

1 **Inflight Radiometric Calibration of New Horizons' Multispectral Visible Imaging**
2 **Camera (MVIC)**

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47 **Abstract**

48

49 We discuss two semi-independent calibration techniques used to determine the inflight
50 radiometric calibration for the New Horizons' Multi-spectral Visible Imaging Camera
51 (MVIC). The first calibration technique compares the measured number of counts (DN)
52 observed from a number of well calibrated stars to those predicted using the component-
53 level calibration. The ratio of these values provides a multiplicative factor that allows a
54 conversation between the preflight calibration to the more accurate inflight one, for each
55 detector. The second calibration technique is a channel-wise relative radiometric
56 calibration for MVIC's blue, near-infrared and methane color channels using Hubble and
57 New Horizons observations of Charon and scaling from the red channel stellar
58 calibration. Both calibration techniques produce very similar results (better than 7%
59 agreement), providing strong validation for the techniques used. Since the stellar
60 calibration described here can be performed without a color target in the field of view and
61 covers all of MVIC's detectors, this calibration was used to provide the radiometric
62 keyword values delivered by the New Horizons project to the Planetary Data System
63 (PDS). These keyword values allow each observation to be converted from counts to
64 physical units; a description of how these keyword values were generated is included.
65 Finally, mitigation techniques adopted for the gain drift observed in the near-infrared
66 detector and one of the panchromatic framing cameras are also discussed.

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68

69

70 **1 Introduction**

71

72 *1.1 MVIC*

73 The Multi-spectral Visible Imaging Camera (MVIC) is part of the Ralph instrument on
74 the New Horizons spacecraft. Full details of the instrument can be found in Reuter *et al.*
75 (2008), but an overview is provided here for reference. A single substrate holds MVIC's
76 seven independent CCD arrays. Six of these CCDs operate in Time Delay and
77 Integration mode (TDI), and each has 5024x32 pixels. There are two panchromatic (Pan)
78 TDI arrays with the same wavelength range for redundancy, and four other TDI arrays
79 each with a color filter (Red, Blue, NIR, CH4). The final array is a frame transfer
80 5024x128 pixel array, primarily designed for optical navigation. The Pan TDI arrays can
81 be operated between 4 and 84 Hz, while the color TDI rates are between 4 and 54 Hz.
82 The frame transfer integration time can vary between 0.25 to 10 seconds. Further details
83 of all these arrays can be found in Table 1.

84

85 TDI is a way of increasing the signal-to-noise ratio (SNR) while building up a large
86 format pushbroom image as the field of view (FOV) is quickly scanned across a scene. It
87 works by syncing the transfer rate between rows to the spacecraft's scan rate, thus the
88 same scene passes through each of the rows before it is read out, effectively increasing
89 the integration time. The frame transfer camera is operated in the more traditional stare
90 mode.

91

92 Prelaunch calibration of MVIC consisted of two separate, but related, calibrations: the
93 absolute radiometry of the instrument, and the characterization of its components (c.f.
94 Reuter *et al.*, 2008). MVIC’s absolute radiometry was determined using an integrating
95 sphere, but it was estimated that this calibration was only accurate to $\pm 30\%$, and that
96 inflight radiometric calibration would be required (Reuter et al. 2008). This work reports
97 the method and results of this inflight calibration.

98

99 At the component-level the quantum efficiency of MVIC’s detectors (Q_e), the filter
100 transmission (F_t) and the reflectance of both the beam splitter (B_S) and the mirror (A_l)
101 were measured. These measurements can be combined according to Equation 1 to define
102 the response (χ) as a function of wavelength (λ) for each of the MVIC’s detectors. The
103 mirror reflectance is cubed because there are three mirrors in the system.

104
$$\chi(\lambda) = Q_e(\lambda)F_t(\lambda)B_S(\lambda)A_l^3(\lambda), \quad [Equation 1]$$

105

106 Reuter *et al.* (2008) provided MVIC’s quantum efficiencies and filter transmissions as
107 measured before launch. Figure 1 shows these values, along with the measured beam
108 splitter reflectance and mirror reflectance. As the figure shows, when these values are
109 combined to give a responsivity many of the color detectors have a larger response than
110 either of the panchromatic detectors at some wavelengths. The ground-based spectral
111 sampling of the detectors’ Q_e values was very coarse (~ 50 nm), so it is possible these
112 curves are not accurately characterized. A minor correction was made to restrict the peak
113 of all the color detectors’ Q_e values to that of the panchromatic detector, as it was deemed
114 unlikely that their response would be higher than that of the clear filter given the identical

115 nature of all the CCDs (Reuter *et al.*, 2008). This correction has an almost negligible
116 effect on the final result, as the new calibration can be thought of as further correcting
117 these values. The new pre-launch responsivities used in this work are also shown in
118 Figure 1. Using each filter’s responsivity the effective (or pivot) wavelength λ_p for a
119 given filter is calculated according to Equation 2, where χ and λ are defined as above
120 (Laidler *et al.*, 2005).

121

$$122 \quad \lambda_p = \sqrt{\frac{\int \lambda \chi(\lambda) d\lambda}{\int (\chi(\lambda) d\lambda / \lambda)}} \quad [Equation 2]$$

123

124 *1.2 Inflight Calibration Outline*

125

126 This paper presents two parallel and semi-independent MVIC inflight radiometric
127 calibration processes and one process for “bootstrapping” a correction for a gain drift
128 identified in one of MVIC’s two redundant sets of readout electronics. One radiometric
129 calibration process, based on deriving system throughput corrections using photometry of
130 calibration stars, is planned to become the long-term standard for MVIC calibration. The
131 second radiometric calibration process is based on deriving corrections to the mean
132 observed color ratios of Charon in order to match color ratios measured by the Hubble
133 Space Telescope. It was developed for the Blue, Red, NIR, and CH₄ MVIC channels on
134 approach to the Pluto system to expedite a well-understood interim radiometric
135 calibration solution in order to enable certain science observations (e.g., mapping the CH₄
136 ice distribution across Pluto using Red, NIR, and CH₄ MVIC imagery; see Grundy *et al.*

137 2016). This Charon-based calibration was utilized by a number of the early New
138 Horizons-based science papers (e.g., Grundy *et al.*, 2016; Weaver *et al.*, 2016). The
139 following sections outline these calibration procedures, their results, and how the results
140 of each procedure compare.

141

142 **2 Stellar Calibration**

143

144 *2.1 Calibration stellar observations*

145 Each year during the 9.5-year cruise to the Pluto-Charon system the New Horizons
146 spacecraft underwent an Annual Check-Out (ACO), with observations relevant to this
147 work (i.e. observations of specific star clusters) taken every other year. Details of the
148 observations used for the radiometric calibration of the Red, Blue, NIR, CH4, Pan 1, Pan
149 2 and Pan Frame cameras are given in Tables 2 to 5. As they show, most of the early
150 observations (2008 to 2012) were of the Messier 6 and 7 clusters (NGC 6405 and 6475,
151 or sometimes shortened to M6 and M7), while later observations (2013 to 2014) also
152 included observations of the Wishing Well Cluster (NGC 3532). This change was made
153 to include a larger number and variety of star types, to help with both the geometric
154 distortion correction and radiometric calibration. An example of a typical image is shown
155 in Figure 2. The 5.7-degree field of view of MVIC is large enough to capture both the
156 NGC 6405 and NGC 6475 clusters in a single image, which allows many stars to be
157 observed simultaneously. All of the images used have been flat-fielded and bias-
158 subtracted; the flat field used was calculated using results from ground-based and early-
159 flight tests

160 *2.2 Overview of the Modeling Technique*

161 The software written to perform this calibration was developed by many people, over
162 many years. The basic premise of the software is to compare the flux observed by MVIC
163 of a given star with a predicted model flux. If MVIC were perfectly calibrated the two
164 would be identical, while any difference between them is the calibration offset this work
165 seeks to determine. The offset for each of MVIC's detectors has to be separately
166 determined.

167

168 *2.3 Modeling the Stellar Flux*

169 The first task is to find the stars in an MVIC image. Once this task is achieved the next
170 steps are to determine which stars they are and then calculate the photon flux predicted
171 from each star (to be compared eventually to the one observed). These first two steps are
172 by far the most complicated aspect of the model, as it is possible to easily mistake hot-
173 pixels as stars and miscorrelate stars with those cataloged.

174

175 The software finds all the potential stars in a given MVIC image by searching for bright
176 pixels above a 5 data-number (DN) threshold value. It then uses the pointing information
177 in the header to determine the Right Ascension (RA) and Declination (Dec) for each of
178 these sources. The positions of all the stars are then compared to those in the Tycho-2 star
179 catalog (Hog *et al.* 2000a, 200b), while those that are missing are assumed to either
180 simply be missing from the Tycho catalog or are false-positives (for example cosmic ray
181 strikes). The catalog provides each star's spectral type, Tycho V and B (referred to
182 henceforth as B_T and V_T respectively) magnitudes, and temperature. From these values

183 the Johnson V (V_J) magnitude is determined for each star from the Tycho magnitudes,
184 according to Hog et al. (2000c): $V_J = V_T - 0.09 (B_T - V_T)$.

185

186 The Kurucz 1993 Atlas (Kurucz, 1993) is used to determine the predicted spectral
187 emission from each star using the Tycho catalog's stellar temperature and by assuming a
188 solar abundance. The Kurucz model best able to fit these two requirements is used to give
189 each star's emission across the full wavelength range of each filter (F_λ), and at 5556 Å
190 ($F_{5556\text{Å}}$). The stellar irradiance at each wavelength is then scaled to an absolute emission
191 ($F_s(\lambda)$) to account for the different distances of the target stars, achieved by using Vega
192 as the standard star. Recently Bohlin *et al.* (2014) determined the absolute irradiance of
193 Vega at 5556 Å to be $3.44e-9 \text{ erg s}^{-1} \text{ cm}^{-2} \text{ Å}^{-1}$. Vega is defined to be 0 magnitude in the
194 V_J band, so the absolute emission of each star at wavelength λ is given by Equation 3.

195

196
$$F_s(\lambda) = \frac{F_\lambda}{(F_{5556\text{Å}})} (3.44e^{-9}) (10^{(V_J/-2.5)}) \quad [\text{Equation 3}]$$

197

198 This absolute energy flux is then converted to a photon flux (F_n), using the relationship

199
$$F_n(\lambda) = \frac{F_s(\lambda)}{(hc/\lambda)},$$
 where h is the Planck constant and c is the speed of light. The

200 final step is to take this photon flux and determine what fraction of these photons are able
201 to hit the MVIC detector. This final flux, F_e , gives the count rate from a given star as
202 predicted by the pre-flight calibration. It is calculated as a function of the detector
203 response (χ), the size of the circular telescope aperture (3.75 cm^2 radius, Reuter *et al.*,
204 2008) and the gain (58.6 photoelectrons/DN), according to

205 $F_e = (\pi 3.75^2 / 58.6) \int F_n(\lambda) \chi(\lambda) d\lambda$. This count rate can now be directly compared to
206 that observed.

207

208 *2.4 Star photometry*

209 For each star, we perform basic aperture photometry to measure the total flux and its
210 associated uncertainty (in counts) of both the star and the surrounding sky using the IDL
211 routine `basphote.pro` (Buie, 2015). This measurement is achieved by using the image's
212 exposure time, and by assuming a read-out noise of 30 electrons, a gain of 58.6
213 photoelectrons per DN (Reuter *et al.*, 2008), a sky annulus between 10 and 20 pixels, and
214 an aperture size of 4 pixels (to allow for smearing). The results from this routine were
215 checked against other standard photometry algorithms (e.g. `aper.pro` see Buie, 2015), and
216 the results were found to be consistent.

217

218 *2.5 Stellar Calibration Consistency*

219 In each observation sequence the TDI arrays take only one image of a given target;
220 however, Pan Frame usually takes between 2 and 6 images (see Table 5). Thus, the Pan
221 Frame images can be used to determine the consistency of our stellar calibration pipeline
222 between different images of the same target in a single observing sequence (i.e. over
223 minute/hourly timescales) and different observing sequences (i.e. over yearly timescales).
224 The targets most often observed are the galaxy clusters NGC 6405 and 6475, and thus
225 they are the focus of this study. Figure 3 shows the number of stars detected in each
226 image of NGC 6405 and 6475 that could be correlated to ones in the Tycho catalog. As
227 the figure shows the number of stars observed in different images in a single observing

228 sequence does vary, but only by a few stars (maximum is ± 5). Furthermore, there isn't a
229 systematic temporal trend in their variation between different observing sequences. The
230 adjustment factor derived from these observations is also shown in Figure 3 (i.e. the
231 required factor to go from the count rates predicted by the preflight calibration to those
232 observed). The results show that all observations of these galaxy clusters result in very
233 similar adjustment values (almost the same within error). Thus we conclude that our
234 analysis technique provides a consistent way of deriving the required calibration
235 adjustment factor at both short- and long-timescales, at least for Pan Frame images. The
236 TDI filters also display long-term stability in the derived adjustment factor (see the
237 discussion in Section 3), but it is not possible with our current data set to confirm their
238 stability on shorter timescales.

239

240 *2.6 Stellar Calibration Results*

241 Figure 4 compares the observed and predicted count rates for all observations made with
242 each of the MVIC detectors. The line of best fit to the data (shown in red) provides the
243 required adjustment factor to go from the count rates predicted by the preflight
244 calibration to those observed. It was determined by finding the robust mean of all the data
245 points shown. This technique provides a systematic method of removing statistically
246 outlying points, which are defined here as those outside of two standard deviations from
247 the mean. Table 6 gives the total number of stars identified in the calibration images
248 taken by each filter, along with the number of stars that were considered outliers and
249 removed (typically between 5 and 15% of the total number of stars identified). These
250 outliers are assumed to be stars we have incorrectly identified, although other

251 explanations are also possible. The (robust mean) adjustment values for each of the
252 detectors are listed in Table 6, along with the error of the mean (i.e. the standard
253 deviation of the robust mean divided by the root of the number of points, note this is how
254 error values are defined henceforth). As the Table shows, the calibration error is $\leq 1\%$ for
255 all filters, except the CH₄ filter. The CH₄ filter has a low throughput, leading to a smaller
256 number of stars being observed and therefore a higher error (1.4%). Thus this work either
257 meets or is better than the 1% inflight radiometric calibration error predicted by Reuter *et*
258 *al.* (2008) for all filters except CH₄ (which is only slightly worse). The close agreement
259 of the model and observed star counts implies that the computer routine developed
260 (known as correlate stars) is correctly identifying the stars in the images in most cases.

261

262

263 **3 The Effect of the Electronics Side on MVIC images**

264

265 On approach to Pluto it was observed that the gain of the NIR channel drifted if the
266 output was read through the primary electronics side (known as side 1), whilst it
267 remained stable when read through the backup electronics side (side 0). During New
268 Horizons' cruise to the Pluto system MVIC's annual checkout observations were
269 alternated between electronic sides, but this effect was not discovered primarily because
270 there were too few observations taken on each electronic side to make finding robust
271 trends possible. This problem with the NIR channel was not discovered during New
272 Horizons' 2007 encounter with Jupiter because all science observations were taken using
273 the same electronic side (side 1). However, upon approach to the Pluto system

274 observations were made using alternating electronics sides, and by systematic inspection
275 of Charon's color in the NIR the gain drift became apparent.

276

277 Thus, it was important to check whether this gain fluctuation affected the adjustment
278 factors required to correct the count rates predicted by the preflight calibration to those
279 observed for all the MVIC detectors. Figures 5 and 6 show the model versus observed
280 count rates for observations made only with side 0 or side 1. These results are
281 summarized in Figure 7 and Table 7, which show that most of the detectors do not show a
282 significant change in adjustment factor with electronic side. The close agreement of the
283 adjustment values for Pan Frame and Pan 2 detectors in Figure 7 provides confidence that
284 the derived values are correct, since they cover the same wavelength range but have been
285 independently derived. The two detectors that show notable change in their adjustment
286 values with electronic sides are the NIR and Pan 1 detector. This problem with Pan 1 was
287 first discovered during the instrument's commissioning phase in 2006, which was early
288 enough to be able to mitigate the problem by ensuring all science observations were taken
289 using side 1 of Pan 1. However, because the problem with the NIR channel was not
290 known until shortly before encounter, similar mitigation steps were not enacted for it.
291 Therefore, post-observation processing solutions had to be used instead, as described in
292 the next section.

293

294 Figure 7 also shows that there is significant difference in the adjustment factors between
295 detectors. The Blue channel requires negligible adjustment (1.00 ± 0.01), whilst the NIR
296 and CH4 channels require a ~20 to 45% correction respectively, with the other channels

297 lying between these extremes. It is unclear why these two channels require the most
298 correction. Although it is worth noting that the wavelength range of the CH₄ filter (860-
299 910 nm) is much narrower than the other filters (see Figure 1), so fewer stars are
300 observed its images (see Tables 6 and 7) leading to higher errors (up to 4%) in its
301 adjustment value.

302

303 Since the cause of the problems with NIR and Pan 1 channels are not understood, it was
304 possible they could vary over time. Therefore, the adjustment factor was determined for
305 each filter for each year, as shown in Figure 8. This was possible because, although all
306 Pan 1 science observations were made using the electronic side 1, annual checkout
307 observations were made on both sides to monitor the problem. Figure 8 shows that for all
308 detectors (except the two detectors that are known to problematic: NIR and Pan 1) that
309 the adjustment factors either agree within error every year or very nearly do so, leading to
310 the conclusion that there is no drift in the calibration with time. Furthermore, there are no
311 obvious temporal dependence trends in the NIR and Pan 1 adjustment factors, and their
312 deviations from the average adjustment factor is not increasing with time (if anything the
313 opposite is true for the NIR detector).

314

315 **4 Charon Calibration Process**

316

317 We also derived a channel-wise relative radiometric calibration from Charon
318 observations for the Blue, NIR, and CH₄ channels, scaled from the Red channel stellar
319 calibrations (independent of the previously described stellar calibration) The disk-

320 averaged color ratios of Charon were matched to those that would be produced by the
321 product of a parametric synthetic reflection spectrum (normalized to a mean F555W
322 geometric albedo of 0.41, Buratti et al. (2016)) and a solar spectrum that also reproduces
323 the global color ratios of Charon as measured by the Hubble Space Telescope's (HST)
324 ACS HRC in the F435W and F555W filters (Buie *et al.*, 2006).

325

326 Since Charon is broadly characterized by two latitudinally-controlled color units (neutral
327 mid-latitudes and a red polar cap), the relative contributions of these two color units was
328 adjusted in order to match the orientation of Charon as observed by HST between 2002
329 and 2003. We found that this geometric correction to the global color ratios of Charon
330 was negligible. Note, the details of C_COLOR2 and all other observations discussed in
331 this section and the ones that follow are given in Table 8.

332

333 The parametric reflection spectrum that we adopted in order to reproduce the Buie et al.
334 (2006) Charon colors had the form

335
$$p(\lambda) = (1 + e^{0.495 - 2.43 \times 10^{-4} \lambda})^{-1}, \quad [Equation 4]$$

336 with λ in nm.

337 Correction factors relative to the Red channel were determined for Blue, NIR, and CH4
338 channel transmission curves as follows:

- 339 1) The global mean flux of C_COLOR2 images, with regional contributions
340 reweighted to correct them to HST's viewing geometry, were calculated for Red,
341 Blue, NIR, and CH4 channels.
- 342 2) The observed ratios of Blue, NIR, and CH4 to Red were determined.

343 3) The predicted ratios of Blue, NIR, and CH4 to Red were determined by
344 integrating the product of the solar spectrum, the parametric HST Charon
345 reflection spectrum (Equation 4), and each filter's response curve over
346 wavelength.

347 4) The ratio of the predicted ratio over the observed ratio was adopted as the *relative*
348 throughput correction factor for Blue, NIR, and CH4. These and the Red channel
349 were all scaled by the *absolute* correction factor (Table 6) derived for the Red
350 channel from the stellar calibrations.

351

352

353 **5 Charon Calibration Results**

354

355 The throughput curves used in this analysis before and after correction, as well as the
356 parametric Charon spectrum, are illustrated in Figure 9. The Charon-based calibrations
357 produce results very similar to the stellar calibrations, demonstrating a cross-validation of
358 the two unique approaches. The largest variation between the two calibration solutions
359 was in the CH4 channel, for which the Charon-based calibration determined a correction
360 factor 3-7% larger (depending on power side) than the stellar calibrations. This channel
361 has the pivot wavelength farthest from the pivot wavelengths of the two HST filters used
362 to calibrate the parametric Charon reflectance spectrum, and it is not surprising that this
363 is where the largest difference between the two calibration approaches appeared.

364

365

366 **6 Bootstrapping a Power Side Correction**

367

368 The calibration solution for images affected by the electronics-side-dependent gain drift
369 includes the derivation of a correction for affected channels using repeated imagery of the
370 same terrains. This “bootstrapping” process has proven to be very effective for the flyby
371 data, as the Pluto system contains two excellent calibration targets. The first is Charon,
372 which has little to no longitudinal color variations and provides an excellent “gray card”
373 for approach imagery where both Pluto and Charon are visible in a single MVIC FOV.
374 The second is informally called Sputnik Planum, which is a large and flat region that is
375 extremely uniform in color and albedo and which is visible at high resolution in the
376 imagery where Charon is not available.

377

378 For a given image set affected by gain drift, the following process is used to derive a
379 bootstrap correction: (1) identify the temporally-nearest image set not affected by gain
380 drift that contains overlapping imagery of either Sputnik Planum or Charon; (2) co-
381 register the imagery on a map grid; (3) extract pixels from a contiguous region of equal
382 surface area from both image sets within either Sputnik Planum or the disk of Charon; (3)
383 determine the summed flux within these pixels in the Red channel and the channel of
384 interest i ; (4) compute the ratio of these summed fluxes between the channel of interest i
385 and the Red channel in both image sets; and (5) compute the ratio of these two ratios,
386 which is the correction factor:

387

388
$$CF_i = \left(\frac{\left(\sum F_{i,SIDE\ 0} / \sum F_{Red,SIDE\ 0} \right)}{\left(\sum F_{i,SIDE\ 1} / \sum F_{Red,SIDE\ 1} \right)} \right) \quad [Equation\ 5]$$

389

390 For consistency, all channels except Red (which serves as our control channel and does
 391 not appear to be affected by gain drift) are corrected in this way, though the derived NIR
 392 correction is always substantially larger than those derived for Blue or CH4. What
 393 follows is a worked example for P_COLOR_2, which was taken on side 1 of the
 394 electronics and was therefore subject to gain drift. In this example we chose
 395 PC_MULTI_MAP_B17 as the control imagery, as it was taken on side 0 of the
 396 electronics (which is not subject to gain drift) and it covered a similar sub-spacecraft
 397 longitude and shows Sputnik Planum clearly.

398

399 The images were extracted to an interim common map projection, and a circular region
 400 (on the sphere) with a radius of 10° was extracted from the core of Sputnik Planum at
 401 approximately 20° North, 180° East in both images. In this region, the median raw
 402 Red/NIR DN ratio for P_COLOR_2 was 0.766, while for PC_MULTI_MAP_B17 it was
 403 0.815; the ratio of these two determines the bootstrapped NIR gain correction factor for
 404 P_COLOR_2, 1.064. This correction factor was found to be insensitive to whether ratios
 405 were determined from mean color ratios, median color ratios, or the ratios of areal sums
 406 (the latter being adopted). For P_COLOR_2, derived gain correction factors for Blue
 407 (1.039) and CH4 (1.019) were substantially smaller.

408

409 To test for robustness, the maps were intentionally misregistered by up to 5 degrees
 410 North and South, the extracted radius shrunk to 5°, and the bootstrapping process
 411 repeated. This keeps the region of interest within the boundaries of Sputnik Planum, but
 412 incorrectly correlates different regions within Sputnik Planum. Due to the uniformity of
 413 Sputnik Planum’s colors on large spatial scales, the derived correction ratios varied by
 414 only small amounts (~1%), demonstrating that the corrections are robust to small
 415 misregistration between image sets if Sputnik Planum is used as a control region. Charon
 416 provides similar robustness due to its longitudinal color uniformity.

417

418 **7 Radiometric Calibration Keywords**

419

420 To transform DN detected by MVIC into physical units describing the incoming spectral
 421 energy distribution, MVIC image headers contain two calibration-dependent keywords.
 422 For the first Planetary Data System (PDS) release, these keywords are defined based on
 423 the stellar calibrations described in this document. The diffuse source sensitivity
 424 keywords are defined as:

$$425 \quad R_{TARGET,FILTER} = \int_0^{\infty} \frac{S_{R_TARGET}(\lambda)\chi_{TARGET}(\lambda)A\lambda\Theta}{S_{R_TARGET}(\lambda_{p,FILTER})hc e^{-}/DN} d\lambda,$$

426 while the point-source sensitivity keywords are defined as

$$427 \quad P_{TARGET,FILTER} = \int_0^{\infty} \frac{S_{P_TARGET}(\lambda)\chi_{TARGET}(\lambda)A\lambda}{S_{P_TARGET}(\lambda_{p,FILTER})hc e^{-}/DN} d\lambda.$$

428

429 In these equations $S_{P_TARGET}(\lambda)$ is a source-dependent spectrum for a point source target
 430 in $erg\ s^{-1}cm^{-2}\text{\AA}^{-1}$, and $S_{R_TARGET}(\lambda)$ is a source-dependent spectrum for an extended
 431 source target in $erg\ s^{-1}cm^{-2}\text{\AA}^{-1}sr^{-1}$, where \AA is the wavelength in angstroms and sr is

432 steradians. $\chi_{FILTER}(\lambda)$ is the responsivity, which is the same as previously described
433 except it uses the filter transmission curves for a specific filter after throughput is
434 corrected by the adjustment factors from Table 6, $\lambda_{p,FILTER}$ is the pivot wavelength of a
435 specific filter, A is the aperture collecting area in cm^2 for the MVIC telescope, $\frac{e^-}{DN}$ is the
436 mean MVIC gain, and θ is the MVIC pixel IFOV in steradians (19.77 μ rad by 19.77
437 μ rad, Reuter *et al.*, 2008). These keywords are defined for SOLAR, PLUTO, CHARON,
438 JUPITER, and PHOLUS target spectra. The units for an extended target are
439 $\left(\frac{DN/s}{(erg/cm^2/s/\text{\AA}/sr)}\right)$, and for a point source the keyword units are
440 $\left(\frac{DN/s}{(erg/cm^2/s/\text{\AA})}\right)$. The spectra used to define these keywords are derived from the
441 following sources: Charon (Buie and Grundy, 2000), Jupiter (Spencer *et al.*, 2004), Pluto
442 (Douté *et al.*, 1999), Pholus (Cruikshank *et al.*, 1998) and Solar (Colina *et al.*, 1996). The
443 actual spectra used will be delivered to the PDS as part of the next delivery by the New
444 Horizons project; some have been slightly updated.

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447 **8 Conclusion**

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449 We have described the two semi-independent methods used to calibrate New Horizons'
450 MVIC instrument. The close agreement between the two methods provides reassurance
451 that both are functioning correctly. The "Charon" calibration was used to make science
452 products widely throughout New Horizons' encounter with Pluto. However, the stellar
453 calibration will be used in future PDS deliveries primarily because it was produced for all

454 color filters and does not rely on having a known color target in the field of view. We
455 also describe a previously known problem with the Pan 1 filter, and the observational
456 strategy adopted to minimize its effect. Finally we have also described the newly
457 discovered gain problem with the NIR detector and the bootstrapping technique that has
458 been adopted to mitigate it.

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477 **9 Tables**

Array Name	Array Description	Wavelength Range (nm)	Pivot Wavelength (nm)	Array Size (pixels)
Pan 1	Panchromatic TDI #1	400 - 975	692	5024x32
Pan 2	Panchromatic TDI #2	400 - 975	692	5024x32
Blue	Blue TDI	400-550	492	5024x32
Red	Red TDI	540-700	624	5024x32
NIR	Near-Infrared TDI	780-975	861	5024x32
CH4	Methane-Band TDI	860-910	883	5024x32
Pan Frame	Panchromatic Framing Camera	400-975	692	5024x128

478

479 Table 1: Details of the MVIC arrays. A single pixel is 19.77 μ rad by 19.77 μ rad, so the

480 FOV of the TDI array is 5.7° by 0.037°, and that of the framing camera is 5.7° by 0.146°.

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Mid-Observation Time (UTC)	Onboard Mission Elapsed Time (MET)	Right Ascension (°)	Declination (°)	Exposure Time (s)	Target
2008-10-15T04:45:25.191	0086351808	266.842	-33.457	2.919	NGC 6405 and 6475
2010-06-25T21:30:25.129	0139807309	266.767	-33.431	2.853	NGC 6405 and 6475
2012-06-01T21:15:25.784	0200891209	266.771	-33.434	2.880	NGC 6405 and 6475
2013-07-03T06:45:27.183	0235139809	166.433	-58.754	2.811	NGC 3532
2014-07-22T13:50:25.578	0268342909	266.859	-33.480	2.863	NGC 6405 and 6475
2014-07-22T13:57:19.578	0268343327	266.775	-33.371	4.244	NGC 6405 and 6475
2014-07-22T18:00:27.079	0268357909	166.433	-58.786	2.762	NGC 3532

486

487 Table 2 – Details of the stellar observations used to calibrate the MVIC color channels

488 (Red, Blue, NIR, CH4). All channels observed simultaneously.

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Mid-Observation Time (UTC)	Onboard Mission Elapsed Time (MET)	Right Ascension (°)	Declination (°)	Exposure Time (s)	Target
2008-10-15T05:00:11.691	0086352708	266.731	-33.471	2.891	NGC 6405 and 6475
2010-06-25T21:49:11.629	0139808449	266.780	-33.528	2.802	NGC 6405 and 6475
2012-06-01T21:32:11.284	0200892228	266.708	-33.461	2.828	NGC 6405 and 6475
2013-07-03T07:12:15.183	0235141429	166.391	-58.710	2.901	NGC 3532
2014-07-22T14:10:11.578	0268344108	266.732	-33.477	2.862	NGC 6405 and 6475
2014-07-22T18:15:14.579	0268358809	166.487	-58.685	2.762	NGC 3532

500

501 Table 3 – Details of the stellar observations made by Panchromatic Filter #1, used in this
502 calibration.

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Mid-Observation Time (UTC)	Onboard Mission Elapsed Time (MET)	Right Ascension (°)	Declination (°)	Exposure Time (s)	Target
2008-10-15T04:52:11.691	086352228	266.764	-33.509	2.845	NGC 6405 and 6475
2010-06-25T21:40:11.629	0139807909	266.717	-33.505	2.766	NGC 6405 and 6475
2012-06-01T21:24:12.284	0200891749	266.753	-33.501	2.842	NGC 6405 and 6475
2013-07-03T07:03:15.183	0235140889	166.516	-58.730	2.902	NGC 3532
2014-07-22T18:07:15.079	0268358329	166.491	-58.720	2.850	NGC 3532

515

516 Table 4 – Details of the stellar observations made by Panchromatic Filter #2, used in this

517 calibration.

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Mid-Observation Time (UTC)	Onboard Mission Elapsed Time (MET)	Right Ascension (°)	Declination (°)	Exposure Time (s)	# Frames	Target
2008-10-15T23:55:11.126	0086420815	346.135	-7.185	0.500	6	Neptune
2010-06-25T21:57:10.238	0139808935	266.761	-33.531	1.000	5	NGC 6405 and 6475
2012-06-01T21:40:09.893	0200892714	266.838	-33.461	1.000	5	NGC 6405 and 6475
2012-06-02T01:28:10.393	0200906396	270.405	-14.638	0.500	5	Pluto
2012-06-02T01:28:34.663	0200906425	270.431	-14.636	1.000	2	Pluto
2013-07-02T19:30:10.291	0235099315	266.692	-33.506	1.000	5	NGC 6405 and 6475
2014-07-22T14:16:09.687	0268344474	266.785	-33.562	1.000	5	NGC 6405 and 6475
2014-07-23T17:15:06.535	0268441615	270.350	-14.444	1.000	3	Pluto
2014-07-23T17:23:05.535	0268442094	270.696	-14.635	1.000	3	Pluto

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532 Table 5 – Details of the stellar and planetary observations made by Panchromatic Frame

533 Camera, used in this calibration. Each observation taken multiple times, as denoted by the

534 number of frames.

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Filter and Pivot Wavelength	Both AF	Side 0 AF	Side 1 AF	Both #Stars	Side 0 #Stars	Side 1 #Stars	Charon AF
Blue 492 nm	1.00±0.01	1.02±0.01	0.99±0.01	289 [35]	140 [9]	149 [26]	1.02
Red 624 nm	1.21±0.01	1.23±0.01	1.21±0.01	502 [119]	251 [18]	313 [39]	1.21*
NIR 861 nm	1.32±0.01	1.38±0.02	1.27±0.01	345 [60]	121 [22]	225 [37]	1.39
CH4 883 nm	1.46±0.02	1.44±0.04	1.51±0.03	79 [23]	19 [4]	67 [12]	1.56
Pan 1 692 nm	1.17±0.01	1.27±0.01	1.13±0.01	571 [65]	139 [18]	430 [49]	<i>NA</i>
Pan 2 692 nm	1.22±0.01	1.23±0.01	1.21±0.01	455 [73]	272 [41]	182 [33]	<i>NA</i>
Pan Frame 692 nm	1.26±0.01	1.28±0.01	1.23±0.01	594 [74]	210 [18]	385 [55]	<i>NA</i>

542

543 Table 6 – List of the adjustment factors and their error of the mean (AF) required to
544 correct the observed to predicted counts rate, where the predicted count rates = (observed
545 count rates x adjustment factor) using all the stars observed. The number of stars given is
546 the total number of stars found in all the calibration images taken by each filter, minus
547 those considered to be outliers by the robust mean (as given by the value inside the

548 parentheses). *Charon-based Red channel adjustment factor is set by the stellar
549 calibration.

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Year	Adjustment Factor			Number of Stars		
	All	Side 0	Side 1	All	Side 0	Side 1
Red						
2008	1.23±0.01	1.23±0.01	-	61	61	-
2010	1.20±0.02	-	1.20±0.02	98	-	98
2012	1.21±0.02	1.21±0.02	-	77	77	-
2013	1.21±0.01	-	1.21±0.01	113	-	113
2014	1.21±0.01	1.24±0.01	1.21±0.01	272	131	141
Blue						
2008	0.99±0.01	0.99±0.01	-	36	36	-
2010	0.97±0.01	-	0.97±0.01	47	-	47
2012	1.00±0.01	1.00±0.01	-	41	41	-
2013	1.01±0.01	-	1.01±0.01	51	-	51
2014	1.02±0.01	1.05±0.01	1.00±0.02	149	72	77
NIR						
2008	1.46±0.03	1.46±0.03	-	40	40	-
2010	1.18±0.02	-	1.18±0.02	86	-	86
2012	1.46±0.06	1.46±0.06	-	42	42	-
2013	1.42±0.03	-	1.42±0.03	52	-	52
2014	1.30±0.01	1.34±0.02	-	185	61	124
CH4						
2008	1.45±0.05	1.45±0.05	-	12	12	-
2010	1.48±0.07	-	1.48±0.07	17	-	17
2012	1.42±0.06	1.42±0.06	-	11	11	-
2013	1.53±0.05	-	1.53±0.05	17	-	17
2014	1.51±0.04	-	1.51±0.04	45	-	45
Pan 1						
2008	1.28±0.02	1.28±0.02	-	91	91	-
2010	1.25±0.02	-	1.25±0.02	70	-	70
2012	1.25±0.02	1.25±0.02	-	66	66	-
2013	1.28±0.01	-	1.28±0.01	147	-	147
2014	1.02±0.01	-	1.02±0.01	262	-	262
Pan 2						
2008	1.24±0.02	1.24±0.02	-	97	97	-
2010	1.20±0.02	-	1.20±0.02	83	-	83
2012	1.26±0.02	1.26±0.02	-	86	86	0
2013	1.23±0.01	-	1.23±0.01	132	-	132
2014	1.18±0.01	1.18±0.01	-	130	130	-
Pan Frame						
2008	1.31±0.01	1.31±0.01	-	22	22	-
2010	1.22±0.02	-	1.22±0.02	124	-	124
2012	1.28±0.01	1.28±0.01	-	206	206	-
2013	1.20±0.01	-	1.20±0.01	129	-	129
2014	1.32±0.01	-	1.32±0.01	187	-	187

558

559 Table 7 - List of the adjustment factors required to correct the observed to predicted

560 counts rate (see Table 6 for more details) using all stars observed each year.

Observation Name	Target	Mid-Observation Time (UTC)	Onboard Mission Elapsed Time (MET)	Phase (degrees)	Electronic Side
PC_MULTI_MAP_B17	Pluto and Charon	13-July-2015 03:38:06	0299064592	Pluto:15.6 Charon:15.5	0
C_COLOR2	Charon	14-Jul-2015 10:42:28	0299176432	38.6	0
P_COLOR_2	Pluto	14-Jul-2015 11:10:52	0299178092	38.8	1

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562 Table 8 - Details of the observations used in the “Charon” calibration.

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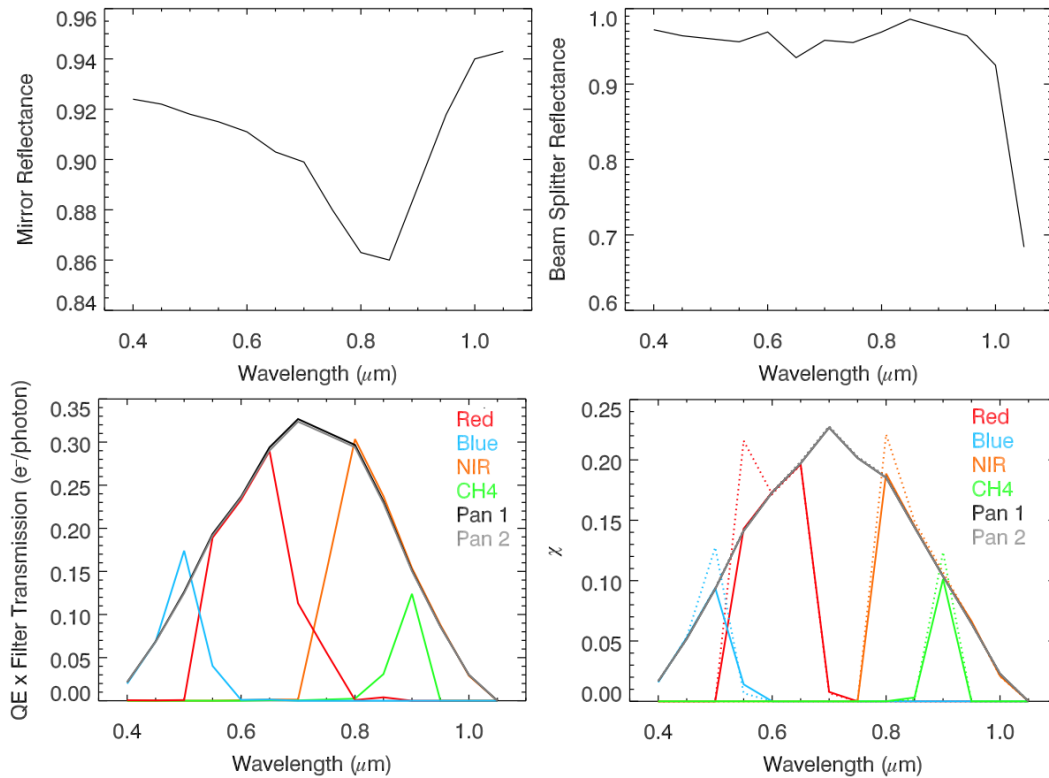
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575 **10 Figures**

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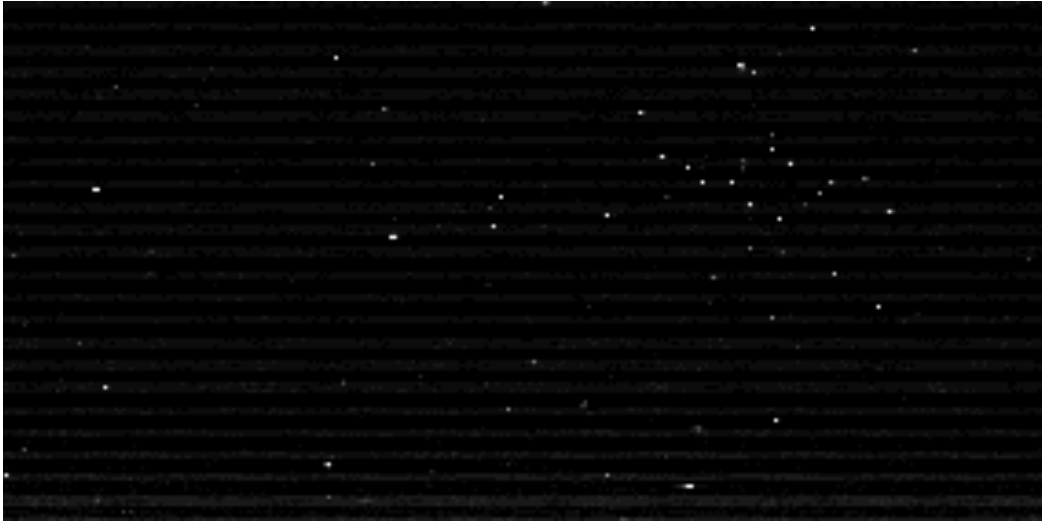


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579 Figure 1 – Mirror and beamsplitter reflectance and the product of the quantum efficiency
580 (Q_e) and the different filter transmission curves are shown. These combine, as described
581 in the main text, to produce the responsivity (χ). Both the original responsivity (dotted
582 lines) and tweaked responsivity (solid lines) are shown. See main text for details.

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586 Figure 2 – MVIC Red image from the M6/M7 cluster observations taken in 2014 (MET

587 0268342909).

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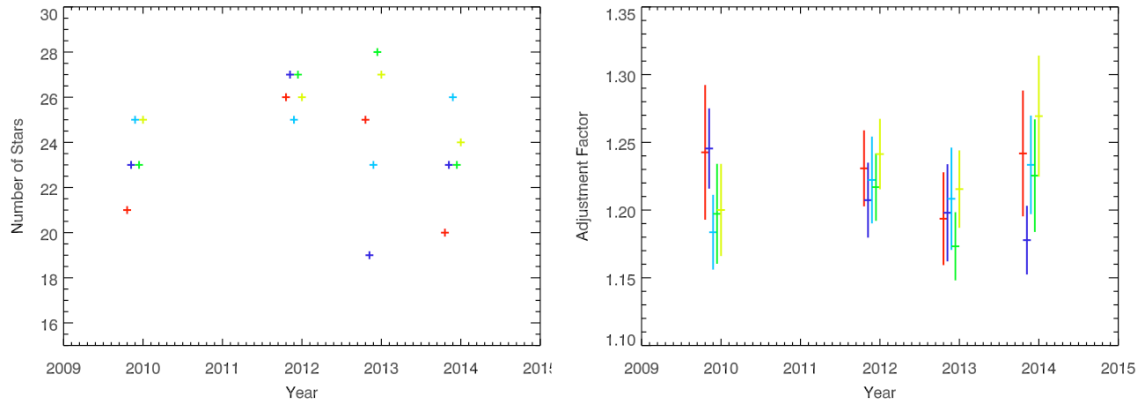
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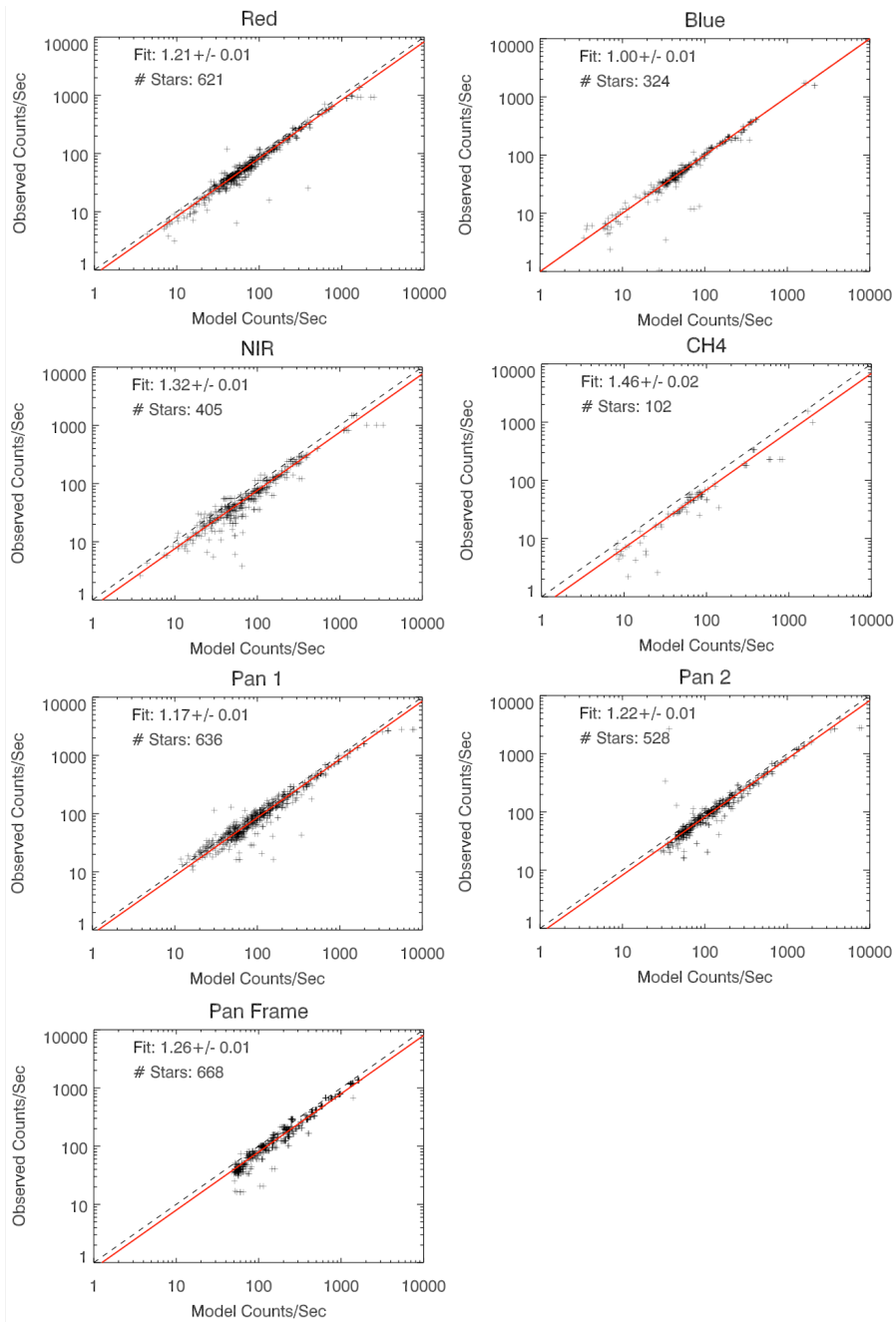


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602 Figure 3 – The number of stars (left) and the adjustment factor derived (right) from Pan

603 Frame observations of NGC 6406 and 6475. The colors indicate results from the different

604 images taken in a given observation sequence, and correspond between the two figures.



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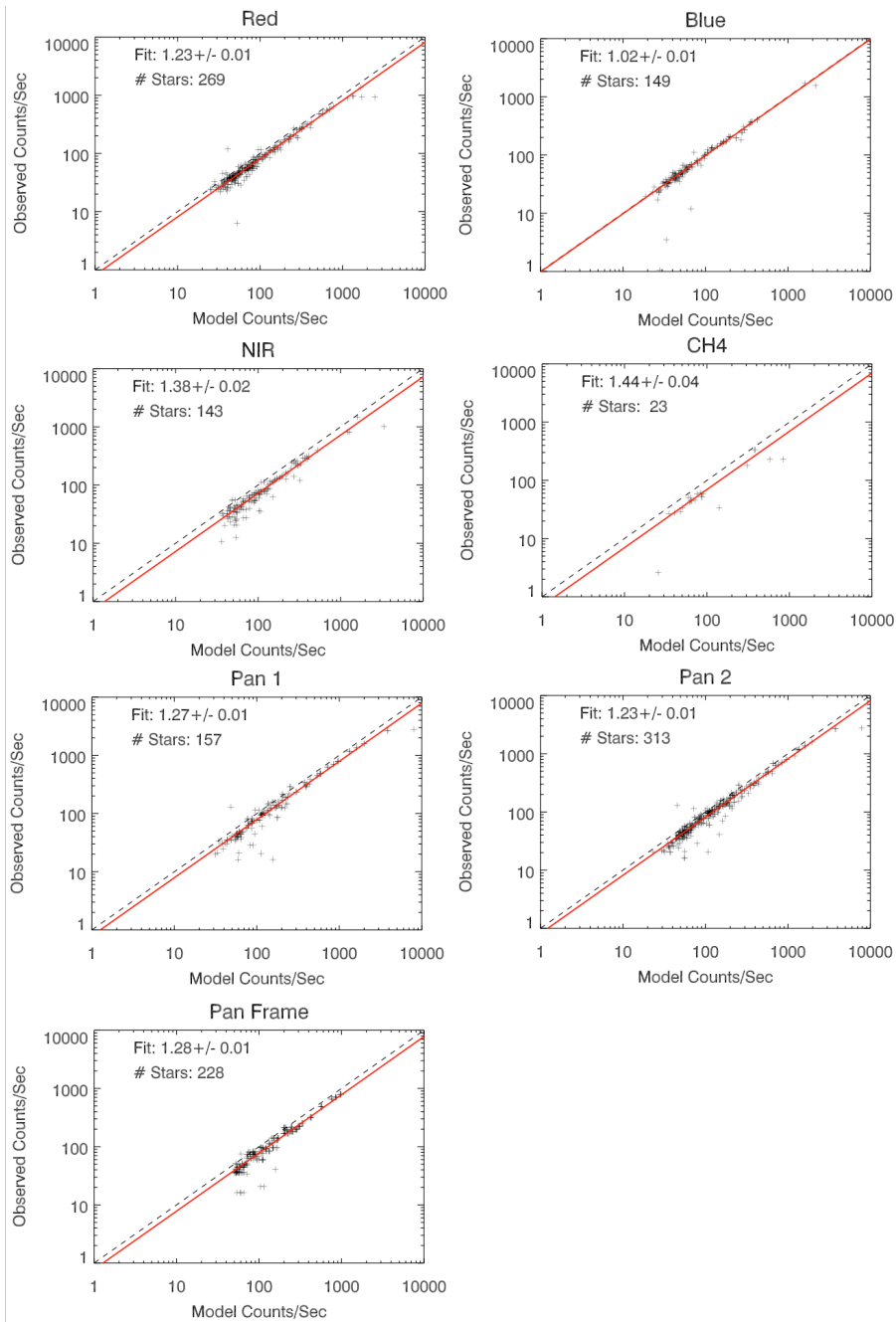
606

607 Figure 4 – Plots of the predicted versus the observed counts/second for all observations

608 made with each MVIC filter. The black dotted line shows $x=y$, and the red solid line

609 shows the best fit, as given in each of the figures and Table 6. The total number of stars

610 (crosses) is also given in each of the figures.



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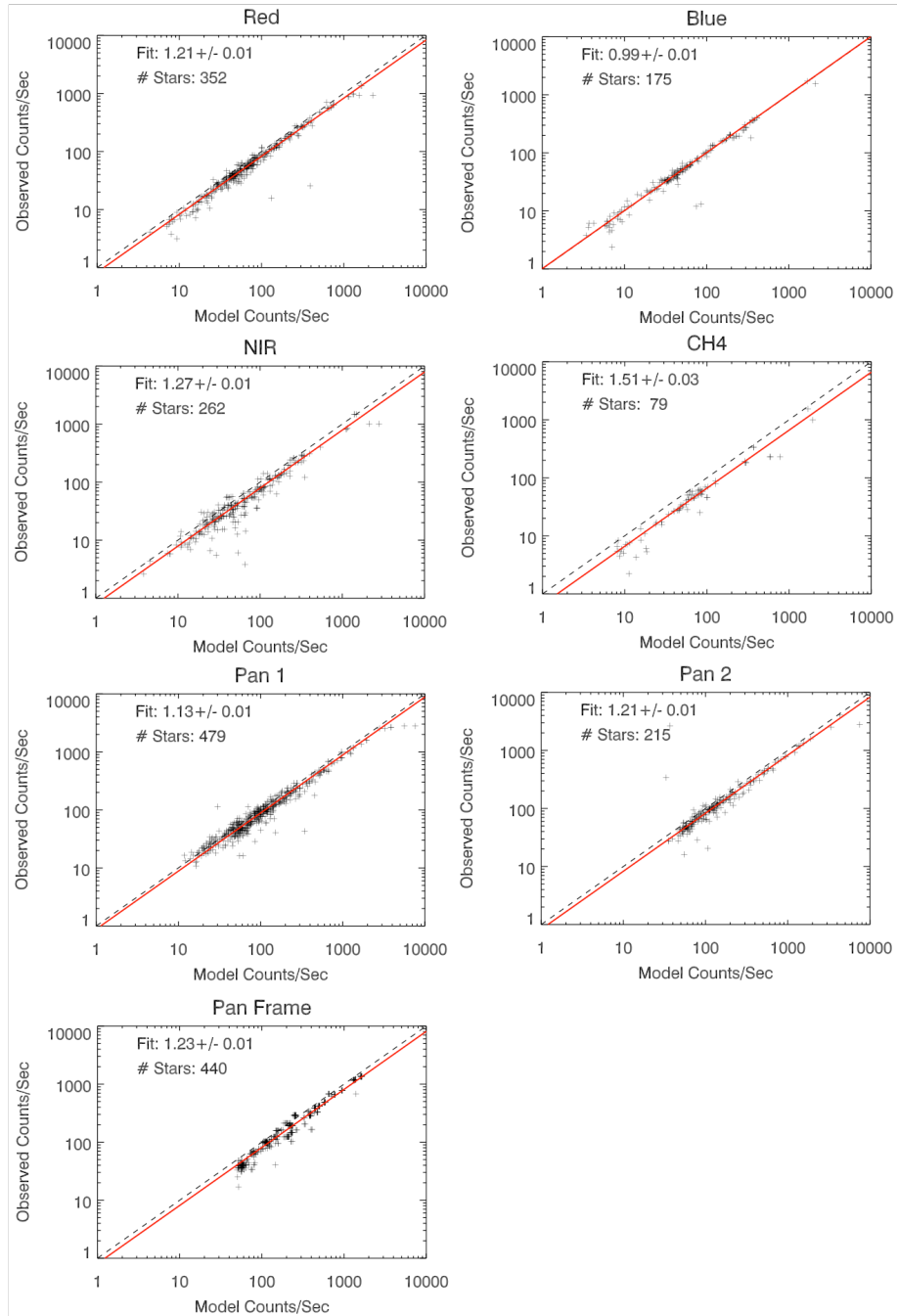
612

613 Figure 5 – Plots of the predicted versus the observed counts/second for all observations

614 made on the electronics side 0 with each MVIC filter. The black dotted line shows $x=y$,

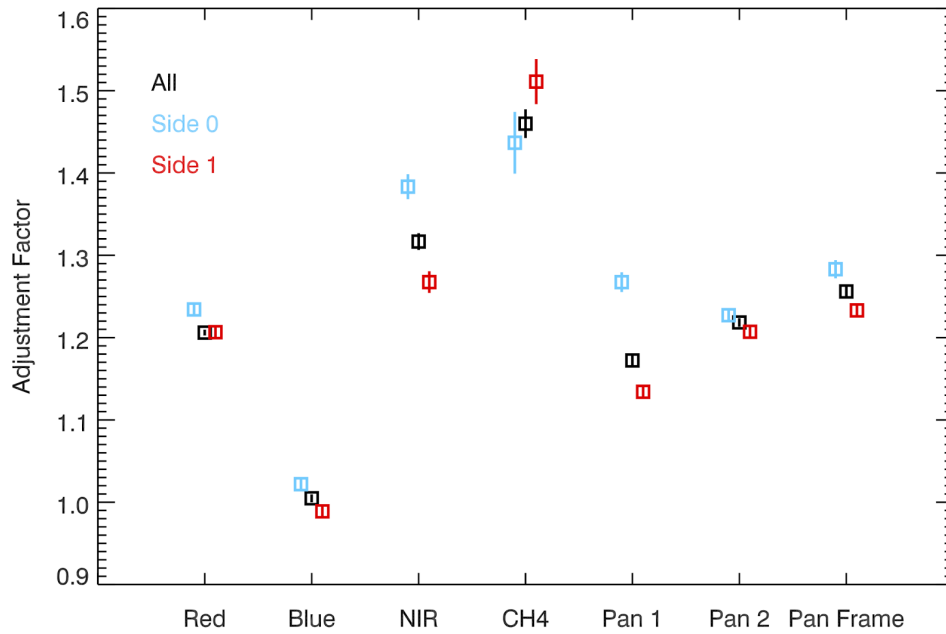
615 and the red solid line shows the best fit, as given in each of the figures and Table 5. The

616 total number of stars (crosses) is also given in each of the figures.



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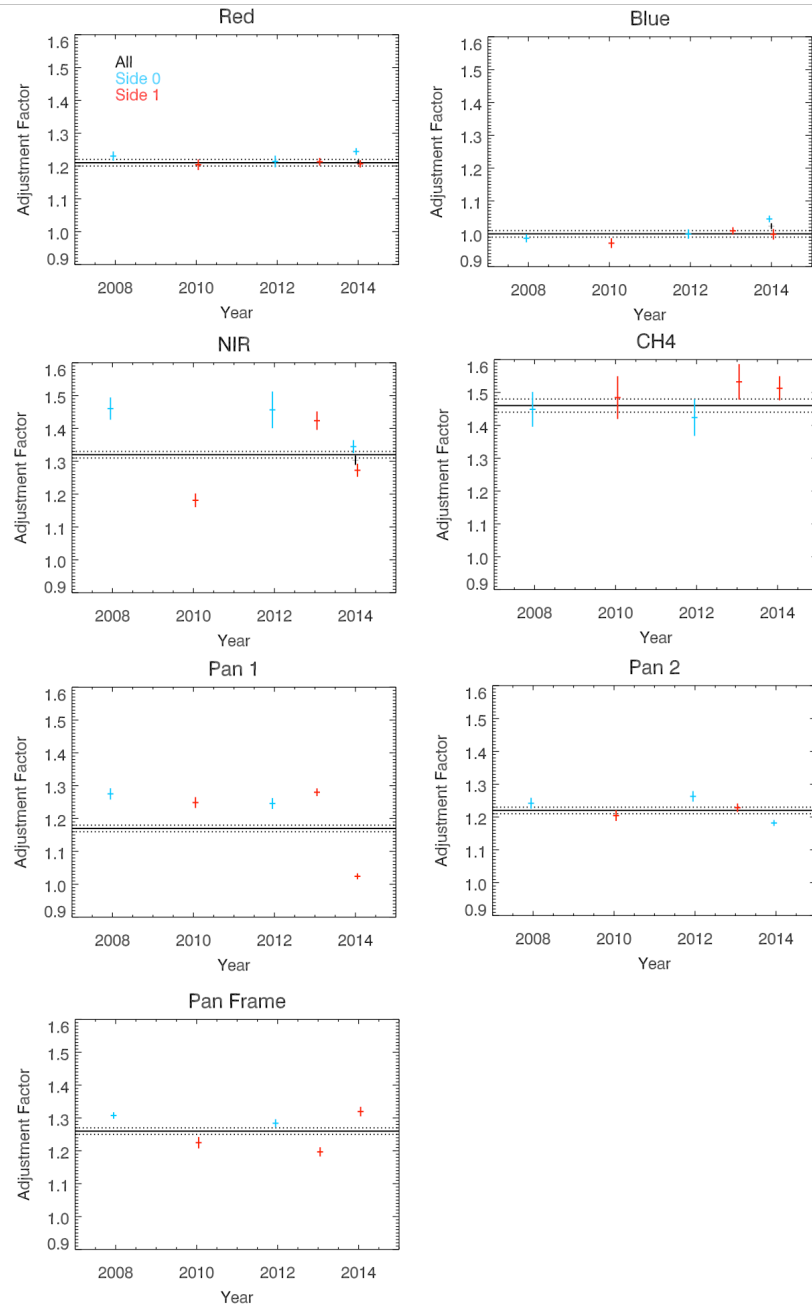
618 Figure 6 – Plots of the predicted versus the observed counts/second for all observations
 619 made on the electronics side 1 with each MVIC filter. The black dotted line shows $x=y$,
 620 and the red solid line shows the best fit, as given in each of the figures and Table 5. The
 621 total number of stars (crosses) is also given in each of the figures.



622

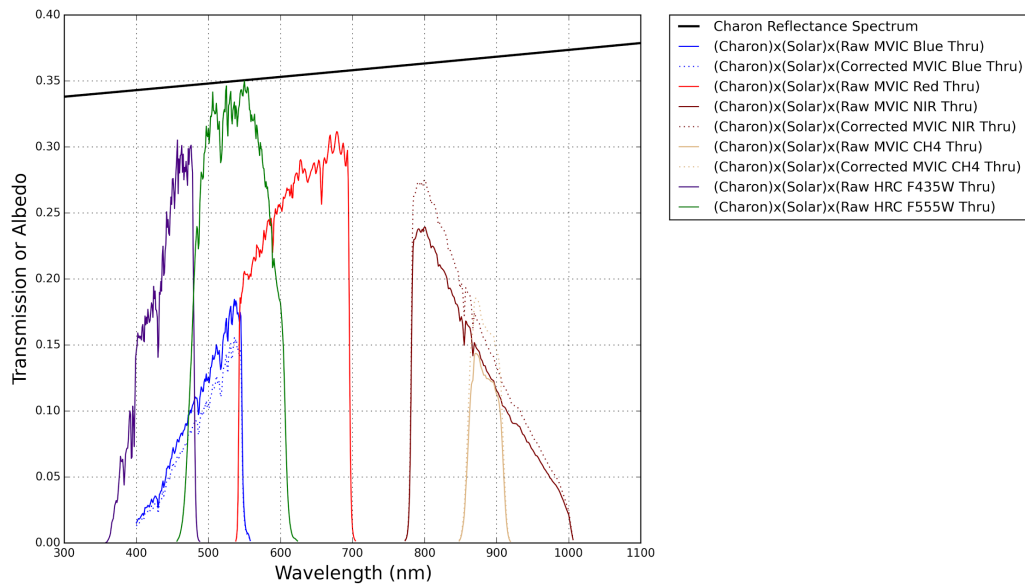
623 Figure 7 – The adjustment ratio of all MVIC filters, as calculated for all electronic sides,

624 just side 0 and just side 1.



625

626 Figure 8 - The adjustment ratio of all the MVIC filters plotted by year, as calculated for
 627 all electronic sides, just side 0 and just side 1 where available. The black solid and dotted
 628 lines shows the adjustment value and the error of the mean for each filter, which was
 629 derived from all available data as given in Table 5.



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632 Figure 9- Raw input and calibrated transmission curves (scaled by product of solar
 633 spectrum and model Charon spectrum) for Charon-based calibration procedure, and
 634 derived parametric Charon reflectance spectrum (geometric albedo, black line). HST
 635 ACS HRC F435W and F555W filters accessed from
 636 <http://www.stsci.edu/hst/acs/analysis/throughputs> on October 29, 2015.

637

638 11 Acknowledgements

639

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 642 through its "Système Solaire" program.

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