

# 1 **Integrated Photonic Neuromorphic Computing: Opportunities and** 2 **Challenges**

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9 **Keywords:** Photonic integrated circuits, neuromorphic computing, hardware accelerators

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## 11 **Abstract**

12 Employing photons in lieu of electrons to process information has been an exciting  
13 technological prospect for decades. Optical computing is gaining renewed enthusiasm, owing  
14 to the accumulated maturity of photonic integrated circuits and the pressing need for faster  
15 processing to cope with data generated by artificial intelligence. In neuromorphic photonics,  
16 the bosonic nature of light is exploited for high-speed, densely multiplexed linear operations  
17 while the superior computing modalities of biological neurons are imitated to accelerate  
18 computations. Here we provide an overview of recent advances in integrated synaptic optical  
19 devices and on-chip photonic neural networks focusing on the point in the architecture where  
20 the optical to electrical conversion takes place. We identify challenges associated with  
21 electro-optical conversions, implementations of optical nonlinearity, amplification and  
22 processing in the time domain and we identify promising paths forward.

23

## 24 **Introduction**

25 Computing has reached impressive performance milestones. Achieving these has until  
26 recently, seen a synergy between the software and hardware communities, with each building  
27 on advances in both. However, this synergy has now been broken. Data generated by  
28 artificial intelligence (AI) is heavily reliant on deep neural networks (DNNs) for processing  
29 [1, 2], a shift which with few exceptions [3] has not been effectively mapped in the hardware  
30 [4] This apparent disjoint is evident both in the processor speed and the energy requirements  
31 per operation, an issue which is compounded for edge computing where the power- and  
32 bandwidth-hungry GPUs are not sustainably viable [5]. Although the deficiencies of  
33 traditional computing architectures in comparison to biological computing schemes have  
34 been identified since the 1980's by Carver Mead et al., [6] the coincidental slowing of  
35 Moore's law [7, 8] and Koomey's law [9, 10] coupled with the exponential acceleration of  
36 data generated by AI implementations are now imposing an immediate and critical need for  
37 radical innovations in hardware [4, 5, 11].

38 Traditional hardware limitations have led to a growing interest in neuro-inspired  
39 implementations, attracting both academic and commercial interest [12]. Recent  
40 developments in electronic neuromorphic machines have demonstrated energy, latency, and  
41 processing speed advantages of neuro-imitative paradigms. These endeavours have replicated  
42 aspects of brain's architecture, specifically the vast interconnectivity, organizational  
43 hierarchy, and time-dependent synaptic processing. Implementations of these, including  
44 IBM's TrueNorth, Intel's Loihi, the SpiNNaker project and others, are showcasing the  
45 superiority of neuromorphic approaches [12-14]. Photonic neuromorphic computing,  
46 although still at its infancy, is following suit promising two to three orders of magnitude  
47 improvement in energy consumption and compute density [15, 16]. Operations in the optical  
48 domain can be high-speed, near-lossless and massively parallelised. Importantly, in the past

49 decade the development of integrated light sources [17], high-speed optical modulators [18,  
50 19], detectors [20], amplifiers [21], photonic memories and computing elements [22-25],  
51 (de)multiplexers [26] as well as the transition to foundry-processed PICs have collectively  
52 established the maturity needed to “go optical” [27].

### 53 **Neuromorphic Photonics**

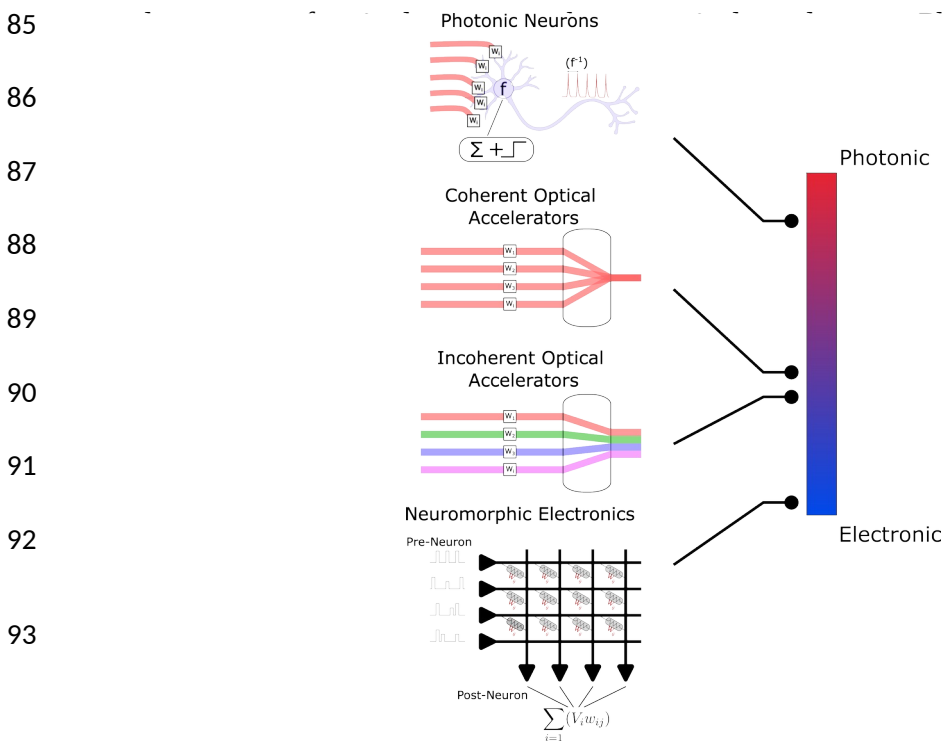
54 Photonics is particularly well suited to neuromorphic hardware where performance is reliant  
55 on interconnectivity. Simply represented, the brain is comprised of  $10^{11}$  cascaded neurons and  
56  $10^{15}$  synapses [28], forming a connected communication network which interacts via spikes.  
57 Each neuron carries out a weighted linear accumulation of neural inputs with a non-linear  
58 activation function subsequently applied (fig. 1a). Mapping these characteristics into optical  
59 hardware, can have analogous gains to the transition from electronic to optical  
60 communications where operating in the optical domain can offer:

- 61 i. Vast interconnectivity which can be effectively addressed through multiplexing
- 62 ii. Energy efficiency which is not compromised by capacitive charging/discharging
- 63 iii. Temporal processing which is not hindered by capacitive delays
- 64 iv. Sub-ns latency between long-range communication links

65 While linear multiply-accumulate (MAC) operations are readily available in photonics, fast  
66 and efficient all-optical nonlinearities remain challenging. Neuromorphic photonic chips  
67 nominally resort to optoelectronic conversions which impose an overhead in terms of latency,  
68 energy per operation and chip area. In this review, we categorise photonic neuromorphic  
69 implementations based on the depth at which the transition from the optical to the electronic  
70 domain takes place. Different approaches broadly include:

- 71 **(i) incoherent electro-optical processors, (ii) coherent electro-optical processors**  
72 **and (iii) all-optical neural networks including photonic spiking neural networks**

73 The key difference between incoherent and coherent optical accelerators being that in the  
 74 former, the summation operation is materialising in the electronic domain whereas in the  
 75 latter the optical signals are coherently added in the optical domain and only then are they  
 76 converted into electrical signals at the photodetector. Wavelength multiplexing is rather  
 77 straightforward for incoherent approaches because optical intensities are simply added in  
 78 power, but cumbersome for coherent approaches due to the difficulty in simultaneously  
 79 and accurately controlling the optical phases of different wavelengths due to dispersion.  
 80 Yet, single-source coherent optical accelerators show a clear advantage in terms of  
 81 cascability. Because the full set of optical controls (amplitude, phase, , mode) is  
 82 preserved upon addition. Incoherent optical accelerators, however, operate and preserve  
 83 only the intensity information after weighting and addition. This makes wavelength  
 84 multiplexing readily exploitable for incoherent approaches while the cascability is



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**Figure 1:** Neuromorphic computing schemes based on the depth of electro-optical conversions. **A)** Electronic neuromorphic crossbar array **B)** Incoherent optical accelerators, where incoherent signals are combined in a bus channel and summed at the photodetector, Since the photodetector is performing the summation, the electro-optical conversion occurs at an early stage. **C)** Coherent optical accelerators, wherein optical signals are added in the optical domain and subsequently converted to photoelectrons. **D)** The long-term prospect of photonic neurons combining weighting, summation and optical nonlinearity.

## 94 **Incoherent Optical Accelerators**

95 Inspired by electronic crossbar array architectures, incoherent optical accelerators directly  
96 map a biological many-neuron-to-many-neuron weighted connection, mathematically  
97 represented by a matrix, onto the hardware. Photonic weight banks, crossbar arrays and  
98 multimode interferometer architectures are only some of the approaches demonstrated. In  
99 most cases these operate on the amplitude of light with wavelength [36, 37] or mode [38]  
100 multiplexed optical signals carrying out the parallel matrix-vector-multiplications (MVMs).  
101 Figure 2a illustrates a basic dot product engine where signals separated in wavelength are  
102 weighted and multiplexed into a common output bus. The optical power at the output ( $P_o$ )  
103 gives the dot product of the input vector ( $I$ ) and the weight vector ( $w$ ) as  $P_o = \sum (I \square_i \cdot w \square_i)$ .  
104 The value of the dot product however is then only accessible when all wavelengths are  
105 indiscriminately converted to a photocurrent by the detector, which simultaneously performs  
106 the incoherent summation and optoelectronic conversion [39] [36]. Cascading serially what is  
107 essentially a weighted multiplexer/router, the detector and a nonlinear function in the  
108 electronic domain forms a primitive neuron as shown in figure 2a.

### 109 **Weight banks**

110 Initial demonstrations of incoherent optical accelerators were implemented on microring  
111 resonator (MRR) weight banks functioning as tuneable filters [37, 40, 41]. Individually  
112 addressable MRR resonators with integrated phase shifters, each control the amount of light  
113 coupled at a given wavelength, simultaneously performing the weighting and MUX-DEMUX  
114 operation (figure 2e) [42, 43]. In this so-called broadcast and weight approach, although  
115 information is encoded in the amplitude, there are no significant optical losses in coupling  
116 optical power to the bus waveguide. Bai et al. recently demonstrated on-chip integration of  
117 MRR weight banks with a microcomb source (figure 2g) to achieve 9-bit precision in weight

118 setting and a compute density of more than a trillion operations per second per square  
119 millimetre (TOPS/mm<sup>2</sup>) [44]. A challenge of high-Q MRR-based systems however is the  
120 requirement for tracking and controlling their resonance as they are sensitive to their  
121 environment and fabrication [45]. This places a fixed, continuous energy toll to correct for  
122 fabrication and a fluctuating requirement to account for transient drift due to thermal  
123 coupling. Several approaches aiming to resolve these issues have been proposed based on ion  
124 implantation, localised material deposition/removal, transparent phase-change materials and  
125 polymers. [45-47].

## 126 **Photonic crossbar arrays**

127 A significantly less fabrication- and environment- sensitive approach involves the use of  
128 broadband couplers and tuneable optical attenuators to map the weight matrix (W) directly  
129 onto a photonic hardware topographically similar to an electronic crossbar array. Based on  
130 initial demonstrations of photonic in-memory computing by Rios et al. using phase change  
131 materials [48], Feldmann et al. subsequently demonstrated MVMs [36] at 0.256 TOPS (figure  
132 2b). The crossbar array was interfaced with a frequency comb to perform parallel convolution  
133 processing, multiplexed in wavelength. Although broadband couplers enable multiplexed  
134 parallel processing, the power scaling of the combiners limits the size of the array. Resonant  
135 structures can be used to trade-off some WDM capacity with higher scalability (fig. 2D) [49-  
136 51]. The crossbar array was later improved to reduce channel crosstalk, to include WDM  
137 components on-chip and achieve balanced photodetection and electro-optical addressability  
138 of individual phase-change cells [52, 53]. Recently, Dong et al., demonstrated an additional  
139 degree of parallelisation with time domain multiplexing (figure 2c). Here, 50 RF – although  
140 more are also possible – signals were passed simultaneously through the structure and  
141 demultiplexed in Fourier space at the output. Due to the relatively wavelength-insensitive  
142 nature of the crossbar design, the degree of parallelisation in wavelength is practically only

143 limited by the light source and not the crossbar itself. However, the scalability has been  
144 limited largely by the coupling loss at each column [54]. Initial solutions to expand the  
145 achievable size of the crossbar by tiling, expanding the crossbar array in three dimensions  
146 and employing factorisation techniques are actively explored [55, 56] while recent works  
147 have focused on in-situ training and updating of the weights [57, 58]. Importantly, by  
148 encoding weights into the amplitude of optical signals using nonresonant optical devices, the  
149 crossbar array architecture is insensitive to phase which not only enhances the system  
150 robustness but also permits the incorporation of high-speed foundry compatible components  
151 such as electro-absorption modulators (EAMs) [59].

## 152 **Multimode interferometer networks**

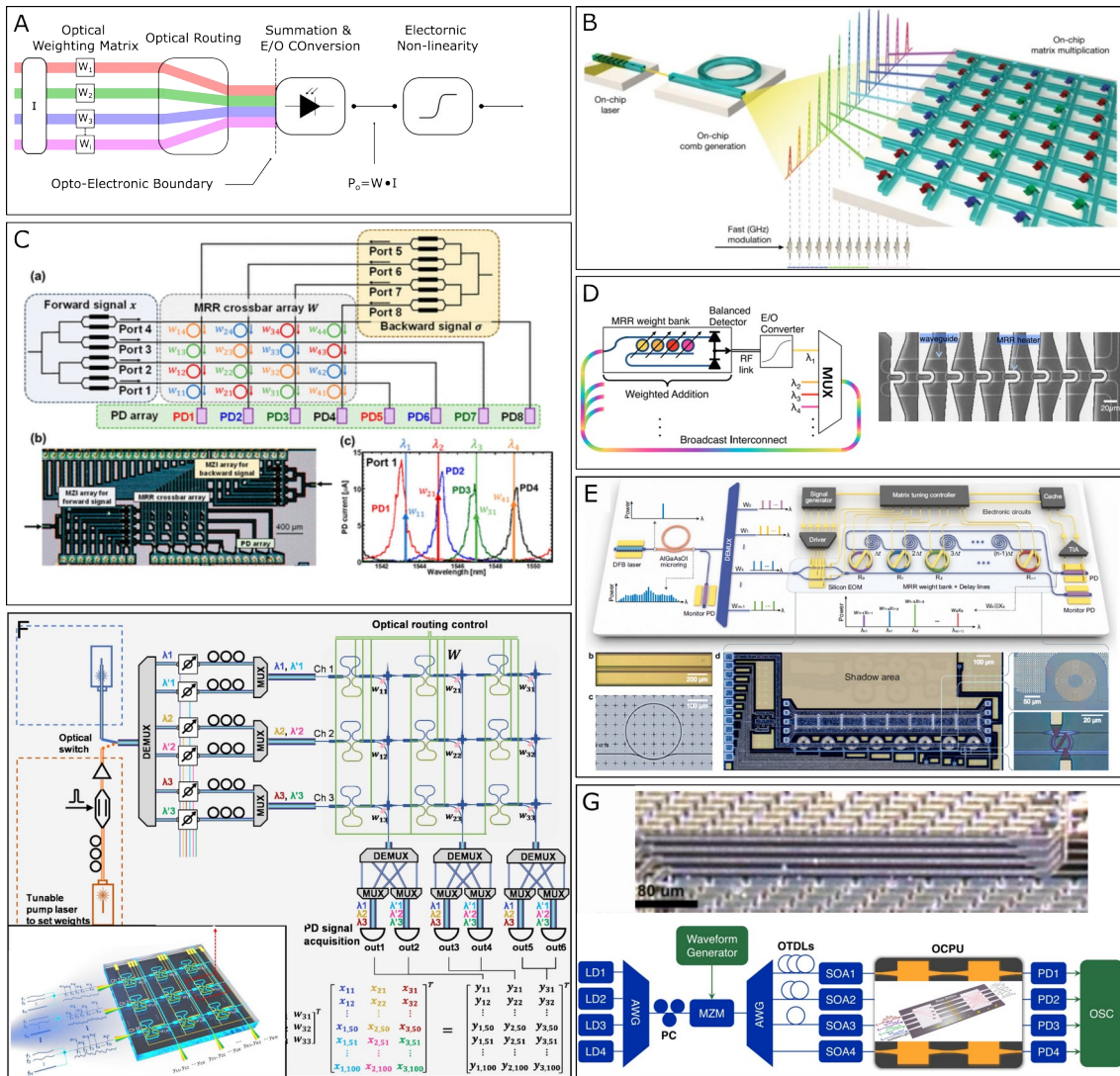
153 An alternative approach, based on broadband multimode interferometers (MMI) was  
154 proposed by Qu et al. [60] based on scattering and was demonstrated by Meng et al. on an  
155 integrated platform (figure 2f) [15]. Two 4 input, 4 output MMIs were employed to multiplex  
156 and distribute four wavelengths while thermo-optic phase shifters, one for each of the 4  
157 channels, were used to reconfigure the transfer matrix of the system. While this approach  
158 benefits from a linear scaling relationship between the number of elements and the dimension  
159 of the matrix, the theoretical feasibility of scaling up this approach towards larger neural  
160 network remains to be investigated.

## 161 **Conclusions on incoherent optical accelerators**

162 Considering the simplest case of N-to-1 mapping, which in a biological context is analogous  
163 to the summation of weighted signals from N dendrites in a single neuron, all incoherent  
164 approaches encode these N individual weights into N orthogonal optical properties. This  
165 orthogonality can originate from various dimensions, such as the wavelength dimension  
166 through wavelength division multiplexing, the spatial dimension via mode division

167 multiplexing, or the temporal dimension through time division multiplexed optical signals  
168 [61]. The necessitation of orthogonality is pivotal to prevent interference (or crosstalk caused  
169 by heating), which is enabling the linear addition of intensity from the N inputs at the  
170 photodetector. It is worth noting that the N weighted signals at the optical output are only  
171 multiplexed, not summed. They are still parallel in the optical domain. The summation only  
172 happens upon photodetection, where the intensities are finally summed up to provide one  
173 single physical quantity. This intensity-only operation considerably facilitates system control,  
174 owing to its robustness against random phase fluctuations or thermal crosstalk. However, this  
175 incoherent scheme introduces a notable challenge in terms of scalability. Given that N  
176 orthogonal properties are leveraged for a single N-to-1 mapping, the value of N is inherently  
177 constrained by the achievable orthogonality within photonics. A rudimentary estimation  
178 would yield 100 wavelengths, 4 modes, and 2 polarizations, generating a maximum  
179 conceivable N value of 800. In this scenario, achieving further optical parallelization  
180 becomes challenging as all orthogonal properties are exhausted. Concurrently, the prospect of  
181 all-optical cascadability presents an additional hurdle, given that the required number of  
182 orthogonal properties scales with  $N^L$ , where L represents the number of layers. A plausible  
183 resolution to enhance cascadability involves the incorporation of O-E-O (Optical-Electrical-  
184 Optical) conversion, which serves to replenish the orthogonal properties following each layer.  
185 However, this remedy requires an additional energy overhead and augments the system  
186 complexity due to the recurrent integration of electronic components.

187         It therefore becomes imperative to explore avenues to augment the number of  
188 accessible orthogonal optical properties, such as expanding the wavelength range by  
189 harnessing a broader bandwidth or exploring additional modes by delving into the orbital  
190 angular momentum of light [62]. A more innovative and promising approach would entail the  
191 utilization of a singular orthogonal optical property to execute intensity-only N-to-1 mapping.



193 **Figure 2:** Photonic accelerators based on incoherent accumulation **A)** Schematic of  
 194 WDM and weighting. Individually weighted signals are multiplexed into a bus waveguide  
 195 and detected indiscriminately by the photodiode. **B)** Photonic crossbar array with wavelength  
 196 division multiplexing and microcomb input [36]. **C)** Microring resonator crossbar array for  
 197 on-chip inference and training of the optical neural network [51] **D)** Microring wight banks  
 198 [37] **E)** Microcomb-based integrated photonic processing unit [44]. **F)** Crossbar array  
 199 architecture with RF time division multiplexing [63]. **G)** Accelerator based on multimode  
 200 interferometers [15].

201

202 **Coherent Optical Accelerators**

203 Coherent optical accelerators fundamentally use a single source which is distributed, routed  
204 and weighted by coherent optical interference throughout the network. These approaches are  
205 largely based on the concept of an arbitrary linear optical device described in the works of  
206 Reck et al. and Miller [64, 65], stating and proving that any  $N \times N$  unitary matrix can be  
207 decomposed and represented in hardware via networking 2 by 2 reprogrammable cells [66,  
208 67]. The 2 by 2 building blocks rely on interference to achieve independent amplitude and  
209 phase modulation at the output of each of the blocks; the values of each programmable unit  
210 can be self-configured using transparent detectors according to Miller's scheme [64, 68]. We  
211 consider these approaches "more optical" since linear MVM operations are performed all-  
212 optically at a single carrier frequency without the need for multiple wavelength or optical-  
213 electrical conversion making the system "more neuromorphic" and easier to tile and cascade  
214 [69, 70]. The enhanced interconnectivity of these approaches is well suited to both  
215 feedforward and recirculating architectures [71].

### 216 **Feedforward meshes for inferencing**

217 Photonic meshes are largely based on the works of Reck [65], Miller [64] and later on  
218 Clements [67, 69] with the realisation that any unitary linear transformation between a set of  
219 optical channels could be achieved with an architecture consisting of such building blocks  
220 through singular value decomposition [31]. The first experimental demonstration of an  
221 integrated neural network for deep learning based on these concept was implemented in 2017  
222 by Shen et al (figure 3e)[72] with many following suit [69, 73, 74]. In their approach 56  
223 MZIs were used to perform the equivalent of a  $4 \times 4$  matrix multiplication through single  
224 value decomposition. Training was performed off-chip with standard backpropagation and  
225 gradient descent using a nonlinear function modelled after a saturable absorber. On-chip  
226 thermo-optic phase shifters were used to program the matrix which were later replaced by  
227 micromechanical shifters for 100 times faster reconfiguration [75] while implementations

228 using electro-absorption modulators were shown to reach 50GHz reconfiguration [76]. A key  
229 advantage of photonic meshes is the ability to dynamically reconfigure the system to perform  
230 multiple functions akin to an optical FPGA, where multichannel optical switching, optical  
231 multiple-input-multiple-output descrambling, and filtering have been demonstrated [69, 74,  
232 77, 78]. While several approaches have been explored to multiplex in time and wavelength  
233 [79], this remains a considerable limitation due to the spectral sensitivity of the  
234 interferometers [74, 80]. The unfavourable scaling in the number of reprogrammable units  
235 with the size of the matrix has since been tackled by several works which use pruning as a  
236 means to reduce the size [31, 81]

237 The in-situ training of an artificial neural network is important to achieve accurate  
238 inferencing. While initial demonstrations of photonic meshes performed off-chip training  
239 with traditional hardware, photonic analogues of the backpropagation algorithm were short  
240 after conceptualised for in situ training [82-84]. Recently, Pai et. al, demonstrated a three-  
241 layer, four-port silicon photonic neural network with programmable phase shifters and optical  
242 power monitoring to solve classification tasks using on-chip, in-situ backpropagation (figure  
243 3c)[85].

#### 244 **Diffraction neural networks**

245 On-chip diffractive neural networks have emerged as a natural extension to the work  
246 conducted by the free-space photonics community primarily based on spatial light modulators  
247 [86-88]. Integrated metasystems based on on-chip dielectric metasurfaces have demonstrated  
248 to perform multiplexed matrix-vector multiplications and image classifications offering a  
249 transition between freespace and integrated modalities (fig. 3d) [89, 90]. Vertical cavity  
250 surface emitting lasers (VCSELs) were also recently demonstrated to form a semi-integrated,  
251 highly scalable solution by vertical stacking to form an energy efficient deep neural network

252 [91]. A fully integrated diffractive neural network was demonstrated by Zhu et al. in 2022  
253 which used two diffractive cells and  $N$  MZIs to implement parallel Fourier transforms and  
254 convolutions (figure 3f)[92]. This system benefits from linear scaling with the input data  
255 dimension to achieve a  $\sim 10$ -fold reduction in footprint [92, 93].

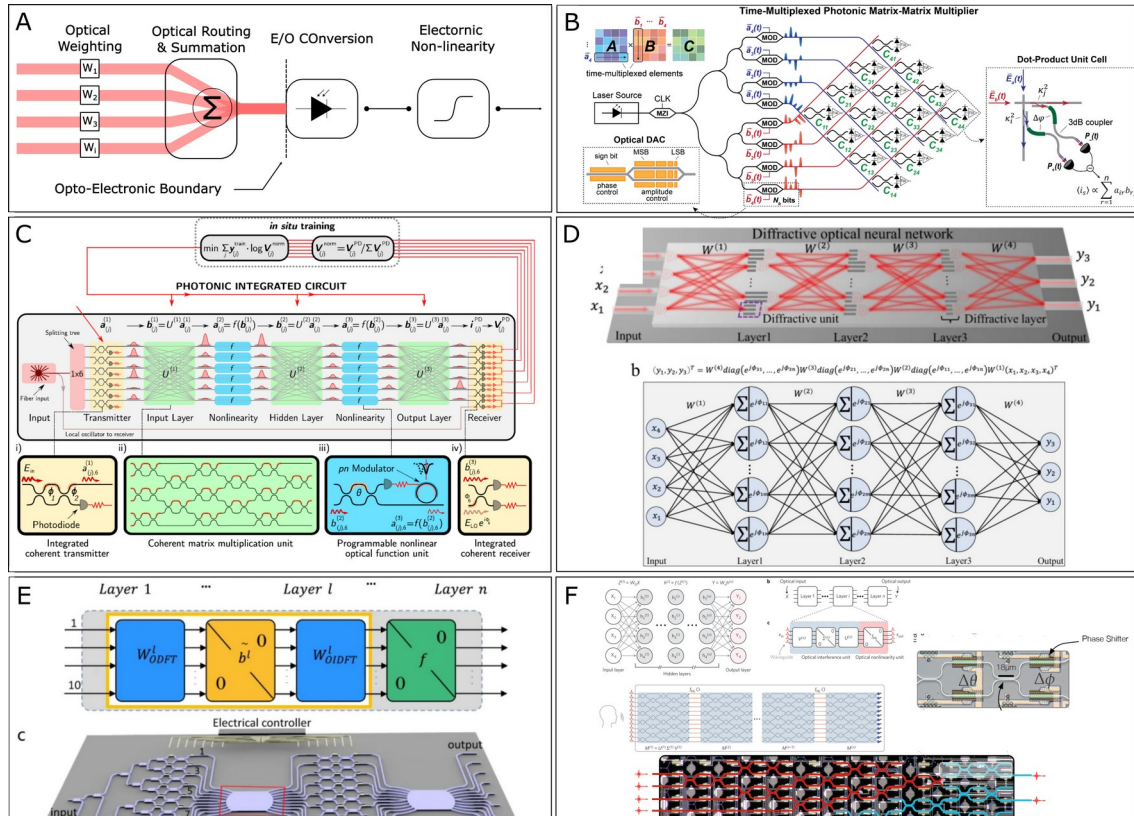
## 256 **Conclusions on coherent optical accelerators**

257 Optical accelerators based on coherent computing schemes have been the most popular in the  
258 literature for several reasons. Reconfigurable units of optical phase shifters can be easily  
259 fabricated on university fabrication facilities and scaled up at foundries. Although limited by  
260 the slow thermal time constants, thermo-optic effects have been popular owing to their  
261 reliability, achievable precision ( $>10$ bit) and low loss while there is a clear path to overcome  
262 these using MEMS or nonlinear shifters [94-97]. Recent works have additionally explored  
263 coherent crossbar designs based on arbitrary modulator technologies [98, 99]. Some  
264 approaches have also investigated lithography-free neural networks by free-space optical  
265 projection [100]. Furthermore, exploiting synthetic frequency dimensions has been recently  
266 explored to extend the length of operand vectors within individual components [101, 102].

267 Importantly, coherent approaches, in principle, require a single optical source irrespective of  
268 the matrix size which can be beneficial for scaling to larger architectures. All-optical  
269 cascading becomes straightforward here since the whole network is implemented by one  
270 optical seed which can in principle be amplified, interconnected and even recirculated  
271 through the network. This theoretical capability for scaling up and cascading represents a  
272 considerable advantage of the coherent approach over the incoherent approach, as previously  
273 delineated in the remarks on incoherent methodologies. Nonetheless, while scaling and  
274 cascading are theoretically feasible, their practical realization presents substantial challenges.  
275 The number of interferometers scales with  $N^2$  for an  $N$ -to- $N$  mapping. For larger

276 architectures, the cumulative impact of phase errors, induced by factors such as random phase  
277 noise, thermal crosstalk, thermal fluctuations, and fabrication imperfections, becomes  
278 increasingly pivotal and could significantly compromise the accuracy of coherent addition.  
279 Although challenges posed by thermal fluctuations and fabrication imperfections can be  
280 mitigated through feedback control and trimming techniques, the eradication of accumulated  
281 random phase noise and thermal crosstalk remains a formidable task [103]. This has severe  
282 implications on the trainability of the network, particularly doing this off-chip [5]. Several  
283 approaches to overcome these limitations have been proposed on an architecture and device  
284 level [32, 76, 104-106]. Finally, combining additional orthogonal degrees of freedom  
285 becomes non-trivial for coherent approaches due to the narrowband characteristics of the  
286 devices. While a precise estimation of the parallelisation capacity via wavelength or mode  
287 division multiplexing in each individual technology is currently premature, it is evident that  
288 parallelisation becomes increasingly challenging with the size of the network, particularly for  
289 MZI-based approaches. Dense multiplexing in diffractive neural networks remains to be  
290 demonstrated but could provide a promising outlook for the field.

291



292 **Figure 3:** Photonic accelerators based on coherence A) Schematic of coherent superposition  
 293 of optical signals. B) Coherent photonic crossbar arrays for large-scale matrix-matrix  
 294 multiplication [107] C) Single chip photonic deep neural network with accelerated training  
 295 [108]. D) Photonic machine learning with on-chip diffractive optics [89] E) Space-efficient  
 296 optical computing with an integrated chip diffractive neural network [92]. F) Deep learning  
 297 with coherent nanophotonic circuits [72]

298

### 299 **Optical Nonlinear Neurons**

300 While photonics provides clear advantages in terms of latency, compute density and in  
 301 certain cases in energy for linear operations, incorporating efficient all-optical nonlinearities  
 302 remains a considerable challenge. A compromise needs to be made in most cases between  
 303 operating in the optical domain and exploiting weak optical nonlinear effects or employing  
 304 the non-linear activation functions electronically. In the latter case, high responsivity  
 305 photodetectors and high efficiency modulators are needed or else transimpedance gain is

306 required to amplify the signal from the photodiode [109]. A choice also has to be made on  
307 whether to convert the signal back to the optical domain or proceed with CMOS.

### 308 **All-Optical Nonlinearities**

309 Optical nonlinearities rely on the interaction of the optical field with the material. The  
310 nonlinear effects are typically relatively weak and therefore amplification of their effect is  
311 achieved through resonators and interferometers. Some examples of nonlinearity sources  
312 include saturable absorbers, free-carrier dispersion and optical Kerr effects [109],  
313 semiconductor optical amplifiers (SOAs) [110] and lasers [111-113], erbium-doped  
314 amplifiers (EDFAs) [21, 114] as well as material transformations in phase-change materials  
315 (PCMs) [115]. Prucnal and colleagues demonstrated an all-optical neuron in 2020 which  
316 comprised of a cavity-loaded MZI device on a silicon photonic platform which exploited the  
317 free carrier dispersion effect to demonstrate sigmoid, radial-basis, clamped rectified linear  
318 unit, and softplus functions, with tunable thresholds [109]. (figure 4 A) Phase change  
319 materials have also been demonstrated as all-optical non-linear activation functions. Here the  
320 material performs a thresholding of an input signal as it experiences a phase transition above  
321 the crystallisation temperature or melting point for ultrashort pulses which induce  
322 amorphization [115, 116] [117]. This approach was recently employed to show multilevel  
323 switching between 45 unique synaptic weights for long-term depression (LTD) and long-term  
324 potentiation (LTP) [118]. The transient properties of dynamic switching in PCMs was also  
325 explored for all-optical filtering in the time domain [119].

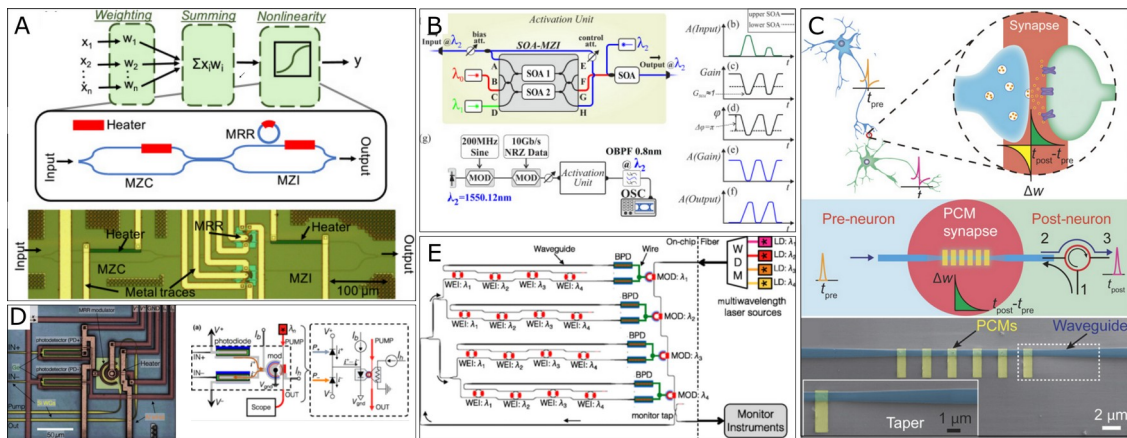
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### 327 **Opto-electronic nonlinearities**

328 Although potentially slower and energy intensive, converting the intensity of an optical signal  
329 to the electronic domain can provide a pathway for implementing stronger non-linearities.

330 One of the most prevalent approaches is the use of electrooptical modulators to operate on the  
 331 signal of a photodiode [120-124]. In most cases, an amplification stage is required for the  
 332 optical signal of the photodetector in order to re-apply the signal to the neuron. Finally,  
 333 VCSELs, can be employed as they react nonlinearly to both optical and electronic injection in  
 334 addition to high wall efficiency (30%), multi-GHz modulation speeds and fJ energy  
 335 consumption. [35].

336



337 **Figure 4:** Optical nonlinearity implementations in photonic ANNs A) Reconfigurable all-  
 338 optical nonlinear activation functions for neuromorphic photonics [109]. B) An all-optical  
 339 neuron with sigmoid activation function [110] C) On-chip photonic synapse based on PCMs  
 340 [113, 115]. D,E) Silicon Photonic Modulator Neuron [122]

341

### 342 Reservoir Computing

343 Photonic reservoir computing has attracted significant attention as it reduces the complexity  
 344 by no longer requiring to control all individual nodes of a neural network while maintaining  
 345 computational speeds. From a systems perspective reservoir computing (RC) makes up a  
 346 unique sub-category of recurrent neural networks, where the “trainer” is agnostic to the  
 347 physical processes occurring in the inner layers of the system. Here, the reservoir is treated as

348 a black box, with random, temporally fixed nonlinear nodes wherein training they system  
349 entails the modification of a set of nodes that form the output layer [125]. By virtue of the  
350 recurrence, the output of the reservoir depends on the previous time-steps thereby possessing  
351 characteristics of short-term leaky memory [126]. Any highly interconnected, stable,  
352 nonlinear dynamical system that exhibits the characteristics of fading memory can be  
353 exploited as a reservoir [127]. Owing to the lower complexity of RC schemes, several  
354 photonic implementations have naturally emerged, particularly in free-space applications  
355 where augmenting the complexity of the reservoir is not spatially bound [128][129]. In  
356 guided optical systems, this was demonstrated in rudimentary form in a system consisting of  
357 a single nonlinear node originating from OEO conversions and a delay line [130, 131]. A  
358 similar approach was undertaken by Larger et al., demonstrating spoken digit recognition and  
359 time series prediction tasks [132]. Vandoorne and co-workers explored an integrated silicon  
360 photonic reservoir employing a semiconductor optical amplifier (SOA) as the source of  
361 nonlinearity [133] with an experimental demonstration that followed [134]. Several  
362 approaches of reservoirs consisting of a coupled grid of microring resonators have also been  
363 explored [135-138] as well as alternative sources of nonlinearity which aim at reducing the  
364 consumption of the SOA while maintaining the required strength [128, 139]. A large-scale  
365 integrated RC approach was demonstrated by Nakajima et al., where both the input signals  
366 and reservoir weights were optically encoded in the spatiotemporal domain with parallel  
367 processing capabilities based on wavelength multiplexing [140]. In this implementation,  
368 passive, linear optical components were employed while the nonlinearity was applied by the  
369 photodetector upon EO conversion.

370

371 **Photonic Ising Machines**

372 Photonic Ising machines (PIM) have recently emerged as an alternative computing modality  
373 to tackle computational tasks for which classical Von-Neumann architectures are inherently  
374 ill-suited [141]. A common example which can be mapped in such hardware is the travelling  
375 salesman problem which requires the shortest possible route between multiple cities to be  
376 computed [142]. This optimisation task along with many other nondeterministic polynomial-  
377 time-hard (NP-hard) problems become exponentially more computationally intensive as the  
378 size of the input is expanded [143, 144]– in this case with the number of cities. Approaches  
379 employing the Ising model – a statistical model of interacting bistable states called “spins” –  
380 map the problem to the total energy of the system wherein the ground state represents the  
381 solution. In the presence of energy minima, Ising machines typically do not solve for the  
382 absolute ground state, but rather yield an approximate solution at dramatically improved  
383 speeds. A proliferation of photonic Ising machines appeared in the literature in the middle of  
384 the previous decade primarily employing networks of coupled optical parametric oscillators  
385 (OPOs) [141, 145]. Initially, demonstrations were limited to a hundred spins and were soon  
386 after expanded to networks of 2000 spins [146, 147] . Integrated photonic approaches to  
387 implement part of the Ising machine have since been explored [148, 149]. More recently,  
388 freespace implementations of photonic Ising machines using spatial light modulators have  
389 attracted significant attention primarily owing to their ability to scale up the number of nodes  
390 [150-152].

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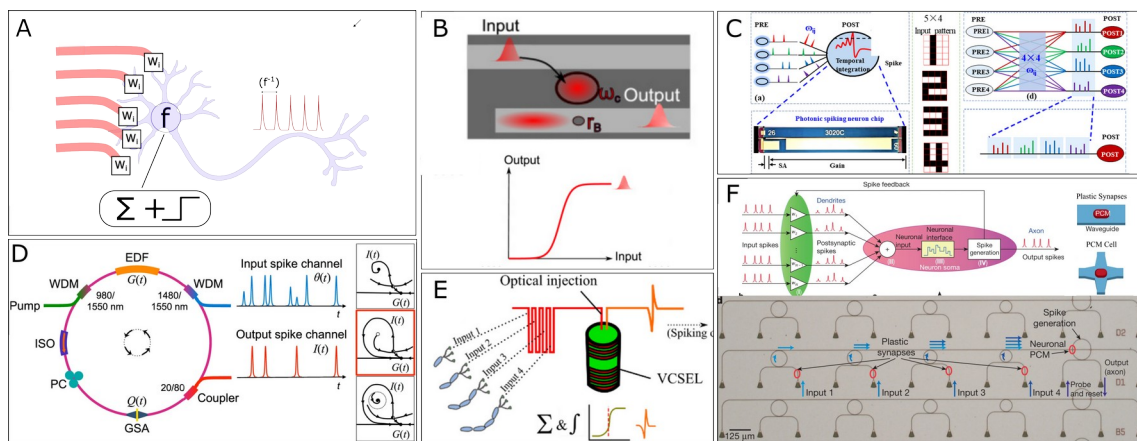
## 392 **Spiking Neural Networks**

393 Biological neurons exploit the temporal dynamics of signals to process information encoded  
394 in short pulses termed spikes (fig. 5a). Spiking implies digitisation of the signals in amplitude  
395 and analogue processing in the temporal domain. Spiking Neural Networks (SNNs) operate  
396 on abrupt, short pulses with consistent duration and amplitude. This approach can be well  
397 suited or photonic implementations because the short spikes or pulses are not inhibited by  
398 capacitive delays that are otherwise common in electronic implementations. In a SNN, each  
399 neuronal unit remains active solely when receiving or emitting spikes, rendering idle any  
400 neurons that do not encounter any events [4]. This inherent sparsity in the computational task  
401 is partly responsible for the energy efficiency in biological brains [153]. Yet, as a highly  
402 nonlinear process, the generation of- and processing via- spikes remains challenging to  
403 efficiently emulate by all-optical circuits. Additionally, the generation of the spikes needs to  
404 be accompanied by the inhibitory dynamics found in biological neurons. The majority of  
405 recent efforts have exploited inherent system instabilities operating in the excitable regime or  
406 have implemented an active medium such as a PCM.

407 The most common approach for optical SNNs employing VCSELs and operating in an  
408 excitable regime where the dynamical system properties induce all-or-none responses. This  
409 can be achieved by integrating the VCSEL with a saturable absorber such as graphene or  
410 operating in an injection-locked excitable state [35, 154, 155]. Initial investigations of spiking  
411 dynamics were realised by Hurtado et. al, where polarisation switching was exploited to  
412 mimic phase (single spike generation) and tonic spiking (generation of serial spikes).[111,  
413 156-158] The authors demonstrated the generation of sub nanosecond pulses for orthogonal  
414 and parallel injection. An alternative approach to produce spikes is through the integration of  
415 a saturable absorber with the laser. Optical pumping of micropillar VCSEL arrays were  
416 shown to exhibit spiking dynamics and explored for absolute and relative refractory times,

417 spike latency, temporal summation and computations. [159-161]. In 2020, ultra-fast optical  
 418 spikes were demonstrated for edge detection in response to optical inputs, demonstrating all-  
 419 optical spike-based computing [162, 163]. While applications of VCSELs to SNN is  
 420 promising, an important challenge is their integration with integrated waveguides. Here,  
 421 additive manufacturing of polymer photonic interconnects to connect the two platforms is an  
 422 exciting prospect [164-168]. Monolithic integration of nano lasers on the other hand could be  
 423 an exciting prospect [169]. Recently two emerging technologies have been explored for  
 424 spiking networks, particularly, optical parametric oscillators (which were covered in the  
 425 context of Ising machines) [170] and resonant tunnelling diodes (RTDs) [171]. Although  
 426 RTDs do not provide a homogeneously optical solution, their structural simplicity could  
 427 provide highly complex non-linear behaviour [172-174].

428 An alternative approach to produce time dependent spike dynamics is through the use of  
 429 PCMs. Feldmann et. al. demonstrated in 2019 a spiking neuron where input spikes are



430 weighted using PCM cells and summed up using a multiplexer. The device then performs the  
 431 integration of the power and a spike is generated when the power is above a certain threshold  
 432 required to induce a phase transition [175]. One particular challenge with this approach is that  
 433 although reversible, the material does not spontaneously return to its initial state due to the  
 434 nonvolatility of PCM used. An additional operation is required to bring the material to its

435 initial state for the neuron to fire again which could however be achieved using a constant  
436 repetition amorphization signal. Alternative materials with tuneable volatility such as  
437 vanadium dioxide (VO<sub>2</sub>) [176] and elemental antimony (Sb) [177] could resolve this  
438 limitation.

439 **Figure 5:** Spiking and photonic SNNs A) Schematic. B) All-optical non-linear activation  
440 function for neuromorphic photonic computing using semiconductor Fano lasers [113]. C)  
441 Photonic computing with a spiking neuron chip based on an integrated Fabry–Perot laser with  
442 a saturable absorber [178]. D) Graphene laser-based all-optical fiber neurons. [179] E)  
443 Ultrafast neuromorphic photonic image processing with a VCSEL neuron [180]F) All-optical  
444 spiking neurosynaptic networks with self-learning capabilities [175]

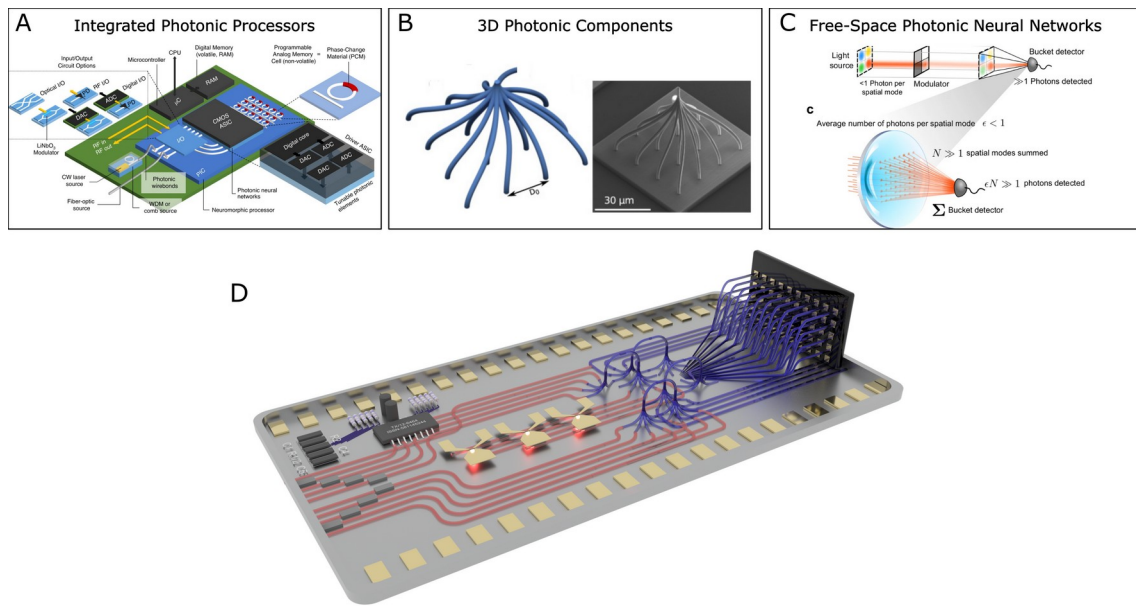
445

#### 446 **Box 1: Integrated Photonics Going 3D**

447 Since early works in optical computing and photonic neural networks first appeared, there has  
448 been a dichotomy between integrated and freespace photonic implementations [64, 181-183].  
449 Guided optical systems in principle provide a clearer path to miniaturisation and integration  
450 while freespace optical systems provide greater flexibility and additional spatial degrees of  
451 freedom. Recent advances in nanofabrication, primarily using 3D printing of transparent  
452 polymers [184, 185] have led to the conception of devices, systems and networks that in  
453 principle combine the advantages of both (fig. 6) [186-188]. Photonic 3D printing for  
454 interconnects in particular has provided a clear pathway to merging different material  
455 platforms by seamlessly coupling between heterogenous chiplets [167, 189]. A multitude of  
456 3D components including 1to N cascable couplers have already been demonstrated with  
457 excellent transmission and broadband characteristics which could help augment the  
458 multiplexing capacity of PICs (fig. 6b) [164, 190]. One particular challenge moving forward,  
459 is transitioning form passive to active 3D photonic components. Achieving this will naturally  
460 require innovations from both the optics, nanofabrication and materials communities in order  
461 to embed information storage, optical modulation, detection and amplification capabilities.

462 While it remains to be implemented at scale, an exciting prospect for the field is the  
 463 integration of conventionally freespace active components such as VCSEL arrays, SLMs and  
 464 volume storage and diffractive elements with integrated circuits [191-193].

465



466 **Figure 6:** Photonic wirebonding could be pivotal in merging integrated and free-space optical  
 467 computing A) Illustration of the future integrated optoelectronic processors [11]. B) 3D  
 468 printed multimode photonic interconnects[164]. C) A free-space optical neural network using  
 469 less than 1 photon per multiplication [194]. D) Illustration of a photonic processor  
 470 incorporating integrated, freespace and 3D optical elements

471

## 472 Analysis and Conclusions

473 We conclude this review by of the recent advancements in photonic neuromorphic computing  
 474 hardware, with a particular emphasis on pinpointing the specific computing step at which the  
 475 conversion from optical to electrical takes place. Any operations that occur before this pivotal  
 476 step are indicative of the tasks that are transferred from electronics to photonics, capitalizing  
 477 on the superior processing bandwidth, energy efficiency, parallelism, and reduced latency  
 478 inherent to photonics. This pivotal step itself manifests as a bottleneck, marking the  
 479 intersection of the optical and electrical domains, necessitating a matching of their respective

480 operating bandwidths and requiring conversion and control overheads within the system.  
481 Subsequent steps, implemented electronically, elucidate the prevailing challenges and guide  
482 the trajectory for future advancements, with the objective of integrating an increasing number  
483 of neuromorphic computing steps into the photonic domain. We foresee that significant  
484 impact resides in augmenting the scalability and cascadability of photonics and in amplifying  
485 the nonlinear optical responses. The enhancement of scalability and cascadability is pivotal,  
486 aspiring to confer to photonic neuromorphic computing a level of interconnectivity that is  
487 closer and closer to that of the brain. Three key aspects to realize heightened scalability and  
488 cascadability include the minimization of optical losses, the optimization of control and  
489 feedback systems and algorithms, and the efficacious utilization of optical degrees of  
490 freedom. The amplification of nonlinear optical responses is instrumental in enabling all-  
491 optical spiking neural networks to leverage the efficiency gains associated with temporal  
492 processing. Given that the conventional methodologies for harnessing optical nonlinearity are  
493 frequently inefficient, we anticipate that advancements at the material level for higher optical  
494 nonlinearity, coupled with device-level designs to actualize elevated nonlinear response, will  
495 emerge as promising solutions.

496 While the development of optical nonlinear neurons and their associated neural networks  
497 remains predominantly at the research stage, the evolution of photonic accelerator  
498 technologies—which facilitate linear operations such as coherent and incoherent MVMs—  
499 has demonstrated substantial industrial relevance. These technologies are nearing a stage  
500 where they can make a tangible impact in practical applications. In the near-term,  
501 harmonizing both photonic and electronic elements, is realized. In this paradigm, photonic  
502 accelerators would supplement the electronic processor by managing the substantial linear  
503 matrix-vector-multiplication (MVM) operations, while the electronic processor would be  
504 responsible for executing nonlinear activation functions, logical operations, control, and

505 synchronization. To appraise the efficacy of any proposed photonic accelerator, we have  
506 delineated four key Figures of Merit (FOMs):

- 507 i. Throughput, measured in tera-operations per second (TOPS),
- 508 ii. Latency, specific to each MVM operation
- 509 iii. Power efficiency, measured in TOPS/W,
- 510 iv. Compute density, measured in TOPS/mm<sup>2</sup>.

511 A comprehensive derivation and critical analysis pertaining to the evaluation of these FOMs  
512 are discussed in [33]. For the sake of brevity and focused discourse in this context, we will  
513 primarily underscore the major outcomes of the evaluation. We consider a scenario where the  
514 photonic accelerator is engaged in processing  $Q$  parallel input vectors of dimension  $M$  using  
515  $N$  kernels, operating at an input sampling rate of  $f$  with 4-bit resolution. For a moderate  
516 scaling scenario where  $M=10$ ,  $N=10$ ,  $Q=4$ , and  $f=10$  GSa/s, the photonic accelerator exhibits  
517 latency that is enhanced by more than seven orders of magnitude across all architectures  
518 when compared to the latest Google TPU v4, an ASIC specifically designed for tensor  
519 operations. This substantial reduction in latency can be attributed to the absence of capacitive  
520 delay, a primary bottleneck in electronic systems. Latency in photonic accelerators is  
521 exclusively determined by the optical path length. While the throughput is observed to be  
522 lower due to the small scale of photonic accelerators, the power efficiency demonstrates an  
523 improvement of around one order of magnitude, and the compute density remains  
524 comparable.

525 Projecting into a future scaling scenario with parameters  $M=100$ ,  $N=100$ ,  $Q=16$ , and  $f=50$   
526 GSa/s, the advantage in latency remains very significantly. Employing architectures such as  
527 the MZI mesh, MRR array, or crossbar array results in the throughput, power efficiency, and  
528 compute density all surpassing Google TPU v4 by two orders of magnitude. However, when

529 utilizing the charge accumulation method, these three FOMs are observed to be comparable  
530 to Google TPU v4. In the charge accumulation method, the entries of a vector are  
531 successively input at the sampling rate  $f$ , resulting in a decrease in overall throughput, and  
532 consequently impacting the power efficiency and compute density. In contrast, the other three  
533 architectures facilitate the simultaneous input of the entries of a vector by leveraging the  
534 spatial degree of freedom within one sampling duration, culminating in higher throughput  
535 and enhanced performance across the other FOMs. One must note that moving from  
536 moderate scaling to future scaling is not as simple as putting small modules together to make  
537 a larger architecture. Optical losses, especially insertion losses should be reduced  
538 considerably to ensure that the optical power is above the electrical noise at the receivers;  
539 robust integrated (de)multiplexing modules accommodating a large optical bandwidth and  
540 increased modes should be designed to accommodate the larger number of parallel computing  
541 channels; and high-speed high-density electro-optic interfaces should be developed for large  
542 scale/high-speed fan-in/fan-out photonic accelerators. Nonetheless, an encouraging result  
543 obtained from the above-mentioned evaluation suggests that even a small  $6 \times 6$  photonic tensor  
544 core operating at  $Q=4$ , and  $f=25$  GSa/s can achieve power efficiency and compute density  
545 comparable to the latest Google TPUv4 [63].

546 It is noteworthy that, across both moderate and future scaling scenarios, the three distinct  
547 architectures — the MZI mesh, MRR array, and crossbar array — exhibit comparable  
548 compute densities and power efficiencies. A closer inspection of the power and area  
549 consumption patterns within the photonic accelerators provides clarity on this similarity. In  
550 each of the aforementioned architectures, the dominant contributors to power consumption  
551 are the transmitter, receiver, and ADC. Area consumption is primarily dictated by the  
552 transmitter and ADC. Given that these components are essentially the electrical constituents  
553 tasked with Electro-Optic-Electro (E-O-E) conversion within the photonic accelerators, their

554 specifications remain consistent across the three architectures. This results in similar power  
555 efficiencies and compute densities. These observations underscore the importance of  
556 optimizing the power and area consumption of the electrical components, specifically the  
557 transmitter, receiver, and ADC, in the quest to engineer photonic accelerators with superior  
558 power efficiency and compute density. While fine-tuning the photonic processor will  
559 undeniably yield enhanced throughput and reduced latency, the broader impact lies in  
560 addressing these electrical elements. Moreover, it is imperative to highlight that as we look  
561 towards further scaling, optical loss is poised to become the prevailing bottleneck  
562 constraining power efficiency. In such scenarios, the charge accumulation method stands out  
563 with a discernible advantage. Its ability to aggregate multiple low-power optical signals,  
564 thereby producing an output that surpasses the detection threshold, effectively ameliorates the  
565 demands on optical power.

566

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577

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979 **Short Summary**

980 Neuromorphic photonics has emerged as a platform which aims to address the growing  
981 computational demands of modern society. Here, we review recent advances in integrated  
982 synaptic optical devices and on-chip photonic neural networks and discuss challenges  
983 associated with electro-optical conversions, implementations of optical nonlinearity,  
984 amplification and processing in the time domain.

985 **Key Points**

- 986 • In this review article, photonic computing hardware is broadly categorized based on  
987 the depth at which opto-electronic conversions take place. These include (i)  
988 incoherent electro-optical processors, (ii) coherent electro-optical processors and  
989 (iii) all-optical neural networks including photonic spiking neural networks
- 990 • Looking into the future of photonic computing, we project that coherent and  
991 incoherent approaches alike have the potential to surpass state of the art electronics  
992 hardware (e.g. Google TPU v4) by two orders of magnitude in throughput, power  
993 efficiency, and compute density.
- 994 • Three-dimensional photonic components produced by 3D printing technologies are  
995 bridging free-space and in-fibre photonic neural networks with integrated photonic  
996 accelerators providing an exciting outlook into the future.
- 997 • Although the field of integrated photonic spiking neural networks is still at its infancy,  
998 SNNs are particularly well suited to photonics as photonic SNNs do not suffer from  
999 capacitive effects present in their electronic counterparts. As the individual devices  
1000 are only active during a spike, SNNs offer energy-efficient computing modality.

1001