to HIV cure and remission

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Abstract (200 words)

Purpose of review

Despite improvements in the effectiveness of antiretroviral therapy, there are still unmet needs for people living with HIV which drive the search for a cure for HIV infection. The goal of this review is to discuss the challenges and recent immunotherapeutic advances towards developing a safe, effective and durable cure strategy for HIV.

Recent findings

In recent years, advances have been made in uncovering the mechanisms of persistence of latent HIV and in developing more accurate assays to measure the intact proviral reservoir. Broadly neutralising antibodies and modern techniques to enhance antibody responses have shown promising results. Other strategies including therapeutic vaccination, latency reversal agents, and immunomodulatory agents have shown limited success, but newer interventions including engineered T cells and other immunotherapies may be a potent and flexible strategy for achieving HIV cure.

Summary

Although progress with newer cure strategies may be encouraging, challenges remain and it is essential to achieve a high threshold of safety and effectiveness in the era of safe and effective antiretroviral therapy. It is likely that to achieve sustained HIV remission or cure, a multi-pronged approach involving a combination of enhancing both adaptive and innate immunity is required.

Keywords: HIV, cure, immunotherapies, bNAb, gene-editing

Introduction

Improvements in antiretroviral therapy (ART) have led to excellent prognosis for people living with HIV (PWH), preventing onward transmission and disease progression. Despite these advances, there are still unmet needs for people living with HIV which drive the search for a cure. Most ART is oral medication requiring daily adherence. Stigma and treatment fatigue associated with taking daily ART remains a concern for many people with HIV[1]. Although the development of long-acting ART may address some of these issues, nonadherence or delayed doses leading to treatment resistance remains an issue, and the costs of delivery may still prove prohibitive particularly in resource-limited settings [2]. Thus the search for a cure remains a priority. A cure may be ‘functional’, where there is long-term control of HIV replication without treatment, or ‘sterilising’, where there is complete elimination of HIV provirus from the body.

Cure strategies can be divided into two basic approaches - targeting the provirus directly, or enhancing HIV-specific immunity. The former approach which includes aiming to limit the size or characteristics of the HIV reservoir, preventing viral replication, and silencing of the HIV provirus (‘block and lock’), is covered in a recent review by Vansant et al [3], and will not be covered further here.

In this review, we will discuss developments in immunotherapeutic approaches to enhance HIV-specific immune responses, as summarised in Figure 1.

The latent HIV reservoir

Over the past few years, progress has been made in understanding the mechanisms of persistent infection, and possible strategies towards cure. The HIV reservoir refers to a long-lived latently infected pool of CD4 positive cells which contain HIV DNA integrated into their genome. In the absence of expression of viral antigens these cells are invisible to effective immune responses and persist in a dormant state in the presence of ART. Figure 2 summarises the mechanisms of persistence and latency discussed in this section.

Memory CD4 T cells form the majority of the latently infected cell population [4]. The transition from an effector to quiescent memory phenotype may allow the establishment of HIV latency, through temporary up-regulation of CCR5 expression and rapid downregulation of HIV gene transcription, while allowing completion of the HIV life cycle through integration [5]. Clonal proliferation also plays a significant role in reservoir persistence [6], which needs to be addressed in cure strategies. Immune checkpoint molecules (e.g. PD-1, CTLA-4, LAG3, TIGIT) dampen T cell activation, and may be associated with HIV latency [7]. Other cellular reservoirs have been described, including myeloid cells such as monocytes, tissue-resident macrophages, and follicular dendritic cells [8], but there is on-going discussion around their significance, with most cure approaches still directed towards the CD4+ T-cell reservoir.

Other mechanisms of persistence include avoidance of cytotoxic T lymphocyte (CTL) mediated cell death; latently-infected cells that survive ART initiation may as a result have a greater ability to persist after peak viraemia[9]. Cellular resistance mechanisms may include upregulation of Fas Ligand on infected cells leading to apoptotic cell death of uninfected CTLs [10], the up-regulation of BCL-2, an inhibitor of apoptosis [11], or distraction of CTL through defective virion ‘decoys’ [12]. The vast majority (~98%) of HIV proviral DNA is defective [13] with fatal defects such as deletions or

hypermutations. Although these defective proviruses are unlikely to contribute to the replication-competent latent HIV reservoir, they may be transcriptionally active, and express proteins that may distract and reduce CTL-mediated cytotoxicity of cells containing replication-competent virus [12]. The ability to find and measure replication-competent virus amongst the vast amount of defective proviral genome has implications for evaluating cure strategies. Recently, novel PCR assays such as the high-throughput intact proviral DNA assay [14] have replaced the previous gold standard quantitative viral outgrowth assay due to advantages over turnover, cost, and labour requirements. Whilst multiple assays are available to characterise and quantify the HIV reservoir, none to date have been able to accurately predict viral control (to < 50 copies HIV RNA/ml) after interruption of ART.

After treatment interruption, recrudescence of rebound plasma viraemia from activation of the latent reservoir is expected in the absence of viral control. Rebounding viral populations are diverse and have been found in multiple tissue compartments [15]. Analytical treatment interruption (ATI) is currently the only effective way to test the efficacy of HIV therapeutic interventions for post-treatment virological control as there are no validated predictive biomarkers [16]. Consensus recommendations for conducting ATI in HIV research trials have been reported to minimise risk to research participants [16], and further risk mitigation strategies should be considered when designing ATI studies to address the challenges brought by the COVID-19 pandemic [17].

Clinical strategies for HIV cure

Broadly neutralising antibodies

Neutralising antibodies prevent HIV from infecting host cells - blocking virus entry through disrupting virus-receptor interactions - and facilitate antibody-dependent cell mediated cytotoxicity. Due to genetic diversity and error-prone viral replication allowing viral escape from antibody neutralisation, high levels of somatic hypermutation are required to generate broadly neutralising antibodies (bNAbs) [18][16][17]. Development of high-throughput neutralisation assays and single-cell antibody cloning techniques has led to the possibility of generating potent bNAbs with greater neutralisation breadth to viral strains [19].

The target of all bNAbs is the HIV envelope glycoprotein (Env), which is heavily glycosylated, forming a 'glycan shield' that protects the virus against humoral responses which recognises glycans as 'self'[20]. Recent studies have confirmed the concept of 'glycan holes' or the absence of particular proteins in env, which may offer exposed proteins as a relatively easier target [21]. For example, the use of an immunogen with a filled glycan hole at residue 241, and removal of surrounding glycans was found to redirect neutralising antibody responses to the newly unmasked epitopes [22]. The C3/465 glycan hole cluster has also been shown to be a major neutralising target in prevention of SHIV mucosal infection [23].

bNAbs with different HIV Env targets, including long-acting antibody variants ('LS' variants) have been reported to be well tolerated with infrequent adverse events [24–27]. However, studies of bNAb monotherapy, using 3BNC117 [28], VRC01 [26], and 10-1074 [23], have shown viral rebound, and development of resistance through the selection of pre-existing resistant viral populations after bNAb levels wane. A combination of 3BNC117 and 10-1074 administered with an ATI in nine individuals with latent reservoirs sensitive to both bNAbs maintained viral suppression for a median of 21 weeks [28]. Resistance to both bNAbs did not arise in any participants, supporting the use of combination bNAbs

for greater effectiveness against HIV compared to monotherapy. Bispecific bNAbs, able to target V2, and V3 glycan regions using the same antibody, were more potent compared to the individual parent antibodies *in vitro* [27] and these multi-specific antibodies may further improve the effectiveness of bNAbs. Planned or ongoing trials of combination bNAbs registered on clinicaltrials.gov are summarised in Table 1[31].

A subset of human [28] and macaques [29] receiving combination bNAbs have demonstrated unexpectedly long-term viral remission, greater than 30 months and 4 years respectively, raising the possibility of antibody-mediated CD8 T-cell responses contributing to prolonged control. This effect may be due to increased HIV *gag*-directed T-cell immunity, and MIP1- β -expressing CD8 T cells [30]. bNAbs may also enhance antibody-directed cellular cytotoxicity (ADCC) in a synergistic mechanism - binding to different viral Env trimer epitopes exposes CD4-induced epitopes for further host antibody-ADCC responses [31]. There is still debate around the existence of this bNAb-induced 'vaccinal' response, and results from ongoing randomised placebo-controlled trials of combination bNAbs may provide further insight to determine if these immunological changes are indeed bNAb driven.

When investigating the effect of bNAbs on the HIV reservoir in the gut, where the largest HIV reservoir is present, antibodies may not achieve concentrations as high as in serum. In HIV-negative individuals, VRC01 antibodies in rectal and vaginal tissue were measured to be 10-fold lower compared to serum [32]. It needs to be determined if this will be the case in HIV-positive individuals; the tissue concentrations of bNAbs in PWH may achieve even lower concentrations due to viral antigen present in tissue providing an antigen sink effect. However for VRC01, the lower tissue antibody concentration compared to plasma, still maintained HIV neutralisation when tissue from VRC01 recipients were challenged with HIV *ex vivo* compared to controls not receiving VRC01.

For immune-privileged anatomical sites such as the central nervous system (CNS), intravenously infused antibodies may penetrate the blood brain barrier (BBB) poorly, as seen in non-human primate models [32]. However, data from human trials are lacking [33]. The use of modified Fc bNAbs containing 2 amino acids substitution M428L/N434S (commonly shortened to LS), increases bNAb half-life *in vivo* by increasing affinity for the neonatal Fc receptor [34], leading to higher serum levels, and hypothetically may also allow accumulation to higher concentrations in tissue. The use of nanocapsules may improve CNS delivery of bNAbs in non-human primates [33] and may provide better CNS penetration options.

The development of an accurate, simplified assay with rapid turnaround to predict viral resistance to bNAb would be essential to determine the utility of bNAbs in clinical practice. The use of quantitative viral outgrowth assays and TZM-bl neutralisation assays are time consuming and expensive, and may miss replication-competent provirus that remains latent during stimulation [35]. Novel machine learning algorithms used to predict bNAb susceptibility based on *Env* sequences have achieved high overall prediction accuracies of bNAb resistance [36–39] and may allow prediction of bNAb therapy efficacy similar to resistance testing for antiretroviral therapy.

Recent advances in the delivery of bNAbs may provide promising ways to elicit high long-term bNAb concentrations *in vivo*, such as the use of CRISPR/Cas9 to edit mice or human B cells to express mature bNAbs [40,41]. Another approach is the use of viral vectors such as adeno-associated virus (AAV) delivery of anti-HIV monoclonal antibodies, which generated sustained viral control in 4 monkeys, however anti-drug antibodies (ADA) limited the delivery of these bNAbs [42]. In human trials, 5 out of

8 HIV-positive individuals produced functional VRC07 4-6 weeks after AAV administration which remained at, or above, the 4-6 week peak at 1 year in 3 out of 5 individuals [43].

Therapeutic vaccination

Rapid rates of error-prone HIV replication can result in immune escape mutations to both T cells and antibody responses, through escape mutations resulting in avoidance of antigen recognition [44] and processing [45] potentially challenging bNAbs efficacy and the therapeutic vaccines. Various therapeutic vaccine classes aim to boost HIV-specific T-cell responses in terms of magnitude or breadth of antigen specificity, with the aim of targeting and killing cells containing HIV, including potentially those in the reservoir. These include inactivated virus, subunit vaccines with recombinant envelope glycoprotein rgp160, DNA vaccines, viral vectors (modified vaccinia Ankara (MVA), adenovirus, vesicular stomatitis virus, canary pox virus), RNA vaccines, lentiviral vectors and dendritic cell vehicles [46]. These vaccine efforts, while safe, have predominantly proven to induce only limited effect on viral control. For example, the use of a therapeutic vaccine regimen comprising a multi-antigen plasmid DNA vaccine, followed by an attenuated live viral vector containing HIV *gag* gene had no effect on the kinetics of viral rebound or HIV reservoir size after ATI [47]. However, recent promising results from the AELIX-002 trial using HIVACAT T cell immunogen vaccines (HTI) reported safe and highly immunogenic responses following administration of a combination of DNA.HTI, MVA.HTI, and ChAdOx1.HTI vaccines in early treated people living with HIV, with 40% of vaccine-recipients compared to 8% of placebo-recipients remaining off ART for 22 weeks [48]. The novel HTI design approach uses T cell response data from more than 1000 individuals with HIV, to direct the T cell response to the most vulnerable sites of HIV[54].

Progress in mRNA technology for therapeutic HIV vaccination may re-energise the therapeutic vaccine field. The improved safety, efficacy, and ease of manufacturing compared with previous vaccine technology make them attractive vaccine candidates, as reviewed by Mu et al [49]. One advantage may be that the constant production of mRNA-encoded immunogens leads to a slow but prolonged antigen delivery *in vivo*, with the potential to improve germinal centre and neutralising antibody responses *in vivo* [50].

Latency reversal agents (LRAs) & ‘Kick and Kill’ approaches

One of the challenges to immune elimination of the HIV reservoir is the lack of expression of target antigens by latently infected cells. To address this, the ‘kick and kill’ or ‘shock and kill’ strategy aims to activate latent cells to express HIV antigen using LRAs, allowing CTL or NK cells to identify and eradicate HIV-containing reservoir cells. Early LRAs included global T-cell activators such as OKT3 and interleukin 2 [51], but were limited by severe toxicities [52]. Subsequent classes of LRA include epigenetic LRAs such as histone deacetylase inhibitors (HDACi) (vorinostat, panobinostat and romidepsin); signal agonist LRAs including activators of protein kinase C (PKC), Bryostatin-1; IL-15 superagonists (e.g. N803); toll-like receptor agonists; molecules which mimic second mitochondrial activator of caspases (SMAC mimetics or SMACm) and recently, stimulator of interferon genes (STING) agonists.

The RIVER study, a randomised trial of vorinostat, a histone deacetylase inhibitor LRA, and a therapeutic HIV vaccine (ChAdV63. HIVconsv and MVA.HIVconsv) did not show any difference in measures of the HIV reservoir despite evidence of augmented HIV-specific CD4 and CD8 T cell responses [53]. Participants did not interrupt ART, and although the lack of any impact on reservoir

size using different assays is circumstantial evidence, an impact on time to viral rebound cannot be proven. Similarly, trials of other LRAs including romidepsin, panobinostat and valproic acid have not shown significant or meaningful changes in the size of the viral reservoir [54].

Potential explanations for the lack of success with LRAs include an insufficiently robust 'kick', as even the most potent LRAs are not able to activate more than a fraction of the latent reservoir containing cells *ex vivo* [55], and LRAs used in clinical trials are often used at lower doses to avoid the systemic inflammation and off-target toxicities associated with these compounds [56]. Some LRAs also impair NK [57] and CTL function [58], and there is also evidence that latent reservoir cells exhibit inherent resistance to CD8-mediated killing even in the presence of functional cellular immunity [59].

The search for safer but adequately potent LRA candidates is ongoing. TLR-7 agonists [60–63], TLR-9 agonists [64], TLR-3 agonists (Poly-ICLC) [65], Protein Kinase C agonists (bryostatin-1) [66] have all been unable to consistently and significantly reverse latency. STING agonists were shown to activate latently infected cells, increasing SIV RNA and decreasing SIV DNA levels *ex vivo* in macaque peripheral blood mononuclear cells (PBMCs) [67].

The SMACm AZD5582 was shown to induce latency reversal in two animal models with minimal side effects and better HIV-specificity [68]. Depletion of CD8⁺ lymphocytes to remove CD8-mediated viral suppression has been investigated in combination with N-803, leading to a synergistically greater effect leading to more robust viral reactivation in macaques compared to CD8 depletion or N-803 administration alone [69]. Similarly, CD8 lymphocyte depletion augmented the efficacy of the SMACm AZD5582 in SIV-infected rhesus macaques [70], suggesting that antagonising the CD8-mediated mechanisms of viral suppression may boost the effects of LRAs. Should SMAC mimetics be combined with a potent cytotoxic reservoir targeting agent, one might envisage the increasingly ineffective 'kick and kill' approach being replaced with a new 'SMAC and whack' strategy.

Immune modulation for enhanced T-cell function

CD8 T cell exhaustion, characterised by cellular markers such as PD-1, CTLA-4, LAG-3, TIGIT or Tim-3, likely leads to the immune dysregulation associated with HIV [71]. These inhibitory markers contribute to latency, and may be amenable to therapeutic targeting to achieve latency reversal. Treatment of rhesus macaques with anti-PD-1 and anti-CTLA-4 antibodies appeared to induce reactivation and subsequent reduction of the reservoir including decreased intact proviral measurements [72]. However, autoimmune adverse effects may limit use of ICBs in future trials [73], and there is increasing evidence for some irreversibility of immune exhaustion based on epigenetic approaches [74,75].

Engineering enhanced T responses

Two individuals have achieved sustained HIV remission and likely 'cure' following haematopoietic stem cell transplant (HSCT) from donors with homozygous CCR5 Δ 32 mutations [76,77]. However, the high one year mortality rate (41-44%) and complication rate [78] suggest HSCT is not a viable treatment option for most people living with HIV, without another clinical indication for HSCT. But these cases lend support to engineered T-cell approaches through gene therapy approaches, including removal of CCR5 receptors to prevent HIV cell entry, or modifying chimeric antigen receptors (CAR) in CAR-T cells for augmented HIV-specific CTL responses.

Developments in CAR-T cell technology have been directed to increased potency and overcoming viral antigen escape. BNAbs-based chimeric antigen receptors recognise a breadth of HIV strains (over 95%) through targeting conserved sites in the Env protein [79], and bispecific chimeric antigen receptors improve targeting of conserved epitopes exposed through simultaneous binding of CD4 and gp120 [80]. The use of a universal CAR-T cell platform (*convertible* CAR-T cells), able to bind to a multitude of bNAbs, allows a single CAR-T cell infusion to be paired with different antibodies for greater breadth of activity. They may be introduced in an inert state with the ability to be turned 'on' with the appropriate activation and proliferation signals [81]. Another development is the use of stem cell-derived CAR T-cells, which can produce CAR T-cells that physiologically expand without the need for *ex vivo* expansion. They have been shown to persist in tissue-associated viral reservoirs for nearly 2 years in macaque models, and may provide an effective long-lasting scalable solution for CAR-T cell delivery [82].

Defining the ideal cure

A cure or remission strategy should be safe and portable. It should achieve long-term viral suppression (at least 6 months after stopping ART), negate any risk of onward viral transmission, and ideally protect against re-infection. Finally, the cure must be affordable to allow access to those with the highest need, often living with HIV in low resource settings with multiple challenges to sustain ART drug stocks [83].

Limitations and challenges for future therapies

While the goal is to achieve a safe, effective, and durable cure for HIV, ART is safe, relatively cheap and with fewer barriers for implementation compared to current cure strategies. Therefore, it is imperative that a cure strategy meets a high threshold of safety and efficacy to provide a viable alternative to currently available ART, which may provide a barrier for future research. In the example of CAR-T cells, these thresholds may differ from their use in oncology treatment where alternatives have a greater toxicity profile, and the presence of moderate or severe adverse events may not be tolerable for PWH in the presence of safer alternatives. Other challenges towards HIV cure remain, such as the search for improved markers or assays of latency to reduce the need for treatment interruptions as well as a robust marker for effective HIV-specific immune responses.

Conclusion

It is likely that to achieve sustained HIV remission or cure, a multi-pronged approach involving a combination of enhancing both adaptive and innate immunity is required. Although this review is not exhaustive of all current immunotherapeutic approaches, it provides an overview of promising developments within the field towards a safe, effective, and durable HIV cure.

Figure 1. Diagram summarising immunotherapeutic strategies targeting the latent HIV reservoir.

Figure 2. The latent HIV reservoir and mechanisms of persistence.

Table 1. Summary of current or future clinical trials involving combination bNAbs registered on clinicaltrials.gov [31]

Trial registration number	Intervention	Study design	PI, Sponsor	Start date	Primary endpoint
Trials assessing viral control as the primary outcome					
NCT03837756 TITAN	bNAbs: 3BNC117, 10-1074 TLR9 agonist: Lefitolimod,	Phase II randomised placebo-controlled double blinded study n = 48	PI: Søggaard, Aarhus University Hospital, Denmark	May 2019	Time to HIV viral load >10 000 copies/ml x3 or end of ATI
NCT03707977	bNAbs: VRC01-LS, 10-1074	Phase I/II open label non-randomised trial in children n = 40	PI: Shapiro, Kuritzkes, Lictierfield, National Institute of Allergy and Infectious Diseases, USA (Study in Botswana)	Jun 2019	Safety Proportion with HIV viral load <400 copies/ml Proportion with HIV viral load <40 copies/ml
NCT03588715 BEAT-2	bNAbs: 3BNC117, 10-1074 Peg-IFN-a2b,	Phase I randomised open-label trial n = 21	PI: Montaner, University of Pennsylvania, USA	Jun 2020	Safety Frequency of HIV viral load < 50 copies/ml at week 8 after ATI Innate activation
NCT04250636	bNAbs: 3BNC117-LS, 10-1074-LS	Phase I open label single arm study n = 10	PI: Caskey, Rockefeller University, USA	Aug 2020	Safety Pharmacokinetics Decline in HIV viral load through week 4
NCT04357821	bNAbs: VRC07-523LS, 10-1074, Vaccines: IL-12 adjuvanted p24CE DNA prime, IL-12 adjuvanted DNA boost, MVA/HIV62B boost, TLR9 agonist: Lefitolimod	Phase I/II Single group open label combination intervention trial n = 20	PI: Deeks, University of California, USA	Aug 2020	Safety Proportion achieving post treatment control
NCT04319367	bNAbs: 10-1074-LS 3BNC117-LS	Phase II randomised placebo controlled double blinded study n = 72	Fidler, Imperial College London, UK	May 2021	Time to HIV viral rebound within 36 weeks of analytical treatment interruption.

NCT04340596	bNAbs: VRC07-523LS 10-1074 IL-15 superagonist: N-803	Phase I randomised open-label trial. n = 46	Wilkin, National Institute of Allergy and Infectious Disease, USA	May 2021	Safety, number of N-803 doses completed, proportion of participants with suppressed HIV viral load
NCT04983030	bNAbs: PGT121, PGDM1400, VRC07-523LS Vaccines: Ad26.Mos4.HIV MVA-BN-HIV	Phase I/II randomised double blinded study n = 36	PI: Juelg, Beth Israel Deaconess Medical Centre, USA	Aug 2021	Safety, T-cell and antibody responses, Proportion with HIV viral load <1000 copies/ml
Trials assessing safety as the primary outcome					
NCT03571204	bNAbs: 3BNC117, 10-1074	Phase I triple-blinded randomised placebo- controlled trial n = 50	PI: Sneller, National Institutes of Health Clinical Centre, Maryland, USA	Sep 2018	Safety Tolerability
NCT03554408	bNAbs: 10-1074-LS, 3BNC-117-LS	Phase I, dose escalation, first in man in HIV-negative and HIV-positive individuals. n = 75	Caskey, Rockefeller University, USA	Jun 2018	Safety and tolerability
NCT04173819	bNAbs: 3BNC117- LS 10-1074-LS	Phase I/II Randomised double- blinded placebo controlled trial n = 225	PI: Caskey, Rockefeller University, USA	Jan 2019	Safety Pharmacokinetics
NCT03705169	Trispecific bNAb SAR441236	Phase I randomised, double blinded study n = 84	PI: Tsibris, Kuritzkes, Tebas, National Institute of Allergy and Infectious Diseases, USA	Apr 2019	Safety, pharmacokinetics
NCT03875209	Bispecific bNAb: 10E8.4/iMab	Phase I randomised triple blinded study n = 63	PI: Ho, Bill and Melinda Gates Foundation, International AIDS Vaccine Initiative, USA, The Emmes Company, LLC	Apr 2019	Safety
NCT04811040	bNAbs: 3BNC117-LS (GS- 5423) 10-1074-LS (GS- 2872) Capsid inhibitor: Lenacapavir	Phase I randomised double blinded study n = 50	PI: Gilead Sciences	Apr 2021	Safety, Efficacy

Key points:

- A cure should be safe, affordable, portable, achieve long-term viral remission, negate any risk of onward viral transmission, and ideally protect against re-infection.
- Developments in the field of immunotherapeutics for HIV cure and remission include broadly neutralising antibodies with promising results.
- Other strategies including therapeutic vaccination, latency reversal agents, and immunomodulatory agents have shown limited success, but newer interventions including engineered T cells may be a potent and flexible strategy for achieving HIV cure.

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