

1 **Title: Openness about animal research increases public support**

2

3 Authors: Juan Carlos Mendez<sup>1,2</sup>, Brook AL Perry<sup>1</sup>, Rhyanne J Heppenstall<sup>1</sup>, Stuart Mason<sup>1</sup>,  
4 Anna S Mitchell<sup>1\*</sup>

5

6 Affiliation:

7 1. Department of Experimental Psychology, University of Oxford, The Tinsley Building,  
8 Mansfield Road, Oxford, United Kingdom, OX1 3TA

9 2. College of Medicine and Health, University of Exeter, College House, St Luke's  
10 Campus, Heavitree Road, Exeter, United Kingdom, EX1 2LU

11

12 \*Corresponding author – [anna.mitchell@psy.ox.ac.uk](mailto:anna.mitchell@psy.ox.ac.uk)

13

14

15 Acknowledgements: These outreach activities were supported by the University of Oxford  
16 *Enriching Engagement* scheme, funded by the Wellcome Trust. The Thalamus, Cortex, and  
17 Cognition Lab is funded by the Wellcome Trust (110157/Z/15/Z). We thank the organisers of  
18 the IF Oxford Science and Ideas Festival 2020 and the British Neuroscience Association  
19 'Bring Your Own Brain' 2021 Festival of Neuroscience for hosting our online science  
20 engagement events. The University of Oxford Medical Sciences Interdivisional Research  
21 Ethics Committee (MS IDREC) indicated that formal ethical approval was not required as no  
22 personal information was collected in the survey responses.

23

24 Competing Interests statement: The authors declare no competing interest.

25

26 All data generated and analysed in this study are included in this commentary.

27 **Abstract**

28 Science engagement can be a daunting prospect for many researchers. This is especially true  
29 for scientists whose work involves animal models, particularly non-human primates (NHPs).  
30 Here, however, we show that openly explaining our rationale for our neuroscience work  
31 involving NHPs, as well as the legal and ethical regulations governing animal  
32 experimentation, increases public support and understanding, which is crucial for this  
33 essential research to continue.

34

35 The use of animals in neuroscientific research has been essential to our current understanding  
36 of brain function, as well as for the development of therapies for neurological illnesses.  
37 Biomedical experimental findings on non-human primates (NHPs) are particularly  
38 transferable to humans, due to their similar physiology and their brain's anatomical  
39 resemblance to ours<sup>1</sup>. While neuroscience has seen enormous advances since the middle of  
40 the twentieth century, research on animals, including NHPs, is still irreplaceable and support  
41 from policy makers and the public is crucial for its continuation. Thus, neuroscientists,  
42 supported by their funders and research institutions, need to keep raising awareness about the  
43 importance of their findings on animal models in creative and accessible ways.

44

45 Recognition of the need for transparency among researchers working with animals is  
46 increasing. In the United Kingdom (UK), the signatories of the 2014 Concordat on Openness  
47 on Animal Research committed to proactively and clearly communicate their research on  
48 animals with the media and the public<sup>2</sup>. This document has been signed by more than 120  
49 research organizations, including our institution, the University of Oxford, and our funders,  
50 The Wellcome Trust, and it has inspired countries worldwide to commit to similar  
51 agreements. An example of an effort along these lines is the creation of resources like the Lab  
52 Animal Tour (<https://www.labanimaltour.org/>)<sup>3</sup>, an interactive website with videos through  
53 which people can virtually access the animal facilities of four different research institutes of  
54 the UK, including the primate facility at the University of Oxford. The aims of this positive  
55 shift are to assuage the public on the ethical, moral, and scientific justification for animal  
56 research, and to engage with regulators on the importance of biomedical research and the  
57 necessity for ensuring international collaboration<sup>4</sup>. Perhaps as paramount, these efforts should  
58 also inform about the scientists' work towards the humane treatment of NHPs.

59

60 In Europe and the United States, scientists working with animals must comply with strict  
61 laws that ensure animal welfare remains a priority<sup>4,5</sup>. In the UK, any project involving NHP  
62 experiments must first undergo extensive ethical approval to be granted a license by the  
63 Home Office Secretary of State and is then continuously scrutinised. One of the guiding  
64 principles behind these animal research laws is adherence to the 3Rs: proposed in 1959 in the  
65 UK and subsequently adopted internationally, it advocates for replacement (avoiding the use  
66 of animals if alternatives exist), reduction (minimizing the number of animals used) and  
67 refinement (methods that minimize suffering and improve welfare). However, the public  
68 seems to be unaware of most of these efforts: a poll conducted by IPSOS Mori

69 (<https://www.ipsos.com/>)<sup>6</sup> every 2 to 3 years to assess public attitudes towards animal  
70 research has consistently shown that less than 5% of the public is aware of the 3Rs. Similarly,  
71 this poll estimated that 38% of the population believe cosmetic research on animals is legal in  
72 the UK, even though it has been banned since 1998 (and across the EU since 2013). Still, a  
73 recent petition submitted to the UK Government and Parliament  
74 (<https://petition.parliament.uk/>)<sup>7</sup> asking ‘to ban all UK animal testing, including for the  
75 development of cosmetics, household products and medicines’ reached more than 235,000  
76 signatures. Perhaps this widespread misinformation is behind the finding by the Pew  
77 Research Center (<https://www.pewresearch.org/>)<sup>8</sup> that, whereas 89% of the community  
78 connected to the American Association for the Advancement of Science (AAAS) supports  
79 animal research, only 47% of the interviewed adults support it. Importantly, by the time this  
80 article is published, the Swiss government (<https://www.admin.ch/>)<sup>9</sup> will have submitted to  
81 public vote, in February 2022, an initiative seeking to ban all animal experimentation in the  
82 country for the fourth time. Thus, despite scientists and governments working continuously  
83 towards improving the standards of animal research, there is still strong opposition to it.

84

85 These facts imply that outreach efforts from scientists working with animals are still not  
86 reaching wide sectors of the public<sup>10</sup>, a notion reinforced by the finding that the characteristic  
87 most commonly associated with animal research organisations is that they are secretive<sup>6</sup>. In a  
88 way, this is understandable: there is a history of harassment and aggression toward  
89 researchers using NHPs that could deter many to be open about their work<sup>11</sup>. Nevertheless,  
90 governments have passed acts, such as the 2006 Animal Enterprise Terrorism Act in the  
91 United States, to protect researchers, and extreme violence against them is in decline<sup>2</sup>.  
92 Another reason for scientists’ reluctance to engage with the public could be the common  
93 belief that participating in scientific outreach does not bring any benefit to their career<sup>12</sup>.  
94 After all, there is little evidence that public engagement actually yields a positive impact<sup>13</sup>,  
95 particularly in the field of animal research<sup>14-16</sup>. Thus, if neuroscientists working with NHPs  
96 are to be encouraged to speak openly about their work, it is crucial to assess whether reaching  
97 out to the public is fruitful and ultimately benefits both the public and the scientists.

98

99 Periodical polls, like those by IPSOS Mori<sup>6</sup> and the Pew Research Center<sup>8</sup>, normally collect  
100 the views of a large population sample (1,011 and 2,002 adults on their latest editions,  
101 respectively) over several days or weeks, with individuals in the sample changing every time  
102 the study is repeated. Thus, while these polls provide important insights about the changes in

103 opinion among the public throughout the years, it is difficult to gauge from them which  
104 factors led to which changes. For this, the impact of individual variables needs to be  
105 evaluated independently and, ideally, in the same sample. A few studies have analysed how  
106 different informative strategies affect the support for behavioral research experiments from  
107 visitors to primate zoo facilities<sup>14</sup>, as well as how much information visitors learn and  
108 understand<sup>14-16</sup>. Similarly, an online tool has been used to assess attitudes towards  
109 hypothetical experimental manipulations on pigs<sup>17</sup>. However, to our knowledge, there are no  
110 reports on attempts to measure the impact of science engagement activities on the audience's  
111 attitudes towards neuroscientific research on animals.

112

113 As with many other human activities, the Covid-19 pandemic caused science engagement  
114 events to be moved online. This, in turn, brought new opportunities and advantages, as people  
115 from all over the world could now attend events that used to be restricted to locals and  
116 different types of interaction were facilitated: people could write their questions and discuss  
117 what was being presented at any time without needing to speak in public. Many of these  
118 events were recorded and then uploaded to video-sharing platforms, allowing for still more  
119 people to benefit from them. Moreover, researchers could share relevant links and documents  
120 with the audience and, importantly, create surveys to gauge their opinions.

121

122 We recently participated in two prominent public Science Festivals that were based in the UK  
123 but took place online: the IF Oxford Science and Ideas Festival 2020 and the British  
124 Neuroscience Association 'Bring Your Own Brain' 2021 Festival of Neuroscience. Our goal  
125 was to convey the rationale behind the use of NHPs in our neurophysiological and  
126 neuroimaging projects, which are aimed at elucidating the dynamics of cortico-  
127 thalamocortical interactions during learning, memory, and decision-making, and  
128 understanding the impact of their disruption in neurological diseases. First, we explained the  
129 extensive regulatory and ethical approval that we must obtain to be authorized to carry out  
130 experimental procedures on NHPs in the UK. Then, we illustrated the different stages that the  
131 NHPs experience, from training to experimentation, showing real footage of the team  
132 members working with them. Importantly, we also highlighted our research on NHP welfare  
133 optimization and on the development of care refinements (e.g., 18). Finally, we explained  
134 some of our recent research findings to highlight the value of our work (e.g., 19). These 40-  
135 minute presentations were recorded and are freely available to view on Youtube

136 (<https://youtu.be/>)<sup>20,21</sup>, meaning they can continue to have an impact. Overall, our social  
137 media videos have been viewed over 16,000 times (e.g., 22).

138

139 Importantly, before we began our presentations, we asked participants (n=99) to voluntarily  
140 and anonymously answer two questions regarding their views on animal research (**Fig. 1**).  
141 Sixty-three participants completed this first couple of questions. These same questions were  
142 then repeated at the end of the event plus an additional question that evaluated the impact of  
143 our presentation. Sixty-nine participants completed this second set of questions. In the  
144 beginning, most of the attendees (44%) declared that they felt *not very well informed* and  
145 only 13% claimed to be *very well informed* about animal research. These results mirror those  
146 by IPSOS Mori in the UK population (38 and 6%, respectively)<sup>6</sup>. However, after our  
147 presentation, the great majority (59%) felt that they were now *fairly well informed*, whereas  
148 those considering themselves uninformed dropped from an overall 57% to merely 17%.

149

150 Before our presentation, most people (71%; **Fig. 1**) already thought that *animal research was*  
151 *necessary and could be done without cruelty*, while only one person (1%) thought that  
152 *animals should never be used for research*. This is in contrast with the 2018 IPSOS Mori poll  
153 that reported 38% of people agreed to the statement *'I think that animals should not be used*  
154 *in any scientific research because of the importance I place on animal welfare'*<sup>6</sup>. Perhaps this  
155 difference is due to our events appealing more to those already supportive of scientific  
156 research in animals. Another factor could be that the Covid-19 pandemic has caused an  
157 increase in animal research approval, as shown by recent polls by Understanding Animal  
158 Research in the UK (<https://www.understandinganimalresearch.org.uk/>)<sup>23</sup> and by the  
159 Foundation for Biomedical Research in the US (<https://fbresearch.org/>)<sup>24</sup>. Critically,  
160 comparisons between different polls (e.g., 25) also reveal that, when people are given more  
161 context about the rationale behind the use of animals in research, they tend to oppose it less  
162 and, instead, declare to be undecided. This is in accordance with our own findings: by the end  
163 of our presentation, the proportion who originally thought that *animal research is necessary*  
164 *but cruel* decreased from 27% to 17%, with individuals from this group who changed their  
165 mind now agreeing that *animal research can be done without cruelty* or declaring to be  
166 undecided.

167

168 Finally, perhaps the more straightforward proof that speaking openly is beneficial for both  
169 scientists and the public is that more than half of the attendees (**Fig. 1**) that participated in our  
170 polls thought that our presentation *positively changed their perception of animal research*.

171

172 While it is evident that neuroscientists working with animals need to make their voices heard  
173 to achieve accurate representations of their work, it is also clear that we should pay attention  
174 to and address the public's concerns. Results from polls and surveys like ours suggest that,  
175 when scientists are open about their work with NHPs, public support increases. Thus, the  
176 time is ripe for researchers to engage more globally and, crucially, to make use of  
177 technological advances to listen to and better engage their audiences, generating ongoing,  
178 mutually beneficial interactions.

179 **References**

- 180 1. Roelfsema, P.R. & Treue, S. Basic neuroscience research with nonhuman primates: a  
181 small but indispensable component of biomedical research. *Neuron*. **82**,1200-1204  
182 (2014).
- 183 2. MacArthur, C.J. et al. Communicating about animal research with the public. *ILAR J*.  
184 **60**, 34-42 (2019).
- 185 3. Understanding Animal Research. 360° Laboratory Animal Tours.  
186 <https://www.labanimaltour.org/> (accessed February 2022)
- 187 4. Mitchell, A.S. et al. International primate neuroscience research regulation, public  
188 engagement and transparency opportunities. *NeuroImage*, **229**, 117700 (2021).
- 189 5. Homberg, J.R. et al. The continued need for animals to advance brain research.  
190 *Neuron*. **109**, 2374-2379 (2021).
- 191 6. Ipsos MORI. Public attitudes to animal research in 2018.  
192 [https://www.ipsos.com/sites/default/files/ct/news/documents/2019-05/18-040753-](https://www.ipsos.com/sites/default/files/ct/news/documents/2019-05/18-040753-01_ols_public_attitudes_to_animal_research_report_v3_191118_public.pdf)  
193 [01\\_ols\\_public\\_attitudes\\_to\\_animal\\_research\\_report\\_v3\\_191118\\_public.pdf](https://www.ipsos.com/sites/default/files/ct/news/documents/2019-05/18-040753-01_ols_public_attitudes_to_animal_research_report_v3_191118_public.pdf) (2018)
- 194 7. UK Government and Parliament. Ban Animal Testing - Fund, accept & promote  
195 alternatives to animal testing. <https://petition.parliament.uk/petitions/581641> (October  
196 2021)
- 197 8. Pew Research Center. Major Gaps Between the Public, Scientists on Key Issues.  
198 <https://www.pewresearch.org/internet/interactives/public-scientists-opinion-gap/> (July  
199 2015)
- 200 9. The Federal Council. Popular initiative. Yes to the ban on animal and human  
201 experiments – Yes to research that brings safety and progress.  
202 [https://www.admin.ch/gov/en/start/documentation/votes/20220213/the-ban-on-](https://www.admin.ch/gov/en/start/documentation/votes/20220213/the-ban-on-animal-and-human-experiments.html)  
203 [animal-and-human-experiments.html](https://www.admin.ch/gov/en/start/documentation/votes/20220213/the-ban-on-animal-and-human-experiments.html) (December 2021)

- 204 10. Bennett, A.J. & Ringach, D.L. Animal Research in Neuroscience: A Duty to Engage.  
205 *Neuron*. **92**, 653-657 (2016).
- 206 11. Fighting animal rights terrorism. *Nat Neurosci*. **9**, 1195 (2006).
- 207 12. Pham, D. Public engagement is key for the future of science research. *NPJ Sci Learn*.  
208 **1**, 16010 (2016).
- 209 13. Weingart, P., Joubert, M & Connaway, K. Public engagement with science—Origins,  
210 motives and impact in academic literature and science policy. *PLoS One*. **16**,  
211 e0254201 (2021).
- 212 14. Waller, B.M. et al. Evidence of public engagement with science: visitor learning at a  
213 zoo-housed primate research centre. *PLoS One*. **7**, e44680 (2012).
- 214 15. Whitehouse, J. et al. Evaluation of public engagement activities to promote science in  
215 a zoo environment. *PLoS One*. **9**, e113395 (2014).
- 216 16. Bowler, M.T. et al. Assessing public engagement with science in a university primate  
217 research centre in a national zoo. *PLoS One*. **7**, e34505 (2012).
- 218 17. Schuppli, C.A., Molento, C.F. & Weary, D.M. Understanding attitudes towards the  
219 use of animals in research using an online public engagement tool. *Public Underst*  
220 *Sci*. **24**, 358-374 (2015).
- 221 18. Perry, B.A.L. et al. Protective cranial implant caps for macaques. *J Neurosci Methods*.  
222 **348**, 108992 (2021).
- 223 19. Pelekanos, V. et al. Corticocortical and thalamocortical changes in functional  
224 connectivity and white matter structural integrity after reward-guided learning of  
225 visuospatial discriminations in rhesus monkeys. *J Neurosci*. **40**, 7887-7901 (2020).
- 226 20. IF Oxford. Behind the scenes at a primate lab. <https://youtu.be/ZO2NqgYZB7E>  
227 (October 2020)

- 228 21. British Neuroscience Association. Behind the scenes of a primate lab.  
229 <https://youtu.be/gCCXYAeNmRw> (April 2021)
- 230 22. Wellcome Trust. Unravelling how the brain works. <https://fb.watch/6bHEib6N7I/>  
231 (February 2019)
- 232 23. Understanding Animal Research. Public attitudes to animal research under COVID-  
233 19.  
234 [https://www.understandinganimalresearch.org.uk/files/3315/8687/3612/attitudes\\_to\\_a](https://www.understandinganimalresearch.org.uk/files/3315/8687/3612/attitudes_to_a_nimal_research_under_covid-19_final.pdf)  
235 [nimal\\_research\\_under\\_covid-19\\_final.pdf](https://www.understandinganimalresearch.org.uk/files/3315/8687/3612/attitudes_to_a_nimal_research_under_covid-19_final.pdf) (April 2020)
- 236 24. Foundation for Biomedical Research. 2021 animal research poll.  
237 <https://fbresearch.org/polls-2021/> (2021)
- 238 25. Speaking of Research. Unveiled: The Moveable Middle on Animal Research Is  
239 Larger Than We Thought. [https://speakingofresearch.com/2021/06/16/unveiled-the-](https://speakingofresearch.com/2021/06/16/unveiled-the-moveable-middle-on-animal-research-is-larger-than-we-thought/)  
240 [moveable-middle-on-animal-research-is-larger-than-we-thought/](https://speakingofresearch.com/2021/06/16/unveiled-the-moveable-middle-on-animal-research-is-larger-than-we-thought/) (June 2021)  
241  
242  
243

244 **Figure 1.** Total percent results of the online surveys completed prior to (Early Poll) and  
245 immediately after (Late Poll) our online science engagement event ‘Behind the Scenes of a  
246 non-human primate research lab’, attended by people over the age of 13 years from the IF  
247 Oxford Science and Ideas Festival 2020 and the British Neuroscience Association ‘Bring  
248 Your Own Brain’ 2021 Festival of Neuroscience.  
249