

1 MULTI-COPY GENE FAMILY EVOLUTION ON THE AVIAN W CHROMOSOME

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7  
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20 **ABSTRACT**

21 The sex chromosomes often follow unusual evolutionary trajectories. In particular, the sex-  
22 limited Y and W chromosomes frequently exhibit a small but unusual gene content in  
23 numerous species, where many genes have undergone massive gene amplification. The  
24 reasons for this remain elusive with a number of recent studies implicating meiotic drive,  
25 sperm competition, genetic drift and gene conversion in the expansion of gene families.  
26 However, our understanding is primarily based on Y chromosome studies as few studies have  
27 systematically tested for copy number variation on W chromosomes. Here, we conduct a  
28 comprehensive investigation into the abundance, variability, and evolution of ampliconic  
29 genes on the avian W. First, we quantified gene copy number and variability across the duck  
30 W chromosome. We find a limited number of gene families as well as conservation in W-  
31 linked gene copy number across duck breeds, indicating that gene amplification may not be  
32 such a general feature of sex chromosome evolution as Y studies would initially suggest. Next,  
33 we investigate the evolution of HINTW, a prominent ampliconic gene family hypothesized to  
34 play a role in female reproduction and oogenesis. In particular, we investigate the factors  
35 driving the expansion of HINTW using contrasts between modern chicken and duck breeds  
36 selected for different female-specific selection regimes and their wild ancestors. Although we  
37 find the potential for selection related to fecundity in explaining small-scale gene  
38 amplification of HINTW in the chicken, purifying selection seems to be the dominant mode of  
39 evolution in the duck. Together, this challenges the assumption that HINTW is key for female  
40 fecundity across the avian phylogeny.

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## 48 INTRODUCTION

49 Sex chromosomes are subject to unique evolutionary pressures due to their sex-limited  
50 inheritance and exhibit many unusual characteristics compared to the rest of the genome  
51 (Furman et al., 2020). They evolve when an autosome acquires a sex determining locus  
52 followed by halting of recombination between the sex chromosome pairs (Bergero &  
53 Charlesworth, 2009; Charlesworth, 1991). This recombination suppression triggers a cascade  
54 of neutral and adaptive processes that cause the once identical chromosomes to diverge from  
55 each other, often leading to the evolution of heteromorphic sex chromosomes (Bachtrog,  
56 2013). These effects are most pronounced for the sex-limited Y and W chromosomes, which  
57 experience a reduction in the efficacy of selection, often resulting in rapid decay of gene  
58 content and activity due to processes such as Muller's ratchet, the Hill-Robertson effect and  
59 genetic hitchhiking (Bachtrog, 2008; Bachtrog & Charlesworth, 2002; Charlesworth, 1978;  
60 Charlesworth & Charlesworth, 2000; Rice, 1996). In addition, because the Y and W  
61 chromosomes are haploid and only present in one sex, their effective population size is a  
62 fraction of that of the autosomes (Bachtrog & Charlesworth, 2002; Haddrill et al., 2007),  
63 making them more susceptible to genetic drift. Indeed, many Y chromosomes often consist  
64 of very few functional genes (Mank, 2012), however, intriguingly many of these genes have  
65 undergone massive gene amplification and persist as members of multi-copy gene families.  
66 For instance, the human Y chromosome harbours nine multi-copy ampliconic gene families  
67 which constitute the majority of protein-coding genes present on the Y (Skaletsky et al.,  
68 2003). Why these ampliconic gene families have evolved on heteromorphic sex chromosomes  
69 is an open question and their phenotypic consequences remain debated. It is also becoming  
70 increasingly apparent that copy number of these gene families can vary substantially, not only  
71 across closely related species but also individuals of the same species (Brashear et al., 2018;  
72 Lucotte et al., 2018; Poznik et al., 2016; Vegesna et al., 2019; Vegesna et al., 2020; Ye et al.,  
73 2018). Understanding the factors driving this variability can provide insight into the  
74 adaptability and functional importance of sex chromosomes more broadly.

75

76 It is widely assumed that the expansion of multi-copy ampliconic gene families is an adaptive  
77 response to lack of recombination between the sex chromosomes, where non-allelic  
78 homologous gene conversion between copies can escape Muller's ratchet and the

79 accumulation of deleterious mutations (Betrán et al., 2012; Charlesworth & Charlesworth,  
80 2000; Connallon & Clark, 2010). Indeed, gene conversion appears to be a common feature of  
81 amplicons on both the Y and W chromosome across multiple species (Backström et al., 2005;  
82 Davis et al., 2010; Geraldles et al., 2010; Rozen et al., 2003; Skov et al., 2017). Furthermore,  
83 many Y amplicons are expressed exclusively within the testes (Mueller et al., 2008; Skaletsky  
84 et al., 2003; Vegesna et al., 2020) and often implicated in spermatogenesis and male fertility  
85 in humans (Kuroda-Kawaguchi et al., 2001; Lahn & Page, 1997; Vogt et al., 1996), leading to  
86 the hypothesis that selection on male fertility, often as a consequence of sperm competition,  
87 drives the expansion of multi-copy gene families. While there appears to be a positive  
88 relationship between copy number and expression level across some gene families (Vegesna  
89 et al., 2019), as well as with sperm mobility in humans (Yan et al., 2017), comparative  
90 approaches across species have failed to detect a significant correlation between copy  
91 number and intensity of sperm competition (Vegesna et al., 2020), although this may be due  
92 to the small number of species examined to date. Intriguingly, in several species there has  
93 been rapid co-amplification of genes on both sex chromosomes, suggestive of genomic  
94 conflict during gametogenesis to bias the transmission of the X versus Y (Bachtrog et al., 2019;  
95 Hughes et al. 2020; Soh et al., 2014). Detailed molecular analysis of the Sly and Slx gene  
96 families in the mouse provides strong support for antagonistic interactions and segregation  
97 distortion as a major force in driving gene amplification (Cocquet et al., 2012; Larson et al.,  
98 2018). Similarly, meiotic drive has been implicated in the evolution of gene families on the  
99 *Drosophila* Y chromosome (Bachtrog et al., 2019). Finally, many amplicons appear to be  
100 evolving under relaxed purifying selection, consistent with the reduced efficacy of selection  
101 on the non-recombining Y (Ghenu et al., 2016; Vegesna et al., 2020). Thus, while a myriad of  
102 forces have been implicated in the amplification of gene families on the Y and W  
103 chromosomes, the relative importance of each remains unclear.

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105 To date, our understanding of multi-copy ampliconic gene families is primarily based on Y  
106 chromosome studies across mammals and *Drosophila*, and the W chromosome has been  
107 largely overlooked. Although the W is in many ways comparable to the Y chromosome, as  
108 both are sex-limited and do not recombine, the W is only present in females and the Y is only  
109 present in males. Therefore, the W chromosome, unlike the Y chromosome, does not  
110 experience sperm competition and might be subject to weaker sexual selection than the Y

111 (Bachtrog et al., 2011). Additionally, in polygynous mating systems where a small proportion  
112 of males in the population mate with multiple females, the effective population size of the Y  
113 relative to the autosomes is smaller than that of the W (Mank, 2012; Wright & Mank, 2013)  
114 As a result, the W chromosome may be less susceptible to genetic drift than the Y. Therefore,  
115 if multi-copy gene families are a consequence of random gene amplification due to genetic  
116 drift, they should be more pronounced on the Y chromosome rather than represent a general  
117 feature of heteromorphic sex chromosomes. It remains unclear whether W-linked amplicons  
118 have followed similar patterns of evolution to ampliconic genes on Y chromosomes, and  
119 whether gene amplification always occurs in parallel with sex chromosome degeneration.

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121 A limited number of W-linked multi-copy gene families have been documented in a handful  
122 of species, primarily avian (Backström et al., 2005; Davis et al., 2010; Moghadam et al., 2012;  
123 Smeds et al., 2015; Zhou et al., 2020). The best studied is HINTW, an ampliconic gene family  
124 present on the avian W chromosome that is hypothesized to play a role in female  
125 reproduction and oogenesis (Ceplitis & Ellegren, 2004; O'Neill et al., 2000), and was originally  
126 proposed as the avian sex determining gene (Moriyama et al., 2006; Pace & Brenner, 2003;  
127 Parks et al., 2005). While an initial study of HINTW indicated that large scale amplification of  
128 copy number is conserved across avian non-ratites (Hori et al., 2000), a recent study  
129 suggested that HINTW is single-copy in the Pekin duck (Li et al., 2021). To date, there has been  
130 no comprehensive investigation into the abundance, variability, and evolution of multi-copy  
131 ampliconic gene families on the W chromosome both across and within species.

132 Here, we conduct a comparative analysis of copy number variation of W-linked genes across  
133 chicken and duck breeds. Multi-copy gene families are notoriously challenging to study due  
134 to their highly repetitive nature (Tomaszkiewicz et al., 2016). This problem is confounded on  
135 the sex-limited Y and W chromosomes where amplicons are often located in repeat-rich  
136 regions that are poorly annotated in reference genomes. We employ NanoString technology,  
137 which is based on fluorescent probes, to provide high-throughput fine-scale estimates of gene  
138 copy number and variability (Ahn et al., 2016; Cui et al., 2014). First, we quantify the  
139 frequency and variability of multi-copy gene families on the W across duck breeds, and find a  
140 limited number of amplicons on the duck W as well as conservation in copy number of W-  
141 linked genes. Next, we investigate the role of selection for fecundity in driving the

142 amplification of HINTW using contrasts between chicken and duck breeds selected for either  
143 egg laying, male meat production or male plumage. We find that although large scale  
144 amplification of HINTW is ancestral to land and waterfowl species, smaller scale gene  
145 duplications have occurred independently across chicken breeds. Our results support a  
146 potential role of female-specific selection in driving amplification of the HINTW gene family  
147 in the chicken but not the duck, challenging the assumption that HINTW is key for female  
148 fecundity across the avian phylogeny.

149

## 150 **MATERIALS & METHODS**

### 151 *Samples and DNA extraction*

152 Our workflow is summarised in Figure S1. We obtained tissue samples from Khaki Campbell,  
153 Indian Runner, Aylesbury and Cayuga duck breeds and their modern ancestor, the Mallard  
154 duck (*Anas platyrhynchos*) (Zhang et al., 2018), and. In addition, we sampled the White  
155 Leghorn, Black Minorca, Oxford Old English and Black Sumatra chicken breeds and their main  
156 modern ancestor, the Red Junglefowl (*Gallus gallus*) (Frisby et al., 1979; Fumihito et al., 1996).

157 Samples were collected in accordance with national and ethical guidelines. Specifically, we  
158 obtained feathers from White Leghorn and Black Minorca. We also obtained 50 microlitres of  
159 Red Junglefowl blood in 1ml of absolute ethanol from a captive population at Oxford  
160 University (PPL P50402706). We obtained fertilised eggs from the following duck breeds;  
161 Mallard, Khaki Campbell, Cayuga, Aylesbury, Indian Runner, and the following chicken breeds;  
162 Oxford Old English and Black Sumatra. All eggs were kept under standard incubation  
163 conditions at The University of Sheffield. Samples were collected according to national and  
164 ethical guidelines and the liver was dissected at embryonic day 19 and 24 in chicken and duck  
165 breeds respectively, then stored in 95% ethanol.

166 DNA was extracted from feather and embryonic liver samples using DNeasy blood and tissue  
167 kit (QIAGEN) using standard protocols. DNA was extracted from blood samples using the  
168 ammonium acetate precipitation method. In total, DNA was obtained for three female and  
169 two male samples from each of the domesticated breeds, and two female and two male  
170 samples from each of the modern ancestor breeds. Embryonic birds were sexed visually and

171 feather and blood samples were sexed using published sexing primers (Fridolfsson & Ellegren,  
172 1999).

173

174 The majority of modern chicken breeds originated at the start of the 20<sup>th</sup> century (Rubin et  
175 al., 2010). Most modern chicken breeds are descended from the Red Junglefowl (Frisby et al.,  
176 1979; Fumihito et al., 1996) with some genes introgressed from the Grey Junglefowl and  
177 possibly other Junglefowl species (Eriksson et al., 2008). The Black Minorca and White  
178 Leghorn are layer breeds, which have been selected for female reproductive traits (e.g.  
179 fecundity), and the Oxford Old English and Black Sumatra chickens have been selected for  
180 male traits such as plumage for ornamentation purposes and aggression for cockfighting. The  
181 Oxford Old English and Black Sumatra lay fewer eggs than the two layer breeds and  
182 experience numerous female fecundity problems (Ekarius, 2007; Lewis, 2010). Importantly,  
183 the chicken breeds used in this study have independent origins (Moghadam et al., 2012) and  
184 so we can treat them as independent replicates of increased or relaxed female-specific  
185 selection. Most modern duck breeds are descended from the Mallard duck (Zhang et al.,  
186 2018). The Indian Runner and Khaki Campbell duck breeds have been subject to strong  
187 female-specific selection for egg laying, and the Aylesbury and the Cayuga for meat  
188 production (Ashton et al., 1999). Selection for meat- and egg-purpose breeds occurred at the  
189 early stages of duck domestication (Zhang et al., 2018) and so it is unclear whether the two  
190 layer breeds in our study can be considered independent replicates of increased female-  
191 specific selection.

192

### 193 *Identification of W-linked genes*

194 Previously, we identified 26 W-linked genes in the duck reference genome (Wright et al.,  
195 2014) using a combination of phylogenetic analyses and PCR validation in females. Some of  
196 these W genes share the same Z-linked ortholog, indicating they are either paralogs of a multi-  
197 copy gene family or fragments of the same gene, which have been assembled into separate  
198 genic sequences in the reference genome. Genome assemblies of sex chromosomes can be  
199 unreliable due to their repetitive nature and low sequencing coverage (Tomaszkiewicz et al.,  
200 2017) and so the latter scenario is plausible. To distinguish between these two scenarios, we  
201 aligned W-linked coding sequences with their Z-linked ortholog using PRANK (Löytynoja,

202 2014) and calculated pairwise distances. For the majority of cases, W-linked sequences shared  
203 no sequence similarity with each other, indicating they are fragments of the same gene that  
204 have been incorrectly assembled and annotated into separate genes. For subsequent  
205 analyses, we averaged data across fragments for these genes. Our results are quantitatively  
206 identical whether fragments are analysed separately or combined (see Supplementary  
207 Tables). The exception was KCMF1 in which the two annotated W sequences in the reference  
208 align and have a low pairwise distance, where the proportion of nucleotide differences was  
209 0.091, suggesting these are paralogs of the same multigene family.

210 However, HINTW is not annotated in the duck reference genome and a previous study only  
211 identified a short fragment of sequence (Hori et al., 2000). Therefore, we sequenced a 702 bp  
212 fragment of HINTW in the Mallard using Sanger sequencing at the Core Genomic Facility,  
213 University of Sheffield with primers designed for the black oystercatcher (*Haematopus*  
214 *bachmani*) (Guzzetti et al., 2008). Primers are listed in Table S1.

215

216 For each PCR reaction the following volumes and concentrations of reagents were used: 4 ul  
217 multiplex PCR Master Mix (QIAGEN), 2 ul forward primer, 2 ul reverse primer (initial conc of  
218 each 0.2 uM) and 1 ul DNA (initial conc 15 ng/ul). In addition to this, 1 ul of nuclease free H<sub>2</sub>O  
219 was added to reach a total volume of 10 ul per reaction. The PCR conditions were: initial  
220 denaturing stage of 95°C for 15 minutes, then 35 cycles of the following three steps; 94°C for  
221 30 seconds, an annealing step at 57°C for 90 seconds, and an extension at 72°C for 90 seconds.  
222 This was then followed by a final extension at 72°C for 10 minutes.

### 223 *Identification of autosomal invariant genes*

224 The NanoString pipeline relies on the identification of invariant genes, autosomal single copy  
225 genes in that do not vary in copy number, as internal controls. We identified invariant genes  
226 in the duck and chicken separately using a genomic coverage approach. SOLiD DNA-seq data  
227 from nine chicken breeds were obtained from Rubin et al. (2010) and reads were aligned to  
228 the chicken reference genome (Gallus\_Gallus-5.0, Zerbino et al., 2018) using SHRiMP v. 2.2.2  
229 (Rumble et al., 2009). Mapped reads with a quality score of 10 or above were retained using  
230 SAMtools v. 1.8 (Li et al., 2009). Illumina DNA-seq reads from seven duck breeds (Zhang et al.  
231 2018) were aligned to the duck reference genome (BGI\_duck\_1.0, Zerbino et al., 2018) using

232 BWA v. 0.5.7 (Li & Durbin, 2009) with the 'mem' algorithm. Read depth for each gene was  
233 calculated for both the chicken and the duck using the depth function in SAMtools. For each  
234 species, we conducted pairwise regressions of read depth per gene across every breed. We  
235 ranked residuals and identified genes in the lowest 35% quantile across all pairwise  
236 comparisons, indicative of limited or no copy number variation. We then used SNP data to  
237 test for nucleotide polymorphism across these genes, and we only called SNPs if the minor  
238 allele was present in one than one read. We chose genes with an absence of nucleotide  
239 polymorphism, and therefore an absence of multiple copies, as our invariant genes.

#### 240 *Quantification of gene copy number using NanoString*

241 Copy number was quantified using the NanoString nCounter platform at the NERC  
242 Biomolecular Analysis facility (NBAF), University of Liverpool. NanoString nCounter  
243 technology uses fluorescent probes to estimate fine scale variation in gene copy number  
244 across samples (Ahn et al., 2016; Cui et al., 2014). Probes were designed for W-linked genes  
245 and invariant genes in the Red Jungle Fowl and Mallard duck separately in accordance with  
246 NanoString protocol (Table S2). Specifically, two or three probes were designed for HINTW in  
247 the chicken and 26 W-linked genes in the duck. One or two probes were designed for each  
248 invariant gene.

249 We implemented a number of controls to ensure copy number was quantified for only W-  
250 linked and not their Z-linked orthologs. Genome assemblies of sex chromosomes are often  
251 unreliable due to their repetitive nature and low sequencing coverage (Tomaszkiewicz et al.,  
252 2017) and therefore accurately identifying W-specific regions can be problematic.  
253 Furthermore, given that the Z and W chromosome evolved from the same pair of autosomes,  
254 certain regions of W-linked genes have high sequence similarity to their Z-linked gametolog  
255 (Wright et al., 2012). First, we designed probes to W-linked exons with low sequence similarity  
256 to Z-linked orthologs. Second, we included male samples in the CNV CodeSet analysis, making  
257 it possible to identify and exclude probes that bind to the Z chromosome.

258 The NanoString nCounter assay was performed according to standard protocol. Briefly, at  
259 least 300ng of DNA per sample was fragmented via AluI digestion and then hybridized to the  
260 custom CNV CodeSet. Samples included three females and two males from each of the

261 selectively bred breeds, and two female and two male samples from each of the modern  
262 ancestor breeds. Samples were distributed randomly over the CNV CodeSets to avoid batch  
263 effects. The nCounter Digital Analyzer was used to count and quantify signals of reporter  
264 probes. Data analysis was performed using the nSolver Analysis Software.

265 We implemented a number of sanity checks as recommended by NanoString. First, we  
266 removed probes with count data above background noise in males and therefore affinity to  
267 the Z chromosome (Table S2). Background noise was calculated for each sample according to  
268 NanoString protocol as the average plus two standard deviations of the count number in the  
269 negative controls. We also removed one probe with count data below background noise in  
270 females, indicating low binding affinity. Second, as multiple probes were designed per W-  
271 linked gene, we calculated the coefficient of variation for copy number across probes. A high  
272 coefficient of variation is indicative of a probe that is not binding as predicted. As  
273 recommended by NanoString, we removed two probes from two different genes where the  
274 sum of the coefficient of variation across samples was  $\geq 100$  (Table S2). We averaged count  
275 data across all remaining probes of each gene in every individual.

#### 276 *Quantification of gene copy number from SNP data*

277 We used polymorphism estimates from publicly available DNA-seq data to independently  
278 verify the results obtained from the NanoString nCounter assay in the Mallard duck. Given  
279 that we expect many gene copies to share identical sequences due to gene conversion  
280 (Backström et al., 2005), we can only use SNPs to estimate a minimum copy number.

281 Illumina data from nine unsexed Mallard ducks (Zhang et al., 2018) were quality trimmed to  
282 a minimum of 34 bp using Trimmomatic v. 0.36 (Bolger et al., 2014). Data were then aligned  
283 to the duck reference genome (BGI\_duck\_1.0, Zerbino et al., 2018), with the 702 bp  
284 sequenced fragment of HINTW added, using BWA v. 0.7.17 (Li & Durbin, 2009) with the 'aln'  
285 algorithm. Alignments were filtered for uniquely mapped reads by keeping only lines of the  
286 BAM files that matched the flag 'XT:A:U'. We used read coverage to sex individuals, where Z-  
287 linked genes should show half the number of reads in females relative to males. Read depth  
288 per gene was calculated using the depth function in SAMtools. To control for differences in  
289 overall sequencing depth between individuals we divided read depth on the Z chromosome

290 by average autosomal read depth in each sample. Six females were identified and used in  
291 subsequent analyses.

292 BCFtools v. 1.9 (Narasimhan et al., 2016) was used to call SNPs at sites with a mapping quality  
293 > 20. In order to classify a SNP that indicated copy number variation, both the major and  
294 minor allele had to be supported by at least four reads and be present in more than half the  
295 individuals. Minor allele read depth was also required to be supported by at least 10% the  
296 number of reads that supported the major allele.

## 297 **RESULTS**

### 298 *Copy number of genes on the Mallard W chromosome*

299 We surveyed copy number of 26 genes on the Mallard duck W chromosome using count data  
300 obtained from NanoString nCounter. First, count data for W genes were normalised to  
301 invariant genes, autosomal genes present in a single copy, following NanoString protocol to  
302 account for any differences across samples in genomic DNA input arising from pipetting error  
303 or inaccuracies in DNA quantitation. Specifically, in each individual separately, we calculated  
304 average counts across all 10 invariant genes and bootstrapped with 1000 replicates to obtain  
305 the 95% confidence intervals. We divided the confidence intervals by two to account for  
306 comparisons between autosomal genes, which are present in two copies, and W-linked genes,  
307 which are present at a minimum of one copy. We then divided count number for each W gene  
308 by invariant count values to obtain estimates of W copy number in each individual and 95%  
309 confidence intervals.

310 In the Mallard duck, most W genes are present in a single copy. We found that HINTW is  
311 ampliconic, present in approximately 18 copies. This is in contrast to recent work suggesting  
312 that HINTW is single-copy in the Pekin duck (Li et al., 2021; Xu & Zhou, 2020). Furthermore,  
313 we found that KCMF1W is a multi-copy gene family present in 2 to 3 copies (Tables 1 & S3).

314 We independently verified copy number estimates using publicly available sequence data  
315 from Mallard individuals and nucleotide polymorphism analyses. No SNPs were found in any  
316 of the W genes with the exception of KCMF1W (ENSAPLG00000003106), where a single SNP  
317 was identified. This supports our finding that the majority of W-linked genes are present in a

318 single copy in the Mallard. Although we verified that HINTW is ampliconic using NanoString  
319 data, we did not identify any nucleotide polymorphism across copies. This instead may  
320 indicate the occurrence of gene conversion across HINTW in the duck, which acts to  
321 homogenise gene sequence among variants, and is consistent with previous results in  
322 galliform birds (Backström et al., 2005).

### 323 *Copy number variation across duck breeds*

324 We used the same approach to estimate copy number of W-linked genes across the four duck  
325 breeds, with the exception of HINTW which we discuss separately below. Copy number was  
326 broadly conserved, as the majority of genes are present in a single copy across all breeds  
327 (Tables 1 & S3), with the exception of KCMF1W. This multi-copy gene family varies from 2 to  
328 3 copies in some breeds to 3 to 4 copies in others, suggesting there may have been lineage-  
329 specific duplications in certain breeds (Tables S3 & S4).

330 In order to verify these results using a separate approach, we next estimated copy number in  
331 each breed relative to the Mallard duck. For each W-linked gene, normalised count data in  
332 each individual were divided by the average normalised count data for the Mallard to  
333 estimate relative copy number. We found that every W gene had a copy number ranging from  
334 0.88 to 1.21 relative to the Mallard in all individuals, supporting our finding that there is  
335 limited copy number variation across duck breeds.

336 Finally, we estimated variation in copy numbers by calculating the coefficient of variance of  
337 raw count data across all individuals and breeds for each W-linked gene. Coefficient estimates  
338 ranged from 0.078 to 0.112 across individuals (Tables S5 & S6), and importantly no value  
339 exceeded the maximum coefficient of variation for invariant genes (mean COV = 0.131, max  
340 COV = 0.416), indicating limited variation in W-linked copy number. We repeated the analysis  
341 across breeds using average copy number in each breed and found a similar pattern, whereby  
342 coefficients of variation ranged from 0.043 to 0.106. No W gene exhibited higher variation  
343 across breeds than that observed across invariant genes (mean COV = 0.111, max COV =  
344 0.356).

345

346 *Copy number variation of ampliconic HINTW across duck and chicken breeds*

347 Next, using contrasts between modern chicken and duck breeds selected for different female-  
348 specific selection regimes and their wild ancestors, we investigated the factors driving the  
349 expansion of HINTW. First, we estimated the size of the ampliconic HINTW gene family across  
350 duck breeds and found limited differences, where the number of copies ranged from 15 to 18  
351 across individuals (Figure 1A, Tables S3 & S4). In addition, the coefficient of variance of HINTW  
352 count data across individuals (mean COV = 0.080) and breeds (mean COV = 0.043) was not  
353 higher than variation across invariant genes (Tables S5 & S6). Importantly, there is no  
354 significant difference in average copy number between breeds (ANOVA;  $p = 0.312$ ). This  
355 suggests that copy number of HINTW is broadly conserved across duck individuals and breeds  
356 (Table S7), consistent with our predictions for purifying selection.

357 In contrast, we found notable variation in the size of the HINTW gene family across chicken  
358 breeds and individuals, ranging from 7 to 17 copies. The coefficient of variance for the chicken  
359 was 0.213 across individuals and 0.221 across breeds, both of which are higher than mean  
360 variation exhibited across invariant genes (mean COV = 0.151, max COV = 0.244 across  
361 individuals and mean COV = 0.116, max COV = 0.166 across breeds). Importantly, we found  
362 that the average size of HINTW gene family varied significantly between breeds (ANOVA;  $p =$   
363  $0.001$ ). Interestingly, all breeds have higher copy number of HINTW than the Red Junglefowl,  
364 and this was significant for three breeds (Figure 1B), indicating that the early domestication  
365 of chicken breeds may have been associated with a period of female-specific selection,  
366 presumably for egg laying. We find a general trend that breeds which have been selected for  
367 egg production via artificial female-specific selection (Kerje et al., 2003), had on average  
368 higher number of copies relative to breeds which have been bred for male fighting and  
369 plumage and subject to relaxed female-specific selection (Ekarius, 2007; Lewis, 2010) (Figure  
370 1B). However, this relationship was only significant for the Black Minorca and not the White  
371 Leghorn (Table S8).

372 **DISCUSSION**

373  
374 The sex-limited Y and W chromosomes exhibit a small but unusual gene content in many  
375 species compared to the rest of the genome. One striking feature is the existence of

376 ampliconic gene families, arising from massive gene amplification of distinct classes of genes.  
377 Our understanding of how and why these ampliconic regions have evolved is primarily based  
378 on detailed Y chromosome studies across mammals and *Drosophila*, which have implicated a  
379 multitude of factors in the expansion of gene families, including meiotic drive, sperm  
380 competition, genetic drift and gene conversion (Bachtrog et al., 2019; Cocquet et al., 2012;  
381 Ellis et al., 2011; Ghenu et al., 2016; Good, 2012; Larson et al., 2018; Skaletsky et al., 2003;  
382 Soh et al., 2014; Vegesna et al., 2020). However, the evolution of multi-copy gene families on  
383 the W chromosome has been largely overlooked, with the exception of a handful of studies  
384 (Backström et al., 2005; Davis et al., 2010; Hori et al., 2000; Moghadam et al., 2012; Zhou et  
385 al., 2020). As a result, it remains unclear whether ampliconic genes are a fundamental feature  
386 of heteromorphic sex chromosome evolution or a peculiar quirk of Y chromosomes. Here, we  
387 conduct a comparative analysis to examine the abundance, variability, and evolution of  
388 ampliconic gene families on the avian W chromosome both across and within two avian  
389 species.

390 Our results show little evidence for gene amplification on the duck W chromosome. Of the 26  
391 W-linked genes we studied, only two are present in multiple copies. One of these is HINTW,  
392 a large well-known ampliconic gene family, that has previously been characterized across a  
393 wide range of avian species (Backström et al., 2005; Hori et al., 2000). The fact that HINTW is  
394 ampliconic in the Mallard and four duck breeds is in contrast to recent work in the Pekin duck  
395 (Li et al., 2021; Xu & Zhou, 2020). Moreover, our finding that the W chromosome in the  
396 Mallard and domesticated duck breeds is generally depauperate in multi-copy gene families  
397 is consistent with a growing body of avian literature, including studies in the chicken  
398 (Moghadam et al., 2012), flycatcher (Smeds et al., 2015), sparrow (Davis et al., 2010),  
399 songbirds (Xu et al., 2019) and Pekin duck (Li et al., 2021). Outside of birds, to our knowledge,  
400 there is only one report of a W-linked ampliconic gene family in the willow *Salix purpurea*  
401 (Zhou et al., 2020), though few W chromosomes have been studied in sufficient detail. This  
402 deficit of gene families on the W is in stark contrast to the Y chromosome in mammals and  
403 *Drosophila*, where there has been massive amplification of gene sets.

404 This emerging pattern is consistent with theoretical predictions for how we expect the W to  
405 evolve differently to the Y due to their contrasting inheritance patterns (Bachtrog et al., 2014;

406 Mank, 2012). First, as the W chromosome is maternally inherited it is not subject to sperm  
407 competition, a factor which has been hypothesised, with mixed empirical support, to drive  
408 the expansion of Y-linked gene families (Hughes et al., 2010; Vegesna et al., 2020). It should  
409 be noted that the lack of support Vegesna et al. (2020) find for this hypothesis could be due  
410 to the small number of species examined in their study. Second, genetic drift is predicted to  
411 be weaker on the W in comparison to the Y chromosome. In polygynous mating systems,  
412 where a small proportion of males in the population mate with several females, the effective  
413 population size of the Y relative to the autosomes is smaller than that of the W (Mank, 2012;  
414 Wright & Mank, 2013). Relaxed purifying selection has been invoked to explain amplification  
415 of certain gene families on the primate and human Y chromosome, and the large variability  
416 in copy number across individuals and populations (Ghenu et al., 2016; Vegesna et al., 2020;  
417 Ye et al., 2018). Under drift, we expect variance in copy number to be approximately  
418 proportional to gene family size, where larger gene families will have a greater chance of gene  
419 duplication. Interestingly, we do not observe this pattern on the duck W chromosome where  
420 variability in the size of the HINTW gene family, present in ~18 copies, was similar to KFMC1,  
421 present in ~2 copies, across individuals and breeds. This is consistent with previous work  
422 showing evidence for purifying selection on the Mallard W (Wright et al., 2014).

423 Lastly, Y and W chromosomes are exposed to different types of gametogenesis, where the W  
424 is subject to oogenesis and the Y to spermatogenesis. Importantly, these contrasting  
425 environments likely lead to differences in the potential for antagonistic coevolution between  
426 the sex chromosomes. Antagonistic coevolution is predicted to drive the co-amplification of  
427 X and Y-linked genes (Bachtrog, 2020), but should be weaker during oogenesis than  
428 spermatogenesis. This is because the window for intragenomic conflict between  
429 chromosomes is restricted to the first meiotic division during oogenesis as only a single oocyte  
430 is produced containing either the Z or W (Bellott et al., 2017). Therefore, antagonistic  
431 coevolution between the Z and W will be limited to the first meiotic division. In contrast,  
432 competition between the X and Y can occur during meiosis I and II of spermatogenesis as both  
433 of these cell divisions produce viable gametes. As a result, we expect meiotic drive to play a  
434 less prominent role in the evolution of the W compared to the Y, and might explain why  
435 meiotic drive has been heavily implicated in the amplification of gene families on the mouse

436 and *Drosophila* Y chromosomes (Bachtrog et al., 2019; Cocquet et al., 2012; Ellis et al., 2011;  
437 Good, 2012; Larson et al., 2018; Soh et al., 2014).

438 In addition, expression of the sex chromosomes is repressed during the post meiotic stages  
439 of spermatogenesis, leading to intragenomic conflict between X- and Y-linked genes over the  
440 transcriptional machinery and selection for gene amplification to maintain gene expression  
441 (Moretti et al., 2020). In contrast, no corresponding mechanism of sex chromosome  
442 repression in oogenesis has been reported thus far, and so we expect less co-amplification  
443 due to antagonistic coevolution in ZW systems. In support of these predictions, there is no  
444 evidence for co-amplification of HINTZ or KCMF1 on the avian Z chromosome (Bellott et al.,  
445 2010), indicating that antagonistic coevolution is unlikely to be a major factor influencing  
446 gene amplification on the W. Together, our results indicate that large scale expansions of  
447 gene families does not always occur in parallel with sex chromosome degeneration and so  
448 may not be such a general feature of sex chromosome evolution as Y studies would initially  
449 suggest.

450

451 Finally, as the W chromosome is maternally inherited it is not subject to sperm competition,  
452 a factor which has been hypothesised, with mixed empirical support, to drive the expansion  
453 of Y-linked gene families (Hughes et al., 2010; Vegesna et al., 2020). However, in theory, sex-  
454 specific selection for increased expression of genes associated with fecundity could drive  
455 amplification of gene families on the W chromosome, analogous to the hypothesised role of  
456 sperm competition on the Y chromosome (Hughes et al., 2005). In order to examine the  
457 factors driving the evolution of multi-copy gene families, we contrasted copy number of  
458 HINTW across breeds of the duck and chicken. Specifically, we chose breeds that have been  
459 subject to stronger or relaxed female-specific selection. In theory, sex-specific selection for  
460 increased expression of genes associated with fecundity could drive amplification of gene  
461 families. This seems particularly relevant for HINTW, which is expressed in the developing  
462 ovaries (O'Neill et al., 2000) and hypothesized to play a role in female reproduction (Ceplitis  
463 & Ellegren, 2004; O'Neill et al., 2000). Furthermore, increased copy number of Y-linked genes  
464 has been shown to result in greater gene expression level across primates, although this  
465 pattern is not universal across all gene families (Vegesna et al., 2019; Yan et al., 2017).  
466 However, in general there is uncertainty over whether the W chromosome is subject to

467 female-specific selection, and is enriched for female reproductive functions (Moghadam et  
468 al., 2012), or subject to purifying selection for dosage effects (Bellott et al., 2017; Smeds et  
469 al., 2015; Xu et al., 2019; Xu & Zhou, 2020).

470 We find that HINTW copy number across duck breeds and individuals is remarkably  
471 conserved, in contrast to ampliconic gene families of equivalent size on the mammalian and  
472 *Drosophila* Y chromosomes (Bachtrog, 2013). We were unable to identify any sequence  
473 polymorphism across copies of HINTW, indicative of persistent gene conversion. While gene  
474 conversion is unlikely to explain the origin of multi-copy gene families, because it acts at a  
475 scale of a few hundreds of bases as opposed to a much larger scale of whole gene duplicates  
476 (Chen et al., 2007; Connallon & Clark, 2010; Marais et al., 2010), it has been proposed to select  
477 for the maintenance of ampliconic gene families and has been shown to operate across  
478 HINTW copies in a number of avian species (Backström et al., 2005). However, it is worth  
479 noting that the duck HINTW fragment in our study was only 702 bp, lowering the probability  
480 of finding a SNP in this gene and increasing our chances of inferring the action of gene  
481 conversion. Together, our results are inconsistent with the role of female-specific selection in  
482 driving the evolution of HINTW copy number in the duck. Instead, the conservation in copy  
483 number we observe across breeds suggests that HINTW copy number is under strong  
484 purifying selection. This is consistent with a number of recent studies showing that the avian  
485 W chromosome evolves predominantly under purifying selection to maintain ancestral gene  
486 dosage (Bellott et al. 2017; Bellott & Page, 2021; Smeds et al., 2015; Wright et al., 2014).

487 In contrast, in the chicken, we find notable variation in HINTW copy number across breeds.  
488 Breeds subject to female-specific selection tend to exhibit a greater number of HINTW copies  
489 This is consistent with the prediction that the chicken HINTW plays a role in female fecundity  
490 (Ceplitis & Ellegren, 2004; O'Neill et al., 2000). However, there is considerable variation in this  
491 trend, potentially indicating that female-limited selection is not the dominant force driving  
492 the evolution of HINTW.

493 The discrepancy between levels of variation in the size of the HINTW gene family in the  
494 chicken and duck is intriguing, particularly as large-scale gene amplification likely occurred in  
495 the ancestor of non-ratite birds (Hori et al., 2000). While evidence from the chicken indicates  
496 that HINTW plays a role in oogenesis (Ceplitis & Ellegren, 2004; O'Neill et al., 2000), evidence

497 for functionality of HINTW in the duck is lacking. In fact, HINTW in the duck has been shown  
498 to lack the C-terminal 14 residues (Hori et al., 2000). HINTW forms a heterodimer with, and  
499 inhibits HINTZ in the chicken (Hori et al., 2000), and it is possible that the deletion in the duck  
500 has altered its ancestral functionality. Alternatively, it is possible that HINTW may have  
501 evolved differential gene expression across duck breeds without a corresponding increase in  
502 copy number. Consistent with this explanation, many W-linked genes have evolved increased  
503 expression in the chicken embryonic gonad in response to female-specific selection relative  
504 to the modern ancestor Red Junglefowl in the absence of copy number variation (Moghadam  
505 et al., 2012). It is also possible that the chicken has been subjected to stronger or more  
506 consistent sex-specific selection regimes than the duck, although evidence for this is currently  
507 lacking. Similarly, it is possible that the timing of domestication differs between the duck and  
508 chicken breeds in our study, or that there are differences in the extent of interbreeding.  
509 Although the exact breed history of chicken and ducks is obscure, evidence indicates that  
510 duck breeds selected for egg laying and meat production form two monophyletic groups that  
511 split early in duck domestication approximately 2200 years ago (Zhang et al., 2018).  
512 Therefore, we think that the lack of inter-breed copy number variation in the duck is unlikely  
513 to be a consequence of more recent origin or greater levels of interbreeding, although we  
514 cannot rule out this possibility.

515 In addition, we find that gene amplification has proceeded independently on the chicken or  
516 duck W chromosome (Van Tuinen & Hedges, 2001). When we contrast copy number  
517 estimates from previous work for the chicken (Moghadam et al., 2012) with our study, we  
518 find that W genes tend to duplicate independently, albeit at low copy number, in each species  
519 separately (Table 1). This suggests that the W is not an inert genetic wasteland but seems to  
520 evolve dynamically even after recombination was halted between the sex chromosomes.

521 Lastly, it is worth discussing the difficulties and limitations associated with studying copy  
522 number variation in ampliconic gene families. First, while our NanoString probe-based  
523 approach offers high-throughput fine-scale estimates of gene copy number and variability,  
524 we were not able to distinguish between functional and non-functional gene copies. This is  
525 particularly relevant for our conclusions surrounding the evolution of HINTW in the duck.  
526 Furthermore, it is not possible to detect gene copies with sequences that are substantially

527 divergent from the probe sequences used. However, gene conversion should homogenise the  
528 sequence of gene copies, limiting the potential for this to confound our results. Finally, there  
529 is evidence that certain ampliconic genes on the Y are lineage-specific, for instance Sly and  
530 Slx are specific to the mouse lineage (Moretti et al., 2020). The list of W-linked genes we  
531 included in our analyses is not exhaustive (Wright et al., 2014) due to the challenges of  
532 sequencing sex chromosomes. Expanding the scope of this work to test whether lineage-  
533 specific loci are more likely to undergo massive scale amplification would be an interesting  
534 future avenue.

535

### 536 **CONCLUDING REMARKS**

537 Massive gene amplification is a characteristic feature of Y chromosome evolution. However,  
538 until now, it has remained unclear whether gene duplication is as prevalent on the W  
539 chromosome. We reveal that on the duck W chromosome, only two out of 26 W-linked genes  
540 show evidence of gene duplication. We hypothesise that this may be because genetic drift is  
541 reduced on the W relative to Y chromosomes, and we find limited variation of within-species  
542 gene copy number consistent with purifying selection. Contrary to this, we find some  
543 evidence that expansion of the HINTW gene family has evolved in response to female-specific  
544 selection for egg laying in the chicken but not the duck, calling into question the broad  
545 functionality of this prominent gene family. Taken together, our results suggest that in terms  
546 of gene duplication, the W chromosome follows a different evolutionary trajectory to that of  
547 the Y.

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#### 563 **DATA AVAILABILITY**

564 The raw data underlying these analyses is available in Dryad, DOI:  
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814 **Table 1. Copy number of W-linked genes across duck breeds.**

Gene name	Duck Ensembl ID	Average copy number					Δ Copy number	Coefficient of variation	Stratum <sup>^</sup>
		Mallard	Caguya	Aylesbury	Indian Runner	Khaki Campbell			
HINTW <sup>+</sup>	NA	18.03	16.35	16.57	17.22	16.83	1.68	0.04	1
CHD1W*	ENSAPLG05191	0.94	0.97	0.98	0.99	0.98	0.05	0.09	1
	ENSAPLG02506								
KCMF1W	ENSAPLG03026	2.43	2.59	2.65	2.63	2.63	0.20	0.10	2
	ENSAPLG03106								
RASA1W	ENSAPLG05611	0.64	0.69	0.70	0.69	0.70	0.06	0.11	2
	ENSAPLG10611								
ATP5A1W <sup>+</sup>	ENSAPLG10611	0.82	0.79	0.84	0.85	0.83	0.06	0.07	3
	ENSAPLG09007								
BTF3W	ENSAPLG04652	0.65	0.60	0.64	0.65	0.65	0.05	0.06	3
HNRPKW**	ENSAPLG10986	0.97	1.00	1.09	1.02	1.05	0.12	0.11	3
MIER3W <sup>+</sup>	ENSAPLG10850	0.62	0.61	0.65	0.62	0.63	0.04	0.08	3
	ENSAPLG02953								
NIPBLW	ENSAPLG03022	0.67	0.69	0.71	0.69	0.70	0.04	0.09	3
	ENSAPLG05315								
	ENSAPLG10290								
	ENSAPLG10560								
SMAD2W	ENSAPLG04964	0.69	0.72	0.74	0.71	0.71	0.05	0.09	3
SPIN1W*	ENSAPLG02923	0.63	0.61	0.64	0.66	0.64	0.05	0.08	3
	ENSAPLG16004								
UBAP2W	ENSAPLG16155	0.61	0.58	0.59	0.60	0.60	0.03	0.06	3
UBE2R2W	ENSAPLG16000	0.76	0.74	0.76	0.78	0.76	0.04	0.07	3
VCPW <sup>+</sup>	ENSAPLG05806	0.91	0.84	0.90	0.91	0.90	0.07	0.06	3
ZFRW*	ENSAPLG15519	0.67	0.68	0.69	0.70	0.68	0.03	0.08	3
ZSWIM6W	ENSAPLG13555	0.77	0.80	0.80	0.83	0.80	0.06	0.09	3
	ENSAPLG14338								

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816 \* q-PCR analysis showed variation in copy number of ortholog across chicken breeds (Moghadam *et al.* 2012)

817 + SNP analysis showed chicken ortholog is multicopy (Moghadam *et al.* 2012)

818 ^ Anseriform strata as defined by Wright *et al.* 2014 Evolution. Strata 1 & 2 are conserved in chicken and duck  
819 but Stratum 3 evolved independently.

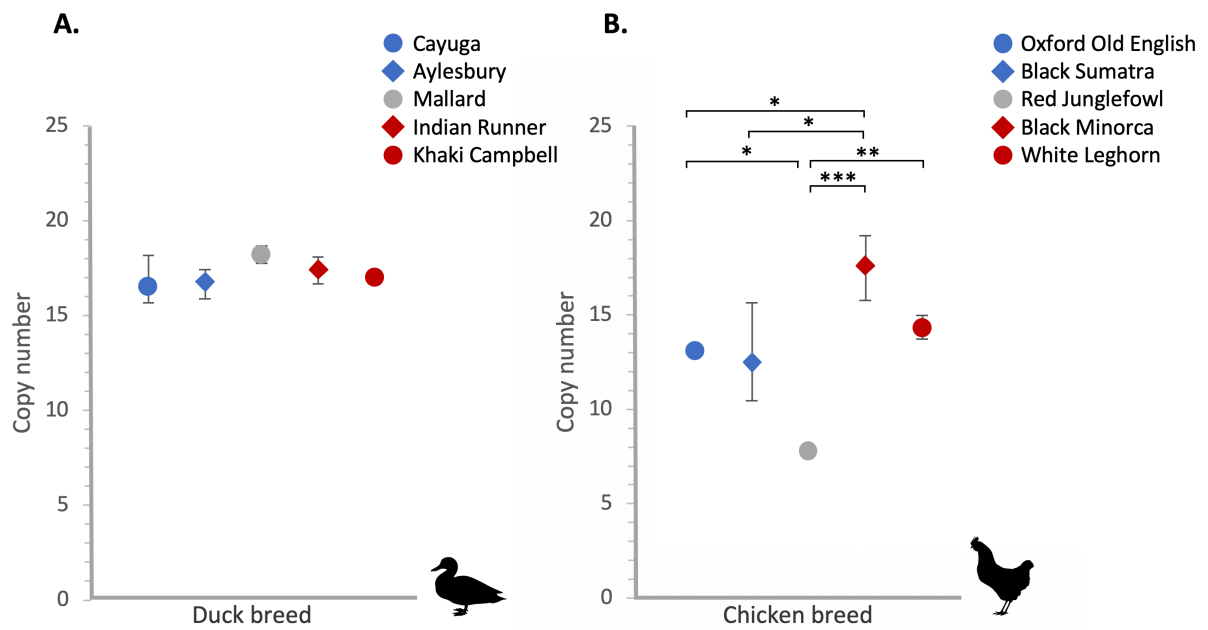
820 *Note:* six zeros have been removed from start of the digits in the Ensembl IDs.

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827 **Figure 1. Copy number variation of HINTW across (A) duck and (B) chicken breeds.** Copy

828 number was estimated using the NanoString nCounter platform. Each circle or diamond

829 represents the mean HINTW copy number per breed, and bars show the range of HINTW copy

830 number across individuals. Blue markers represent breeds subject to relaxed female-specific

831 selection, red markers represent female-selected breeds, and grey markers denote the

832 modern ancestor for each bird species. Stars indicate pairwise significance values from Tukey

833 multiple comparisons of means where \*  $p < 0.05$ , \*\*  $p < 0.01$  and \*\*\*  $p < 0.001$ .

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