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Head to Head: the case for fighting behaviour in *Megaloceros giganteus* using finite element analysis

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1 **Head to Head: the case for fighting behaviour in *Megaloceros giganteus***
2 **using finite element analysis**

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22 Keywords: *Megaloceros*, deer, fighting, finite element analysis

23 **Abstract**

24 The largest antlers of any known deer species belonged to the extinct giant deer *Megaloceros*
25 *giganteus*. It has been argued that their antlers were too large for use in fighting, instead
26 being used only in ritualised displays to attract mates. Here we used finite element analysis
27 (FEA) to test whether the antlers of *M. giganteus* could have withstood forces generated
28 during fighting. We compared the mechanical performance of antlers in *M. giganteus* with
29 three extant deer species: red deer (*Cervus elaphus*), fallow deer (*Dama dama*), and moose
30 (*Alces alces*). Von Mises stress results suggest that *M. giganteus* was capable of withstanding
31 some fighting loads, provided that their antlers interlocked proximally, and that its antlers
32 were best-adapted for withstanding loads from twisting rather than pushing actions, as are
33 other deer with palmate antlers. We conclude that fighting in *M. giganteus* was likely more
34 constrained and predictable than in extant deer.

35

36 **Introduction**

37 Understanding the evolution of exaggerated traits is one of the great challenges of
38 evolutionary biology, particularly when dealing with extinct species in which the behaviour
39 of organisms cannot be directly recorded (1). Among extinct mammals, few species can
40 compete with the impressive structures of the middle to late Pleistocene giant deer,
41 *Megaloceros giganteus* (Blumenbach, 1799) (2–4). With antlers reaching a span of 3.5
42 metres and weighing ~40kg (2,5,6), *M. giganteus* has been the subject of intense
43 palaeobiological speculation, in particular on whether the function of these structures was
44 purely for display or if they were also used for fighting. Here, to test whether they could have
45 been used in fighting and better understand their biomechanics, we apply the computer-based
46 method known as finite element analysis to the crania and antlers of *M. giganteus* and extant

47 deer species in a comparative context to investigate their mechanical performance and assess
48 their capacity to perform specific fighting behaviours.

49

50 Although some researchers have asserted that the antlers of *Megaloceros giganteus* could not
51 have resisted fighting loads because of their size (6–8), there can be little doubt that their
52 antlers played an important role in display behaviour. The antlers of *M. giganteus* were
53 oriented horizontally, compared to the more vertically oriented antlers of extant deer (Figure
54 1). When the animal stood facing an opponent the full breadth of the antlers were on show,
55 meaning it did not need to twist its head to display their size, allowing *M. giganteus* to
56 present an imposing image while also likely reducing twisting forces on the neck (5). The
57 position of the proximal brow tine differs from those in others such as *Cervus elaphus* by
58 pointing downwards. This has been interpreted as an appropriate orientation for protecting
59 the eye during fighting, but not for interlocking during a fight; however, there is little
60 evidence to support either assertion (2,4). Only a single study has attempted to predict actual
61 mechanical performance of *M. giganteus* antlers (9). Kitchener (9,10) tested a simple
62 biomechanical model of the antlers and analysed hydroxyapatite crystal structure using
63 neutron diffraction, finding that the preferred orientation of crystal structures strongly
64 supported the idea that its antlers could be used in fighting without risk of breakage.

65

66 In extant deer the use of antlers as weapons during fighting to determine dominance is well-
67 documented between males of relatively equal size (11–17). However, fighting risks serious
68 injury and antler breakage (12,15–20). Antler breakage can have a significant effect on future
69 fighting ability and may cause bodily injury, which has the potential to increase predation
70 risk (12,21). When fighting does occur, the mechanics can be described in two stages: an
71 initial clash and a pushing/twisting phase (12,15). The initial clash involves a collision

72 between the antlers of the two deer, requiring a capacity for the antler bone to resist impact
73 loading. The pushing/twisting phase consists of a wrestling struggle with each of the deer
74 using its antlers as levers to push and twist those of the opposing deer in order to knock the
75 opponent off balance and injure it. In the case of *Megaloceros giganteus*, the
76 pushing/twisting phase of the fight is of particular interest because the size and breadth of the
77 antlers may have increased stresses during these activities.

78

79 In the present study we investigate the mechanical performance of antlers during
80 pushing/twisting phases of a fight using a comparative finite element analysis approach (22–
81 25) to answer the question: Did *Megaloceros giganteus* engage in pushing and twisting
82 behaviours comparable to those of extant deer? We simulate and compare the mechanical
83 performance of antlers in four different species: *Megaloceros giganteus*, *Cervus elaphus* (red
84 deer), *Dama dama* (fallow deer), and *Alces alces* (elk), all of which belong to the Cervidae
85 (26,27).

86

87 **Materials**

88 Extant deer species comprising a range of body masses and antler shapes were chosen for
89 comparison with *Megaloceros giganteus*. *Dama dama* is thought to be the most closely
90 related to *M. giganteus* on the basis of both morphological and DNA sequencing studies (27–
91 29). *Alces alces* is the most similar to *M. giganteus* in body size, with comparable shoulder
92 heights of approximately 1.8 metres (2). *Cervus elaphus* exhibits a differing antler
93 morphology compared to the other taxa, with antlers that are multi-branched and unpalmed,
94 as opposed to palmate. Specimen and scan information are given in Table 1.

95

96 **Methods**

97 **Building models**

98 Our modelling protocols largely followed previously published methods (23,25,30,31). For
99 the extant taxa, Computed Tomography (CT) scan data were imported into Mimics
100 (Materialise version 18.0) where the ‘threshold’ tool was used to generate individual 3D skull
101 and antler models of each taxon which were then digitally ‘stitched’ together. The 3D models
102 were exported to 3-Matic (Materialise version 10) where volume meshes preserving internal
103 cavities were generated based on tet-4 elements. Material properties were derived from
104 previous studies of *Cervus elaphus* antler bone that had an average Young’s modulus of
105 7.1GPa and yield strength of 180MPa (41). The models were then imported into the finite
106 element analysis package Strand7 for analysis (R3 version 15).

107

108 The modelling of antler geometry in all four deer in this analysis was based on cross-
109 sectional data presented by Kitchener (9) who modelled cortical bone in *Megaloceros*
110 *giganteus* and not cancellous bone. Observations of internal geometry of extant deer show
111 that the densities of cancellous bone within the antlers of the same individual differ greatly.
112 In addition, it is the cortical bone that bears most of the forces of fighting (32). For these
113 reasons we follow Kitchener (9) and model only the cortical bone, treating the internal
114 geometry as a hollow space. Models of all four species were done in the same way to allow
115 for direct comparison. Generation of the *M. giganteus* skull model largely followed the above
116 methodology for extant taxa; however, the antlers were too big to fit in a medical CT scanner.
117 Therefore, the right *M. giganteus* antler was surface scanned and processed with Artec Studio
118 9 Education Software (Artec3D). As noted above, internal geometry for the antlers of *M.*
119 *giganteus* was reconstructed following the internal geometry from Kitchener’s (9) cross-
120 sections as a guide (see Supplementary Figure S1 for detail on methodology). The right antler

121 reconstruction was mirrored to create a matching left antler (see Supplementary Figure S2).
122 The antlers were positioned relative to the cranium and then attached in 3-Matic.
123
124 Our analyses were applied to both scaled and unscaled models. In scaled models, differences
125 in size were removed by scaling all the models to the same surface area and applying the
126 same uniform force to each model. In this analysis, comparisons are based on shape alone
127 (33–35). We used the red deer (*Cervus elaphus*) as the reference model. We note that for this
128 entirely comparative analysis the choice of reference model is arbitrary. The models were
129 then scaled using the following relationship: $= \sqrt{\frac{SA}{SB}}$; where K is the scaling factor, SA is the
130 surface area of the target model and SB is the surface area of the reference model.

131

132 **Body mass and force estimates**

133 For the unscaled comparison, we used estimates of body mass as proxies for the forces
134 applied, as has been done in previous FEA-based studies (30,36,37). Our objective for this
135 analysis was to determine performance in a wholly comparative context which incorporates
136 allometry.

137

138 The average body mass of male *Cervus elaphus* ranges between 125kg and 185kg depending
139 on the subspecies (38,39). *Dama dama* males have an average weight of 85-110kg (16,20),
140 while male *Alces alces* have an average weight of about 485kg and a maximal weight of
141 730kg (38,40). Estimation of body mass for *Megaloceros* was based on recorded *Alces alces*
142 body mass, as both have a similar shoulder height of around 1.8 meters and it has been
143 argued that they were of comparable size (2). We have analysed two mass estimates in this
144 study for all taxa; firstly a body mass representing the ‘average’, and then a ‘maximal’ mass
145 to represent an ‘extreme-case’ (Table 2).

146

147 Force magnitudes were calculated using

148

$$F = m \times a$$

149 where m is the body mass of the animal (in kg), and a is the clash velocity divided by the

150 deceleration time. Previously, Kitchener (9) determined a clash speed of 3 m/s and a

151 deceleration time of 0.1s, producing an average deceleration of 30m/s^2 . We therefore apply a 152 $= 30\text{m/s}^2$ as a constant for all species in this analysis. Resulting forces were distributed

153 evenly across contact points.

154

155 As an additional test, safety factors were calculated for all deer to compare stress responses to

156 pushing and twisting actions. The ‘safety factor’ is the ratio between the strength of a

157 structure and its maximum working stress; it is calculated by taking the yield stress of a

158 material and dividing it by the maximum stress experienced by the object. In this case we

159 applied yield stress as 180MPa based on previous work by Currey (41). However, our results

160 are to be interpreted in an entirely comparative context and we do not present them as

161 predictions of whether the antlers would break at specific loadings. Consequently the

162 loadings at which antlers would ‘fail’, as well as safety factors are to be interpreted in relative

163 terms only.

164

165 **Modelling fighting posture**

166 Our models were based on fighting behaviour of extant taxa for which fighting has been

167 observed (11,12,14,16,17,20,42). All skulls were oriented in a ‘fighting pose’ in Strand7, i.e.,

168 as if the head was bent down so that the antlers face anteriorly (9,14). This fighting behaviour

169 is observed in Old World deer like *Cervus elaphus* and *Dama dama*, and is also sometimes

170 observed in New World deer like *Alces alces* (10,43), however in order to provide a strictly
171 comparative analysis we have standardised the fighting pose across all four taxa.

172

173 Forces were applied to each model at the point where the antlers were most likely to have
174 contacted during pushing and twisting. For the deer with palmate antlers (*Megaloceros*
175 *giganteus*, *Dama dama*, *Alces alces*) forces were applied to the more distal antlers as it has
176 been observed that interlocking antlers on the more distal tines is common in deer with
177 palmate antlers (14,16). For *Cervus elaphus* forces were applied closer to the base of the
178 antlers. Placement of forces was estimated in *Megaloceros giganteus* based on a
179 reconstruction of fighting conducted using 3D printed models. Supplementary Figure S3
180 details the placement of forces for each species. Restraints were placed at the back of the
181 skull using rigid links. These links were attached via a single rigid link to a point in free
182 space, which was restrained in translation and rotation.

183

184 **Load cases**

185 Loads were applied as either a unidirectional force to represent pushing, or as a torque to
186 represent the twisting component of the fight. The ‘pushing load’ force was applied parallel
187 to the neck restraint, while the ‘twisting load’ forces were applied as two forces of equal
188 magnitudes but opposite directions which created torque and ensured no net lateral bending
189 load was applied (Supplementary Figure S3). Pushing and twisting loads were analysed for
190 all taxa at both average and maximum estimated body masses.

191

192 Two additional analyses (‘alternative load’) were conducted to test uncertainties in our
193 methodology. Firstly, although we initially determined that forces in *Megaloceros giganteus*
194 would have likely been placed on the more distal antlers, Kitchener (9,10,43) suggested

195 placement of forces at the antler's middle tine. We therefore test this alternative placement. A
196 test was also conducted on the antlers of *Cervus elaphus*, where forces were placed more
197 distally on the antlers, i.e., at the same point as those applied for our predicted loads in deer
198 with palmate antlers. We did this to determine to what degree force placement could
199 influence stress distribution.

200

201 A linear static solve was performed for each load case and results were displayed as contour
202 plots showing von Mises stress with an upper limit of 180MPa, the approximate yield
203 strength of antler bone (41). Stress distributions were then analysed and average peak stress
204 was measured using the 'Peek' tool in Strand7. We reiterate that we do not presume here that
205 our results indicate the actual loadings at which the antlers would have yielded. The upper
206 limit applied here is only an arbitrary guide and our findings can only be considered in a
207 wholly comparative context.

208

209 **Results**

210 *Megaloceros giganteus*

211 Scaled

212 Under a pushing load the *M. giganteus* model produced high stress in both the average
213 (1480MPa) and maximum (2169MPa) load cases compared to the other three taxa analysed
214 (Figure 2,3). Under a twisting load stress was slightly higher with peak stress for the average
215 load case at 1723MPa, and 2541MPa under a maximum load (Figure 2,4). In the alternative
216 *M. giganteus* model with a force placement at the middle tine there was a large overall
217 reduction in stress magnitudes experienced through the antlers in both the average (351MPa)
218 and maximum (512MPa) pushing loads (Figure 2,5). The alternative twisting load case
219 showed an even greater decrease in stress in both average (147MPa) and maximum

220 (216MPa) models (Figure 2). The calculated safety factor for *M. giganteus* antlers was 0.7
221 (Supplementary Table 1).

222 Unscaled

223 Under a pushing load the *M. giganteus* model produced relatively high stress in both the
224 average (195MPa) and maximum (295MPa) load cases (Figure 2,S4). Under a twisting load,
225 peak stress was lower than in the pushing load with peak stress of 146MPa under an average
226 load and 242MPa under a maximum load case (Figure 2,S5). The alternative *M. giganteus*
227 model with a force placement at the middle tine had an overall reduction in stress magnitudes
228 experienced through the antlers in both the average (89MPa) and maximum (134MPa) load
229 cases under a pushing load (Figure 2,S6). The alternative twisting load also showed a
230 decrease in stress in both average (114MPa) and maximum (180MPa) models (Figure S6).

231

232 *Cervus elaphus*

233 Scaled

234 A pushing load resulted in low stress in both the average (37MPa) and maximum (55MPa)
235 models, i.e., less than in all other taxa (Figure 2,3). As with the pushing load, *C. elaphus* also
236 experienced relatively low levels of stress in both average (41MPa) and maximum models
237 (63MPa) under a twisting load (Figure 2,4). However, twisting produced higher peak stress
238 levels than pushing. The alternative pushing load case, where force placement was located
239 more distally on the antlers (Figure 2,5), showed an increase in peak stress levels compared
240 to the original pushing load case in both the average (146MPa) and maximum (215MPa)
241 models. Von Mises stress levels under the alternative twisting load case were also higher for
242 both average (111MPa) and maximum (165MPa) load cases, yet here stress was lower than in
243 the alternative pushing load case (Figure 2). The estimated safety factor for *C. elaphus* was
244 1.2 (Supplementary Table 1).

245 Unscaled

246 The pushing load resulted in low stress in both the average (37MPa) and maximum (55MPa)
247 models, less than in all other taxa (Figure 2,S4). As with the pushing load, *C. elaphus* also
248 experienced relatively low levels of stress in both average (41MPa) and maximum models
249 (63MPa) under a twisting load (Figure 2,S5). However, twisting produced higher peak stress
250 levels than pushing. The alternative pushing load case, where force placement was located
251 more distally on the antlers (Figure 2,S6), showed an increase in peak stress levels compared
252 to the original pushing load case in both the average (146MPa) and maximum (215MPa)
253 models. Stress levels under the alternative twisting load case were also increased for both
254 average (111MPa) and maximum (165MPa) load cases, yet here stress was lower than in the
255 alternative pushing load case (Figure S6).

256

257 *Dama dama*258 Scaled

259 Peak stress experienced under a pushing load in *D. dama* was higher under the maximal load
260 (281MPa) than in the average load (190MPa) (Figure 2,3). Under a twisting load, peak stress
261 was lower than in the pushing load, with the average load case resulting in stresses of
262 118MPa, and 174MPa for the maximum load (Figure 2,4). The highest stress was displayed
263 on the antler beams, just above the brow tines. The calculated safety factor for *D. dama* was 1
264 (Supplementary Table 1).

265 Unscaled

266 Peak stress experienced under a pushing load in *D. dama* was high in the maximum load case
267 (232MPa) but lower in the average load case (179MPa) (Figure 2,S4). Under a twisting load
268 peak stress was reduced compared to a pushing load, with the average load case measuring
269 stress at 92MPa, and 124MPa for the maximum load case (Figure 2,S5).

270

271 *Alces alces*272 Scaled

273 Under a pushing load, peak stress in the average load case was 154MPa, and 224MPa under a
274 maximum pushing load (Figure 2,3). Twisting showed a reduced level of stress compared to a
275 pushing load in both average (64MPa) and maximum (96MPa) load cases (Figure 2,4). The
276 estimated safety factor for *A. alces* was 1.7 (Supplementary Table 1).

277 Unscaled

278 Under a pushing load, stress magnitudes and patterns were similar to those found in the
279 unscaled *Megaloceros giganteus* model (Figure 2,S4). Peak stress was 118MPa under an
280 average load and 185MPa under maximum load. Twisting showed a reduced level of stress
281 compared to a pushing load for both average (86MPa) and maximum (132MPa) loads (Figure
282 2,S5).

283

284 **Discussion**

285 With both the scaled and unscaled models, *Megaloceros giganteus* exhibited higher peak
286 stresses than the three extant taxa in the main pushing and twisting load cases, indicating it
287 was not well-adapted to performing this action. However, in the alternate model where forces
288 were placed more proximally (at the middle tine) than the distal loading of the original load
289 cases, results are much more in line with the extant taxa, particularly under a twisting action.
290 Stresses were consistently higher in the scaled models compared to the unscaled models. This
291 is particularly apparent in the scaled model of *M. giganteus*.

292

293 It has been previously proposed that deer with palmate antlers were more likely to interlock
294 on the distal rather than the proximal tines compared to deer with other antler morphologies,

295 with palmation of the antler stiffening and strengthening the distal tines (14,16). However, in
296 *Megaloceros giganteus* we saw much higher stress in our original model with forces placed
297 distally compared with the alternative model with forces placed more proximally. This is
298 likely because of the increased size of the antlers in this species, which increased their
299 moment arm, therefore increasing stress and the risk of breakage (10). Similarly, when forces
300 were placed more distally for the alternative *Cervus elaphus* model, there was also an
301 increase in stress under both pushing and twisting loads compared to lower stress levels when
302 forces were placed more proximally (original model). This suggests that *M. giganteus* was
303 unlikely to also have interlocked its antlers distally during the pushing/twisting phase. In
304 addition, although the results from *C. elaphus* match those of *M. giganteus*, the magnitude of
305 stress is much lower, indicating that this taxon likely participated in more forceful fighting
306 actions using the distal antlers compared to *M. giganteus*. This result further supports the
307 proposition by Kitchener (10) that the antlers of *M. giganteus* were more likely to interlock at
308 the mid-beam, where the middle tine is located.

309

310 The extant deer in this study with palmate antlers (*Dama dama*, *Alces alces*) showed lower
311 levels of stress during twisting loads than in pushing loads. This is in contrast to *Cervus*
312 *elaphus* which showed higher stress in twisting and lower stress in pushing. For *Megaloceros*
313 *giganteus*, when forces were located distally, twisting stress was higher than pushing stress,
314 but was lower with forces located more proximally. Since we have demonstrated above that it
315 would have been more likely for *M. giganteus* to have interlocked their antlers more
316 proximally, we believe this result adds quantitative support to previous observational studies
317 suggesting that deer with palmate antlers are more likely to use a twisting movement to parry
318 attacks from other deer, relative to deer with unpalmed antlers (14).

319

320 Finally, the calculation of safety factors can help determine the relative strength of
321 *Megaloceros giganteus* compared with extant taxa. An object is at its most ‘safe’ when its
322 safety factor is close to 1; too far below means a greater risk of failure while too far above
323 indicates the object is over-engineered. In fighting terms, a low safety factor would more
324 likely be observed if fighting were constrained and predictable, whereas a higher safety factor
325 would be expected if applied forces were more variable (44). Overall, deer antlers generally
326 have lower safety factors than the horns of other mammals because of their branched
327 structure and annual regrowth; this is related to a low cost of failure (44). Calculated safety
328 factors in this analysis were based on the scaled models. Results fall within a small range of
329 0.7 to 1.6. We excluded the original models of *Cervus elaphus* and *Megaloceros giganteus* in
330 this calculation because of their excessively high and low results. *Megaloceros giganteus* has
331 a lower safety factor (0.7) than any of the extant taxa, indicating a comparatively higher
332 likelihood of fracture and suggesting that this taxon was participating in more predictable and
333 ritualised fighting behaviours than other deer. Increasing the safety factor of *M. giganteus*
334 antlers would require additional material to make them more resistant for variable fights. This
335 would be particularly ‘expensive’ for *M. giganteus* as even small antlers are energetically
336 costly to grow and regrow, and would also make the antlers too heavy to bear
337 (9,15,32,44,45).

338

339 **Conclusions**

340 Overall, we interpret the evidence from our study as supportive of the proposition that
341 *Megaloceros giganteus* was capable of withstanding some loads typically imposed in fighting
342 behaviour among extant deer provided that their antlers interlocked proximally. However,
343 high stresses in other simulations suggest that *M. giganteus* was less well-adapted to these
344 behaviours than its extant counterparts. The low safety factor of *M. giganteus* antlers and the

345 high stress levels when loads were applied on the distal antlers indicates that its fighting
346 behaviour was likely more constrained and predictable than that exhibited by some extant
347 deer. More generally, we found that stress in all taxa was highest at the base of the antlers. In
348 addition, all species in this analysis with palmate antlers exhibited lower levels of stress
349 during twisting actions compared with pushing.

350

351 In this study we have not calculated the stresses produced during the initial impact action of
352 fighting behaviour because the factors that influence the initial clash phase of a fight are
353 dynamic and require multiple assumptions. Factors involved in the initial clash phase include:
354 speed of collision, angle of clash, the effectiveness of bracing for collision, and the
355 distribution of forces through the body. These could not be estimated with adequate accuracy.
356 In addition, the restraints placed on the models in this analysis produced relatively rigid
357 models which directed all forces through the antlers and skull. Our boundary conditions do
358 not account for forces that would have been transferred to the neck and the rest of the body,
359 or the action of the musculature in the neck and shoulders that would have acted to dampen
360 the forces being applied. In research on bovids, it has been shown that most of the force from
361 fighting is absorbed by the neck and body musculature rather than the horns (44). Neck
362 musculature might have also played a role in providing additional force in fighting as has
363 been suggested for the sabretooth cat (*Smilodon fatalis*) in delivering a canine bite (30).

364

365 By scaling the models to the same surface area, allometry is taken out of the equation and the
366 influence of shape alone is compared. Here, by scaling the *Megaloceros giganteus* model to
367 the much smaller surface area of *Cervus elaphus*, but applying the same loadings, very high
368 stress results were returned in some load cases, and overall higher stress levels were observed
369 in all models for *M. giganteus*. This suggests that if *M. giganteus* was the same size as *C.*

370 *elaphus*, its antlers would have been far more likely to break. However, our results for life-
371 sized, unscaled models suggest that, if the forces applied were directly proportional to body
372 mass, then the antlers of *M. giganteus* were far less likely to ‘fail’. Thus, any capacity to
373 engage in fighting behaviour by *M. giganteus* was, at least in part, a consequence of its large
374 size. We further argue that, in reality, the forces applied by the two larger species (*Alces alces*
375 and *Megaloceros giganteus*) are likely to be over-estimates in relative terms. This is because
376 the forces that can be applied by any animal are proportional to cross-sectional areas and thus
377 to the squares of their linear dimensions. On the other hand their masses are proportional to
378 their volumes and hence to the cubes of their linear dimensions. Consequently, it has been
379 argued that maximum forces should be proportional to body mass^{2/3} (46). If this holds true for
380 the taxa considered here, then both the actual loadings applied and von Mises stresses
381 returned for larger species in our unscaled models are relatively higher than might be
382 expected in real life. Thus, it may well be that our results for unscaled models overestimate
383 the stresses returned for *M. giganteus*, lending further support to our conclusion that the giant
384 deer was capable of fighting behaviours.

385

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393

394 **Data Accessibility**

395 Data is stored on UNE’s data archive following University Policy, where it is registered in
396 the University’s metadata catalogue and in Research Data Australia.

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399

400 **Author Contributions**

401 AJK wrote the first draft of the paper; SW, PC and MRSV conceived and designed the
402 analysis; JMN and NW collected the data; NW, JMN, WP and GS performed the analysis;
403 AML provided palaeobiological advice; AJK, NW and WP created tables and figures; all
404 authors assisted in writing the final manuscript.

405

406 **Competing Interests**

407 The authors declare no competing interests.

408

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573

574 **Tables and Figures**

575 Table 1: Specimens used in the analysis with specimen numbers and scan details. All skull
 576 and antler material from each species came from individuals of comparable size. Institutional
 577 abbreviations are as follows: NMB (Basel Natural History Museum), ZM (Zoological
 578 Museum of the University of Zurich), USB (Basel University Hospital), USZ (Zurich
 579 University Hospital).

Specimen name	Specimen number	CT Scans
<i>Megaloceros giganteus</i>	Skull: NMB G.2537	Siemens Sensation 16 at USB. 2030 slices; 0.75mm slice thickness
	Antler: ZM 20245	Artec Spider 3D surface scan with resolution of 0.5mm
<i>Cervus elaphus</i>	Skull: ZM 19206	Siemens Somatom Force at USZ. 1462 slices; 1.00mm slice thickness
	Antlers: ZM uncatalogued	Siemens Somatom Force at USZ. 5233 slices; 1.00mm slice thickness
<i>Dama dama</i>	Skull: NMB C1361	Siemens Sensation 16 at USB. 614 slices; 0.75m slice thickness
	Antlers: ZM 17911d	
<i>Alces alces</i>	Skull: NMB 10816	Siemens Sensation 16 at USB. 1013 slices; 0.75m slice thickness
	Antlers: ZM 17556	

580

581

582 Table 2: Body mass and force estimates for each deer species analysed in this study. Note
 583 that weights and therefore forces for *Megaloceros giganteus* are based on *Alces alces*
 584 estimates as has been outlined in 'Methods'.

Species	Load case name	Mass (kg)	Force (N)
<i>Cervus elaphus</i>	Average	126.2	3786
	Maximum	186.1	5583
<i>Dama dama</i>	Average	85	2550
	Maximum	110	3300
<i>Alces alces</i>	Average	484.4	14532
	Maximum	729.6	21888
<i>Megaloceros giganteus</i>	Average	484.4	14532
	Maximum	729.6	21888

585

586

587 Figure 1: 3D models of the four deer species analysed in this study. a) *Megaloceros*
588 *giganteus*, b) *Cervus elaphus* (red deer), c) *Dama dama* (fallow deer), d) *Alces alces* (moose).
589 Models have been displayed as approximately the same size for this figure.

590

591 Figure 2: Von Mises stress results in all four taxa under pushing and twisting loads for scaled
592 and unscaled models. Table of results can be found in Supplementary Table 1.

593

594 Figure 3: Scaled finite element results of a pushing load. a) *Megaloceros giganteus* average
595 load case, b) *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load
596 case, d) *Cervus elaphus* maximum load case, e) *Dama dama* average load case, f) *Dama*
597 *dama* maximum load case, g) *Alces alces* average load case, h) *Alces alces* maximum load
598 case.

599

600 Figure 4: Scaled finite element results of a twisting load. a) *Megaloceros giganteus* average
601 load case, b) *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load
602 case, d) *Cervus elaphus* maximum load case, e) *Dama dama* average load case, f) *Dama*
603 *dama* maximum load case, g) *Alces alces* average load case, h) *Alces alces* maximum load
604 case.

605

606 Figure 5: Scaled finite element analysis results for alternative force placement on
607 *Megaloceros* and *Cervus* under both pushing and twisting loads. Forces in *Megaloceros* were
608 placed more narrowly than in the original analysis, while in *Cervus*, forces were placed more
609 distally on the antlers. Pushing loads: a) *Megaloceros giganteus* average load case, b)
610 *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load case, d) *Cervus*
611 *elaphus* maximum load case. Twisting loads: e) *Megaloceros giganteus* average load case, f)
612 *Megaloceros giganteus* maximum load case, g) *Cervus elaphus* average load case, h) *Cervus*
613 *elaphus* maximum load case.

614

23 Abstract

24 The largest antlers of any known deer species belonged to the extinct giant deer *Megaloceros*
25 *giganteus*. It has been argued that their antlers were too large for use in fighting, instead
26 being used only in ritualised displays to attract mates. Here we used finite element analysis
27 (FEA) to test whether the antlers of *M. giganteus* could have withstood forces generated
28 during fighting ~~behaviour~~. We compared the mechanical performance of antlers in *M.*
29 *giganteus* with three extant deer species: red deer (*Cervus elaphus*), fallow deer (*Dama*
30 *dama*), and moose (*Alces alces*). Von Mises stress results suggest that *M. giganteus* was
31 capable of withstanding some fighting loads, provided that their antlers interlocked
32 proximally, and that it's ~~antlers were~~ best-adapted for withstanding loads from twisting
33 rather than pushing actions, as are other deer with palmate antlers. We conclude that fighting
34 in *M. giganteus* was likely more constrained and predictable than in extant deer.

35

36 Introduction

37 Understanding the evolution of exaggerated traits is one of the great challenges of
38 evolutionary biology, particularly when dealing with extinct species in which the behaviour
39 of organisms cannot be directly recorded (1). Among extinct mammals, few species can
40 compete with the impressive structures of the middle to late Pleistocene giant deer,
41 *Megaloceros giganteus* (Blumenbach, 1799) (2–4). With antlers reaching a span of 3.5
42 metres and weighing ~40kg (2,5,6), *M. giganteus* has been the subject of intense
43 palaeobiological speculation, in particular on whether the function of these structures was
44 purely for display or if they were also used for fighting. Here, to test whether they could have
45 been used in fighting and better understand their biomechanics, we apply the computer-based
46 method known as finite element analysis to the crania and antlers of *M. giganteus* and extant

47 deer species in a comparative context to investigate their mechanical performance and assess
48 their capacity to perform specific fighting behaviours.

49

50 Although some researchers have asserted that the antlers of *Megaloceros giganteus* could not
51 have resisted fighting loads because of their size (6–8), there can be little doubt that their
52 antlers played an important role in display behaviour. The antlers of *M. giganteus* were
53 oriented horizontally, compared to the more vertically oriented antlers of extant deer (Figure
54 1). When the animal stood facing an opponent the full breadth of the antlers were on show,
55 meaning it did not need to twist its head to display their size, allowing *M. giganteus* to
56 present an imposing image while also likely reducing twisting forces on the neck (5). The
57 position of the proximal broweye tine differs from those in other deer such as *Cervus elaphus*
58 by pointing downwards. This has been interpreted as an appropriate orientation for protecting
59 the eye during fighting, but not for interlocking during a fight; however, there is little
60 evidence to support either assertion (2,4). Only a single study has attempted to predict actual
61 mechanical performance of *M. giganteus* antlers (9). Kitchener (9,10) tested a simple
62 biomechanical model of the antlers and analysed hydroxyapatite crystal structure using
63 neutron diffraction, finding that the preferred orientation of crystal structures strongly
64 supported the idea that its antlers could be used in fighting without risk of breakage.

65

66 In extant deer the use of antlers as weapons during fighting to determine dominance is well-
67 documented between males of relatively equal size (11–17). However, fighting risks serious
68 injury and antler breakage (12,15–20). Antler breakage can have a significant effect on future
69 fighting ability and may cause bodily injury, which has the potential to increase predation
70 risk (12,21). When fighting does occur, the mechanics can be described in two stages: an
71 initial clash and a pushing/twisting phase (12,15). The initial clash involves a collision

72 between the antlers of the two deer, requiring a capacity for the antler bone to resist impact
73 loading. The pushing/twisting phase consists of a wrestling struggle with each of the deer
74 using its antlers as levers to push and twist those of the opposing deer in order to knock the
75 opponent off balance and injure it. In the case of *Megaloceros giganteus*, the
76 pushing/twisting phase of the fight is of particular interest because the size and breadth of the
77 antlers may have increased stresses during these activities.

78

79 In the present study we investigate the mechanical performance of antlers during
80 pushing/twisting phases of a fight using a comparative finite element analysis approach (22–
81 25) to answer the question: Did *Megaloceros giganteus* engage in pushing and twisting
82 behaviours comparable to those of extant deer? We simulate and compare the mechanical
83 performance of antlers in four different species: *Megaloceros giganteus*, *Cervus elaphus* (red
84 deer), *Dama dama* (fallow deer), and *Alces alces* (elk), all of which belong to the Cervidae
85 (26,27).

86

87 **Materials**

88 Extant deer species comprising a range of body masses and antler shapes were chosen for
89 comparison with *Megaloceros giganteus*. *Dama dama* is thought to be the most closely
90 related to *M. giganteus* on the basis of both morphological and DNA sequencing studies (27–
91 29). *Alces alces* is the most similar to *M. giganteus* in body size, with comparable shoulder
92 heights of approximately 1.8 metres (2). *Cervus elaphus* exhibits a differing antler
93 morphology compared to the other taxa, with antlers that are multi-branched and unpalmed,
94 as opposed to palmate. Specimen and scan information are given in Table 1.

95

96 **Methods**

97 **Building models**

98 Our modelling protocols largely followed previously published methods (23,25,30,31). For
99 the extant taxa, Computed Tomography (CT) scan data were imported into Mimics
100 (Materialise version 18.0) where the ‘threshold’ tool was used to generate individual 3D skull
101 and antler models of each taxon which were then digitally ‘stitched’ together. The 3D models
102 were exported to 3-Matic (Materialise version 10) where volume meshes (preserving internal
103 cavities) were generated based on tet-4 elements. Material properties were derived from
104 previous studies of *Cervus elaphus* antler bone that had an average Young’s modulus of
105 7.1GPa and yield strength of 180MPa (41). The models were then before being imported into
106 the finite element analysis package Strand7 for analysis (R3 version 15).

107
108 The modelling of antler geometry in all four deer in this analysis was based on cross-
109 sectional data presented by Kitchener (9) who modelled cortical bone in *Megaloceros*
110 *giganteus* and not cancellous bone. Observations of internal geometry of extant deer show
111 that the densities of cancellous bone within the antlers of the same individual differ greatly.
112 In addition, it is the cortical bone that bears most of the forces of fighting (32). For these
113 reasons we follow Kitchener (9) and model only the cortical bone, treating the internal
114 geometry as a hollow space. Models of all four species were done in the same way to allow
115 for direct comparison. Generation of the *M. giganteus* skull model largely followed the above
116 methodology for extant taxa; however, the antlers were too big to fit in a medical CT scanner.
117 Therefore, the right *M. giganteus* antler was surface scanned and processed with Artec Studio
118 9 Education Software (Artec3D). As noted above, internal geometry for the antlers of *M.*
119 *giganteus* was reconstructed following the internal geometry from Kitchener’s (9) cross-
120 sections as a guide (see Supplementary Figure S1 for detail on methodology). The right antler

121 reconstruction was mirrored to create a matching left antler (see Supplementary Figure S2).
122 The antlers were positioned relative to the cranium and then attached in 3-Matic.
123
124 Our analyses were applied to both scaled and unscaled models. In scaled models, differences
125 in size were removed by scaling all the models to the same surface area and applying the
126 same uniform force to each model. In this analysis, comparisons are based on shape alone
127 (33–35). We used the red deer (*Cervus elaphus*) as the reference model. We note that for this
128 entirely comparative analysis the choice of reference model is arbitrary. The models were
129 then scaled using the following relationship: $= \sqrt{\frac{SA}{SB}}$; where K is the scaling factor, SA is the
130 surface area of the target model and SB is the surface area of the reference model.

131

132 **Body mass and force estimates**

133 For the unscaled comparison, we used estimates of body mass as proxies for the forces
134 applied, as has been done in previous FEA-based studies (30,36,37). Our objective for this
135 analysis was to determine performance in a wholly comparative context which incorporates
136 allometry.

137

138 The average body mass of male *Cervus elaphus* ranges between 125kg and 185kg depending
139 on the subspecies (38,39). *Dama dama* males have an average weight of 85-110kg (16,20),
140 while male *Alces alces* have an average weight of about 485kg and a maximal weight of
141 730kg (38,40). Estimation of body mass for *Megaloceros* was based on recorded *Alces alces*
142 body mass, as both have a similar shoulder height of around 1.8 meters and it has been
143 argued that they were of comparable size (2). We have analysed two mass estimates in this
144 study for all taxa; firstly a body mass representing the ‘average’, and then a ‘maximal’ mass
145 to represent an ‘extreme-case’ (Table 2).

146

147 Force magnitudes were calculated using

148

$$F = m \times a$$

149 where m is the body mass of the animal (in kg), and a is the clash velocity divided by the

150 deceleration time. Previously, Kitchener (9) determined a clash speed of 3 m/s and a

151 deceleration time of 0.1s, producing an average deceleration of 30m/s^2 . We therefore apply a 152 $= 30\text{m/s}^2$ as a constant for all species in this analysis. Resulting forces were distributed

153 evenly across contact points.

154

155 As an additional test, safety factors were calculated for all deer to compare stress responses to

156 pushing and twisting actions. The ‘safety factor’ is the ratio between the strength of a

157 structure and its maximum working stress; it is calculated by taking the yield stress of a

158 material and dividing it by the maximum stress experienced by the object. In this case we

159 applied yield stress as 180MPa based on previous work by Currey (41). However, our results

160 are to be interpreted in an entirely comparative context and we do not present them as

161 predictions of whether the antlers would break at specific loadings. Consequently the

162 loadings at which antlers would ‘fail’, as well as safety factors are to be interpreted in relative

163 terms only.

164

165 **Modelling fighting posture**

166 Our models were based on fighting behaviour of extant taxa for which fighting has been

167 observed (11,12,14,16,17,20,42). All skulls were oriented in a ‘fighting pose’ in Strand7, i.e.,

168 as if the head was bent down so that the antlers face anteriorly (9,14). This fighting behaviour

169 is observed in Old World deer like *Cervus elaphus* and *Dama dama*, and is also sometimes

170 observed in New World deer like *Alces alces* (10,43), however in order to provide a strictly
171 comparative analysis we have standardised the fighting pose across all four taxa.

172

173 Forces were applied to each model at the point where the antlers were most likely to have
174 contacted during pushing and twisting. For the deer with palmate antlers (*Megaloceros*
175 *giganteus*, *Dama dama*, *Alces alces*) forces were applied to the more distal antlers as it has
176 been observed that interlocking antlers on the more distal tines is common in deer with
177 palmate antlers (14,16). For *Cervus elaphus* forces were applied closer to the base of the
178 antlers. Placement of forces was estimated in *Megaloceros giganteus* based on a
179 reconstruction of fighting conducted using 3D printed models. Supplementary Figure S3
180 details the placement of forces for each species. Restraints were placed at the back of the
181 skull using rigid links. These links were attached via a single rigid link to a point in free
182 space, which was restrained in translation and rotation.

183

184 **Load cases**

185 Loads were applied as either a unidirectional force to represent pushing, or as a torque to
186 represent the twisting component of the fight. The ‘pushing load’ force was applied parallel
187 to the neck restraint, while the ‘twisting load’ forces were applied as two forces of equal
188 magnitudes but opposite directions which created torque and ensured no net lateral bending
189 load was applied (Supplementary ~~Information~~-Figure S3). Pushing and twisting loads were
190 analysed for all taxa at both average and maximum estimated body masses.

191

192 Two additional analyses (‘alternative load’) were conducted to test uncertainties in our
193 methodology. Firstly, although we initially determined that forces in *Megaloceros giganteus*
194 would have likely been placed on the more distal antlers, Kitchener (9,10,43) suggested

195 placement of forces at the antler's middle tine. We therefore test this alternative placement. A
196 test was also conducted on the antlers of *Cervus elaphus*, where forces were placed more
197 distally on the antlers, i.e., at the same point as those applied for our predicted loads in deer
198 with palmate antlers. We did this to determine to what degree force placement could
199 influence stress distribution.

200

201 A linear static solve was performed for each load case and results were displayed as contour
202 plots showing von Mises stress with an upper limit of 180MPa, the approximate yield
203 strength of antler bone (41). Stress distributions were then analysed and average peak stress
204 was measured using the 'Peek' tool in Strand7. We reiterate that we do not presume here that
205 our results indicate the actual loadings at which the antlers would have yielded. The upper
206 limit applied here is only an arbitrary guide and our findings can only be considered in a
207 wholly comparative context.

208

209 **Results**

210 *Megaloceros giganteus*

211 Scaled

212 Under a pushing load the *M. giganteus* model produced high stress in both the average
213 (1480MPa) and maximum (2169MPa) load cases compared to the other three taxa analysed
214 (Figure 2,3; Table 3). Under a twisting load stress was slightly higher with peak stress for the
215 average load case at 1723MPa, and 2541MPa under a maximum load (Figure 2,43; Table 3).
216 In the alternative *M. giganteus* model with a force placement at the middle tine there was a
217 large overall reduction in stress magnitudes experienced through the antlers in both the
218 average (351MPa) and maximum (512MPa) pushing loads (Figure 2,54; Table 3). The
219 alternative twisting load case showed an even greater decrease in stress in both average

220 (147MPa) and maximum (216MPa) models ([Figure 2Table 3](#)). The calculated safety factor
221 for *M. giganteus* antlers was 0.7 ([Supplementary Table 1](#)).

222 Unscaled

223 Under a pushing load the *M. giganteus* model produced relatively high stress in both the
224 average (195MPa) and maximum (295MPa) load cases (Figure [25](#); [S4Table 3](#)). Under a
225 twisting load, peak stress was lower than in the pushing load with peak stress of 146MPa
226 under an average load and 242MPa under a maximum load case (Figure [26](#); [S5Table 3](#)). The
227 alternative *M. giganteus* model with a force placement at the middle tine had an overall
228 reduction in stress magnitudes experienced through the antlers in both the average (89MPa)
229 and maximum (134MPa) load cases under a pushing load (Figure [27](#); [S6Table 3](#)). The
230 alternative twisting load -also showed a decrease in stress in both average (114MPa) and
231 maximum (180MPa) models ([Figure S6Table 3](#)).

233 *Cervus elaphus*

234 Scaled

235 A pushing load resulted in low stress in both the average (37MPa) and maximum (55MPa)
236 models, i.e., less than in all other taxa (Figure [2,3](#); [Table 3](#)). As with the pushing load, *C.*
237 *elaphus* also experienced relatively low levels of stress in both average (41MPa) and
238 maximum models (63MPa) under a twisting load (Figure [2,43](#); [Table 3](#)). However, twisting
239 produced higher peak stress levels than pushing. The alternative pushing load case, where
240 force placement was located more distally on the antlers (Figure [2,54](#); [Table 3](#)), showed an
241 increase in peak stress levels compared to the original pushing load case in both the average
242 (146MPa) and maximum (215MPa) models. Von Mises stress levels under the alternative
243 twisting load case were also higher for both average (111MPa) and maximum (165MPa) load

244 cases, yet here stress was lower than in the alternative pushing load case ([Figure 2Table 3](#)).

245 The estimated safety factor for *C. elaphus* was 1.2 ([Supplementary Table 1](#)).

246 Unscaled

247 The pushing load resulted in low stress in both the average (37MPa) and maximum (55MPa)

248 models, less than in all other taxa ([Figure 25, S4Table 3](#)). As with the pushing load, *C.*

249 *elaphus* also experienced relatively low levels of stress in both average (41MPa) and

250 maximum models (63MPa) under a twisting load ([Figure 26, S5Table 3](#)). However, twisting

251 produced higher peak stress levels than pushing. The alternative pushing load case, where

252 force placement was located more distally on the antlers ([Figure 2,7, S6Table 3](#)), showed an

253 increase in peak stress levels compared to the original pushing load case in both the average

254 (146MPa) and maximum (215MPa) models. Stress levels under the alternative twisting load

255 case were also increased for both average (111MPa) and maximum (165MPa) load cases, yet

256 here stress was lower than in the alternative pushing load case ([FigureTable S63](#)).

257

258 *Dama dama*

259 Scaled

260 Peak stress experienced under a pushing load in *D. dama* was higher under the maximal load

261 (281MPa) than in the average load (190MPa) ([Figure 2,3, Table 3](#)). Under a twisting load,

262 peak stress was lower than in the pushing load, with the average load case resulting in

263 stresses of 118MPa, and 174MPa for the maximum load ([Figure 2,43, Table 3](#)). The highest

264 stress was displayed on the antler beams, just above the brow tines. The calculated safety

265 factor for *D. dama* was 1 ([Supplementary Table 1](#)).

266 Unscaled

267 Peak stress experienced under a pushing load in *D. dama* was high in the maximum load case

268 (232MPa) but lower in the average load case (179MPa) ([Figure 2,S45, Table 3](#)). Under a

269 twisting load peak stress was reduced compared to a pushing load, with the average load case
270 measuring stress at 92MPa, and 124MPa for the maximum load case (Figure [2,S56; Table 3](#)).

271

272 *Alces alces*

273 Scaled

274 Under a pushing load, peak stress in the average load case was 154MPa, and 224MPa under a
275 maximum pushing load (Figure [2,3; Table 3](#)). Twisting showed a reduced level of stress
276 compared to a pushing load in both average (64MPa) and maximum (96MPa) load cases
277 (Figure [2,43; Table 3](#)). The estimated safety factor for *A. alces* was 1.7 ([Supplementary Table](#)
278 [1](#)).

279 Unscaled

280 Under a pushing load, stress magnitudes and patterns were similar to those found in the
281 unscaled *Megaloceros giganteus* model (Figure [2,S45; Table 3](#)). Peak stress was 118MPa
282 under an average load and 185MPa under maximum load. Twisting showed a reduced level
283 of stress compared to a pushing load for both average (86MPa) and maximum (132MPa)
284 loads (Figure [2,S56; Table 3](#)).

285

286 **Discussion**

287 With both the scaled and unscaled models, *Megaloceros giganteus* exhibited higher peak
288 stresses than the three extant taxa in the main pushing and twisting load cases, indicating it
289 was not well-adapted to performing this action. However, in the alternate model where forces
290 were placed more proximally (at the middle tine) than the distal loading of the original load
291 cases, results are much more in line with the extant taxa, particularly under a twisting action.
292 Stresses ~~were~~ ~~was~~ consistently higher in the scaled models compared to the unscaled models.
293 This is particularly apparent in the scaled model of *M. giganteus*.

294

295 It has been previously proposed that deer with palmate antlers were more likely to interlock
296 on the distal rather than the proximal tines compared to deer with other antler morphologies,
297 with palmation of the antler stiffening and strengthening the distal tines (14,16). However, in
298 *Megaloceros giganteus* we saw much higher stress in our original model with forces placed
299 distally compared with the alternative model with forces placed more proximally. This is
300 likely because of the increased size of the antlers in this species, which increased their-its
301 moment arm, therefore increasing stress and the risk of breakage (10). Similarly, when forces
302 were placed more distally for the alternative *Cervus elaphus* model, there was also an
303 increase in stress under both pushing and twisting loads compared to lower stress levels when
304 forces were placed more proximally (original model). This suggests that *M. giganteus* was
305 unlikely to also have interlocked its antlers distally during the pushing/twisting phase. In
306 addition, although the results from *C. elaphus* match those of *M. giganteus*, the magnitude of
307 stress is much lower, indicating that this taxon likely participated in more forceful fighting
308 actions using the distal antlers compared to *M. giganteus*. This result further supports the
309 proposition by Kitchener (10) that the antlers of *M. giganteus* were more likely to interlock at
310 the mid-beam, where the middle tine is located.

311

312 The extant deer in this study with palmate antlers (*Dama dama*, *Alces alces*) showed lower
313 levels of stress during twisting loads than in pushing loads. This is in contrast to *Cervus*
314 *elaphus* which showed higher stress in twisting and lower stress in pushing. For *Megaloceros*
315 *giganteus*, when forces were located distally, twisting stress was higher than pushing stress,
316 but was lower with forces located more proximally. Since we have demonstrated above that it
317 would have been more likely for *M. giganteus* to have interlocked their antlers more
318 proximally, we believe this result adds quantitative support to previous observational studies

319 suggesting that deer with palmate antlers are more likely to use a twisting movement to parry
320 attacks from other deer, relative to deer with unpalmed antlers (14).

321

322 Finally, the calculation of safety factors can help determine the relative strength of
323 *Megaloceros giganteus* compared with extant taxa. An object is at its most ‘safe’ when its
324 safety factor is close to 1; too far below means a greater risk of failure while too far above
325 indicates the object is over-engineered. In fighting terms, a low safety factor would more
326 likely be observed if fighting were constrained and predictable, whereas a higher safety factor
327 would be expected if applied forces were more variable (44). Overall, deer antlers generally
328 have lower safety factors than the horns of other mammals because of their branched
329 structure and annual regrowth; this is related to a low cost of failure (44). Calculated safety
330 factors in this analysis were based on the scaled models. Results fall within a small range of
331 0.7 to 1.6. We excluded the original models of *Cervus elaphus* and *Megaloceros giganteus* in
332 this calculation because of their excessively high and low results. *Megaloceros giganteus* has
333 a lower safety factor (0.7) than any of the extant taxa, indicating a comparatively higher
334 likelihood of fracture and suggesting that this taxon was participating in more predictable and
335 ritualised fighting behaviours than other deer. Increasing the safety factor of *M. giganteus*
336 antlers would require additional material to make them more resistant for variable fights. This
337 would be particularly ‘expensive’ for *M. giganteus* as even small antlers are energetically
338 costly to grow and regrow, and would also make the antlers too heavy to bear
339 (9,15,32,44,45).

340

341 **Conclusions**

342 Overall, we interpret the evidence from our study as supportive of the proposition that
343 *Megaloceros giganteus* was capable of withstanding some loads typically imposed in fighting

344 behaviour among extant deer provided that their antlers interlocked proximally. However,
345 high stresses in other simulations suggest that *M. giganteus* was less well-adapted to these
346 behaviours than its extant counterparts. The low safety factor of *M. giganteus* antlers and the
347 high stress levels when loads were applied on the distal antlers indicates that its fighting
348 behaviour was likely more constrained and predictable than that exhibited by some extant
349 deer. More generally, we found that stress in all taxa was highest at the base of the antlers. In
350 addition, all species in this analysis with palmate antlers exhibited lower levels of stress
351 during twisting actions compared with pushing.

352

353 In this study we have not calculated the stresses produced during the initial impact action of
354 fighting behaviour because the factors that influence the initial clash phase of a fight are
355 dynamic and require multiple assumptions. Factors involved in the initial clash phase include:
356 speed of collision, angle of clash, the effectiveness of bracing for collision, and the
357 distribution of forces through the body. These could not be estimated with adequate accuracy.
358 In addition, the restraints placed on the models in this analysis produced relatively rigid
359 models which directed all forces through the antlers and skull. Our boundary conditions do
360 not account for forces that would have been transferred to the neck and the rest of the body,
361 or the action of the musculature in the neck and shoulders that would have acted to dampen
362 the forces being applied. In research on bovids, it has been shown that most of the force from
363 fighting is absorbed by the neck and body musculature rather than the horns (44). Neck
364 musculature might have also played a role in providing additional force in fighting as has
365 been suggested for the sabretooth cat (*Smilodon fatalis*) in delivering a canine bite (30).

366

367 By scaling the models to the same surface area, allometry is taken out of the equation and the
368 influence of shape alone is compared. Here, by scaling the *Megaloceros giganteus* model to

369 the much smaller surface area of *Cervus elaphus*, but applying the same loadings, very high
370 stress results were returned in some load cases, and overall higher stress levels were observed
371 in all models for *M. giganteus*. This suggests that if *M. giganteus* was the same size as *C.*
372 *elaphus*, its antlers would have been far more likely to break. However, our results for life-
373 sized, unscaled models suggest that, if the forces applied were directly proportional to body
374 mass, then the antlers of *M. giganteus* were far less likely to ‘fail’. Thus, any capacity to
375 engage in fighting behaviour by *M. giganteus* was, at least in part, a consequence of its large
376 size. We further argue that, in reality, the forces applied by the two larger species (*Alces alces*
377 and *Megaloceros giganteus*) are likely to be over-estimates in relative terms. This is because
378 the forces that can be applied by any animal are proportional to cross-sectional areas and thus
379 to the squares of their linear dimensions. On the other hand their masses are proportional to
380 their volumes and hence to the cubes of their linear dimensions. Consequently, it has been
381 argued that maximum forces should be proportional to body mass^{2/3} (46). If this holds true for
382 the taxa considered here, then both the actual loadings applied and von Mises stresses
383 returned for larger species in our unscaled models are relatively higher than might be
384 expected in real life. Thus, it may well be that our results for unscaled models overestimate
385 the stresses returned for *M. giganteus*, lending further support to our conclusion that the giant
386 deer was capable of fighting behaviours.

387

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395

396 **Author Contributions**

397 AJK wrote the first draft of the paper; SW, PC and MRSV conceived and designed the
398 analysis; JMN and NW collected the data; NW, JMN, WP and GS performed the analysis;
399 AML provided palaeobiological advice; AJK, NW and WP created tables and figures; all
400 authors assisted in writing the final manuscript.

401

402 **Competing Interests**

403 The authors declare no competing interests.

404

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569

570 **Tables and Figures**

571 Table 1: Specimens used in the analysis with specimen numbers and scan details. All skull
 572 and antler material from each species came from individuals of comparable size. Institutional
 573 abbreviations are as follows: NMB (Basel Natural History Museum), ZM (Zoological
 574 Museum of the University of Zurich), USB (Basel University Hospital), USZ (Zurich
 575 University Hospital).

Specimen name	Specimen number	CT Scans
<i>Megaloceros giganteus</i>	Skull: NMB G.2537	Siemens Sensation 16 at USB. 2030 slices; 0.75mm slice thickness
	Antler: ZM 20245	Artec Spider 3D surface scan with resolution of 0.5mm
<i>Cervus elaphus</i>	Skull: ZM 19206	Siemens Somatom Force at USZ. 1462 slices; 1.00mm slice thickness
	Antlers: ZM uncatalogued	Siemens Somatom Force at USZ. 5233 slices; 1.00mm slice thickness
<i>Dama dama</i>	Skull: NMB C1361	Siemens Sensation 16 at USB. 614 slices; 0.75m slice thickness
	Antlers: ZM 17911d	
<i>Alces alces</i>	Skull: NMB 10816	Siemens Sensation 16 at USB. 1013 slices; 0.75m slice thickness
	Antlers: ZM 17556	

576

577

578 Table 2: Body mass and force estimates for each deer species analysed in this study. Note
 579 that weights and therefore forces for *Megaloceros giganteus* are based on *Alces alces*
 580 estimates as has been outlined in 'Methods'.

Species	Load case name	Mass (kg)	Force (N)
<i>Cervus elaphus</i>	Average	126.2	3786
	Maximum	186.1	5583
<i>Dama dama</i>	Average	85	2550
	Maximum	110	3300
<i>Alces alces</i>	Average	484.4	14532
	Maximum	729.6	21888
<i>Megaloceros giganteus</i>	Average	484.4	14532
	Maximum	729.6	21888

581

582

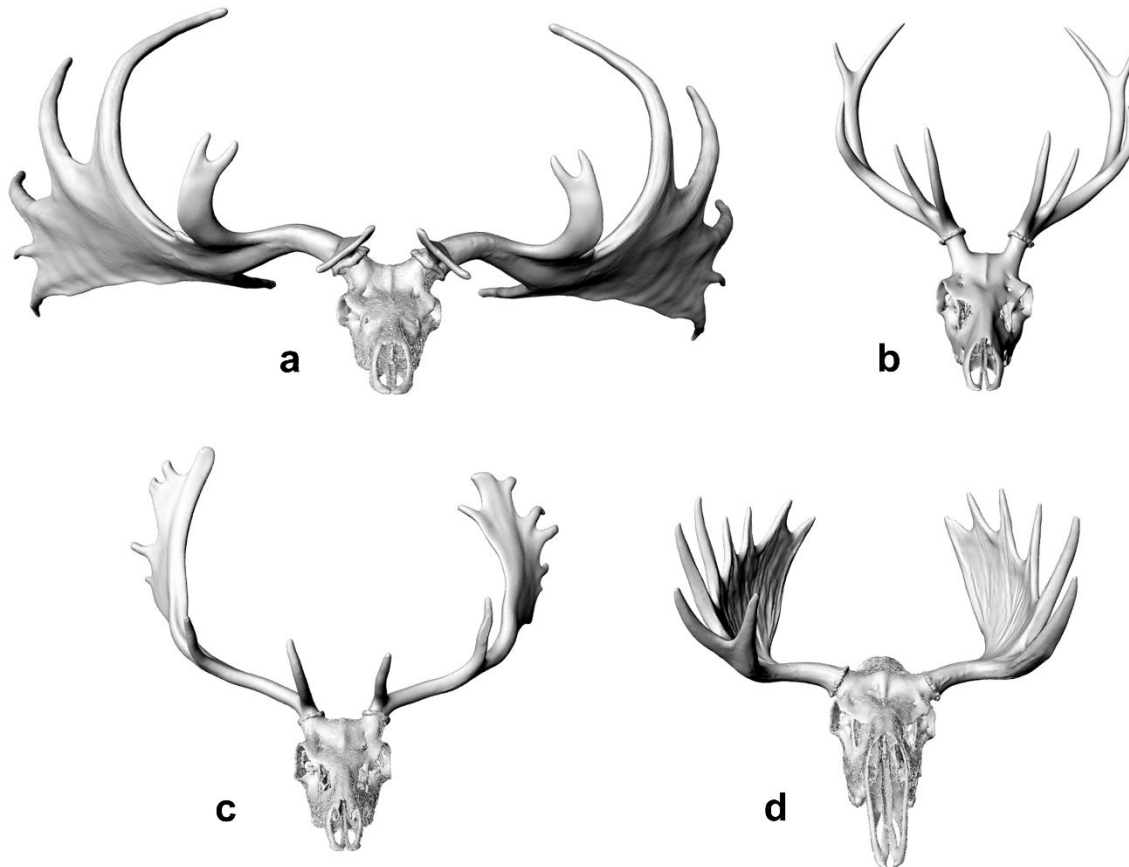
583 Table 3: Peak stress results for scaled and unscaled models according to load case in all four
 584 species analysed. Safety factor calculations based on scaled model results.

Species	Load case		Scaled peak stress (MPa)	Unscaled peak stress (MPa)	Safety Factor
<i>Megaloceros giganteus</i>	Pushing	Average	1480	195	0.1
		Maximum	2169	295	0.1
		Average (alternative)	351	89	0.5
		Maximum (alternative)	512	134	0.4
	Twisting	Average	1723	146	0.1
		Maximum	2541	242	0.1
		Average (alternative)	147	114	1.2
		Maximum (alternative)	216	180	0.8
<i>Cervus elaphus</i>	Pushing	Average	37	37	4.9
		Maximum	55	55	3.3
		Average (alternative)	146	146	1.2
		Maximum (alternative)	215	215	0.8
	Twisting	Average	41	41	4.4
		Maximum	63	63	2.9
		Average (alternative)	111	111	1.6
		Maximum (alternative)	165	165	1.1
<i>Dama dama</i>	Pushing	Average	190	179	0.9
		Maximum	281	232	0.6
	Twisting	Average	118	92	1.5
		Maximum	174	124	1.0
<i>Alces alces</i>	Pushing	Average	154	118	1.2
		Maximum	224	185	0.8
	Twisting	Average	64	86	2.8
		Maximum	96	132	1.9

585

586

587



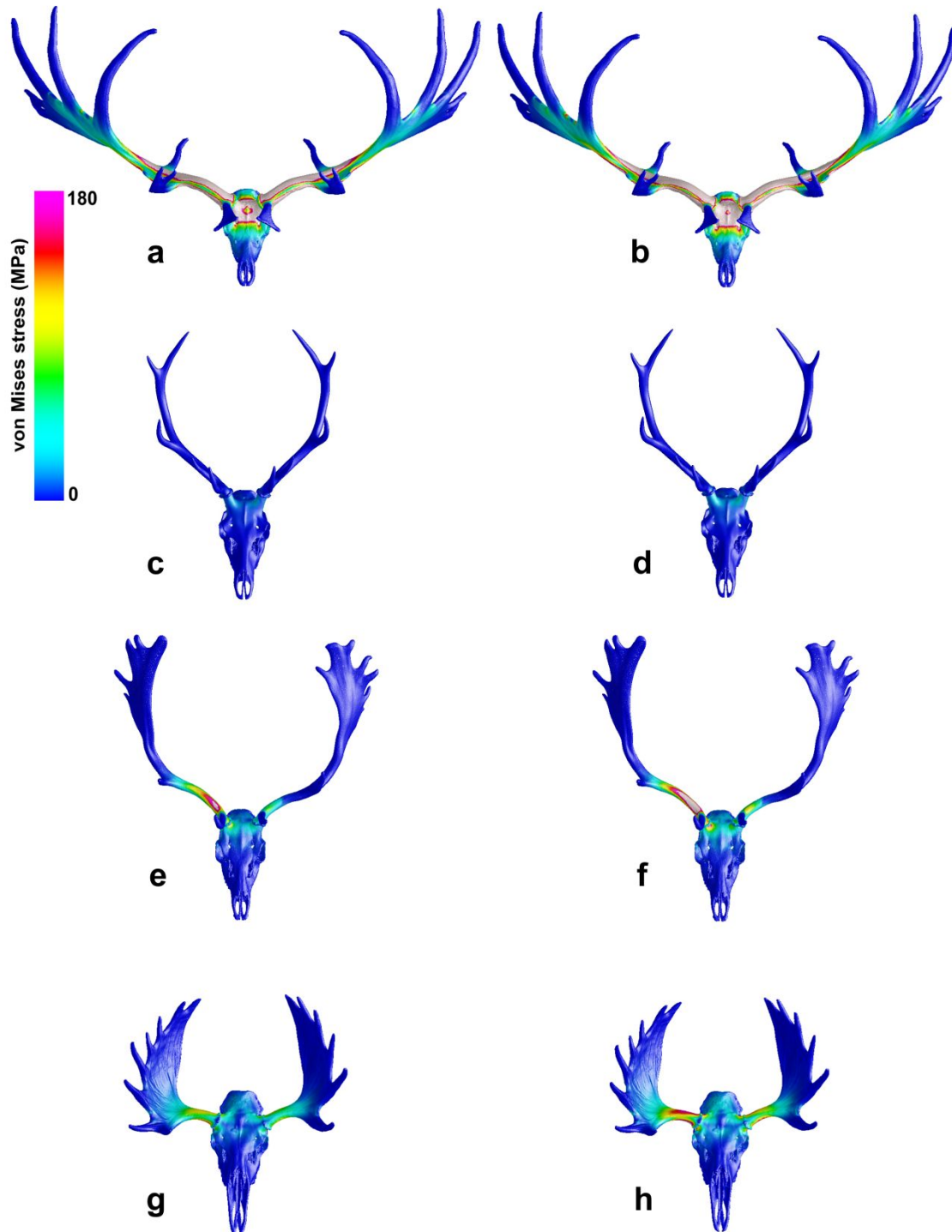
588

589 Figure 1: 3D models of the four deer species analysed in this study. a) *Megaloceros*
590 *giganteus*, b) *Cervus elaphus* (red deer), c) *Dama dama* (fallow deer), d) *Alces alces* (moose).

591 Models have been scaled to approximate same size for this figure.

592

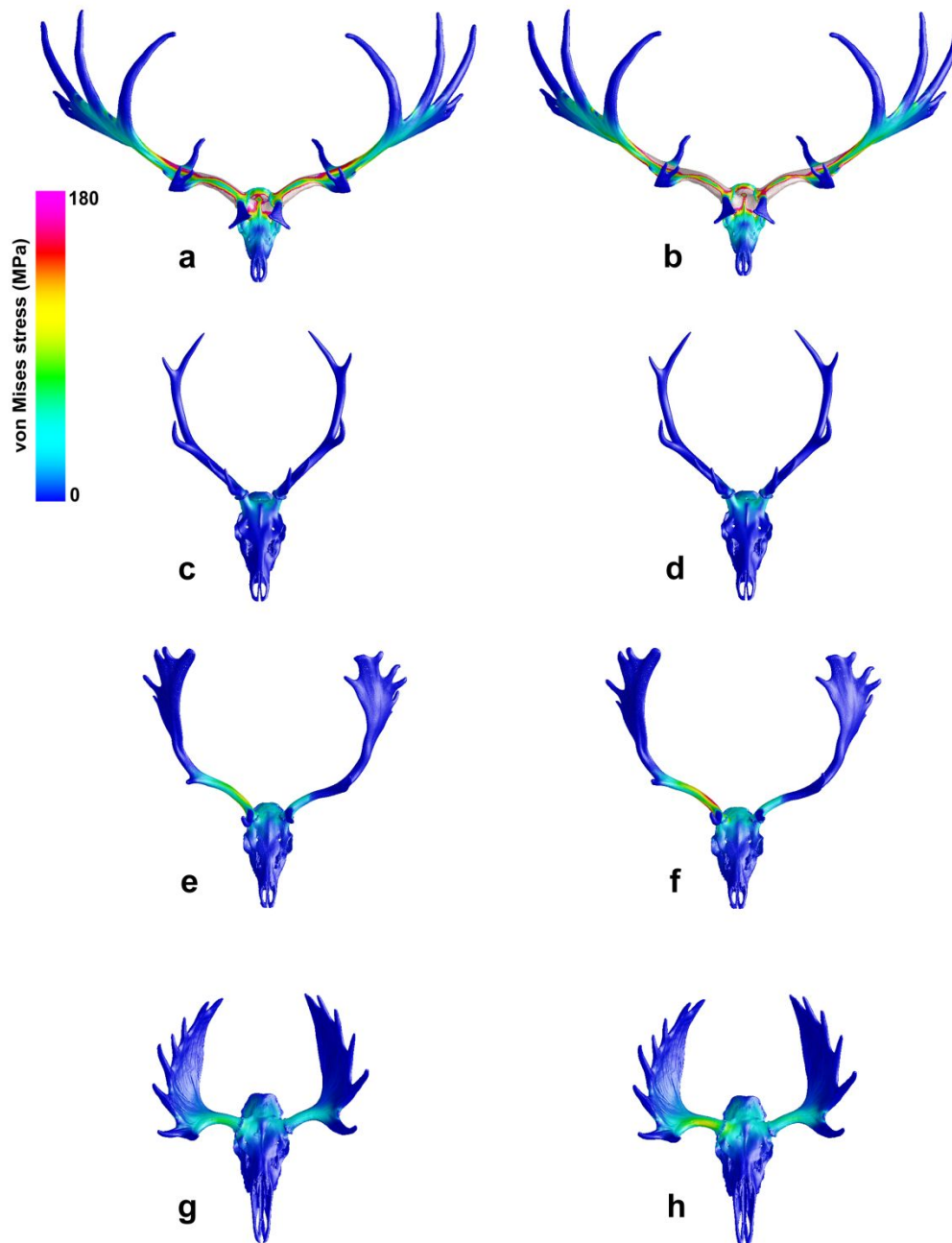
593 Figure 2: Von Mises stress results in all four taxa under pushing and twisting loads for scaled
594 and unscaled models. Table of results can be found in Supplementary Table 1.



595

596 Figure 32: Scaled finite element results of a pushing load. a) *Megaloceros giganteus* average
 597 load case, b) *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load
 598 case, d) *Cervus elaphus* maximum load case, e) Fallow Deer average load case, f) Fallow
 599 Deer maximum load case, g) Moose average load case, h) Moose maximum load case.

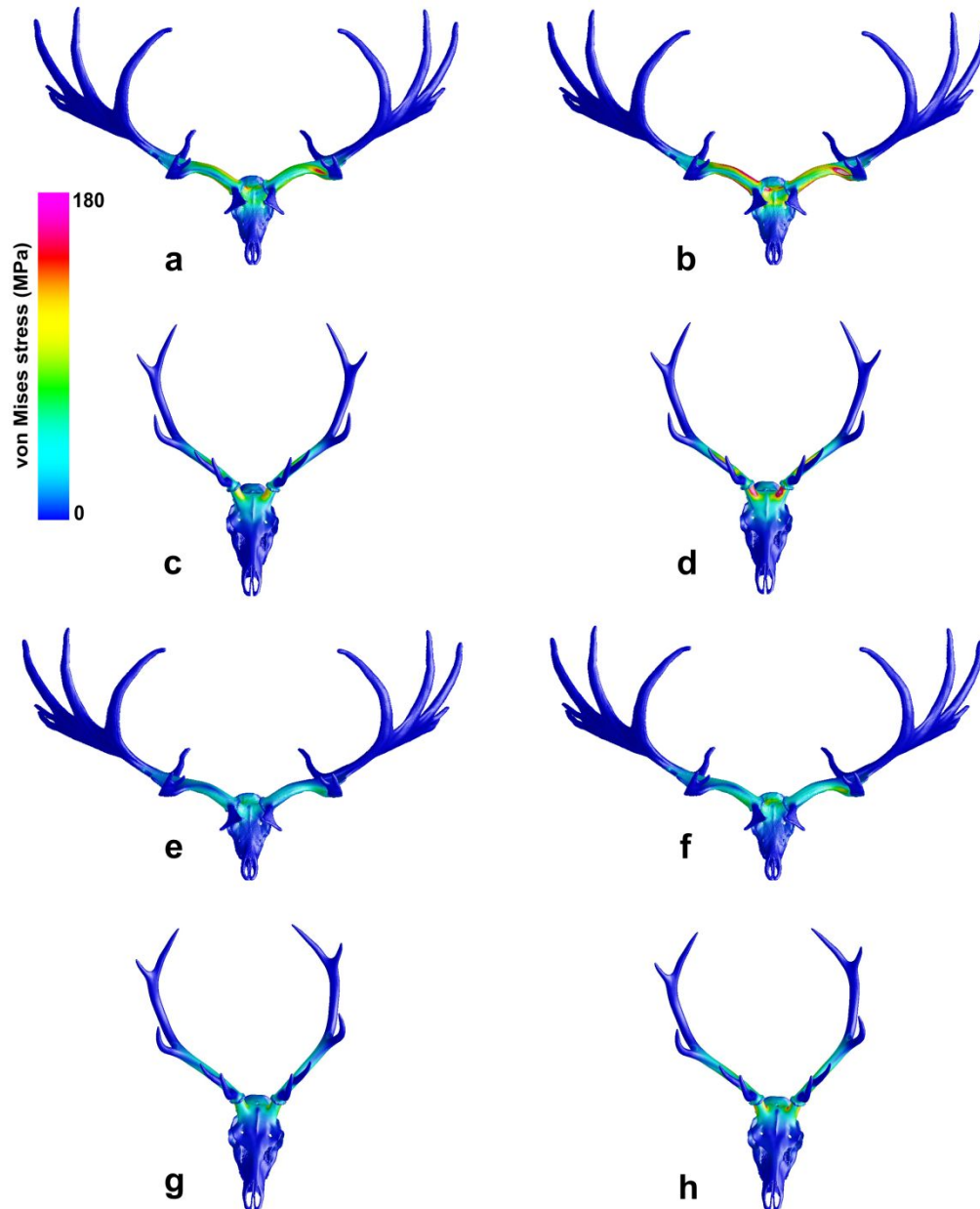
600



601

602 Figure 43: Scaled finite element results of a twisting load. a) *Megaloceros giganteus* average
 603 load case, b) *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load
 604 case, d) *Cervus elaphus* maximum load case, e) Fallow Deer average load case, f) Fallow
 605 Deer maximum load case, g) Moose average load case, h) Moose maximum load case.

606

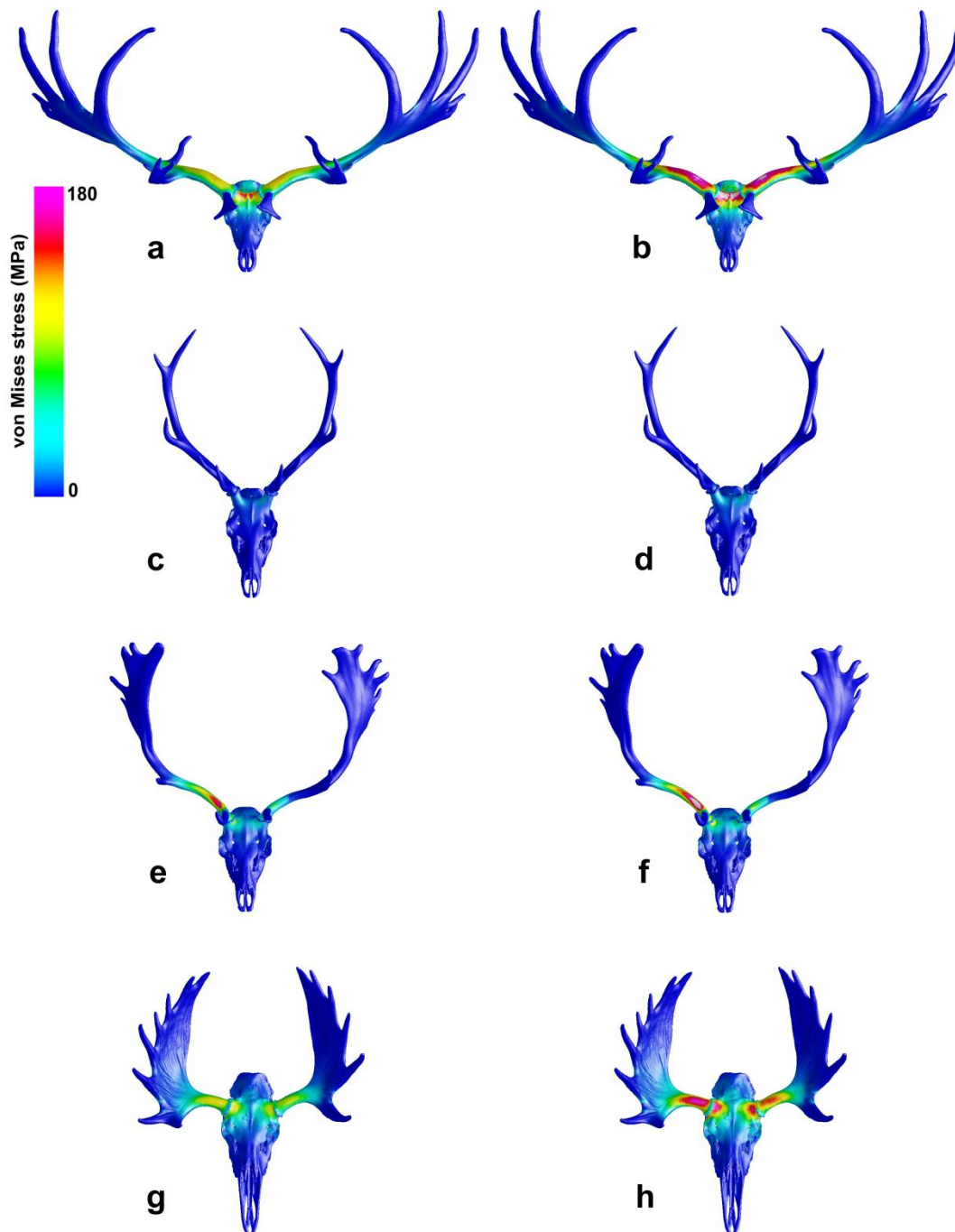


607

608 Figure 54: Scaled finite element analysis results for alternative force placement on
 609 *Megaloceros* and *Cervus* under both pushing and twisting loads. Forces in *Megaloceros* were
 610 placed more narrowly than in the original analysis, while in *Cervus*, forces were placed more
 611 distally on the antlers. Pushing loads: a) *Megaloceros giganteus* average load case, b)
 612 *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load case, d) *Cervus*
 613 *elaphus* maximum load case. Twisting loads: e) *Megaloceros giganteus* average load case, f)
 614 *Megaloceros giganteus* maximum load case, g) *Cervus elaphus* average load case, h) *Cervus*
 615 *elaphus* maximum load case.

616

617

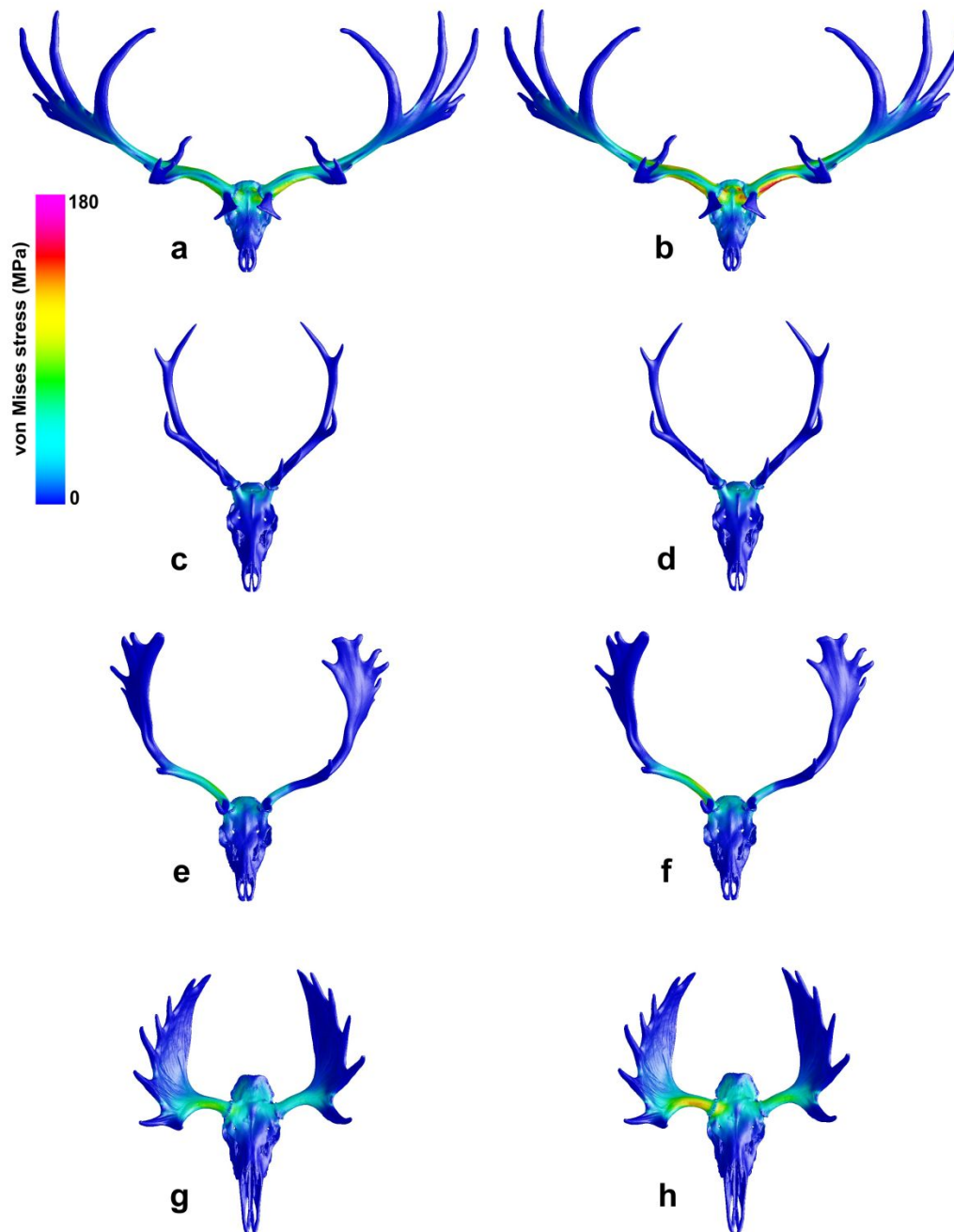


618

619 **Figure 5: Unscaled finite element results of a pushing load. a) *Megaloceros giganteus***
 620 **average load case, b) *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average**
 621 **load case, d) *Cervus elaphus* maximum load case, e) Fallow Deer average load case, f)**
 622 **Fallow Deer maximum load case, g) Moose average load case, h) Moose maximum load**
 623 **case.**

624

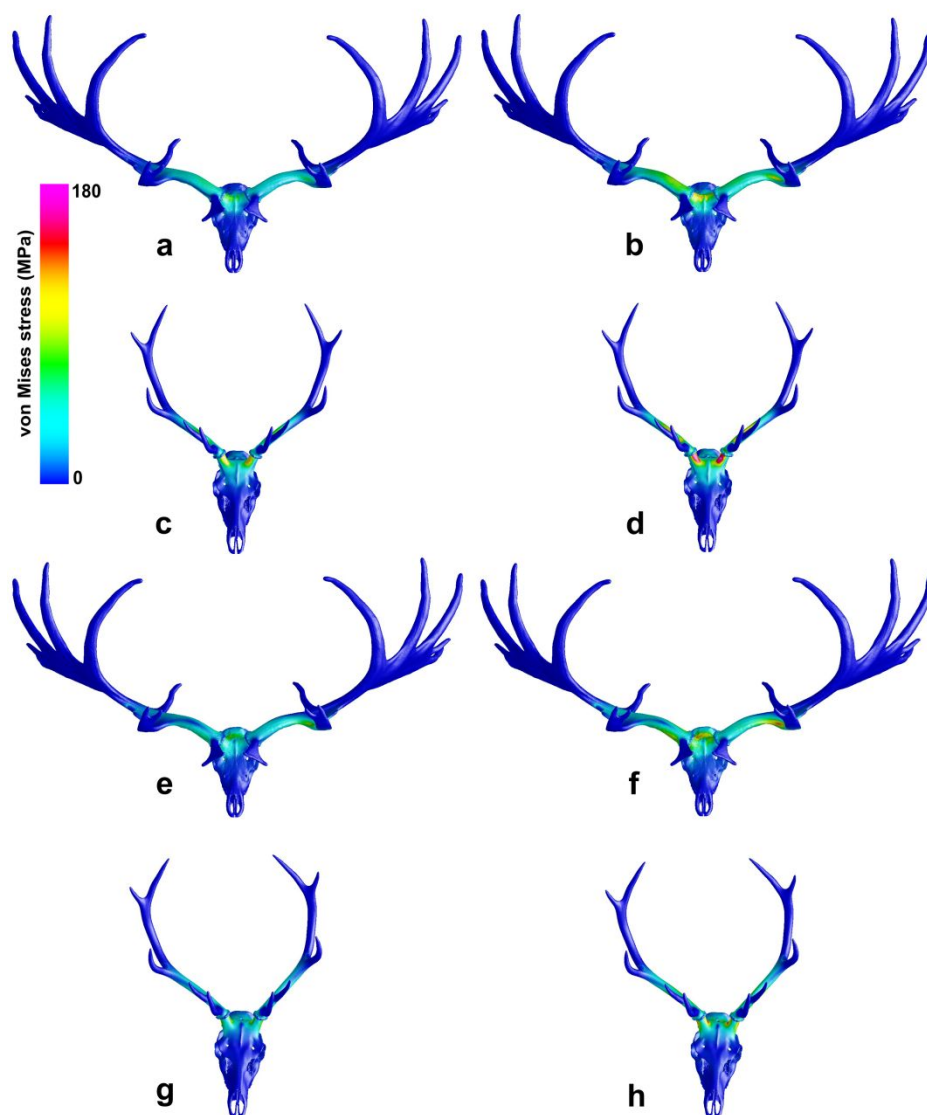
625



626

627 *Figure 6: Unscaled finite element results of a twisting load. a) Megaloceros giganteus*628 *average load case, b) Megaloceros giganteus maximum load case, c) Cervus elaphus average*629 *load case, d) Cervus elaphus maximum load case, e) Fallow Deer average load case, f)*630 *Fallow Deer maximum load case, g) Moose average load case, h) Moose maximum load*631 *case.*

632



633

634 **Figure 7:** Unscaled finite element analysis results for alternative force placement on
 635 *Megaloceros* and *Cervus* under both pushing and twisting loads. Forces in *Megaloceros* were
 636 placed more narrowly than in the original analysis, while in *Cervus*, forces were placed more
 637 distally on the antlers. Pushing loads: a) *Megaloceros giganteus* average load case, b)
 638 *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load case, d) *Cervus*
 639 *elaphus* maximum load case. Twisting loads: e) *Megaloceros giganteus* average load case, f)
 640 *Megaloceros giganteus* maximum load case, g) *Cervus elaphus* average load case, h) *Cervus*
 641 *elaphus* maximum load case.

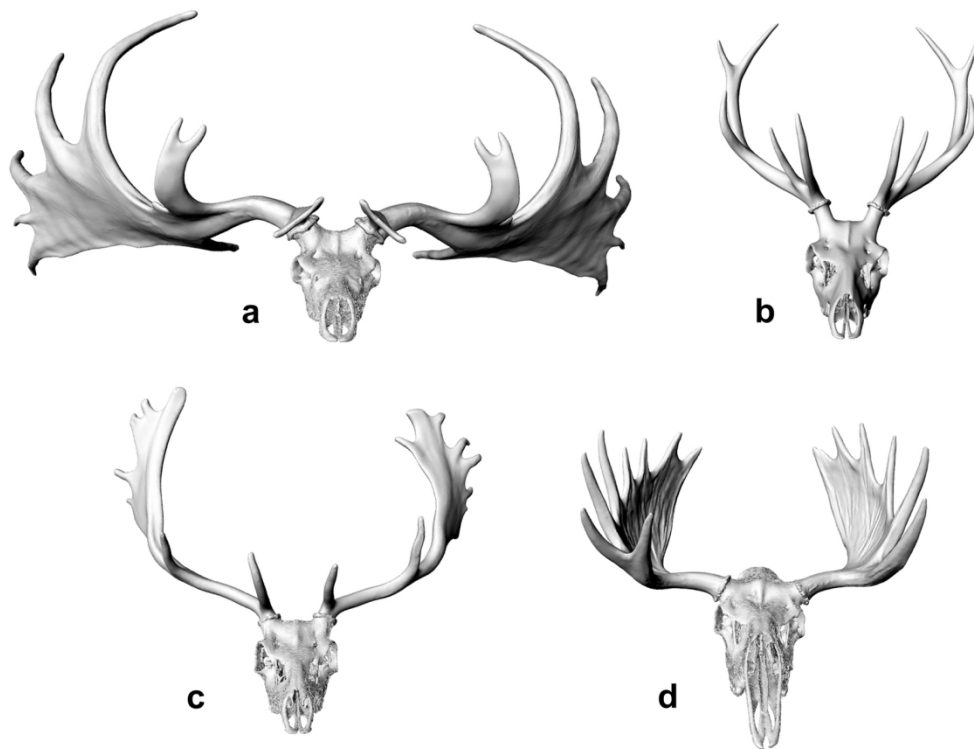


Figure 1: 3D models of the four deer species analysed in this study. a) *Megaloceros giganteus*, b) *Cervus elaphus* (red deer), c) *Dama dama* (fallow deer), d) *Alces alces* (moose). Models have been displayed as approximately the same size for this figure.

154x119mm (300 x 300 DPI)

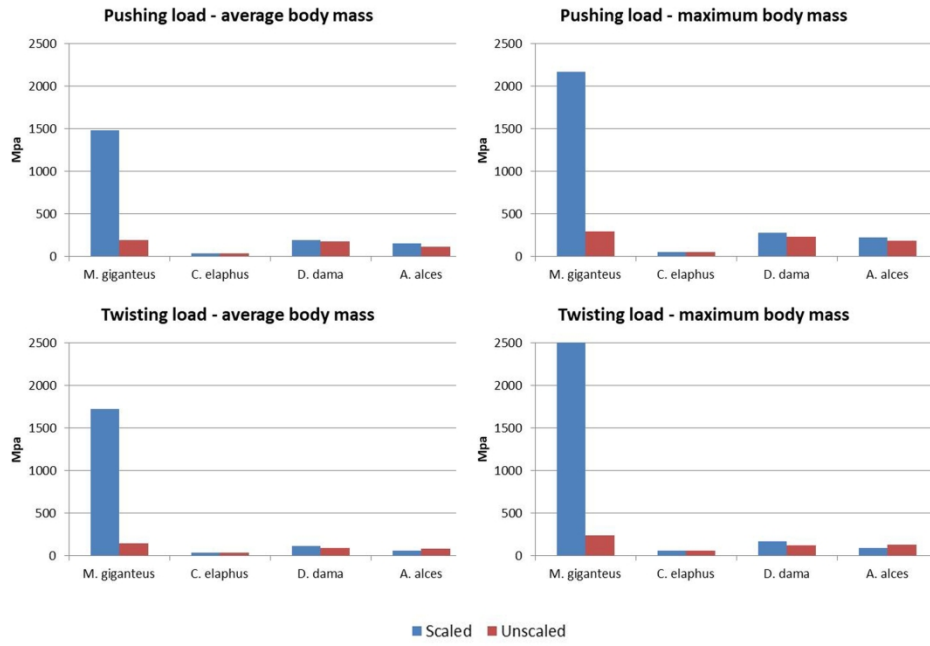


Figure 2: Von Mises stress results in all four taxa under pushing and twisting loads for scaled and unscaled models. Table of results can be found in Supplementary Table 1.

179x123mm (300 x 300 DPI)

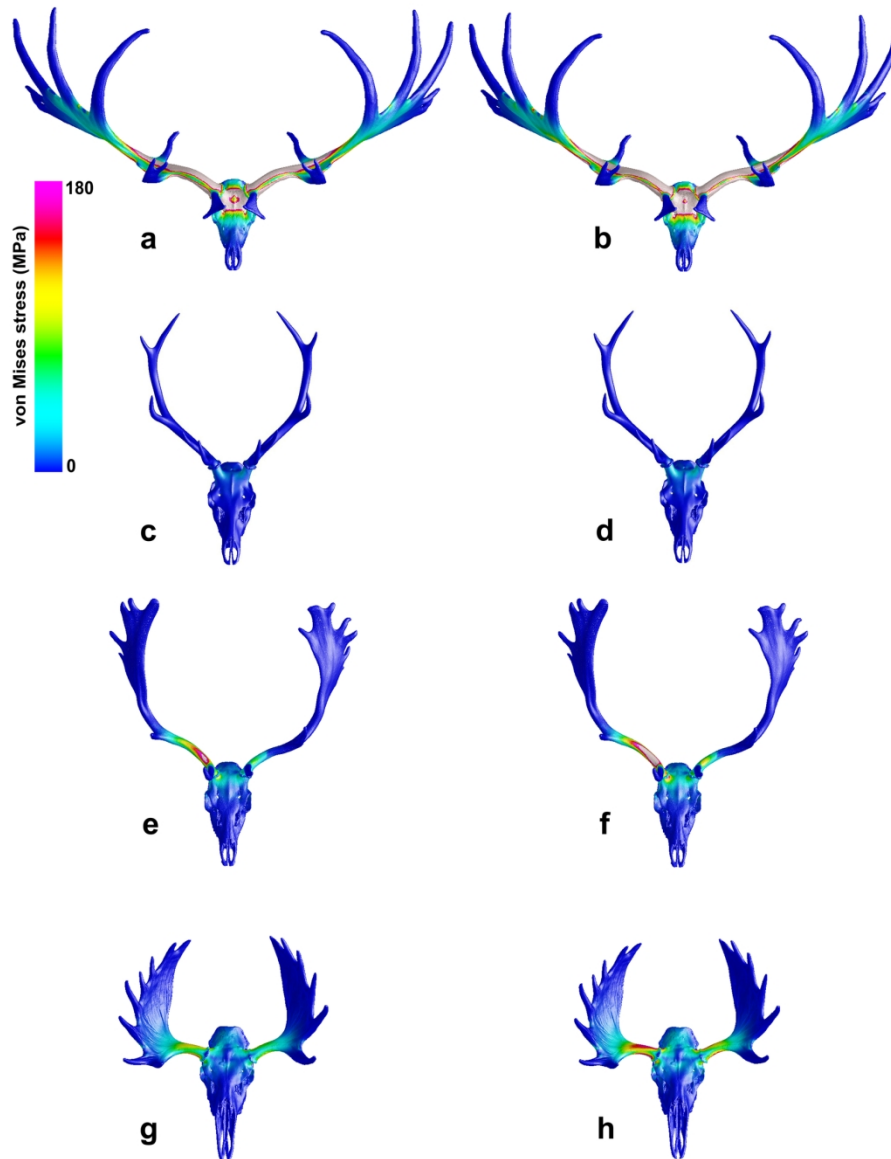


Figure 3: Scaled finite element results of a pushing load. a) *Megaloceros giganteus* average load case, b) *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load case, d) *Cervus elaphus* maximum load case, e) *Dama dama* average load case, f) *Dama dama* maximum load case, g) *Alces alces* average load case, h) *Alces alces* maximum load case.

149x197mm (300 x 300 DPI)

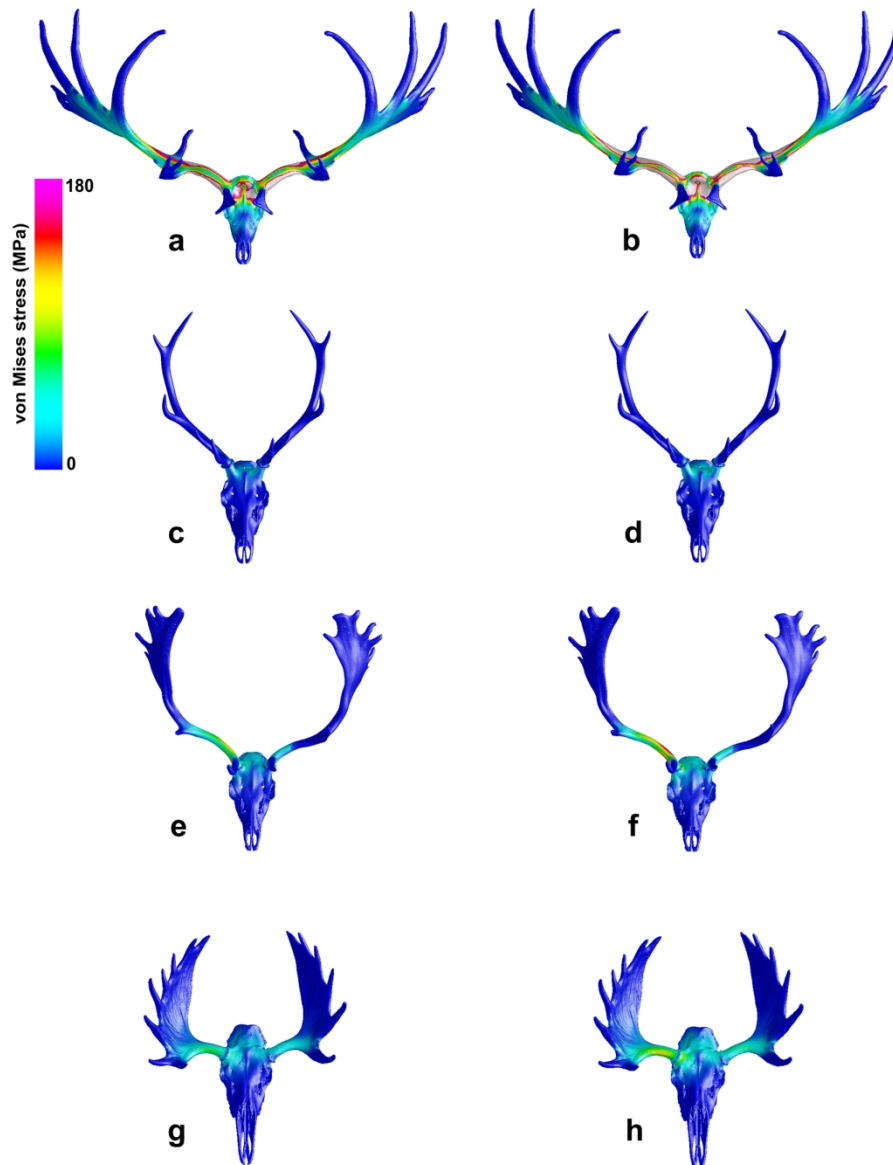


Figure 4: Scaled finite element results of a twisting load. a) *Megaloceros giganteus* average load case, b) *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load case, d) *Cervus elaphus* maximum load case, e) *Dama dama* average load case, f) *Dama dama* maximum load case, g) *Alces alces* average load case, h) *Alces alces* maximum load case.

149x193mm (300 x 300 DPI)

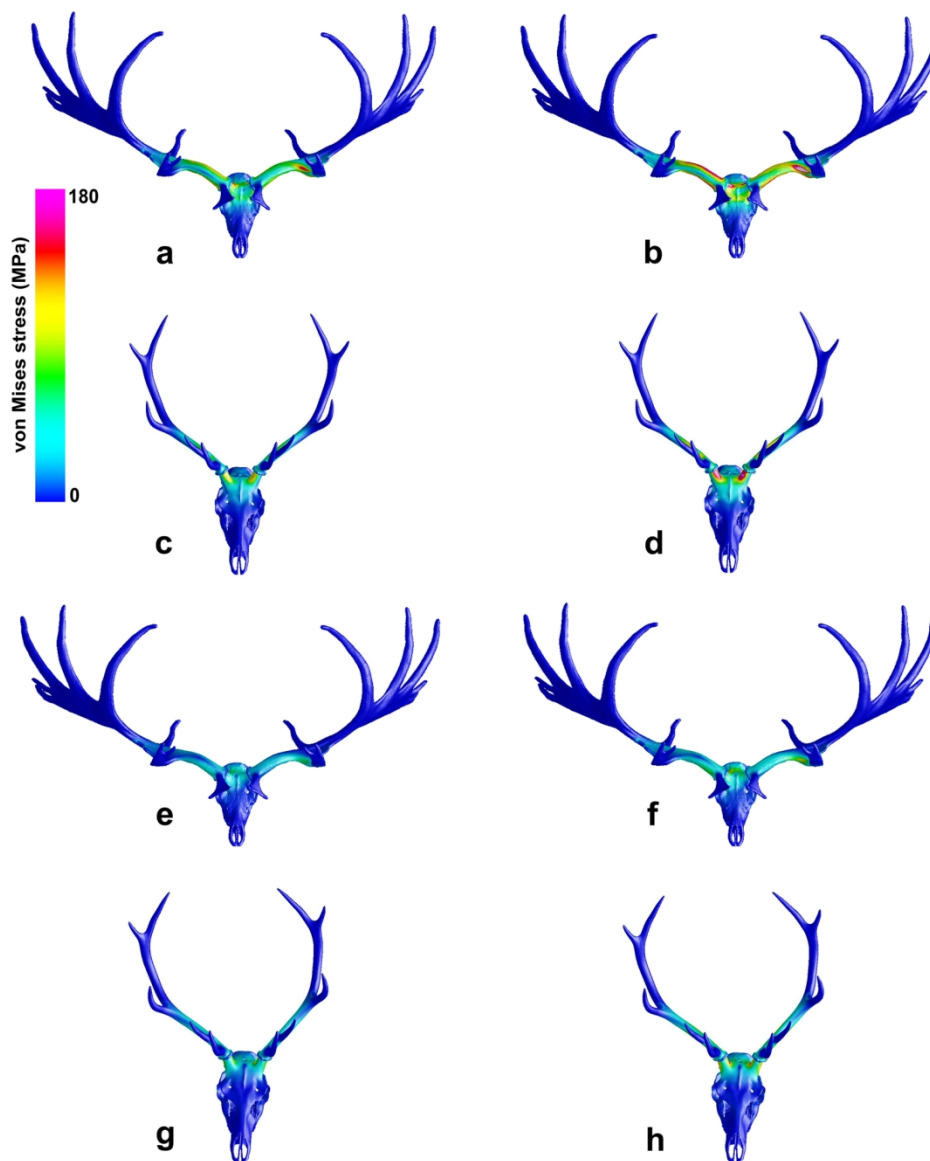


Figure 5: Scaled finite element analysis results for alternative force placement on *Megaloceros* and *Cervus* under both pushing and twisting loads. Forces in *Megaloceros* were placed more narrowly than in the original analysis, while in *Cervus*, forces were placed more distally on the antlers. Pushing loads: a) *Megaloceros giganteus* average load case, b) *Megaloceros giganteus* maximum load case, c) *Cervus elaphus* average load case, d) *Cervus elaphus* maximum load case. Twisting loads: e) *Megaloceros giganteus* average load case, f) *Megaloceros giganteus* maximum load case, g) *Cervus elaphus* average load case, h) *Cervus elaphus* maximum load case.

149x184mm (300 x 300 DPI)