

1 **Plant life needs cell death, but does plant death need Cys proteases?**

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7 **Running title:** Plant cell death without Cys proteases

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9 vacuolar processing enzyme, tracheary elements, seed coat, suspensor, tapetum, hypersensitive response.

10 11 **ABSTRACT**

12 **Caspases are key regulators of apoptosis in animals. This correlation has driven plant researchers**
13 **for decades to look for caspases regulating PCD in plants. These studies revealed caspase-like**
14 **activities, caspase-related proteases and other Cys proteases regulating PCD in plants, but no**
15 **caspases, and no conserved death pathway as in apoptosis. Here, we critically review the evidence**
16 **for Cys proteases implicated in cell death in plants. We discuss the role of papain-like Cys**
17 **proteases, vacuolar processing enzymes and metacaspases in PCD during development of tracheary**
18 **elements, seed coat, suspensor, tapetum and during the hypersensitive response. There are several**
19 **convincing cases where these Cys proteases are required for PCD, but this requirement seems often**
20 **not conserved across different plant species and there are also cases where Cys proteases contribute**
21 **to PCD without being essential, or have other roles than regulating PCD. These data illustrate the**
22 **need for caution generalizing the role of Cys proteases regulating PCD in plants, and calls for**
23 **studies on other PCD regulators and other roles of plant Cys proteases.**

24 25 **INTRODUCTION**

26 Life needs death. In virtually all forms of life, immunity and development depends on cell death. In
27 metazoans, cell death occurs during innate immunity¹, cell differentiation, development of the nervous
28 system² and gametogenesis. Cell death has been widely described in plants as well, where it contributes to
29 responses to infection and to differentiation of tissues and organs^{1,2}.

30 As indicated by its name, programmed cell death (PCD) is regarded as an orchestrated combination
31 of signalling events (a program) that culminates in cell death. In general terms, PCD involves DNA
32 fragmentation, organelle ‘degradation’ and, in some cases, the clearance of cell remains. These events are
33 not random and a wide variety of cell types are programmed to commit suicide. Based on the underlying
34 genetic components and morphological features, several types of PCD have been described in animal
35 systems, apoptosis being the most widely studied one^{4,5}.

36 Caspases are considered key regulators of apoptosis. Caspases rely on a cysteine (Cys) residue for
37 their catalytic activity and cleave peptide bonds after aspartate (Asp) residues, hence their name. During

38 animal apoptosis, perception of a pro-apoptotic factor activates initiator caspases and these activate
39 executioner caspases, which in turn initiate a suite of events that will culminate in cell death⁶. In addition
40 to caspases, other Cys proteases, as well as aspartic and serine proteases (which rely on Asp and Ser residues
41 for their catalytic activity, respectively), have also been implicated in PCD in animals^{7,83}.

42 The important role of caspases regulating apoptosis in animals has had a strong influence on plant
43 research. Showing that caspases also regulate PCD in plants has for long been one of the ‘Holy Grails’ in
44 plant science. The use of synthetic caspase substrates and inhibitors have driven the hypothesis that plants
45 possess caspase-like proteases, because caspase substrates are cleaved during PCD in plants, and caspase
46 inhibitors block PCD in plants⁹. However, plant genomes do not encode caspases. Meanwhile several other
47 plant proteases have been identified that are either caspase-related proteases (metacaspases) or can convert
48 caspase substrates and/or be inhibited by caspase inhibitors (e.g VPEs, saspases and proteasome). However,
49 knockout lines of these proteases still undergo PCD, sometimes reduced or delayed, and these knockout
50 lines have no obvious developmental phenotypes, suggesting that PCD in plants is not entirely dependent
51 on these proteases. In this review we focus on some of these examples by discussing the roles of Cys
52 proteases in five instances of PCD in plants (**Figure 1**). Based on our critical interpretation of the available
53 data, we have classified the different Cys proteases in the following three categories (**Table I**):

- 54 1) **Required** for PCD: PCD is abolished or its onset is delayed (late PCD) in the protease null mutant;
- 55 2) **Contributes** to PCD: cell death still occurs in a protease null mutant, but PCD is slower (not later);
- 56 3) **Nonessential** for PCD: PCD is unaffected in protease null mutant.

57

58 **Caspase-like proteases in plants**

59 Caspases belong to family C14A in clan CD in the Merops peptidase database¹⁰, but plant genomes do not
60 code for caspases. However, the finding that PCD in plants can be suppressed by caspase inhibitors, and
61 that caspase-like activities are detected during PCD in plants has sparked research lines aimed at the
62 identification of caspase-like protease families that fulfil a role in plant PCD, similar to that of animal
63 caspases. These families are briefly explained below and summarized in **Table II**.

64 **Papain-like Cys proteases** (PLCPs, family C1A of clan CA) are also Cys proteases, like caspases,
65 but differ significantly in substrate specificity, as PLCPs are selective for sites two residues after a
66 hydrophobic residue (P2=Ile/Leu/Phe/Val), whereas caspases target P1=Asp sites. In addition, PLCPs have
67 a different fold and are normally secreted into the vacuole, vesicles or apoplast, whereas caspases are
68 cytonuclear proteins.

69 **Metacaspases** (MCs, family C14B of clan CD) are evolutionarily related to caspases, but their
70 substrate specificity is distinct from caspases as MCs prefer P1=Lys/Arg (basic residues) whereas caspases
71 prefer P1=Asp, an acidic residue. MCs have, however, the same catalytic dyad (Cys and His) as caspases.
72 Various subcellular locations have been described for plant MCs.

73 **Vacuolar Processing Enzymes** (VPEs, family C13 of clan CD) are structurally and evolutionarily
74 related to caspases, but they are located in the vacuole. Their substrate specificity is distinctly after P1=Asn

75 residues and they are hence also called asparaginyl endopeptidases (AEPs). However, they can also cleave
76 after P1=Asp at low pH, and therefore have caspase-like activity.

77 In conclusion, because the term ‘caspase-like’ has been used in different ways, the nomenclature
78 has become very confusing. Caspase-like either means: 1) Cys proteases that belong to the same CD clan
79 and are structurally and evolutionarily related to caspases (e.g. VPEs and MCs); 2) able to cleave caspase
80 substrates or inhibited by caspase inhibitors (P1=Asp, e.g. VPEs); or 3) Cys proteases implicated in
81 regulating PCD (e.g. PLCPs). Thus, it is important for the plant science community to use a more specific
82 terminology in the future.

83

84 **Table II: properties of caspases and ‘caspase-like’ proteases in plants**

	Family	Clan	Catalytic residue	Subcellular location	Substrate specificity
Caspases	C14A	CD	Cys	Cytonuclear	P1=Asp
PLCPs	C1A	CA	Cys	Endomembrane	P2=Ley/Ile/Phe/Val
MCs	C14B	CD	Cys	Various	P1=Lys/Arg
VPEs	C13	CD	Cys	Vacuole	P1=Asn(/Asp)

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87 **Proteases associated with tracheary element PCD**

88 The xylem is responsible for the movement of water and nutrients from the roots to the shoot of the plant.
89 Tracheary elements (TEs), which constitute the xylem, differentiate from meristematic tissue and undergo
90 deposition of a thick secondary cell wall and PCD, resulting in the formation of hollow tube-like structures
91 that mediate water transport. Multiple components of TE differentiation and PCD have been identified
92 using an *in vitro* system that induces differentiation of mesophyll cells of *Zinnia elegans* into TEs upon
93 hormone treatment. The PCD process appears to be initiated through the activity of at least two NAC
94 transcription factors: Vascular-related NAC Domain 6 (VND6) and VND7 in *Arabidopsis thaliana*
95 (*Arabidopsis*) and poplar^{11,12,13}. Loss of tonoplast integrity and the concomitant rupture of the vacuole are
96 considered to be the actual moment of cell death during TE differentiation^{14,15}. Tonoplast rupture would
97 facilitate the release of vacuolar hydrolytic enzymes into the cytoplasm, causing autolysis and final
98 clearance of the cell corpse.

99 Aimed at identifying Cys proteases that regulate TE-PCD, Beers and colleagues identified two
100 Xylem-specific PLCPs (XCP1 and XCP2) that are specifically expressed in xylem tissues at the onset of
101 PCD¹⁶. However, despite the expectation that these proteases would regulate PCD in TE-PCD, both the
102 single *xcp1* and *xcp2* mutants and also the *xcp1/xcp2* double mutant showed normal TE-PCD and no
103 developmental phenotype¹⁷. Further analysis using Transmission Electron Microscopy (TEM) revealed that

104 the Arabidopsis *xcp1* null mutant and the *xcp1/xcp2* double mutant accumulate non-degraded cell remnants,
105 indicating these plants are impaired in autolytic processing that occurs *post mortem*¹⁷. Therefore, the role
106 of XCP1 and XCP2 is dispensable during TE-PCD though this does not exclude the possibility that they
107 act redundantly with other regulators.

108 Analysis of the expression pattern of metacaspase 9 from Arabidopsis (*AtMC9*) suggested it might
109 instead regulate TE-PCD¹⁸. However, electron microscopy analysis indicated that cell death started at the
110 same time in *atmc9* mutant and wild-type plants, but cell clearance was reduced in *atmc9* mutant plants¹⁸.
111 Furthermore, the *atmc9* mutant and also the *atmc9/xcp1/xcp2* triple mutant did not show a developmental
112 phenotype, confirming that xylem differentiation is ultimately successful. In addition, microarray analysis
113 of the *atmc9* mutant did not show altered expression of PCD-related genes. Thus, similar to XCP1, *AtMC9*
114 participates in *post mortem* autolysis rather than in PCD. However, the story is more complicated as a recent
115 report indicates that *AtMC9* contributes to the confinement of PCD to the TE through regulation of
116 autophagy¹⁹.

117 In conclusion, the contribution of PLCPs and MCs in TE development appears to be more related
118 to *post mortem* events rather than PCD. The fact that clearance of TE does occur in *atmc9/xcp1/xcp2*
119 mutants, albeit incomplete, implies the involvement of other components like other proteases or hydrolases.
120 For instance, proteasome inhibitors delay *in vitro* differentiation of mesophyll cells of *Z. elegans* and alter
121 the expression and activity of Cys proteases²⁰. Further studies in poplar and Arabidopsis indicate that the
122 20S proteasome causes the caspase-like activity detected during TE differentiation but genetic evidence is
123 lacking to support this claim²¹. In addition, PCD during *in vitro* differentiation of mesophyll cells of *Z.*
124 *elegans* coincides with the secretion of a 40 kDa serine protease which may be implicated in PCD²².
125 However, this hypothesis has not been confirmed and the evidence supporting the role of this protease in
126 PCD is only circumstantial²². Furthermore, also nucleases are released from the vacuole during TE
127 development. ZEN1 (*Zinnia* Endonuclease-1) is an S1-type endonuclease mediating DNA fragmentation,
128 though also this is likely a *post mortem* event²³⁻²⁵. Interestingly, BFN1 (bifunctional nuclease 1), the
129 ortholog of ZEN1 in Arabidopsis, is also expressed during TE-PCD but so far it has only been implicated
130 in cell clearance upon PCD in the lateral root cap⁴.

131 132 **Proteases implicated in seed coat PCD**

133 The seed coat of Arabidopsis consists of inner and outer integuments, each composed of three and two cell
134 layers, respectively. As embryogenesis progresses, the inner integument shrinks due to PCD of its two most
135 internal cell layers (ii2 and ii3) in a process that involves VPEs^{26,27}. Arabidopsis has four VPEs, but *δVPE*
136 is the only VPE-encoding gene that is expressed in the seed coat at early developmental stages²⁷. The
137 integument of two independent *δvpe* null mutant lines of Arabidopsis remained thick throughout
138 embryogenesis, supporting the role of this enzyme in seed coat development²⁷. Likewise, nuclei were
139 detected in these *δvpe* mutants at later stages of seed coat development, suggesting a delay in nuclear
140 fragmentation and PCD. However, despite the delay in PCD, the inner integument of the fully developed

141 embryo of *δvpe* mutants did shrink and these plants produced seeds that were indistinguishable from wild
142 type plants. Furthermore, neither germination nor dormancy was affected in *δvpe* mutant lines²⁷. Though
143 these data support a role for δ VPE in PCD during seed coat development, PCD ultimately still occurs in
144 the absence of δ VPE, indicating that δ VPE is not the key regulator of PCD during seed coat development.

145 There is an additional indication that proteases regulate PCD during seed development. Protein
146 disulfide isomerase 5 (PDI5) is a redox-dependent chaperone, which is expressed in the integument in
147 Arabidopsis seeds just before PCD. Yeast-two hybrid and co-immunoprecipitation assays showed that PDI5
148 interacts with three PLCPs while guiding them to the vacuole before PCD initiates. The *pdi5* mutant lines
149 exhibited reduced seed set and viability²⁸. Because PDI5 was able to suppress Cys protease activity in *in*
150 *vitro* assays, it has been hypothesized that the PLCPs interacting with PDI5 mediate PCD once PDI5 is
151 released. It is striking, however, that all three identified PLCPs interacting with PDI5 carry a Cys-rich
152 granulin domain that is more likely to bind PDI5 instead of the protease domain of these PLCPs.
153 Furthermore, genetic evidence to demonstrate the involvement of these PLCPs in PCD during seed coat
154 development is still missing.

155

156 **Proteases regulating suspensor PCD**

157 Shortly after fertilization, the apical part of the plant embryo develops into the embryo proper, which will
158 give rise to the future plant. The basal part will form the short-lived embryo suspensor, which connects the
159 embryo proper to parental tissues during seed development. The suspensor facilitates transport of nutrients
160 and growth factors to the embryo proper during the first cell divisions, after which it degenerates due to
161 developmentally-regulated PCD. Embryogenesis can be induced in somatic cells by hormonal treatment,
162 by mimicking the events that occur during zygotic embryogenesis (the consequence of egg fertilization).
163 In fact, a large amount of our knowledge on suspensor PCD comes from the study of somatic embryogenesis
164 in Norway spruce (*Picea abies*)²⁹⁻³¹.

165 McII-Pa is a MC that is exclusively expressed in the cells which differentiate into the suspensor in
166 Norway spruce²⁹. Cell lines with reduced expression of *McII-Pa* failed to undergo a normal somatic
167 embryogenesis upon hormonal treatment²⁹. These lines also had reduced MC activity and no DNA
168 fragmentation associated with PCD^{29,30}. However, *McII-Pa*-silenced lines also did not differentiate to
169 produce suspensor cells that would undergo PCD. Interestingly, reduced expression of McII-Pa also
170 resulted in reduced caspase-like activity. However, recombinant McII-Pa is unable to cleave caspase-like
171 substrates *in vitro*, similar to other tested MCs^{30,31}. These findings suggest that both MCs and a caspase-
172 like activity are required for effective suspensor differentiation and/or PCD, possibly acting in the same
173 signaling cascade.

174 Suspensor differentiation in tobacco (*Nicotiana tabacum*) provides a very strong example of a
175 protease regulating PCD³². Timely PCD of the suspensor in tobacco requires a balance between *Nt*CP14 (a
176 PLCP) and *Nt*CYS (a cystatin regulating *Nt*CP14). Knock-down of *Nt*CP14 results in delayed onset of
177 suspensor PCD whereas reduced expression of *Nt*CYS causes premature PCD. Similar to what has been

178 described for Norway spruce, multiple caspase-like activities were detected. These caspase-like activities
179 increased upon downregulation of *NtCYS* or overexpression of *NtCP14*, but cannot be caused by *NtCP14*
180 itself, suggesting an interplay between *NtCP14* and caspase-like activities during embryo development in
181 tobacco³².

182 The striking finding that depletion of unrelated proteases (McII-Pa and *NtCP14*) abolished or
183 delayed suspensor PCD indicates that this process is differentially regulated in Norway spruce and tobacco,
184 respectively^{29,30,32}. Notably, none of these phenotypes have been reported for genetic studies in Arabidopsis,
185 again suggesting that they might be specific to certain plant species. These studies also uncovered caspase-
186 like activities during suspensor PCD but these are not caused by MCs or PLCPs. Identifying the protease(s)
187 responsible for these caspase-like activities may further untangle the role of proteases during suspensor
188 differentiation.

189

190 **Proteases involved in tapetum PCD**

191 Production of viable pollen requires the degeneration of the tapetum during anther development. Tapetal
192 cells must undergo PCD to ensure release of nutrients and precursors for pollen wall synthesis³³. Cysteine
193 Endopeptidase 1 (CEP1) is an endoplasmic reticulum-localised PLCP that is expressed in the tapetum of
194 Arabidopsis pollen grains at early stages of tapetum development, preceding PCD³⁴. Importantly, *cep1* null
195 mutant plants have a reduced pollen production, suggesting an involvement of CEP1 in pollen maturation³⁴.
196 Transmission electron microscopy analysis of pollen grains of the *cep1* mutant revealed that tapetal PCD
197 is delayed in the absence of CEP1, as both the cell wall and the nucleus remain intact longer than in wild
198 type plants. Importantly, *cep1* null mutant lines as well as transgenic CEP1 overexpressing lines displayed
199 aberrant degeneration of the tapetum and reduced pollen germination³⁴. However, despite the fact that CEP1
200 depletion delays tapetum PCD, tapetum cells of *cep1* mutant plants eventually undergo PCD, associated
201 with TUNEL-positive nuclei. In addition, transcriptome analysis of *cep1* mutant plants revealed increased
202 expression of genes related to cell wall biogenesis, whilst expression of PCD-related genes were unaffected.
203 This raises the question whether CEP1 regulates other processes during tapetum degeneration, apart from
204 PCD itself. Interestingly, recent work revealed the expression of *AtCEP1* and *AtCEP2* in Arabidopsis after
205 ovule fertilization in tissues just before developmental PCD⁵. These findings pose the question as of
206 whether CEP may be involved in other PCD-related processes, other than tapetum development.

207

208 **Proteases implicated in Hypersensitive Cell Death**

209 The hypersensitive response (HR) involves a form of PCD that occurs locally upon recognition of pathogen-
210 derived effectors or toxins. HR-PCD depends on the activation of a signaling cascade that involves a
211 Reactive Oxygen Species (ROS) burst, phosphorylation events, ion fluxes and transcriptional
212 reprogramming^{35,36}. HR-PCD occurs at the site of infection but is not always required for resistance.

213 Strong genetic and pharmacological evidence have implicated a role for proteases in HR-PCD.
214 Infection of Tobacco Mosaic Virus (TMV) on tobacco carrying the *N* resistance gene triggers HR-PCD³⁷.

215 This TMV-induced HR-PCD is dependent on VPEs, as cell death can be blocked by either virus-induced
216 gene silencing (VIGS) of VPEs, or using chemical inhibitors of VPE³⁸. Likewise, fumonisin B1 (FB1), a
217 toxin produced by the fungal pathogen *Fusarium moniliforme*, induces caspase-like activity and PCD and
218 these responses are abolished in an Arabidopsis *vpe* null-mutant line lacking all four *VPE* genes³⁹. Further
219 examples support the involvement of VPEs in other cases of HR-PCD, though their participation in PCD
220 clearly depends on the pathosystem. While HR-PCD elicited in tobacco by the bacterial elicitor harpin was
221 dependent on VPEs, VPEs were not required for PCD induced by the fungal protein Nep1 (necrosis and
222 ethylene-inducing protein) or the oomycete-derived elicitor boehmerin⁴⁰. Likewise, the Arabidopsis *γvpe*
223 mutant line showed reduced PCD and resistance to various pathogens but was still able to mount HR-PCD,
224 indicating mechanisms regulating PCD are still in place⁴¹. Indeed, HR-PCD was also not affected in *vpe*
225 null mutant Arabidopsis plant upon infection with avirulent *Pseudomonas syringae* pv. *tomato* carrying
226 avrRpm1⁴². Hence, while involved in some cases, VPEs are not universally required for HR-PCD.

227 MCs have also been implicated as regulators of HR-PCD. The *atmc1* null mutant Arabidopsis
228 shows reduced HR-PCD upon infection with avirulent *Pseudomonas syringae* pv. *tomato*, which triggers
229 HR-PCD⁴³. Interestingly, the role of *AtMC1* in HR-PCD is regulated by yet another MC, *AtMC2*. The
230 *atmc2* null mutant Arabidopsis shows increased PCD, though this is not dependent on the proteolytic
231 activity of the enzyme⁴³. Remarkably, the interplay between active and inactive proteases has also been
232 described for animal caspases^{43,44}. However, suppression of PCD is incomplete in *atmc1* null mutants,
233 suggesting that HR-PCD also occurs independently of *AtMC1*. The delay in HR-PCD in *atmc1* null mutants
234 is enhanced in double mutants with reduced autophagy, suggesting these two pathways independently
235 contribute to HR-PCD⁴⁵. A dual participation of MCs and autophagy in PCD has also been described in
236 xylem development and suspensor PCD¹⁹⁶.

237 Finally, Arabidopsis *PBA1*, the catalytic $\beta 1$ subunit of the proteasome, also contributes to HR-PCD
238 upon challenge with avirulent *Pseudomonas syringae* pv. *tomato*⁴². Arabidopsis interference RNA (iRNA)
239 lines with reduced *PBA1* transcript levels displayed reduced caspase-like activity and HR-PCD, whereas
240 other defence responses were not compromised, indicating that the contribution of the proteasome in
241 immunity is through its participation in PCD. However, because HR-PCD was not abolished but only
242 reduced upon *PBA1* suppression, it remains to be determined if this is due to residual *PBA1* expression in
243 the iRNA lines or proteasome-independent PCD signaling cascades. In addition, because *PBA1* is part of
244 the proteasome complex where all subunits depend on each other, *PBA1* silencing is likely to reduce the
245 overall proteasome activity and affect plant development, independent of caspase-like activity or PCD.

246

247 **Concluding remarks**

248 The examples discussed above show that some PLCPs, VPEs and MCs contribute to PCD, but that they are
249 often not essential and their involvement depends on the instance of PCD and studied plant species.
250 Therefore, whether Cys proteases are universally controlling PCD in plants remains an open question. Other
251 proteases may also act in PCD. Serine proteases, mostly subtilases for instance, have also been associated

252 with plant PCD⁴⁶⁻⁵⁰. To convincingly demonstrate the role of proteases as regulators of PCD, or in any
253 other biological process, it is paramount to perform genetic experiments and complementation assays with
254 catalytically inactive variants of the candidate protease⁴⁵. Application of Combined Fractional Diagonal
255 Chromatography (COFRADIC) and other mass spectrometry-based proteomics techniques for substrate
256 identification may address the role of proteases in PCD further^{52,53}.

257 However, given the evidence, we must consider the possibility that Cys proteases are not universal
258 regulators of PCD in plants. It is possible that rather than relying on a signaling cascade based on the activity
259 of caspase-like master regulators, plants have evolved multiple redundant proteolytic and non-proteolytic
260 pathways that contribute independently to PCD – a strategy that would provide more phenotypic plasticity
261 and a selective advantage⁵¹. Meanwhile, the overemphasis of the involvement of (Cys) proteases regulating
262 PCD in plants may have hampered the identification of other factors regulating PCD in plants. Regulation
263 of PCD in plants is still not well understood, and additional research activities are needed to elucidate the
264 regulation and control of different instances of PCD in different plant species. Only then we will be able if
265 plants have a conserved master regulator of life and death.

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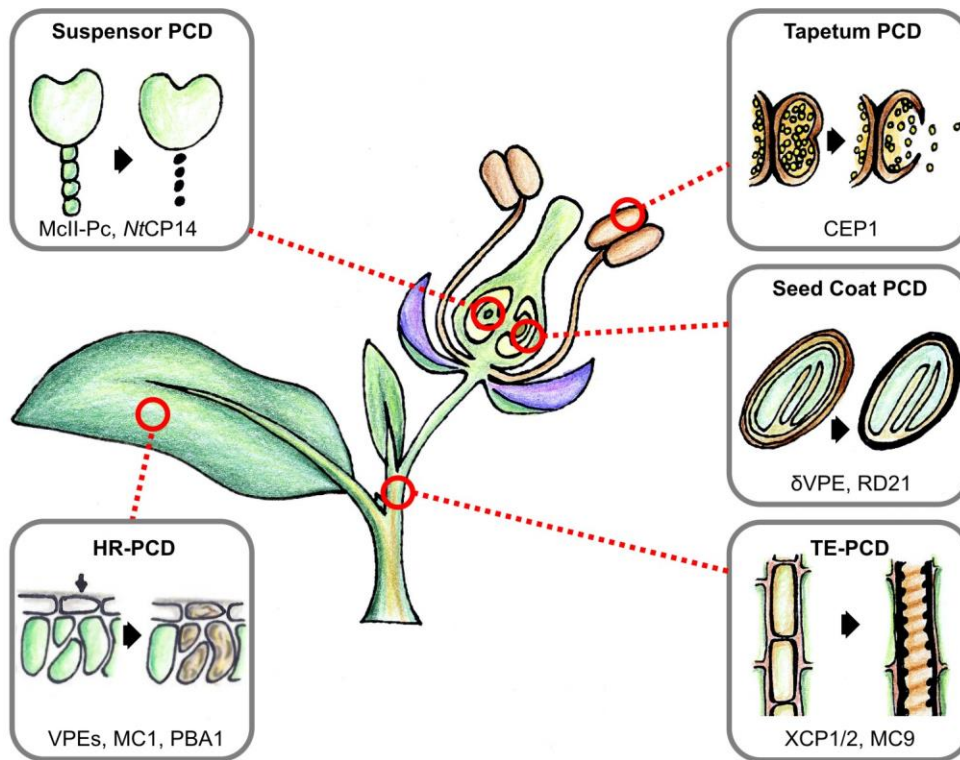
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395
 396 **Figure 1.** Cys proteases implicated in five instances of PCD in plants. This figure summarizes the processes
 397 and proteases that are discussed in this review.