

## **Mechanisms of Acute Kidney Injury with Perioperative Rosuvastatin in Patients Undergoing Cardiac Surgery**

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## 1 Introduction

2           The Statin Therapy In Cardiac Surgery (STICS) trial was a randomized double-blind  
3 placebo-controlled trial investigating the effects of perioperative rosuvastatin on  
4 postoperative atrial fibrillation and cardiac injury in patients undergoing cardiac surgery<sup>1</sup>.  
5 Whilst rosuvastatin did not significantly affect either outcome, acute kidney injury (AKI) was  
6 unexpectedly and significantly more common in rosuvastatin-allocated patients<sup>1</sup>.

7           A smaller trial of high-dose perioperative atorvastatin in patients undergoing cardiac  
8 surgery also did not support initiation of statin therapy to prevent postoperative AKI, and  
9 was stopped prematurely with a null result after 615 patients had been randomized<sup>2</sup>. Other  
10 similar trials have reported variable results, but these were all small ( $\leq 200$  patients each)  
11 and many had additional limitations<sup>3</sup>.

12           To understand the mechanism of post-operative AKI, we undertook further analysis  
13 of the STICS samples, including measurement of cystatin C, which may have advantages over  
14 creatinine for diagnosis of AKI in this context<sup>4</sup>, and several other biomarkers relevant to  
15 inflammation and AKI.

16

## 17 Methods

18           The methodology and primary results of STICS have been published previously<sup>1</sup>;  
19 briefly, 1922 patients undergoing elective cardiac surgery were randomized to rosuvastatin  
20 20 mg once daily or placebo for  $\leq 8$  days before surgery and 5 days thereafter.

21           Creatinine, cystatin C, growth differentiation factor 15 (GDF-15), interleukin-6 (IL-6),  
22 procalcitonin (PCT), placental growth factor (PLGF), kidney injury molecule-1 (KIM-1), and

1 neutrophil gelatinase-associated lipocalin (NGAL) were measured at baseline and after  
2 surgery (at an interval of 48 hours for creatinine, cystatin C, KIM-1, and NGAL, and 6 hours  
3 for GDF-15, IL-6, PLGF, and PCT).

4 AKI by serum creatinine was defined and classified as stage 1-3 based on KDIGO  
5 criteria<sup>5</sup> (without data on urine output). AKI was separately defined using serum cystatin C<sup>6</sup>,  
6 using the same fold-increases from baseline as for creatinine. A sensitivity analysis included  
7 an alternative definition of cystatin C-defined stage 1 AKI (increase from baseline by a factor  
8 of at least 1.1 to less than 2).

9 Randomized comparisons were performed according to the intention-to-treat  
10 principle. Odds ratios and 95% confidence intervals were used for between-group  
11 comparisons of post-operative AKI. ANCOVA was used to compare biomarkers after surgery,  
12 with adjustment for baseline values. Analyses were performed on the log scale for all  
13 biomarkers and then transformed back to the original scale as geometric means. For  
14 dichotomous outcomes, patients with missing data were assumed not to have had the  
15 outcome. Missing values for biomarkers were estimated by means of multiple imputation,  
16 with 10 replicate sets and combination across sets with the use of Rubin's methods<sup>7</sup>.

17 Full details of methods and assay performance have been reported separately<sup>8</sup>.

18

## 19 **Results**

20 Baseline characteristics of the trial population have been published previously<sup>1</sup>.  
21 Estimated glomerular filtration rate (eGFR) was  $90 \pm 15$  ml/min/1.73m<sup>2</sup>. Overall, 66% of  
22 patients were statin-naïve, 31% had diabetes, 4.5% had eGFR <60 ml/min/1.73m<sup>2</sup>, and 40%

1 were receiving an angiotensin converting enzyme inhibitor or angiotensin receptor blocker.  
2 Over 91% of baseline and follow-up biomarker results were available<sup>8</sup>.

3 The incidence of creatinine-defined AKI was greater in the rosuvastatin group than in  
4 the placebo group (24.7% vs 19.3%, odds ratio [OR] 1.37, 95% confidence interval [CI] 1.10-  
5 1.70,  $p=0.005$ ; **Figure 1A**), as was the incidence of cystatin C defined AKI (9.2% vs 5.1%, OR  
6 1.86, 95% CI 1.29-2.67,  $p<0.001$ ; **Figure 1B**). When the cystatin C definition of AKI was  
7 expanded to include an increase from baseline in the cystatin C level by a factor of at least  
8 1.1, the incidence of AKI was 46.0% vs 36.7% (OR 1.47, 95% CI 1.23-1.77,  $p<0.001$ ).

9 Creatinine and cystatin C levels in both treatment groups increased from baseline to 48  
10 hours after surgery; however, baseline-adjusted creatinine and cystatin C levels at 48 hours  
11 were significantly higher in rosuvastatin-allocated than placebo-allocated patients  
12 ( $1.02\pm 0.01$  mg/dL vs  $0.99\pm 0.01$  mg/dL,  $p=0.007$ , **Figure 1C**; and  $1.07\pm 0.01$  mg/L vs  $1.02\pm 0.01$   
13 mg/L,  $p<0.001$ , **Figure 1D**; respectively). The breakdown of absolute excess in cystatin C-  
14 defined AKI in the rosuvastatin group compared with the placebo group was: stage 1  
15 ( $2.5\pm 1.1\%$ ); stage 2 ( $1.3\pm 0.4\%$ ); and stage 3 ( $0.3\pm 0.4\%$ ).

16 Concentrations of GDF-15, IL-6, PCT, PLGF, KIM-1 and NGAL were all substantially  
17 higher after surgery than at baseline (all  $p<0.001$ ). For KIM-1 the rise was significantly higher  
18 in patients allocated to rosuvastatin compared to placebo (baseline-adjusted mean KIM-1  
19 concentration at 48 hours:  $278\pm 5$  pg/ml versus  $259\pm 5$  pg/ml respectively,  $p=0.01$ ; **Figure**

1 **1E)**, whereas there was no significant difference between the groups in the rise for GDF-15,  
2 IL-6, PCT, PLGF, and NGAL (**Figure 1F**).

3

#### 4 **Discussion**

5 Allocation to rosuvastatin compared with placebo increased the absolute risk of  
6 post-operative AKI, however defined, by 4-5% in patients undergoing cardiac surgery. The  
7 post-operative concentration of KIM-1 was also higher in patients allocated to rosuvastatin  
8 compared to placebo, suggesting that allocation to rosuvastatin may exacerbate renal  
9 proximal tubular injury<sup>9</sup> in the context of cardiac surgery. By contrast, there were no  
10 significant differences in NGAL, GDF-15, IL6, PCT, or PLGF between the groups, suggesting  
11 that the adverse effect of perioperative rosuvastatin on renal function may be independent  
12 of systemic inflammation and renal epithelial tissue injury<sup>10</sup>. Although rosuvastatin also led  
13 to a significant increase in post-operative creatine kinase, adjustment for this did not  
14 materially change the estimated effect of allocation to rosuvastatin on post-operative AKI  
15 which remained significant in fully-adjusted multivariable analyses<sup>8</sup>.

16 The finding that perioperative rosuvastatin increases the risk of AKI after cardiac  
17 surgery is highly clinically relevant, particularly in the context of a null effect on post-  
18 operative complications<sup>1</sup>. A meta-analysis of 11 trials of perioperative statin therapy in  
19 cardiac surgery (including STICS) confirmed a higher incidence of AKI in cardiac surgery  
20 patients receiving perioperative statins compared to control<sup>3</sup>. STICS contributed the  
21 strongest evidence on this topic (accounting for 423 of 650 AKI events), and provided a  
22 unique opportunity to further investigate the mechanisms of AKI in the present study.

1           Although the majority of the excess AKI following rosuvastatin use in STICS was  
2 relatively minor (stage 1), incompletely resolved AKI could lead to chronic kidney disease  
3 and increased risk of future cardiovascular events. In the absence of further trial data,  
4 temporary perioperative statin cessation in patients undergoing cardiac surgery may be a  
5 reasonable option to consider on a case-by-case basis.

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13

14 **Conflict of interest**

15 BC is receiving support in kind from iRhythm (ECG monitors) for clinical studies on atrial  
16 fibrillation. The other authors have no conflicts of interest to declare.

17

18 **Data availability**

19 The data underlying this article will be shared on reasonable request to the corresponding  
20 author.

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1 **Figure Legend**

2 **Figure 1: Effect of allocation to rosuvastatin on creatinine-defined and cystatin C-defined**  
3 **AKI, and on post-operative creatinine and cystatin C levels.** The upper panel shows bar  
4 graphs indicating proportion of AKI stages 1-3 at 48 hours post-operatively in the  
5 rosuvastatin and placebo groups, defined by creatinine (**panel A**) and cystatin C (**panel B**).  
6 Participants missing cystatin C or creatinine were assumed not to have AKI unless they had  
7 undergone renal replacement therapy. The lower panel shows bar graphs indicating levels  
8 of creatinine (**panel C**), cystatin C (**panel D**), KIM-1 (**panel E**), and NGAL (**panel F**) at baseline  
9 and at 48 hours post-operatively in the rosuvastatin and placebo groups. Bars show  
10 geometric means with approximate  $\pm$ SE. P values were derived from analysis of covariance  
11 with adjustment for the baseline value with any missing data imputed with the use of  
12 multiple imputation.

**Figure 1: Effect of allocation to rosuvastatin on creatinine-defined and cystatin C-defined AKI, and on post-operative creatinine, cystatin C, KIM-1, and NGAL levels**

