

# BMJ Open Costs and quality of life associated with acute upper gastrointestinal bleeding in the UK: cohort analysis of patients in a cluster randomised trial

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## ABSTRACT

**Objectives:** Data on costs associated with acute upper gastrointestinal bleeding (AUGIB) are scarce. We provide estimates of UK healthcare costs, indirect costs and health-related quality of life (HRQoL) for patients presenting to hospital with AUGIB.

**Setting:** Six UK university hospitals with >20 AUGIB admissions per month, >400 adult beds, 24 h endoscopy, and on-site access to intensive care and surgery.

**Participants:** 936 patients aged ≥18 years, admitted with AUGIB, and enrolled between August 2012 and March 2013 in the TRIGGER trial of AUGIB comparing restrictive versus liberal red blood cell (RBC) transfusion thresholds.

**Primary and secondary outcome measures:** Healthcare resource use during hospitalisation and postdischarge up to 28 days, unpaid informal care, time away from paid employment and HRQoL using the EuroQol EQ-5D at 28 days were measured prospectively. National unit costs were used to value resource use. Initial in-hospital treatment costs were upscaled to a UK level.

**Results:** Mean initial in-hospital costs were £2458 (SE=£216) per patient. Inpatient bed days, endoscopy and RBC transfusions were key cost drivers. Postdischarge healthcare costs were £391 (£44) per patient. One-third of patients received unpaid informal care and the quarter in paid employment required time away from work. Mean HRQoL for survivors was 0.74. Annual initial in-hospital treatment cost for all AUGIB cases in the UK was estimated to be £155.5 million, with exploratory analyses of the incremental costs of treating hospitalised patients developing AUGIB generating figures of between £143 million and £168 million.

**Conclusions:** AUGIB is a large burden for UK hospitals with inpatient stay, endoscopy and RBC transfusions as the main cost drivers. It is anticipated that this work will enable quantification of the impact of cost reduction strategies in AUGIB and will inform economic analyses of novel or existing interventions for AUGIB.

## Strengths and limitations of this study

- Acute upper gastrointestinal bleeding (AUGIB) is a common medical emergency often requiring intensive investigation and treatment, yet little is known about associated healthcare costs. This paper reports the largest prospective micro-costing study to date of patients presenting to hospital with a first AUGIB, and provides estimates of patient-level healthcare costs and health-related quality of life (HRQoL). Additionally, the indirect cost impact of AUGIB beyond the healthcare sector is explored for the first time.
- The average cost of initial in-hospital treatment is estimated to be £2458 (SE £216) per patient. Applying these cost estimates to national incidence figures for all AUGIB cases (those presenting to hospital (84%) and those already in hospital (16%)) suggests the annual cost of initial in-hospital treatment in the UK could be £155.5 million. Uncertainty around the treatment costs for already hospitalised patients developing AUGIB is explored using sensitivity analysis.
- By day 28 post-AUGIB, one-third of patients had received an average of 9 days of unpaid care and one-quarter who were in paid employment had lost an average of 11.6 working days. These findings should be interpreted with caution as data were missing for over 40% of the surviving study patients who appeared to have fewer comorbidities than patients who responded to the questions. HRQoL was impaired at day 28 post-AUGIB discharge.
- It is anticipated that by providing information on the key cost drivers associated with AUGIB, this work will enable healthcare providers to identify avenues for cost reduction in AUGIB as well as provide a point of reference for cost-effectiveness research.

**Trial registration number:** ISRCTN85757829 and NCT02105532.

## INTRODUCTION

Acute upper gastrointestinal bleeding (AUGIB) is a common medical emergency, and a major cause of morbidity and mortality in western populations. Its incidence is estimated to be between 100 and 200 cases per 100 000 resulting in over 50 000 admissions annually to UK hospitals.<sup>1</sup> During hospitalisation, AUGIB frequently results in intensive medical investigation and treatments including laboratory tests, transfusion, and diagnostic and therapeutic strategies to identify and control bleeding such as endoscopy, radiological embolisation and sometimes surgery. Thus, it is likely to impose a substantial burden on healthcare resources although, to date, this has been poorly characterised as outlined in a systematic review.<sup>2</sup> Additionally, little is known about the indirect cost impact of AUGIB beyond the healthcare sector or health-related quality of life (HRQoL) experienced by patients following the event.

This paper reports the largest prospective micro-costing study of patients with AUGIB to date. For the first time, costs both within and beyond the health and social care sector are considered, along with data on patient HRQoL. As part of a randomised trial of red blood cell (RBC) transfusion strategies for AUGIB, we conducted a nested, prospective evaluation of health and social care resource use and costs, unpaid informal care provided to patients by relatives and/or friends, time away from paid employment (productivity losses), and patient HRQoL with the following objectives:

1. To generate an inventory and costing of in-hospital resources utilised by patients presenting to hospital with AUGIB;
2. To explore the range of costs (healthcare, social care, informal care and employers) incurred postdischarge up to 28 days; and
3. To determine levels of HRQoL at day 28 and determinants of these levels.

## METHODS

TRIGGER (transfusion in gastrointestinal bleeding; ISRCTN 85757829 and ClinicalTrials.gov NCT02105532) was a multicentre, pragmatic, cluster randomised trial of restrictive versus liberal RBC transfusion strategies for AUGIB. The trial protocol has previously been published.<sup>3 4</sup> In brief, the aim of the trial was to evaluate the feasibility and safety of implementing a restrictive versus liberal RBC transfusion policy for adult patients admitted to hospital with AUGIB. Six hospitals in the UK took part (in Edinburgh, Glasgow, Leeds, Middlesbrough, Nottingham and Oxford) and recruited 936 patients between August 2012 and March 2013.<sup>5</sup> Three hospitals were randomised to the liberal transfusion policy (n=533 patients) and three to the restrictive policy (n=403 patients). The time horizon for the trial was 28 days and the transfusion policy at each institution was maintained for the duration of a patient's index hospital admission up to a maximum of 28 days. As TRIGGER was a

feasibility trial intended to inform the design of a subsequent definitive trial incorporating a full cost-effectiveness analysis, a formal comparison of resource use and costs between trial arms was not planned.

### In-hospital resource use data collection and costs

Case record forms were designed to capture in-hospital resource use during the index admission for each trial patient and were completed by research staff at each hospital site using patient case notes, drug charts and electronic hospital records. Resource use data recorded included all laboratory tests, medications, intravenous fluids in the first 24 h and all blood component transfusions. Data were also collected on the number of endoscopies performed (diagnostic and therapeutic), use of surgery or radiological intervention to control bleeding and all adverse events. Full definitions of all trial end points are provided in the protocol.<sup>3 4</sup> To map each patient's journey between clinical areas in the hospital, research staff also recorded admission and discharge dates and times for relevant clinical areas including the emergency department (ED), medical admissions unit, the intensive treatment unit, high dependency unit, endoscopy suite and general medical/surgical wards.

Unit costs (UK £2012/13) for each in-hospital resource use component were obtained from several national sources including the National Health Service (NHS) Reference Costs, NHS Blood and Transplant Price List, NHS Electronic Market Information Tool and the Personal and Social Services Research Unit (PSSRU).<sup>6-9</sup> Unit costs used and their sources are shown in online supplementary appendix table A1. For each patient, the amount of each type of resource consumed or event occurring was multiplied by its associated unit cost to estimate the total cost of that resource or event.

Blood products were costed to include the cost to the hospital transfusion laboratory of issuing each component. Nursing time associated with administering components was already included in the bed day unit costs used to cost inpatient length of stay and so was not costed separately. NHS Reference Costs provided a unit cost for each type of endoscopy performed and the common adverse events reported, but already included the cost of the hospital stay. Bed days were costed separately in this study and so the proportion of each unit cost that was attributable to bed days was estimated and removed in order to avoid double counting. Details of how all individual resource use items were costed are provided in the online web appendix.

### Postdischarge resource use and costs

At day 28, patients who were discharged from hospital and still alive were interviewed by telephone about health and social care contacts since discharge. Contacts included readmissions to hospital (acute and community hospitals), admissions to nursing homes/residential care, contacts with the hospital ED, outpatient clinics, day hospitals, general practitioners (GPs), nurses and

any other health or social care provider. The full range of unit costs used to value these contacts is shown in online supplementary appendix table A1. Patients were also asked whether they had received unpaid informal care from family and/or friends since leaving hospital. Shadow pricing was used to cost this time by multiplying the number of hours of care provided by an informal care cost per hour which was estimated by dividing gross national average weekly wage rates (averaged across males and females—see online supplementary appendix table A1) by national average weekly working hours.<sup>10</sup> This approach assumes that the value of the time given up by individuals to provide unpaid informal care (or the opportunity cost of this time) is adequately reflected by the working wage.

In addition, information was sought on employment status and hours worked prior to the index hospital admission, whether patients had subsequently returned to work, and if so, how many hours they were currently working. For each patient who had not been able to return to work, weekly working hours lost during the 28-day trial period were calculated. This time was costed using gross national average weekly wage rates. For patients returning to work (including at reduced hours), working hours lost were also calculated and costed.

### Health-related quality of life

At day 28 patients completed the three-level EuroQol EQ-5D questionnaire which measures generic HRQoL and contains questions on five dimensions: mobility, self-care, usual activities, pain and discomfort, and anxiety and depression.<sup>11</sup> Responses to the EQ-5D were converted into a single index score on a scale where 0 represents dead and 1 represents full health using a tariff estimated by using data from the UK general population.<sup>12 13</sup>

### Statistical analysis

The proportion of patients with missing data was reported for each resource use variable and for the EuroQol EQ-5D questionnaire. Missing data were assumed to be missing at random and multiple imputation (MI) with chained regression equations was used to impute missing health and social care resource use values and EQ-5D HRQoL scores.<sup>14</sup> Individual regressions were specified for each variable with missing data and five values were imputed for each missing data cell. Rubin's Rule was used when summarising data across the five data sets created using MI.<sup>15</sup>

Owing to the cluster randomisation, multilevel linear regression modelling was used to adjust health and social care resource use, costs and HRQoL estimates for variability between centres (specifically, a random intercept for centre was used).<sup>16 17</sup> Rather than averaging across all patients as though they were drawn from a single population, multilevel modelling acknowledges the hierarchical nature of the data, that is, that patients are recruited from different centres and have the

potential to be more similar to other patients from the same centre than to those between centres. Continuous resource use variables and costs were expressed as means, and SEs and categorical variables as percentages.

### National costs

Using UK population figures and reported data on the incidence of AUGIB, an estimate was made of the annual total number of cases of AUGIB in the UK by age bands.<sup>18 19</sup> Multiplying these figures by the (age-specific) mean estimates of initial in-hospital costs per patient estimated by this study allowed calculations to be made of the potential initial hospital treatment costs of AUGIB in the UK. It is acknowledged that around 16% of national AUGIB cases will likely manifest in patients already in hospital for another condition, but that the incremental cost of treating a bleed in these patients is unclear (the TRIGGER trial included only new presentations to hospital).<sup>20</sup> While the analysis above assumes no difference in treatment costs for patients presenting to hospital and patients already resident in hospital, a series of alternative scenarios were explored whereby the mean per patient cost estimates were assumed to be 50% higher; this was 50% lower for the estimated 16% of total cases already in hospital. All analyses were performed using STATA V.12 (StataCorp, College Station, Texas, USA).

## RESULTS

Baseline demographics data are shown in table 1. The mean (SD) age of patients was 59.4 (20.1) years and 60.5% were male. Mean Rockall and Blatchford Scores were 2.3 (1.9) and 6.0 (4.6), respectively. In just over a fifth of patients bleeding was attributed to peptic ulcer, in a quarter to oesophagitis/gastritis/duodenitis, and in 12% to varices. Thirty-two (3.4%) patients died during the index hospital admission and 42 (4.5%) patients had died by day 28.

### In-hospital resource use and costs

Data completeness for in-hospital resource use was 98.6%. On average patients were transfused 1.58 (SE 0.20) units of RBCs (table 2). One-quarter of patients received therapeutic endoscopy. Only a small proportion of patients underwent surgical (1.3%) or radiological (1.3%) interventions to control bleeding. Serious adverse events were infrequent (ranging from 0% for deep vein thrombosis to 1.8% for acute kidney injury). Mean overall length of hospital inpatient stay was 5.34 (0.29) days. Mean (SE) total in-hospital costs across all trial patients were estimated to be £2458 (£216), with almost 60% of this cost attributable to inpatient bed days (£1439 (£111)), 26% to diagnostic and therapeutic endoscopies (£642 (£71)) and 8% to RBC transfusion (£198 (£25)) (table 2).

The total annual initial in-hospital treatment costs for AUGIB in the UK were estimated at £155 437 055

**Table 1** Patient demographics and mortality for whole cohort and for patients providing and not providing data at 28 days

Characteristics and mortality	Whole cohort n=936	Patients not recorded as having died at 28 days n=894		p Value*
		Data provided on health and social care contacts at 28 days n=628	No data provided on health and social care contacts at 28 days n=266	
Male—n (%)	566 (60.5)	372 (59.2)	170 (63.9)	0.191
Age (years)—mean (SD)	59.4 (20.1)	60.5 (19.4)	54.0 (20.8)	<0.01
Rockall Score—mean (SD)	2.3 (1.9)†	2.3 (1.9)	2.1 (1.9)†	0.162
Blatchford Score—mean (SD)	6.0 (4.6)‡	6.1 (4.6)\$	5.2 (4.4)¶	<0.01
Shock at presentation—n (%)	221 (23.7)¶	133 (21.2)**	78 (29.3)†	0.031
Haemoglobin (g/dL) at first measurement—mean (SD)	11.6 (3.3)	11.5 (3.3)	12.3 (3.2)	<0.01
Pre-existing comorbidities—n (%)				
Ischaemic heart disease	137 (14.6)	94 (15.0)	33 (12.4)	0.316
Cardiac failure	39 (4.2)†	26 (4.1)†	7 (2.6)	0.446
Hypertension	232 (24.8)†	162 (25.8)†	47 (17.7)	0.025
Respiratory disease	158 (16.9)†	112 (17.8)†	37 (13.9)	0.283
Renal disease	54 (5.8)	45 (7.2)	8 (3.0)	0.016
Liver disease	136 (14.5)	93 (14.8)	30 (11.3)	0.161
Cancer/malignancy	99 (10.6)†	61 (9.7)†	25 (9.4)	0.800
Source of bleeding—n (%)††				
Peptic ulcer	153 (22.7)	97 (21.0)	50 (27.5)	0.080
Gastro-oesophageal varix	81 (12.0)	56 (12.1)	18 (9.9)	0.419
Oesophagitis/gastritis/duodenitis	171 (25.4)	116 (25.2)	49 (26.9)	0.645
Mallory-Weiss tear	30 (4.5)	21 (4.6)	95 (4.9)	0.833
Malignancy	22 (3.3)	13 (2.8)	7 (3.8)	0.500
Non-identified	109 (16.2)	81 (17.6)	25 (13.7)	0.238
Other	107 (15.9)	77 (16.7)	24 (13.2)	0.270
All-cause mortality—n (%)				
In-hospital	32 (3.4)	—	—	—
28-day	42 (4.5)	—	—	—

\*Based on  $\chi^2$  for categorical variables and t test for continuous variables.

†Missing for one patient.

‡Missing for seven patients.

\$Missing for four patients.

¶Missing for three patients.

\*\*Missing for two patients.

††Not recorded for 263 patients.

(table 3). Costs increased with age, ranging from a mean cost of £1143 for patients aged less than 29 years to £3190 for patients over 75 years. Assuming that inpatient treatment costs for the 16% of all patients with AUGIB likely to experience a bleed while already in hospital for another condition were 50% higher and 50% lower than the costs observed here generated national cost estimates of £168 million and £143 million, respectively.

### Postdischarge health and social services resource use and costs

For postdischarge resource use and costs up to 28 days, 33% of data were missing. Two hundred and sixty-six (30%) of the 894 patients, not recorded as having died by 28 days, were missing data on all postdischarge health and social care contacts. Non-responding patients were significantly younger than responders, were more likely to have presented with shock but were less likely to have had comorbidities, and had lower Blatchford Scores and

higher presenting haemoglobin levels (see columns 3 and 4 of table 1).

The mean (SE) number of acute hospital readmission bed days was 0.52 (0.08) per patient (table 2). The mean (SE) number of outpatient clinic visits was 0.41 (0.06) and the mean number of GP visits was 0.65 (0.06). In total, postdischarge health and social care costs up to 28 days averaged £391 (£44) per patient. Acute hospital readmissions accounted for 36% of this total cost (£139 (£21)); day hospital, clinic and ED visits for 28% (£109 (£16)); and primary/social care contacts for 24% (£93 (£23)).

### Total health and social care costs (in-hospital and postdischarge to 28 days)

Mean (SE) total health and social care costs from hospital presentation up to 28 days were £2851 (£225) per patient (table 2).



**Table 2** Total and mean per patient healthcare resource use and cost (UK £2012/13) for the cohort

	Resource use Total resource consumption across cohort* n=936	Resource use Mean (SE) per patient or per cent of cohort with procedure/event n=936	Cost Mean (SE) per patient across whole cohort n=936
<b>In-hospital episode</b>			
Blood products†			
RBC units	1478	1.58 (0.20)	£198.13 (£24.55)
FFP units	174	0.19 (0.05)	£5.72 (£1.35)
Platelets units	61	0.07 (0.02)	£13.84 (£3.97)
Cryoprecipitate units	12	0.01 (0.01)	£2.45 (£1.53)
Single factor VIIa dose	2	0.25%	£2.55 (£46.86)
Laboratory testing‡			
FBC tests	4592	4.88 (0.26)	£16.47 (£0.87)
Urea and electrolytes tests	4266	4.52 (0.31)	£5.74 (£0.39)
Liver function tests	3081	3.29 (0.44)	£2.27 (£0.30)
Coagulation tests	2406	2.55 (0.30)	£17.22 (£2.06)
Medications§			
Medications	Various¶	Various¶	£23.76 (£5.59)
Fluids in 24 h**			
Colloids (L)	117	0.12 (0.04)	£0.63 (£0.23)
Crystalloids (L)	1606	1.72 (0.07)	£2.44 (£0.10)
Endoscopies††			
Diagnostic	506	0.57 (0.06)	£371.13 (£36.24)
Therapeutic	277	0.29 (0.05)	£270.40 (£44.83)
Interventions‡‡			
Surgical	12	1.3%	£19.37 (£5.56)
Radiological	12	1.3%	£33.42 (£16.14)
Adverse events in hospital‡‡			
Acute coronary syndrome	4	0.4%	£3.77 (£1.88)
Stroke	3	0.3%	£4.77 (£2.75)
Acute kidney injury	17	1.8%	£27.69 (£8.79)
Pulmonary embolism	5	0.5%	£4.01 (£1.79)
Deep vein thrombosis	0	0%	£0.00 (£0.00)
Length of hospital stay			
Total length of hospital stay (days)	4997	5.34 (0.29)	£1438.97 (£110.86)
<b>Total in-hospital costs</b>		–	<b>£2457.58 (£215.73)</b>
<b>Postdischarge care§§,¶¶,***</b>			
Acute hospital readmission bed days	490	0.52 (0.08)	£138.96 (£20.75)
Community hospital admission bed days	66	0.07 (0.04)	£18.80 (£10.62)
Nursing/residential care admission bed days	367	0.38 (0.20)	£32.05 (£17.12)
Number of ED visits	160	0.17 (0.03)	£17.33 (£2.56)
Number of hospital clinic visits	374	0.41 (0.06)	£50.42 (£6.93)
Number of day hospital visits	87	0.10 (0.03)	£41.22 (£11.37)
Number of visits to GP	608	0.65 (0.06)	£29.44 (£2.72)
Number of GP home visits	98	0.10 (0.02)	£11.88 (£2.01)
Number of visits to practice nurse	183	0.20 (0.03)	£2.67 (£0.42)
Number of home visits from district nurse	313	0.34 (0.09)	£13.44 (£3.42)
Number of other health/social visits	700	0.77 (0.48)	£35.00 (£21.99)
<b>Total postdischarge costs to 28 days</b>	–	–	<b>£391.20 (£43.55)</b>
<b>Total costs to 28 days</b>	–	–	<b>£2850.85 (£225.15)</b>

\*Based on data following multiple imputation.

Data were missing and therefore imputed on:

†RBCs for 1 patient/FFP, platelets and cryoprecipitate for 10 patients/rFVIIa for 37 patients.

‡Laboratory tests for seven patients.

§Medications for 56 patients.

¶Numerous and so not reported individually.

Data were missing and therefore imputed on:

\*\*Colloids for 39 patients/crystalloids for 32 patients.

††Diagnostic and therapeutic endoscopies for 12 patients.

‡‡Surgical intervention, radiological intervention, acute coronary syndrome, stroke, acute kidney injury, pulmonary embolism and deep vein thrombosis for one patient.

§§Denominator when estimating missing data for postdischarge care is 894 patients not recorded as having died by 28 days.

¶¶Data missing on acute hospital readmission for 272 patients/community hospital readmissions and nursing home and residential care admissions for 304 patients/ED visits for 278 patients/hospital clinic visits for 275 patients/day hospital visits for 276 patients/GP visits, GP home visits, practice nurse visits and district nurse home visits for 315 patients/other health and social care visits for 398 patients.

\*\*\*Data reported are total number of bed days and visits with some patients having numerous contacts.

ED, emergency department; FBC, full blood count; FFP, fresh frozen plasma; GP, general practitioner; RBC, red blood cell.

**Table 3** Estimate of annual initial hospital costs for acute upper gastrointestinal bleeding (AUGIB) in the UK

Age bands (years)	UK Population*	AUGIB incidence per 100 000/year†	Annual number of patients with AUGIB in UK population	Mean total initial hospital cost estimates‡	Annual total costs
16–29	11 806 800	23	2716	£1143 (n=91)	£3 104 535
30–44	12 843 400	41	5266	£2053 (n=150)	£10 811 709
45–59	12 605 800	69	8698	£2183 (n=224)	£18 990 830
60–64	3 624 400	109	3951	£2686 (n=78)	£10 613 733
65–74	5 820 900	214	12 457	£2749 (n=142)	£34 248 977
75+	5 020 000	485	24 347	£3190 (n=251)	£77 667 271
Total	51 721 300	—	57 434	—	£155 437 055

\*Data on population from Office for National Statistics 2012.<sup>19</sup>

†AUGIB incidence figures taken from Rockall *et al.*<sup>18</sup>

‡Mean cost estimates based on analysis of TRIGGER data reported in this paper.

### Non-health and social care costs—unpaid informal care and productivity losses

At day 28, of the 894 patients not recorded as having died, 523 (59%) provided information on unpaid informal care and 526 (59%) on time away from paid employment.

Approximately one-third (180/523, 34%) of patients reported having received unpaid informal care from a relative or friend after leaving hospital. Table 4 shows that over the trial period, these patients received a mean (SE) of 69 (10) hours of unpaid care which is equivalent to around 9 (7.5 h) working days. Cost estimates for this time were averaged across all patients completing the questions (n=523) which produced a mean (SE) cost of £357 (£88).

Approximately 23% (123/526) of patients reported that they had been in paid employment prior to presenting to hospital with AUGIB. Table 4 shows 34% (41/121)

of these patients reported that they had not been able to return to work; mean working hours lost in this group were estimated to be 125 (or around 17 working days) per patient. Of the 66% (80/121) patients who were able to return to work, a mean of 66 working hours (9 working days) were lost due to absence and reduced working hours. Average working time lost across all patients who had been in paid employment was 11.6 days. Costing time away from the workplace (absence and reduced hours) and averaging across all patients completing the questions (n=526) estimated productivity losses to be £275 (£27) per patient.

### Health-related quality of life

EQ-5D data were missing for 390/936 (42%) patients as telephone contact at day 28 could not be made. Of the surviving patients with data, over 40% reported

**Table 4** Reported data on unpaid informal care and productivity costs at 28 days

	n (%) Missing data n=894*	Reported data
Unpaid informal care	371 (41.5%)	n=523
Receiving any unpaid informal care—n (%)		180/523 (34.4%) n=180
Hours of unpaid informal care if received—mean (SE)		69.17 (10.3)† n=523
Total cost of unpaid informal care		£357.30 (£88.16)‡
Employment status	368 (41.2%)	n=526
In paid employment before admission—n (%)		123/526 (23.4%)
Not returned to work since admission—n (%)		41/121 (33.9%)§ n=41
Hours of work lost if not returned—mean (SE)		125.21 (7.8)¶
Returned to work since admission—n (%)		80/121 (66.1%)§ n=80
Hours of work lost if returned to work—mean (SE)		66.30 (5.5)**
<b>Total cost of lost working hours—mean (SE)</b>		<b>£274.58 (£26.50)††</b>

Italics typeface are used for average hours within each section.

\*n=894 patients not recorded as having died at 28 days.

†Mean imputation used for hours of informal care missing for 32/180 patients.

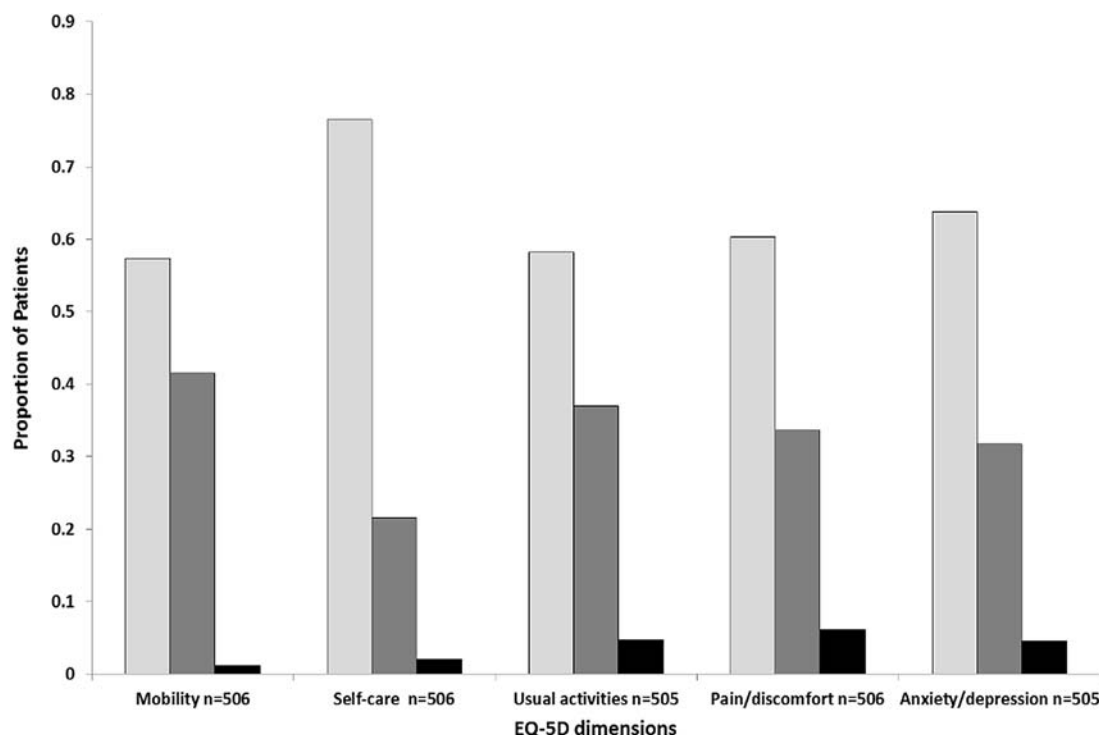
‡Costs of informal care adjusted for clustering and averaged across all 523 patients.

§Return to work field missing for 2/123 patients.

¶Mean imputation used for hours of lost work time data missing for 1/41 patients who were known not to have returned to work.

\*\*Mean imputation used for hours lost data missing for 7/80 patients who were known to have returned to work.

††Productivity costs adjusted for clustering and averaged across all 526 patients.



**Figure 1** Distribution of patient responses across levels for each of the five EQ-5D domains. ■ Level 1—no problems; ■ level 2—some problems; ■ level 3—extreme problems.

having some problems (level 2) with mobility and over 30% reported some problems with usual activities, pain and discomfort, and anxiety and depression (figure 1). The mean (SE) EQ-5D HRQoL score at 28 days across the cohort (assigning a zero score to patients who had died) was 0.683 (0.01); MI and adjustment for clustering of this value increased it to 0.701 (0.02). For surviving patients the mean score was 0.735 (0.02). By comparison, the mean EQ-5D score for the UK general population has previously been reported to be 0.86.<sup>21</sup>

## DISCUSSION

This study has explored resource use and cost implications for patients presenting to hospital with AUGIB for the NHS and social services up to 28 days postpresentation. Mean in-hospital costs were estimated to be £2458 (SE £216) per patient; 60% of this cost was attributable to inpatient bed days, 26% to endoscopy and 8% to RBCs. Local NHS Hospital Trusts may find it useful to compare their own average reimbursement figures for patients with AUGIB to these cost estimates. Costs of health and social care contacts beyond hospital discharge up to 28 days suggested further costs of £391 (SE £44) per patient.

Combining data from this study with UK population figures and estimates of AUGIB incidence, the total annual UK cost of initial hospital treatment was estimated to be £155.5 million with just over £93 million (60%) of this cost attributable to in-hospital length of stay, £38.5 million (25%) to endoscopy and £12.6 million (8%) to RBCs. This amounts to approximately

0.13% of total NHS spending (£120 billion in England, Scotland, Wales and Northern Ireland in 2010/2011).<sup>22</sup> The reliability of these figures rests on a number of study parameters, perhaps most important of which is whether the patients in this study are representative of all patients with AUGIB presenting to hospital. A comparison of study patients with admissions to hospital in the 2007 UK audit of AUGIB (a cross-sectional audit of 208 UK hospitals) suggests similar mean ages (59.4 vs 62.6 years), gender (60.5% male vs 60% male), median presenting haemoglobin (11.9 vs 11.4 g/dL), median Rockall Score (2 vs 2), aetiology of bleeding and median hospital stay (4 vs 5 days).<sup>20</sup> In-hospital mortality for new presentations in the 2007 audit, however, was double that observed in the TRIGGER trial (6.8% vs 3.4%). This observation may be attributable to a number of reasons: first, TRIGGER excluded patients with exsanguinating bleeding, a group associated with greater mortality; and second, improvements in care, namely, better access to emergency endoscopy than that was present in the nationwide audit in 2007. It must also be acknowledged that the incidence figures for AUGIB in the UK used in this study when estimating national costs include a proportion (16%) of patients already hospitalised when developing a bleed. Although the incremental cost of treatment for these patients was not calculated here (the TRIGGER trial included only patients presenting to hospital), analyses assuming costs for this subgroup of patients were 50% higher and 50% lower than those observed here, altered the national cost estimates by just 8%.

Knowledge of key cost drivers in the treatment of AUGIB can enable healthcare providers to identify avenues for cost reduction and be a point of reference for cost-effectiveness research. For example, a large UK study has shown that more timely endoscopy for AUGIB (<12 vs >12 h postpresentation) can reduce hospital inpatient stay (shown here to be responsible for 60% of in-hospital costs for this population) by an average of 1.7 days.<sup>23</sup> Any cost savings brought about via a reduction in hospital stay would, however, need to be offset against the cost of additional resources required to facilitate more rapid access to endoscopy and to be considered alongside any potential impact on patient outcomes. Similarly, the TRIGGER feasibility trial reported a trend towards improved safety with a restrictive RBC transfusion policy and observed a reduction in the mean number of RBC units transfused from 1.91 under a liberal policy to 1.21 (mean difference 0.7 units, 95% CI -1.6 to 0.3).<sup>5</sup> Using these findings together with the national cost estimates in table 3 and assuming that half of the patients with AUGIB in the UK are already exposed to a restrictive transfusion policy, changing practice for the remaining 50% of patients could potentially save 20 102 units of RBCs, which at a cost of £123.31 per unit amounts to savings of £2.48 million; however, even this may be an underestimate because the percentage of hospitals currently using a restrictive policy is likely to be less than 50%. Furthermore, true cost savings will be greater when the input of hospital transfusion laboratories and nursing staff who issue and administer RBCs are accounted for.

To the best of our knowledge, this is the first study to explore the impact of AUGIB on costs beyond the healthcare sector. The observation that just over a third of patients contacted by telephone at day 28 reported that they had received an average of 9 days of unpaid informal care from family members and/or friends is noteworthy, as is the finding that almost a quarter of patients answering questions on employment status reported that they were in paid employment prior to their AUGIB and lost an average of 11.6 days of work as a result of their condition. These data highlight the importance of assessing the impact of new treatments and interventions for AUGIB beyond the healthcare sector.

Previous work in this area is limited. A systematic review identified just seven studies reporting on healthcare resource use and costs in AUGIB.<sup>2</sup> Differences between these studies and the work presented here in terms of jurisdiction (six of the seven studies identified were from the USA or Canada), methodologies (all studies used retrospective data collection with some using claims reimbursement data and possibly valuing resource use data with charges rather than costs) and relevance to current practice (the most recently published study analysed data up to 2003 only and did not report a breakdown of inpatient resource use) imply that a comparison across studies is unlikely to be informative.

Few data have been reported on HRQoL in patients with AUGIB. In 2007, Leontiadis *et al*<sup>24</sup> administered the EuroQol EQ-5D questionnaire to 57 patients surviving an upper gastrointestinal bleed in two English hospitals at 7 days postbleed or hospital discharge (whichever was earlier), and at 4 weeks postbleed. A single index score of 0.45 was reported at 7 days/hospital discharge and 0.78 at 4 weeks. This latter score is similar to the value of 0.735 seen for surviving patients in our study at the same time point, although a limitation of our data is the lack of information on baseline HRQoL at presentation. Our larger sample size and the multicentre nature of the study, conducted in geographically diverse units, does enhance the generalisability of our results to broader UK practice.

A number of uncertainties in the data presented should be acknowledged. First, over 30% of patients had no healthcare resource use follow-up data and over 40% had no informal care, employment or HRQoL data. Despite study research nurses attempting to contact each patient by telephone, the difficulties encountered with follow-up appear to be due at least in part to a combination of the cohort's demographic and lifestyle factors, or comorbidities. A comparison of responding and non-responding patients suggested non-responders were younger and in better health than responders, with lower Blatchford Scores and fewer comorbidities. While a number of these variables were included as predictors in the models used to impute missing postdischarge healthcare resource use data, we chose not to impute informal care costs and productivity losses given the magnitude of the missing data issue. Even in spite of the uncertainty surrounding these estimates, we believe inclusion of these data still adds value to the paper for two main reasons. First, our data have shown (perhaps unexpectedly) that despite an average age of almost 60 years, at least a quarter of patients were still in paid employment and lost time away from the workplace as a result of their bleed (this percentage is likely to be even higher if the younger healthier patients with missing data were also in paid employment). In light of these findings it would, therefore, be important for assessments of the cost-effectiveness of interventions or policies for AUGIB to seek the impact of both within and beyond the healthcare sector. Second (and again perhaps unexpectedly) this study has shown that it appears to be younger healthier patients who are more difficult to contact posthospital discharge. This observation may assist researchers following this patient group in the future to develop tailored data collection strategies, for example, combined use of telephone contact and postal questionnaires for younger patients who have presumably returned to work and normal social activities.

Second, we costed the most common adverse events, but noted that 90 patients were recorded as having at least one 'other' adverse event during the course of the trial. Events included sepsis, pneumonia, oedema, seizure, advanced pancreatic cancer resulting in death



and end-stage liver disease, etc. While any change in the duration of the index hospital inpatient stay, medication, laboratory tests and readmissions to hospital arising as a consequence of such events would have been captured by the trial, it was not possible to collect detailed information on all treatments administered for such events, and therefore, costs may be slightly underestimated.

Finally, analyses assumed cost data were normally distributed. While costs may be better analysed using generalised gamma models (to handle skewness), it proved difficult to run such models within the context of this study given the need to deal with simultaneous issues of missing data, clustering and high proportions of patients with zero costs for many individual resource use items. Other researchers have recently noted a lack of statistical methods for dealing with these issues and have called for further methodological studies to consider approaches for use, particularly in trials with high proportions of zero costs.<sup>25</sup> Studies have shown that methods assuming normality can be quite robust even when costs are highly skewed; however, within this study it is difficult to know whether the precision of cost estimates would have improved had it been possible to estimate an aggregated multilevel generalised gamma model across MI data sets.<sup>17</sup>

## CONCLUSIONS

This is the first study to quantify the healthcare costs associated with AUGIB in the UK. Inpatient stay, endoscopy and RBCs are key cost drivers. Costs of AUGIB beyond the healthcare sector are likely to be considerable and should be considered in assessments of future interventions for AUGIB. These data will assist healthcare agencies in interpreting the cost implications of care processes for AUGIB and will help clinicians and researchers to determine the economic impact of novel and existing interventions for AUGIB.

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