

1 DETECTION OF INTRAVASCULAR HEMOLYSIS IN NEWBORNS
2 USING URINARY CARBONIC ANHYDRASE I IMMUNOREACTIVITY

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13 Running head: *Urinary CAI as a biomarker of neonatal hemolysis*

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19 Human genes: *Car1*

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ABSTRACT

BACKGROUND: Mild hemolysis occurs physiologically in neonates, but more severe forms can lead to life-threatening anemia. Newborns in developing regions are particularly at-risk due to the higher incidence of triggers (protozoan infections, sepsis, certain genetic traits). In advanced healthcare facilities, hemolysis is monitored indirectly using resource-intensive methods that probe downstream ramifications. These approaches could potentially delay critical decisions in early-life care, and are not suitable for point-of-care testing. Rapid and cost-effective testing could be based on detecting red blood cell (RBC)-specific proteins, such as carbonic anhydrase I (CAI), in accessible fluids (e.g. urine).

METHODS: Urine was collected from twenty-six full-term male neonates and analyzed for CAI using immunoassays (ELISA, western blot) and proteomics (mass spectrometry). The cohort included a range of hemolytic states, including admissions with infection, ABO incompatibility, and receiving phototherapy. Data were paired with hemoglobin, serum bilirubin (SBR) and C-reactive protein (CRP) measurements.

RESULTS: Urine from a control cohort (CRP<20 mg/L, SBR<125 μ mol/L) had no detectable CAI, in line with results from healthy adults. CAI excretion was elevated in neonates with raised SBR (>125 μ mol/L), including those qualifying for phototherapy. Newborns with low SBR (<125 μ mol/L) but elevated CRP (>20 mg/L) produced urine with strong CAI immunoreactivity. Proteomics showed that CAI was the most abundant RBC-specific protein in CAI-immunopositive samples, and did not associate with other RBC-derived peptides, indicating an intravascular hemolytic source, followed by CAI-selective excretion.

CONCLUSIONS: CAI is a direct biomarker of intravascular hemolysis that can be measured routinely in urine using non-invasive methods under minimal-laboratory conditions.

1 **IMPACT STATEMENT**

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3 Hemolysis in the newborn can be life-threatening, yet direct assays for rapid diagnosis are not
4 available for regular monitoring. Instead, current clinical management relies on resource-
5 intensive measurements of downstream ramifications, potentially delaying critical decisions in
6 early-life care. Using a cohort of newborns manifesting various hemolytic states, we show that
7 intravascular hemolysis can be detected by measuring CAI excretion in a small sample of urine
8 using cost-effective immunoreactivity techniques. Since this biomarker reports cell-rupture, it
9 provides a more direct readout of hemolysis. The method can improve resource allocation,
10 identify 'at-risk' patients earlier, and be implemented under minimal-laboratory conditions.

1 INTRODUCTION

2 Hemolysis is the rupturing of red blood cells (RBCs) that results in the release of their
3 cytoplasmic contents. Various degrees of intravascular hemolysis take place in the first days of
4 life. A mild, physiological form of hemolysis facilitates the process of replacing fetal
5 hemoglobin with its adult form (1). More severe forms, manifesting as jaundice, are associated
6 with medical conditions, such as sepsis (e.g. Gram-positive bacteria), protozoan infection (e.g.
7 malaria), alloimmunity (ABO and Rhesus incompatibility), genetic traits (e.g. sickle cell,
8 glucose-6-phosphate dehydrogenase deficiency [G6PDD]), birth trauma and prematurity.
9 Severe neonatal jaundice represents a significant burden of morbidity worldwide, and is
10 particularly problematic in developing regions, where hemolytic triggers are more prevalent
11 (2-4). Further, the incidence of certain hemolytic conditions (e.g. sickle cell trait, Rhesus
12 disease, G6PDD) is related to ethnicity, resulting in at-risk groups (5-8).

13 Since hemolysis can lead to life-threatening anemia, successful management must rely
14 on early and accurate diagnosis. The timing, duration and intensity of a hemolytic crisis cannot
15 be readily predicted, and therefore at-risk patients should be monitored regularly, using assays
16 that provide a direct, rapid and linear readout of RBC rupture. Certain causes of hemolysis can
17 be diagnosed with specific tests, such as Coombs test for autoimmune hemolytic anemia (9),
18 but these are resource-intensive and are unable to quantify the degree of ongoing hemolysis.
19 The standard clinical tests for hemolysis involve taking blood for cell count, morphology or
20 biochemical assays (e.g. for unconjugated bilirubin, haptoglobin).

21 Serum haptoglobin is commonly used as an inverse biochemical assay of hemolysis
22 (i.e. levels fall during hemolysis), but its interpretation can be ambiguous if the baseline of
23 circulating haptoglobin is not established with adequate precision. A linear and non-saturating
24 positive marker, such as serum bilirubin (SBR), overcomes this issue. However, this and

1 similar diagnostic approaches do not provide a direct readout of hemolysis, in the sense that
2 they do not probe for RBC-specific molecules released upon rupture. Instead, these interrogate
3 the downstream cascades, which inadvertently introduces a delay in diagnosis. Moreover,
4 babies diagnosed with jaundice on the basis of bilirubin measurements are often assumed to be
5 hemolysing, although a small proportion may have a severe non-hemolytic condition, such as
6 Crigler–Najjar syndrome which requires urgent medical attention. Identification of such cases
7 would be expedited by a direct assay for hemolysis. Whilst blood-based tests are performed
8 routinely in hospitals, they require trained personnel, laboratory equipment and high standards
9 of hygiene, and thus may not be feasible for ambulatory care, in developing countries and
10 outside advanced healthcare systems. These onerous requirements also preclude high-
11 frequency, point-of-care testing in at-risk groups to accurately track hemolysis.

12 Given that neonatal hemolysis is a global health concern, there is a medical and
13 economic incentive for developing RBC-related biomarker tests that are fast, reliable,
14 quantitative and safe, yet technically simple and low-cost. Proteins released from ruptured
15 RBCs are good candidate-molecules for hemolysis biomarkers, provided they are *(i)* stable, *(ii)*
16 distinguishable from potential non-RBC sources and *(iii)* released in sufficient amount to
17 produce a detectable signal. A desirable property of such a biomarker would be detection in
18 readily accessible body fluids, such as urine, rather than in blood, for repeated testing.

19 Carbonic anhydrase I (CAI) is a soluble protein that is highly abundant in the cytoplasm
20 of RBCs *(10, 11)* (~4 g/L of adult blood, ~1 g/L of neonatal blood *(12, 13)* and rising over the
21 first year of life *(14)*), but not highly expressed in other tissues, where levels are typically orders
22 of magnitude lower than inside red cells *(15, 16)*. The size of the CAI pool in RBCs would be
23 sufficient to raise plasma CAI upon hemolysis, even after accounting for volume-dilution.
24 Normally, only trace quantities of CAI are found in plasma (~0.001 g/L) *(13, 17)* thus providing
25 very low background. Given its small size (29 kDa, Stokes radius 25 Ang) *(12, 15, 18)*, CAI

1 is expected to cross the glomerular barrier and appear in urine, as has been suggested by a
2 small-scale study of adults with renal disease (19). However, the relationship between
3 hemolysis and urinary CAI excretion is unknown. After glomerular filtration, CAI protein
4 may, for example, be reclaimed by megalin/cubilin receptors in the kidney proximal tubule
5 fluid (20). One previous report has detected only trace amounts of CAI in the urine of
6 apparently healthy adults (<5 µg/day) (17), but not explored its relationship with hemolysis. It
7 has not yet been determined if CAI is detectable in the urine of hemolysing neonates, and
8 whether this information carries any diagnostic meaning.

9 Here, we assayed urinary CAI excretion in neonates that included non-hemolysing
10 controls and various conditions expected to produce a spectrum of hemolytic states. We show
11 that the urinary CAI signal ranges from undetectable to strong, and relates to the underlying
12 hemolytic condition. By adapting this method for lateral-flow immunochromatography ‘strip-
13 tests’, it would be possible to obtain rapid readouts of hemolysis for point-of-care testing in the
14 newborn.

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17 **METHODS**

18 ***Patients.*** Patient recruitment took place at Evelina London Children’s Hospital over a
19 period of 12 months (starting August 2015), where admissions include prematurity, respiratory
20 distress, sepsis, as well as cardiac, neurological and surgical conditions (~800 cases per year).
21 For this study, 26 full-term babies were recruited; none were excluded. The cohort included
22 babies with jaundice requiring treatment, mildly jaundiced, and those with no hemolytic
23 condition (controls). In order to obtain a sufficient volume of urine for multiple types of
24 analyses, male babies were recruited. Urine samples were obtained in the first 9 days of life

1 and then anonymized. For this study, urine bags were used to eliminate possible fecal
2 contamination of samples. Clinical information (date of birth, gestation, phototherapy,
3 treatment with antibiotics) and standard clinical measurements of (i) blood hemoglobin, (ii)
4 serum bilirubin, (iii) plasma $[K^+]$, (iv) plasma [creatinine], (v) C-reactive protein were taken
5 but not made available to the person collecting or measuring from urine samples. Urine
6 samples were frozen for storage (-20 deg C) in 1.5 mL labelled tubes pre-treated with 100 μ L
7 of protease inhibitor solution (Complete, Mini Protease Inhibitor Cocktail Tablets, Roche
8 Diagnostics; 1 tablet/10 mL of final volume), and thawed when ready for measurements. To
9 test the methodology with negative samples, urine was taken from a cohort of non-hemolysing
10 healthy adult volunteers (University of Oxford Central University Research Ethics Committee
11 procedure 24). See supplement for power calculations.

12 ***Measurements on urine samples.*** Urine samples were centrifuged to remove solid
13 deposits and aliquoted to provide at least 200 μ L for immunotechniques (ELISA, immunoblots)
14 and measurements of protein and creatinine. See Supplement for details. In the case of
15 neonatal urine, 200-500 μ L was reserved for mass spectrometry, which included a digestion
16 step and measurement of total peptide. Any residual neonatal urine was destroyed before the
17 end of the study period. Any residual urine remaining from adults was destroyed within 24
18 hours of collection.

19 ***ELISA.*** Each urine sample was measured in triplicate. 50 μ l aliquots of urine were
20 added to each well of a 96-well high-binding microplate (Greiner Bio-One). As a positive
21 control, lysates of RBCs were dissolved in adult urine. The plate was then incubated overnight
22 at 37°C and air-dried, washed with 0.05% phosphate-buffered saline (PBS) with Tween
23 detergent (PBST), blocked with 10% fetal calf serum (FCS) in PBS for 2 hours, and incubated
24 with primary goat anti-human CAI polyclonal antibody (R&D Biosystems, Novus) diluted
25 1:250 in blocking solution (1hr at room temperature). Next, the plate was washed four times

1 with PBST, and incubated with HRP-conjugated rabbit anti-goat IgG (H+L) secondary
2 antibody, diluted 1:8000 in blocking buffer (1hr at room temperature), and then washed again.
3 The signal was developed using OPD solution (Sigma/Merck) and absorbance was measured
4 at 490nm (Biotek ELx800 spectrophotometer or Cytation 5, Biotek). Background absorbance
5 was measured in wells that contained PBS.

6 ***Liquid chromatography-tandem mass spectrometry (LC-MS/MS).*** See supplement for
7 details of protocol (21) and analysis (MaxQuant v1.5.8.3) (22). Data were searched against a
8 UniProt human database (v20170202).

9 ***Statistics.*** Significance of ELISA signal relative to background signal was tested by
10 two-tail t-test. The relationship between urinary CAI status and clinical information was tested
11 by the Freeman-Halton extension of Fisher's exact probability test and Chi-squared test of a 2-
12 by-3 contingency table. Significance of differences in the abundance of urinary proteins was
13 tested by one-way ANOVA.

14 ***Study approval.*** This prospective study was given ethical approval by the UK National
15 Health Service Research Ethics Committee NRES (REC reference: 14/NS/0042, IRAS project
16 ID: 170697). Written consent was obtained from the parent/legal guardian of the recruited
17 newborn, or from adult donors themselves.

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20 **RESULTS**

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22 ***RBC-derived CAI produces a strong signal for detection by immunoreactivity assays***

23 The antibody against CAI was tested for cross-reactivity with CAII, a related isoform,

1 purified from bovine blood. Strong immunoreactivity was detected in lysates prepared from
2 human RBCs, but not with purified CAII, confirming isoform-specificity (**Figure 1A-B**).
3 Human RBC-derived CAI could be detected in dilutions up to 10^5 -fold in urine using ELISA
4 (**Figure 1C**). For this experiment, background absorbance was determined in wells containing
5 PBS instead of urine. Urine samples from adult volunteers (N=8; mean age: 34 years) showed
6 no detectable CAI by ELISA (**Figure 1D**), as expected from a minimal degree of hemolysis
7 and confirming previous studies of only trace CAI excretion. This negative result was
8 confirmed by western blotting, a more sensitive method (**Figure 1E**; note, prolonged film
9 exposure revealed only trace CAI immunoreactivity in some samples; **Figure 1F**).

10 The linearity of CAI ELISA was demonstrated using various dilutions of human
11 recombinant CAI (hrCAI) in adult urine (**Figure S1**). This calibration curve was used in
12 subsequent analyses to calculate the amount of CAI (in ng/well). To test the assay's reporting
13 accuracy, a spike/recovery study was performed on urine samples from 4 adults (**Figure S2**).
14 Samples were split into two groups, one of which was enriched in filtered (<100 kDa)
15 hemolysate, prepared from human venous blood. Spiking was performed with known amounts
16 of hrCAI. Absorbance measurements, performed before and after spiking (**Figure S2A**), were
17 converted to an amount of CAI, which was then expressed relative to the known amount of
18 hrCAI added (**Figure S2B**). The recovery for all spikes tested was close to 100%, confirming
19 the assay's accuracy. To determine the assay's precision, repeated measurements on hrCAI-
20 spiked adult urine samples were performed over a period of 8 days (**Figure S3**). The ELISA
21 signal remained consistent, indicating a good level of precision.

22

23 *Urine samples from newborn manifest a range of CAI immunoreactivity*

24 Urine was obtained from 26 full-term babies (gestation time: 37.0-42.4 weeks; mean

1 39.4 weeks) (**Figure 2A**), collected between the 2nd and 9th day of life (mode 3 days) (**Figure**
2 **2B**). Blood hemoglobin (Hb) ranged from 107 to 245 g/L (mean 174 g/L, SD 30 g/L); twenty-
3 two babies had Hb in the normal range (134-199 g/L), two had Hb<134 g/L and two had
4 Hb>199 g/L (**Figure 2C**). Plasma [K⁺] was 3.6-6.6 mM (mean 5.1 mM, SD 0.84 mM), with
5 two samples classified as hyperkalemic (>6.0 mM). Mean plasma creatinine, urine creatinine,
6 and the urine/plasma creatinine ratio were 54 μmol/L (SD 22 μmol/L), 25 μmol/L (SD 18
7 μmol/L) and 0.47 (SD 0.27), respectively. Renal function was normal (urinary
8 protein/creatinine<1.5 g/g) in all, but two patients (23) (**Figure 2D**).

9 CAI immunoreactivity in neonatal urine was tested by ELISA. Background signal was
10 determined in wells that contained PBS. Unlike in the case of healthy adults, where CAI levels
11 in urine were consistently negligible (**Figure 1D**), neonates produced urine with a wide range
12 of CAI immunoreactivity determined by ELISA (**Figure 2E**). This absorbance readout was
13 converted into an amount of CAI using the calibration curve shown in **Figure S1**. Signals
14 ranged from nil (i.e. at background level) in 9 samples, to a spectrum of positive signals in the
15 remaining 17 samples, the strongest of which was equivalent to 15 ng CAI per well (50 μL).
16 CAI status was confirmed by western blot (**Figure 2F**). These findings provide the first
17 evidence that significant levels of CAI-specific immunoreactivity can be measured in neonatal
18 urine.

19 The use of urine bags on male babies in this trial eliminates the possibility of fecal
20 contamination. However, such contamination cannot be excluded if more crude methods are
21 attempted to collect urine. Previous studies have demonstrated CAI expression in colorectal
22 epithelium (16, 24), and this could potentially influence CAI readouts in urine contaminated
23 with feces. To test if the presence of colorectal epithelium could meaningfully compromise
24 the robustness of CAI measurements, ELISA measurements were performed on adult urine
25 samples containing either filtered hemolysate (750x-diluted) or lysates prepared from human

1 colorectal cell lines (Caco2, DLD1, HCT116 and HT29) (**Figure S4A**). Total protein
2 concentration was measured to derive the CAI content per unit of total protein. A CAI signal
3 equivalent to 1 ng required only ~0.1 µg of hemolysate, but as much as 500 µg of colorectal
4 epithelium lysate (**Figure S4B**). This difference is sufficiently large to indicate that fecal
5 contamination is unlikely to influence urinary CAI levels. To confirm this experimentally,
6 ELISA measurements were performed on adult urine dosed with human feces. Contaminated
7 urine was produced by adding a sample of feces to urine (1:10 v/v), followed by vigorous
8 mixing and two rounds of ultracentrifugation (9000 rpm, 10 min, 4°C). As a positive control,
9 urine was spiked with hrCAI. As shown in **Figure S5**, fecal contamination as high as 10% did
10 not affect background CAI signal, indicating that feces are highly unlikely to produce a false-
11 positive immunoreactivity. Thus, any urinary CAI excretion would be attributable to
12 hemolysis.

13

14 *Urinary CAI positivity is associated with increased levels of bilirubin and C-reactive protein*

15 To investigate the relationship between urinary CAI excretion and clinical presentation,
16 data were stratified according to phototherapy status. Twelve neonates receiving phototherapy
17 had raised serum bilirubin (SBR), with a mean of 267 µmol/L (SD 44 µmol/L), on the day of
18 urine collection. Among those not qualifying for phototherapy, three neonates had elevated C-
19 reactive peptide (CRP>20 mg/L) (25) and received antibiotics, indicating an underlying
20 infection. The remaining neonates (11) manifested a range of SBR levels, from low to elevated,
21 and were further subdivided into two groups, taking an SBR cut-off of 125 µmol/L. The cohort
22 with low SBR (<125 µmol/L) was the ‘control’ group, and that with raised SBR (>125 µmol/L)
23 but not meeting the criteria for phototherapy was referred to as the ‘subclinical’ group (**Figure**
24 **3A**).

1 The relationship between SBR and urinary CAI signal (ELISA) delineates these four
2 groups (**Figure 3B**). Absorbance was significantly above background (cut-off 0.05075 as
3 measured in PBS) in the ‘infected’, ‘phototherapy’ and ‘subclinical’ groups (**Figure 3C**). The
4 ‘infected’ group had the highest CAI signals (3/3 CAI-immunopositive), but presented no
5 evidence for renal failure (**Figure 2D**), which argues for infection-related hemolysis.
6 Strikingly, ten of the 12 neonates receiving phototherapy, including two with a positive direct
7 Coombs test, produced CAI-immunopositive urine. In contrast, none of the neonates in the
8 control group excreted CAI in their urine. Differences in CAI immunoreactivity between the
9 four groups were not explained by age at which urine was sampled (**Figure 3D**; $P=0.62$, one-
10 way ANOVA).

11 An association between urinary CAI-immunopositivity (a direct product of hemolysis)
12 and elevated SBR (a downstream readout of hemolysis) was tested using a 2x3 contingency
13 table for ‘control’, ‘subclinical’ and ‘phototherapy’ groups, representing increasing levels of
14 SBR (mean \pm SD: 75 \pm 36 μ mol/L, 173 \pm 35 μ mol/L, 267 \pm 44 μ mol/L). Fisher’s exact probability
15 test and Chi-squared test indicated a significant association between CAI status and SBR
16 ($P=0.005$; **Table 1**).

17

18 ***The protein signature of urine indicates an intravascular hemolytic source of urinary CAI***

19 The detection of a CAI signal in urine implicates a large source of CAI, namely a
20 population of ruptured RBCs, as no other tissue would be capable of releasing a sufficient
21 amount of CAI. However, the results thus far cannot determine whether RBC rupture had taken
22 place inside blood vessels or along the urinary tract. An extravascular hemolytic event would
23 result in the urinary excretion of RBC proteins that do not normally cross the glomerular filter
24 (e.g. membrane-bound or large soluble proteins), or those proteins that are normally reclaimed

1 by the nephron. A related question is whether CAI is the most abundant RBC-specific protein
2 detectable in urine. These two questions were addressed by proteomic analyses of urine.

3 Mass spectrometry analysis of neonatal urine identified over 1000 proteins in one or
4 more of the 25 samples (**Table S1; Fig S6A**; note, there was insufficient volume in sample 27
5 to perform this analysis). To identify RBC-associated proteins, the urinary proteome was
6 compared against a database of RBC proteins (**Fig S6B**) (10). Among the 64 most abundant
7 proteins in RBCs, collectively representing a third of all RBC peptides (10), twenty-one were
8 detected in at least one urine sample. Of these, CAI had the highest mean signal and showed
9 greatest variation, i.e. potential dynamic range (**Figure 4A**). Importantly, ankyrin-1 (ANK1),
10 hemoglobin A (HBA) and hemoglobin B (HBB), which are RBC-specific, were absent or
11 appeared at very low levels in urine. Ankyrin-1 (206 kDa) is too large to cross the glomerular
12 filter (26), and any filtered hemoglobin would be reclaimed by the megalin/cubilin receptor
13 system (20), thus their absence from urine is consistent with an intravascular hemolytic event.

14 A comparison of the proteomes of CAI-immunopositive and CAI-immunonegative
15 urine confirms that CAI peptides were significantly enriched in the former (**Figure 4B**). An
16 analysis of urine by patient grouping confirmed CAI enrichment in the infected, phototherapy
17 and subclinical groups (**Figure 4C**; one-way ANOVA, $P=0.021$). Phosphoglycerate kinase 1
18 was also differentially abundant in the four groups ($P=0.008$), but demonstrated lower intensity
19 than CAI, making it a less suitable biomarker.

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21

22 **DISCUSSION**

23 This proof-of-principle study demonstrates that CAI can be detected in neonatal urine

1 by immunoreactivity methods (**Figure 2**) and mass spectrometry (**Figure 4, Table S1**). In the
2 cohort of full-term neonates, two-thirds produced distinctly CAI-immunopositive urine
3 (**Figure 2B**). The remaining third of neonates produced urine with only trace CAI
4 immunoreactivity, similar to that excreted by healthy adults (**Figure 1D**) and consistent with
5 previous reports that CAI is not normally excreted in urine. The source of CAI in urine can be
6 explained in terms of intravascular hemolysis of RBCs, the largest pool of CAI in the body.
7 Moreover, urinary CAI excretion correlated with serum bilirubin, an independent but indirect
8 indicator of hemolysis (**Figure 3**). Fecal contamination cannot account for urinary CAI
9 immunoreactivity because measurements on feces-contaminated adult urine (**Figure S5**) and
10 adult urine dosed with lysates of colorectal epithelial cells (**Figure S4**) produced negligible
11 CAI signals.

12 Although the appearance of CAI in urine may be predicted from the properties of the
13 glomerular filter (26), urinary CAI excretion has not been demonstrated in hemolysing
14 neonates. To explain the urinary CAI signal in immunopositive samples, CAI protein must
15 have been released from a sizable pool of RBCs and then crossed the glomerulus, without
16 subsequent degradation or reabsorption (20). The highest urinary CAI immunoreactivity was
17 equal to a 100-fold dilution of RBC lysate, and the mean signal among CAI-immunopositive
18 samples was equivalent to a 10⁵-fold dilution (**Figure 2E cf Figure 1C**). The appearance of
19 CAI in urine samples collected for this study cannot be explained by an extravascular
20 hemolysis along the urinary tract because CAI was not excreted with other RBC-specific
21 proteins, such as ankyrin-1 and hemoglobin (**Figure 4B**). This lack of association between CAI
22 and other RBC-specific proteins indicates that the hemolysate underwent filtration and
23 selective reabsorption that eliminated ankyrin-1 and hemoglobin, but allowed CAI to pass.

24 Measurements of CAI in urine have excellent signal-to-noise ratio for detecting
25 hemolytic events. The low background and positive correlation with hemolysis compare

1 favorably to the marker haptoglobin, which falls during hemolysis and therefore require
2 accurate baseline information to perform the necessary subtraction. Also, CAI excretion is
3 expected to increase proportionally with the degree of hemolysis, and therefore the detected
4 signal is less prone to saturate. Under non-hemolysing conditions, urinary CAI signal is close
5 to zero, which compares favorably to markers such as reticulocyte count for which there is
6 always a non-zero baseline level in blood and therefore a narrower dynamic range. Markers
7 such as LDH are not specific to ruptured RBCs, and can yield false-positives. CAI testing is
8 more directly traceable to hemolysis, and therefore does not share the potential ambiguity of
9 bilirubin measurements, which in rare cases such as Crigler–Najjar syndrome may lead to an
10 erroneous diagnosis of hemolysis.

11 Urine samples with the highest CAI immunoreactivity were obtained from three
12 neonates with significantly raised CRP and in receipt of antibiotics to treat an underlying
13 infection (**Figure 2B**). Such CAI levels are indicative of a substantial degree of hemolysis.
14 The source of CAI was not an extravascular hemolysis due to a urinary tract infection (UTI; a
15 rare event in the first days of life (27)) because three major RBC-related proteins (ankyrin-1,
16 hemoglobin alpha and beta) were detected at much lower intensities than CAI (400-, 15- and
17 100-fold, respectively). This discrepancy indicates that RBC proteins had been separated by
18 the kidney. Furthermore, there was no proteomic evidence for markers of squamous epithelium
19 nor neutrophil effector functions, which would be expected in a UTI (28). The squamous
20 epithelium markers cytokeratins and periplakins were not detected in any sample, and there
21 was no significant difference in markers serpin B3, cornulin and desmoplakin between the
22 high- and low-CRP cohorts ($P=0.35$, 0.89 , and 0.17 , respectively). Neutrophil activity markers
23 cathelicidins and calprotectins were not detected in any sample, and there was no significant
24 difference in markers defesin-1, myeloperoxidase, cathepsin G, cathepsin L1 or pro-cathepsin
25 H between the high- and low-CRP cohorts ($P=0.92$, 0.69 , 0.72 , 0.63 , 0.57 , respectively).

1 In accordance with routine clinical practice, based largely on SBR measurements, 12
2 of the recruited neonates were placed on phototherapy (National Institute for Health and Care
3 Excellence guidelines), and among these, urinary CAI was detected in ten. The mean signal in
4 this clinically jaundiced group was significantly above background, but not as high as in the
5 group with elevated CRP, reflective of a less severe but still significant hemolytic event.
6 Among those neonates that did not qualify for phototherapy, half manifested raised SBR and
7 had a significantly elevated mean CAI signal. The remaining neonates, characterized by low
8 SBR (<125 $\mu\text{mol/L}$), produced urine with no detectable CAI (**Figure 3C**). Data stratified by
9 SBR level showed a significant association with CAI immunopositivity (**Table 1**), thus the
10 presence of CAI in urine is meaningfully related with an established clinical index of
11 hemolysis. Since the appearance of CAI in urine is expected to peak *before* the onset
12 downstream biochemical changes, we propose urinary CAI excretion to be an early biomarker
13 of hemolysis. To establish these dynamics, follow-up trials should track the time course of
14 urinary CAI excretion in a larger cohort of newborns, and correlate these data with hemolytic
15 markers, including serum haptoglobin.

16 The ELISA-based CAI detection method could be implemented in lateral-flow
17 immunochromatography devices (strip-tests) for rapid readouts under minimal-laboratory
18 conditions (i.e. similar to pregnancy kits). Testing could thus be performed regularly to track
19 the onset and progression of hemolysis in early-life care with the necessary sampling
20 frequency. This compares favorably to blood-based tests for LDH, haptoglobin or SBR, which
21 cannot achieve such high temporal resolution. A detectable rise in CAI may precede other
22 markers of hemolysis and thus improve resource allocation in the clinic and identify ‘at-risk’
23 patients earlier. This methodology may be particularly useful in economically-deprived
24 regions with inadequate health care systems, in ambulatory care, and in developing countries
25 where the incidence of hemolytic triggers (e.g. malaria, sickle cell disease) is higher.

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AUTHOR CONTRIBUTIONS

A. Hulikova, experimental design, measurements and analysis; H. Khan, clinical lead and provision of samples and clinical data; H. Kramer, urine proteomics; P. Swietach, financial support, statistical analysis, administrative support, experimental design, analysis and wrote the paper.

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- 11

1 **TABLE 1:** 2-by-3 contingency table for the Fisher Exact Probability Test. P=0.0052. Chi-
 2 squared test ($\chi^2=10.4$, degrees of freedom=2), P=0.005.

<i>Urine CAI status</i> <i>(by ELISA):</i>	Not receiving phototherapy		Receiving phototherapy
	SBR<125 $\mu\text{mol/L}$	SBR>125 $\mu\text{mol/L}$	
<i>CAI-immunonegative</i>	5	2	2
<i>CAI-immunopositive</i>	0	4	10

3

4 **FIGURE LEGENDS**

5

6 **FIGURE 1:** *Testing CAI antibodies and immunoreactivity in adult urine.* (A) Specificity of
 7 the CAI antibody was tested by western blot on human RBC lysates diluted in urine (a source
 8 of CAI and CAII), and CAII purified from bovine blood. Dilutions were performed in urine
 9 from a non-hemolysing adult volunteer. (B) Comparing antigen recognition by anti-CAI and
 10 anti-CAII antibodies using dot blot (5ng bovine CAII per 2 μL /dot and 2 μL of 45-fold diluted
 11 human RBC lysate/dot). (C) RBC lysates, prepared from an adult volunteer, were diluted
 12 serially in urine. ELISA using the CAI antibody was performed and quantified in terms of
 13 absorbance. (D) CAI immunoreactivity measured by ELISA in urine samples from eight adult
 14 male volunteers. Line shows background signal, determined in wells containing PBS only. (E)
 15 Western blot of adult urine samples for short (30 s) and (F) Longer (120 s) film exposure time.

16

17 **FIGURE 2:** *Detecting CAI immunoreactivity in urine samples from newborns.* (A) Gestation
 18 (mean indicated by continuous line). (B) Age at urine collection (mean indicated by continuous
 19 line). (C) Blood hemoglobin (mean indicated by continuous line); normal range in neonates is

1 133-199 g/L. **(D)** Ratio of urine protein to urine creatinine. Dashed line indicates 95th percentile
2 expected for this age-group. **(E)** Result of ELISA performed against CAI on 50 μ L sample of
3 undiluted urine. Dashed line shows background signal measured in wells with no urine (PBS-
4 containing). Samples shaded grey produced detectable CAI immunoreactivity. Absorbance
5 was converted to amount of CAI per well, based on a calibration curve shown in Figure S1. **(F)**
6 Western blot performed on 30 μ L sample of undiluted urine. Note, samples 9, 13 and 16 had
7 insufficient urine for western blotting.

8

9 **FIGURE 3: Relating urinary CAI to clinical information.** **(A)** Stratification of neonates based
10 on phototherapy status, C-reactive peptide (CRP) levels, and serum bilirubin (SBR). **(B)**
11 Scatter plot of urinary CAI signal versus SBR. Absorbance was converted to amount of CAI
12 per well, based on a calibration curve shown in Figure S1. **(C)** CAI signal (ELISA) categorized
13 by neonate group. Statistical test: comparison to background signal (dashed line) by two-sided
14 t-test of log-transformed data. **(D)** CAI signal (ELISA) versus age (in days) at which urine
15 sample was obtained.

16

17 **FIGURE 4: Neonatal urine proteomics.** **(A)** List of 21 proteins that were present in urine
18 samples and also in the top tertile of proteins in the RBC proteome. Among these, CAI had the
19 highest mean level and sample-to-sample spread. Abundance was normalized to μ g of total
20 peptide. **(B)** Volcano plot identifying proteins with significantly different levels between CAI-
21 immunopositive (N=16) and CAI-immunonegative (N=9) urine samples. Proteins highlighted
22 in red are in the top tertile of proteins found in RBCs. Shading of non-RBC proteins is
23 proportional to the proteins' abundance. The CAI-immunopositive group was enriched in 16
24 non-RBC specific proteins, including heme-binding protein 2, SPARC-like protein 1, zinc- α -

1 1-glycoprotein, cathepsin Z, calreticulin and L-selectin precursor. The CAI-immunonegative
2 group was enriched in 23 non-RBC specific proteins, including calcyphosin, chondrolectin
3 precursor, Lynx-1, platelet glycoprotein VI, tenascin X and IGF-like family receptor 1. (C)
4 Label-free quantification (LFQ) of RBC-related proteins (normalized to urine volume),
5 grouped by neonate category. Levels of CAI and PGK1 were significantly different (one-way
6 ANOVA; *P<0.05) but CAI manifested larger absolute changes.

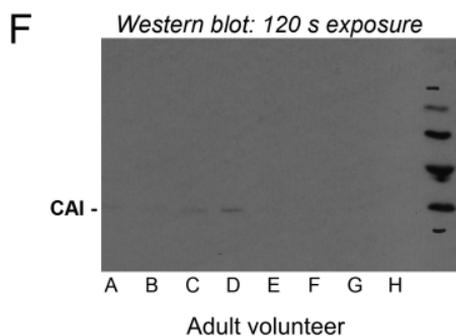
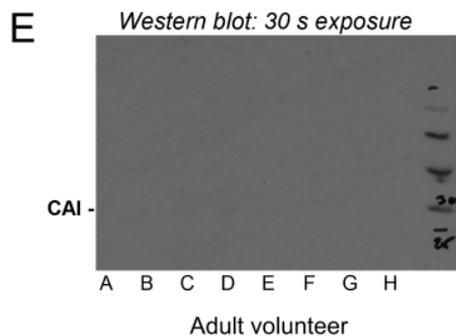
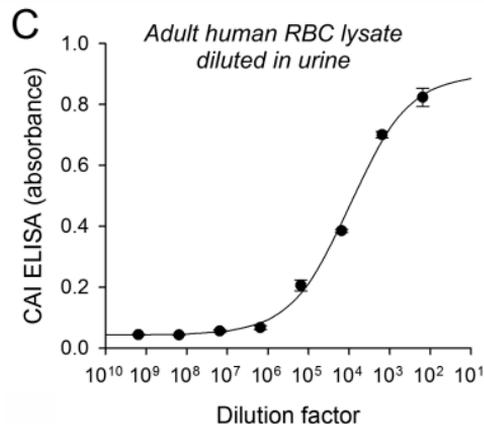
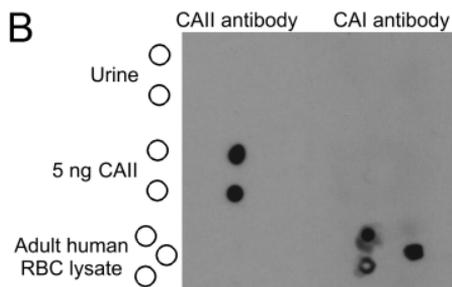
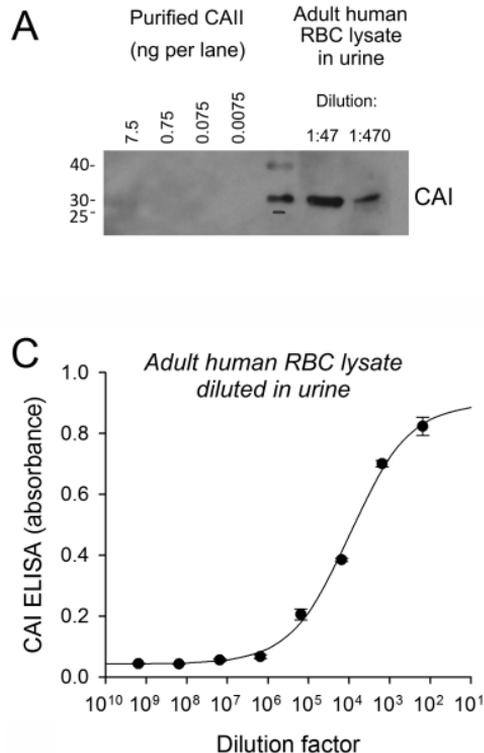
FIGURE 1

FIGURE 2

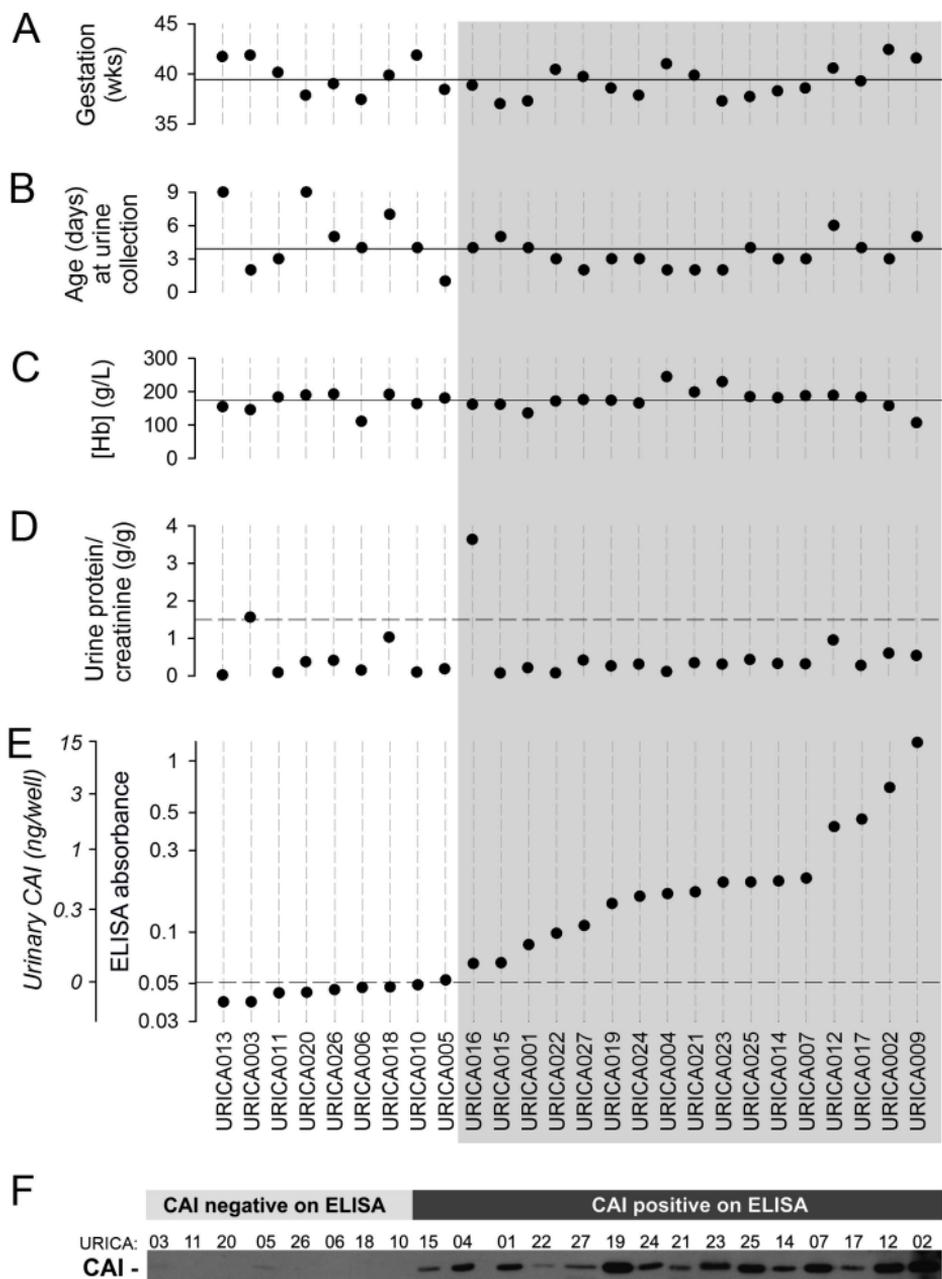
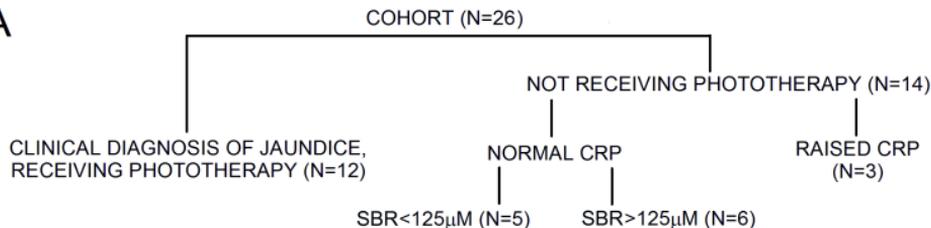
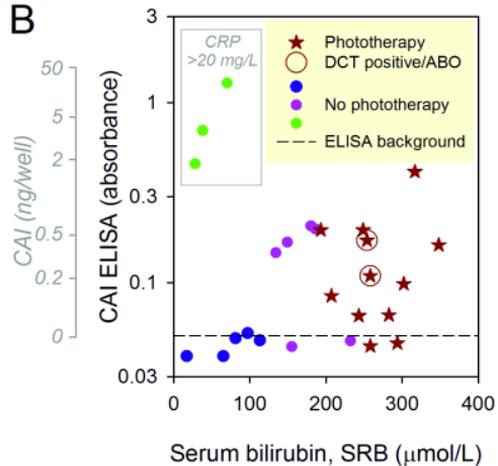


FIGURE 3

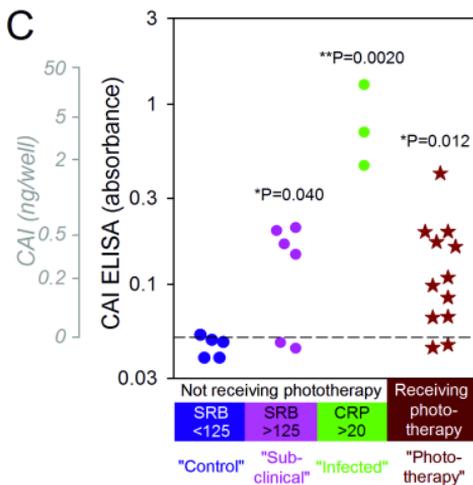
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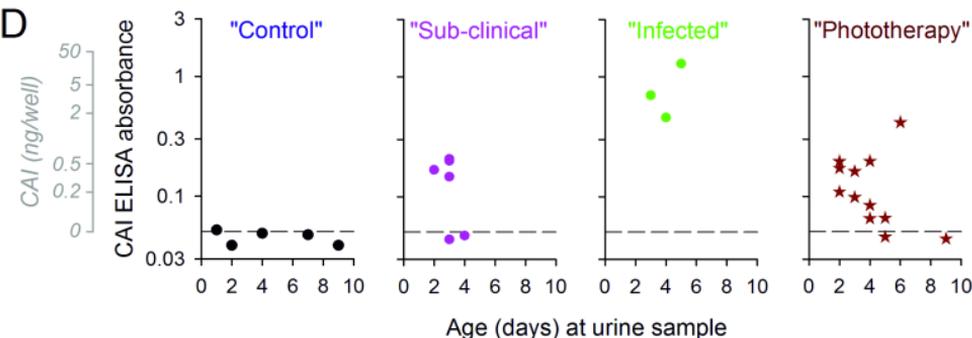
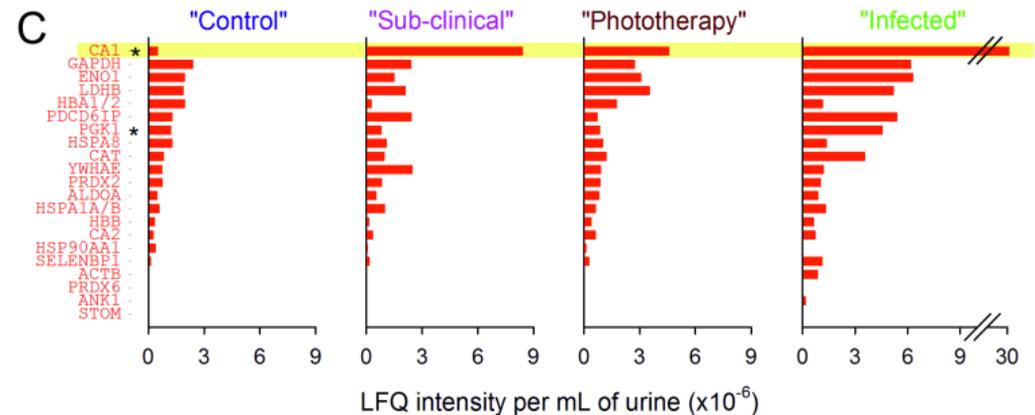
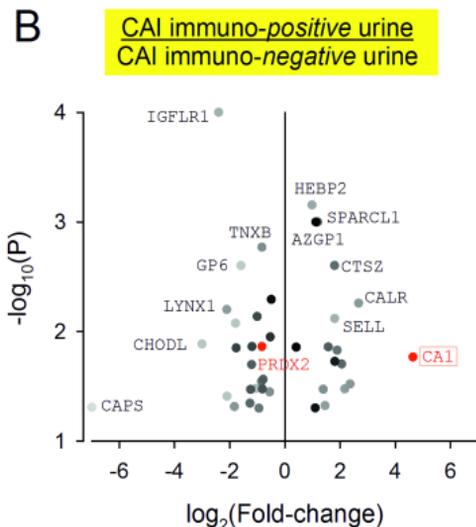
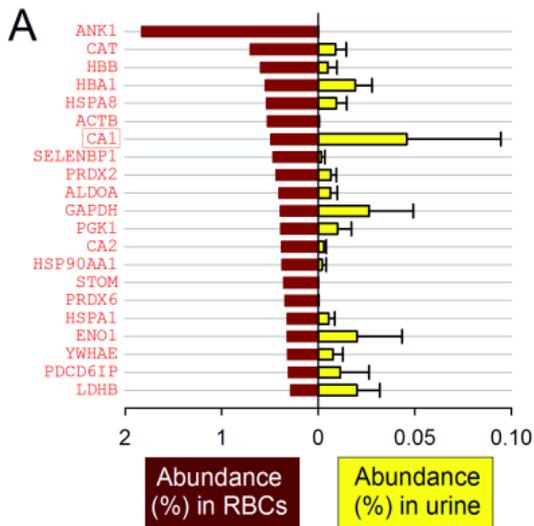


FIGURE 4



SUPPLEMENTAL METHODS

Power calculations. For a normal neonatal haematocrit of 56% (1-3 day neonates), 5% haemolysis would release ~100 mg of CAI per liter of plasma, assuming an RBC CAI concentration of 2 g/L (1, 2). For a full-term neonate glomerular filtration rate of 39 ml/min/1.73 m² (~8 ml/min) (3) and a ultrafiltrate/plasma CA concentration ratio of 0.5 (for a molecule of Stokes radius 25 Å (4-6)), the urinary output of CAI is predicted to peak at ~0.4 mg/min (2). At urine flows of 50-300 µL/min, urine samples are expected to yield a detectable signal with sensitive immunoreactivity assays (7). To resolve a 10-fold difference in CAI immunoreactivity, assuming a S.D. equal to the mean signal in the positive group and sampling ratio of 1, >20 babies were required.

Preparation of samples for testing primary antibody cross-reactivity. Stock solution of purified bovine CAII (Sigma-Aldrich) was diluted in adult human urine. Human RBC-lysate was prepared by diluting 10 µl of blood (hematocrit 45%) in 200 µl of adult urine (47-fold dilution), followed by freeze-thaw lysis.

Total protein measurement. Detergent Compatible Bradford Assay Reagent (Pierce) was used to determine total protein concentration in urine (three technical repeats each). Per well, 5 µl of urine was mixed with 150 µl of Bradford reagent, incubated for 10 min at room temperature, followed by absorbance measurements at 595 nm (Biotek ELx800 spectrophotometer).

Western blotting. 30µl urine was mixed with 10µl of 4x reducing Laemmli buffer (Bio-rad), and boiled for 5 minutes. The mixture was loaded onto polyacrylamide gel (30µl of urine/lane) for PAAG-electrophoresis and Western blotting using PVDF membrane. Membranes were then blocked in 5% skimmed milk prepared in 0.1% PBST for 1 hr at room temperature. Membranes were incubated

overnight at 4°C with primary goat anti-human CAI polyclonal antibody (R&D Biosystems, Novus) diluted in 1:1000 in blocking buffer. Next day, the membranes were washed 4x in PBST for 30 min, and incubated with HRP-conjugated rabbit anti-goat IgG (H+L) secondary antibody (ThermoFisher Scientific) diluted 1:10,000 in blocking buffer (1 hr at room temperature), and then washed. Membranes were incubated in ECL Western Blotting Substrate (Pierce) for 1 min and developed on X-ray films (GE Healthcare Amersham Hyperfilm).

Dot blot. Isolated bovine CAII (Sigma) was diluted in adult human urine (0.5 µg/200µl urine). Human RBC-lysate was prepared by diluting 10 µl of blood in 200 µl of urine, followed by lysis by freeze-thaw cycle. 2 µl aliquots of urine, bovine CAII-containing urine or RBC-lysate prepared in urine were pipetted onto nitrocellulose membrane (GE Healthcare Amersham), and air-dried. Membranes were blocked in 5% milk in PBST for 1 hr and incubated for 1 hr in room temperature in the presence of either primary goat anti-human CAI polyclonal antibody (R&D Biosystems, Novus) diluted in 1:1000 in blocking buffer, or rabbit anti-human CAII polyclonal antibody (Novus) diluted in 1:1000. Membranes were washed (4x in 30 minutes) and incubated for 1 hr with HRP-conjugated secondary antibodies diluted 1:10000 in blocking buffer (rabbit anti-goat IgG (H+L) secondary antibody, Invitrogen, or donkey anti-rabbit IgG (H+L) secondary antibody, Novus). After a second round of washing, membranes were developed using ECL Western Blotting Substrate (Pierce).

Urinary creatinine. Measurements of urinary creatinine were performed in a 96-well plate using a colorimetric assay kit (Cayman Chemical) according manufacturer's recommendation. Urine was diluted 10-fold in saline and 15 µl were aliquoted per well. Measurements were performed in duplicates. 150 µl of alkaline picrate solution was

added per well and incubated for 10 minutes on a shaker. This was followed by the initial measurement of absorbance at 490nm (Biotek ELx800 spectrophotometer). In the second step, 5µl of acid solution was added to samples, plate was incubated for another 20 min, and the final absorbance measured again at 490nm.

Liquid chromatography-tandem mass spectrometry (LC-MS/MS).

Urine aliquots were loaded onto Microcon 10kD centrifugal filters (Merck Millipore, MRCPR01), concentrated by centrifugation and digested by trypsin using a Filter-Aided Sample Preparation (FASP) protocol. Dried gel digest peptide extracts were solubilised (20µl, 0.1% trifluoroacetic acid, TFA) and transferred to auto sampler vials for LC-MS/MS analysis. Tryptic peptides were separated using an Ultimate 3000 RSLC nano liquid chromatography system (Thermo Scientific) coupled to a LTQ Orbitrap XL mass spectrometer (Thermo Scientific) via a Proxeon nano-spray source. Sample volumes corresponding to 1.0 µg of protein digest, as determined by a fluorimetric peptide concentration assay (Pierce), were loaded onto a trap column (Acclaim PepMap 100 C18, 100µm × 2cm) at a flow rate of 8 µL/min in 2% acetonitrile, 0.1% TFA. Peptides were then eluted on-line to an analytical column (Acclaim Pepmap RSLC C18, 75µm × 50cm) and separated using a stepped 120 minute gradient of 4-65% buffer B (buffer A: 2% acetonitrile, 0.1% formic acid buffer, buffer B: 80% acetonitrile, 0.1% formic acid).

Eluted peptides were analysed by the LTQ XL operating in positive polarity using a data-dependent acquisition mode. Ions for fragmentation were determined from an initial MS1 survey scan at 30,000 resolution (at m/z 200), followed by Ion Trap CID (collisional induced dissociation) of the top 6 most abundant ions. MS1 and MS2 scan AGC targets set to 1e6 and 1e4 for a maximum injection time of 500 ms and 100 ms respectively. A survey scan m/z range of 350-1800 was used, with a normalised collision energy set to 35%, charge state rejection enabled for +1 ions and a minimum threshold for triggering fragmentation of 500 counts.

LC-MS/MS analysis. Data was processed using the MaxQuant software platform (v1.5.8.3), with database searches carried out by the in-built Andromeda search engine against a UniProt human database (version 20170202). A reverse decoy database approach was used at a 1% FDR for peptide spectrum matches and protein identifications. Search parameters included: maximum missed cleavages set to 2, fixed modification of cysteine carbamidomethylation and variable modifications of methionine oxidation and protein N-terminal acetylation. Label-free quantification was enabled with an LFQ minimum ratio count of 2. 'Match between runs' function was used with match and alignment time windows of 1 and 20 minutes respectively.

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SUPPLEMENTAL FIGURES

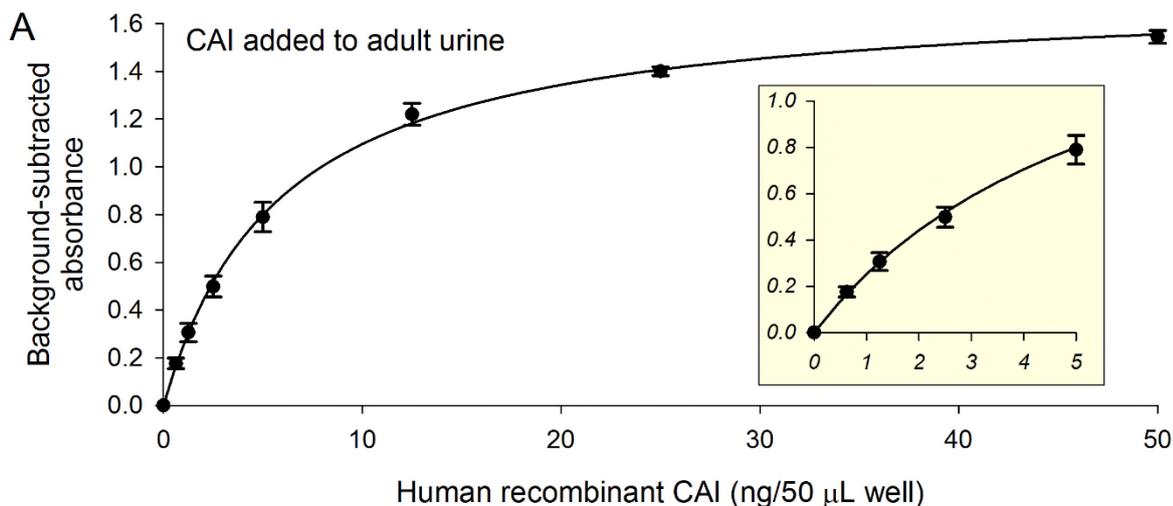


Figure S1: Testing linearity of CAI ELISA with recombinant CAI. Calibration curve for human recombinant CAI (hrCAI; R&D Biosystems) produced by serial dilution of 100 ng/ μ l hrCAI in human adult urine and repeated for a further 3 urine samples. Final CAI concentrations were: 50, 25, 12.5, 5, 2.5, 1.25, 0.625 and 0 ng hrCAI per 50 μ l well. ELISA assay was performed as described by the Methods. Absorbance, subtracted for background (urine sample with no added hrCAI), is plotted on a linear axis. Note the linearity of absorbance over the range up to \sim 5 ng hrCAI / 50 μ l well. Inset replots curve for range 0-5 ng hrCAI per 50 μ l well.

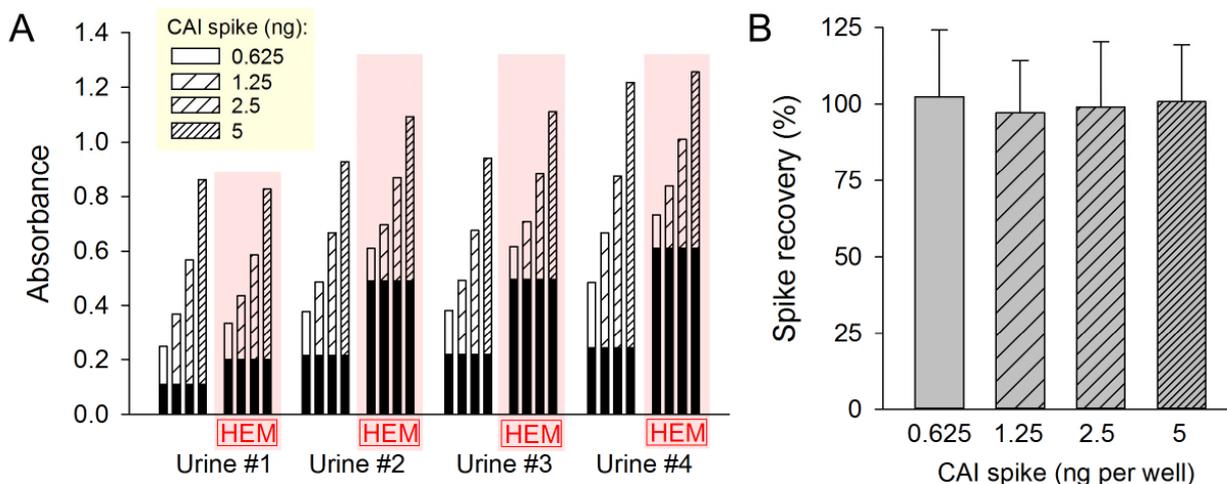


Figure S2: Spiking/recovery test of the CAI assay. (A) A spiking/recovery study was performed on urine obtained from four adults. Each urine sample was split into two groups, and one (indicated as “HEM” for hemolysate) was enriched with filtered hemolysate to raise background CAI signal by an average of 0.3 absorbance units. Hemolysate was produced from venous blood collected from a healthy adult volunteer. 1 ml of blood was spun-down and the supernatant was discarded. The blood cell pellet was washed three times with ice-cold calcium-free PBS and freeze-thaw lysed in PBS. Lysate was filtered through Amicon Ultra centrifugal filters of cut-off 100kDa to remove most substances that would not normally pass the glomerular barrier *in vivo*. Filtered hemolysate was 75x-diluted in PBS for stock, and subsequently diluted in urine (150x) to a final dilution of 11,250-fold. Samples were spiked with human recombinant CAI (hrCAI), to 0.625, 1.25, 2.5 or 5.0 ng per well (50 μ l), followed by the ELISA protocol, as described in the Methods. (B) Spike recovery was calculated as the measured increase in CAI (in units of ng per well) upon addition of the ‘spike’, relative to the actual amount of hrCAI added (ng/well), and expressed as a percentage. For this calculation, absorbance was converted to CAI (in units of ng/well) using a calibration curve performed on the respective urine samples for a range of hrCAI (0-50 ng/well). Recovery was, on average, 98%. Data shown as mean \pm S.D.

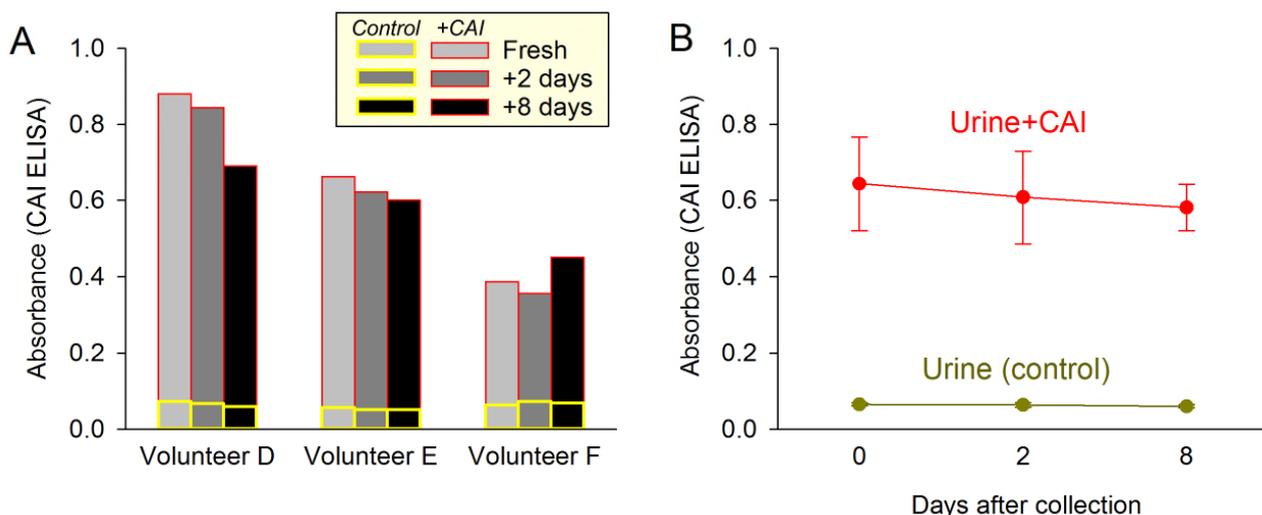


Figure S3: Testing the precision of the CAI assay. Urine was obtained from three non-hemolyzing adult volunteers (female, male, male), and split into a control (blank urine) and CAI-spiked group. To produce CAI-spiked urine, human recombinant CAI (hrCAI) was added to levels that are expected to yield ELISA absorbance in the range 0.4-0.8 (on average, 3 nM CAI added per well). All samples were then aliquoted for three tranches of experiments performed at different timepoints. The first tranche was used to determine ELISA absorbance on the day of urine collection. The second and third tranches were used for ELISA measurements after 2 and 8 days of cold storage. **(A)** Absorbance measurements for each urine sample for repeated measures over 8 days. **(B)** Mean±SEM of ELISA absorbance in CAI-spiked and control urine.

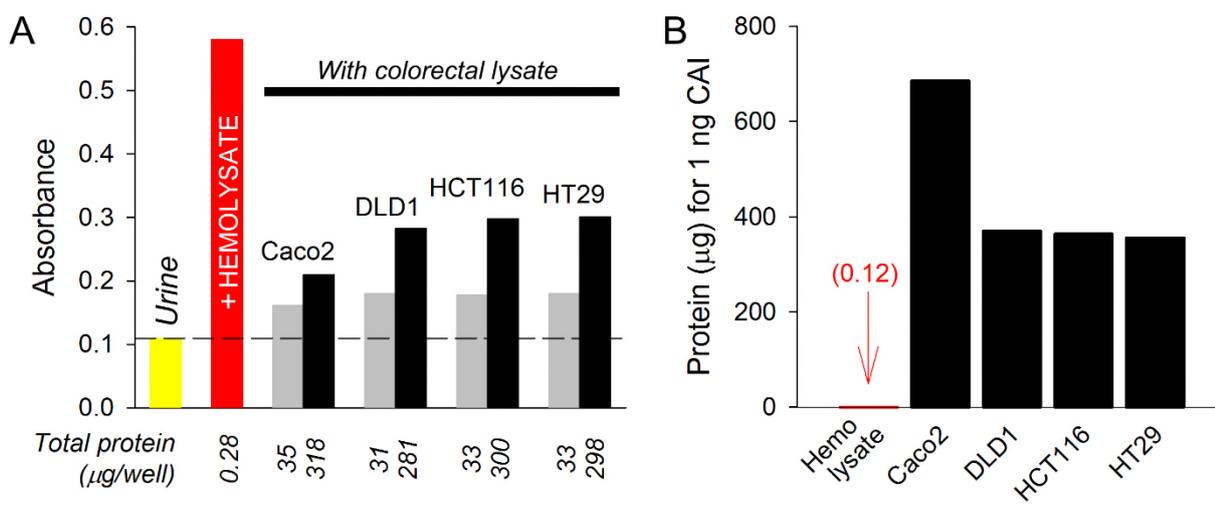


Figure S4: Comparing the yield of CAI in hemolysate versus lysates prepared from colorectal cell lines. **(A)** CAI measurements were performed by ELISA on adult urine (yellow), urine enriched with hemolysate at 750x dilution (see Fig S2) (red), and urine enriched with lysates of four colorectal cell lines (Caco2, DLD1, HCT116, HT29) at two dilutions (gray and black). Colorectal lysates were prepared from cells grown in standard DMEM with 10% fetal calf serum in a 5% CO₂/air atmosphere incubator (37°C). Briefly, upon reaching confluency, cells were lysed in RIPA lysis buffer (Cell Signalling Technologies), stored at -80°C, and diluted in urine when required. The total protein content of stock hemolysate (in PBS) and colorectal cell lysates (in RIPA) was measured using the BCA protein assay (Pierce, Thermofisher). Absorbance was measured at 562nm by the Cytation 5 plate reader (Bio-Tek). Protein concentration (µg/well) was calculated for each sample, and shown below the x-axis. **(B)** Absorbance was first converted to CAI (ng/well) using a calibration curve (see Fig S2), and then replotted as the ratio of total protein (µg) per ng of CAI. This provides information about the amount of protein (hemolysate or colorectal cell lysate) required to yield 1 ng of CAI, i.e. a level that produces a detectable signal by the assay. ~5000-times more colorectal cell lysate than hemolysate is required to produce this level of CAI.

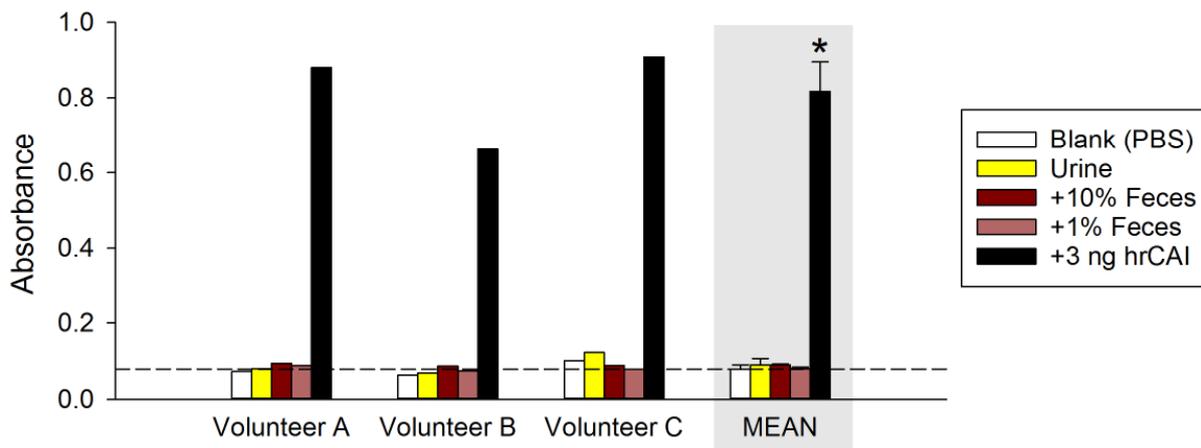


Figure S5: Fecal contamination does not produce a false-positive signal of CAI. Urine and a sample of feces were collected from three adult volunteers (female, male, female). To produce feces-contaminated urine, a 1 ml sample of fecal matter was added to 9 ml urine, followed by vigorous mixing and two rounds of ultracentrifugation (9000 rpm, 10 min, 4°C). The clear supernatant was used for ELISA measurements. These measurements were also performed on a 10-fold dilution of contaminated urine i.e. 1% feces, and on urine that had no added fecal matter. As a positive control for CAI, 3 ng of hrCAI was added to urine. Only the positive control produced a significant ELISA signal, indicating that fecal contamination as large as 10% (v/v) cannot yield a CAI signal to explain positive results in hemolysing neonates.

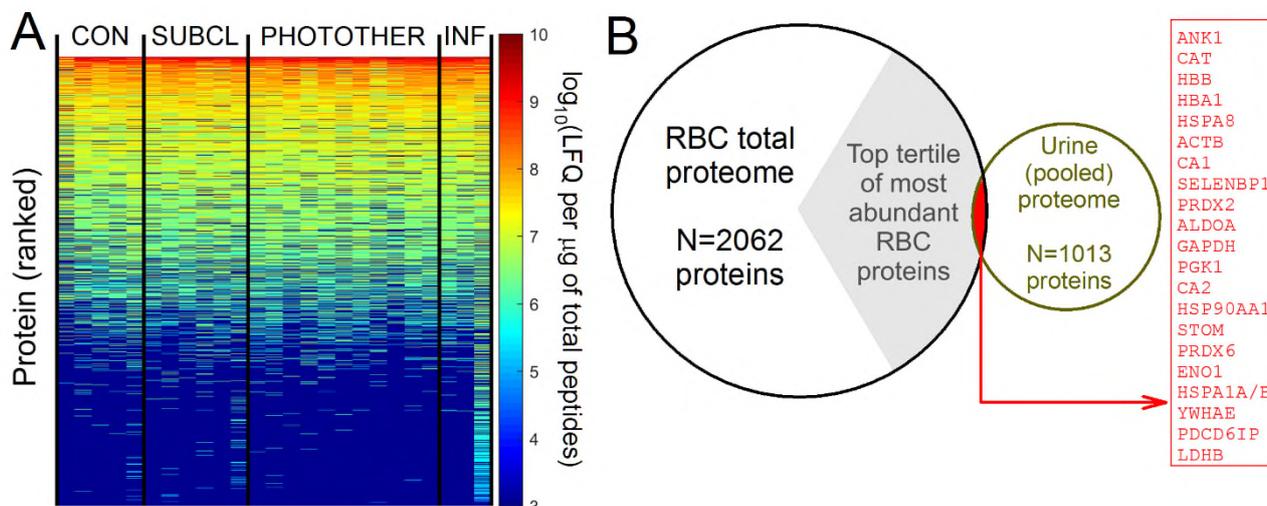


FIGURE S6: Neonatal urine proteomics. (A) Proteomics of neonatal urine, ranked by copy number and stratified by group. A full list of proteins identified is given in Table S1. **(B)** A comparison of the urinary proteome against a list of the most abundant peptides in the RBC proteome (top tertile) identifies 21 protein-hits.