

Estimating Biologically Relevant Parameters under Uncertainty for Experimental Within-Host Murine West Nile Virus Infection

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Abstract

West Nile virus (WNV) is an emerging pathogen that has decimated bird populations and caused severe outbreaks of viral encephalitis in humans. Currently, little is known about the within-host viral kinetics of WNV during infection. We developed mathematical models to describe viral replication, spread and host immune response in wild type and immunocompromised mice. Our approach fits a target cell limited model to viremia data from immunocompromised knockout mice and an adaptive immune response model to data from wildtype mice. Using this approach we estimate parameters governing viral production and viral spread in the host using simple models without immune responses. We use these parameters in a more complex immune response model to characterize the dynamics of the humoral immune response. Despite substantial uncertainty in input parameters, our analysis generates relatively precise estimates of important viral characteristics that are composed of non-linear combinations of model parameters: we estimate the mean within-host basic reproductive number, R_0 , to be 2.3 (95% confidence interval: 1.7 to 2.9); the mean infectious virion burst size to be 2.9 plaque forming units (95% confidence interval: 1.7 to 4.7), and the average number of cells infected per infectious virion to be between 0.3 to 0.99. Our analysis gives mechanistic insights into the dynamics of WNV infection and produces estimates of viral characteristics that are difficult to measure experimentally. These models are a first step toward a quantitative understanding of the timing and effectiveness of the humoral immune response in reducing

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host viremia and consequently the epidemic spread of WNV.

Introduction

West Nile virus (WNV) is a flavivirus that is a significant cause of viral encephalitis in humans [1–3]. It is maintained in an enzootic cycle between mosquitoes and birds [4] but can also infect and cause disease in other vertebrates including mice. After its discovery in the United States in 1999, WNV spread rapidly across North America, and also has been reported in Central and South America [5–7]. Between 1999 and 2010, there were a total of 1.8 million human illnesses, 1308 of which resulted in death [8]. Although vaccines are available for animal use, no vaccines or specific therapies for WNV are currently approved for humans [9].

WNV is an enveloped virus with a single-stranded, positive sense, 11-kb RNA genome [9] that was first isolated in 1937 [10]. While much is known about the biology of WNV including the immune response it elicits and its epidemiology (reviewed in [9]), information regarding the detailed kinetics of within-host WNV infection is limited. Following mosquito-borne transmission, WNV initially infects keratinocytes and epidermal Langerhans cells [11]. Infected Langerhans cells then migrate to the draining lymph node where macrophages are infected [9]. From the draining lymph node, WNV spreads to the spleen, kidney, and spinal cord and ultimately breaches the blood-brain barrier to infect neurons [9].

WNV infection in mice is characterized by an initial exponential growth of viral titer that peaks 3 to 4 days post infection (DPI), followed by an exponential decline that leads to undetectable levels of virus by 6 to 8 DPI. Peak viral replication occurs before the virus has spread to the spleen and kidneys (analysis of data in mice from [12]). The initial targets of WNV replication are Langerhans cells, macrophages and keratinocytes [13].

Both T and B cell responses are important in controlling WNV infection [9, 12, 14]. During a primary response, viral specific induced immunoglobulin M (IgM) is detected just before the virus titer peak, approximately 2 to 4 DPI, and immunoglobulin G (IgG) is detected at approximately 8 DPI [12] well after viremia has been reduced to almost undetectable levels in serum.

The effect of induced IgM is to lower peak viremia and reduce the time to peak viremia [12]. In mice that lack B cells, WNV is 100% lethal at doses of 100 PFU and higher and 50% lethal following infection with 1 PFU [14]. Thus, innate responses are unable to protect mice in the absence of induced IgM, which

is critical for reduction of peak viremia and host survival. In wildtype mice, cytotoxic T cell responses do not appear until 6 DPI, well after the peak of virus replication [15]. Our goal is to quantitatively describe the interplay between viral dynamics and the B cell response in the early phase of WNV infection.

Mathematical models can provide important insights into viral dynamics and immune response. For example, human immunodeficiency virus (HIV) infection was modeled to analyze the kinetics of viral load decline in patients receiving antiretroviral therapy. These analyses led to the first in-vivo estimates of the rate of HIV replication, the number of virus particles produced and cleared daily, and the average life span of productively infected cells [16–19]. These models further elucidated the cause of the rapid appearance of drug resistance to single HIV drugs and helped lead-in the era of combination antiretroviral therapy [20,21]. Viral dynamic models also have been used to analyze the effects of interferon and ribavirin treatment as well as direct-acting antiviral agents on hepatitis C virus (HCV) dynamics [22–27], and the effects of therapy on hepatitis B virus (HBV) kinetics [28–30]. HIV, HCV and HBV infection produce prolonged chronic diseases and viral load changes can be studied over periods of days, months and years. In contrast, WNV produces an acute infection of short duration. Previous work has used modeling to understand the kinetics of acute infection in influenza [31–34]. However, to date there are no within-host models of WNV infection.

Our primary goal is to characterize the dynamics of WNV replication and the adaptive humoral response in murine hosts. Based on data obtained during experimental infection of mice, we develop kinetic models of primary WNV infection. We estimate the basic reproductive number (R_0 , the number of cells infected by each infected cell early in infection when target cells are not limiting), and other aspects of viral replication. We characterize not just the most likely value of R_0 and other relevant parameters, but also the range of possible values given measured empirical data and the dynamics assumed in our models. We also characterize the timing and effectiveness of IgM at clearing the virus.

We characterize viral replication and immune response based on predictions from a set of differential equation models. We estimate model parameters based on published data from laboratory experiments of WNV infection. We compare model predictions to measured viremia in wild type mice and immunocompromised IgM knockout mice to identify what parameters governing viral kinetics and immune responses could have generated the observed viremia values. The problem is computationally challenging because there is a paucity of quantitative experimental data which we can use to estimate parameter values and because there are many parameters to be estimated. Further, there are tradeoffs between model parame-

ters that complicate parameter estimation. Thus our approach is to first identify the plausible parameter space and then to sample that space to more precisely estimate the range of parameter values consistent with experimental data.

We first use empirical data to estimate a parameter characterizing the rate of clearance of WNV by innate immune responses in the first 90 minutes of infection. Next, we analyze data from a knockout experiment in which there was no humoral (secreted IgM) response in order to characterize WNV infection dynamics in the absence of the secreted IgM response. Then we incorporate these parameters into a model to characterize the kinetics of the humoral response from experimental data in wild type mice that have an intact IgM response. Using an approach similar to that of Handel et al. [35] for influenza, we estimate some parameters in isolation, and then incorporate those parameters into a more complex model that includes an adaptive immune response. Thus, we avoid the problem of needing to estimate more parameters in our final model than can be identified from the data.

Materials and Methods

Study data

We use data from three WNV experimental infection studies [12,14,36]. In order to estimate the infectious virus decay rate, we use data from a **viral decay study** in which wild type (C57BL/6) mice were intravenously inoculated with 10^5 plaque forming units (PFU) of WNV 3356 NY2000 [36]. Serum was collected 5 mins, 15 mins, 45 mins and 90 mins post infection. Serum was tested for virus by plaque assay on Vero cells (African green monkey kidney epithelial cells).

The second set of studies (**wildtype and knockout mouse studies**) were based on experimental infections of wildtype and IgM knockout mice. In these studies, wild type and knockout (sIgM^{-/-} C57BL/6J) mice were subcutaneously inoculated with 100 PFU of a WNV strain closely related to the one used in the viral decay study (WNV 3000.0259 NY2000) [12]. Serum was collected every other day until 10 DPI and titrated for virus by plaque assay on BHK-21 cells (baby hamster kidney fibroblast cells).

The wildtype and knockout mouse studies measured viremia in terms of both viral RNA and PFU but the latter had fewer measurements. Since the viral decay study measured infectious virus (PFU), we converted all RNA measurements in the wildtype and knockout mouse study to PFU. We calculated the

ratio of RNA copies per mL of blood for knockout mice to the viral titer in PFU per mL of blood for knockout mice at day 2 and 4 post infection from the experimental data. We found that approximately 500 RNA copies corresponded to an infectious unit (PFU). This accounts for the fact that not all viral particles produce infections.

Finally, in order to determine the effect of the antibody response, we used an **antibody titer study** in which wildtype (C57BL/6J) mice were subcutaneously inoculated with 100 PFU of WNV 3000.0259 NY2000 [14]. Serum was collected every other day until 12 DPI and neutralizing antibody titers were determined by a standard plaque reduction neutralization assay [37]. The amount of antibody (measured in units of plaque reduction neutralization titer for 50% inhibition of plaques, PRNT₅₀) was determined and reported in the study.

Fitting models to data

The ordinary differential equations describing our viral kinetic models (Eqs. 1 - 4 and Eqs. 6 - 9) were solved numerically in Matlab [38]. A 4th order Runge-Kutta method of integration was used with a step size of 0.0004 hours. The curve-fitting method uses nonlinear least-squares regression that minimizes the sum of the squared residuals between the experimentally measured virus titres and model predicted values (measured as \log_{10} PFU/mL of serum). We weighted all the data points equally in our fitting procedure since no uncertainty was provided for the experimental viral titers. We sample parameter values uniformly at random within the biologically plausible parameter space to determine which combinations of parameters could feasibly have generated the observed viremia curves. Our aim is to determine the plausible range of model parameters rather than the single set of parameters that produce viremia curves consistent with observed data. The computational approach is outlined in Fig. 1 and a detailed description of our parameter sampling approach is described in the Supplementary Section.

A target cell limited model

The goal of the target cell limited model is to estimate parameters governing WNV dynamics in IgM knockout mice incapable of mounting an IgM antibody response. We assume that the infection is target-cell limited in these mice as the viral titer decline from the peak occurs well before the IgG response is detected at day 8. Models of target cell limited acute infection have been developed for both HIV [39] and influenza A virus infection [31]. Here we use a target cell limited model with an eclipse phase, given

by the following differential equations:

$$\frac{dT}{dt} = -\beta TV \quad (1)$$

$$\frac{dI_1}{dt} = \beta TV - kI_1 \quad (2)$$

$$\frac{dI_2}{dt} = kI_1 - \delta I_2 \quad (3)$$

$$\frac{dV}{dt} = pI_2 - \gamma V - \beta TV \quad (4)$$

Target cells (T) become infected by virus (V) at rate βTV , where β is the rate constant characterizing infection. The initial viral titer and the initial density of target cells are denoted V_0 and T_0 , respectively. The initial density of infected cells (I_1 and I_2) is assumed to be zero. The separation of infected cells into two classes, I_1 cells that are infected but not yet producing virus and I_2 cells that produce virus, was proposed earlier for influenza infection [31] and for HIV [40]. This separation increases the realism of the model [41–43], since delays in the production of virus after the time of initial infection are part of the viral life cycle (the eclipse phase).

The parameter $1/k$ is the average transition time from I_1 to I_2 . Productively infected cells (I_2) release virus at an average rate p per cell and die at rate δ per cell, where $1/\delta$ is the average life span of a productively infected cell. Free infectious virus is cleared at rate γ per infectious unit per day, for example by phagocytosis or loss of infectivity. Virus also disappears from blood by entering cells during the infection process at rate βTV . The effects of innate immune responses and T cell responses are not explicitly described in this model, but are implicitly included in the clearance rate of virus (γ) and the death rate of infected cells (δ).

We use this model to identify values of V_0 , β , p , and δ that are consistent with observed viral kinetics from IgM knockout mice.

Adaptive immune response model

In the antibody titer study [14] wild type mice were subcutaneously infected with WNV and titers of neutralizing antibody measured during a primary response (Fig. 2). The data are well-described by the following empirical piecewise linear function:

$$A(t) = \begin{cases} 0 & , \quad t < t_i \\ \eta(t - t_i) & , \quad t \geq t_i \end{cases} \quad (5)$$

The level of neutralizing antibody at time t , $A(t)$, measured by the plaque reduction neutralization test (PRNT) is 0 (undetectable) before time t_i and afterwards increases linearly at rate η . This equation was fit to the antibody titer data (Fig. 2) and η and t_i were estimated (Table 1). We note the caveat that our model assumes IgM is absent before time t_i , but IgM could exist at low levels undetectable by the assay and models that account for this would be the subject of future investigation. In order to have a simple model and since the data also exhibits piecewise linear effects, we have not explicitly modeled the interaction between the immune system and virus in the form of the equation for the antibody response. The immune response (represented by IgM) and its interaction with the virus is modeled explicitly by an additional clearance term in the equation for virus Eqn. 9.

We include the antibody response (Eqn. 5) in the target cell limited model given by Eqs. 1-4. We assume that neutralizing antibody, A , binds virus, V , and neutralizes it with rate constant ρ . The model for infectious virus is altered to become Eqn. 9

$$\frac{dT}{dt} = -\beta TV \quad (6)$$

$$\frac{dI_1}{dt} = \beta TV - kI_1 \quad (7)$$

$$\frac{dI_2}{dt} = kI_1 - \delta I_2 \quad (8)$$

$$\frac{dV}{dt} = pI_2 - \gamma V - \beta TV - \rho A(t)V \quad (9)$$

We use this model to estimate ρ , the rate at which IgM neutralizes virus.

For the model with a humoral response, we estimated the parameters V_0 , ρ and t_i . We re-estimated V_0 in this model because a different experiment was analyzed. We also tested a variant of the model in which neutralizing antibody reduces the infectivity of WNV as $\beta/(1 + \omega A(t))$, where ω is the efficacy of antibody-mediated reduction in infectivity (in this case assuming $\rho = 0$). Antibody bound to virus in the plaque assay could also reduce viremia measured in PFU in a manner dependent on the concentration of antibody. Hence we also rescaled viremia measured in PFU as $V(t)/(1 + \omega A(t))$ where $V(t)$ represents infectious virions given by Eq. (9). These models produce fits to data that are indistinguishable from

the simpler model; therefore we analyze the simpler model (Eqs. 6-9). Lastly, because more than one infectious virion may correspond to a PFU we multiplied the βTV term in Eq. (9) by ν , where $\nu = 1$ implies 1 infectious virion is a PFU and $\nu < 1$ allows for the possibility that more than 1 infectious virion constitutes a PFU [35]. To determine if this is an important effect, we scanned through a set of fixed values for $1/\nu = 1, 2, 3, 5, 10, 100, 1000$. We found significantly fewer parameter sets consistent with the data when $\nu < 1$, and with $\nu < 0.1$ there were no such solutions found. Also, the quality of the fits did not improve, measured by the minimum sum of squared residuals, except when $1/\nu = 2$, in which case there was a 1% improvement. Given this minor effect at the cost of an additional parameter, we assumed $\nu = 1$ in the remainder of the work.

Constraints on model parameters

In order to use the models to identify feasible parameter values, we simulate the model dynamics with different parameters and compare the model predicted viremia to experimentally observed viremia. These comparisons are computationally expensive, so we reduced the parameter space that needs to be explored based on experimental data. In this section we summarize how we infer plausible ranges of parameter values from experimental studies.

1/k: The duration of the eclipse phase for neuronal cells infected in-vitro with WNV is 6 to 8 hours [44]. Experimental data in-vivo suggests that WNV completes one round of replication in dendritic cells within 12 hours in mice [45]. Hence the duration of the eclipse phase in-vivo is less than 12 hours in mice. We constrained the eclipse phase to be between 6 hours and 10 hours.

1/δ: We allow for a lengthy viral budding period in the model by letting $1/\delta$, the productively infected cell lifespan, vary from 1 hour to 3 days based on the lifespan of productively infected cells in other lytic viral infections, such as HIV [46] and influenza [31].

T_0 : Estimating initial target cell density (T_0) requires first identifying which cells are likely to be infected in the first days after inoculation. Subcutaneously inoculated WNV initially infects Langerhans cells [47] and keratinocytes [48] in skin. Langerhans cells then migrate to the draining lymph node and lead to infection of cells that have not been definitely identified but are thought to be macrophages and follicular dendritic cells [47]. A study silencing early viral replication in dendritic cells and macrophages showed suppression of WNV replication [49] (also personal communication Dr. Manjunath Swamy, Texas Tech University) suggesting that these two populations are likely important target cells for WNV.

We estimate T_0 by estimating the density of dendritic cells, Langerhans cells and keratinocytes, and then include additional variation reflecting uncertainty in these estimates and the density of other potentially infectable cell types. The density of Langerhans cells in human skin is approximately 1.4×10^3 per mm^2 [50]. The density of keratinocytes in human skin is higher: 7×10^4 per mm^2 , given 2×10^3 melanocytes per mm^2 of skin [50] and a 1:36 ratio of melanocytes to keratinocytes [50]. WNV is initially localized within a 1 cm^2 region of tissue surrounding the site of mosquito inoculation [36]. Thus, we estimate the number of infectable Langerhans cells as 1.4×10^5 and keratinocytes as 7×10^6 in skin.

Because WNV is measured as the number of PFU per milliliter of blood serum we reparameterize our model so that target cells (T) and infected cells (I_1 and I_2) correspond to the number of cells required to produce virus in one mL, as has previously been done in models of hepatitis C virus infection [51]. In order to convert these infectable numbers of cells into a density of target cells, we divide by the mouse blood volume (3 mL, based on blood volume of 95 mL/kg of body mass [52] and 30 gram mass of C57BL/6J mice [53]), giving a density on the order of $10^6/\text{mL}$. We note that this is likely a slight underestimate of density because the serum volume is less than the total blood volume. Given the uncertainty in these estimates we vary T_0 by approximately an order of magnitude above and two orders of magnitude below the estimated density ($2.3 \times 10^4/\text{mL}$ to $2.3 \times 10^7/\text{mL}$).

V_0 : Bounds on the initial density of inoculated virus (V_0) were set in the following manner. In the wildtype and knockout mice studies 100 PFU of WNV were inoculated into the mouse footpad. Assuming complete absorption into blood (3 mL in mice) gives an upper bound for V_0 of approximately 33.3 PFU/mL. For the lower bound, we assume 1% absorption into whole tissue (30 mL in mice) and obtain approximately 0.01 PFU/mL. To allow for other possible uncertainties, we allow V_0 to vary between 10^{-4} PFU/mL and 3.3×10^3 PFU/mL.

t_i : The time of initiation of the IgM response (t_i) was constrained using data from the antibody titer study [14]. The study measured antibody titers on alternate days post infection and the first measurable antibody titer occurred at 4 DPI [14]. Hence we constrained t_i to be between 2 to 4 days.

γ : We estimate γ , the rate of viral decay in Eqn. 4, using a simple model and data from the viral decay study [36]. Since virus requires some time to infect cells, produce progeny, and lead to the death of infected cells, immediately after intravenous inoculation virus titers decline because virus is not yet being produced but is still being cleared as described by (derived by setting $p = 0$ in Eq. 4)

$$\frac{dV}{dt} = -(\gamma + \bar{\beta} \bar{T}_0)V \quad (10)$$

where \bar{T}_0 is the density of infectible target cells in blood at the time of infection and $\bar{\beta}$ is the infection rate constant of WNV for such target cells. Thus immediately after infection we expect an exponential decline in viral titer with rate $\gamma + \bar{\beta} \bar{T}_0$. Viral titers in serum within the first 90 minutes following intravenous inoculation of mice with 10^5 PFU of WNV were reported in Fig. 7 of the viral decay study [36]. Using this data, we estimate $\gamma + \bar{\beta} \bar{T}_0 = 44.4/\text{day}$ (Fig. 3).

Because the target cells in the first minutes after infection are different than those that migrate to lymph nodes, we can not reliably estimate $\bar{\beta}$ or \bar{T}_0 in the footpad inoculation study. Thus we constrain γ to be less than 44.4/day (the half-life of free infectious virus ($t_{1/2} = \ln 2/\gamma$) is ≥ 22 minutes).

Since the viral decay study used intravenous inoculation and measured viral titer in blood until 90 minutes post infection, the infectible cell types are different from a study that uses footpad inoculation as the route of infection. It is also difficult to estimate what proportion of virus gets into organs and lymph nodes and the proportion of all infectible cells that are reached in the first 90 minutes after inoculation. Hence the target cell density for intravenous inoculation (\bar{T}_0) in the viral decay study is probably different from the target cell density for footpad inoculation in the wildtype and knockout mice (T_0). Since the rate constant of infection depends on the infectible cell types, the corresponding rate constants of infection (β and $\bar{\beta}$) are also probably different in the two settings. Since we are unaware of any data that would allow us to independently estimate $\bar{\beta} \bar{T}_0$, we fixed γ at various values between 44.4/day and 10/day and examined the sensitivity of our estimates of other model parameters to this choice.

η : In order to estimate the rate of induced IgM production (η), we fit Eqn. 5 to data from the antibody titre study [14] and found $\eta = 54.7/\text{day}$.

ρ : In order to estimate the efficacy of induced IgM-mediated virus neutralization, we set a minimum of 1 and explore up to 60 $\text{PRNT}_{50}^{-1} \text{ day}^{-1}$ (we also explored values upto 100 with no change in results).

β : In order to estimate the rate constant of infection, we set a minimum of 10^{-5} and explore up to $10^{-2} \text{ mL day}^{-1}$.

The variation in model predicted viremia from the points from step 1 of the computational approach (knockout mice: SSR less than 4 and wildtype mice: SSR less than 0.1) is shown in Fig. 5 (dotted lines). A visual representation of the model predicted virus trajectories for an SSR of 4 and 0.1 is also shown in

Fig. 5 (dotted lines).

Results

Estimating parameters from a target cell limited model

We set up a framework to sample parameter values within the biologically plausible parameter space to determine which combinations of parameters could feasibly have generated the observed viremia curves.

Our goal is to determine the plausible range of biological parameters rather than the single set of parameters that give the best fit. Hence we sample the parameter space uniformly at random from the intervals listed in Table 2. Each set of sampled parameters was given as input to a subroutine which we developed to identify sets of model parameters that produce viremia curves consistent with observed data. The final output of this computational procedure is an ensemble of model parameters. The computational approach is outlined in Fig. 1 and explained in greater detail in Supplementary Information.

The numerical solution of the target cell limited model (Eqs. 1-4) is plotted in Fig. 4 (black solid line) together with the data from the knockout mice experiment using one representative parameter set from our estimation algorithm. The corresponding target cell depletion is shown by the black dashed line. As one might expect, for an infection with 100 PFU, which is 100% lethal in these mice, target cell depletion is profound. However, in wildtype mice that mount a humoral immune response, the peak titer is significantly lower (red solid line) and the target cell depletion is minimal (red dashed line). The mean, standard deviation and ranges of model parameters determined from the estimation procedure are reported in Tables 1 and 2, and the histograms of the estimated model parameters are shown in Fig. 6.

From the feasible parameter values in Table 2 we calculated the possible values of the basic reproductive number, R_0 , the average number of second generation infections produced by a single infected cell in a population of susceptible cells. If R_0 is greater than 1, then an infection can be established, whereas an infection rapidly dies out if R_0 is less than 1. For the target cell limited model (Eqs. 1-4), R_0 is given by:

$$R_0 = \frac{p\beta T_0}{\delta(\gamma + \beta T_0)} \quad (11)$$

The average number of infectious virions produced over the lifetime of a productively infected cell is

p/δ and these infectious virions have an average lifetime given by $\frac{1}{(\gamma+\beta T_0)}$. The number of cells that these infectious virions can infect over their lifespan is derived by multiplying the previous quantities with the rate constant of infectivity (β) and the initial density of target cells (T_0).

Despite considerable uncertainty in imposed bounds on model parameters (e.g., the initial target cell density, T_0 , is allowed to vary by three orders of magnitude and γ is allowed to vary from 10/day to 44.43/day), we are able to estimate that the R_0 has a mean of 2.3 (95% of values in interval: 1.7 to 3, Table 2 and Fig. 7). The infectious virion burst size (p/δ) or the average number of infectious virions released over the lifespan of a productively infected cell was estimated to have a mean of 2.9 PFU (95% of values in interval: 1.7 to 4.7 PFU, Fig. 7). Since we estimated the ratio of WNV RNA copies to PFU to be approximately 500, this implies that on average an infected cell produces approximately 850 to 2350 WNV RNA copies over its productively infected lifetime. We also estimated the average number of cells infected by an infectious virion ($\beta T_0/(\gamma + \beta T_0)$) to be between 0.3 and 0.99 with higher values being observed more often in our simulations (Fig. 7).

Our computational procedure reveals correlations between parameters (Fig. 8) which indicate trade-offs between model parameters. For example, the rate constant for infection, β , has a statistically significant relationship with the initial density of inoculated virus, V_0 (Fig. 8, p-value < 0.001, $r^2 = 0.12$). Such tradeoffs preclude estimation of unique model parameter values and imply that there is a family of solutions. The estimated ranges of model parameters in conjunction with the correlation plots between model parameters define the family of solutions that are in agreement with data.

Estimating parameters from a model incorporating an adaptive immune response

Because the antibody response takes a few days to develop, we assumed the basic viral dynamic parameters were the same early in infection in wild type and knockout mice.

However, the model with an adaptive antibody response has two additional parameters that affect viremia after antibody response: ρ (efficacy of adaptive IgM neutralization, $\text{PRNT}_{50}^{-1}\text{day}^{-1}$) and t_i (the time of initiation of the adaptive IgM response, days post infection). We sample the parameters ρ and t_i uniformly at random from the intervals listed in Table 2. Each set of sampled parameters was given as input to a computational procedure outlined in Fig. 1 and explained in Supplementary Information. The output of this computational procedure is an ensemble of model parameters. The initial density of

inoculated virus, V_0 , was also re-estimated as this was an independent experiment.

The numerical solution of the adaptive antibody response model (Eqs. 6-9) is plotted in Fig. 4 (red solid line) together with the predicted target cell depletion (red dashed line) using one representative parameter set from our estimation algorithm. The variation in the model generated numerical solutions is shown in Fig. 5. The feasible range of t_i is from 3.1 to 3.9 days post infection (Table 2). The efficacy of IgM mediated virus neutralization (ρ) has tradeoffs with t_i . We found that any value of ρ above 2.1 $\text{PRNT}_{50}^{-1}\text{day}^{-1}$ and within the feasible range could have generated the observed viremia curves in wild type mice (Table 2).

Discussion

West Nile virus is a flavivirus that can cause viral encephalitis in humans. Other related flaviviruses such as dengue virus, Zika virus and hepatitis C virus are also human pathogens of public health relevance. While the transmission of WNV between hosts has been modeled [54–57], little attention has been paid to modeling the within host dynamics of WNV. Here we have modified a within-host model first developed for influenza A infection [31] in order to describe WNV infection in mice. Due to limited data, we developed a method to determine characteristics of within-host WNV infection even though the existing experimental data do not allow us to precisely estimate model parameters.

A humoral immune response contributes to the successful clearing of WNV infection [12]. However, a mathematical model incorporating a humoral response has more parameters than we can estimate reliably from the available data. A basic target cell limited model has fewer parameters but fails to capture the effects of a humoral response in limiting the infection. In order to reliably estimate parameters, we first analyzed data from the early stages of infection in immunocompromised IgM knockout mice, which allowed us to estimate key parameters in a target cell limited model related to pathogen replication. Then we applied a more sophisticated model incorporating a humoral immune response to analyze data from wildtype mice. By combining data from immunocompromised and wildtype mice with a set of mathematical models, we are able to estimate important features of within-host WNV infection, such as the basic reproductive number and the infectious virion burst size.

We used data from laboratory experiments to constrain model parameters to be within biologically realistic ranges. Then we designed a computational procedure to sample within those ranges to find

which combinations of parameter values could have feasibly generated the observed viremia curves in wild type and immunocompromised mice. The parameter estimates required solving differential equations millions of times. Such computationally hard problems have been solved using other approaches for other infectious diseases [58–60]. Work has also been done in looking at the ensemble of solutions that describe experimental data in intra-cellular regulatory networks [61] with the result that some parameters are intrinsically unidentifiable compared to others that can be estimated with greater precision [62].

Through our computational procedure we were able to reduce the uncertainty of some model parameters. We estimated the range of the productively infected cell lifespan ($1/\delta$) to be between 1 and 14 hours and the time of initiation of the IgM response to be between 3.1 and 3.9 days. In order to validate our prediction of $1/\delta$, we compared it to independent estimates using additional data from in-vitro experiments (described in detail in Supplementary Information). Briefly, analysis of in-vitro infection data in dendritic cells and keratinocytes produces estimates that are consistent with our estimates of productively infected cell lifespans: approximately 4 to 8 hours in dendritic cells in-vitro (Suppl. Fig. S1) and 4 to 6 hours in keratinocytes in-vitro (Suppl. Fig. S2). We note the caveat that the in-vitro estimates may depend on factors such as experimental conditions and the cells and media used, but nonetheless the in-vitro results give us some confidence in our predictions.

Most significantly, we found that important features of viral spread could be estimated with relatively tight bounds. We were able to make these predictions because the computational procedure reveals correlations between estimated model parameters (Fig. 8). Such correlations indicate tradeoffs among parameters that make it difficult to estimate individual parameters precisely, but certain ratios of parameters (which give meaningful characterizations of the virus infection) are more constrained. From the biological constraints on individual parameters, we initially estimated that the basic reproductive number, R_0 , could vary from 1 to 30, but fitting the model to data constrained R_0 to be between 1.7 to 3 (95% confidence interval). Similarly, we initially assumed that the infectious virion burst size could vary from 1 to 30 PFU, but with fitting found the variation is 1.7 to 4.7 PFU (95% confidence interval) (Fig. 7).

Our approach could be applicable to other emerging diseases that have similar uncertainty in model parameters, pathogens where similar wildtype and knockout experimental data is available or pathogens for which there is scant experimental data. Similar analyses can also be done for other disease models of host dynamics and natural infections by progressively building more complex models on simpler ones.

Given the potentially enormous complexity of disease dynamics, parameter estimation is difficult. Parameters estimated from simpler models provide the scaffolding for more complex models that may be able to capture more biological realism. Even when there are correlations among model parameters, such models can reveal constraints on biologically relevant parameters such as the basic reproductive number and infectious virion burst size.

Bayesian methods could also be valuable: such methods can elegantly incorporate information like phylogeny or life history as biologically motivated priors [63]. Alternatively parameter estimates from a previous set of experimental data can be used as priors for a Bayesian model, which would be useful in incorporating both uncertainty in parameters and data. With suitable choice of priors, Bayesian methods may also help in parameter identifiability as has been shown previously in models of HIV [64].

The humoral immune response has a critical role in conferring protection in WNV infected hosts [12]. Our model predicted that the effect of the humoral immune response is to protect target cells from infection and death, as only 10% of target cells remain in knockout mice versus 90% in wildtype mice (Fig. 4). Indeed there is 100% mortality in knockout mice highlighting the importance of the humoral immune response [12]. The humoral response also reduces the peak viral titer in blood as well as the time taken to reach peak viremia (Fig. 4). Viral titre above a certain level is an important epidemiological determinant for the spread of WNV [65], which is mosquito borne. Above a threshold of viremia of 10^5 PFU/mL in blood, a host is capable of infecting an uninfected mosquito, which in turn can infect other uninfected hosts and maintain WNV spread [65]. Hence hosts that can sustain viremia above this threshold and for a longer duration are pathogen reservoirs. As evidenced in Fig. 4, in the presence of an induced IgM response, viral titres above a threshold and the time to reach that peak viral titres above a certain level are both reduced compared with the kinetics seen in the IgM deficient mice. The models presented here are an important step towards a quantitative understanding of the role of the humoral immune response in reducing host infectivity and the epidemic spread of WNV.

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Author Contributions

SB performed the experiments. SB JG RR MM and ASP analysed the data. SB RR ASP and MM wrote the manuscript. All authors approved the final manuscript.

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Figure Legends

Figure 1. Outline of the computational approach. The model parameters are T_0 (initial target cell density), k (rate of transition from latently infected to productively infected cells), γ (WNV clearance rate), V_0 (inoculated virus density), β (rate constant of infection), δ (death rate of productively infected cells), p (infectious virus production rate), ρ (efficacy of antibody neutralization) and t_i (time of initiation of the IgM response).

Figure 2. Fit of the function $A(t)$ given by Eq. 5 to the neutralizing antibody data (circles) from the antibody titer study [14].

Figure 3. Equation 10 fit to data from ref. [36] (viral decay study) where viral titers in serum were measured within the first 90 minutes following intravenous inoculation of mice with WNV. Circles, experimental data points from [36]. Solid line, best fit to log-transformed data using linear least-squares regression. $r^2 = 0.85$ and p-value = 2×10^{-5} .

Figure 4. The numerical solutions of the models (virus titre) together with data from the wildtype and knockout study [12]. The solid black line is the numerical solution of the virus titre from the target cell limited model (Eqs. 1 - 4) using one representative parameter set from our estimation algorithm and in black squares is the data from knockout mice. The black dashed line is the predicted corresponding target cell dynamics (fraction of target cells remaining). The red solid line is the numerical solution of the virus titre from the model including a humoral response (Eqs. 6-9) and in red circles is the data for wild type mice. The red dashed line is the corresponding predicted target cell dynamics. The limit of detection (LOD) of the assay is indicated by the horizontal dashed line. The day 6 data point for wildtype mice was below the LOD and set to half the LOD [66]. The parameters used to generate the plot are: $T_0 = 2.3e5/\text{mL}$, $V_0 = 4.3487 \text{ PFU/mL}$, $\beta = 4e-4 \text{ mL day}^{-1}$, $\delta = 23.0341/\text{day}$, $p = 57.88 \text{ PFU/day}$, $\gamma = 44.43/\text{day}$, $V_0 \text{ for WT} = 4 \text{ PFU/mL}$, $\rho = 18 \text{ PRNT}_{50}^{-1}\text{day}^{-1}$, $t_i = 3.5 \text{ days}$

Figure 5. The numerical solutions of the models (virus titre) and variation in model fits. The variation (dotted lines) is from the points from step 1 of the computational approach (knockout mice: SSR less than 4 and wildtype mice: SSR less than 0.1). A visual representation of the model predicted virus trajectory with SSR of 4 and 0.1 is also shown (solid lines).

Figure 6. Histograms of estimated model parameters (V_0 , β , p , δ , ρ , t_i) from the target cell limited model and model with humoral response.

Figure 7. Histograms of biologically relevant quantities estimated by our approach. Top left: Infectious virion burst size (in PFU). Top right: Average number of cells infected per infectious virion. Bottom: Basic reproductive number (R_0).

Figure 8. Correlation between target cell limited model parameters (T_0 , V_0 , β , p , δ).

Figure S1. Target cell limited model (Eqs. 1-4) fit to data on in-vitro infection of immature dendritic cells (data from [67]).

Figure S2. Target cell limited model (Eqs. 1-4) fit to data on in-vitro infection of keratinocytes (data from [48]).

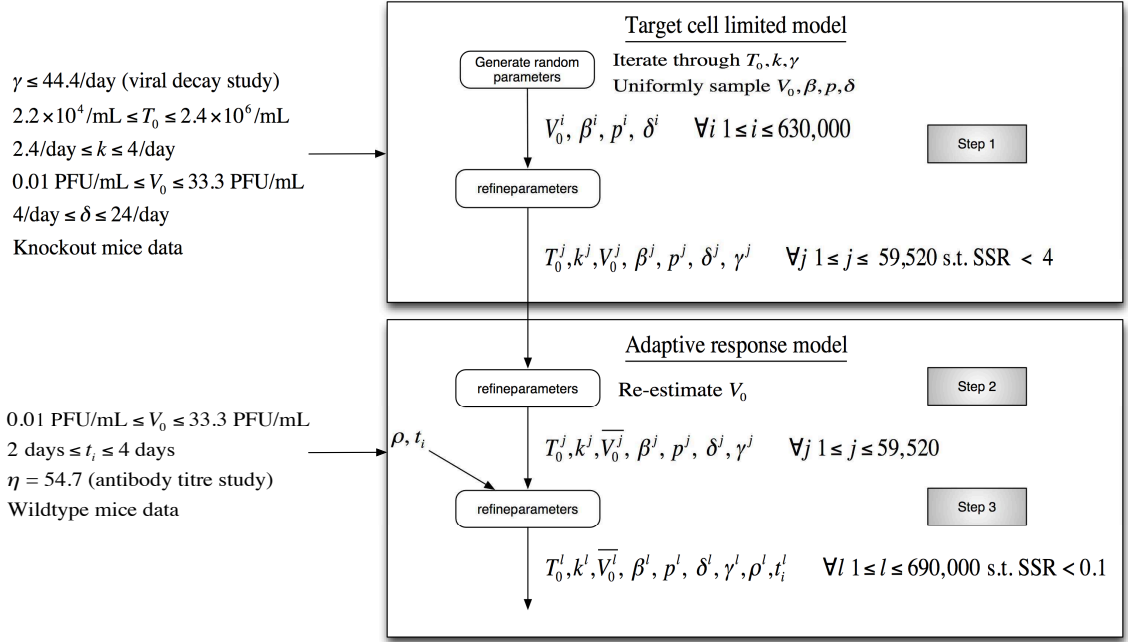


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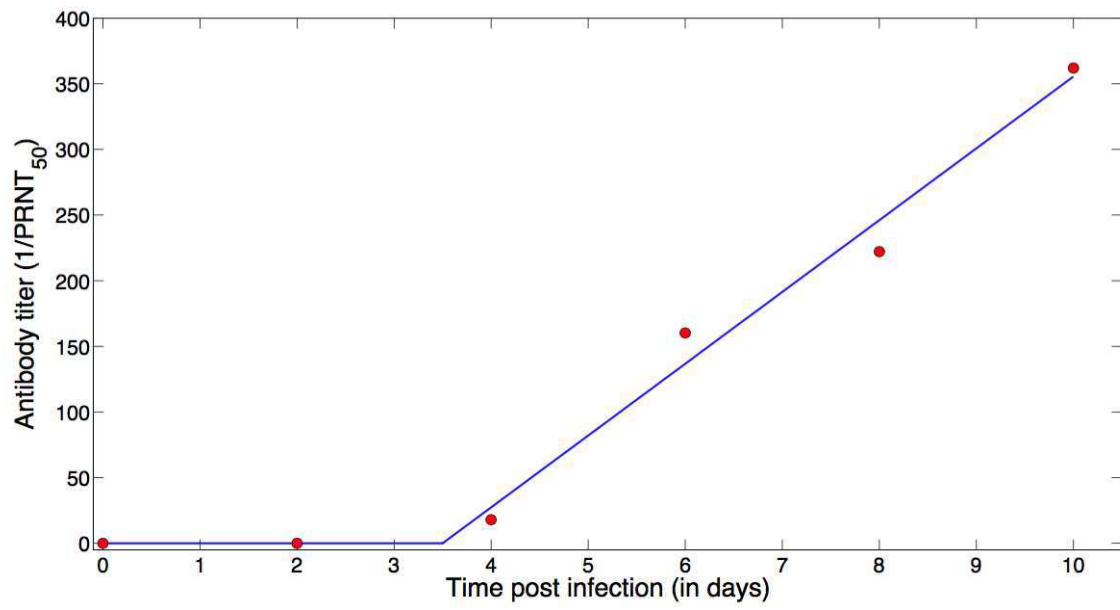


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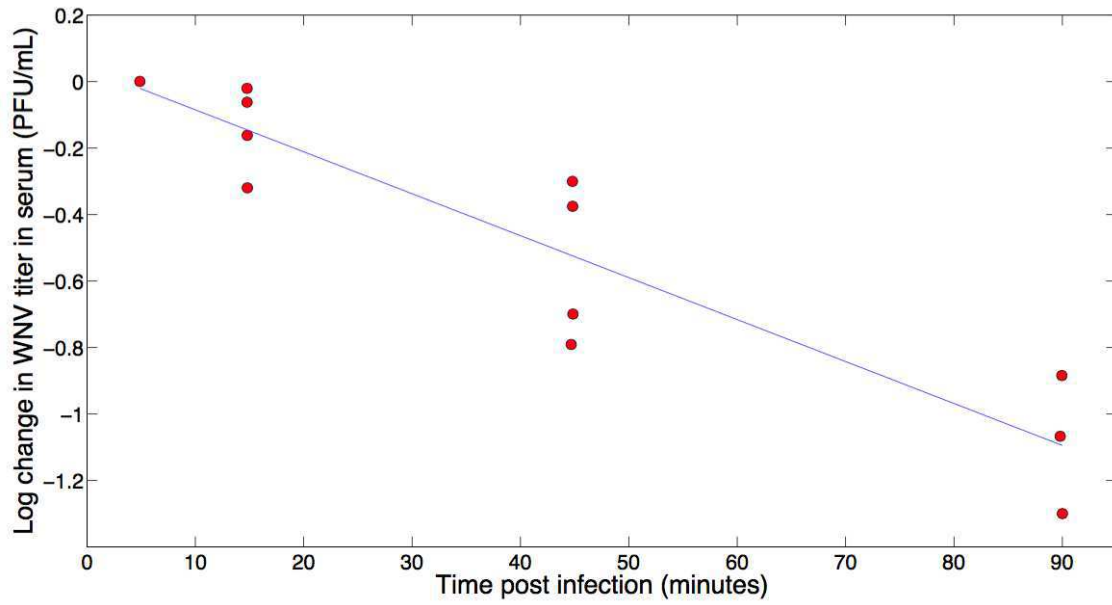


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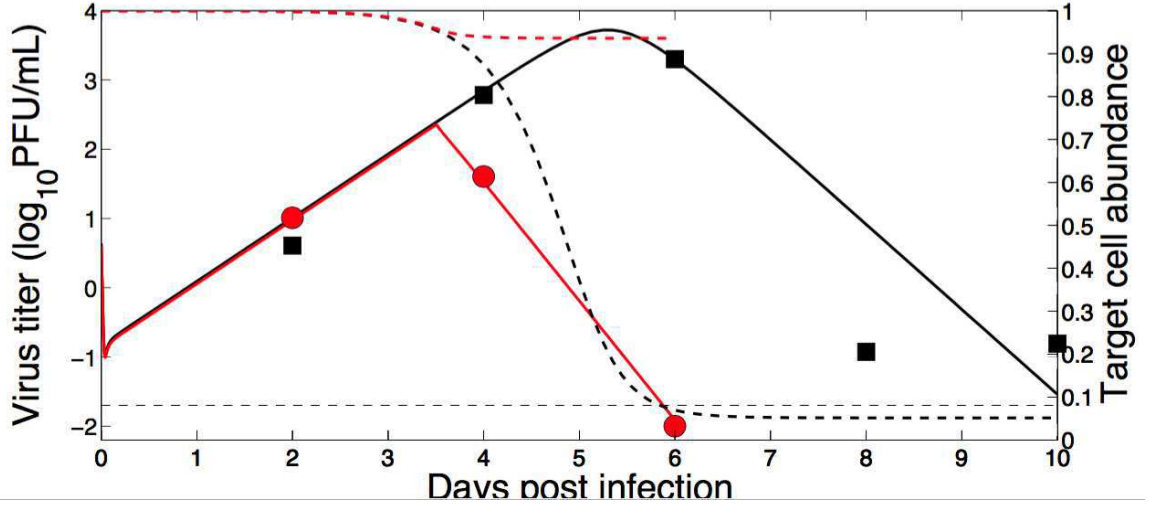


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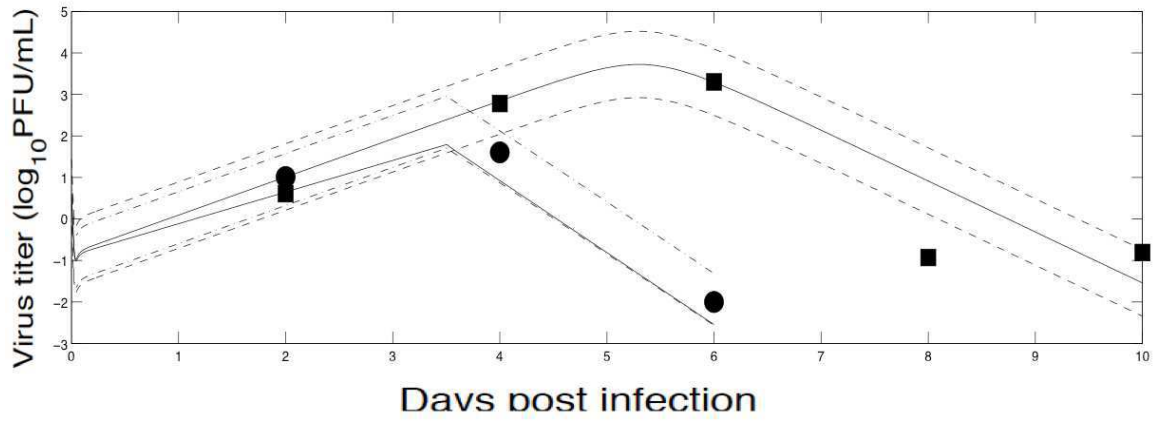


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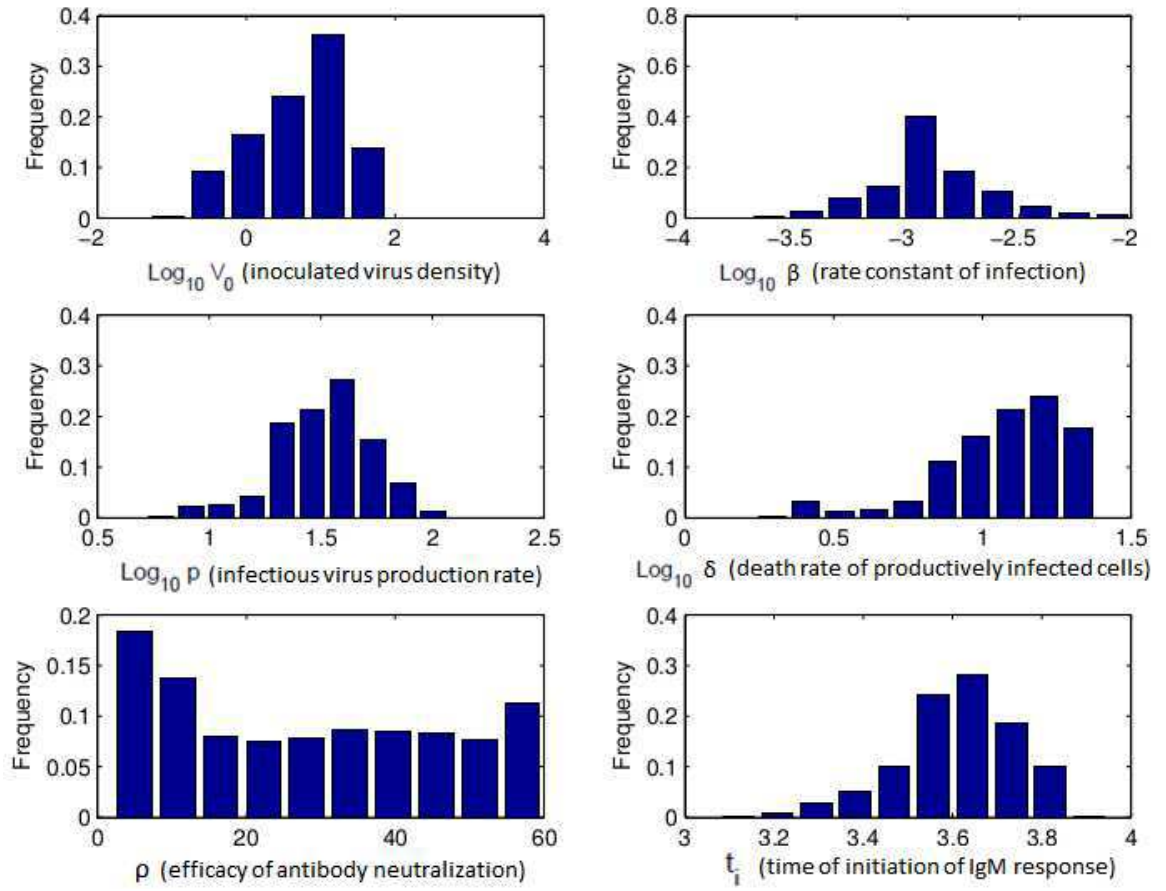


Figure 6. Histograms of estimated model parameters (V_0 , β , p , δ , ρ , t_i) from the target cell limited model and model with humoral response.

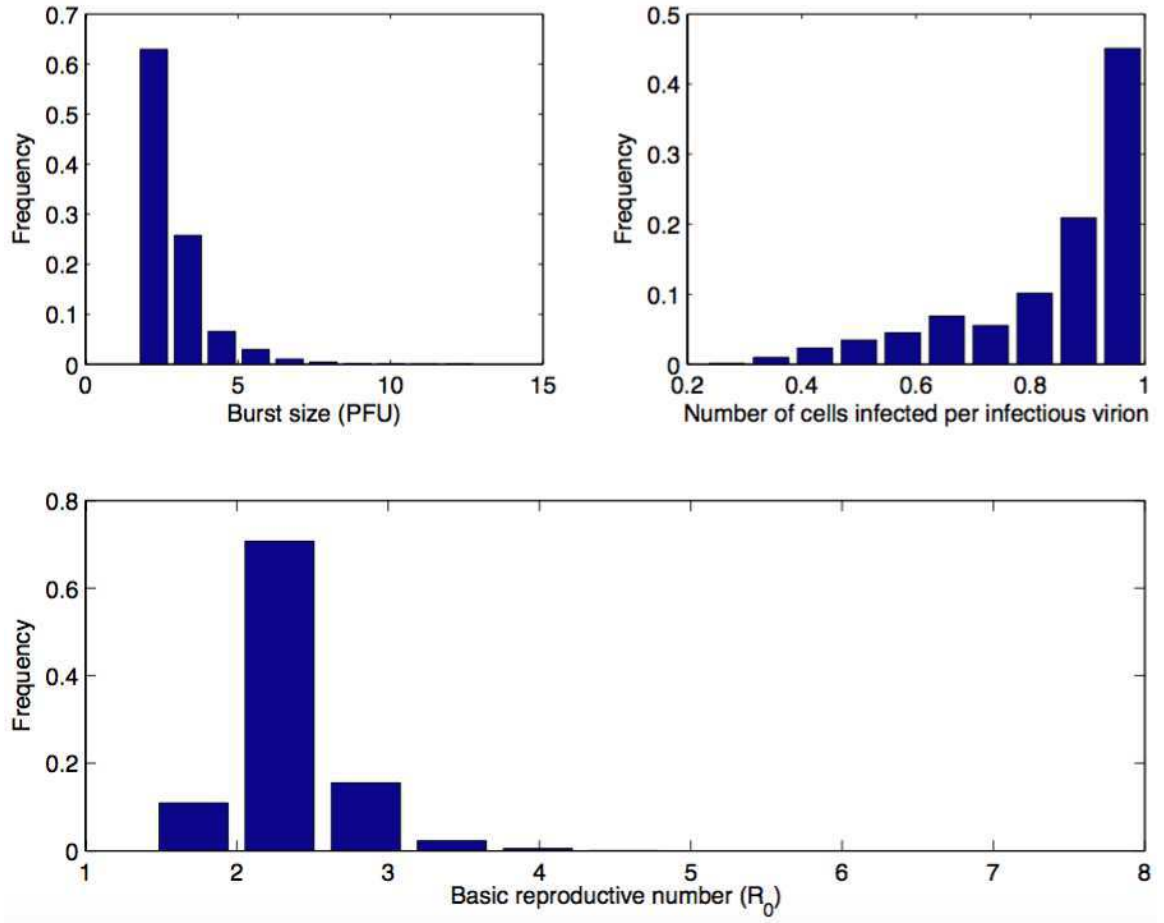


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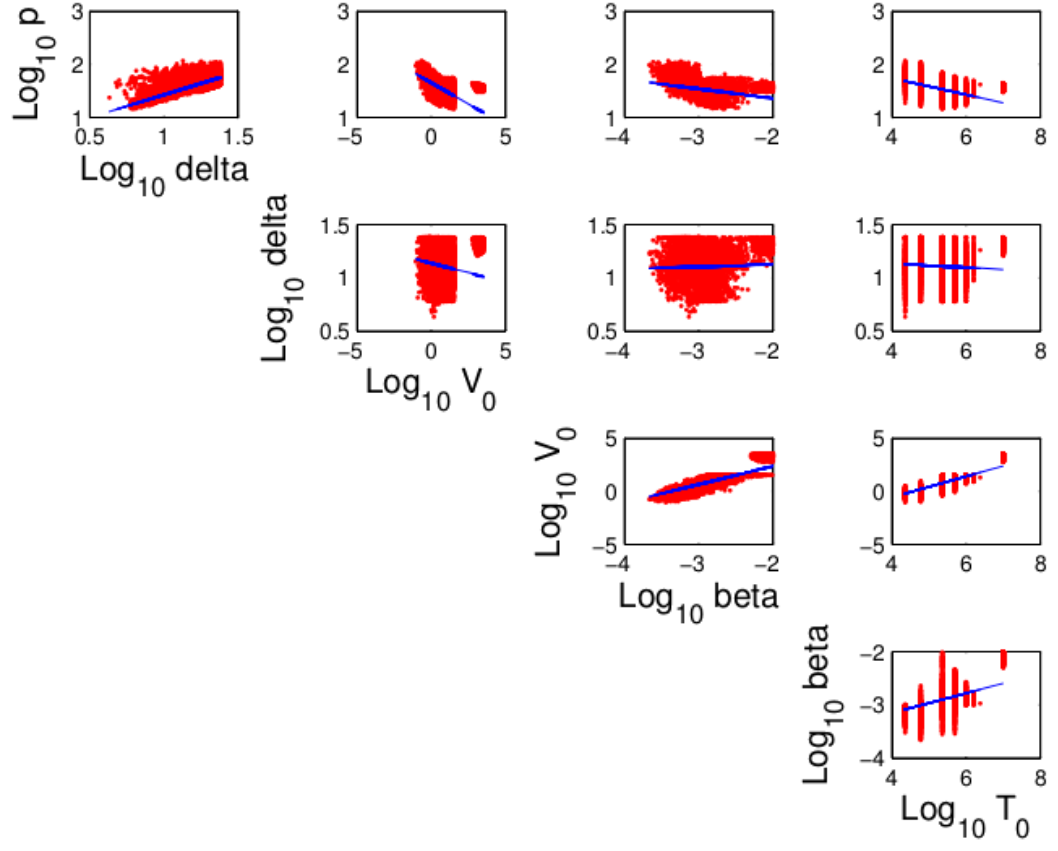


Figure 8. Correlation between target cell limited model parameters (T_0 , V_0 , β , p , δ).

Tables

Table 1. Input parameters to model

Parameters	Description	Estimated Ranges	Source
γ	WNV clearance rate	$\leq 44.4/\text{day}$ (95% of values in interval 29.5 - 54) also varied with values 10/day, 20/day and 44.4/day	Fit to viral decay study [36]
$t_{1/2}$ ($= \ln 2/\gamma$)	Half-life of free virus	≥ 22.5 minutes (95% of values in interval 18.5 - 33.8)	Calculated
η	Rate of IgM production	54.7/day	Fit to antibody titer study [14]
T_0	Initial target cell density	$2.2 \times 10^4 - 2.4 \times 10^7/\text{mL}$	Estimated from [68]
k	Rate of transition from I_1 to I_2	2.4 - 4/day	Estimated from [44, 45]

Table 2. Imposed biological constraints and estimated output ranges, mean and standard deviation of model parameters

Statistic	V_0	β	δ	p	ρ	t_i	R_0	p/δ
Imposed bound	$[10^{-4}, 3.3 \times 10^3]$	$[10^{-5}, 10^{-2}]$	$[0.3, 24]$	$[0.3, 120]$	$[1, 60]$	$[2, 4]$	$[1, 30]$	$[1, 30]$
Range	$[0.01, 3.3 \times 10^3]$	$[2 \times 10^{-4}, 9 \times 10^{-3}]$	$[1.7, 24]$	$[5.2, 118.2]$	$[2.1, 60]$	$[3.1, 3.9]$	$[1.4, 7.1]$	$[1.7, 12.8]$
Mean \pm SD	5 ± 4	$1.3 \times 10^{-3} \pm 1.8$	11.8 ± 1.7	32.8 ± 1.6	28.2 ± 18.3	3.6 ± 0.1	2.3 ± 0.3	2.9 ± 1

V_0 - inoculated virus density (PFU/mL), β - rate constant of infection [mLday^{-1}], δ - death rate of productively infected cells (day^{-1}), p - infectious virus production rate (day^{-1}), ρ - efficacy of antibody neutralization ($\text{PRNT}_{50}^{-1}\text{day}^{-1}$), t_i - time of initiation of the IgM response (days post infection), R_0 - basic reproductive number (Eq. 11), p/δ - infectious burst size (average number of infectious virus particles produced over the lifetime of an infected cell, PFU)

Estimating Biologically Relevant Parameters under Uncertainty for Within-Host West Nile Virus Infection

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Supplementary Information

Parameter Sampling

We set up a framework to sample parameter values within the biologically plausible parameter space to determine which combinations of parameters could feasibly have generated the observed viremia curves.

Our goal is to determine the plausible range of biological parameters rather than the single set of parameters that give the best fit. Hence we sample the parameter space uniformly at random from the intervals listed in Table 2. Each set of sampled parameters was given as input to a subroutine *refineparameters* implemented in Matlab [1], which we developed to identify sets of model parameters that produce viremia curves consistent with observed data. *refineparameters* repeatedly calls the Matlab local optimization routine *lsqcurvefit* on each parameter set to compare curves generated by those parameters to observed viremia curves. The final output of this computational procedure is an ensemble of model parameters. The computational approach is outlined in Fig. 1. The steps of the method are:

Step 1: Identify values of V_0 , β , p and δ that are consistent with the target cell limited model (Eqn. 1-4) and viremia curves from immunocompromised mice. We iterated through specific values of T_0 , k and γ within the biological bounds identified above (see Constraints on Model Parameters) in order to determine how those parameters affect the parameters we are attempting to predict. We chose 7 values of T_0 between $2.2 \times 10^4/\text{mL}$ and $2.4 \times 10^7/\text{mL}$, 3 values of the duration of the eclipse phase ($1/k$) from 6 to 10 hours, and 3 values of the viral clearance rate (γ) between 10/day and

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44.4/day (Table 1).

For each of these 63 combinations, we sampled the parameters (V_0, β, p, δ) uniformly at random within their plausible ranges (Table 2). We did so by dividing the range of these 4 parameters into 10 intervals on the logarithmic scale, and randomly sampled 10 values within those intervals. Each parameter value was then combined with all combinations of the remaining parameters to generate 10^4 samples for the parameters (V_0, β, p, δ) for each value of T_0 , k and γ , giving a total of $7 \times 3 \times 3 \times 10^4 = 630,000$ samples. *refineparameters* identified parameter sets (V_0, β, p, δ) that produce viremia curves consistent with observed data. We retained all solutions that yielded a sum of squared residual less than a threshold $(4[\log_{10}\text{PFU/mL}]^2)$ that was chosen to give visually good fits. This yielded 59,520 sets of values of (V_0, β, p, δ) that lead to viral kinetics consistent with observed viremia data in IgM knockout mice. These values were input to Step 2.

Step 2: Re-estimate V_0 for the immune response model. For each set of model parameter estimates (V_0, β, p, δ) from the target cell limited model in Step 1, the parameter V_0 was estimated again to accommodate the difference in day 2 viremia for wildtype and knockout viremia curves. The subroutine *refineparameters* was given the list of 59,520 solutions (V_0, β, p, δ) from Step 1. For each combination of (β, p, δ) , *refineparameters* estimated the value of $(\overline{V_0})$ that best fit the first 4 days of the viremia data for wild type mice. The output from this step is a new set of 59,520 parameter solutions $(\overline{V_0}, \beta, p, \delta)$ in which only $\overline{V_0}$ is re-estimated. These solutions are the input to Step 3.

Step 3: Estimate immune response parameters ρ and t_i . Given the estimates from Step 2, the immune response parameters (ρ, t_i) were estimated by a new call to *refineparameters*.

A search grid was created by sampling 25 values of ρ between 1 and $60 \text{ PRNT}_{50}^{-1} \text{ day}^{-1}$ and 9 values of t_i between 2 and 4 days. From these $25 \times 9 \times 59,520 = 13,392,000$ solutions, we retained all solutions that yielded a sum of squared residual less than a threshold $(0.1[\log_{10}\text{PFU/mL}]^2)$ that was chosen to give visually good fits. This threshold is lower than the one for the target cell limited model in Step 1 since there are fewer data points available for the wildtype study. The output of this step is a set of combinations of $(V_0, \beta, p, \delta, \rho, t_i)$ that fit the wild type viremia curve within the threshold. We considered this set of solutions as the feasible parameter values that could have generated the viremia curves for the knockout and wildtype mice (Tables 1 and 2).

Analysis of data on in-vitro infection of dendritic cells

We analyzed data from experiments where immature dendritic cells were infected in-vitro with WNV NY99 (passage 7) [2]. The studies were conducted with an MOI of 5. The supernatant was removed at fixed time intervals and virus titers were determined by plaque assay on Vero E6 cells. We fit a target-cell limited model (Eqs. 1 - 4) to this data (Fig. 1). We reduce the viral concentration by a factor every time the media is changed (the rate of virus clearance, γ , was set to 0). We tried different factors and found a decrease of 10^5 in virus concentration gave the best fit. We varied the duration of eclipse phase ($1/k$) from 3 hours to 12 hours and estimated V_0, β, p, δ . We estimated the productively infected cell lifespan ($1/\delta$) for immature dendritic cells in-vitro to be between 4 and 8 hours.

Analysis of data on in-vitro infection of keratinocytes

We analyzed data from primary human keratinocytes infected in-vitro with an infectious cDNA clone of WNV [3]. At various time points post-infection, the medium was harvested and virus was quantified by plaque assay in Vero cells. The experiment we analyze was conducted with a multiplicity of infection (MOI) of 10. We fit a target cell limited model (Eqs. 1 - 4) to this data (Fig. 2). The rate of virus clearance, γ , was set to 0 since the medium was not changed for the duration of the experiment. We then estimated V_0, β, p, δ while varying the duration of eclipse phase ($1/k$) from 3 hours to 12 hours. We estimated the productively infected cell lifespan ($1/\delta$) for keratinocytes in-vitro to be between 4 to 6 hours.

Summary of analysis of data from in-vitro infection experiments and in-vivo replicon experiments

In order to get independent estimates of productively infected cell lifespans ($1/\delta$) and compare them to our estimates in mice, we analyzed data from different sources. Analysis of in-vitro infection data suggests that productively infected lifespan of dendritic cells in-vitro is between approximately 4 and 8 hours (Fig. 1). Analysis of in-vitro infection data in keratinocytes produce estimates of productively infected cell lifespans between 4 to 6 hours (Fig. 2). This compares favorably and is consistent with our estimated range for productively infected cell lifespans of 1 to 14 hours in mice (Table 2).

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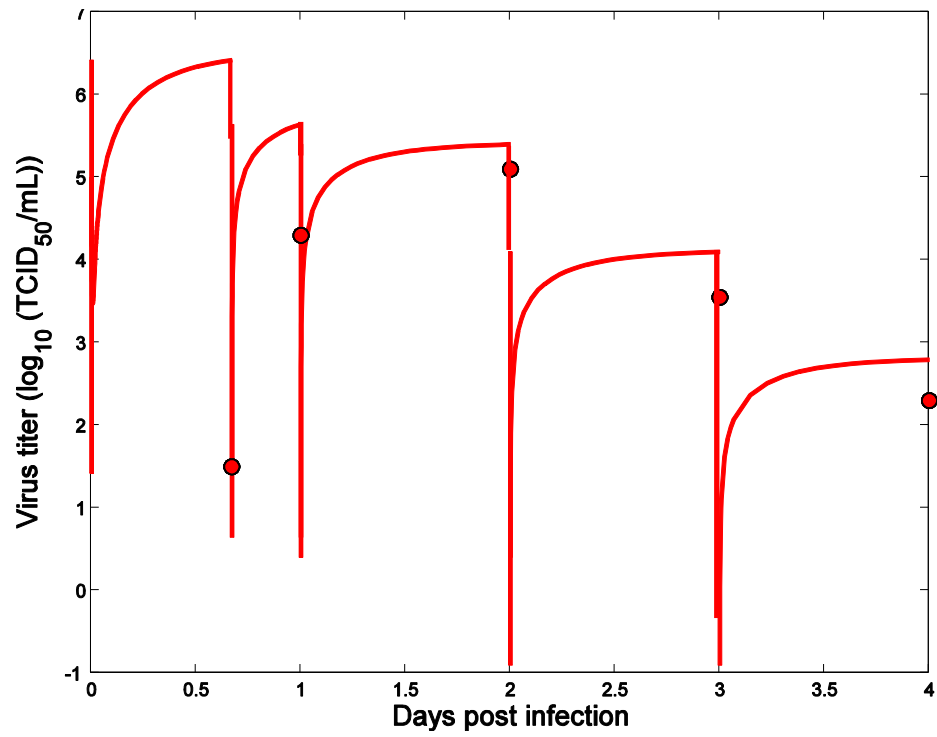


Figure 1. Fig. S1. Target cell limited model (Eqs. 1-4) fit to data on in-vitro infection of immature dendritic cells (data from [2]).

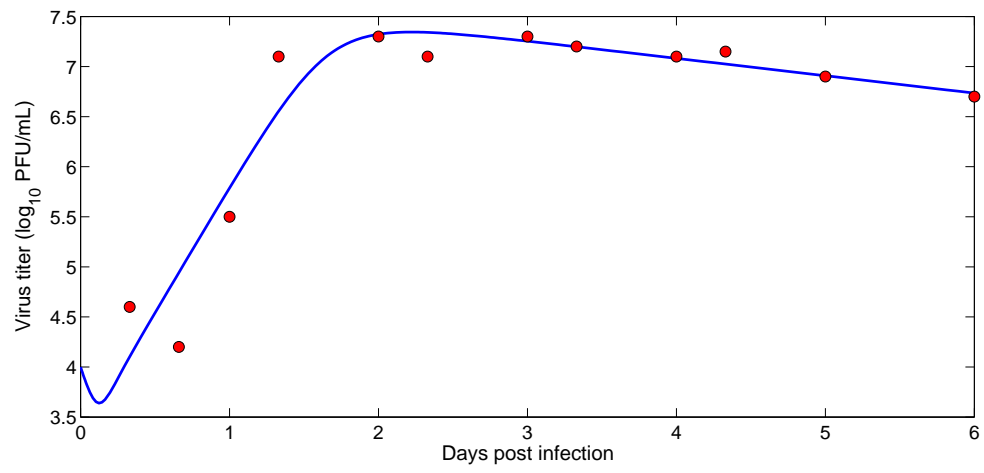


Figure 2. Fig. S2. Target cell limited model (Eqs. 1-4) fit to data on in-vitro infection of keratinocytes (data from [3]).