

1 **Persistent and novel threats to the biodiversity of Kazakhstan's steppes and**
2 **semi-deserts^a**

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31 **ABSTRACT**

32 Temperate grasslands have suffered disproportionately from conversion to cropland, degradation and
33 fragmentation. A large proportion of the world's remaining near-natural grassland is situated in
34 Kazakhstan. We aimed to assess current and emerging threats to steppe and semi-desert biodiversity in
35 Kazakhstan and evaluate conservation research priorities. We conducted a horizon-scanning exercise
36 among conservationists from academia and practice. We first compiled a list of 45 potential threats.
37 These were then ranked by the survey participants according to their perceived severity, the need for
38 research on them, and their novelty.

39 The highest-ranked threats were related to changes in land use (leading to habitat loss and
40 deterioration), direct persecution of wildlife, and rapid infrastructure development due to economic
41 and population growth. Research needs were identified largely in the same areas, and the mean scores
42 of threat severity and research need were highly correlated. Novel threats comprised habitat loss by
43 photovoltaic and wind power stations, climate change and changes in agriculture such as the
44 introduction of biofuels. However, novelty was not correlated with threat severity or research priority,
45 suggesting that the most severe threats are the established ones.

46 Important goals towards more effective steppe and semi-desert conservation in Kazakhstan include
47 more cross-sector collaboration (e.g. by involving stakeholders in conservation and agriculture),
48 greater allocation of funds to under-staffed areas (e.g. protected area management), better
49 representativeness and complementarity in the protected area system and enhanced data collection for
50 wildlife monitoring and threat assessments (including the use of citizen-science databases).

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52

53 **Keywords:** horizon scanning; protected area; land-use change; grazing; agriculture; *Saiga tatarica*

54

55 1. INTRODUCTION

56 Conversion, degradation and fragmentation of habitat are major drivers of biodiversity loss (Pereira et
57 al. 2010). Grasslands have suffered disproportionately (Hoekstra et al. 2005), especially in the
58 temperate zone where up to 70% of all native steppe grasslands have been converted to cropland or are
59 seriously degraded (Viglizzo et al. 2011; Wright and Wimberly 2013).

60 The western part of the Eurasian steppe belt comprises the Pontic Steppe in Ukraine and European
61 Russia, and the Kazakh steppe which stretch from Ukraine to the Altai Mountains (Olson et al. 2001).
62 The Altai acts as a biogeographical barrier, separating western animal and plant communities from
63 those of the eastern Eurasian steppes in Mongolian, South Siberian and China. The steppes of Ukraine
64 and European Russia were almost completely converted into cropland in the 18th and 19th century.
65 Thirty-five million ha of steppe grasslands were transformed into cereal cropland between 1953 and
66 1961 in Kazakhstan and Asian Russia during the ‘Virgin Lands Campaign’ initiated by the Soviet
67 Union (Durgin 1962). Despite widespread conversion, the combined Pontic and Kazakh steppes still
68 contain a very large share of the world’s remaining near-natural, temperate grasslands (Dixon et al.
69 2014).

70 The steppes and semi-deserts of Kazakhstan are of high conservation importance: They harbour a
71 large number of globally threatened and biome-restricted species (Venter et al. 2014), including a suite
72 of characteristic steppe birds (Kamp et al. 2011), large ungulates such as the Critically Endangered
73 Saiga Antelope *Saiga tatarica* (Bekenov et al. 1998; Milner-Gulland et al. 2001) and distinct steppe
74 plant communities (Demina and Bragina 2014). They are also home to many species known in Europe
75 as ‘farmland biodiversity’, i.e. open country species that can to some extent adapt to agricultural
76 management (Benton et al. 2003). Examples include birds such as the Skylark *Alauda arvensis*, or
77 small mammal species such as ground squirrels *Spermophilus* spp. While large losses of farmland
78 biodiversity over the last decades in Europe have mainly been attributed to agricultural intensification
79 (Donald et al. 2001), the near-natural steppe and low-input agricultural systems of Kazakhstan still
80 harbour very large and healthy populations of these farmland species (Kamp et al. 2011). Finally, the
81 steppes and semi-deserts of Kazakhstan are dotted with wetlands of international importance
82 (Sklyarenko et al. 2008). These freshwater and saline steppe lakes serve as important stopover sites for

83 millions of Siberian waterbirds migrating on the Central Asian flyway to their wintering areas in
84 Arabia and on the Indian subcontinent (Schielzeth et al. 2008; 2010).

85 Despite the high conservation importance of the steppes and semi-deserts of Kazakhstan, very few
86 robust, quantitative data are available on population sizes, trends and conservation status except for
87 some conspicuous species. The first biodiversity assessments for the country were produced as part of
88 the National Biodiversity Strategy and Action Plan (Convention on Biological Diversity, CBD)
89 reporting obligations (Ministry of Environment and Water Resources of the Republic of Kazakhstan
90 1999) and by USAID (Chemonics International 2001). National CBD biodiversity reports in Russian
91 and English have been published between 2001 and 2014 (Ministry of Environment and Water
92 Resources of the Republic of Kazakhstan 2014). Finally, the Association for the Conservation of
93 Biodiversity of Kazakhstan (ACBK) produced an inventory of Important Bird Areas, including a
94 threat assessment for every site (Sklyarenko et al. 2008). These assessments routinely mentioned
95 persistent and new threats to steppe and semi-desert biodiversity. However, threats were not ranked
96 according to their severity, knowledge gaps and research priorities were not systematically identified,
97 and in most cases threats were based on expert opinion or government information rather than
98 empirical data.

99 The lack of robust information on the status of and threats to habitats and biodiversity limits
100 management options, as Kazakhstan is now a fast-developing country. After an economic downturn
101 during the 1990s following the break-up of the Soviet Union, human population size reached a low
102 around the year 2000 (caused by declines in fertility and an exodus of ethnic Russians and Germans
103 during the 1990s to the countries of their ancestors; Rowland 2001), after which a period of population
104 and economic growth began (Fig. 1). Since that time fertility rates have risen, emigration almost
105 stopped, and human population size now already exceeds that of Soviet times (Fig. 1). International
106 predictions suggest that GDP growth will continue at annual rates of 5–7% until at least 2017 (The
107 World Bank 2016). Growth is also observed and predicted to continue in oil and gas exports, mining
108 of coal and raw minerals and agriculture, although this will always depend on the global oil price (Fig.
109 1).

110 To address the lack of knowledge about threats faced by Kazakhstan's steppes and semi-deserts, we
111 conducted an expert-based evaluation using horizon scanning (Sutherland et al. 2011). This
112 complements and extends a similar evaluation for the Russian steppes that was conducted in the
113 framework of an UNDP/GEF project aiming at the designation of new protected areas (Siberian
114 Environmental Center 2013). We aimed i) to identify persistent and novel, emerging threats to steppe
115 biodiversity in Kazakhstan and rank their importance; ii) to identify priority areas of research related
116 to these threats and iii) to evaluate the degree of agreement between perceived threat severity and
117 research priority. We used a participatory approach involving fellow scientists and conservationists to
118 collect opinions about threats and research priorities.

119 2. MATERIALS AND METHODS

120

121 2.1 Horizon scanning

122 We used a horizon scanning approach which is defined as “the systematic search for potential threats
123 and opportunities that are currently poorly recognized” (Sutherland and Woodroof 2009). It has been
124 adopted in various areas such as macro-ecology, agriculture and medicine, and also has been
125 successfully employed in conservation biology (Sutherland et al. 2014). The outcomes of horizon
126 scanning exercises, especially those that prioritize emerging issues, are useful to policymakers,
127 decision makers and practitioners in public, private and non-profit organisations. They have also
128 repeatedly been used by scientists to plan future research agendas, and by funding agencies to identify
129 priority research and conservation projects (Sutherland et al. 2011).

130

131 2.2 Data collection

132 The geographical scope of our study were the ecoregions ‘Kazakh steppe’, ‘Kazakh semi-desert’ and
133 ‘Kazakh upland’ (Olson et al. 2001). The time scale for threats considered as novel, or becoming
134 relevant in the near future, was the period of ca. 2012 to ca. 2025.

135 To create an evidence basis for discussion, authors J.K. and M.A.K compiled an initial list of 23
136 potential threats. This list was based on readings of peer-reviewed and ‘grey’ literature, social network
137 platforms, blogs and online Kazakhstan newspaper archives, on informal discussions with fellow
138 scientists and conservationists, and on governmental statistics and policy documents such as the
139 strategic planning documents ‘Kazakhstan-2030’ and ‘Kazakhstan-2050’ and the latest CBD report
140 (Ministry of Environment and Water Resources of the Republic of Kazakhstan 2014). Threats were
141 grouped into thematic categories. This list, which also contained short descriptions with references for
142 each threat, was then sent out by e-mail to a total of 24 conservation scientists and conservation
143 practitioners working for NGOs, governmental and intergovernmental agencies within Kazakhstan or
144 internationally. The survey participants had worked on one or several biodiversity conservation
145 aspects in Kazakhstan during the past five years, and most of them were involved in ongoing
146 conservation or research projects. The participants were first asked to briefly list additional threats and

147 provide references for each threat. These were then added to the existing list of threats, resulting in a
148 total of 45 threats (Online Resource 1). This final list was circulated among all experts together with a
149 score sheet (in English and Russian). All participants were asked i) to score the potential severity of
150 each threat on a 10-point scale, ii) to score the need for research on a 10-point scale, and iii) to state
151 for each threat if they considered it to be novel (binary answer, yes = 1, no = 0). Participants were also
152 asked to comment on threats and research priorities during the ranking process. Suggestions detailing
153 research needs were compiled and are summarized in the results section.

154

155 **2.3 Data analysis**

156 The scores for threat severity and research need were averaged across all participants, considering our
157 scale an interval rather than an ordered-categorical scale. Two shortlists were created that contained
158 the ten threats with the highest mean scores for threat severity and research need, respectively, and a
159 third list which contained the ten threats with the highest mean ranking for ‘novelty’. Threats featuring
160 on either (or all) of the lists were compiled and are discussed in detail below.

161 To evaluate the degree of agreement between perceived threat severity and research priority, we
162 evaluated the correlation between mean threat severity and mean research need using Spearman’s
163 correlation coefficient. We also correlated the score of novelty with mean threat severity and research
164 priority for all threats to evaluate if novel threats were perceived differently than persistent ones, and if
165 more research need was suggested for novel threats compared to established ones. To evaluate the
166 degree of agreement between rankers, we calculated Cronbach’s α using function ‘cronbach’ in the R
167 package ‘psy’ (Falissard 2016).

168

169

170 3. RESULTS AND DISCUSSION

171 Nineteen people commented on the initial list and added threats, and 11 people were involved in the
172 final ranking and compilation of the threats. The expertise covered by them was in the taxonomic
173 groups of birds (6 participants), large mammals (2), small mammals (3), insects (1) and plants (2).
174 Further areas of work were protected area management, landscape planning, ecotourism and
175 conservation policy.

176 The threats to steppe and semi-desert biodiversity in Kazakhstan with the highest mean ranking were
177 mainly in three areas, namely i) changes in agriculture leading to habitat loss and deterioration, ii)
178 direct persecution of wildlife, and iii) rapid infrastructure development due to economic growth (Table
179 1). Research needs were largely identified in the same areas (Table 1). Threat severity was highly
180 correlated with perceived research need (Spearman's $r = 0.87$, $p < 0.001$, Fig. 2). Threats identified as
181 novel included habitat loss, disturbance and direct mortality caused by novel infrastructure (e.g.
182 renewable energies), climate change, and several emerging conservation issues of narrower relevance
183 (e.g. new ways of species exploitation not observed earlier, Table 1). Novelty was not correlated with
184 research need (Fig. 2), suggesting that persistent threats need similar attention as emerging ones. The
185 degree of agreement in the ranking was high among participants (threat severity: Cronbach's $\alpha =$
186 0.855, research need: Cronbach's $\alpha = 0.737$).

187 Detailed suggestions related to the ten highest-ranked research priorities were received from
188 participants during the review of the initial threat list and are presented in Table 2.

189 The threats featuring on either of the lists (severity, research need, novelty) among the ten highest
190 ranked ones are summarized and discussed in the following section, in the order of their perceived
191 severity.

192

193 **Poaching, harvest and trade of endangered species:** Large grazers are umbrella species of
194 Kazakhstan's steppes and semi-deserts and influence vegetation and food chains by their grazing
195 activities. Only tiny populations of Kulan (*Equus hemionus*) and Goitered Gazelle (*Gazella*
196 *subgutturosa*) survive in Kazakhstan. Saiga Antelopes (*Saiga tatarica*) were hunted for meat in Soviet
197 times, but although populations have fluctuated greatly over the years from disease (see below) and

198 hunting, they remained at relatively high levels (Bekenov et al. 1998). The collapse of the Soviet
199 Union in 1991 meant an end to government-controlled hunting management, and increasing poverty in
200 rural regions led to high poaching levels (Milner-Gulland et al. 2001). Saiga were targeted for their
201 meat. Even more important, their horn is used for medical purposes in China, leading to high levels of
202 export-led poaching of males (females do not bear horns). Due to poaching, Saiga populations
203 collapsed during the 1990s, and by 2003 only 30,000 animals were estimated to be left in Kazakhstan
204 (Milner-Gulland et al. 2001, 2003). Tremendous efforts by the government of Kazakhstan and
205 conservation NGOs to combat poaching resulted in a population recovery to 262,000 in 2014
206 (Nicholls 2015b). However, poaching is still common, with e.g. 4,470 smuggled horns worth 22
207 Million USD confiscated in September 2013 in NW China (Saiga Conservation Alliance 2013).

208 There is evidence of increasing recreational hunting pressure on large mammals such as Grey Wolf
209 (*Canis lupus*), which results from increasing wealth of the local population and the development of
210 hunting tourism (e.g. Trophy Club 2016). Wolves are also still controlled, and the Kazakh government
211 now pays financial rewards for hundreds of wolf skins annually (Kazinform 2014). It is likely that this
212 affects the species on a population level, as recently suggested for Russia (Bragina et al. 2015).

213 Increasing rates of unsustainable trapping of Saker Falcon *Falco cherrug* for falconers in the Middle
214 East, including the overharvest of females, have been identified as a major threat to Kazakhstan's
215 populations, despite the species being listed on both CMS and CITES (Kovács et al. 2014).

216 The export of the globally threatened Horsfield's Tortoise *Agrionemys horsfieldii* in the pet trade and
217 venomous snakes for medical reasons is thought to be substantial (Chemonics International 2001).
218 Between 2009 and 2013, more than 20,000 illegally traded tortoises were confiscated at the borders of
219 Kazakhstan by customs (Chirikova 2015).

220 Brine Shrimp (*Artemia* spp.) is the main food source for millions of shorebirds migrating on the
221 Siberian–Central-Asian–African/Indian flyway and stopping over at Kazakhstan's steppe lakes, a
222 bottleneck on migration (Andrusenko 1979; Schielzeth et al. 2008, 2010). Illegal harvesting of brine
223 shrimp eggs at saline steppe lakes has massively increased in recent years, and poachers have been
224 regularly detained across Kazakhstan (AstanaTV 2013 and many other national newspaper articles and
225 broadcasts). Most of the stocks harvested in Kazakhstan are illegally sold for medical purposes to

226 China, the sackful for ca. 1400 US\$ (Astana TV 2013; Koshkin 2014). It is currently unclear if the
227 scale of the poaching is sufficient to affect the food resource of waterbird populations and lead to
228 declines, and research is needed.

229

230 **Expansion and intensification of arable agriculture:** In Kazakhstan, 35 million ha of steppe
231 grasslands were transformed into cereal cropland between 1953 and 1961 in Kazakhstan and Asian
232 Russia during the ‘Virgin Lands Campaign’ initiated by the Soviet Union (Durgin 1962). This led to
233 large-scale steppe habitat loss. In contrast, after the break-up of the Soviet Union in 1991, cropland
234 area in Kazakhstan declined dramatically from about 24 million ha in 1990 to 12 million ha in 1999
235 (Kazakhstan State Statistics Agency 2016a) due to the collapse of the state farm systems and rural
236 depopulation (Fig. 1). This resulted in population recovery in many steppe bird species (Kamp et al.
237 2011), and possibly also in other taxa, such as Bobac Marmot *Marmota bobac* (Nerger 2007).
238 However, since 2000, about 6 million ha of abandoned farmland have been re-cultivated (Petrick et al.
239 2013), and this upward trend seems to be continuing (Fig. 1), leading to new pressures for steppe areas
240 which had been recovering. The potential to expand agricultural production in Kazakhstan is high
241 (Lioubimtseva and Henebry 2012), but the likelihood of recultivation varies with soil-type and
242 accessibility (Kraemer et al. 2015). Pressure on agricultural land has increased globally over the last
243 decades due to increasing crop cultivation for biofuels, with often negative implications for
244 biodiversity (Koh and Ghazoul 2008). Kazakhstan has opened its first biofuel plants running on
245 ethanol from wheat in 2006 (Russian Biofuels Association 2007), but production remained negligible
246 since then (Kazakhstan State Statistics Agency 2016a). While an expansion of agriculture for biofuel
247 production seems unlikely at the moment, increased global demand could change this in the future and
248 lead to reclamation of abandoned farmland.

249 Agricultural intensification is considered one of the main global drivers of biodiversity loss (Donald et
250 al. 2001; Giller et al. 1997; Tscharntke et al. 2005). Over 90% of Kazakhstan’s cropland is sown with
251 wheat. Mean wheat yield across the steppe zone increased from ca. 0.8 t/ha in 1990 to ca. 1.1 t/ha in
252 2013 (Kamp et al. 2015). This suggests an intensification of cropping systems, but the increase in
253 yield is also caused by the abandonment of the least productive areas, leading to a country-wide

254 increased mean yield (Kazakhstan State Statistics Agency 2016a). Significant cereal yield gaps
255 (differences between current and attainable yields) have been modelled for the region (Mueller et al.
256 2012). However, it is unclear how realistic it is to close these yield gaps. The government of
257 Kazakhstan plans to invest 17 billion US\$ into the the modernisation of the agro-industrial sector by
258 2020 within the ‘Kazakhstan-2020’ and ‘Kazakhstan-2050’ development strategies (Ministry of
259 Agriculture of the Republic of Kazakhstan 2012; Ministry of Agriculture of the Republic of
260 Kazakhstan 2014). The main strategy is to support effective, very large farms rather than smallholders
261 and medium-sized businesses (Ministry of Agriculture of the Republic of Kazakhstan 2012; Ministry
262 of Agriculture of the Republic of Kazakhstan 2014). It seems likely that increasing efficiency in the
263 agricultural sector in Kazakhstan will also be achieved by increased pesticide and fertilizer application
264 and increased mechanisation (Kamp et al. 2011). Extensive use of agrochemicals has caused
265 significant losses of insects, small mammals, and birds populations in steppe habitats of European
266 Russia in the Soviet era (Belik 1997; Belik 2000).

267

268 **Changing livestock grazing patterns:** Grassland ecosystems are shaped by large grazers, which
269 create niches for plant and animal species (Knapp et al. 1999). In Kazakhstan, this role has been taken
270 over by domestic livestock, as, apart from Saiga Antelope, large herds of wild ungulates were lost long
271 ago. Livestock numbers were high in Soviet times, but declined sharply during the 1990s due to the
272 disintegration of the state farms and rural depopulation (declines of up to 80% in sheep and 50% in
273 horse and cattle numbers, Kamp et al. 2011; Robinson and Milner-Gulland 2003; Fig. 1C). Since
274 2000, livestock numbers have been recovering (Kerven et al. 2016). However, most livestock are now
275 owned privately (Robinson et al. 2016), although often grazed on state-owned rangelands and
276 communal pastures. As private owners lack the financial means and infrastructure for seasonal
277 migrations, very imbalanced grazing pressure is observed: Within 10 km of human settlements, on
278 communal pastures, the steppe and semi-desert is overgrazed in many areas, while large areas are not
279 grazed at all (Kamp et al. 2012, Robinson et al. 2016). Heterogeneity in grazing levels, including very
280 heavy local grazing, seems to be crucial for species-rich steppe bird and mammal communities (Kamp
281 et al. 2012; Kamp et al. 2015; Shmalenko 2013). Also, a cessation of grazing by wild and domestic

282 ungulates can change ecosystem functioning: First results suggest that ungrazed steppe on former
283 pastures is 'locked' in a stage of rather monotonous, grassy swards dominated by various feather grass
284 (*Stipa* spp.) species and *Leymus ramosus* rather than recovering into species-rich steppe communities
285 (Brinkert et al. 2016, this issue). Overgrazing on the one hand and 'undergrazing' of vast areas can
286 lead to changes in abundance and community composition in birds and small mammals (Kamp et al.
287 2012, Lameris et al. 2016 (this issue), Oparin et al. 2011), but little quantitative evidence is available.
288 The currently recolonisation of previously abandoned pastures (Kerven et al. 2016) might be
289 beneficial for steppe biodiversity. Similarly, recent efforts to reintroduce native wild ungulates such as
290 Przewalski's Horse in Kazakhstan (Tengri News 2014) might be an opportunity for steppe restoration
291 (Liu et al. 2014).

292 Similar to crop production, the government of Kazakhstan is now implementing policies to reactivate
293 the livestock sector and increase intensity in livestock production (Vorotnikov 2013). Immediate
294 changes will include a higher proportion of animals being kept in stables year-round (rather than
295 ranging free in summer), and due to this an increased demand for fodder crops rather than hay. This
296 could in turn increase the expansion and intensification of arable cropland, if fodder is to be produced
297 domestically and not imported.

298

299 **Habitat loss due to increasing mining activities:** In Kazakhstan, coal and other minerals are mostly
300 mined in open cast mines, meaning that steppe and semi-desert habitat will be lost where new mines
301 are opened or existing ones extended. The amount of mined coal and minerals declined in Kazakhstan
302 between 1990 and 2000, but has since recovered to or even exceeds Soviet levels (Fig. 1). It is
303 projected that production will grow to more than 158 million tons per year by 2020. Iron, copper,
304 bauxite, and zinc are the minerals with the largest production amounts after coal. The combined total
305 production of these four minerals increased from 56 to 76 million tons per year in the period 2000 to
306 2013 and has surpassed Soviet levels recently (Kazakhstan State Statistics Agency 2016b). Coal is
307 mostly consumed domestically, but Chinese investors have recently increased their involvement in
308 Kazakhstan, which could further increase the amount of coal produced in the country (Suleimen 2014;
309 Tengri News 2013). The area used for mining increased from 580,000 ha in 2000 to 910,000 ha in

310 2012 (and by 110,000 ha from 2008 to 2012 alone, Ministry of Environment and Water Resources of
311 the Republic of Kazakhstan 2014). So far, no official restoration policy for disused mining sites is in
312 place.

313

314 **Habitat fragmentation by new roads and railroads:** Kazakhstan plans to massively increase the
315 volume of rail freight from 2500 to 7.5 million standard rail containers by 2020. Three new rail
316 connections with a total length of ca. 1300 km are currently being constructed or have been opened
317 since 2014 (Kazakhstan Temir Zholy 2015). Further expansion is expected, as direct rail connections
318 between China and Western Europe are planned (ECE-ESCAP 2008), and China plans to build a new
319 high-speed rail link between Astana and Almaty (Lu 2011).

320 Fragmentation of habitats by roads and railroads is a major conservation issue for large ungulate
321 populations in neighbouring Mongolia (Batsaikhan 2015; Ito et al. 2013; Ito et al. 2008). In
322 Kazakhstan, all three new railroads mentioned above cross the range of core populations of the
323 critically endangered Saiga Antelope (Singh et al. 2010a; Zuther 2014). Adverse effects of roads and
324 railroads due to increased mortality have recently been suggested for other vertebrate groups and also
325 invertebrates (Muñoz et al. 2014). There might also be indirect effects, as new railroads in formerly
326 remote steppe areas might be followed by further infrastructure, industry and mining projects,
327 poachers, and altered land-use.

328

329 **Electrocution of birds on power lines:** Large numbers of birds are killed through electrocution and
330 collisions with power lines in Kazakhstan (Dixon et al. 2013; Karyakin 2008; Lasch et al. 2010; Levin
331 and Kurkin 2013; Saraev and Pestov 2011; Voronova and Pulicova 2013; Voronova et al. 2012)
332 including globally threatened birds of prey such as Saker Falcon, Steppe Eagle *Aquila nipalensis*, and
333 Eastern Imperial Eagle *Aquila heliaca*. Electric power consumption has steadily increased since 2000
334 (Fig. 1). Between 2009 and 2012, three new long distance power lines were built in Kazakhstan. At
335 least seven new projects are in the planning stage (Kazakhstan Electricity Grid Operating Company
336 (KEGOC) 2015), including smaller (6-10 kV) power lines at which most electrocution happens.
337 Population-level effects of electrocution have been shown empirically (e.g. Angelov et al. 2013;

338 Hernández-Matías et al. 2015), but no quantitative assessment has been provided yet for raptor
339 populations in Kazakhstan.

340

341 **Habitat loss, disturbance and increased mortality of wildlife at new wind power stations:**

342 Kazakhstan's first wind power stations are currently built (Government of Kazakhstan 2014a) and
343 wind power is planned to reach 5% in Kazakhstan's energy balance in 2024 (Government of
344 Kazakhstan 2014b). About 50% of Kazakhstan's territory has average wind speeds about 4-5 m/sec at
345 a height of 30m, resulting in a wind potential of Kazakhstan around 1,820 billion KW/h per year
346 spread over most of the country (Kazakhstan Electricity Association 2008). The potential for
347 electricity created from wind energy exceeds Kazakhstan's current energy consumption tenfold
348 (Nabiyeva 2014), and there is a possibility to install thousands MW of wind farms in Kazakhstan
349 (Cochran 2008; Renewable Market Watch 2014). European and Chinese investors are currently
350 actively targeted by programs of the government of Kazakhstan (Nabieyva 2014). The effects of wind
351 power stations on the environment depend on their location, but there is evidence available for
352 negative effects (e.g. habitat loss, disturbance, increased mortality through collisions of birds and bats;
353 Everaert 2014; Loss et al. 2013; Marques et al. 2014; Villegas-Patracca et al. 2014).

354

355 **Habitat loss by large-scale photovoltaic power stations:** Although solar parks cover already
356 millions of hectares worldwide, few studies on their biodiversity impacts are available. A great
357 potential is seen for solar energy in Kazakhstan, as it receives 2,200-3,000 hours of annual sunshine
358 and an insolation (direct radiation from the sun) of 1,300-1,800 kWh/m²*yr (Cochran 2008). The first
359 solar farms have been opened in Kazakhstan, and investment in solar farms is actively promoted (The
360 Astana Times 2015). Bird habitat loss has been suggested as large tracts of land are needed for the
361 installation. Hundreds of insects per hour were incinerated in the intense light reflected from solar
362 panels at a station in the United States (Turney and Fthenakis 2011). Birds that crossed Concentrated
363 Power Solar Parks (CSP) have also been incinerated mid-air (NBC News 2014). Solar farms might
364 reduce insect populations locally, and by this have cascading effects on the food chain.

365

366 **Climate change:** Global scenarios predict an increase in mean annual temperature by 1.5 to 2 degrees
367 by the year 2035 across northern and central Kazakhstan, an increase in drought frequency, but little
368 change in precipitation patterns (IPCC 2013). Between 1941 and 2011, annual mean temperature and
369 precipitation, as well as the number of very hot day days increased, while days with frost decreased
370 across Kazakhstan (Salnikov et al. 2015). Comparing the period 1990-2006, Akhmadiyeva and
371 Groisman (2008) found pronounced increases in mean annual, spring and winter temperature, weak
372 increases in precipitation and near-surface air humidity, and a decrease in near surface wind in all
373 seasons, across the Kazakh steppes. In contrast, a decrease in spring temperatures has also been
374 suggested for years after 1990 (Mohammad et al. 2013). An increase in summer drought and a decrease
375 in precipitation in the steppe zone was apparent for the period 2000 to 2008 (de Beurs et al. 2009,
376 Lioubimtseva & Henebry 2009, Mohammad et al. 2013). Regional climate change scenarios suggest
377 that mean annual temperatures will further increase by 2.3–4.5°C in all months until 2030, but
378 especially in spring (Pilifosova et al. 1997).

379 An increase in spring temperatures could lead to an earlier start of the growing season. This might
380 make more land at the current southern border of agriculture in Kazakhstan suitable for cultivation and
381 could lead to agricultural expansion. A future increase in winter temperatures might allow farmers in
382 northern and central Kazakhstan to sow more winter cereals, a trend already observed (Kazakhstan
383 State Statistics Agency 2016a). The change from spring-sown to autumn-sown cereal varieties, and the
384 associated earlier ploughing of stubble and earlier crop growth, has caused significant losses of
385 agricultural biodiversity in Europe (Gillings et al. 2005; Newton 2004).

386 Assuming ongoing global warming, a northward shift of vegetation zones has been proposed until the
387 year 2080 (Tchebakova et al. 2009). North Kazakhstan steppe habitats, already depleted through
388 agriculture, might become characterised by vegetation associated with arid zones presently found
389 further south. Migration routes in large mammals might change (Singh et al. 2010b). However, there is
390 much uncertainty about such patterns, as alternative research suggests an increase in humidity and no
391 changes in precipitation patterns across Kazakhstan (Akhmadiyeva and Groisman 2008). Biome shifts
392 from semi-desert to steppe have also been connected with changing land-use, namely a decrease in

393 grazing pressure resulting in more frequent wildfires (Smelansky et al. 2015). A decrease in winter
394 snow cover could have consequences for populations of small mammals (Bilodeau et al. 2013).

395

396 **Increase in the area and number of steppe fires:** On the steppes of Kalmykia in neighbouring
397 Russia, increasing temperatures have led to more frequent and severe steppe fires, especially in areas
398 where livestock numbers have collapsed and a lack of grazing has allowed plant litter to accumulate
399 (Dubinin et al. 2011; Dubinin et al. 2010). In Kazakhstan, large steppe areas burn every year (e.g. 7.6
400 million ha in 2005, 9.9 mha in 2006 and 2.8 mha in 2007 across W Kazakhstan, Aktyubinsk and
401 Karaganda provinces combined, Arkhipkin and Sagatdinova 2008). Increasing temperatures could lead
402 to an increasing steppe fire risk in the future (Tchebakova et al. 2009), especially in areas that are
403 currently not grazed and where large biomass stocks built up. This might have implications for the
404 restoration of abandoned farmland, where a natural restoration to climax steppe vegetation
405 communities is inhibited by high fire recurrence rates, but also for near-natural steppe grassland.
406 Species-poor ‘novel’ or ‘hybrid’ grassland ecosystems (Hobbs et al. 2009) have emerged and will
407 persist if no wild ungulates or domestic livestock return and certain grazing levels can be maintained
408 (Brinkert et al. 2016, this issue).

409

410 **Changes in the hydrological regime of steppe lakes and rivers:** Increasing temperatures and
411 evaporation could lead to lowering of water tables and increased salinity of freshwater steppe lakes.
412 This might induce changes in vegetation, and cause a loss of habitat for birds and possibly
413 invertebrates. Apart from climate change, saline steppe lake water levels could also decrease because
414 of the increasing extraction of water from tributaries (Bai et al. 2011; Hwang et al. 2011; Kezer and
415 Matsuyama 2006; Propastin 2008). Low water tables have been perceived as problematic in several
416 Important Bird Areas in Central Kazakhstan, and even snow accumulation techniques have been used
417 to restore these lakes to important waterbird stopover sites (Urazaliev 2013). An increase in mining
418 activities could also lead to higher water demand, as observed in Mongolia (Priess et al. 2011).

419

420 **Wildlife disease:** Saiga antelopes as umbrella steppe species have been affected by several recent
421 mass die-offs in Kazakhstan: in 2010, 12,000 animals died, an estimated 1/3 of the affected population
422 in the Ural region (Grachev and Bekenov 2010), and in May 2015, at least 150,000 (nearly 50% of the
423 estimated world population) perished over a short period in the Betpak Dala area (Nicholls 2015a;
424 Nicholls 2015b; Nutt 2015). Saiga die-offs have occurred historically, and were mostly attributed to
425 infections with *Pasteurella* spp. or foot-and-mouth disease (Bekenov et al. 1998). Field sampling was
426 inadequate for a full diagnostic analysis in 2010, however one potential cause which was discussed
427 was that Saigas entered a huge area of former abandoned fields at a time of rapid vegetation growth.
428 Foraging mostly on annuals, which are typical for abandoned fields (*Lepidium perfoliatum* and others;
429 Dieterich and Sarsenova 2013), Saigas appeared to have developed problems with their rumen
430 function and finally to have died from bloat (Kock et al. 2011; Sapanov 2011). Given that Saiga
431 populations are vulnerable to poaching and other threats (such as infrastructure development), large
432 losses due to disease could severely hamper population recovery.

433

434 4. CONCLUSIONS

435 The threats perceived as most severe in our study were mainly persistent rather than novel threats, and
436 often apparent already in Soviet times, for example overgrazing by livestock or conversion of the
437 steppe for agriculture. This might indicate that effective conservation and sustainable land
438 management on the Kazakh steppes are hindered by ongoing structural problems. Comments received
439 by participants of the horizon scan exercise suggested limited awareness about conservation issues
440 among Kazakhstan's population, but also decision makers in policy and management. While
441 conservation NGOs in the country are already very active in some areas to raise awareness (e.g. anti-
442 Saiga antelope-poaching campaigns including TV broadcasts), other management-related issues might
443 simply be unknown to key decision makers, and might benefit from multi-stakeholder approaches. For
444 example, the second highest-ranked issue in our exercise was the 'undergrazing' of large steppe areas,
445 thereby impacting ecosystem functioning. Restoring free-ranging livestock on the Kazakh steppes,
446 coupled with management advice on ecologically sustainable stocking rates and the heterogeneity of
447 grazing patterns, might result in conservation benefits, but also be desirable from a development
448 perspective for Kazakhstan's agricultural sector (Vorotnikov 2013). Scientists and practitioners may
449 wish to think 'out of the box' and seek collaboration with stakeholders e.g. from agriculture.

450 A number of very large new protected areas in Kazakhstan has been created in recent years, increasing
451 the total area from 6.94 to 11.3 million ha since 1990 (2.6 to 4.3 % of the Kazakhstan's terrestrial area,
452 IUCN category I–IV) and from 0.77 to 3.87 million ha in the steppe zone (1.0 to 5.1 % of the steppe
453 zone terrestrial area (Kamp et al. 2015). The large size of these areas increases their value for
454 conservation, as key steppe species rely on large, undisturbed habitat patches (e.g. Saiga antelope,
455 large raptors). However, most of these are situated in areas of low opportunity costs for economic use
456 (Venter et al. 2014) and hardly any have been created in the productive steppes used for agriculture.
457 Many of the Soviet-era protected areas comprise 'scenic' areas of extra-zonal features (e.g. forests,
458 wetlands) and not much grassland. Grassland reserves are lacking in areas of vast near-natural steppe,
459 e.g. in Aktyubinsk and West Kazakhstan Provinces. To date, no systematic assessment of existing
460 protected areas based on representation or complementarity criteria (using reserve selection
461 algorithms, e.g. Possingham et al. 2000) has been performed. Comments of the horizon scanning

462 exercise suggested that scientific evidence is rarely used to guide management plans. It is hence
463 unclear whether the current set of protected areas effectively preserves biodiversity, although initial
464 evaluation seems to suggest that this might well be the case, e.g. for Important Bird Areas (Schweizer
465 et al. 2014) and Saiga Antelope populations (Singh and Milner-Gulland 2011).

466 In Kazakhstan, there are few country-wide, efficient biodiversity monitoring systems, making it
467 difficult to assess temporal trends in species abundance and distribution. This results in large
468 uncertainty in population estimates, which are needed for threat assessments such as red data books.
469 Due to the extremely large land area and the very low human population density, the installation of
470 country-wide biodiversity monitoring schemes presents challenges. However, an increasing number of
471 global citizen science databases are now available that could be exploited to model species'
472 distribution and abundance in time and space (Schmeller et al. 2009). Additionally, there are a number
473 of local initiatives to collect biodiversity data. Spatially referenced bird observations are shared via the
474 website <http://www.birds.kz> and available for analyses of bird distribution and phenology in
475 Kazakhstan. Elusive species are monitored in citizen science approaches using camera traps (e.g. wild
476 cats, <http://wildcats.wildlifemonitoring.ru/?lang=en#>). A country-wide database on small mammals is
477 currently being set up (A. Shmalenko pers. data). Participatory monitoring schemes have also been
478 established with success for Saiga antelope (Chilton 2011). Wider promotion of such facilities among
479 amateur natural history recorders and in academia might result in better availability of biodiversity
480 data for conservation-oriented research. This seems especially promising as the interest in biodiversity
481 recording has grown steadily among amateurs during past years.

482 While conservation efforts have increased tremendously in Kazakhstan over the last decade, there is
483 still limited research and management capacity to implement conservation research findings, including
484 a very low number of independent conservation-related NGOs. Government incentives are to increase
485 the manpower, and staff qualifications e.g. in protected areas, or wildlife management and monitoring
486 schemes could have high conservation benefits. Such programs could be accompanied by increased
487 education and training in conservation-related fields at universities, and more incentives to keep young
488 specialists in conservation after graduation.

489

490 Our study provides a first assessment and priority ranking of conservation issues on the steppes and
491 semi-deserts of Kazakhstan. However, it has several limitations. The sample size of opinions presented
492 here is still small, affecting the precision of the quantitative threat ranking. There might be bias in the
493 opinions of experts as these represent specific areas of expertise. However, we have assembled experts
494 covering a broad range of conservation and research directions (see results), and the internal
495 consistency in ranking threats among experts was high, suggesting that the results reflect general
496 consensus.

497 As is typically the case in horizon scanning exercises, there was little empirical evidence available on
498 the likelihood of some threats becoming relevant in the future, or their severity (e.g. biofuels). The
499 ranking therefore mirrors largely perception of these issues based on anecdotal information, and by
500 selecting the 10 highest ranked threats in each category, we might have dropped potentially important
501 threats. However, the lack of robust information on the potential threats discussed here can serve as a
502 starting point for future research, and can be refined later. Similarly, a further refinement of the initial
503 threats list and a standardized characterisation of the threats prior to discussion would be desirable:
504 threats could be classified e.g. by the area affected, the number and status (threatened, endemic) of
505 species to be lost, and the likelihood of the phenomenon occurring.

506 We did not include opinions of policy makers. These might have differed from those presented here
507 due to different backgrounds and policy priorities. Future assessments should ideally include a
508 workshop enabling face-to-face communication, and invite more policy makers. We see this exercise
509 as a first step to stimulate discussion, and as a baseline reference for conservation in Kazakhstan. It
510 would be desirable to repeat it with a larger and more balanced group of experts. Ideally, a threat
511 assessment would also be extended to the entire country of Kazakhstan, as many economic,
512 conservation and management decisions are made on the state level.

513

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834 **Figure captions**

835

836 **Fig. 1:** a) Trends in human population size, per-capita-GDP and electric energy consumption, b)
837 trends in coal, crude oil and gas production (The World Bank 2016) and c) trends in area sown for
838 crops and livestock numbers in the period 1990–2013 in Kazakhstan (Kazakhstan State Statistics
839 Agency 2016a).

840

841 **Fig. 2:** Scatterplots of the mean threat severity ranking plotted against the mean research need ranking,
842 and mean severity and mean research need rankings against the mean novelty ranking (n = 37 threats).

843

844 **Supporting Online Material**

845 **Appendix S1:** List of all compiled potential threats assessed by the contributors.

846

847 **Tables**

Threat	Threat severity	SD	Research need	SD	Novelty (%)
Expansion of arable agriculture: biofuels	7.82	2.27	5.43	2.37	86
Expansion of arable agriculture: food production and fodder crops	7.80	2.30	8.50	2.10	55
Changing livestock grazing patterns	7.70	2.30	8.00	2.30	27
Poaching, harvest and trade of endangered species	7.60	1.90	7.50	1.90	0
Fragmentation of habitats by new roads and railroads	7.30	1.30	7.60	1.40	64
Intensification of arable agriculture	6.50	2.30	7.80	2.60	45
Changes in the hydrological regime of steppe lakes and rivers	6.50	2.10	7.20	1.70	43
Changes in land ownership	6.40	2.20	6.14	1.57	86
Electrocution of birds at powerlines	6.30	2.10	6.09	2.12	9
Increase in the area and number of steppe fires	6.20	2.00	7.40	2.10	50
Habitat loss due to increasing mining activities	5.90	2.20	6.09	3.08	27
Biome shift and desertification	5.89	2.71	7.40	2.00	73
Illegal harvest of <i>Artemia salina</i> (brine shrimp) eggs at wetlands for medical industry in China	5.89	2.71	7.30	1.70	78
Increase of weather extremes and drought	5.80	1.99	6.60	2.27	80
Increase of spring temperatures	5.50	1.96	6.90	2.08	90
Saiga antelope mass die-offs	5.20	2.20	7.20	1.90	20
Habitat loss, disturbance and increased mortality of wildlife at new wind power stations	3.82	1.66	5.45	2.50	91
Introduction of GM crops	3.57	1.51	5.13	2.70	86
Habitat loss by large-scale photovoltaic power stations (solar parks)	2.91	1.87	4.50	3.37	100

848 **Table 1:** Mean threat severity and research need (\pm SD) as well as novelty (% respondents considering
849 a threat novel) for those threats that were among the ten highest ranked in one or several of the
850 categories (grey fields).

851

852

853

854 **Table 2:** Priority research actions received from participants during the review of the initial threat list
 855 for the ten highest ranked threats in the category research needs.

Research area	Priority research actions
Expansion of arable agriculture	Model and map biodiversity hotspots, Saiga migration routes, and areas suitable for agricultural expansion/recultivation, quantify overlap and potential conflicts; communicate findings to key stakeholders in agriculture and conservation
Changing livestock grazing patterns	Quantify relationships between grazing pressure and abundance/distribution for key steppe species; quantify grazing levels for wild and domestic ungulates that accelerate restoration of abandoned cropland to steppe; communicate findings to stakeholders in livestock management
Intensification of arable agriculture	Evaluate how likely cropland intensification by increased fertilizer and pesticide use is, and how likely the establishment of more intensive livestock systems is in the near future; monitor abundance and reproductive success of key species inhabiting used cropland (e.g. Steppe Marmot) that could serve as indicators of land-use intensity
Electrocution of birds at powerlines	Carry out environmental impact assessments and put mitigation in place according to international best practices.
Fragmentation of habitats by new roads and railroads	Initiate and continue satellite tracking of key steppe species potentially affected (e.g. Saiga antelope, Wolf) to identify conflicts between infrastructure development and migration routes; investigate which technical measures (e.g. bridges or tunnels) are suitable to minimize impact, communicate results to stakeholders in infrastructure authorities
Poaching, harvest and trade of endangered species	Conduct surveys to establish harvest rates of endangered species, used population models to define critical harvest levels, communicate findings to responsible wildlife management authorities
Increase in the area and number of steppe fires	Use remote sensing to evaluate fire recurrence rates in relation to weather and grazing pressure, establish grazing levels mitigating high fire recurrence rates, relate fire-recurrence rate to abundance and distribution of key biodiversity
Biome shift and desertification	Analyse existing biodiversity distribution data to detect biome shifts and relate to predicted biome-shifts patterns from climate research, identify species and regions most affected, predict effectiveness of protected areas under different climate change scenarios
Illegal harvest of <i>Artemia salina</i> (brine shrimp) eggs at wetlands for medical industry in China	Conduct surveys using information from successful anti-poaching actions to establish scale of the problem, if significant, initiate monitoring of waterbird species dependent on <i>Artemia</i> at key poaching sites
Saiga antelope mass die-offs	Continue investigations on the causes of the die-offs
Changes in the hydrological regime of steppe lakes and rivers	Use remote sensing to evaluate temporal changes in the hydrological regime of steppe lakes. Quantify changes in community structure of aquatic organisms along a gradient of lake/river degradation.

856