

1 **TITLE: An observational cohort study to evaluate the clinical utility of current and**
2 **second-generation interferon-gamma release-assays in diagnostic evaluation of**
3 **tuberculosis**

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51 **RESEARCH IN CONTEXT**

52 **Evidence before study**

53 Although the role of IGRAs in diagnosis of active TB is unclear, their use in clinical practice
54 is common. A comprehensive systematic review and meta-analysis published in 2011 describes
55 data from studies evaluating diagnostic accuracy of IGRAs in active TB up to November 2009.
56 We therefore searched PubMed for original research studies published in any language between
57 December 2009 and June 2018, using search terms for tuberculosis AND interferon gamma
58 release assays, T-SPOT.TB or Quantiferon AND diagnosis, evaluation, rule-in or rule-out. The
59 evidence-base to-date suggests that current IGRAs have insufficient specificity to rule in TB
60 and insufficient sensitivity to rule out TB. However, this is derived primarily from studies that
61 are either small, low quality, or not representative of patient populations seen in real-life
62 clinical practice. Only one large prospective cohort study embedded in routine practice was
63 identified, but in a high TB-incidence setting. Thus, fifteen years after introduction of IGRAs,
64 the ability of policy-makers in low TB-incidence settings to generate recommendations and
65 guidelines for the role of IGRAs in active TB is still hampered by a paucity of reliable and
66 informative evidence.

67 **Added value of this study**

68 This is the largest prospective study specifically to define the role of IGRAs in diagnosis of
69 active TB in a low TB incidence setting. Because the study was multicentre and embedded in
70 routine clinical practice in England, and recruited patients representing the full natural clinical
71 spectrum of TB, the results are generalisable to other high income, low incidence settings. By
72 demonstrating that existing IGRAs have no useful role in diagnosis of active TB, it resolves a
73 major clinical uncertainty and represents a significant new high-quality component of the
74 evidence-base. Simultaneous evaluation of second-generation IGRA identifies this as a
75 potentially useful high-sensitivity triage test that meets a major unmet clinical need.

76 **Implications of all the available evidence**

77 Results from this and previous studies can now be used to generate evidence-based national
78 guidelines and recommendations for TB diagnosis. Specifically, neither T-SPOT.TB nor QFT-
79 GIT have sufficient sensitivity or negative predictive value (NPV) to rule out a diagnosis of
80 TB. Taken together with their low specificity and consequent inability to rule in a diagnosis of
81 TB, existing IGRAs do not have a clinically useful role in the diagnostic work-up of TB. The
82 finding that the second-generation IGRA may have sufficiently high sensitivity, low negative
83 likelihood ratio and high NPV to serve as a triage test to help rule-out a diagnosis of TB within
84 24 hours indicates a clinically useful role for this novel test and provides the basis for evidence-
85 based guidelines on its use in low incidence settings once it is widely available post-licensure.

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102 **ABSTRACT**

103 **Background**

104 The role of interferon-gamma release assays (IGRAs) in diagnosis of active tuberculosis (TB)
105 is unclear, yet they are commonly used in low-TB-incidence countries. This study sought to
106 resolve this clinical uncertainty by determining the diagnostic accuracy and role of current and
107 second-generation IGRAs in the diagnostic assessment of suspected TB in a low-incidence
108 setting.

109 **Methods**

110 This was a prospective cohort study of 1,060 adults with suspected TB, conducted in routine
111 secondary care in England. Patients were tested for *M. tuberculosis* (Mtb) infection at baseline
112 using current and second-generation IGRAs, the latter incorporating novel Mtb antigens, and
113 followed up for 6-12m to establish definitive diagnoses. Sensitivity, specificity and positive
114 and negative likelihood ratios (LRs) and predictive values (PVs) of the tests for TB were
115 determined.

116 **Findings**

117 TB was diagnosed in 363 (43%) of 845 patients included in analyses. Sensitivity of T-
118 SPOT.TB was 81.4% (95%CI 76.6-85.3%), higher than Quantiferon-Gold In-Tube at 67.3%
119 (95%CI 62.0-72.1%). Second-generation IGRA had higher sensitivity than current tests, at
120 94.0% (95%CI 90.0–96.4%) for culture-confirmed TB and 89.2% (95%CI 85.2–92.2%) when
121 including highly-probable TB, giving a negative LR for all TB of 0.13 (95%CI 0.10-0.19).
122 Specificity ranged from 86.2% (95%CI 82.3-89.4%) for T-SPOT.TB to 80.0% (95%CI 75.6-
123 83.8%) for second-generation IGRA.

124 **Interpretation**

125 Currently-available IGRAs lack sufficient accuracy for diagnostic evaluation of suspected TB.

126 Second-generation tests, however, may have sufficiently high sensitivity, low negative LR and

127 correspondingly high negative PV in low-incidence settings to facilitate prompt rule-out of TB.

128 **Funding**

129 This study was funded by the National Institute for Health Research.

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150 **INTRODUCTION**

151 Prompt diagnosis and treatment of active tuberculosis (TB) are essential for optimal patient
152 outcomes and preventing onward transmission in the community and healthcare facilities.¹
153 However, diagnostic assessment of suspected TB can be lengthy, costly and burdensome for
154 patients and healthcare systems,² often resulting in significant delays in diagnosis and treatment
155 of other diseases in cases where suspected TB is eventually ruled out. Improving and
156 accelerating diagnostic evaluation thus remains a clinical and public health priority in high-
157 income, low-incidence countries, as well as high-burden regions. Recently, great advances in
158 molecular diagnostics, such as GeneXpert (Cepheid Inc, Sunnyvale, CA, USA), have improved
159 the speed and accuracy of microbiologic diagnosis and enabled prediction of antibiotic
160 susceptibility.³ However, whilst such tests have high specificity (which is important for ‘rule-
161 in’), they have insufficient sensitivity to rule out TB and require clinical specimens from
162 anatomical disease sites, often requiring resource-intensive invasive procedures.⁴ A blood test
163 of high diagnostic sensitivity could help to promptly (e.g. in 24h) triage patients at clinical
164 presentation (Appendix: Supplementary Panel, page 1); this would address a major unmet
165 clinical need and has been prioritised by the World Health Organisation (WHO).⁵ Given the
166 paucibacillary nature of most cases of culture-negative TB, such a test would likely be based
167 on measurement of immune responses to *M. tuberculosis* (Mtb) rather than direct detection of
168 the bacteria or nucleic acids.

169 Interferon-gamma release-assays (IGRAs) are regulatory-approved immune-based blood tests
170 for detecting Mtb infection. By measuring T-cell responses to two strongly immunogenic but
171 highly specific Mtb antigens (ESAT-6 and CFP-10), they are not confounded by prior *Bacillus*
172 *Calmette-Guérin* (BCG) vaccination and provide higher diagnostic specificity than the
173 tuberculin skin test (TST).⁶ Since Mtb infection is a pre-requisite for TB disease, a negative
174 IGRA result could potentially rule-out a diagnosis of TB disease (i.e. exclude TB from the

175 differential diagnosis), though prior evidence suggests the sensitivity of current IGRAs may be
176 insufficient to fulfil this triage function.^{1,7-9}

177 Although established as the new standard-of-care for diagnosing latent TB infection (LTBI),
178 IGRAs are currently not recommended in diagnosis of active TB other than in specific
179 scenarios, such as paediatric TB, with caveats around interpretation and level of expertise
180 required.^{10,11} However, development of definitive recommendations has been hindered by a
181 lack of robust and informative evidence. Most studies of diagnostic accuracy of IGRAs in
182 active TB to date are retrospective reviews of hospital records and TB registry data or small-
183 scale case-control studies, typically not representative of the heterogeneous patient population
184 seen in real-life clinical practice. Although one large prospective cohort study embedded in
185 routine practice and including head-to-head comparison of T-SPOT.TB and QFT-GIT was
186 recently published, this was in a high TB-incidence setting.¹² Prospective cohort studies
187 conducted in low-incidence settings have been substantially smaller.^{1,8}

188 Given the shortfalls associated with available TB diagnostics, IGRAs continue to be used
189 widely in clinical practice in the UK, albeit resulting in complexities and challenges in
190 interpretation of results.¹¹ A large-scale prospective head-to-head comparison of diagnostic
191 performance of IGRAs in routine practice is therefore required to conclusively define what, if
192 any, clinical role they have in diagnosis of active TB, allowing development of evidence-based
193 and authoritative recommendations in this setting.

194 Discovery of other highly-specific Mtb antigens as strongly immunogenic as ESAT-6 and CFP-
195 10 presents an opportunity to develop second-generation IGRAs of higher sensitivity.^{13,14}
196 Furthermore, they may allow development of an 'ESAT-6-free' IGRA for application in
197 populations vaccinated with new ESAT-6-based TB vaccines, as previously described.¹⁵
198 Studies suggest adaptation of existing IGRAs with these novel antigens is possible,^{1,14,16} but

199 no large-scale prospective clinical evaluation of this novel approach has been conducted in
200 routine practice in a low TB-incidence setting.

201 We therefore sought to evaluate the clinical utility of existing IGRAs, T-SPOT.TB (Oxford
202 Immunotec plc, Abingdon, UK) and Quantiferon-Gold In-tube (QFT-GIT; Qiagen NV), and
203 second-generation IGRAs in patients presenting with suspected TB in UK clinical practice.

204

205 **METHODS**

206 We conducted a prospective, multicentre, cohort study in routine clinical practice to determine
207 the diagnostic accuracy of commercially-available and second-generation IGRAs in active TB.

208 A within-patient design was used to compare test accuracy by performing all IGRAs on blood
209 samples from each study participant, with the presence or absence of active TB verified using
210 a composite reference standard (Table 1).¹ This design minimises between-patient variability.

211 The study was approved by Camden and Islington National Research Ethics Committee
212 (11/H0722/8). The study protocol is available at [https://njl-admin.nihr.ac.uk/document](https://njl-admin.nihr.ac.uk/document/download/2006627)
213 [/download/2006627](https://njl-admin.nihr.ac.uk/document/download/2006627), and a STARD checklist is provided in the Appendix (Supplementary
214 Checklist, pages 2-3).

215 **Study participants**

216 Adult inpatients and outpatients presenting with suspected active TB (based on signs and
217 symptoms assessed by the attending hospital clinician) were consecutively enrolled from ten
218 National Health Service (NHS) hospitals in five UK cities (London, Slough, Oxford, Leicester
219 and Birmingham). Patients were enrolled at presentation to infectious disease and respiratory
220 medicine secondary care services, before a final diagnosis was made, and a wide spectrum of
221 pre-test probabilities for active TB were included. Exclusion criteria were limited to age <16y
222 and inability/unwillingness to provide informed consent. Centres were selected to ensure the
223 population was representative of ethnic mix and range of co-morbidities.

224 **Participant enrolment and follow-up**

225 Participants were first seen by research nurses at enrolment. Following consent, a baseline
226 blood sample was drawn and data collected in a case report form on the demographics and
227 medical history of the participant, and investigations performed in their routine diagnostic
228 work-up. Participants were followed up two and six months thereafter with data collected on
229 any subsequent investigations, test results and clinical diagnoses, and response to TB treatment
230 if initiated. Patients with a definitive non-TB diagnosis who were discharged from routine care
231 were not required to attend follow-up visits but, where necessary, data were collected from
232 hospital records up to 12 months after enrolment to identify final diagnoses made by hospital
233 clinicians.

234 **Diagnosis and diagnostic categorisation**

235 Participants were investigated in routine practice under the direction of the infectious disease
236 or respiratory medicine attending physician. After completion of follow-up in this routine
237 hospital setting, participants' final diagnoses were verified using a composite reference
238 standard¹ by a panel of ≥ 4 respiratory medicine and infectious disease clinicians specialising
239 in TB. The panel assessed anonymised clinical data (patient demographics, medical history,
240 TB symptoms, previous TB information, TB exposure history, current medication, human
241 immunodeficiency virus (HIV) status, relevant clinical correspondence, test results during
242 diagnosis and follow-up, and any other relevant clinical information) whilst blinded to all
243 IGRA results (including IGRAs carried out as part of routine practice at recruiting sites).
244 Diagnoses of all participants were categorised into the following groups, as previously defined¹
245 (Table 1): definite TB (category 1); highly-probable TB (category 2); clinically indeterminate
246 (category 3); and non-TB (category 4). Category 4 participants were sub-divided based on risk
247 factors for LTBI (Table 1). Final diagnoses and diagnostic categories were determined by
248 consensus across the panel.

249 **Laboratory procedures**

250 Blood samples (35ml) were collected into heparinised and QFT-GIT blood collection tubes
251 from all participants at enrolment, before any diagnosis was made. QFT-GIT and T-SPOT.TB
252 were carried out and interpreted in real-time at the TB Research Centre (Imperial College
253 London) according to the manufacturer's instructions, and as described in Whitworth et al.⁶
254 The second-generation IGRA used the T-SPOT.TB platform and incorporated ESAT-6, CFP-
255 10 and Rv3615c; the 'ESAT-6-free' IGRA incorporated CFP-10, Rv3615c and Rv3879c.
256 Further details on assay methods and interpretation of results are provided in the Appendix
257 (Supplementary Methods, pages 4-5). Laboratory scientists performing study IGRAs were
258 blinded to all clinical information, diagnoses and personal identifiers.

259 **Statistical Analyses**

260 The study was powered to detect a 10% difference in sensitivity between T-SPOT.TB and
261 QFT-GIT, assuming a sensitivity of 85% for T-SPOT.TB and 75% for QFT-GIT.^{1,7-9}
262 Accounting for the paired nature of the data and assuming independence of errors,¹⁶ 855
263 patients (after loss-to-follow-up (LTFU)/withdrawal and missing/excluded index/reference test
264 results) were required to detect this difference at the 5% significance level (two-tailed) with
265 90% power, based on a predicted 40% prevalence of active TB in the study population.
266 Sensitivity, specificity, positive and negative predictive values (PPV; NPV), and positive and
267 negative likelihood ratios (PLR; NLR) for each test were calculated. Ninety-five percent
268 confidence intervals (CIs) were calculated using the Wilson method for proportions^{18,19} and the
269 method by Simel *et al* for LRs.²⁰ All patients in diagnostic categories 1, 2 and 4 were included
270 in analyses (Table 1); category 3 patients were reported but not included in analyses.
271 Patients with indeterminate IGRA or borderline TSPOT-TB results were excluded from
272 primary analyses, but included as test-positives in sensitivity analyses. Sensitivity analyses
273 were also conducted to investigate the impact of (1) excluding category 2 patients on IGRA

274 sensitivity and (2) excluding category 4A-C patients on IGRA specificity. To compare the
275 accuracy of two IGRAs, we fitted separate generalized estimating equation (GEE) models for
276 patients with and without active TB to estimate differences in sensitivity and specificity,
277 respectively. This approach exploits the paired nature of the data whilst allowing use of all
278 available data if test results were missing for either IGRA. We computed ratios of sensitivities
279 (relative-sensitivity) and specificities (relative-specificity) from the GEE models using a post-
280 estimation procedure with CIs computed using the delta method. Analyses were performed
281 using Stata, version 13.0 (Stata, College Station, Texas).

282 **Role of the funding source**

283 The study funder, the National Institute for Health Research (NIHR), played no role in study
284 design, data collection, analysis or interpretation, or writing of the report. The corresponding
285 author had full access to all data in the study and had final responsibility for the decision to
286 submit for publication.

287

288 **RESULTS**

289 **Participant flow**

290 Participant flow in the study is shown in Figure 1. Patients (n=1,060) with suspected active TB
291 were consented and enrolled between 25 November 2011 and 31 August 2013. Those with a
292 history of prior TB diagnosis (n=99) were excluded from analyses, as in previous studies.¹²
293 Additionally, 116 patients were excluded for reasons provided in Figure 1, giving a final study
294 population of 845 patients.

295 **Demographic & clinical characteristics**

296 Demographic and clinical characteristics for the final study population are shown in Table 2.
297 The median age of the cohort was 38y (range 16-86y); 501/845 (59%) were male, and 412/845
298 (48%) were of Indian Subcontinent origin. One or more co-morbidities were reported in

299 427/845 (51%) participants (Table 2). Medications at presentation are shown in the Appendix
300 (Supplementary Table 1, page 6). The most common symptoms reported at presentation were
301 cough, weight-loss and lethargy (Appendix: Supplementary Table 2, page 7).

302 **Diagnostic classification of patients**

303 Among the study cohort, 363/845 patients (43%) had a final diagnosis of active TB (Table 1);
304 261/845 (31%) culture-confirmed (category 1), and 102/845 (12%) highly-probable (Category
305 2). Of all active TB cases (categories 1 and 2), 129/363 (36%) were pulmonary, 189/363 (52%)
306 were extra-pulmonary and 45/363 (12%) were both (Table 3); most 154/363 (42%) had lymph
307 node involvement. Of Mtb isolates undergoing drug-susceptibility testing, 21/261 (6%) were
308 drug-resistant and one was multi-drug-resistant. TB was excluded (category 4) in 439/845
309 (52%) patients. These were sub-classified according to risk factors for LTBI or inactive TB
310 into categories 4A-D in decreasing likelihood of having Mtb infection (Table 1).¹ Most
311 common non-TB diagnoses are listed in Table 3. Only 43/845 patients (5.1%) were classified
312 as clinically indeterminate (category 3).

313 **Diagnostic accuracy of T-SPOT.TB and QFT-GIT**

314 T-SPOT.TB and QFT-GIT results were available for 809/845 (96%) and 820/845 (97%) study
315 participants, respectively; 805/845 (95%) patients had data for both IGRAs. Diagnostic
316 sensitivity, specificity, PPV, NPV, PLR and NLR are shown in Table 4, with a cross-tabulation
317 of T-SPOT.TB and QFT-GIT results in patients with active TB and non-TB diagnoses provided
318 in the Appendix (Supplementary Table 3, page 8). Sensitivity of T-SPOT.TB was 84.9%
319 (95%CI 79.5-89.0%) for culture-confirmed TB and 81.4% (95%CI 76.6-85.3%) for all TB,
320 giving an NPV of 84.7% (95%CI 80.6-87.9%) and NLR of 0.22 (95%CI 0.17-0.27) for all TB.
321 Specificity was 86.2% (95%CI 82.3-89.4%) for all non-TB patients and 93.5% (95%CI 86.6-
322 97.0%) for cases with no risk factors for LTBI (category 4D). Sensitivity of QFT-GIT was
323 70.6% (95%CI 64.4-76.1%) for culture-confirmed TB and 67.3% (95%CI 62.0-72.1%) for all

324 TB, giving an NPV of 74.0% (95%CI 69.5-78.0%) and NLR of 0.41 (95%CI 0.35-0.48) for all
325 TB. Specificity was 80.4% (95%CI 76.1-84.1%) for all non-TB patients and 93.4% (95%CI
326 86.4-96.9%) for cases with no risk factors for LTBI. Sensitivity and specificity of T-SPOT.TB
327 were superior to QFT-GIT; relative sensitivity was 1.20 (95%CI 1.12-1.29) with $p<0.0001$, and
328 relative specificity was 1.07 (95%CI 1.02-1.12) with $p=0.004$.

329 **Diagnostic accuracy of second-generation and ESAT-6-free IGRA**

330 Second-generation and ESAT-6-free IGRA results were available for 809/845 (96%) patients
331 (Table 4). Sensitivity of second-generation IGRA was 94.0% (95%CI 90.0-96.4%) for culture-
332 confirmed TB and 89.2% (95%CI 85.2-92.2%) for all TB, giving an NPV of 90.0% (95%CI
333 86.2-92.8%) and NLR of 0.13 (95%CI 0.10-0.19) for all TB. Specificity was 80.0% (95%CI
334 75.6-83.8%) for all non-TB patients and 91.3% (95%CI 83.8-95.5%) for cases with no risk
335 factors for LTBI. Sensitivity of ESAT-free IGRA was 93.4% (95%CI 89.2-96.0%) for culture-
336 confirmed TB and 88.0% (95%CI 83.8-91.2%) for all TB, giving an NPV of 89.2% (95%CI
337 85.4-92.1) and NLR of 0.15 (95%CI 0.11-0.21) for all TB. Specificity was 79.6% (95%CI
338 75.2-83.4%) for all non-TB patients and 90.3% (95%CI 82.6-94.8%) for cases with no risk
339 factors for LTBI. Comparing second-generation IGRA with T-SPOT.TB, relative sensitivity
340 was 1.08 (95%CI 1.04–1.11) with $p<0.0001$, and relative specificity was 0.94 (95%CI 0.91–
341 0.96) with $p<0.0001$. For ESAT-6-free IGRA versus T-SPOT.TB, relative sensitivity was 1.07
342 (95%CI 1.03–1.10) with $p=0.0002$, and relative specificity was 0.93 (95%CI 0.90–0.96) with
343 $p<0.0001$. A cross-tabulation of second-generation IGRA against T-SPOT.TB results and table
344 of response magnitudes for each individual antigen are provided in the Appendix
345 (Supplementary Tables 4 (page 9) and 5 (page 10) respectively).

346 **Test performance in key patient subgroups**

347 Of culture-confirmed TB cases with available smear microscopy results, 165/232 (71%) were
348 smear-negative (57/165 with pulmonary TB, 80/165 with extra-pulmonary TB and 28/165 with

349 both). Sensitivities of T-SPOT.TB, QFT-GIT, second-generation IGRA and ESAT-6-free
350 IGRA in this population were 85.9% (95%CI 79.2%-90.7%), 68.6% (95%CI 60.9%-75.4%),
351 93.8% (95%CI 88.5%-96.7%) and 92.9% (95%CI 87.4%-96.1%), respectively.

352 Among HIV-infected study participants, 25/135 (19%) had a final diagnosis of active TB and
353 108/135 (80%) had TB excluded; 27/88 (31%) diabetic participants had a final diagnosis of TB
354 (Table 2). Sensitivity and specificity of all IGRAs for active TB in patients with HIV-infection
355 and diabetes are shown in the Appendix (Supplementary Tables 6 (page 11) and 7 (page 12),
356 respectively).

357 **Indeterminate and borderline results**

358 There was a trend towards a higher indeterminate rate for QFT-GIT (79/820; 9.6%) than
359 T-SPOT.TB (57/809; 7.0%; $p=0.07$), and rates for QFT-GIT were higher than second-
360 generation IGRA (55/809; 6.8%; $p=0.04$) and ESAT-6-free IGRA (55/809; 6.8%; $p=0.04$).
361 Most indeterminate results occurred in non-TB patients (Appendix: Supplementary Tables 3
362 (page 8) and 4 (page 9)). T-SPOT.TB results were borderline in 17/345 (4.9%) patients with
363 active TB and 16/423 (3.8%) with non-TB diagnoses. Lowering the cut-off of T-SPOT.TB
364 from eight to five SFCs (thereby scoring all borderline results as positive) did not improve
365 diagnostic performance of T-SPOT.TB or either of the second-generation IGRAs, giving only
366 a marginal increase in sensitivity at the cost of a decrease in specificity (Supplementary Table
367 8; page 13). Scoring both indeterminate and borderline results as positives also did not affect
368 test performance in sensitivity analyses (Table 4, footnote f).

369

370 **DISCUSSION**

371 This is the largest prospective cohort study embedded in real-life clinical practice to assess and
372 compare the role of IGRAs in the evaluation of suspected pulmonary and extrapulmonary TB
373 in a low TB-incidence setting. Although T-SPOT.TB had significantly higher sensitivity than

374 QFT-GIT, neither assay had sufficient sensitivity or NPV to rule out a diagnosis of active TB.
375 In contrast, the second-generation IGRA, incorporating Rv3615c alongside ESAT-6 and CFP-
376 10, had significantly higher diagnostic sensitivity than T-SPOT.TB and QFT-GIT.
377 Interestingly, and reflecting common practice despite the absence of good evidence or
378 guidelines supporting use of IGRAs in this setting, 35% of study patients, distributed across
379 the recruiting sites, had IGRAs performed as part of their routine diagnostic work-up for active
380 TB (data not shown).

381 The NLR of 0.13 for second-generation IGRA means a negative test result would reduce the
382 odds of TB post-test by a clinically-meaningful factor of 7.7-fold compared to pre-test. The
383 NPV for all TB, including highly-probable cases, was 90% despite the 43% prevalence in this
384 population presenting to urban infectious diseases and respiratory medicine services with
385 suspected TB. Since our study was performed in routine clinical practice and encompassed the
386 full, natural clinical spectrum of TB and non-TB diagnoses, the results are likely generalizable
387 across clinical practice in high-income, low-incidence countries. Accordingly, in clinical
388 settings with a low-to-moderate pre-test probability of TB, such as general medical inpatient
389 and outpatient services or primary care, second-generation IGRA has sufficiently low NLR to
390 almost rule out TB. For example, a negative test result would convert pre-test probabilities of
391 20% and 10% to post-test probabilities of 3.1% and 1.4%, respectively. This would provide a
392 useful prompt triage of patients on initial presentation, similar to the role played by other
393 diagnostic tests of high sensitivity and limited specificity, such as serum D-dimer to triage
394 patients with low-to-moderate suspicion of venous thromboembolism.²¹ To our knowledge,
395 other currently-available tests for TB lack required diagnostic sensitivity to fulfil this role.
396 Although Xpert MTB/RIF Ultra has shown diagnostic sensitivity of 88%, its sensitivity in
397 smear-negative, culture-positive TB is only 63%³ (and sensitivity of Xpert even lower⁴),
398 compared to 93.8% (CI 88.6%-96.7%) for second-generation IGRA in this diagnostically

399 challenging subgroup who frequently have paucibacillary disease. However, the very high
400 specificity of molecular tests such as Xpert provides high PPV, enabling rule-in of active TB.
401 Second-generation IGRA may thus play a complementary role to rapid molecular tests in the
402 diagnostic work-up of suspected TB.

403 Given that IGRAs are the standard-of-care for detecting LTBI,^{10,11} they will inevitably identify
404 LTBI in cases where active TB has been excluded. Because most people with possible TB in
405 low-burden countries are from ethnic groups with a high prevalence of LTBI,²² as in our study,
406 the diagnostic specificity for active TB is low for all IGRAs, and would be lower still in high-
407 burden countries. The enhanced diagnostic sensitivity of the second-generation IGRA was
408 accompanied by only a modest reduction in specificity to 80%, similar to QFT-GIT. Our study
409 confirms that the low specificity and PLR of current and second-generation IGRAs mean that
410 a positive result cannot rule in a diagnosis of TB. Interestingly, the specificity of all IGRAs
411 increased to 90-93% in patients with active TB excluded and no risk factors for LTBI (Category
412 4D). Thus, a positive IGRA result may help to keep a diagnosis of active TB in the differential
413 diagnosis in populations with a very low prevalence of LTBI, which however is not usually the
414 case in patient populations being assessed for possible TB.

415 Two of the leading new TB vaccine candidates, Hybrid 1-IC31²³ and H56:IC31,²⁴ contain
416 ESAT-6 and may induce conversion of IGRA results in vaccinated individuals. If these
417 vaccines show protective efficacy in ongoing clinical trials and achieve licensure, ESAT-6-
418 containing IGRAs will give false-positive results in vaccinated persons who are not Mtb-
419 infected, analogous to false-positive TST results in Mtb-uninfected persons with prior BCG
420 vaccination. Diagnostic accuracy of ESAT-6-free IGRA was very similar to second-generation
421 IGRA and thus has potential to replace other IGRAs in populations immunised against TB with
422 ESAT-6-based vaccines.

423 Two of the most important global risk factors for TB are HIV co-infection²⁵ and diabetes,²⁶
424 both of which have been reported to adversely affect IGRA performance.^{27,28} Performance of
425 current IGRAs in patients with HIV-infection and diabetes in this study was insufficient to be
426 of value in the diagnosis of active TB. Performance appeared to be lower in HIV-infected and
427 diabetic subgroups, but the small numbers of patients with TB in these subgroups precluded
428 statistical comparisons. This was also the case for other types of immunosuppression associated
429 with TB, such as chronic kidney disease and immunosuppressive medication.

430 Strengths of our study include the rigorous case definitions, including six-months follow-up to
431 confirm that a diagnosis of TB was excluded where a non-TB diagnosis was not made at
432 presentation. For highly-probable TB, we used a composite reference standard¹ that was
433 applied by a panel of expert and experienced clinicians, blinded to IGRA results. Despite this
434 stringent case definition, it is likely that a proportion of patients without TB were incorrectly
435 categorised as having highly-probable TB, which would explain why all IGRAs had lower
436 sensitivity for highly-probable TB than for all TB, which includes culture-confirmed cases.

437 Thus, our estimates of diagnostic sensitivity for all TB, which includes highly-probable TB,
438 are likely conservative. This highlights the significance of increased IGRA sensitivity in
439 culture-confirmed TB (and the importance of including this sub-group in study analyses) as
440 this is the only population in whom TB diagnoses are definitive.

441 Our study has some limitations. First, it does not include children, in whom the unmet clinical
442 need for improved diagnosis of TB is high. Second, the numbers of patients with risk-factors
443 associated with immunosuppression that do (e.g. HIV-infection) or might (e.g. diabetes) affect
444 test performance were modest, precluding clear conclusions about test performance in these
445 subpopulations. Third, whilst blood collection and assays were performed strictly in
446 accordance with manufacturers' instructions, IGRAs were not performed in a routine

447 diagnostic service laboratory, and re-testing of new samples was not performed in cases where
448 initial results were indeterminate or borderline (as recommended by manufacturers).

449 Although the QFT-GIT has been replaced by the QFT-GIT-Plus since our study was conducted,
450 its diagnostic accuracy does not appear to be significantly better than QFT-GIT and there is no
451 evidence it is as sensitive as T-SPOT.TB.^{29,30} Therefore, our conclusion that neither existing
452 IGRA has a clinically useful role in the evaluation of suspected active TB is unaffected by
453 availability of QFT-GIT-Plus.

454 In conclusion, our study provides conclusive and generalizable evidence that existing IGRAs
455 do not have a useful role as rule-in or rule-out tests in routine clinical practice. However,
456 second-generation IGRAs have higher sensitivity and NPV which may help to rule out a
457 diagnosis of TB in clinical settings with a low-to-moderate prevalence of TB.

458

459 **CONTRIBUTORS**

460 HSW was responsible for day-to-day management of the IDEA study, including oversight of
461 clinical and laboratory data collection and management. AB managed participant recruitment,
462 follow-up activities and clinical data collection, and contributed to data management. AAB
463 contributed to laboratory data collection, data management and quality assurance. YT led
464 statistical analyses and producing data tables and figures, and contributed to data interpretation.
465 MRR led the study set-up and initial management, and built the study databases. CP contributed
466 to statistical analyses and producing data tables and figures. HL contributed to laboratory data
467 collection and managed the laboratory database. JI led the expert clinical panel. GC, ML, CC,
468 DM, FC, FP, MW and GW contributed to patient recruitment, data collection and the study
469 expert diagnostic clinical panel. JD contributed to study design, data analyses and interpretation
470 of results. OMK and AL co-led study conceptualisation, design, oversight and interpretation of

471 results. Writing of the manuscript was co-led by HSW and AL, and all authors contributed to
472 its drafting and revision.

473

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485

486 **DECLARATION OF INTERESTS**

487 HSW, AB, AAB, MRR and HL were employed by Imperial College London using grant

488 funding from NIHR to conduct the work described in this paper. JD, YT and CP, whilst

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490 work described in this paper. The funding contributed to their salary costs. JI, GC, ML, CC,

491 DM, FC, FP, MW and GW have no conflicts of interest to declare. JD reports grants from

492 NIHR during the conduct of the study outside the submitted work. OMK is employed by

493 Imperial Healthcare Trust and was partially paid by the NIHR grant from Imperial College

494 London. OMK received other grants from NIHR during the conduct of the study and has

495 received speaker fees from Oxford Immunotec. He chairs a non-remunerated independent

496 committee that organizes an annual educational symposium on tuberculosis, sponsored by
497 Qiagen. AL is named inventor on patents pertaining to T cell-based diagnosis, including current
498 and second-generation IGRA technologies. Some of these patents were assigned by the
499 University of Oxford to Oxford Immunotec plc, resulting in royalty entitlements for the
500 University of Oxford and AL.

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512

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623 **Table 1: Pre-defined criteria for case definitions and diagnostic categories.¹**

Diagnostic category	Criteria	Number of Patients
1: Culture-confirmed TB ^a	Microbiological culture of <i>M. tuberculosis</i> , AND suggestive clinical and radiological findings.	261
2: Highly-probable TB ^a	Clinical and radiological features highly suggestive of TB unlikely to be caused by other disease, AND a decision to treat made by a clinician, AND appropriate response to therapy, AND histology supportive if available.	102
3: Clinically indeterminate	Final diagnosis of TB neither highly-probable, nor reliably excluded.	43
4: Active TB excluded		
Sub-classification		
4A: Inactive TB	Stable CXR changes, AND TST positive ^b (if done), AND bacteriologically negative (if done), AND no clinical evidence of active disease.	7
4B: One or more risk factors for TB exposure ^c , TST positive ^b	TST positive ^b , AND bacteriologically negative (if done) AND no clinical evidence of active disease.	48
4C: One or more risk factors for TB exposure ^c , TST negative	History of TB exposure, AND TST negative (if done).	267
4D: No risk factors for TB exposure ^c , TST negative	No history of TB exposure, AND TST negative (if done)	117
Total		845

624 CXR, chest radiograph; TB, tuberculosis; TST, tuberculin skin test.

625 ^aMtb culture is the gold standard test for diagnosis of active TB. However, given that even culture does not detect
 626 all TB cases, our previously-validated reference standard includes a second category for culture-negative but
 627 highly-probable active TB diagnoses, made based on other available evidence.¹

628 ^bTST using Mantoux test with threshold ≥ 15 mm considered positive

629 ^cRisk factors for TB exposure: recent exposure to active TB patient; born in country of high prevalence; or
 630 belonging to an ethnic group with a very high prevalence of TB (incidence $>100/100,000$).

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Table 2: Demographics and clinical characteristics. Column percentages for each characteristic are shown.

Characteristic	Diagnosis as per Reference Standard ¹				Total N=845
	Culture-confirmed TB N=261	Highly-probable TB N=102	Clinically indeterminate N=43	Active TB excluded N=439	
Clinical setting, n (%)					
Outpatient	171 (65.5)	72 (70.6)	32 (74.4)	269 (61.3)	544 (64.4)
Inpatient	90 (34.5)	30 (29.4)	11 (25.6)	170 (38.7)	301 (35.6)
Median age (range), years	32 (16–81)	36 (18–76)	38 (16–79)	44 (17–86)	38 (16–86)
Male, n (%)	177 (67.8)	53 (52.0)	21 (48.8)	250 (56.9)	501 (59.3)
Ethnic origin, n (%)					
Indian Subcontinent	167 (64.0)	61 (59.8)	16 (37.2)	168 (38.3)	412 (48.8)
Black	50 (19.2)	22 (21.6)	10 (23.3)	102 (23.2)	184 (21.8)
White	22 (8.4)	9 (8.8)	12 (27.9)	126 (28.7)	169 (20.0)
Asian	16 (6.1)	6 (5.9)	5 (11.6)	14 (3.2)	41 (4.9)
Middle Eastern	4 (1.5)	0	0	12 (2.7)	16 (1.9)
Mixed	1 (0.4)	4 (3.9)	0	8 (1.8)	13 (1.5)
Hispanic	1 (0.4)	0	0	7 (1.6)	8 (0.9)
Unknown	0	0	0	2 (0.5)	2 (0.2)
Median years in UK (range)	4.9 (0.1–52.9)	6.1 (0.3–59.7)	10.5 (0.4–56.9)	13.2 (0.0–60.3)	8.3 (0.0–60.3)
Profession, n (%) ^a					
Paid employment	130 (49.8)	52 (51.0)	21 (48.8)	214 (48.7)	417 (49.4)
Unemployed	62 (23.8)	24 (23.5)	16 (37.2)	164 (37.4)	266 (31.5)
Student	50 (19.2)	13 (12.8)	3 (7.0)	26 (5.9)	92 (10.9)
Healthcare/laboratory worker	16 (6.1)	9 (8.8)	2 (4.7)	24 (5.5)	51 (6.0)
Social/prison worker	1 (0.4)	1 (1.0)	0	2 (0.5)	4 (0.5)
Sex worker	0	1 (1.0)	0	2 (0.5)	3 (0.4)
Unknown	2 (0.8)	2 (2.0)	1 (2.3)	7 (1.6)	12 (1.4)
Median height (range), m	1.7 (1.4–2.0)	1.7 (1.5–1.9)	1.6 (1.5–1.8)	1.7 (1.3–2.0)	1.7 (1.3–2.0)
Median weight (range), kg	63 (35–127)	64 (40–116)	71 (37–110)	68 (38–157)	65 (35–157)
Median BMI (range)	22 (14–48)	22 (16–42)	24 (13–45)	24 (15–47)	23 (13–48)
BCG vaccinated, n (%)	194 (74.3)	79 (77.5)	36 (83.7)	340 (77.4)	649 (76.8)
BCG scar visible, n (%)					
Yes	172 (65.9)	72 (70.6)	29 (67.4)	283 (64.5)	556 (65.8)
No	12 (4.6)	3 (2.9)	3 (7.0)	19 (4.3)	37 (4.4)
Unknown	16 (6.1)	8 (7.8)	6 (14.0)	44 (10.0)	74 (8.8)

Missing	61 (23.4)	19 (18.6)	5 (11.6)	93 (21.2)	178 (21.1)
Recent known TB contact, n (%)	70 (26.8)	25 (24.5)	12 (27.9)	83 (18.9)	190 (22.5)
Other pre-existing conditions/co-morbidities, n (%) ^b					
None	169 (64.8)	61 (59.8)	19 (44.2)	169 (38.5)	418 (49.5)
HIV-infected	13 (5.0)	12 (11.8)	2 (4.7)	108 (24.6)	135 (16.0)
Diabetes	22 (8.4)	5 (4.9)	8 (18.6)	53 (12.1)	88 (10.4)
Asthma	12 (4.6)	5 (4.9)	4 (9.3)	50 (11.4)	71 (8.4)
Cancer	1 (0.4)	1 (1.0)	0	12 (2.7)	14 (1.7)
Chronic/end stage kidney disease	5 (1.9)	1 (1.0)	2 (4.7)	4 (0.9)	12 (1.4)
Hepatitis C	1 (0.4)	1 (1.0)	0	10 (2.3)	12 (1.4)
Hepatitis B	5 (1.9)	1 (1.0)	0	5 (1.1)	11 (1.3)
Organ transplantation	0	0	0	2 (0.5)	2 (0.2)
Sarcoidosis	1 (0.4)	0	0	0	1 (0.1)
Other	74 (28.4)	37 (36.3)	20 (46.5)	228 (51.9)	359 (42.5)

639 BMI, body mass index

640 ^aSome patients had more than one profession.

641 ^bSome patients had multiple co-morbidities.

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658 **Table 3: Final diagnoses of patients with and without active TB**

Confirmed or highly probably TB	n (%)	Active tuberculosis excluded^b	n (%)
N = 363		N = 439	
All TB	363 (100)	Pneumonia	104 (23.7)
Pulmonary	129 (35.5)	Sarcoidosis	38 (8.7)
Extrapulmonary	189 (52.1)	Cancer	36 (8.2)
Pulmonary and extrapulmonary	45 (12.4)	Lower respiratory tract infection	23 (5.2)
Site of disease ^a		Reactive lymphadenopathy	18 (4.1)
Lungs	174 (47.9)	Chest Infection	16 (3.6)
Lymph node	154 (42.4)	Exacerbation of asthma	14 (3.2)
Pleura	26 (7.2)	Upper respiratory tract infection	13 (3.0)
Spine	16 (4.4)	Non-tuberculosis mycobacterium infection	12 (2.7)
Miliary TB (disseminated)	11 (3.0)	Exacerbation of bronchiectasis	11 (2.5)
Abdomen	9 (2.5)	Exacerbation of COPD	8 (1.8)
Pericardium	6 (1.7)	Other ^c	158 (36.0)
Brain	6 (1.7)		
Musculoskeletal	5 (1.4)		
Chest wall	2 (0.6)		
Other	31 (8.5)		

659 COPD, Chronic obstructive pulmonary disease

660 ^aSome patients had TB at multiple anatomical sites.

661 ^bSome patients had multiple diagnoses.

662 ^cLess than five cases per diagnosis.

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Table 4: Diagnostic accuracy of current and second-generation IGRAs for diagnosis of active TB. Sensitivity, specificity and predictive values are presented as percentages.

Test performance	T-SPOT.TB ^{a,e,f}		QFT-GIT ^{a,e,f}		ESAT+ CFP10 + Rv3615c ^{a,e,f}		CFP10 + Rv3615c + Rv3879c ^{a,e,f}	
	n/N	Estimate (95%CI)	n/N	Estimate (95%CI)	n/N	Estimate (95%CI)	n/N	Estimate (95%CI)
Sensitivity for active TB								
All TB	253/311	81.4 (76.6–85.3)	220/327	67.3 (62.0–72.1)	273/306	89.2 (85.2–92.2)	263/299	88.0 (83.8–91.2)
Culture-confirmed TB	185/218	84.9 (79.5–89.0)	163/231	70.6 (64.4–76.1)	203/216	94.0 (90.0–96.4)	197/211	93.4 (89.2–96.0)
Highly-probable TB ^b	68/93	73.1 (63.3–81.1)	57/96	59.4 (49.4–68.7)	70/90	77.8 (68.2–85.1)	66/88	75.0 (65.0–82.9)
Smear-positive TB ^c	45/55	81.8 (69.7–89.8)	42/56	75.0 (62.3–84.5)	48/51	94.1 (84.1–98.0)	47/50	94.0 (83.8–97.9)
Smear-negative TB ^{c,d}	169/206	82.0 (76.2–86.7)	148/222	66.7 (60.2–72.5)	183/207	88.4 (83.3–92.1)	176/202	87.1 (81.8–91.1)
Pulmonary TB	79/105	75.2 (66.2–82.5)	79/115	68.7 (59.7–76.5)	88/100	88.0 (80.2–93.0)	85/97	87.6 (79.6–92.8)
Extra-pulmonary TB	141/169	83.4 (77.1–88.3)	113/171	66.1 (58.7–72.8)	148/167	88.6 (82.9–92.6)	142/164	86.6 (80.5–91.0)
Specificity for active TB								
Active TB excluded	319/370	86.2 (82.3–89.4)	304/378	80.4 (76.1–84.1)	296/370	80.0 (75.6–83.8)	296/372	79.6 (75.2–83.4)
Active TB excluded, TST-negative, no risk factors for LTBI	87/93	93.5 (86.6–97.0)	85/91	93.4 (86.4–96.9)	84/92	91.3 (83.8–95.5)	84/93	90.3 (82.6–94.8)
Predictive values for all TB								
Positive predictive value	253/304	83.2 (78.6–87.0)	220/294	74.8 (69.6–79.5)	273/347	78.7(74.1–82.7)	263/339	77.6 (72.8–81.7)
Negative predictive value	319/377	84.6 (80.6–87.9)	304/411	74.0 (69.5–78.0)	296/329	90.0 (86.2–92.8)	296/332	89.2 (85.4–92.1)
Likelihood ratios for all TB								
Positive likelihood ratio		5.90 (4.55–7.66)		3.44 (2.76–4.27)		4.46 (3.62–5.49)		4.31 (3.51–5.28)
Negative likelihood ratio		0.22 (0.17–0.27)		0.41 (0.35–0.48)		0.13 (0.10–0.19)		0.15 (0.11–0.21)

LTBI, latent tuberculosis infection; TST, tuberculin skin test.

^a25/845 QFT-GIT and 36/845 T-SPOT.TB and second-generation IGRAs results were missing due to blood draw difficulties, samples being unsuitable for testing, or samples being destroyed for laboratory reasons. Missing results were spread across all diagnostic categories.

^b'Highly-probable' TB includes culture-negative TB cases plus 10 patients with a final diagnosis of TB who did not have Mtb culture performed. Sensitivity (95%CI) results for culture-negative TB alone were as follows: T-SPOT.TB – 69.9% (59.3–78.7); QFT-GIT – 57.1% (46.5–67.2); second-generation IGRA (ESAT-6, CFP-10, Rv3615c) – 75.0% (64.5–83.2); ESAT-6-free IGRA (CFP-10, Rv3615c, Rv3879c) – 73.1% (62.3–81.7).

^c56/845 participants did not undergo smear microscopy.

^dAmong 165 patients who were smear-negative but culture-positive, 122/142 were T-SPOT.TB-positive; 105/153 were QFT-GIT-positive; 135/144 were positive in second-generation IGRA and 131/141 were positive in ESAT-6-free IGRA.

^eIndeterminate and borderline IGRA results were excluded from the analysis and thus also from data presented in this table. Numbers of indeterminate and borderline results for T-SPOT.TB/QFT-GIT and second-generation IGRA are presented in the Appendix (Supplementary Tables 3 (page5) and 4 (page 6), respectively).

^fWhen indeterminate and borderline results were included as test positives in sensitivity analyses (positive on the basis that such a result could not exclude a TB diagnosis), sensitivity (95%CI) results for all TB were as follows: T-SPOT.TB – 83.2% (78.9-86.8); QFT-GIT – 69.7% (64.7–74.2); second-generation IGRA (ESAT-6, CFP-10, Rv3615c) – 90.4% (86.9–93.1); ESAT-6-free IGRA (CFP-10, Rv3615c, Rv3879c) – 89.6% (85.9–92.4).