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## COMMUNICATION

## Revealing the Origin of Voltage Loss in Mixed-Halide Perovskite Solar Cells

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The tunable bandgap of metal-halide perovskites has opened up the possibility of tandem solar cells with over 30% efficiency. Iodide-Bromide (I-Br) mixed-halide perovskites are crucial to achieve the optimum bandgap for such tandems. However, when the Br content is increased to widen the bandgap, cells fail to deliver the expected increase in open-circuit voltage ( $V_{OC}$ ). This loss in  $V_{OC}$  has been attributed to photo-induced halide segregation. Here, we combine Fourier Transform Photocurrent Spectroscopy (FTPS) with detailed balance calculations to quantify the voltage loss expected from the halide segregation, providing a means to quantify the  $V_{OC}$  losses arising from the formation of low bandgap iodide-rich phases during halide segregation. Our results indicate that, contrary to popular belief, halide segregation is not the dominant  $V_{OC}$  loss mechanism in Br-rich wide bandgap cells. Rather, the loss is dominated by the relatively low initial radiative efficiency of the cells, which arises from both imperfections within the absorber layer, and at the perovskite/charge extraction layer heterojunctions. We thus identify that focussing on maximising the initial radiative efficiency of the mixed-halide films and devices is more important than attempting to suppress halide segregation. Our results suggest that a  $V_{OC}$  of up to 1.33 V is within reach for a 1.77 eV bandgap perovskite, even if halide segregation cannot be suppressed.

The power conversion efficiency (PCE) of perovskite solar cells has improved at a phenomenal pace since initial reports of 10%<sup>1</sup> in 2012, now reaching a certified 25.2% in 2019<sup>2</sup>. Their sharp-absorption onsets, apparent defect tolerance, and high radiative efficiencies make them ideal candidates for photovoltaic<sup>3</sup> and light-emitting diode (LED) applications<sup>4</sup>. There is now considerable effort to integrate perovskites into multi-junction solar cells to surpass single-junction efficiency limitations<sup>5–7</sup>. Perovskite-on-silicon<sup>8–10</sup>, perovskite-

## Broader context

Fuelled by plummeting prices, global installed photovoltaic (PV) capacity has now grown to over 500GW. As PV grows to terawatt dimensions, solar cell efficiency will become the crucial determinant of price and capacity. Perovskite solar cells, with their tunable bandgaps, hold the promise of tandem cells that are 30% more efficient than traditional single-junction cells. One of the biggest challenges in the way of such tandem cells is the poor open-circuit voltage ( $V_{OC}$ ) of wide-bandgap mixed-halide perovskite cells. The loss in the  $V_{OC}$  has been attributed to *halide segregation*— the reversible formation of a low-bandgap phase in the perovskite under illumination. Unfortunately, the cause of halide segregation is still not well understood. Traditionally, techniques like photoluminescence (PL) and X-ray diffraction (XRD) have been used to study the phenomenon. However, they cannot inform us about the impact of halide-segregation on the performance of a solar cell. Here, we quantify the open-circuit voltage loss due to halide-segregation, fully distinguishing it from other loss mechanisms also at work. We also model the impact of halide segregation to predict the voltage losses expected at different compositions. Our work suggests that, contrary to general perception, improving the initial radiative efficiency of cells is more important for improving voltages than suppressing halide-segregation.

perovskite<sup>11–15</sup> and perovskite-CIGS<sup>16–18</sup> tandem cells have already been demonstrated. Oxford PV's report of a 28% efficient perovskite-on-silicon tandem cell further bolsters the expectation that future efficiency records are likely to be dominated by multi-junction cells<sup>19</sup>. Perovskites are particularly attractive materials for making multi-junction solar cells because their bandgap can be tuned across the visible spectrum simply by ionic substitution and mixing over a wide stoichiometric range. For  $ABX_3$  perovskites, with the selection of  $A = Cs$ , methylammonium ( $MA^+$ ) or formamidinium ( $FA^+$ ),  $B = Sn^{2+}$  or  $Pb^{2+}$ , and  $X = I^-$ ,  $Br^-$  or  $Cl^-$ , the bandgap can be tuned from  $\sim 1.24$  eV to 3.5 eV<sup>6</sup>. Mixed-halide I-Br perovskites,  $APb(I_xBr_{1-x})_3$ , have bandgaps between 1.48–2.35 eV, making them ideal for tandem and triple junction applications. However, the same ion-interchangeability that facilitates such convenient bandgap tuning also gives rise to a host of problems due to ionic diffusion. Notably, under illumination, "halide segregation" occurs in  $APb(I_xBr_{1-x})_3$  films, leading to the formation of iodide-

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rich phases which have lower bandgaps than the surrounding unsegregated material<sup>20</sup>. This was first observed through photoluminescence (PL) spectra in mixed-halide films, where a lower energy emission was correlated with the formation of iodide-rich domains. Much work has since followed up on this phenomenon in an attempt to understand the mechanism<sup>21–26</sup>. Notably, some studies have shown that enhanced crystallinity in the perovskite film can lead to greatly reduced severity of the halide segregation<sup>27–29</sup>.

The most efficient single junction perovskite solar cells reported to-date have bandgaps which range from 1.53 to 1.62 eV, and are generally composed of iodide-rich mixed-cation lead mixed-halide perovskites. These cells only have a loss in  $V_{oc}$  of  $\sim 60$  mV from the theoretical limit<sup>30</sup> (herein, we will refer to these “benchmark” perovskite cells as the  $\sim 1.6$  eV gap cells). In contrast, the best mixed-halide devices with a high bromide content of 40% and above, show many hundreds of mV of loss in  $V_{oc}$  from the theoretical limit. The open-circuit voltage ( $V_{oc}$ ) has been observed to “plateau” at about 1.2 V, (or even decrease) with the addition of Br to the  $\text{APb}(\text{I}_{1-x}\text{Br}_x)_3$  perovskite, once the bromide content exceeds around 20%<sup>31</sup>. The prevailing notion in the scientific community is that the emergence of the low energy PL peak in mixed-halide perovskite films corresponds to a limitation upon the open-circuit voltage; the open-circuit voltage will be “pinned” by the low bandgap iodide-rich impurity phases. However, intuition aside, there is yet no quantitative analysis of how halide segregation influences open-circuit voltage. Notably, the wide bandgap cell contributes the majority of the power output of a tandem cell. Thus, both scientifically and commercially, it is important to able to quantify the loss occurring due to halide-segregation.

The plethora of parameters that halide segregation depends on greatly confounds efforts to understand and suppress it. Even studies that have succeeded in suppressing the PL shift show significant  $V_{oc}$  loss from the theoretical limit, when benchmarked against  $\sim 1.6$  eV cells<sup>32</sup>. Photoluminescence has generally been the tool of choice to probe halide segregation. While photoluminescence can detect the presence of low-bandgap phases, it is an insensitive measure of their size and composition. Some have used X-ray diffraction, but this is not quantitatively reliable due to its strong sensitivity to texture<sup>23</sup>. Methods that can quantitatively characterize the segregated phase(s) and associated performance losses are highly desirable. In addition, we lack a way to quantify the variation in open-circuit voltage expected as a function of degree of halide segregation, and other fundamental properties such as radiative efficiency.

In this study, we use Fourier-Transform Photocurrent Spectroscopy (FTPS) to reveal the evolution of iodide-rich impurity phase absorption in mixed-halide perovskite solar cell undergoing phase segregation under a simulated AM1.5G illumination. Reports so far have treated halide segregation as a simple two-phase phenomenon. Contrary to general belief, we find that the formation of a distribution of minority phases is also accompanied by significant bandgap broadening in the “majority” phase. We couple the measured FTPS spectra with detailed balance calculations<sup>33</sup> to estimate the voltage penalty

due to evolution of the sub bandgap absorption tail. This allows us to isolate the voltage penalty due to halide segregation, ignoring losses from other mechanisms. We also identify that the broadening of the majority phase absorption edge, which is distinct from the minority phase, should have a significant impact upon the  $V_{oc}$ . Following the same approach, we present a thermodynamic model that estimates  $V_{oc}$  losses for various bandgaps and severities of segregation. By comparing the time evolution of the calculated halide segregation induced  $V_{oc}$  loss with the measured time evolution of the open-circuit voltage of the cell, we conclude that the open-circuit voltage losses in bromide-rich high-bandgap cells are not dominated by halide segregation. Large trap densities, which mediate non-radiative Shockley-Read-Hall (SRH) recombination, are the dominant loss channel, and are already present before halide segregation commences. Therefore, significant improvements in  $V_{oc}$  are within reach by improving absorber radiative efficiency and quality of the perovskite-charge transport layer heterojunctions, even if halide segregation remains unsuppressed.

### What determines the open-circuit voltage of a solar cell?

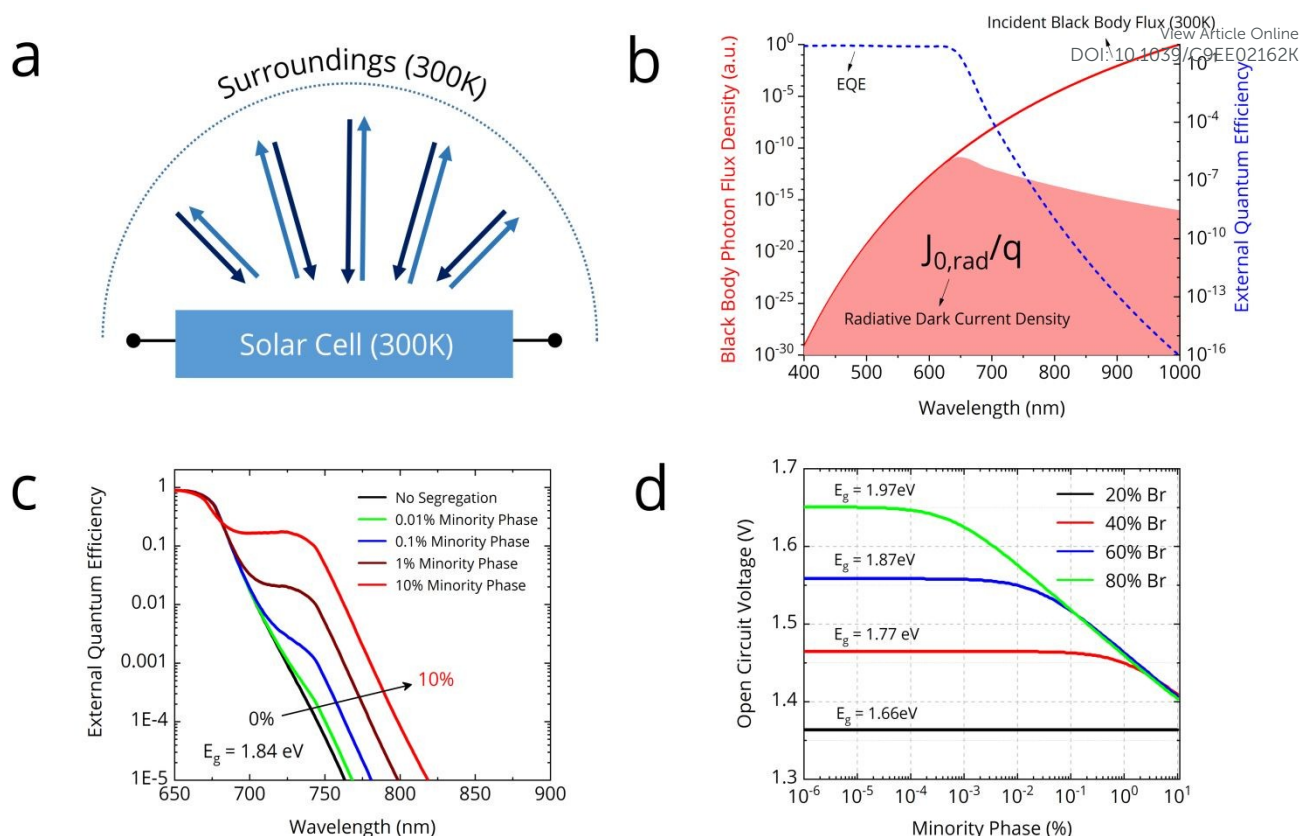
We begin by summarizing the factors that influence the open-circuit voltage of a solar cell, and illustrate how we would expect the open-circuit voltage to evolve with the emergence of lower bandgap impurity phases. The open-circuit voltage of an ideal solar cell is expressed by the well-known relation<sup>34</sup>:

$$V_{oc} = \frac{k_B T}{q} \ln \left( \frac{J_{sc}}{J_0} \right) \quad \#(1)$$

Here,  $J_{sc}$  is the short circuit current density and  $J_0$  is the dark recombination current density, also called as the dark current or reverse saturation current density. The calculation of  $J_{sc}$  via an overlap integral between the photovoltaic external quantum efficiency  $\text{EQE}_{PV}$  and the solar photon flux  $\phi_{AM1.5}$  is well known:

$$J_{sc} = q \int_0^\infty \text{EQE}_{PV}(\lambda) \cdot \phi_{AM1.5}(\lambda) \cdot d\lambda \quad \#(2)$$

The photovoltaic external quantum efficiency  $\text{EQE}_{PV}$  is defined as the fraction of photons incident on the solar cell that produce electric current. However, the calculation of the recombination current density  $J_0$  is not often discussed, despite being theoretically well established. Experimentally, the dark recombination current density  $J_0$  is the current that can be extracted from a solar cell in the dark by applying a large reverse bias. This current arises from thermally excited charges, i.e. charges excited by the ambient black-body radiation being absorbed by the cell (Fig. 1a). This is the reason for  $J_0$  being strongly dependent on junction temperature ( $\propto T^3 e^{-\frac{E_g}{kT}}$ )<sup>35</sup>.



**Fig. 1.** (a) Principle of Detailed Balance: In equilibrium, the black-body photon flux emitted and absorbed by the solar cell are equal. (b) The dark current  $J_0$  is determined by the overlap integral of the blackbody flux and the  $EQE_{PV}$ . (c) Modelled  $EQE_{PV}$  for a halide segregating 1.84 eV gap perovskite. The “bump” in the tail is due to absorption by the minority phase (80:20 I:Br). (d) Radiative limit  $V_{OC}$  as a function of the volume percentage of 80:20 I:Br minority phase (80:20 I:Br).

In a real cell which includes non-radiative recombination, the recombination current  $J_0$  is the sum of the radiative and the non-radiative recombination currents. The radiative recombination current can be calculated by using the principle of detailed balance: in equilibrium, the absorbed photon current must equal the emitted photon current. Thus, we can calculate the radiative recombination current through the overlap integral between the blackbody flux and the  $EQE_{PV}$  of the photoactive material. Thus,  $J_{0,rad}$ , which is equal to the emitted photon current can be written as:

$$J_{0,rad} = q \int_0^\infty \phi_{BB}(\lambda) \cdot EQE_{PV}(\lambda) \cdot d\lambda \quad (3)$$

However, in a real cell, only a fraction of the recombination is radiative. The non-radiative recombination current is accounted for using the external electroluminescence quantum efficiency ( $EQE_{EL}$ ), which is defined as the ratio of the radiative recombination current to the total recombination current (Equation 4). By definition,  $EQE_{EL}$  is always positive and less than unity.

$$EQE_{EL} = \frac{J_{0,rad}}{J_0} = \frac{J_{0,rad}}{J_{0,rad} + J_{0,non-rad}} \quad (4)$$

U. Rau has rigorously established the relation between the open-circuit voltage and  $EQE_{EL}$ <sup>36</sup>. Thus, by definition, the total recombination current density  $J_0$  can be calculated by including the external electroluminescence quantum efficiency  $EQE_{EL}$  as a scaling factor<sup>33</sup>:

$$J_0 = \frac{J_{0,rad}}{EQE_{EL}} = \frac{q}{EQE_{EL}} \int_0^\infty \phi_{BB}(\lambda) \cdot EQE_{PV}(\lambda) \cdot d\lambda \quad (5)$$

Thus,  $J_0$  can be calculated for a cell if  $EQE_{PV}$ ,  $EQE_{EL}$  and the junction temperature are known. The relation between  $V_{OC}$  and  $EQE_{EL}$  can also be written as:

$$V_{oc} = V_{oc,rad} + \left( \frac{k_B T}{q} \right) \cdot \ln(EQE_{EL}) \quad (6)$$

Here,  $V_{oc,rad}$  is the  $V_{OC}$  calculated in the radiative limit.

It is worth noting that because the black-body photon flux increases exponentially towards lower energies, tail state absorption makes the largest contribution to  $J_0$ . In fact, the magnitude of  $J_0$  is almost exclusively dictated by the position of the absorption tail. Thus, even small increases in tail-state absorption can increase  $J_0$  by orders of magnitude, lowering  $V_{OC}$  (cf. equation 1). These tail states could even be so small as to be virtually invisible to conventional UV-Vis absorption spectroscopy or  $EQE_{PV}$  measurements. Consequently, their



impact on  $J_{sc}$  would be negligible. However, their impact on the  $V_{oc}$  would be significant. This fact is central to the understanding of how the presence of low-bandgap phases affects  $V_{oc}$ .

The presence of a low-bandgap minority phase in the perovskite film can be expected to show up as a “bump” in the sub-bandgap absorptance. The magnitude of the absorption feature would be determined by the fraction of the film converted to the minority phase. As we have explained above, even a small below bandgap absorption feature would be sufficient to increase  $J_0$  significantly. If the feature is sufficiently large,  $J_0$  (and hence  $V_{oc}$ ) would be completely determined by the minority phase, independent of the bandgap of the majority phase. This is the thermodynamic reasoning behind the assumption that voltage “pinning” will occur in cells exhibiting halide segregation.

We used a generalized transfer matrix<sup>37</sup> based optical model and detailed balance calculations to model the impact of halide segregation on  $V_{oc}$  in the radiative limit. The model serves to reveal the susceptibility of different compositions to  $V_{oc}$  losses upon halide segregation. We fixed the minority phase bandgap at 1.66 eV, consistent with reports of minority phase composition being 20:80 Br:I, regardless of majority phase bandgap<sup>38</sup>. We modelled the complex refractive index of the perovskite as a Bruggeman effective medium<sup>39</sup> of the minority and majority phases. We then used the generalized transfer matrix method to calculate the cell  $EQE_{PV}$  in an ITO/SnO<sub>2</sub>/perovskite/spiro-OMeTAD/gold stack. Our modelled  $EQE_{PV}$  curves show a “bump” in the sub-gap absorption whose magnitude increases with fraction of minority phase (Fig. 1c). We then employed detailed balance calculations to estimate the  $V_{oc}$  in the radiative limit as a function of percentage of minority phase in the film (Fig. 1d).

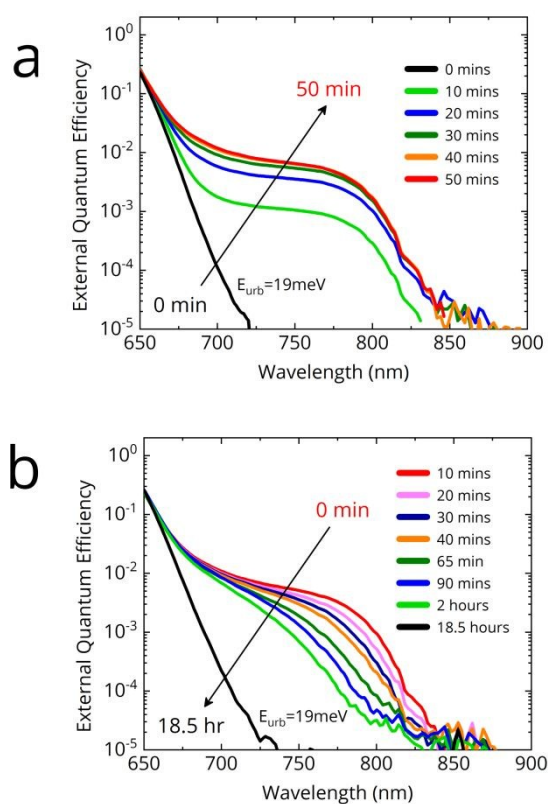
Our model reveals that  $V_{oc}$  drops off logarithmically once the minority phase fraction exceeds a threshold. This threshold is smaller for larger bromide concentrations. For instance, an 80:20 Br:I film with 0.01% minority phase, incurs a voltage penalty of 76mV. However, a 40:60 Br:I film will show almost no  $V_{oc}$  loss at the same minority phase percentage. At higher minority phase concentrations (>1%), the  $V_{oc}$  is similar (“pinned”) for all majority phase compositions, but notably still around 70 mV larger than the  $V_{oc}$  generated from a 100% minority phase composition cell.

## Results and discussion

### Photo-induced sub-bandgap states

We used Fourier Transform Photocurrent Spectroscopy (FTPS) to study the effect of simulated sunlight on the external quantum efficiency of an FA<sub>0.83</sub>MA<sub>0.17</sub>Pb(I<sub>0.4</sub>Br<sub>0.6</sub>)<sub>3</sub> solar cell deposited via the anti-solvent quenching method. In figure 2a we show the time-evolution of the External Quantum Efficiency ( $EQE_{PV}$ ) spectrum of an FA<sub>0.83</sub>MA<sub>0.17</sub>Pb(I<sub>0.4</sub>Br<sub>0.6</sub>)<sub>3</sub> device under simulated AM1.5 illumination. We use simulated AM1.5 illumination as phase segregation has been shown to be sensitive to illumination spectrum and intensity<sup>40</sup>. We held the

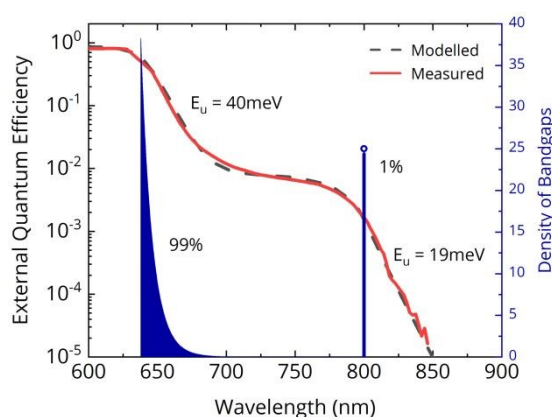
cells at open-circuit at all times. After 10 minutes of light soaking, we observe a new shoulder at  $\sim 780$  nm (1.59 eV) in the sub-bandgap region of the  $EQE_{PV}$  spectrum, consistent with the photo-induced formation of iodide-rich impurity phases. We observe this feature to grow, and eventually saturate at about 1% quantum efficiency after 40 minutes. At saturation, the absorption edge of the feature is approximately 800 nm (1.55 eV), as determined from the  $EQE_{PV}$  inflection point. We identify this feature as absorption from the iodide-rich regions formed upon illumination (we note that the A site composition is FA-rich, and hence lower bandgap than MAPbX<sub>3</sub> perovskites<sup>41</sup>). We shall henceforth refer to the iodide-rich regions as the *minority phase*, and the phase in which the minority phase is embedded as the *majority phase*. The appearance of the minority phase causes virtually no change in the bandgap of the majority phase, and is hence virtually invisible to conventional UV-Vis absorption spectroscopy. Consequently, the photo-induced carrier generation rate in the cell is unaffected. Upon being kept in dark, we observe the feature to relax back towards the original starting point, albeit much more slowly over more than 18 hours (Fig. 2b). Incidentally, upon halide “de-segregation”, the minority phase appears to progressively become less rich in iodide. This is in contrast to segregation, where the heavily iodide-rich phase rapidly forms first. We interpret this to imply that halide segregation proceeds by first nucleating the lowest-bandgap phase, followed by the growth of this phase. In contrast, during desegregation, the iodide-rich phase absorption gradually blue



**Fig. 2.** Photovoltaic External Quantum Efficiency ( $EQE_{PV}$ ) of a FA<sub>0.83</sub>MA<sub>0.17</sub>Pb(I<sub>0.4</sub>Br<sub>0.6</sub>)<sub>3</sub> cell measured with Fourier Transform Photocurrent Spectroscopy (FTPS). (a) Time evolution of  $EQE_{PV}$  under simulated AM1.5 illumination. (b) Time evolution of  $EQE_{PV}$  upon being kept in the dark.

shifts, while also reducing in intensity. This suggests that the mechanism of desegregation is likely to be entropic mixing, which causes the minority phase to be slowly enriched with bromide, consistent with disappearance of the most iodide-rich phases first.

### Bandgap distribution in a halide segregated absorber



**Fig. 3.** Modelled halide segregated  $\text{EQE}_{\text{PV}}$  (dotted green) and the distribution of bandgaps in perovskite (blue) at saturated halide segregation.

Studies so far treat halide segregation as a simple two phase phenomenon<sup>38,40,42,43</sup>. A minority phase of a single composition (often 20:80 Br:I) is assumed to have formed, which is the source of the low-energy photoluminescence peak. In practice, such a clear-cut phase separation is unlikely. A continuum in iodide concentration, or at least multiple phases are more probable. Our FTPS measurements (Fig. 3) confirm this hypothesis. The absorption edge of the pristine cell has an Urbach energy of 19 meV. As the film segregates, we estimate the Urbach energy of the majority phase to increase, reaching 40 meV after 50 minutes of light soaking. This points to considerable compositional inhomogeneity in the majority phase due to segregation. Serious  $V_{\text{OC}}$  losses are expected when the Urbach energy exceeds  $k_B T$ <sup>44</sup>. This loss mechanism in halide segregated films has hitherto escaped attention. However, the most significant losses do arise from the iodide-rich minority phase. The appearance of a nearly flat shoulder between 725–800 nm indicates that the minority phase is comprised of material with a narrow bandgap range centered on 1.55 eV. The Urbach energy of the tail is 19 meV—similar to that of the unsegregated film, but notably slightly larger than the ~15 meV expected for  $\text{FA}_{0.83}\text{MA}_{0.17}\text{PbI}_3$ .

We model the halide segregated  $\text{EQE}_{\text{PV}}$  (Fig. 2a; red curve at 50mins) using the generalized transfer matrix method<sup>45</sup> and effective medium approximation, and use it to extract the distribution of bandgaps in the material. We find that the majority phase exhibits an exponential distribution of bandgaps, decaying from a peak at 640 nm. The minority phase has a single bandgap at 800 nm. Through our model, we estimate that the minority phase occupies about 1 % by volume of the film (assuming the optical density scales with thickness). This is in agreement with previous estimation at 1% by Hoke et

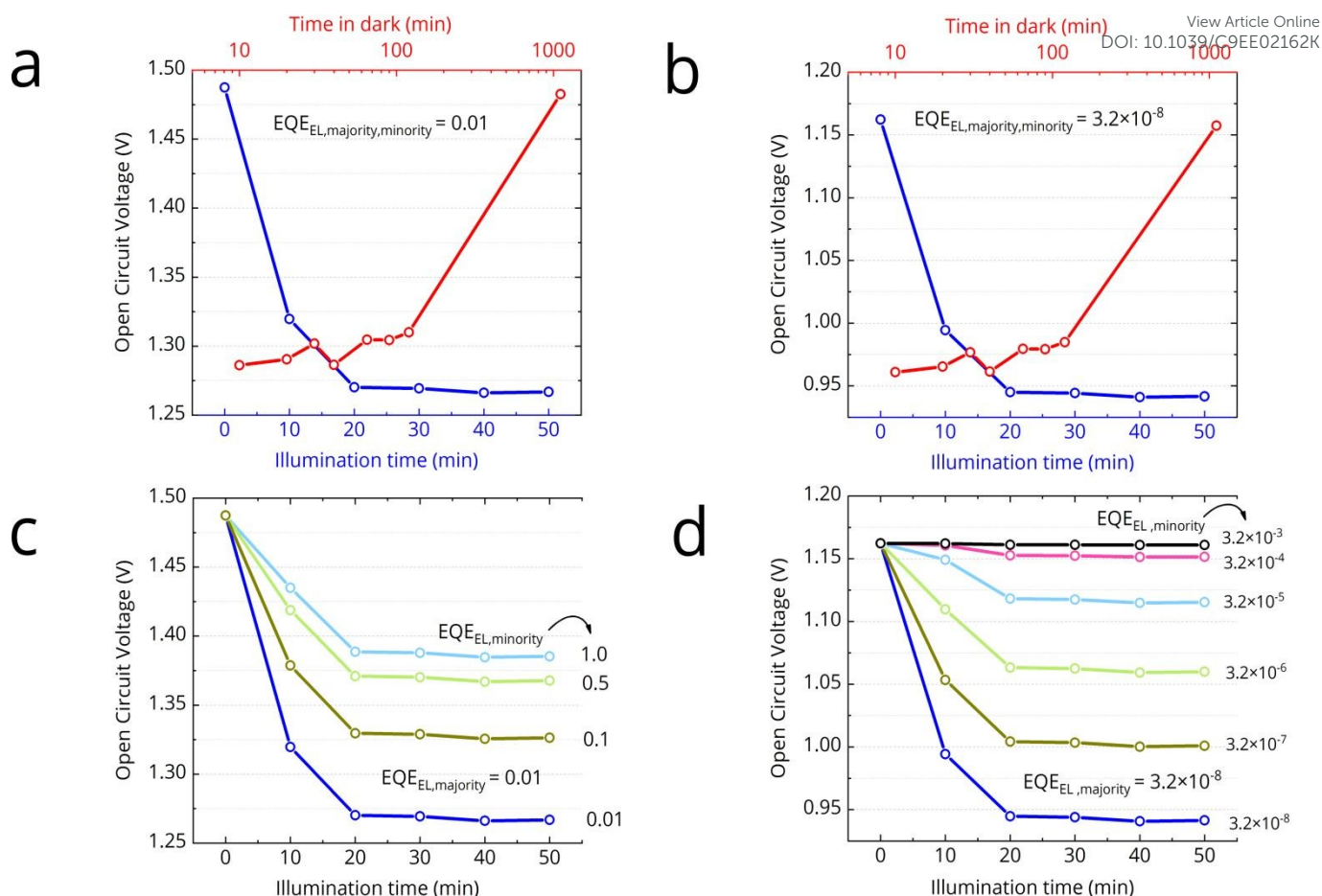
al.<sup>38</sup> The extent of segregation can also be roughly estimated from the  $\text{EQE}_{\text{PV}}$ , by observing the magnitude of the minority phase absorption, which is also 1%. This follows from Lambert's law, since  $\text{EQE}_{\text{PV}}$  scales linearly with absorbance at low-absorbance ( $\ll 1$ ).

### Open-circuit voltage penalty due to halide segregation

The severity of halide-segregation in a solar cell is best characterized by the accompanying  $V_{\text{OC}}$  loss. Here, we perform detailed balance calculations on the presented FTPS data to determine the expected loss in  $V_{\text{OC}}$  arising from halide-segregation. This calculated loss can be used as a measure of the severity of halide-segregation in a cell. We perform the previously described detailed balance calculations (Equations 1,2,5) on the measured time dependent  $\text{EQE}_{\text{PV}}$  spectra (Fig. 2a, 2b) to get the expected time dependence of the open-circuit voltage (Fig. 4). In the first instance, we assume that both the majority and minority phase have the same time-invariant radiative efficiency,  $\text{EQE}_{\text{EL}}$  (expressed as a fraction of unity, where  $\text{EQE}_{\text{EL}} = 1$  is 100%). The magnitude of the voltage loss arising from halide segregation is not dependent on the choice of  $\text{EQE}_{\text{EL}}$ , as long it does not vary with time. So its precise value is not relevant. Later, we also discuss the case of the two phases having different  $\text{EQE}_{\text{EL}}$ .

The best perovskite cells (with a bandgap of ~1.6eV) today typically have an  $\text{EQE}_{\text{EL}} \sim 0.01$ <sup>46</sup>. We set  $\text{EQE}_{\text{EL}} = 0.01$  for both the minority and majority phases and calculate the time evolution of  $V_{\text{OC}}$  (Fig. 4b). We calculate  $V_{\text{OC}} = 1.49$  eV from the pristine cell. As segregation proceeds in time, we calculate that the  $V_{\text{OC}}$  should drop very rapidly in the beginning, losing 170 mV in 10 minutes, despite sub-bandgap  $\text{EQE}_{\text{PV}}$  being just ~0.1%. After 20 minutes, we estimate the  $V_{\text{OC}}$  to have dropped by 220 mV and hit 1.27 V. After 20 minutes, we determine that the  $V_{\text{OC}}$  is stable at 1.27 V, despite an increasing sub-bandgap absorption. Thus, we estimate that the total  $V_{\text{OC}}$  loss at saturated segregation to be 220 mV. This loss is independent of the  $\text{EQE}_{\text{EL}}$  chosen, provided it does not change during time under illumination. Setting  $\text{EQE}_{\text{EL}} = 3.2 \cdot 10^{-8}$  predicts a more realistic  $V_{\text{OC}} = 1.16$  V from pristine cell (Equation 5). The calculated  $V_{\text{OC}}$  loss due to segregation, however, remains the same at 220 mV (Fig. 4b).

### Impact of enhanced $\text{EQE}_{\text{EL}}$ of minority phase



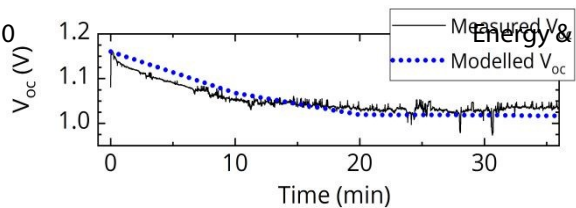
**Fig. 4.** Time evolution of  $V_{OC}$  calculated via detailed balance using the FTPS data. We show the effect of varying the  $EQE_{EL}$  of the two phases. (a) Time evolution of  $V_{OC}$ , assuming  $EQE_{EL} = 0.01$  for both the majority and minority phases. This would be the voltage of a wide bandgap cell if it were electronically as good as the best 1.6 eV cells. (b) Time evolution of  $V_{OC}$ , assuming a realistic  $EQE_{EL} = 3.2 \times 10^{-8}$  for both the majority and minority phases. (c) Time evolution of  $V_{OC}$  for a range of minority phase  $EQE_{EL}$ , fixing majority phase  $EQE_{EL} = 0.01$ . (d) Time evolution of  $V_{OC}$  for a range of minority phase  $EQE_{EL}$ , fixing majority phase  $EQE_{EL} = 3.2 \times 10^{-8}$ .

Since the carrier diffusion length in these materials is relatively long, the charge carriers will explore a large volume of film under open-circuit conditions, when they are not being extracted. Therefore, they are likely to find the low bandgap minority phase regions, and will become localized within these regions due to energetic confinement. In a real cell, the minority phase occupies a small fraction of the total film volume. Since the emission from a halide-segregated film is predominantly via this lower energy phase, we know that there is a predominant accumulation of charge carriers in this region. This accumulation of carriers within the low bandgap regions of mixed phase perovskites, is often referred to as charge-funneling<sup>47</sup>. The average carrier concentration within the minority phases, will therefore be much higher than in the majority phase regions (or non-segregated film). For a 1% segregated film, this may therefore result in a 100 fold increase in charge-carrier density within the low bandgap phase (in comparison to the charge density in the unsegregated majority phase). This increased charge density will promote radiative recombination, due to bimolecular radiative recombination competing more strongly with monomolecular non-radiative recombination. Trap filling from the local increase in carrier density will also serve to increase the radiative efficiency<sup>48</sup>. This

therefore suggests that we would expect an increased  $EQE_{EL}$  from the minority phase. We note that a narrower bandgap 3D minority phase inclusion in a wider bandgap 2D majority phase matrix, is the strategy adopted for the best perovskite light emitting diodes<sup>47</sup>. In our case here, the increase in radiative efficiency of the iodide-rich minority phase, would cause the drop in  $V_{OC}$  to be less dramatic. Thus we expect that the 220 mV loss we estimate from the  $EQE_{PV}$  measurements, is an upper-bound for the  $V_{OC}$  loss. We also calculate the  $V_{OC}$  (Fig. 4c) by varying the  $EQE_{EL}$  of the minority phase, while keeping the majority phase  $EQE_{EL}$  fixed at 0.01. We find that every ten-fold increase in the radiative efficiency of the minority phase, the  $V_{OC}$  loss due to halide segregation reduces by ~55 meV.

If we set the majority phase  $EQE_{EL}$  at a realistic  $3.2 \times 10^{-8}$ , we can vary minority phase  $EQE_{EL}$  by many more orders of magnitude (Fig. 4d). When we choose the radiative recombination of the minority phase to be 100,000 times higher than the majority phase, then we estimate no  $V_{OC}$  loss following halide segregation. In this case, the recombination rate in the absorber would be controlled by fast non-radiative recombination in the majority phase, and the formation of a low-bandgap phase would only cause a negligible increase in the net recombination rate.



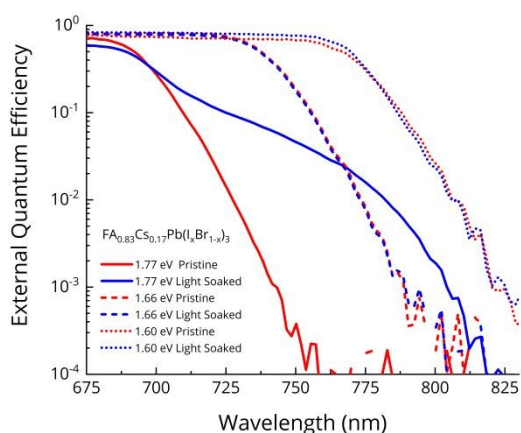


**Fig. 5.** Measured time evolution of the  $V_{OC}$  of a halide segregating cell (black). The modelled  $V_{OC}$  (dotted blue) allows us to extract  $EQE_{EL, \text{majority}} = 3.2 \cdot 10^{-8}$  and  $EQE_{EL, \text{minority}} = 6.2 \cdot 10^{-7}$ .

In order to understand the impact of the enhanced  $EQE_{EL}$  that we just described, we measure the time evolution of the open-circuit voltage of a pristine  $FA_{0.83}MA_{0.17}Pb(I_{0.4}Br_{0.6})_3$  cell (bandgap 1.94 eV) under simulated AM1.5 conditions (Fig. 5). We observe the voltage to drop quickly initially and then stabilize with a  $V_{OC}$  loss of around 120 mV after 20 minutes. This is consistent with the behavior predicted by our  $EQE_{PV}$  measurements (Fig. 4). We model the curve using the approach previously presented (Fig. 5), and vary the  $EQE_{EL}$  of the majority and minority phase until we achieve a similar trend. We find consistency if we set the  $EQE_{EL}$  of the majority phase to  $3.2 \cdot 10^{-8}$ , and the  $EQE_{EL}$  of the minority phase to  $6.2 \cdot 10^{-7}$ . This suggests a 19-fold enhanced  $EQE_{EL}$  in the minority phase, as compared to the majority phase, which seems to be perfectly reasonable.

### Halide Segregation in FACS based perovskite cells

In order to quantify the  $V_{OC}$  penalty from halide segregation, in the preceding sections we presented studies on a perovskite composition (FAMA based) and bandgap (1.94 eV) that rapidly underwent halide segregation, in order to exemplify our theoretical assessment. However, in order to exemplify more state-of-the-art wide bandgap compositions, we now present results for the  $FA_{0.83}Cs_{0.17}Pb(I_xBr_{1-x})_3$  family. We fabricated  $FA_{0.83}Cs_{0.17}Pb(I_xBr_{1-x})_3$  based p-i-n devices of three different bandgaps: 1.77 eV (40% Br, suitable for perovskite-perovskite tandems<sup>49</sup>), 1.66 eV (23% Br, ideal for perovskite-silicon tandems<sup>49</sup>), and 1.60 eV (10% Br, best bandgap for single-junction performance in our lab). We light soaked the devices for two hours under 1 sun simulated AM1.5 illumination, till the absorption tail was seen to evolve no more, and show the measured FTPS- $EQE_{PV}$  spectra for the pristine and light-soaked devices in figure 6. We provide the  $V_{OC}$  for the same devices,



**Fig. 6.** Photovoltaic External Quantum Efficiencies ( $EQE_{PV}$ ) of  $FA_{0.83}Cs_{0.17}Pb(I_xBr_{1-x})_3$  based p-i-n solar cells measured before and after light soaking for 2 hours under 1 sun simulated AM1.5 illumination.

tracked simultaneously as the FTPS measurement, in the supplementary information (Fig. S1, ESI). DOI: 10.1039/C9EE02162K

The 1.66 eV and 1.60 eV absorbers show negligible changes in the absorption tail, indicating that the low bandgap phase segregated fraction is less than our resolution limit of  $10^{-4}$ . As our modelling (Fig. 1d) has indicated, this will be accompanied by negligible  $V_{OC}$  loss. Furthermore, the Urbach energy, which is a measure of electronic disorder, is just 15 meV before and after segregation, suggesting that no segregation has occurred. The 1.77 eV bandgap absorber (40% Br), on the other hand, undergoes halide-segregation, evolving a sub bandgap absorption feature. The formed absorption shoulder is also quite broad, suggesting that there is no single prominent composition, but only a mixture of phases. A detailed balance calculation on the  $EQE_{PV}$  of this 1.77 eV cell, presented in Fig. 6, reveals a 97 mV  $V_{OC}$  penalty due to halide-segregation, assuming no change in the radiative efficiency  $EQE_{EL}$  during segregation. As we have already explained, we expect that the actual  $V_{OC}$  loss will be less than 97 mV, owing to increased radiative efficiency of the iodide-rich phase. Indeed, the  $V_{OC}$  measurement done simultaneously with the FTPS- $EQE_{PV}$  reveals that the loss is only 75 mV, since the  $V_{OC}$  drops from 1.105 V to 1.030 V.

### The key factor limiting the $V_{OC}$ of wide band gap mixed-halide perovskite cells

In the preceding sections, we combined sensitive  $EQE_{PV}$  measurements with detailed balance calculations to quantify the  $V_{OC}$  penalty due to halide segregation in FAMA and FACS based mixed-halide perovskite cells. In this section, we quantitatively compare the segregation-loss to the non-radiative  $V_{OC}$  loss.

In our FAMA based 1.94 eV bandgap cell, we calculated the expected  $V_{OC}$  to be 1.61 V in the radiative limit. Our cell shows a  $V_{OC}$ , prior to halide segregation, of 1.16 V, suggesting an initial  $EQE_{EL} \sim 10^{-8}$  (from Equation 6). The situation is similar in our FACS based 1.77 eV bandgap device, which in the radiative limit would deliver a 1.49 V  $V_{OC}$ . However, the device exhibits a  $V_{OC}$ , prior to halide segregation of 1.105 V, suggesting an initial  $EQE_{EL} \sim 10^{-6}$ . The non-radiative  $V_{OC}$  loss in this instance (385 mV) is over five times the segregation loss (75 mV). Clearly, the  $V_{OC}$  loss due to non-radiative recombination is much more overwhelming than the loss from segregation. In comparison, the best perovskite cells ( $\sim 1.6$  eV) have non-radiative losses of approximately 60 mV<sup>50</sup>. If this is achieved for 1.94 and 1.77 eV bandgap cells, they would deliver a  $V_{OC}$  of 1.54 and 1.43 V respectively, prior to halide segregation. Hence, even if halide segregation occurred, and induced a voltage penalty to the same extent that we have measured here, we would still reach open-circuit voltages of 1.42 V and 1.33 V for the 1.94 eV and 1.77 eV bandgap cells respectively.

Therefore, the performance of mixed-halide perovskites is predominantly limited by trap-assisted non-radiative recombination and not halide-segregation. The problem in mixed-halide perovskites seems to be two-fold: a) non-radiative recombination within the perovskite absorber and b) Interfacial



non-radiative recombination at the perovskite/charge extraction layer heterojunctions. In our 1.77 eV bandgap FACs based devices, the Urbach energy, which represents electronic disorder in the continuum of states, is low at only 15 meV. This indicates that the poor quality does not arise from electronic disorder in the bands. We measure a PLQE of approximately 0.3% for the isolated perovskite film on glass. In comparison, the PLQE of the best metal halide perovskite films (~1.6 eV bandgap) is over 10%. Therefore, efforts are required to minimise the defects responsible for non-radiative recombination in these wider band gap mixed-halide perovskites. A further significant loss occurs due to the poor electronic quality of the perovskite/charge-transport-layer heterojunctions. Considering the difference between the inferred  $EQ_{EL}$  ( $10^{-6}$ ) and the PLQE of the isolated perovskite film ( $10^{-3}$ ), we infer that the contact materials quench the PLQE by three orders of magnitude. From this perspective, the electron-transport layer Phenyl-C61-butyric acid methyl ester (PCBM) is particularly deleterious, since it significantly quenches the PLQE of our 1.77 eV gap perovskite films to below our detector limit (0.01%). By considering these absolute radiative efficiency values, we can estimate that the non-radiative losses from within the 1.77 eV gap perovskite absorber are responsible for ~180 mV loss in  $V_{oc}$ , and the integration into the device induces a further ~180 mV loss, with the latter primarily governed by the charge extraction layer/perovskite heterojunctions.

Thus, as a first priority, improved transport layers have to be identified by a systematic study of PL quenching, using the approach demonstrated by Stolterfoht. M. et al<sup>51</sup>. The development of new transport layers with new doping strategies to enable better band alignment, is also essential. Efforts to optimise the crystallisation and passivation of defects are also needed to eventually bring the PLQE of wide bandgap films on par with the best 1.6 eV bandgap films (~10%)

As a final note, halide segregation has itself been found to correlate positively with the fraction of non-radiative recombination in the film.<sup>26</sup> In addition, halide segregation has been shown to be highly suppressed in perovskite films with lower trap densities<sup>26,52,53</sup>. We may therefore postulate that it is the accumulated trapped charge which offers the electrostatic driving force for halide-segregation. We therefore expect that the route to maximise the radiative efficiency via reducing the trap density in the mixed-halide perovskites will not only maximise the initial  $V_{oc}$ , but will also likely overcome halide segregation itself.

## Conclusions

In conclusion, we have quantified the impact of halide-segregation upon the open-circuit voltage ( $V_{oc}$ ) of mixed-halide perovskite solar cells, using Fourier-Transform Photocurrent Spectroscopy (FTPS) measurements coupled with detailed balance calculations. Our results reveal that the  $V_{oc}$  loss from non-radiative recombination (~400 mV) is four to five times the loss from halide-segregation (~100 mV) in both FAMA (1.94 eV) and FACs (1.77 eV) based solar cells. These results represent the first quantitative evaluation of the contribution of halide-

segregation towards the poor performance of mixed-halide perovskite cells. We suggest that currently, the performance of mixed-halide cells is limited by poor electronic quality of the perovskite cell which results in a low radiative efficiency, and not by halide segregation. The cause of this low radiative efficiency is twofold: a) perovskite absorber with a high degree of trap assisted non-radiative recombination and b) poor electronic quality of perovskite/charge-transport-layer heterojunctions, leading to "heterojunction-induced" non-radiative losses.

To reach higher open-circuit voltages, improving the radiative efficiencies of mixed-halide perovskite films, and developing more efficient perovskite/charge extraction layer interfaces should be viewed as a matter of priority. With such improved materials processing, we suggest that a  $V_{oc}$  of up to 1.33 V is within reach for a 1.77 eV perovskite, even if halide segregation remains unsuppressed. Our work clearly highlights that the means to solve the voltage deficit issue in wide band gap mixed-halide cells, is to focus on reducing the initial defect density, and to neglect halide segregation as an issue, until improved radiative efficiency has been achieved. We also suggest that future studies on mixed-halide perovskites should include sensitive  $EQ_{PV}$  measurements coupled with detailed balance calculations to clearly differentiate  $V_{oc}$  loss due to halide-segregation and non-radiative recombination.

## Author contributions

S.M. analysed the data and performed all the calculations. D.P.M. and R.D.J.O. fabricated the perovskite cells. S.M., J.M.B. and R.D.J.O. performed the FTPS measurements and measured the JV curves for the solar cells. M.B.J. supervised the FTPS measurements and discussed the results, which were measured by S.M., J.M.B. and R.D.J.O. P.K.N. contributed with discussion and feedback for the data analysis and calculations. S.M. and H.J.S. wrote the manuscript. All authors discussed the results and reviewed the manuscript. P.K.N. and H.J.S. guided and supervised the overall project.

## Conflicts of interest

H.J.S. is cofounder and CSO of Oxford PV Ltd, a company that is commercializing perovskite PV technologies.

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