

1 **Multicopy plasmids potentiate the evolution of antibiotic resistance in bacteria**

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13 **Abstract**

14 Plasmids are thought to play a key role in bacterial evolution by acting as vehicles for
15 horizontal gene transfer, but the role of plasmids as catalysts of gene evolution
16 remains unexplored. We challenged populations of *E. coli* carrying *bla*_{TEM-1} β -
17 lactamase gene on either the chromosome or a multicopy plasmid (19/cell) with
18 increasing concentrations of ceftazidime. The plasmid accelerated resistance
19 evolution by increasing the rate of appearance of novel TEM-1 mutations conferring
20 resistance to ceftazidime, and then by amplifying the effect of TEM-1 mutations due
21 to increased gene dosage. Crucially, this dual effect was necessary and sufficient for
22 the evolution of clinically relevant levels of resistance. Subsequent evolution occurred
23 by mutations in a regulatory RNA that increased plasmid copy number, resulting in
24 marginal gains in ceftazidime resistance. These results uncover a role for multicopy
25 plasmids as catalysts for the evolution of antibiotic resistance in bacteria.

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29 Plasmids mediate the horizontal gene transfer (HGT) of accessory genes between
30 bacteria, making them important drivers of prokaryotic evolution ^{1,2}. For example, the
31 horizontal transfer of antibiotic resistance genes has played a very important role in
32 the evolution of resistance in pathogenic bacteria. Although the benefits of HGT are
33 obvious, it remains challenging to understand how plasmids persist in bacterial
34 populations. Classical theoretical models predict that horizontal transfer through
35 conjugation is necessary for the maintenance of plasmids, given the fitness cost of
36 plasmid carriage ^{3,4} and the potential for spontaneous plasmid loss during cell
37 division ⁵⁻⁷. More recent analyses demonstrate that compensatory adaptation
38 alleviates the fitness cost produced by plasmids ⁸⁻¹⁰, allowing plasmids to stably

39 persist for hundreds of generations, even in the absence of horizontal transfer ^{9,11}.
40 However, the regimes of positive selection for plasmid-encoded genes that are
41 necessary for the long-term stability of plasmids are stringent ¹¹. Finally, it is possible
42 for plasmid genes to be transferred to the bacterial chromosome, for example by
43 transposons, allowing the bacterium to lose costly plasmids while maintaining
44 beneficial genes ¹². Therefore, the “plasmid paradox” of how plasmids persist in
45 bacterial populations in the long-term is not completely solved, especially for small
46 multicopy plasmids lacking maintenance systems such as active partitioning or post
47 segregational killing systems. However, these small plasmids are extremely
48 prevalent in nature and in hospitals as has been recently shown in *E. coli*, where
49 almost 90% of ST131 strains carry small ColE1 plasmids ¹³.

50 One possible solution for this paradox could be that certain genes confer a larger
51 benefit to the bacteria when encoded on plasmids compared to on the chromosome.
52 For example, carrying a gene on a plasmid with multiple copies produces higher
53 levels of expression, and this may be beneficial for the host ¹⁴. Another possible
54 advantage is the variation in plasmid copy number existing among single cells, which
55 generates heterogeneity in gene expression between plasmid-bearing bacteria,
56 leading to potentially beneficial phenotypic plasticity ¹⁵. Finally, it is also possible that
57 multicopy plasmids could accelerate the evolution of the genes they carry by
58 increasing gene mutation rates due to the increase in gene copies per cell ¹⁶⁻¹⁹.
59 Under this hypothesis multicopy plasmids could work as platforms for gene variation
60 and evolution, facilitating the adaptation of host bacteria to new selective pressures.

61 In this paper, we investigate the evolutionary advantages associated with carrying a
62 beneficial gene, in this case an antibiotic resistance gene, on a multicopy plasmid,
63 *versus* in the chromosome.

64 **Results**

65 *Experimental system*

66 We constructed an experimental model using *E. coli* strain MG1655 (MG) and the β -
67 lactamase gene *bla*_{TEM-1}. Hundreds of variants of TEM-1 exist that provide resistance
68 to different β -lactam antibiotics (<http://www.lahey.org/studies/>) and they are usually
69 encoded on plasmids, including small multicopy plasmids²⁰⁻²². In addition, TEM-1 is
70 one of the best-characterized systems for the study of molecular evolution in the
71 laboratory^{17,23-25}. We used TEM-1 as a model system to explore the potential of
72 multicopy plasmids as catalysts of gene evolution in general, and of antibiotic
73 resistance evolution in particular. We inserted *bla*_{TEM-1} in the bacterial chromosome
74 to generate *E. coli* MG1655::*bla*_{TEM-1} (MG::*bla*_{TEM-1}, see methods). In parallel we
75 constructed a non-transmissible multicopy plasmid carrying *bla*_{TEM-1} with an identical
76 promoter (Figure 1A). This plasmid also carries a *gfp* gene under the control of an
77 inducible L-arabinose promoter, the gene coding for the repressor of this promoter
78 *araC*, and a chloramphenicol resistance acetyltransferase gene, *cat*. We named this
79 plasmid pBGT and transformed it into *E. coli* MG1655 generating the strain
80 MG/pBGT. This plasmid had 19 copies per bacterium (average=19.1, SD=3.8, n=6),
81 which is similar to the copy number of other natural small multicopy plasmids^{26,27}.
82 This experimental system allowed us to evaluate the effects of plasmid versus
83 chromosomal location of *bla*_{TEM-1} in isogenic strains.

84 *Characterization of plasmid and chromosome bla*_{TEM-1}*-mediated resistance*

85 Previous studies have shown that increasing the dosage of the *bla*_{TEM-1} gene
86 produces an increase in β -lactam resistance²⁸⁻³⁰, suggesting that carrying *bla*_{TEM-1} on
87 a plasmid is likely to increase antibiotic resistance. TEM-1 confers resistance to
88 ampicillin, so we measured the minimal inhibitory concentration (MIC) of ampicillin in
89 the different strains (Table 1). The MIC for the susceptible parental MG strain was 4
90 mg/L and it increased to 512 mg/L in MG::*bla*_{TEM-1} and to 8,192 mg/L in MG/pBGT.

91 Therefore, even if *bla*_{TEM-1} conferred high-level ampicillin resistance both in the
92 chromosomal and plasmid location, the multicopy plasmid bearing strain has a 16-
93 fold increase in MIC compared to the one carrying *bla*_{TEM-1} in the chromosome.

94 Although resistance plasmids provide a fitness benefit in the presence of antibiotics,
95 they typically impose a fitness cost in the absence of antibiotics^{3,4}. We used
96 competition experiments to measure the relative fitness of the *bla*_{TEM-1}-carrying
97 strains (Figure 1b). The presence of *bla*_{TEM-1} in the chromosome produced no cost in
98 MG in the absence of antibiotics ($w = 0.997$, Two-sample *t*-test, $P = 0.343$, $t = 1$, $df = 9$),
99 suggesting that the effect of *bla*_{TEM-1} insertion in the chromosome was negligible. To
100 study the fitness effects of pBGT, we competed the strains carrying *bla*_{TEM-1} on the
101 chromosome (MG::*bla*_{TEM-1}) or on the plasmid (MG/pBGT) under a range of ampicillin
102 concentrations (Figure 1B). In the absence of antibiotics pBGT was associated with a
103 5.6% reduction in fitness (One-sample *t*-test, $P < 0.001$, $t = -9.35$, $df = 13$), which is
104 similar to the cost produced by natural multicopy plasmids observed in previous
105 studies^{4,26}. However, at concentrations of ampicillin above 128 mg/L the benefits of
106 carrying pBGT exceeded its costs, and pBGT carriage became highly beneficial at
107 256 mg/L. This result highlights the dynamic fitness effects of plasmids depending on
108 the environmental conditions, producing associations with the host bacteria that
109 range from parasitic to symbiotic^{10,31}.

110 *Plasmid location of bla_{TEM-1} potentiates the evolution of ceftazidime resistance*

111 TEM-1 produces high-level resistance to ampicillin but it has minimal activity against
112 later beta-lactam antibiotics. For example, the MIC of ceftazidime, a 3rd generation
113 cephalosporin, was similar in the parental MG strain (0.25 mg/L) and in strains
114 carrying TEM-1 (MG::*bla*_{TEM-1} 0.25mg/L; MG/pBGT 0.5-1mg/L). One possible benefit
115 conferred by multicopy plasmids could be an increased evolvability of the genes they
116 encode due to the higher number of gene copies per cell. In this case, it is well

117 known that mutations in *bla*_{TEM-1} can expand the range of activity of TEM-1 to
118 hydrolyse cephalosporins much more efficiently ³², suggesting that pBGT could
119 potentiate the evolution of novel variants of TEM-1. To test this hypothesis, we
120 compared the ability of populations of MG::*bla*_{TEM-1} and MG/pBGT to evolve
121 resistance to high doses of ceftazidime relative to control populations of strain MG
122 (Figure 2).

123 We propagated 48 independent populations of each strain (MG, MG1::*bla*_{TEM-1} and
124 MG/pBGT) in LB medium with increasing concentrations of ceftazidime. The
125 experiment started with ¼ of the MIC of ceftazidime for each parental strain (0.06
126 mg/L for MG and MG::*bla*_{TEM-1} and 0.25 mg/L for MG/pBGT) and 1% of each
127 population was transferred to fresh medium with doubling concentration of
128 ceftazidime every day. We measured the number of surviving populations over time
129 in all the treatments and, for MG/pBGT, we also measured plasmid stability and copy
130 number in a subset of populations. In parallel, we propagated 16 control populations
131 of each strain in LB medium with no antibiotics.

132 The parental MG strain showed a steep decrease in the number of viable populations
133 after day 4, and all populations of this strain went extinct by day 8 of the experiment
134 (8 mg/L ceftazidime). The populations of the strain carrying *bla*_{TEM-1} in the
135 chromosome followed the same trajectory as the wild type MG controls,
136 demonstrating that carrying a single copy of TEM-1 has little, if any, impact on the
137 ability of populations to evolve elevated cephalosporin resistance (viable populations
138 over concentrations, log-rank test, $P = 0.373$, $\chi^2 = 0.8$, $df = 1$, Figure 2). On the other
139 hand, populations of MG/pBGT showed a very different trend compared to the control
140 (viable populations over concentrations, log-rank test, $P < 0.001$, $\chi^2 = 41.7$, $df = 2$).
141 Although there was also an important initial decrease in surviving populations, a
142 subset of 7 populations was able to survive up to very high dose of ceftazidime:
143 >4000 mg/L (Figure 2). This result clearly demonstrates that carrying *bla*_{TEM-1} on a

144 multicopy plasmid potentiates the ability of *E. coli* populations to evolve clinically
145 relevant levels of cephalosporin resistance.

146 *Molecular basis of ceftazidime resistance in MG/pBGT*

147 We used deep DNA sequencing from samples of whole populations to investigate the
148 genetic basis of ceftazidime resistance. Specifically, we sequenced populations on
149 the last day when at least 50% of the populations were still viable (day 4 for
150 MG/pBGT and day 5 for MG and MG::*bla*_{TEM-1}, six random populations per strain).
151 Our rationale for this approach is that it is only possible to make meaningful
152 comparisons across treatments by picking a common time point where all of the
153 treatments can be adequately sampled.

154 Sequencing results from these populations revealed differences in the mutation
155 profiles of MG/pBGT compared to MG::*bla*_{TEM-1} and wild type MG. Populations
156 carrying *bla*_{TEM-1} on the chromosome presented a very similar mutation profile to the
157 wild type strain MG, with mutations in chromosomal genes previously related to
158 ceftazidime resistance, but no mutations in *bla*_{TEM-1} (Supplementary Data 1).
159 Changes on chromosomal genes included nonsynonymous mutations and indels in
160 the transcriptional repressors of active drug efflux systems such as *marR* and *acrR*
161 and *baeRS*, and also in the regulator system *envZ-ompR*, which activates the
162 expression of outer membrane porin proteins OmpF and OmpC³³⁻³⁵. Populations
163 belonging to the plasmid bearing MG/pBGT strain carried some mutations similar to
164 the ones described above, such as nonsynonymous mutations in *envZ-ompR*, or in
165 *ompF*. However, unlike MG::*bla*_{TEM-1}, 4 out of 6 plasmid-carrying populations also
166 carried mutations in *bla*_{TEM-1}. Mutations in TEM-1 were located in residues 164
167 (R164S, R164C, R164H), 166 (E166K) and 179 (D179G), which have been
168 previously reported as responsible for ceftazidime resistance *in vivo* and *in vitro*
169^{32,36,37}. The presence of TEM-1 mutations is consistent with the idea that plasmids

170 accelerate evolution by increasing the supply of mutations in functionally important
171 plasmid-carried genes.

172 In order to understand the specific mechanisms driving high-level resistance in
173 MG/pBGT populations, we sequenced a time series of samples from the 7
174 populations that survived up to 4,096 mg/L of ceftazidime (days 0, 4, 8, 12 and 16,
175 Supplementary Data 1). Selective sweeps of *bla*_{TEM-1} mutations occurred in all 7
176 populations, demonstrating a link between modification in the β -lactamase and high-
177 level ceftazidime resistance (Figure 3c). Moreover, TEM-1 mutations were already
178 present at detectable frequencies in these populations at day 4, suggesting that early
179 TEM-1 mutations played a key role in determining evolvability in our experiment. Two
180 specific mutations in TEM-1 were present in the 7 populations that reached high-level
181 ceftazidime resistance: R164S (5/7 populations) or R164H (2/7 populations)³²
182 (Figure 3c).

183 To help differentiate between mutations that are associated with increased resistance,
184 as opposed to general adaptation to the laboratory or hypermutable regions, we also
185 sequenced six control populations of each strain evolved for 16 days in LB medium
186 with no antibiotics. None of the six control populations propagated in LB for 16 days
187 showed mutations in any of the chromosomal genes associated with ceftazidime
188 resistance or in *bla*_{TEM-1} (Supplementary Data 1).

189 *Increase in plasmid copy number is associated with mutations in the RNAI of pBGT*

190 Gene amplification has been demonstrated to play an important role in bacterial
191 evolution, including antibiotic resistance^{38,39}. To test the role of gene amplification in
192 evolution, we measured the copy number of pBGT through time. The evolution of
193 high-level ceftazidime resistance was associated with an increase in pBGT copy
194 number, and in extreme cases copy number increased approximately 10 fold (Figure
195 3a). Analysis of pBGT sequences revealed that the increase in copy number was

196 associated with the rise of mutations near the origin of replication (*oriV*) of the
197 plasmid at days 12 and 16 (Figure 3). These mutations were located in a strategic
198 region, which codes for the small RNAs responsible for the control of replication and
199 copy number of ColE1 plasmids: RNAI and RNAII⁴⁰. Briefly, RNAII acts as the primer
200 precursor for plasmid replication and RNAI binds to RNAII blocking the initiation of
201 replication. These RNAs are coded in opposite strands of the same DNA region and
202 they present a secondary structure of three consecutive hairpins (Figure 3b),
203 recognizing each other by complementarity⁴¹. The two most frequent mutations in
204 the *oriV* of pBGT were placed in the loop of the central hairpin of RNAI, which is the
205 first binding site between RNAI and RNAII⁴². Both mutations produced a G to U
206 change in one of two contiguous residues at positions 54 and 55 in the RNAI
207 molecule (Figure 3b). Interestingly, G/C to A/U mutations in residues in the loop of
208 the central hairpin of RNAI in ColE1-type plasmids are known to be responsible for a
209 reduction in the binding rate constant between RNAI and RNAII due to a lower
210 strength of the initial recognition between the loops⁴¹. This lower affinity between the
211 two RNA molecules leads to an increase in the initiation of replication and a
212 subsequent increase in plasmid copy number⁴³. The remaining mutations in RNAI
213 were present in the stem region of the three different hairpins (Figure 3). These
214 mutations probably affected the secondary structure of RNAI, leading to a de-
215 repression of plasmid replication⁴³.

216 *Reconstruction of ceftazidime resistance and high plasmid copy number mutations*

217 Our sequencing results suggested that plasmid carriage of TEM-1 increases the
218 ability of populations to evolve high levels of ceftazidime resistance by facilitating the
219 evolution of novel TEM-1 variants that are capable of hydrolysing ceftazidime more
220 effectively. To test this idea, we reconstructed the most commonly observed TEM-1
221 substitution (R164S) into both the MG::*bla*_{TEM-1} and the MG/pBGT ancestral strains
222 (Figure 4a). Inserting this mutation into the chromosomal copy of *bla*_{TEM-1} resulted in

223 a modest 2-fold increase in ceftazidime resistance compared to MG::*bla*_{TEM-1} (and to
224 MG, Table 1). In contrast, adding mutation R164S into the pBGT plasmid increased
225 ceftazidime resistance 128 fold compared to MG/pBGT (MIC=64 mg/L). Importantly,
226 this increase in resistance easily surpasses the clinical breakpoint for ceftazidime
227 resistance (8 mg/L)⁴⁴ (Figure 4b, Table 1). This difference in MIC between plasmid
228 and chromosomal location of the β -lactamase mutation is due to a gene dosage
229 effect previously observed for these enzymes^{28,29}.

230 Pleiotropic costs could potentially reduce the fitness benefit associated with
231 resistance mutations in high copy number plasmids. For example, R164S
232 substitutions in TEM-1 decrease ampicillin resistance as a result of antagonistic
233 pleiotropi (Table 1, Supplementary Figure 1)⁴⁵. However, we did not find any fitness
234 cost in the absence of antibiotics associated with introducing substitution R164S into
235 either the chromosomal (Two sample t-test, $P=0.654$, $t=-0.47$, $df=5.9$) or plasmid-
236 carried TEM-1 (Two sample t-test, $P=0.282$, $t=-1.12$, $df=14.9$) (Figure 4d, Table 1).
237 Collectively, these results demonstrate that plasmid pBGT accelerates the evolution
238 of ceftazidime resistance by massively amplifying the increase in resistance
239 associated with TEM-1 mutations. Importantly, this effect is sufficient to explain how
240 plasmid carriage allows populations to evolve levels of ceftazidime resistance that
241 are above the clinical breakpoint.

242 A second key insight of our sequencing results is that high level ceftazidime
243 resistance repeatedly evolves by a process of TEM-1 modification followed by an
244 increase in the copy number of pBGT associated to mutations in the RNAI. To check
245 if the fitness benefits associated with an increased plasmid copy number were
246 dependent on the previous modification of TEM-1, we reconstructed the two most
247 common mutations in RNAI (G54U and G55U) into strains MG/pBGT and MG/pBGT
248 R164S (Figure 4a). Introducing mutations into RNAI led to large increases in plasmid
249 copy number, ranging from 40 to 130 copies per cell, confirming the role of these

250 mutations in increased pBGT copy number (Figure 4c, Table 1). In contrast to the
251 massive increases in resistance associated with TEM-1 substitutions, increased copy
252 number had subtle effects on ceftazidime resistance, leading to up to only 2 fold
253 increases in MIC (Figure 4b, Table 1). Increased copy number was associated with
254 profound fitness costs in the absence of antibiotics (Figure 4d, Table 1); fitness
255 declined at a rate of approximately 0.4% per plasmid copy (Figure 4e, Pearson's test,
256 $t = -8.56$, $df = 4$, $p\text{-value} = 0.001$, $R^2 = 0.95$). From these results it is clear that there is
257 little, if any, impact of TEM-1 mutation on the fitness benefits associated with pBGT
258 amplification. Instead, our results support the idea that TEM-1 mutations preceded
259 pBGT amplification simply because TEM-1 mutations resulted in large increases in
260 resistance without imposing any additional fitness burden on the cell. We argue that
261 the very high cost associated with the elevated plasmid copy number mutants will
262 probably reduce the likelihood of finding these genotypes in nature.

263 Discussion

264 Our results demonstrate that multicopy plasmids provide an evolutionary advantage
265 above and beyond mediating horizontal gene transfer. Over the short term, carrying
266 *bla*_{TEM-1} on a multicopy plasmid (pBGT) is associated with an increase in fitness
267 under conditions of strong selection for β -lactam resistance. Over the long term,
268 plasmid pBGT acts as evolutionary catalyst that facilitates the evolution of novel
269 variants of *bla*_{TEM-1} and allows bacterial populations to evolve clinically relevant levels
270 of ceftazidime resistance. Because pBGT is a multicopy plasmid, carrying *bla*_{TEM-1} on
271 the plasmid ensures an increased rate of supply of beneficial mutations in *bla*_{TEM-1}
272 with an improved rate of ceftazidime hydrolysis. The phenotypic effect of these
273 mutations is then amplified by increased expression arising from the constitutive high
274 copy number of the pBGT plasmid (19/cell). This step of amplification of the mutation
275 due to the multicopy nature of the plasmid is crucial, as shown by the fact that the
276 same *bla*_{TEM-1} mutation that increases the MIC of ceftazidime over the clinical

breakpoint when encoded in the plasmid, has very little effect when cloned as a single copy in the chromosome (Figure 4).

Gene amplification and modification are thought to play key roles in the evolution of novel bacterial phenotypes^{38,39,46-48}. We argue that pBGT is such an effective evolutionary catalysts because it provides a vehicle for the simultaneous modification of *bla*_{TEM-1} and expansion in the frequency of the mutated allele. This platform provides an enhanced “gene duplication-amplification” system for adaptive evolution⁴⁸, which aligns with the “amplification-mutagenesis” model proposed by Hendrickson et al¹⁹. Although it is easy to understand how multicopy plasmids accelerate evolution by increased mutation supply using classical population genetics, it is more challenging to understand the amplification of plasmid-carried mutations. An important consequence of the partitioning of small plasmids at cell division is that copies of plasmids carrying beneficial mutations are randomly distributed between daughter cells. When combined with plasmid replication, this random partitioning ensures that a substantial fraction of daughter cells have an increased number of copies of beneficial mutations relative to their mother cells (Supplementary Figure 2). Crucially, this amplification of beneficial mutations by random segregation is expected to occur at a much greater rate than by spontaneous duplication of genes carrying beneficial mutations. Selection will subsequently fuel the spread of the cells carrying higher frequency of plasmid-born mutated alleles, driving the rapid increase in frequency of the mutation at both the cellular and population level in a few generations (Supplementary Figure 2). However, the dynamics of this process are potentially very complex, and we are currently developing deterministic population dynamics models and stochastic agent-based simulations to better understand this process.

One important feature of our experimental design is that we used a rapid ramp-up of antibiotics, which may have reduced the accessibility to alternative evolutionary

trajectories to high level β -lactam resistance⁴⁹, and this may have maximized the evolutionary benefit conferred by pBGT. However, we argue that our experimental design is relevant in the context of evolution of antibiotic resistance because sharp temporal and spatial gradients of antibiotic concentrations are common in patients⁵⁰. Another limitation of this study is the fact that pBGT carries three extra genes apart from *bla*_{TEM-1} (Figure 1). However, pBGT mutations were located in *bla*_{TEM-1} or the RNAI, so there is no evidence indicating a possible role for the extra genes on the evolution of ceftazidime resistance.

In this work we used TEM-1 as model system, but we argue that our results have general implications for the evolution of antibiotic resistance, adaptation and innovation in bacteria. Small multicopy plasmids are very common in pathogenic bacteria^{28, 12}, and they frequently carry antibiotic resistance genes^{20-22,26}, but their role in the ecology and evolution of antibiotic resistance has been generally overlooked. Our work shows that these genetic elements could accelerate evolution of resistance *in vivo*. Further work will be required to test this possibility.

Methods

Culture conditions and antibiotic susceptibility testing

The bacterial strains, plasmids and primers used in this study are available in supplementary tables 1 to 3. Bacterial strains were cultured in LB broth at 37°C in 96-well plates with continuous shaking (225 rpm) and on LB agar plates at 37° C (Fisher Scientific, USA). Minimal inhibitory concentrations (MIC) were determined in LB (as the experimental evolution assay) following Clinical and Laboratory Standards Institute guidelines⁵¹. To determine the different MICs we performed six biological replicates for each strain, and we used the mode of the results as the MIC value.

329 *Construction of the experimental system*

330 *Escherichia coli* MG1655 strain was used for all our constructions. Chromosomal
331 insertion of *bla*_{TEM-1} was designed to take place in the integration site of λ phage
332 (*attB*)⁵² as follows: the *bla*_{TEM-1} gene was amplified from plasmid p3938⁵³ with
333 primers 3658 and 3659. We PCR amplified the regions at both sides of the
334 chromosomal *attB* site (primers 3652-3653 and 3654-3655) and fused them to the
335 *bla*_{TEM-1} PCR product using Gibson Assembly⁵⁴. We electroporated the fusion of
336 these fragments into an MG1655 strain containing the pKOBEG plasmid⁵⁵. This
337 thermo-sensitive plasmid contains the λ Red machinery, allowing to produce
338 homology-based allelic exchanges between the chromosome and PCR products⁵⁶.
339 Selection for carbenicillin resistance at 37°C yielded clones in which *bla*_{TEM-1} had
340 been integrated in *attB* and pKOBEG was lost. Verification of *bla*_{TEM-1} sequence and
341 pKOBEG loss gave rise to strain MG::*bla*_{TEM-1}. The allele of *bla*_{TEM-1} coding for R164S
342 mutation was introduced in the non-evolved strain using the same methodology.

343 To assess the evolvability of genes when located on plasmids, we cloned *bla*_{TEM-1} in
344 p3655 (pSU18T-pBAD*gfp2*, ColE1-type origin of replication⁵⁷) producing plasmid
345 pBGT. To do so, we phosphorylated the PCR product of primers 4304-4305
346 (containing *bla*_{TEM-1}), and ligated it to the PCR-amplified backbone of p3655 (primers
347 3086-738). Ligations were transformed into *E. coli* DH5- α and selected on
348 carbenicillin and chloramphenicol, and tested for *gfp* induction with L-arabinose.
349 Sequence of *bla*_{TEM-1} was verified. pBGT was transformed into the parental MG1655
350 producing the strain MG/pBGT.

351 Combination of evolved replication origins with wild type *bla*_{TEM-1} alleles in pBGT was
352 performed using Gibson assembly. Briefly, replication origins were amplified using
353 primers 837 and 296 and assembled to the backbone of pBGT amplified with primers
354 737 and 1701. Verification of the sequence of the *oriV* of the plasmids together with

the selection on carbenicillin, chloramphenicol and L-arabinose (to induce GFP expression) allowed confirming the functionality of all plasmid components.

Competitive fitness assays

The fitness of each clone was determined basically as described in San Millan *et al* 2014⁹. We performed competitions between a plasmid-bearing clone and a plasmid-free clone in each case (MG/pBGT and MG::*b/a*_{TEM-1} were the control strains to compete against). Four biological replicates of the competition (of four technical replicates each) were performed for each clone. Pre-cultures of the clones were incubated at 37°C with 225 RPM shaking overnight in 96-well plates carrying 200 µl of LB broth per well (Fisher Scientific, NJ, USA). Pre-cultures were diluted 400-fold in 200 µl of fresh LB and mixed at a ratio of approximately 50% of each clone. The exact initial proportions were confirmed via flow cytometry using an Accuri C6 Flow Cytometer Instrument (BD Accuri, San Jose, CA, USA) with the following parameters: flow rate: 66 µL min⁻¹, core size: 22 µm, events recorded per sample: 10,000. To measure this proportions we incubated an aliquot of the mix in LB with L-arabinose 0.25% for two hours to induce GFP expression from pBGT. Mixtures were competed for 24 hours in LB at 37°C with 225 RPM shaking (~8 generations). Again, the final proportion was measured by flow cytometry as described above. The fitness of the strain carrying the plasmid relative to the plasmid-free strain was determined using the formula:

$$W_{p+} = \ln(N_{final,p+}/N_{initial,p+}) / \ln(N_{final,p-}/N_{initial,p-})$$

where W_{p+} is the relative fitness of the plasmid-bearing clone, $N_{initial,p+}$ and $N_{final,p+}$ are the numbers of cells of the plasmid-carrying clone before and after the competition, and $N_{initial,p-}$ and $N_{final,p-}$ are the numbers of cells of the pBGT-free clone before and after the competition. As a control MG/pBGT and MG::*b/a*_{TEM-1} were competed in every experiment.

380 *Experimental evolution*

381 We cultured strains (MG, MG/pBGT and MG::*bla*_{TEM-1}) on LB agar plates at 37°C to
382 obtain isolated colonies. We inoculated single colonies in alternative wells of 96 well-
383 plates containing LB broth (48 populations per plate, one plate per strain). We used
384 this checkerboard plate design intercalating inoculated wells with bacteria-free
385 medium to prevent and control for cross contamination in the plates. We started the
386 experimental evolution assay inoculating 2 µl of the initial overnight cultures into 198
387 µl of LB with ¼ the MIC of ceftazidime of each strain. Every day we transferred 2 µl
388 of the overnight culture into 198 µl of fresh medium with double the concentration of
389 ceftazidime of the day before (approximately 6-7 generations per day). In parallel, we
390 propagated 16 control populations of each strain in the same conditions as previously
391 described but in the absence of ceftazidime. Populations with positive growth were
392 defined by an overnight OD₆₀₀ value higher than 0.1. We kept a frozen stock of all the
393 populations from day 0, 4, 8, 12 and 16. On those days we also (i) checked plasmid
394 stability by flow cytometry (using L-arabinose induction, as explained in the previous
395 section) and (ii) performed DNA extraction to quantify plasmid copy number in the
396 population (see section below), from four populations belonging to MG/pBGT. We
397 also measured plasmid stability every day from 10 of the populations of MG/pBGT
398 evolving in the absence of antibiotics.

399 *Quantification of plasmid copy number*

400 The copy number of pBGT was determined by quantitative polymerase chain
401 reaction (qPCR) using an ABI StepOnePlus™ Real-Time PCR System (Life
402 Technologies, USA). DNA extraction, quantification and digestion (with *Bam*HI-HF,
403 New England Biolabs, USA) were performed as previously described ²⁷. We
404 developed a specific qPCR for pBGT (pBGT-F: ACATTTCGGTGTGCCCTT, pBGT-
405 R: CACTCGTGCACCCAACTGA, amplicon size: 115 bp, efficiency: 94.29%, R²=

0.99) and we used a previously described qPCR for the *dxs* chromosomal monocopy gene (*dxs*-F: CGAGAACTGGCGATCCTTA, *dxs*-R: CTTTCATCAAGCGGTTTCACA, amplicon size: 113 bp, efficiency: 95.52%, $R^2 = 0.99$)⁵⁸ to compare the ratio of plasmid and chromosomal DNA. Efficiency of the reactions was calculated from the standard curve generated by performing qPCR with four 8-fold dilutions of template DNAs in triplicate (~5 ng/μl to 12 pg/μl working range of DNA concentration). qPCRs were performed using ABI SYBR Select Master Mix (Life Technologies, USA) at a final DNA concentration of 0.1 ng/μl and following manufacturers' instructions. The amplification conditions were: initial denaturation for 2 min at 95°C, followed by 40 cycles of denaturation for 15 s at 95°C, annealing and extension for 1 min at 60°C. After the amplification was complete and to control for the specificity of the reaction, a melting curve analysis was performed by cooling the reaction to 60°C and then heating slowly to 95°C. Inter-run calibration samples were used to normalize the results from different plates of each qPCR. Copy number was calculated using the $\Delta\Delta C_T$ method as previously described, given that the amplification efficiencies of the target and reference genes were approximately equal⁵⁸. Average plasmid copy number was corrected using the plasmid stability frequencies, which were measured from the cultures used to obtain the DNA samples.

Genome sequencing and bioinformatic analysis

We sequenced six random populations from each strain from the experimental evolution assay on the last day when at least 50% of the populations were still viable. We also sequenced a time series of samples from the 7 MG/pBGT populations that survived up to 4,096 mg/L of ceftazidime (days 0, 4, 8, 12 and 16) and nine MG/pBGT clones from three populations with increased plasmid copy number. Finally, we also sequenced six control populations of each strain evolved for 16 days in LB medium with no antibiotics (Supplementary Data 1). DNA extractions were performed from 2 ml of LB broth (Fisher Scientific, NJ, USA) cultures incubated at

433 37°C with 225 RPM shaking overnight using the Promega Wizard Genomic 4 DNA
434 Purification Kit (Promega, UK). DNA was quantified using the QuantiFluor dsDNA
435 system (Promega, Madison, WI, USA), following manufacturers' instructions. All
436 sequencing was conducted at the Wellcome Trust Centre for Human Genetics using
437 the Illumina HiSeq2500 platform. Mutations were predicted using the breseq 0.26.1
438 pipeline ^{59,60}, using polymorphism mode to estimate the frequency of mutations in
439 populations. Mutations classes included point mutations, small (<50 bp) and large
440 (>50 bp) indels, copy number variation, and IS-element insertions ⁶¹. Reads were
441 aligned to the E. coli MG1655 reference genome (NC_000913.3), the TEM-1
442 chromosomal insertion (this study), and the pBGT plasmid genome (this study).
443 Variants that never surpassed 10% frequency in any population were filtered from the
444 data set. Further, mutations present in the ancestral clones relative to the reference
445 sequence were excluded, leaving only mutations which accumulated throughout the
446 experiment. We sequenced the DNA from the three parental strains and from a total
447 of 51 DNA samples obtained from evolving populations (Supplementary Data 1). In
448 addition, we sequenced DNA samples from clones of plasmid-bearing populations
449 showing especially high plasmid copy number (3 clones/populations from 3 different
450 populations: 9 clones in total, Supplementary Data 1), to successfully confirm the
451 presence of the mutations observed in the populations. Two out of the 63 samples
452 were discarded due to results showing possible DNA contamination: one sample
453 from MG and other from MG::bla_{TEM-1}, both of them from populations at day 5.

454 The structure of the RNAI of plasmid pBGT was predicted using the default
455 parameters of RNAfold software ⁶². The result was compared to previous reports of
456 the structure of this molecule ^{41,43} from *oriV* of almost identical ColE1 plasmids,
457 confirming the homology.

458 *Statistical analysis*

Analyses were performed using RStudio (Version 0.99.486). Comparisons among strains were done using two-sample t-tests. Survival of populations over time under increasing concentration of ceftazidime was analysed using log-rank tests. The variation of the plasmid copy number in MG/pBGT populations over time was modelled using a generalised linear effects model, with time and treatments (and their interaction) as factors and assuming Gaussian error distribution.

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659 **Author Contributions**

660 Conceptualization, A.S.M. and R.C.M.; Methodology, A.S.M., J.A.E., and D.R.G.;
661 Formal analysis, D.R.G.; Investigation, A.S.M. and J.A.E.; Writing – Original Draft,
662 A.S.M. and R.C.M.; Writing – Review & Editing, A.S.M. and R.C.M.; Funding
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664 Project Administration, A.S.M.

665 **Competing financial interests**

666 We have no competing interests to declare

667 **Figure legends**

668 **Figure 1.** Effects of plasmid pBGT on bacterial fitness.

669 Plasmid pBGT produces variable effects on fitness depending on the ampicillin
670 concentration. (A) Schematic representation of plasmid pBGT carrying *bla*_{TEM-1}. The
671 reading frames for genes are shown as arrows, with the direction of transcription
672 indicated by the arrowhead. (B) Relative fitness of plasmid-bearing strain MG/pBGT
673 competing versus MG1655 coding for a chromosomal copy of *bla*_{TEM-1} (MG::*bla*_{TEM-1})
674 under increasing concentrations of ampicillin. Error bars represent the standard error
675 of the mean (SEM, n= 4). Note that the initial fitness cost produced by pBGT
676 disappears at high concentrations of ampicillin, which is due to the higher level of
677 resistance conferred by *bla*_{TEM-1} when encoded on the plasmid compared to on the
678 chromosome.

679 **Figure 2.** Survival curves under increasing concentrations of ceftazidime.

680 Plasmid-carrying populations are able to survive up to very high level of ceftazidime
681 concentration. Number of viable populations belonging to strains MG1655,
682 MG1655::*bla*_{TEM-1}, and MG1655/pBGT over time. 48 populations of each strain were
683 propagated under increasing concentrations of ceftazidime (CAZ), starting with ¼ of
684 the MIC (Day 1; 0.06 mg/L for MG and MG::*bla*_{TEM-1} and 0.25 mg/L for MG/pBGT)
685 and doubling ceftazidime concentration every day. Only populations carrying plasmid
686 pBGT are able to survive up to high-level concentrations of ceftazidime, with 7
687 populations viable at 4,096 mg/L of ceftazidime. Populations carrying a chromosomal
688 copy of *bla*_{TEM-1} behave as the wild type populations and go extinct before surpassing
689 the clinical breakpoint of ceftazidime resistance.

690 **Figure 3.** pBGT mutations in the ceftazidime resistant populations.

691 Plasmid-carrying populations acquire mutations in *bla*_{TEM-1} followed by mutations in
692 the RNAI of pBGT. (A) Average copy number of plasmid pBGT per cell in populations
693 of MG1655/pBGT over time. Black circles represent plasmid copy number in the
694 control populations propagated in LB in the absence of antibiotics and blue circles
695 represent plasmid copy number in populations evolved in the presence of increasing
696 concentrations of ceftazidime. Plasmid copy number of MG/pBGT populations
697 evolving with ceftazidime at day 12 and 16 showed a significant increase compared
698 to the one in the control MG/pBGT populations propagated with no antibiotics (t-test,
699 day 12, $P < 0.001$, $t = 4.89$, day 16 $P < 0.01$, $t = 5.18$, $df = 1$). (B) Secondary structure of
700 RNAI predicted using RNAfold software (see methods). Residues highlighted in red
701 are those where mutations were found during the experimental evolution. The
702 changes produced by those mutations are also indicated with blue letters. (C)
703 Frequency of the mutations in TEM-1 and RNAI in the seven populations reaching
704 very high-level ceftazidime resistance over time. Black curves represent mutations in
705 TEM-1 and red curves represent mutations in RNAI.

706 **Figure 4.** Characterization of the mutations in *bla*_{TEM-1} and RNAI.

707 Effect of the most common mutations observed during the experimental evolution in
708 TEM-1 (R164S) and the RNAI (G54U, G55U) reconstructed in the parental MG1655
709 strain. (A) Schematic representation of the different strains constructed from *E. coli*
710 MG1655 (MG). (B) Level of ceftazidime (CAZ) resistance of each strain represented
711 in log₂ scale. The strains carrying *bla*_{TEM-1} on the chromosome are represented in red
712 boxes and the strains carrying *bla*_{TEM-1} on plasmid pBGT are represented in green
713 boxes. Note that *bla*_{TEM-1} does not confer almost any resistance to CAZ. R164S
714 mutation in TEM-1 increases resistance to CAZ but only confer resistance levels
715 above the MIC (8 mg/L, red dotted line) when is encoded on the plasmid. Also note
716 that these MIC values of these clones are lower than the final concentration of
717 ceftazidime that were tolerated during the selection experiment. We argue that this

718 difference was caused by two factors: first, the evolving MG/pBGT populations
719 carried additional chromosomal mutations related to ceftazidime resistance, which
720 probably contributed to the increased resistance level (Supplementary Data 1). And
721 second, it is known that β -lactamase-mediated resistance increases with cell density
722 (inoculum effect)³⁰, and the standard MIC techniques use initial cell densities 20
723 times lower than those used during the selection experiment. The estimates of MIC
724 should therefore be considered as relative, rather than absolute, measures of
725 resistance. (C) Average copy number of pBGT per cell (\pm SEM, n= 3). (D) Fitness
726 relative to MG::*bla*_{TEM-1} (\pm SEM, n= 4) of the different constructs. (E) Comparison of
727 the relative fitness of the different plasmid-bearing constructs and their plasmid copy
728 number (\pm SEM). Black points indicate pBGT-carrying TEM-1 and red points indicate
729 pBGT carrying the mutant version TEM-1 R164S. Note that the plasmid copy number
730 strongly correlates with the reduction in fitness.

731 **Tables**

732 **Table 1.** Characteristics of the strains used in this study.

Strain name	Fitness ¹	PCN ²	CMI CAZ (mg/L)	CMI AMP (mg/L)
MG	1.003 ± 0.011	NA	0.25	4
MG:: <i>bla</i> _{TEM-1}	NA	NA	0.25	512
MG/pBGT	0.943 ± 0.013	19.12 ± 1.56	0.5	8192
MG:: <i>bla</i> _{TEM-1} R146	1.008 ± 0.011	NA	1	128
MG/pBGT R164S	0.943 ± 0.003	21.10 ± 0.85	64	4096
MG/pBGT G54U	0.793 ± 0.019	44.50 ± 3.81	0.5	32768
MG/pBGT G55U	0.557 ± 0.116	88.93 ± 15.65	1	32768
MG/pBGT R164S G54U	0.762 ± 0.016	52.30 ± 2.19	128	4096
MG/pBGT R164S G55U	0.509 ± 0.082	127.29 ± 4.58	128	8192

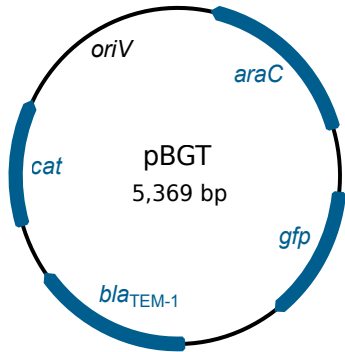
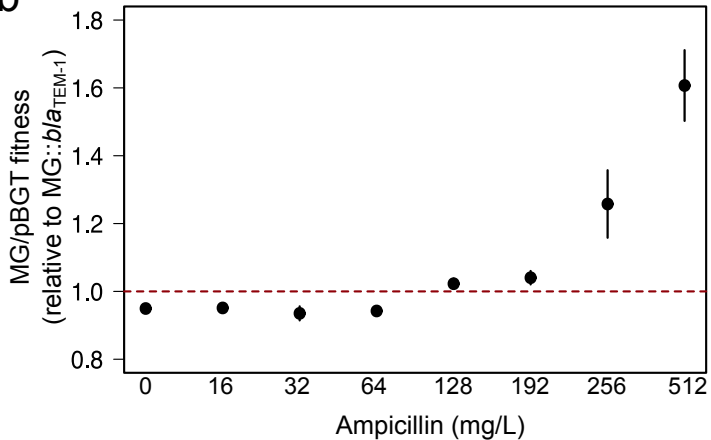
733

734 1 Fitness relative to MG::*bla*_{TEM-1} ± S.E.M, n= 4.

735 2 Plasmid copy number ± S.E.M, n= 3.

736 NA, not applicable.

737

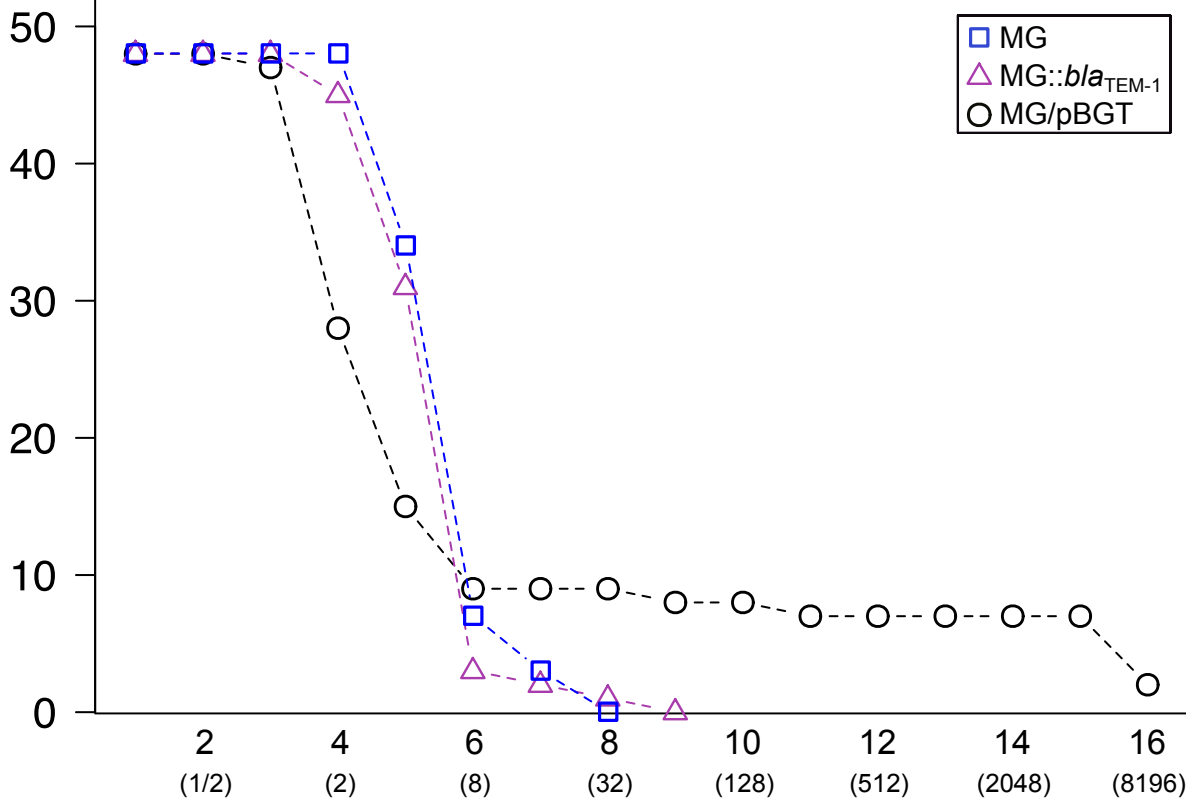
a**b**

Surviving populations

CAZ

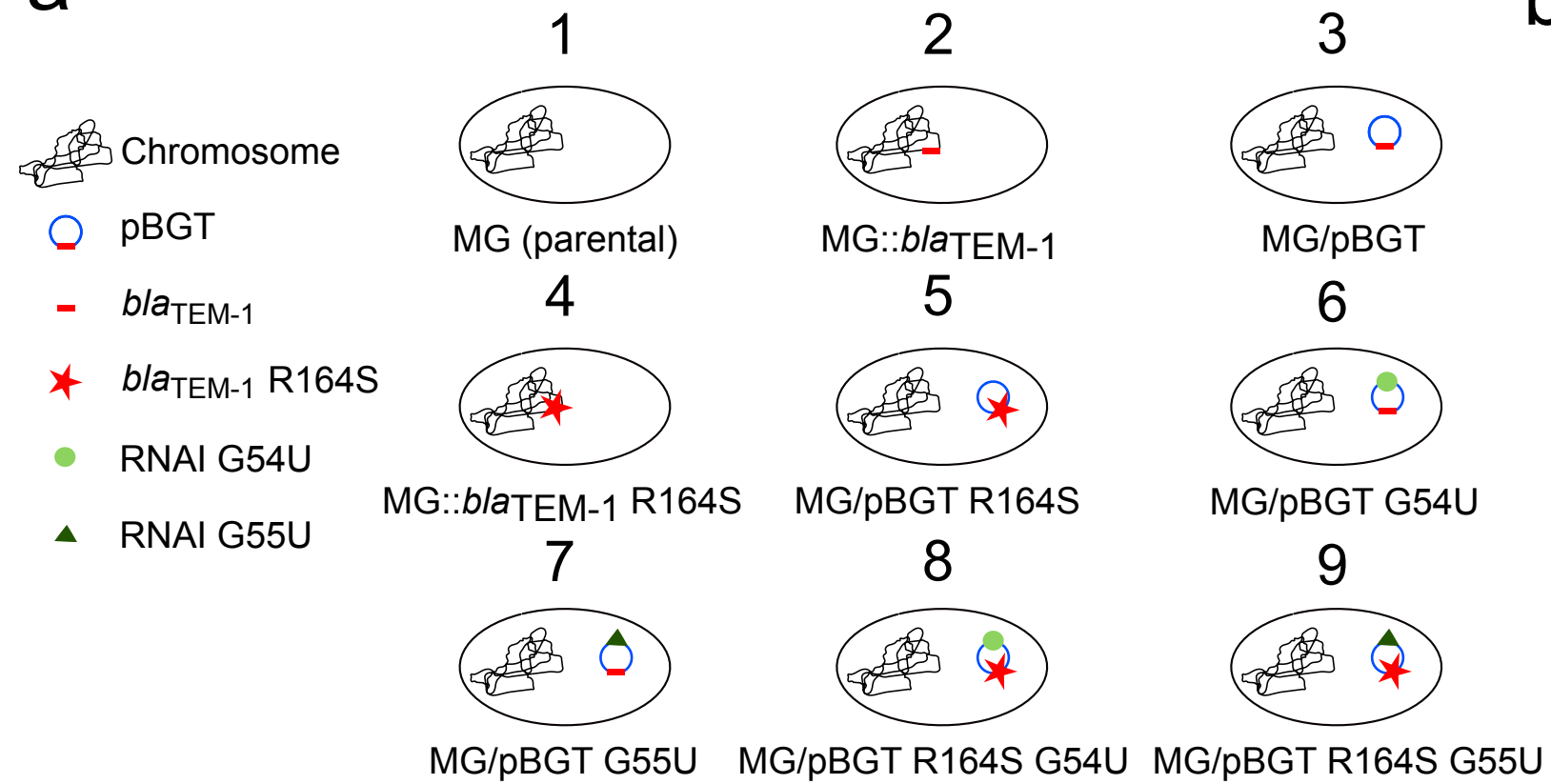
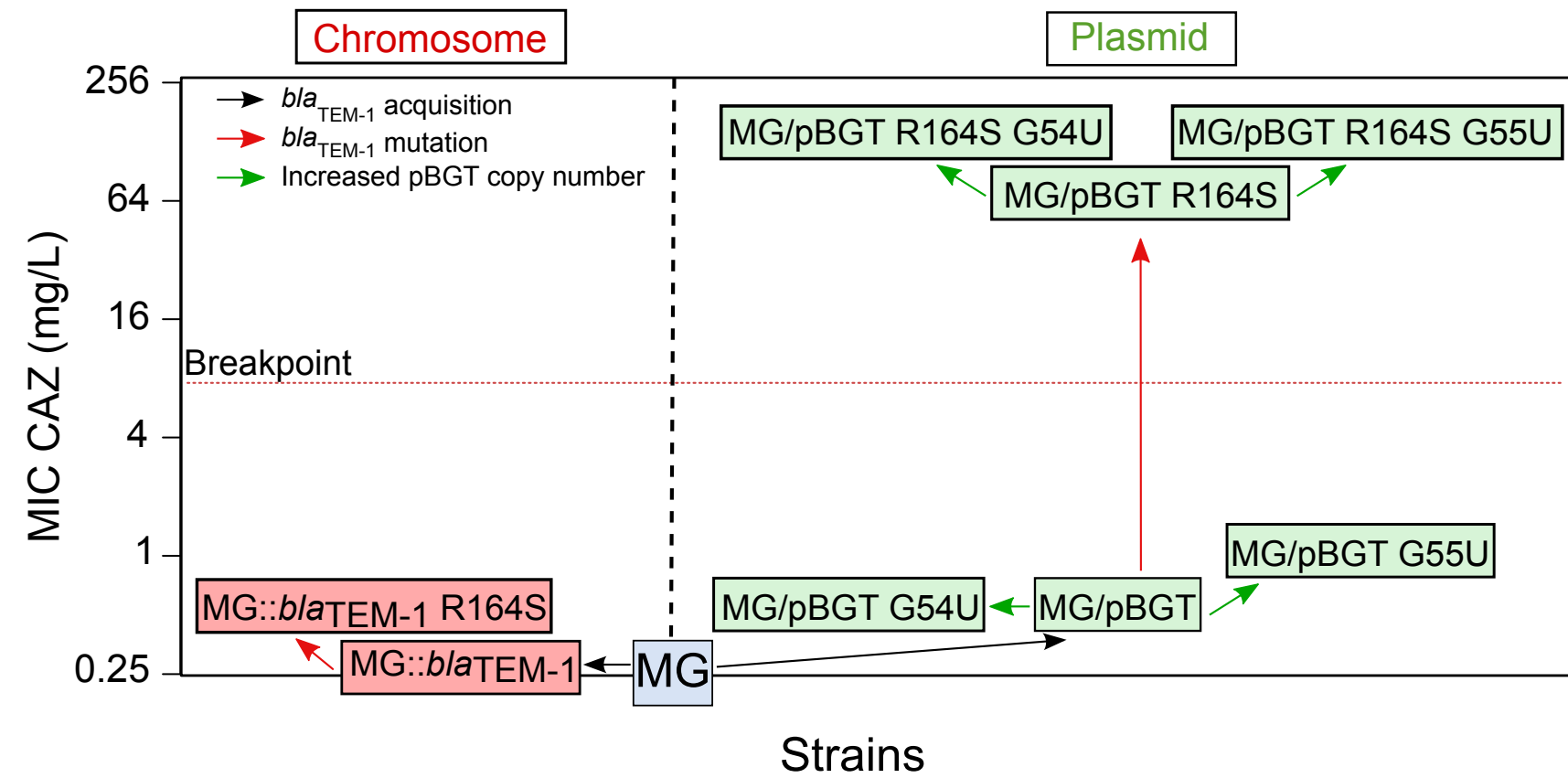
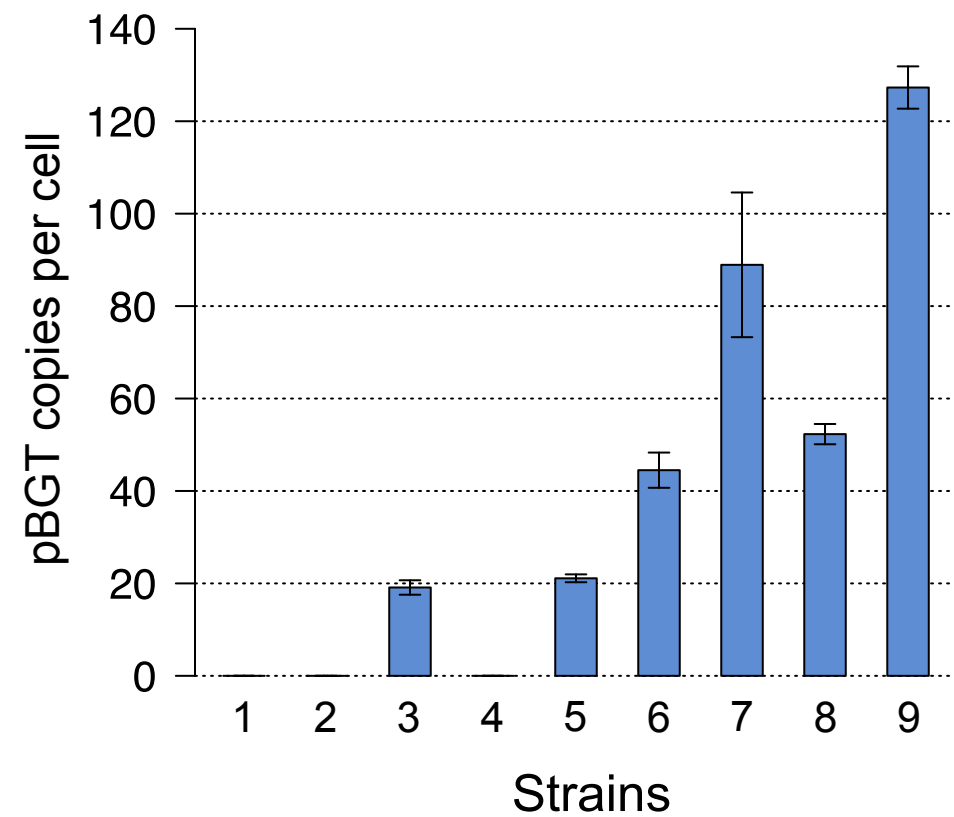
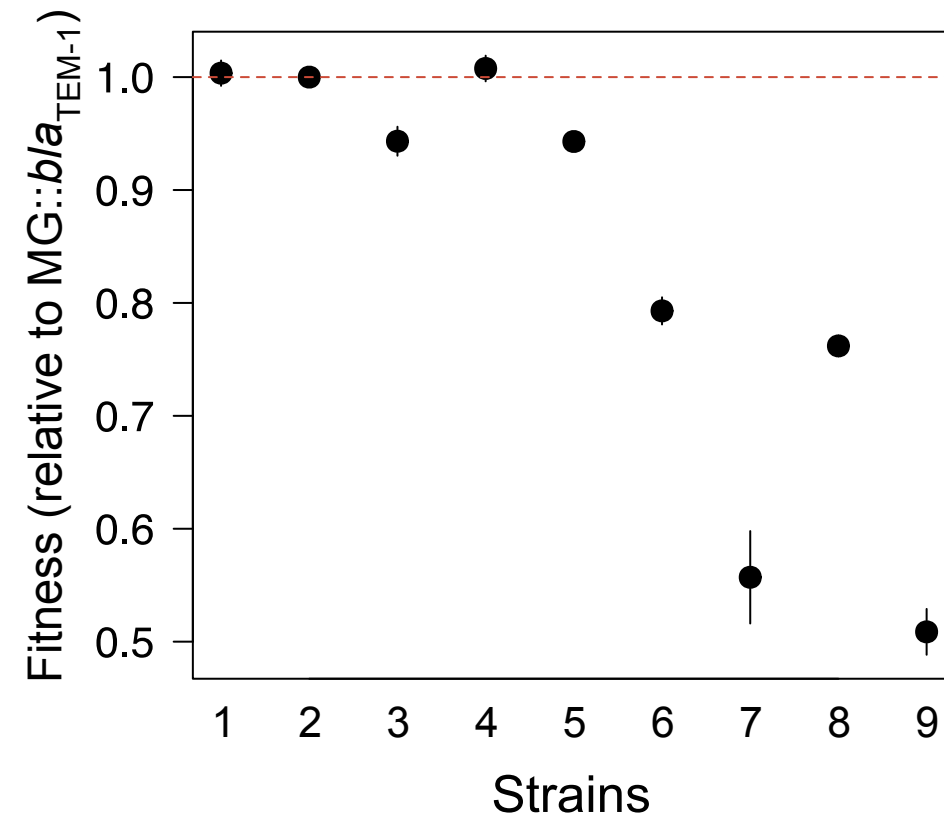
MG
MG::*bla*_{TEM-1}
MG/pBGT

Day
(x MIC)



2



a**b****c****d****e**