

1 **Title**

2 **Supervised versus unsupervised primaquine radical cure for the**
3 **treatment of falciparum and vivax malaria in Papua, Indonesia: a**
4 **cluster randomised, controlled, open-label superiority trial**

5
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50 **Summary**

51

52 **Background**

53 In co-endemic areas there is a high risk of *P. vivax* recurrence in patients treated for *P.*
54 *falciparum* malaria. Primaquine radical cure has the potential to reduce *P. vivax* recurrences
55 in patients presenting with *P. falciparum* as well as *P. vivax* malaria, but is undermined by
56 poor adherence to the currently recommended 14 day regimen. We assessed the effectiveness
57 of supervised primaquine radical cure in patients presenting with uncomplicated malaria.

58

59 **Methods**

60 We conducted a cluster-randomised, controlled, open-label superiority trial in Papua,
61 Indonesia. Twenty one clusters (village health post matched by location, size and malaria
62 transmission) were assigned randomly (1:1) by a statistician independent to the trial to treat
63 patients presenting with uncomplicated *P. falciparum* or *P. vivax* malaria with
64 dihydroartemisinin-piperaquine plus either a supervised or unsupervised 14-day course of
65 primaquine (0.5mg/kg per day). Patients were followed for 6 months and those representing
66 with malaria were retreated with the same drug regimen. The primary outcome was the
67 incidence risk and rate of *P. vivax* recurrent episodes over 6 months assessed in the intention
68 to treat population. The study is registered at ClinicalTrials.gov (NCT 02787070).

69

70 **Findings**

71 Between September 2016 and July 2018, 419 patients were enrolled. Overall the incidence
72 risk of *P. vivax* recurrence in the 10 unsupervised clusters was 55.8% (95%CI, 32.3-81.8)
73 compared to 29.7% (95%CI, 16.4-49.9) in the 11 supervised clusters (HR 0.23, 95%CI, 0.07-
74 0.76, p=0.016). The incidence rate in unsupervised clusters was 859 (95%CI, 673-1,096) *P.*
75 *vivax* infections per 1000 person years compared to 539 (95%CI, 390-747) in the supervised

76 clusters (IRR 0.63, 95%CI, 0.42-0.94, p=0.025). The corresponding rates in the 224 patients
77 presenting with *P. falciparum* malaria were 660 (95%CI, 446-977) and 346 (95%CI, 213-
78 563); IRR 0.52 (95%CI, 0.28-0.98), p=0.043. There were no serious adverse events
79 attributable to primaquine.

80

81 **Interpretation**

82 In this area of moderate malaria transmission, supervision of primaquine radical cure reduced
83 the risk of *P. vivax* recurrence and this was apparent for patients presenting with either *P.*
84 *falciparum* or *P. vivax* malaria.

85

86 **Funding**

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88 Foreign Affairs and Trade of the Australian Government.

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94 **Research in context**

95

96 **Evidence before this study**

97 We searched all publications in English language on PubMed, MEDLINE, Web of Science,
98 Embase, and the Cochrane Database of Systematic Reviews from Jan 1, 1960 until May 31,
99 2016 for relevant clinical trials and systematic reviews of the risk of *P. vivax* recurrence
100 following *P. falciparum* infections using the search terms: “vivax”, “falciparum” and
101 “recurrence”. The risk of *P. vivax* recurrence within 63 days of treatment for *P. falciparum*
102 malaria exceeded 15% across a spectrum of co-endemic areas, highlighting a potential benefit
103 of primaquine radical cure for *P. falciparum* malaria. The risk of *P. vivax* within 12 months
104 was <10% following supervised high dose primaquine (total dose 7mg/kg) plus
105 dihydroartemisinin-piperaquine, but rose to >88% when primaquine was unsupervised. No
106 trials were identified to determine the efficacy or effectiveness of supervised 14 days
107 primaquine treatment for both vivax and falciparum malaria.

108

109 **Added value of this study**

110 Our cluster randomized controlled trial demonstrated that alternate daily supervision of
111 primaquine radical cure reduced the risk of *P. vivax* recurrence in patients presenting with
112 either *P. vivax* or *P. falciparum*.

113

114 **Implications of all available evidence**

115 In areas of moderate malaria transmission, active measures to ensure patient adherence to a
116 complete course of primaquine radical cure and extending its use to all patients presenting
117 with uncomplicated malaria due to either *P. vivax* or *P. falciparum*, has potential to reduce

118 the risk of recurrent parasitaemia, impacting on ongoing transmission and facilitating malaria
119 elimination.

120

121 **Introduction**

122 There are between 7.5 and 14.5 million cases of *Plasmodium vivax* malaria worldwide
123 reported each year from 49 endemic countries^{1,2}. The greatest burden of disease is in Asia,
124 where *P. vivax* is becoming the predominant cause of malaria³. *P. vivax* is more difficult to
125 eliminate than *P. falciparum*, since it forms dormant liver stages (hypnozoites) that can
126 reactivate weeks to months after an initial infection causing recurrent symptomatic illness
127 (relapses)⁴. The risk and frequency of relapse varies considerably with geographical location,
128 occurring in up to 80% of patients from equatorial regions⁴. Frequent, recurrent *P. vivax*
129 parasitaemia results in a cumulative risk of anaemia, and both direct and indirect morbidity
130 and mortality particularly in young children and pregnant women⁵⁻⁹. Relapsing infections are
131 also key contributors to the ongoing transmission of the parasite¹⁰.

132

133 The radical cure of malaria refers to a combination of drugs to kill both the blood and liver
134 stages of the parasite. Primaquine (PQ), an 8-aminoquinoline, is currently the only widely
135 available drug that can kill *P. vivax* liver stages and prevent relapse. Supervised
136 administration of artemisinin combination therapy (ACT) and a high dose of primaquine
137 reduces the risk of recurrence, even in areas with high relapse periodicity¹¹, but its use is
138 limited by the risk of severe haemolysis in patients with glucose-6-phosphate-dehydrogenase
139 (G6PD) deficiency¹². To improve its tolerability, the total dose of primaquine is usually
140 spread over 14-days, however unsupervised adherence to such a prolonged regimen is poor¹³,
141¹⁴.

142

143 Radical cure of malaria is currently restricted to patients presenting with *P. vivax* or *P. ovale*,
144 the only species causing human malaria that form hypnozoites¹⁵. However a recent
145 systematic review and individual patient data meta-analysis highlight a high risk of *P. vivax*
146 parasitaemia in patients recently treated for *P. falciparum* malaria^{16,17}. We hypothesised that
147 recurrent parasitaemia with *P. vivax* originated from reactivation of occult hypnozoites in
148 patients exposed to both species, potentially triggered by the acute febrile illness of *P.*
149 *falciparum* malaria¹⁷. In view of this, extending the indication for primaquine radical cure to
150 patients presenting with all species of malaria has potential to reduce recurrent malaria and
151 accelerate malaria elimination in co-endemic regions¹⁶⁻¹⁸. We also hypothesized that
152 alternate day supervision of 14 days primaquine would improve treatment adherence and thus
153 reduce the rate of *P. vivax* recurrence compared to the current practice of unsupervised
154 treatment and that this would be apparent in patients presenting with either *P. vivax* or *P.*
155 *falciparum* uncomplicated malaria.

156

157 **Methods**

158 **Trial Design**

159 We conducted a cluster-randomised, controlled, open-label superiority trial in G6PD-normal
160 patients presenting with uncomplicated falciparum or vivax malaria to outpatient healthcare
161 facilities in Papua province, Indonesia. The climate, geography, malaria endemicity and
162 demographics of the study site have been described previously¹⁹. In brief, the study area lies
163 in the lowland area of south-central Papua, Indonesia, and has perennial malaria transmission
164 with approximately half of the malaria infections due to *P. vivax*^{19,20}.

165

166 The unit of randomization was the village health post. A total of 21 village health posts were
167 randomized. Each health post was associated with one of eight public clinics where initial

168 recruitment and treatment were provided. Clinics were selected to be located within 1.5 hours
169 drive from the research office and from those that treated more than 200 cases of malaria per
170 year (Supplementary material 1).

171

172 Ethical approval was obtained from the Human Research Ethics Committee of the Northern
173 Territory Department of Health, Australia (HREC 15.2517) and the Health Research Ethics
174 Committees of the University of Gadjah Mada, Indonesia (KE/FK/522/EC/2016). The trial
175 was registered with the US National Library of Medicine (ID: NCT02787070).

176

177

178 **Participants**

179 Consenting febrile patients attending one of the 8 public clinics with suspected malaria were
180 screened for malaria by microscopic examination of Giemsa stained peripheral blood film by
181 a laboratory microscopist at the public clinics. All slides were re-read the same day by an
182 expert trial microscopist and those patients with confirmed uncomplicated falciparum or
183 vivax malaria (including either mono or mixed infections), who were aged > 12 months and
184 weighed > 5kg, were eligible for enrolment. Patients were excluded if they were pregnant or
185 lactating, G6PD deficient (determined by fluorescent blood spot test - FST), anaemic
186 (haemoglobin (Hb) level < 9 g/dL) or had signs of severe malaria. Other exclusion criteria
187 included hypersensitivity to study drugs, or concomitant medication with potential to cause
188 haemolysis or interfere with the pharmacokinetics of the study drugs.

189

190 **Randomisation**

191 The 21 clusters were matched according to location, size and malaria transmission. Individual
192 clusters were allocated randomly 1:1 to either the supervised or unsupervised primaquine

193 protocol using Stata v15.1 (StataCorp, College Station, Tx USA). The independent
194 statistician who generated the randomisation list and allocated clusters to treatment groups
195 was not otherwise involved in the conduct of the trial and did not visit any of the study sites.

196

197 **Procedures**

198 After obtaining informed consent, eligible participants were enrolled and a baseline clinical
199 questionnaire and examination undertaken at the public clinic. All patients were immediately
200 commenced on a three day regimen of oral dihydroartemisinin-piperaquine (DHP) according
201 to national guidelines (Supplementary material 2). All patients were reviewed on days 1 and
202 2 at the village health posts or at home, to ensure supervised schizontocidal treatment and
203 symptom recovery. On day 2 participants had a repeat finger prick for Hb concentration and
204 if this was $\geq 9\text{g/dL}$ they were prescribed primaquine according to the study protocol.

205

206 Patients residing in the clusters randomized to unsupervised treatment were prescribed a
207 course of primaquine according to local treatment guidelines, at a daily dose of
208 0.5mg/kg/day , and instructed to take the prescribed tablets once a day for 14 days
209 (Supplementary material 2). In the clusters randomized to supervised treatment, patients were
210 prescribed the same primaquine regimen, but were visited on alternate days by a home visitor
211 who provided them with primaquine tablets for that and the following day. On day 16 all
212 patients were instructed to return either to village health post or were visited at home for
213 clinical review. Patients in the unsupervised arm were asked to return any remaining
214 primaquine tablets for a pill count. In the supervised arm primaquine adherence was based on
215 direct observation on the days of supervised administration and self-reported adherence for
216 the previous unsupervised day. In the unsupervised arm adherence to primaquine was

217 estimated from the pill count conducted on day 16. Thereafter all participants were followed
218 up on day 28 and then monthly for 6 months.

219

220 At each visit, a medical history and symptom questionnaire was completed and any adverse
221 events (AEs) or serious adverse events (SAEs) were recorded. Patients were encouraged to
222 present to the study centre if they became ill. At each routine review or presentation with
223 symptoms compatible with malaria, a capillary blood sample was taken for peripheral blood
224 film examination and measurement of Hb concentration (HemoCue Hb 201⁺™, Ängelholm,
225 Sweden). Blood film microscopy during follow up was conducted by the study laboratory
226 technicians blinded to treatment allocation.

227

228 Patients representing with recurrent episodes of malaria were treated with the same treatment
229 allocation as at enrolment and follow-up continued for a total of 6 months from the day of
230 enrolment. Female patients with recurrence were reviewed for their pregnancy status.

231

232 **Outcomes**

233 The primary objective of this study was to assess the effectiveness of unsupervised compared
234 to supervised 14-day primaquine treatment in preventing recurrent *P. vivax* parasitaemia in
235 G6PD normal patients presenting with uncomplicated malaria due to *P. vivax* or *P.*
236 *falciparum* mono-infection or a mixed infection with both species. The co-primary endpoints
237 were the incidence risk of the first recurrent episode of *P. vivax* parasitaemia and the
238 incidence rate of all recurrent episodes of *P. vivax* parasitaemia over 6 months in patients
239 with uncomplicated malaria due to either *P. vivax* and/or *P. falciparum*.

240

241 Secondary objectives included assessing the co-primary outcomes amongst those initially
242 presenting with *P. vivax* (either mono infection or mixed) and amongst those initially
243 presenting with *P. falciparum*. Safety endpoints were the vomiting of medication within an
244 hour of administration, experience of symptoms in the supervised arm during primaquine
245 treatment, and adverse events (AEs) within 6 months. Haematological safety endpoints were
246 the incidence risk of severe anaemia (Hb<7g/dl) or transfusion over 6 months, and an acute
247 drop in Hb >5g/dl or fractional fall of >25% in Hb to Hb less than 7 g/dl within 14 days of
248 starting primaquine treatment.

249

250 **Sample size and statistical analysis**

251 The required sample size was calculated to detect an absolute reduction of 20% in the
252 incidence risk of *P. vivax* recurrence at 6 months from 30% in the unsupervised arm to 10%
253 in supervised arm. Across the 21 clusters, a sample size of 420 participants (20 per cluster)
254 provided 90% power to detect this difference with a two-sided significance level of 5%,
255 assuming 15% loss to follow-up, and a conservative intra-cluster correlation coefficient
256 (ICC) of 0.05²¹.

257

258 The combined data from all clusters were analysed to provide a pragmatic comparison of the
259 different treatments using a modified intention-to-treat (ITT) strategy, with analyses
260 conducted for the randomised trial arms, regardless of whether participants were actually
261 supervised or not. Descriptive statistics of patient and disease characteristics at baseline were
262 calculated by intervention arm with frequency and percentage presented for categorical
263 variables, and median with corresponding inter-quartile range (IQR) and range for continuous
264 variables. A clinically relevant fall in Hb was defined as an absolute fall from baseline of
265 >5g/dl or a fractional fall >25% to Hb less than 7g/dl. Overall adherence to primaquine was

266 evaluated by calculating the proportion of participants in each arm who received a total
267 dosage of at least 5mg/kg of primaquine.
268
269 Kaplan-Meier curves were produced to visualise the cumulative incidence risk of the first *P.*
270 *vivax* recurrence over 6 months in each treatment arm. For the co-primary endpoints
271 comparing supervised versus unsupervised 14-day primaquine: hazard ratios (HR, 95%
272 Confidence Intervals (CI)) were estimated using mixed-effects Cox proportional hazards
273 regression with a time-varying coefficient (to account for clustering and non-proportional
274 hazards respectively) for time to first *P. vivax* recurrence analyses and incidence rate ratios
275 (IRRs) were estimated using negative binomial regression with robust standard error
276 estimation (to account for clustering) for analysis of all *P. vivax* recurrences. Participants
277 missing two or more consecutive months of blood film examination had that period deducted
278 from the total observation time for the incidence rate analyses. Details regarding censoring
279 for the first recurrence of *P. vivax* recurrence, and safety analyses are provided in an a priori
280 statistical plan (Supplementary material 3). All analyses were undertaken using STATA
281 v15.1 (StataCorp LLC, College Station, Tx USA).

282

283 **Results**

284 The study was conducted over 22 months with the first recruitment on 14th September 2016,
285 the last patient enrolled on 13th February 2018 and the last follow up visit on 31st July 2018.
286 Between September 2016 and July 2018, 436 patients were screened for inclusion and 419
287 were enrolled into the study: 196 patients in 10 clusters were randomised to unsupervised
288 treatment (PQUnsup), and 223 in 11 clusters for supervised treatment (PQsup); Figure 1. At
289 enrolment 224 (53%) participants were infected with *P. falciparum* mono-infection, 183
290 (44%) with *P. vivax* mono-infection and 12 (3%) with a mixed species infection. At

291 presentation the median peripheral asexual parasitaemia was 4,931 parasites μl^{-1} (IQR: 994-
292 12,281) for patients with *P. falciparum* mono-infection, 4,688 μl^{-1} (IQR: 1,313-11,063) for
293 those with *P. vivax* mono-infection and 12,544 μl^{-1} (IQR: 6,750-15,656) for those with mixed
294 infections. Baseline characteristics were similar between the supervised and unsupervised
295 treatment arms; Table 1. In total seven patients (five in the PQSup arm and two in the
296 PQUnsup arm) were lost to follow up immediately after their enrolment visit and excluded
297 from further analysis of recurrence outcomes.

298

299 The initial response to schizontocidal treatment was rapid. Within 48 hours, 98.5% (399/405)
300 of patients had become afebrile and 92.6% (375/405) had cleared their peripheral
301 parasitaemia, with no significant difference in fever or parasite clearance between treatment
302 arms. Parasite clearance was faster in patients with *P. vivax*, with 95.3% (181/190) of patients
303 becoming aparasitaemic within 24 hours compared to 90.2% (194/215) of those with *P.*
304 *falciparum* infection. On day 2 review, 95.5% (213/223) patients in the PQSup and 97.4%
305 (191/196) of those in the PQUnsup with Hb \geq 9 g/dl were prescribed primaquine at a median
306 total dose of 7.4mg/kg (range: 3.5 – 15.4) and 7.4mg/kg (range: 4.3 – 14.2) respectively.
307 Overall 161 (72.2%) of patients in PQSup arm completed 6 months of follow up compared to
308 151 (77.0%) of patients in the PQUnsup arm.

309

310 *Primary outcomes*

311 At 6 months (day 180) the cumulative incidence of *P. vivax* was 29.7% [95% CI: 16.4%,
312 49.9%] in the PQSup arm and 55.8% [95% CI: 32.3%, 81.8%] in the PQUnsup arm; Figure
313 2. Since the Kaplan-Meier survival curves crossed at approximately 60 days, the comparative
314 risk of *P. vivax* recurrence was estimated using a time-varying Hazard Ratio (HR). At 6
315 months, the overall hazard of *P. vivax* recurrence was significantly lower in the PQSup arm

316 compared to the PQUnsup arm (HR=0.23 [95% CI: 0.07, 0.76]; p=0.016). At day 60 the HR
317 was 0.78 [95% CI: 0.39, 1.55; p=0.480], and 0.43 [95% CI: 0.22, 0.80; p=0.008] at day 120;
318 Table 2.

319

320 At day 28, 4 (0.1%) patients had recurrent parasitaemia, 3 of whom were infected with *P.*
321 *falciparum* and 1 with *P. vivax* and none with mixed infections. By 6 months these numbers
322 had risen to 95, 110 and 6 respectively; Figure 3. The incidence rate was 539 [95%CI: 390,
323 747] *P. vivax* infections per 1000 person-year observed in the PQSup arm compared to 859
324 [95%CI: 673, 1096] in the PQUnsup arm (incidence rate ratio (IRR) of 0.63 [95% CI: 0.42,
325 0.94], p=0.025); Table 2. Overall 62.9% (73/116) of *P. vivax*/mixed recurrences and 70.5%
326 (67/95) of *P. falciparum* recurrences were symptomatic at the time of representation to the
327 clinic.

328

329 *Secondary outcomes*

330 A priori subgroup analyses compared the rate of *P. vivax* recurrences between treatment arms
331 according to the species of infection at presentation. In the 195 patients initially presenting
332 with *P. vivax* infection (mono or mixed), the hazard for the first *P. vivax* recurrence at 6
333 months was significantly lower in PQSup arm compared to the PQUnsup arm (HR = 0.15
334 [95% CI: 0.02, 0.95], p=0.045); Figure 3. Although the incidence rate of all *P. vivax*
335 recurrences was also lower this did not reach statistical significance (IRR = 0.71 [95% CI:
336 0.42, 1.20], p=0.199); Table 2. In the 224 patients who initially presented with *P. falciparum*
337 the corresponding HR at 6 months was 0.33 (95% CI: 0.08, 1.44; p=0.139) and the IRR was
338 0.52 (95% CI: 0.28, 0.98, p=0.043).

339

340 Post-hoc analyses examined the comparative risks of *P. falciparum* recurrence. The
341 cumulative incidence risk of first *P. falciparum* parasitaemia at 6 months was 27.1% [95%CI:
342 21.2%, 34.2%] in the PQSup arm and 36.4% [95% CI: 21.8%, 56.5%] in the PQUnsup arm;
343 the corresponding incidence rates for all *P. falciparum* infections of 646 [95% CI: 503, 830]
344 and 544 [95% CI: 405, 730] per 1000 person-year, respectively. Neither the incidence risk or
345 rate of *P. falciparum* differed significantly between treatment arms.

346

347 *Adherence to treatment*

348 The total dose of primaquine administered was calculated in all 223 patients in the PQSup
349 arm and 155 (79.1%) of patients in the PQUnsup arm. Although the median total prescribed
350 dose of primaquine was similar between arms (7.3mg/kg (IQR: 6.1 to 8.2) and 7.1mg/kg
351 (IQR: 5.7 to 8.0) respectively), only 64.3% (126/196) in the PQUnsup arm took ≥ 5 mg/kg,
352 compared to 89.2%, (199/223) in the PQSup arm.

353

354 *Haematological Recovery*

355 Overall the mean Hb concentration fell from 11.9g/dl (95% CI: 11.7, 12.1) at baseline to
356 11.2g/dl (95% CI: 11.1, 11.4) on day 2, prior to administering the first dose of primaquine.
357 The fractional fall in Hb on day 2 was 5.9% (95% CI: 4.3%, 7.5%) in patients infected with
358 *P. falciparum* compared to 4.2% (95% CI: 2.4%, 5.9%) in patients with *P. vivax*; $p=0.151$.
359 On day 16, after patients had completed primaquine treatment, the mean Hb of patients in the
360 PQSup arm was 11.5g/dL (95% CI: 11.3, 11.7) with 5.6% (11/195) of patients having a
361 fractional fall from baseline $>25\%$. The corresponding figures for patients in the PQUnsup
362 arm were 11.6g/dL (95% CI: 11.4, 11.8) and 4.7% (8/171) respectively. Three patients in
363 each treatment arm, had a fall in Hb from baseline greater than 5g/dl (four with *P. falciparum*
364 infections and two with *P. vivax*). A 15 year old male had a clinically significant fall in Hb.

365 He presented with falciparum malaria and was treated with PQSup, but his Hb fell from 9.5
366 g/dl at baseline to 6.7g/dl on day 16 (Figure 4). He remained asymptomatic and did not
367 require hospitalization, and by day 28 his Hb had risen to 9g/dl without the need for blood
368 transfusion. The haematological profiles during follow up by treatment arm are presented in
369 supplementary figure 4. After day 16, 39.3% (77/196) of patients treated with PQUnsup had
370 at least one episode of anaemia (Hb<10g/dl), compared to 34.2% (76/222) of the patients
371 treated with PQSup.

372

373 *Tolerability*

374 Since patients in the PQUnsup arm had no routine clinical review between day 2 and day 16,
375 early primaquine tolerability within these days was confined to patients in the PQSup arm.
376 None of the patients in the PQSup arm reported vomiting their primaquine dose within 1 hour
377 of administration. However, 10.7% (23/215) had gastrointestinal symptoms during their
378 course of primaquine treatment; Table 3.

379

380 Seven serious adverse events (SAEs) were reported, none of which were related to DHP or
381 primaquine treatment. Four patients were admitted with complications of acute falciparum
382 malaria either at the initial or subsequent presentations and three patients were hospitalized
383 due to non-malaria cases (Supplementary material 5). No patients died or required blood
384 transfusion.

385

386 **Discussion**

387 Our study demonstrates that supervision of primaquine on alternate days resulted in
388 significantly better efficacy than the current practice of unsupervised primaquine, reducing
389 the risk of any recurrence by 77% and the rate of recurrences by 37%. Importantly the

390 benefits of supervised primaquine were apparent in patients presenting with either *P. vivax* or
391 *P. falciparum* malaria.

392

393 Previously we have shown that 10-15% of patients presenting with either *P. vivax* and *P.*
394 *falciparum* treated with DHP alone have recurrent *P. vivax* within 42 days²². In the current
395 trial the addition of primaquine reduced the risk of *P. vivax* almost 3-fold at a similar time
396 point and this was apparent in patients treated with either supervised or unsupervised
397 primaquine. Although a recent large scale population analysis estimated that the effectiveness
398 of unsupervised primaquine in routine clinical practice was only 12%¹⁰, the effectiveness of
399 unsupervised primaquine in our current study was considerably higher. It is likely that
400 selection and consent of patients into a formal clinical trial and supervision of the initial three
401 days of schizontocidal therapy may have enhanced patient adherence to a full treatment
402 course despite minimal supervision of treatment thereafter. This raises the possibility that
403 supervision of the first dose of primaquine and provision of education may provide a
404 pragmatic approach to improving treatment adherence.

405

406 In the current study patient follow up was continued for 6 months with each recurrence
407 treated with the same regimen. At the end of follow up there were significantly more *P. vivax*
408 recurrences in those receiving unsupervised compared to supervised primaquine (859 vs 539
409 per 1000 patient years, $p=0.025$). For individuals residing in an endemic setting recurrent
410 malaria can be due to recrudescence (schizontocidal failure), reinfection from a new
411 mosquito bite or relapse from hypnozoite reactivation. Although we were unable to
412 distinguish between these scenarios, recrudescence infections are likely to be only a minor
413 factor, since they usually occur within 63 days, and recent efficacy trials and genomic
414 analyses have found no evidence of parasite resistance to either artemisinin or piperaquine²³.

415 Since the clusters were matched according to the level of malaria transmission, the risk of
416 reinfection is likely to have been similar between treatment arms. The most likely
417 explanation is higher anti-relapse efficacy in the supervised arms and this is supported by the
418 higher proportion of patients taking a total dose of primaquine $\geq 5\text{mg/kg}$. This concurs with
419 pooled analyses of longitudinal cohorts that have shown relapses account for more than 60-
420 90% across a range of endemic settings ²⁴.

421

422 Whilst the primary outcome of the study was the overall risk of recurrence between the
423 supervised and unsupervised arms, a key finding is the significant benefit of providing
424 effective radical cure to patients presenting with *P. falciparum* mono-infection. Antimalarial
425 guidelines currently restrict the use of primaquine in patients presenting with acute *P.*
426 *falciparum*, to a single dose of PQ (0.25mg/kg) for its gametocytocidal activity and reduction
427 of transmission ²⁵; however this regimen has no activity against *P. vivax* hypnozoites. In our
428 study patients presenting with *P. falciparum* were treated the same as those presenting with
429 *P. vivax*, with a 14-day high dose regimen. By 6 months the risk of *P. vivax* following initial
430 *P. falciparum* was 47.1 % in the unsupervised arm and 29.5% in the supervised arm, equating
431 to a 67% reduction in the risk of *P. vivax* and a 48% reduction in the rate of recurrences,
432 consistent trends to those presenting with *P. vivax*. In the study site the incidence of malaria
433 is approximately 250 cases per 1000 population per year for both *P. falciparum* and *P. vivax*
434 and recurrent episodes of vivax and falciparum malaria are common, suggesting high burden
435 of latent hypnozoite carriage in all patients presenting with malaria ^{6, 10, 19}. Our study suggests
436 that opportunistically targeting patients with *P. falciparum* who are at high risk of carrying
437 occult hypnozoites, can reduce recurrent clinical illness and potentially ongoing transmission
438 ²⁶. Indeed the observed benefits of primaquine radical cure for patients with *P. falciparum* are

439 likely to be conservative, since they represent comparison of complete adherence to partial
440 adherence to 14 day PQ, rather than to current practice of single dose PQ.

441

442 In G6PD normal patients, the high dose 14-day PQ regimen was well tolerated and not
443 associated with severe adverse complications. Six patients had a fall in Hb greater than 5 g/dl,
444 four of whom presented with *P. falciparum* infection (Figure 4), which may have been
445 exacerbated by concomitant administration of primaquine. However, these events occurred in
446 patients who presented with Hb greater than 14g/dl, none of whom became clinically unwell
447 and their Hb level remained normal until the end of follow up. A 15 year old male with
448 falciparum malaria had a fall in Hb to less than 7g/dl from initial Hb of 9.5g/dl, but he
449 remained asymptomatic and his Hb recovered quickly. Acute malaria results in inevitable
450 parasite induced haemolysis and this is usually greater following *P. falciparum* infection than
451 *P. vivax*, but haematological recovery typically occurs within 4-6 weeks ^{27, 28}. Previous
452 pooled analyses show that although primaquine can cause an initial excess reduction in Hb,
453 recovery is usually rapid and often offset by a reduction in subsequent recurrent parasitaemia
454 and further parasite induced haemolysis ²⁷. In the current study fewer people in the
455 supervised primaquine arm developed anaemia during follow up than in the unsupervised
456 arm.

457

458 Gastrointestinal intolerance is an acknowledged adverse side effect of primaquine, and
459 although dose related, can be reduced by concomitant administration of food. Less than 5%
460 of patients vomited their dose of primaquine within one hour of administration, and
461 subsequent rates of vomiting after clinical recovery were even lower. Two G6PD normal
462 patients reported dark urine, but subsequent investigation demonstrated that the dark colour

463 was due to dehydration rather than haematuria. None of the patients required hospitalization
464 due to primaquine treatment (Supplementary material 5).

465

466 Our study has a number of limitations. Follow up was incomplete with only 72-77% of
467 participants contributing to the primary endpoints. The loss to follow up was mainly
468 attributable to the high patient mobility of migrant workers. This might have selected patients
469 with a more positive attitude towards treatment adherence and thus underestimated the true
470 impact of the intervention. The intervention in the unsupervised treatment clusters provided
471 more consultation time with the study staff compared to those practiced in real life ¹⁰ and this
472 is likely to have resulted in a Hawthorne effect, modifying patients' behaviour and higher
473 than expected treatment adherence ^{29, 30}, both of which will also have underestimated the
474 impact of the intervention. A further limitation was the significantly higher risk of *P.*
475 *falciparum* infections in the supervised arm compared to the unsupervised arm, potentially
476 reflecting greater heterogeneity between clusters than expected. A higher intra-cluster
477 correlation coefficient than predicted may have led to the study being underpowered
478 particularly for the secondary analyses.

479

480 In conclusion, partial supervision of 14-day primaquine treatment enhanced adherence and
481 reduced recurrent *P. vivax* infection significantly and this was apparent in patients presenting
482 with either *P. vivax* or *P. falciparum* malaria. Extending the use of safe and effective
483 primaquine radical cure to patients presenting with non-*vivax* malaria, should be considered,
484 but will need to be tailored to areas with a high risk of *P. vivax* after *P. falciparum* ¹⁷. Since
485 alternate day supervision may not be feasible in routine clinical practice, particularly in areas
486 with high case numbers, more parsimonious strategies should be explored that can combine
487 patient education and early clinical review to promote adherence or curtail treatment if signs

488 of an impending haemolysis. These strategies may have particular relevance to implementing
489 high dose 7 day primaquine regimens³¹. Ultimately greater access to safe and effective
490 radical cure has potential to reduce the burden of *P. vivax* substantially paving the way for
491 ambitious malaria elimination targets to be met.

492

493

494 **Article information**

495

496 **Contributors**

497 JRP, KT, BL, RNP were responsible for the conception of the study. JRP, EK, FHB, FC, RI,
498 BL, were responsible for the data collection and cleaning. JRP, EK, FHB, FC, RI, LT and BL
499 were responsible for the clinical trials oversight. NM, DJP, JAS, RNP and JRP did the data
500 analyses and interpretation. JRP, NM, DJP, JAS, RNP wrote the first draft of the manuscript.
501 All authors approved the final submitted version and agreed to publication.

502

503 **Declaration of Interest**

504 No conflict of interests reported.

505

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513

514 **Data Availability Statement:** The data are available for access via the WorldWide
515 Antimalarial Resistance Network (WWARN.org). Requests for access will be reviewed by a
516 Data Access Committee to ensure that use of data protects the interests of the participants and
517 researchers according to the terms of ethics approval and principles of equitable data sharing.
518 Requests can be submitted by email to malariaDAC@iddo.org via the Data Access Form

519 available at WWARN.org/accessing-data. The WWARN is registered with the Registry of
520 Research Data Repositories (re3data.org).

521

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530

531

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639 **Tables**

640

641 **Table 1: Baseline characteristics**

642

	PQSup	PQUnsup	Total
	N=223	N=196	N=419
Sex^{a, c}			
Male	122 (55%)	101 (52%)	223 (53%)
Female	101 (45%)	94 (48%)	195 (47%)
Age (years)^{b, c}	16.8 (7.8-32.3) [1.0-65.0]	18.0 (7.4-35.5) [1.1-72.8]	17.2 (7.4-33.1) [1.0-72.8]
Age group^{a, c}			
<5 years	39 (17%)	36 (18%)	75 (18%)
5 to <15 years	59 (26%)	47 (24%)	106 (25%)
≥15 years	120 (54%)	110 (56%)	230 (55%)
Weight (kg)^b	46.9 (22.2-58.1) [6.8-92.1]	48.3 (18.9-56.7) [7.4-95.4]	47.8 (20.0-57.5) [6.8-95.4]
Weight group^a			
<9kg	8 (4%)	6 (3%)	14 (3%)
9 to <18kg	39 (17%)	37 (19%)	76 (18%)
18 to <36kg	42 (19%)	32 (16%)	74 (18%)
≥36kg	134 (60%)	121 (62%)	255 (61%)
Ethnicity^{a, c}			
Non-Papuan	128 (57%)	99 (51%)	227 (54%)
Highland Papuan	56 (25%)	25 (13%)	81 (19%)
Lowland Papuan	39 (17%)	71 (36%)	110 (26%)
History of malaria in the last 28 days^a			
No	204 (91%)	184 (94%)	388 (93%)
Yes	5 (2%)	1 (1%)	6 (1%)
Unsure	14 (6%)	11 (6%)	25 (6%)
Species of infection^a			
<i>Plasmodium falciparum</i>	120 (54%)	104 (53%)	224 (53%)
<i>Plasmodium vivax</i>	97 (43%)	86 (44%)	183 (44%)
Mixed Infection	6 (3%)	6 (3%)	12 (3%)
Asexual <i>P. falciparum</i> Parasitaemia (per µL blood)^{b, c}	4,350 (750-12,900) [38-710,474]	5,738 (1,275-11,738) [75-143,573]	4,931 (994-12,281) [38-710,474]
Proportion with <i>P. falciparum</i>^a	126 (57%)	110 (56%)	236 (56%)
Asexual <i>P. vivax</i> Parasitaemia (per µL blood)^b	4,538 (1,838-10,425) [75-149,514]	5,063 (1,125-11,888) [75-113,944]	4,688 (1,313-11,063) [75-149,514]
Proportion with <i>P. vivax</i>^a	103 (46%)	92 (47%)	195 (47%)
Asexual <i>P. falciparum</i> & <i>P. vivax</i> Parasitaemia (per µL blood)^b	9,656 (2,400-13,650) [188-58,344]	14,156 (11,663-16,425) [3,900-72,511]	12,544 (6,750-15,656) [188-72,511]
Gametocytaemia^{b, d} (per µL blood)^b	113 (75-150) [38-375]	150 (75-188) [38-1913]	113 (75-188) [38-1913]
Temperature (°C)^b	36.6 (36.0-37.8) [36.0-41.3]	36.8 (36.2-38.0) [36.0-42.2]	36.7 (36.1-37.9) [36.0-42.2]
Fever^a			
<37.5°C	156 (70%)	125 (64%)	281 (67%)
≥37.5°C	66 (30%)	71 (36%)	137 (33%)

Haemoglobin (g/dL)^b	11.7 (10.2-13.7) [9.0-21.4]	11.4 (10.3-12.9) [8.5-16.6]	11.5 (10.3-13.3) [8.5-21.4]
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643 Note: ^a Categorical data are presented as number/valid data (%); ^b Continuous data are presented as
644 median (interquartile range) [range]; ^c Missing data for <1% observations; ^d - Missing observations:
645 181 for PQSup and 152 for PQUnsup.

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650 **Table 2. Cumulative incidence risk of first *P. vivax* recurrence and incidence rate of all**
651 ***P. vivax* recurrences during follow up**
652

	PQSup	PQUnsup		P value
Overall				
Cumulative Incidence Risk (%)			Hazards Ratio	
Day 60	5.2 [2.8, 9.5]	5.9 [3.2, 10.7]	0.78 [0.39-1.55]	0.480
Day 120	14.9 [10.4, 20.9]	25.3 [19.2, 32.9]	0.43 [0.22-0.80]	0.008
Day 180	29.7 [16.4, 49.9]	55.8 [32.3, 81.8]	0.23 [0.07-0.76]	0.016
Incidence Rate (per 1000 person years)			Incidence Rate Ratio	
Day 180	539 [390, 747]	859 [673, 1,096]	0.63 [0.42-0.94]	0.025
Patients presenting with <i>P. vivax</i> (mono or mixed infections)				
Cumulative Incidence Risk (%)			Hazards Ratio	
Day 60	5.9 [2.5, 13.5]	5.1 [2.0, 13.1]	0.82 [0.33-2.08]	0.680
Day 120	19.6 [12.5, 30.0]	37.1 [26.9, 49.7]	0.35 [0.14-0.89]	0.027
Day 180	29.5 [16.9, 48.2]	47.1 [33.9, 62.5]	0.15 [0.02-0.95]	0.045
Incidence Rate (per 1000 person years)			Incidence Rate Ratio	
Day 180	778 [512, 1,182]	1,095 [807, 1,485]	0.71 [0.42-1.20]	0.199
Patients presenting with <i>P. falciparum</i> (mono-infections)*				
Cumulative Incidence Risk (%)			Hazards Ratio	
Day 60	4.7 [2.0, 11.0]	6.6 [3.0, 14.1]	0.76 [0.31-1.89]	0.556
Day 120	11.0 [6.2, 19.0]	16.0 [9.8, 25.5]	0.50 [0.24-1.05]	0.068
Day 180	31.5 [11.0, 70.8]	56.4 [26.1, 89.7]	0.33 [0.08-1.44]	0.139
Incidence Rate (per 1000 person years)			Incidence Rate Ratio	
Day 180	346 [213, 563]	660 [446, 977]	0.52 [0.28-0.98]	0.043

653 Note: * Because of zero events in some clusters, it was not possible to include a shared frailty term
654 for the Cox regression model in the *P. falciparum* subgroup.
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Table 3. Safety endpoints

Time Point	Outcome measure	PQSup		PQUnsup	
		N=223		N=196	
1 hour	Vomiting study drug within 1 hour	7/222 (3.2%)		11/190 (5.8%)	
		Day 2 or 16	Inclusive*	Day 2 or 16	Inclusive
Days 2-16 [1]	Vomiting	1/212 (0.5%)	4/215 (1.9%)	1/193 (0.5%)	..
	Headache	19/212 (9.0%)	36/215 (16.7%)	21/193 (10.9%)	..
	Nausea	6/212 (2.8%)	6/215 (2.8%)	7/193 (3.6%)	..
	Diarrhoea	1/212 (0.5%)	4/215 (1.9%)	0/193 (0.0%)	..
	Skin Rash or Itching	0/212 (0.0%)	1/215 (0.5%)	1/193 (0.5%)	..
	Poor appetite	3/212 (1.4%)	10/215 (4.7%)	4/193 (2.1%)	..
	Abdominal Pain	2/212 (0.9%)	6/215 (2.8%)	1/193 (0.5%)	..
	Myalgia / Arthralgia	12/212 (5.7%)	29/215 (13.5%)	8/193 (4.1%)	..
	Fever	19/212 (9.0%)	35/215 (16.3%)	13/193 (6.7%)	..
	Passing dark urine	1/212 (0.5%)	2/215 (0.9%)	0/193 (0.0%)	..
	Dizziness	6/212 (2.8%)	16/215 (7.4%)	13/193 (6.7%)	..
	Any gastrointestinal symptoms [2]	11/212 (5.2%)	23/215 (10.7%)	11/193 (5.7%)	..
Within 28 days	SAE (PQ related - All severities) [3]	0/222 (0%)		0/196 (0%)	
	SAE (PQ unrelated - All severities)	0/222 (0%)		0/196 (0%)	
Within 6 months	SAE PQ related - All severities	0/222 (0%)		0/196 (0%)	
	SAE PQ unrelated - All severities	3/222 (1.4%)		4/196 (2.0%)	

659 Note: [1] The proportion of patients reporting each symptom at least once between day 2 and day 16.
 660 Symptoms elicited from daily questionnaires during treatment. Participants in the unsupervised PQ
 661 arm were assessed on days 2 and 16 only, while those in the supervised PQ arm were assessed at each
 662 supervised visit (day 2, 4, 6, 8, 10, 12, 14 and 16); [2]: Composite of any of the following: nausea,
 663 vomiting, anorexia, diarrhoea or abdominal pain; [3]: Related to PQ includes 'possibly', 'probably' and
 664 'definitely' related

665 * Inclusive refers to presence on any day between days 2 and 16

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671 **Figures Legends**

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674 **Figure 1.** Study profile

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676 **Figure 2.** Cumulative incidence of the first recurrence of *Plasmodium vivax* parasitaemia

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678 **Figure 3.** Histogram of the number of *Plasmodium vivax* recurrences (red columns) and *P.*

679 *falciparum* recurrences (blue columns) stratified by (A) treatment arm and (B) species of

680 infection at enrolment.

681

682 **Figure 4.** (A) Relative and (B) absolute change in haemoglobin (Hb; g/dL) from before (day

683 0) to 2 days after 14 days of primaquine treatment.

684 Footnote: 171 participants in the unsupervised arm (blue) and 195 in the supervised arm (red)

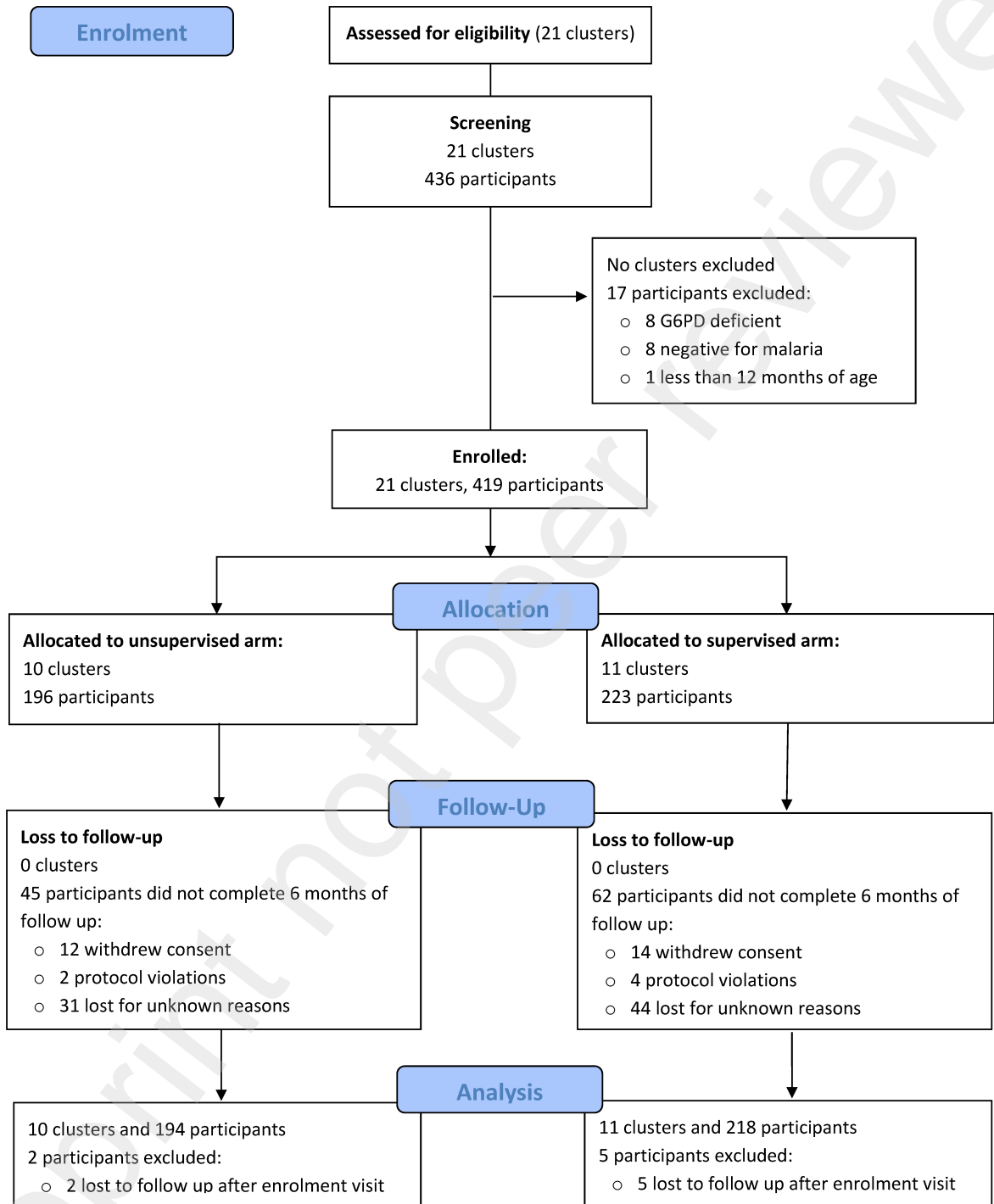
685 had measurements at both timepoints. Circles denote participants enrolled with *Plasmodium*

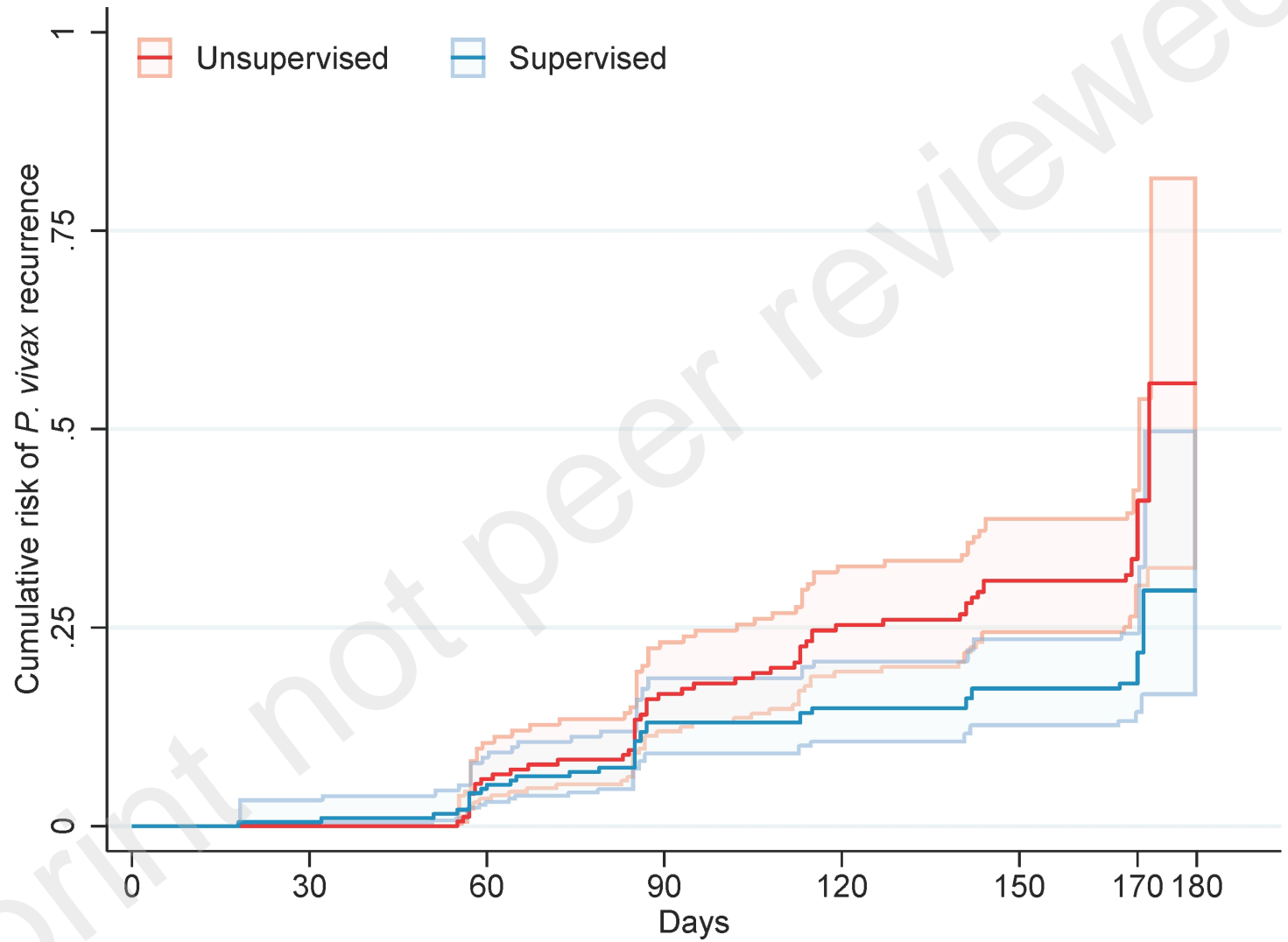
686 *vivax*, triangles denote those enrolled with *P. falciparum* and squares show those enrolled

687 with mixed *P. vivax/P. falciparum* infection. The dashed orange lines represent a fractional

688 fall of 25%.

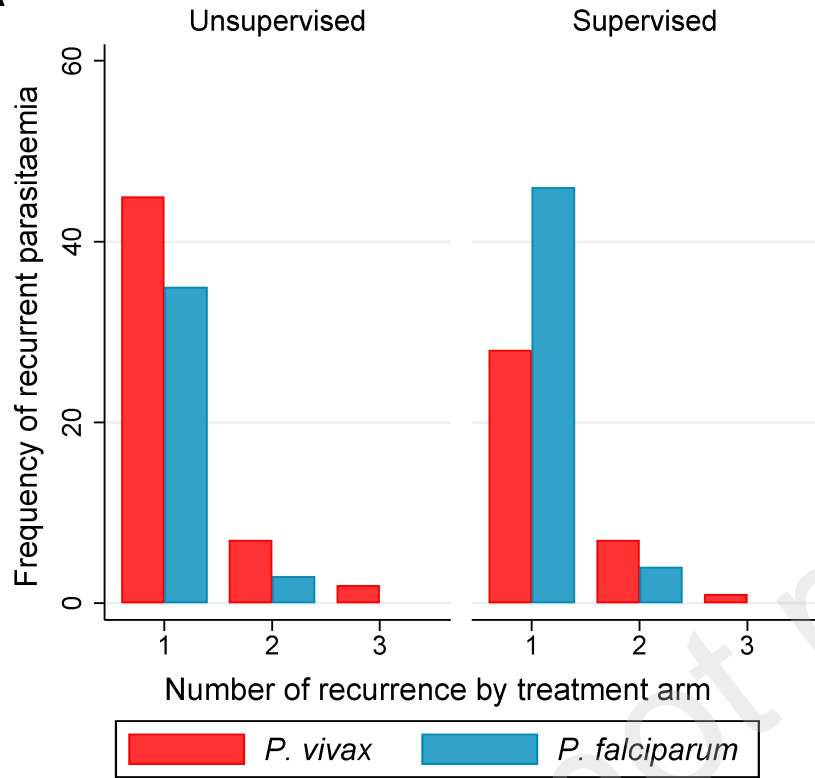
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Number at risk

Unsupervised	194	176	155	127	110	98	18	0
Supervised	218	193	179	147	141	132	21	0

A**B**