

The European Commission, Horizon and open access

Oxford Festival of Open Scholarship 2022


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March 17th 2022 – 10:00-11:00

 This session will be recorded



Join us for more events at:
<http://openaccess.ox.ac.uk/oxfos>

 Slides and handouts will be distributed after the session



If you would like to tweet about this session please use: #OxFOS22

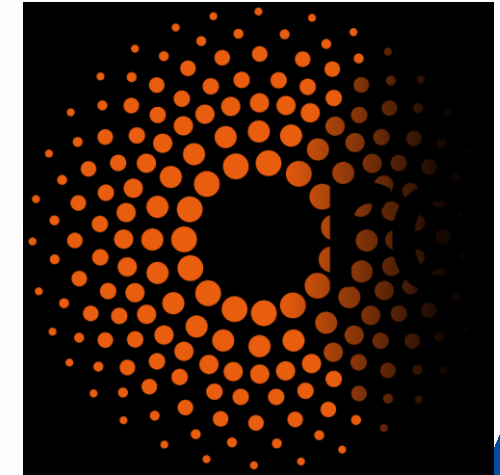


A brief note on structure

EC Programmes



Requirements are embedded in your grant agreement and depend on the Framework Programme (and in some cases, the call) under which you obtained funding.



Horizon 2020 – Applies to

Results to which online access can be given:

- **Peer reviewed scientific publications**
- Digital data

Other engineered outcomes and processes such as:

- Software
- Algorithms
- Protocols
- Models
- Workflows
- Electronic notebooks

Includes **long text publications**:

Research outputs such as **books/monographs** and **edited volumes**, which normally examine one or more related research issues in depth and are significantly lengthier in terms of words and pages than single articles.

Note: In Horizon 2020, **book chapters** are considered long-text publications.

Does not include: Review papers nor books of compiled prior published articles



Horizon 2020 Requirements



Acknowledge funder (see: <http://openaccess.ox.ac.uk/templates>).

Deposit into a 'trusted repository' (e.g. Zenodo or EPMC).

For immediate OA deposit the version of record as soon as possible and at the latest on publication.

Researchers are **encouraged** to retain copyright and publish under a liberal licence (CC BY attribution) but other creative commons licences allowed.

For self-archiving open access an embargo of **no more than 6 months** for STEM and **12 Months** for HUMSS is allowed.

HORIZON
2020



Immediate OA via publisher

1. Choose a venue offering OA
2. After acceptance deposit publication in a repository
3. Provide open access through the repository on publication

No immediate open access via the publisher

1. Self-archive publication in a repository on acceptance
2. Provide open access to the publication via the repository within 6 months (STEM) 12 months (HUMS)

Fees must be budgeted and paid for out of the H2020 grant during the lifetime of the grant – **there is no Oxford held block grant.**

If the publication falls outside the lifetime of the grant, there is no embargo limit on the self-archive option (allowed by most publishers). No funds are provided.

Horizon Europe – Applies to

Results to which **online access** can be given:

- Scientific publications
- Digital data (not covered in this session)

Other engineered outcomes and processes such as:

- Software
- Algorithms
- Protocols
- Models
- Workflows
- Electronic notebooks

Includes long text publications:

Research outputs such as **books/monographs** and **edited volumes**, which normally examine one or more related research issues in depth and are significantly lengthier in terms of words and pages than single articles.

Book chapters are now considered under short form publications.

Does not include: Review papers and books of compiled prior-published articles



**Horizon
Europe**
THE NEXT EU RESEARCH & INNOVATION
PROGRAMME (2021 – 2027)

Horizon Europe – Requirements

Acknowledge funder (see: <http://openaccess.ox.ac.uk/templates>).

Deposit into a 'trusted repository' (e.g. ORA or Zenodo).

- For immediate OA (aka Gold/Hybrid) deposit the version of record **as soon as possible** and at the latest on publication.
- For accepted manuscript deposit (aka Green) **no embargo is permitted**

Short form publications (incl. chapters) **apply a CC BY licence**.
Alt. creative commons licences permitted for Long form publications.

The authors of the publication must **retain sufficient intellectual property rights** to allow compliance with the requirements of the grant agreement.

Information must be provided on research outputs or any other tools and instruments needed to validate the conclusions of the scientific publication.



THE NEXT EU RESEARCH & INNOVATION
PROGRAMME (2021 – 2027)

**Horizon
Europe**

Horizon Europe – Routes to OA

Immediate OA via publisher

1. Choose a venue offering OA
2. After acceptance deposit publication in a repository
3. Provide open access through the repository on publication

No immediate open access via the publisher

1. Self-archive publication in a repository on acceptance
2. Provide open access to the publication. No embargo permitted

Publishing fees are eligible costs if **incurred during the lifetime of the project** and in line with the provisions in the grant agreement.



THE NEXT EU RESEARCH & INNOVATION
PROGRAMME (2021 – 2027)

Horizon Europe

Payment of Open Access costs

HE grants cover:

Publishing in full open access venues
(includes mirror/sister journals)

Costs include:

- Article processing charges
- Book processing charges
- Other publishing fees
 - Colour/page charges
- Other publishing fees

**During the lifetime
of the grant**

Costs HE grants **will not** cover

- Payments to subscription journals
(this includes **Hybrid** and **Transformative** journals)
- Books that are not fully open access
(or for chapters in such books)
- “Publishing fees for open access
books are in principle eligible to the
extent that they cover the costs of the
first open access digital edition.
Printing fees for monographs and
other books are NOT eligible.”



**Horizon
Europe**

THE NEXT EU RESEARCH & INNOVATION
PROGRAMME (2021 – 2027)

Other open science practices

- Early and open sharing of research (e.g. preregistration, registered reports, pre-prints and crowd-sourcing)
- Research output management (beyond publications and data)
- Measures to ensure reproducibility of research outputs
- Providing open access to research outputs beyond publications and research data (for example software, models, algorithms, and workflows)
- Participation in open peer review
- Involving all relevant knowledge actors including citizens, civil society and end users in the co-creation of R&I agendas and contents (such as citizen science).

Acknowledging the Elephant



Any questions?

Open access helpdesk

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Open access contacts

openaccess.ox.ac.uk/contact-us

APC Team

apc@bodleian.ox.ac.uk

Open scholarship mailing lists

<https://openaccess.ox.ac.uk/keeping-up-to-date>

EC/ERC policy pages

<http://openaccess.ox.ac.uk/erc-ec>