
























































Overview of the MAST Upgrade physics programme: testing novel concepts at low aspect ratio to inform future devices

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Abstract

The research programme performed on the Mega Amp Spherical Tokamak (MAST) Upgrade experiment has made significant advances in developing the physics understanding of low

aspect ratio tokamaks in support of the operation of ITER and design of fusion powerplants. High performance plasma scenarios have been developed to facilitate a broad programme of experiments, in which confinement is constrained by the presence of $m/n = 2/1$ modes that cause substantial losses of fast ions. The onset of these modes coincides with the $q = 2$ surface residing in a local minimum in the toroidal current density profile. The maximum electron temperature at the pedestal top, $T_{e,\text{ped}}$ is limited with gas fuelling to ~ 350 eV to maintain regular ELMs; higher $T_{e,\text{ped}}$ results in a transition to a non-stationary ELM-free regime. The operational space of spherical tokamaks has been expanded into small and ELM-free regimes. Strong shaping of the last closed flux surface can induce a transition from large to small ELMs, and ELM suppression with resonant magnetic perturbations has been observed for the first time in a low aspect ratio tokamak. Negative triangularity shaping has induced a transition from ELMy H-mode to a high-performance L-mode regime for the first time in a low aspect ratio tokamak. In studies of fast ion confinement, losses of fast particles due to Global Alfvén Eigenmodes have been identified. Interactions between fast ions generated by off-axis neutral beam injection and thermal neutrals can result in significant losses of fast ions. Experiments with on- and off-axis neutral beam injection exhibit a flux pumping mechanism, where the central safety factor is held to ~ 1 in the absence of sawteeth. In studies of pedestal physics, it has been found that elevated main chamber neutral pressures result in an increase in the electron density and reduction in the temperature at the pedestal top. Advances in understanding plasma exhaust include the integration of a high-performance plasma core with detached outer divertors in the X-point target configuration. A newly commissioned lower divertor cryopump reduces the lower divertor neutral pressure by up to 50%, with minimal effect on the main chamber or upper divertor. New measurements and SOLPS-ITER simulations emphasise the importance of plasma–neutral interactions on divertor detachment in the conditions accessible in experiments. Real-time control of the ionisation front location in both divertor chambers independently has been demonstrated in double null experiments, enabled by the tightly baffled divertor chambers.

Keywords: MAST Upgrade, overview, spherical tokamak

(Some figures may appear in colour only in the online journal)

1. Introduction

Mega Amp Spherical Tokamak (MAST) Upgrade [1] (shown schematically in figure 1) is a low aspect ratio tokamak (major radius/minor radius (R/a) = 0.85/0.65 \sim 1.3, plasma current (I_p) \leq 2.0 MA, toroidal field on axis (B_ϕ) \leq 0.8 T, pulse length $<$ 5 s) and one of the largest spherical tokamaks (STs) worldwide, together with NSTX-U [2]. Key features include 22 poloidal field coils to provide considerable flexibility to independently vary the shape of the plasma core and divertors within tightly baffled chambers. Coils to produce non-axisymmetric fields for control of edge localised modes (ELMs) with resonant magnetic perturbations (RMPs) and to correct for intrinsic error fields are available, with two rows of in-vessel coils (four equally spaced toroidally above the mid-plane, eight below) and two pairs of ex-vessel coils respectively. On- and off-axis neutral beam injectors (NBIs) with tangency positions (R , Z) of (0.71 m, 0.0 m) and (0.8 m, 0.65 m) respectively, are external sources of heat and momentum to the plasma and enable studies of the confinement of super Alfvénic fast ions that more closely mimics the confinement of fusion products. An extensive suite of diagnostics is available to support a broad and deep physics programme in these important physics issues for the operation of ITER and the design of future power plants including DEMO [3] and STEP [4].

Recent physics results from MAST Upgrade make significant and unique advances in understanding key physics issues governing the operation of ITER and the design of future fusion power plants. The operating space has expanded considerably thanks to new capabilities including an active lower divertor cryopump to control the neutral pressure and sophisticated real-time equilibrium shape control to reach higher elongation ($\kappa \sim 1.8 \rightarrow 2.5$) and a larger range of triangularity ($\delta \sim -0.15 \rightarrow 0.5$), reaching negative triangularity for the first time in a ST. Operation with Super-X divertor configurations in the attached regime has been achieved with the cryopumped divertor, enabling studies of detachment onset. The plasma conditions in the divertors and main chamber can be effectively decoupled, due to the tightly baffled divertor chambers, which is rarely observed in current devices and enables pioneering studies of core-edge-divertor integration. Recent results from MAST Upgrade are presented, emphasising results that develop the physics basis for STs and future high beta devices.

2. Integrated plasma scenarios

The development of high-performance plasma scenarios 50796, 504 provides the foundations for the wider research programme. The integrated scenarios programme has two main goals: to develop reproducible scenarios that

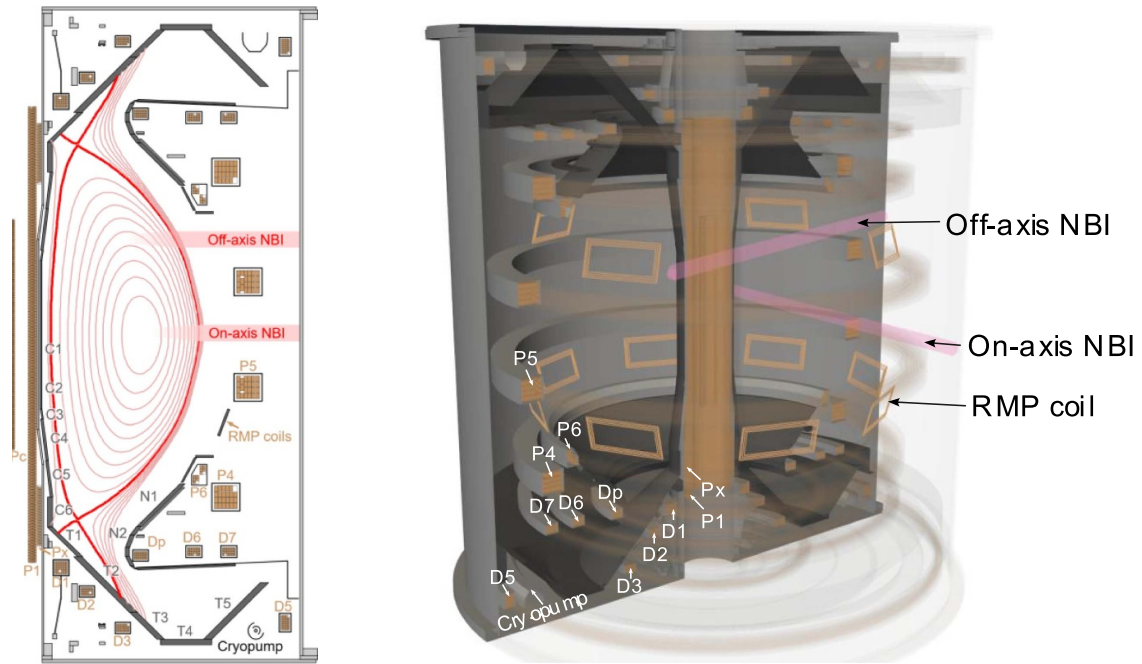


Figure 1. Left—poloidal cross-section of MAST Upgrade. The central solenoid (P1), poloidal field coils to shape the plasma core (P4, P5), control the plasma vertical position (P6), inner gap (Pc, Px) and the divertor configuration (D1–D7) and graphite tiles lining the centre column (C1–C6), main divertor tiles (T1–T5) and on the divertor nose (N1–N2) are labelled. The components in the lower half of the machine are identical to their counterparts in the upper half. Right—3D representation of MAST Upgrade with the neutral beams, RMP coils and poloidal field coils labelled.

maximise confinement of thermal and fast particles and magnetohydrodynamic (MHD) stability, and to develop strongly shaped, highly elongated plasmas with high central safety factor (q_0) to explore stability and confinement with more reactor-relevant q profiles (e.g. compared with STEP [4] and other ST-based concepts [5]) and shape of the plasma boundary. In both scenarios, significant effort was dedicated to optimising the early ramp-up phase to tailor the equilibrium q profile. Real-time control of the line-integrated density at the mid-plane has been developed for use in L-mode plasma scenarios. The feedback controller uses real-time measurements from an interferometer at the midplane and a proportional integral controller developed using system identification experiments to ascertain the response of the plasma density to time-varying gas fuelling [6].

In the high confinement scenario, the I_p ramp-up phase was developed with the CREATE-ILC (Iterative Learning Control) tool [7] with the currents in the poloidal field coils and central solenoid placed under feedforward control, transitioning to feedback in the plasma current flat-top phase. The target flat-top plasma current and toroidal field on axis were 0.75 MA and 0.65 T respectively to achieve conditions optimal for studies of plasma exhaust [8] and more favourable MHD stability. The ramp-up phase is shown to be very robust to changes in vacuum conditions and the timing of neutral beam injection (see figure 2).

The conditions required for reliable plasma breakdown were guided by the DYON code [9] that has been successfully tested against experiments performed on MAST and MAST Upgrade. Internal reconnection events, disruptive events often

triggered when the equilibrium q profile exhibits strong reverse shear, have been avoided despite having a relatively high plasma current ramp rate, up to $\sim 6 \text{ MA s}^{-1}$. Equilibrium shape controllers in the flat-top phase were developed using the TokSys framework [10, 11] to enable fine control of the shape of the plasma boundary and divertor configuration independently. Careful tailoring of the shape of the last closed flux surface and fuelling of the plasma core are required in H-mode to achieve steady type-I ELMs throughout the flat-top phase. High triangularity and/or squareness can result in a transition to a type-II ELMing regime and low fuelling can result in high pedestal temperatures ($T_{e,\text{ped}} > 350 \text{ eV}$) that stimulates a transition to an ELM-free regime (see figure 3). This transition from ELMing to ELM-free pedestals is consistent with toroidal mode number ($n = \infty$) ballooning stability calculations showing that the edge of the plasma is unstable to these modes, which are commonly used as a proxy for kinetic ballooning modes (KBMs, e.g. [12]). These KBMs can increase radial transport across the pedestal to limit the pedestal pressure gradient, thus avoiding the triggering of ELMs. The thermal and fast ion confinement is limited by $m/n = 2/1$ (where m is the poloidal mode number) tearing modes that are ubiquitous in neutral beam heated H-modes on MAST Upgrade and are described in more detail in section 5.

A plasma scenario with high central safety factor (q_0) was developed in parallel with its high confinement counterpart described earlier in this section, sharing developments that would benefit the other, as both scenarios have identical flat-top plasma current and toroidal field on axis. Consequently, the operating space of MAST Upgrade, illustrated in figure 4,

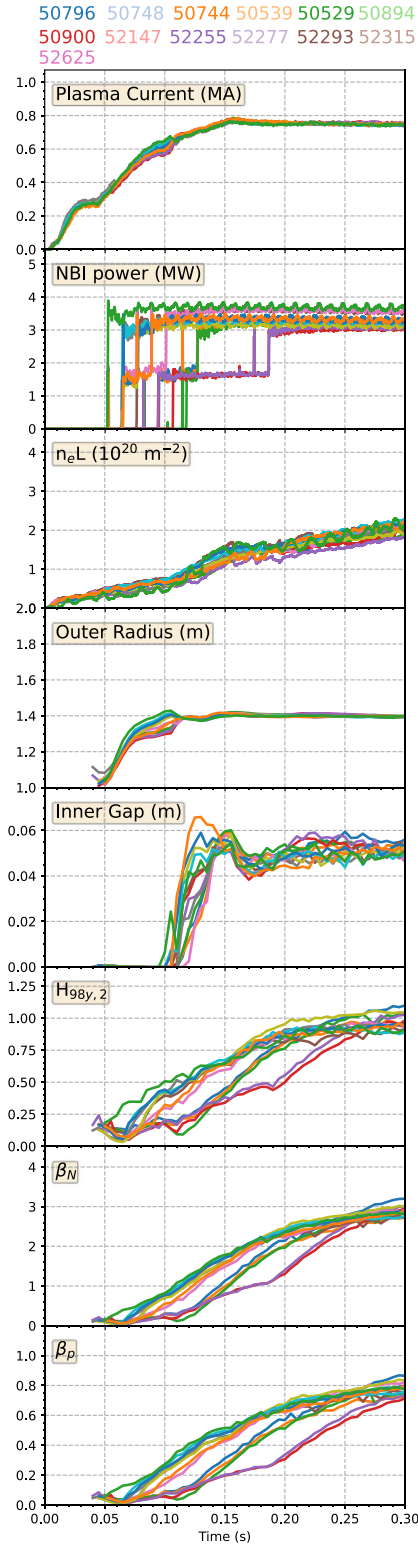


Figure 2. Sensitivity of the ramp-up phase of the high confinement scenario to variation in neutral beam timing, showing, starting from the upper plot, the plasma current evolution and inner and outer radii, normalised energy confinement, normalised β and poloidal β .

expanded towards higher elongation (κ , defined as the ratio of the vertical and radial extent of the last closed flux surface). The plasma breakdown phase is performed at lower solenoid pre-magnetisation current to enable the formation of

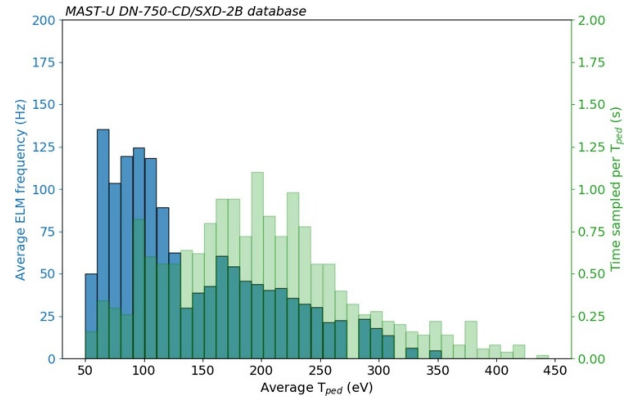


Figure 3. Dependence of ELM frequency on the electron temperature at the top of the pedestal.

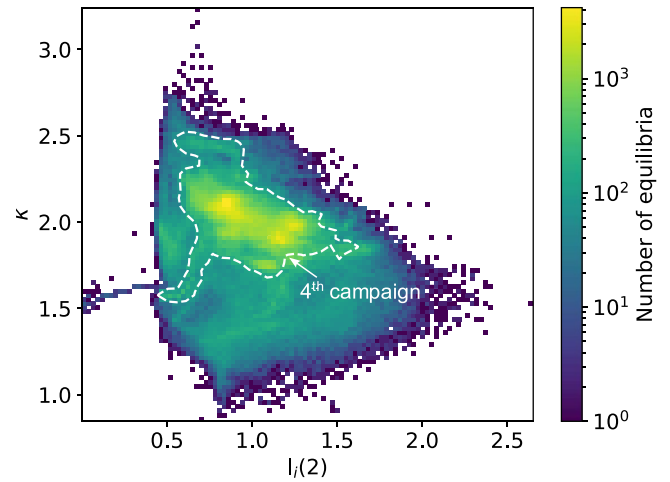


Figure 4. Operating space of MAST Upgrade to date, in terms of internal inductance and elongation from equilibrium reconstructions constrained by external magnetic flux measurements. The operating space in the most recent 4th physics campaign is highlighted, showing an expansion of the operating space toward higher elongation.

a conventional divertor configuration early in the pulse, from ~ 40 ms after breakdown to reduce the influx of impurities from the inner wall during the limiter phase. The plasma is strongly shaped, with elongation $\kappa \sim 2.7$ during the ramp-up phase, reducing to 2.4–2.5 in the plasma current flat-top. The FreeGSNKE free-boundary equilibrium modelling code [13] was used to guide the development of the plasma current ramp-up phase and calculate equilibrium shape controllers. Strong shaping, coupled with early neutral beam injection, facilitates the formation of a hollow current profile and high q_0 . For example, $q_0 \sim 2.0$ at 0.2 s in a typical high confinement scenario compared with 0.4 s in the high q_0 scenario. However, a hollow current profile forms in the high q_0 scenario that results in a strongly reverse shear q profile, which in turn can result in internal reconnection events when on-axis and off-axis neutral beam injection is applied. These internal reconnection events result in significantly reduced q_0 and can terminate the pulse if they are of sufficiently large amplitude. These reconnection events were avoided by increasing gas fuelling, which in turn reduces the temperature profile.

The development of alternative divertor configurations was facilitated by use of a new Tokamak Exhaust Designer tool [14]. It represents the flux from the poloidal field coils used to shape the plasma in terms of spherical harmonics, which significantly accelerates the calculations of the coil currents required to produce a given divertor configuration whilst preserving the shape of the plasma core, compared with equivalent calculations performed using typical free-boundary equilibrium codes. It can flexibly accommodate multiple constraints provided by the user, including limits on the allowable coil currents, position of the separatrix and isoflux surfaces, poloidal flux expansion and radial and vertical magnetic field components, including the position of null points. The output from the Tokamak Exhaust Designer has been used to calculate shape controllers to form a specified divertor configuration, under feedback or feedforward control.

3. Thermal confinement

A significant advantage of low aspect ratio devices is their ability to access high β (defined as the ratio of the plasma pressure and the pressure exerted by the confining magnetic field), offering a potentially economically attractive route to fusion energy production [4]. Predicting energy confinement in high β conditions with gyrokinetic models is challenging, as the electrostatic ion and electron temperature gradient driven modes that can govern confinement at low β can be replaced by electromagnetic instabilities such as microtearing and KBMs [15, 16]. Studies on MAST Upgrade have concentrated on accessing high β conditions and characterising turbulent transport to facilitate comparison with predictive simulations. For example, simulations of turbulent transport in the STEP device [17] predict strong turbulent heat fluxes over a range of β_e (defined as the ratio of the electron thermal pressure and the pressure exerted by the confining magnetic field) before reducing to more moderate values at higher β_e . The transition to the regime with moderate heat fluxes at higher β_e occurs due to the stabilisation of KBM-like modes, which is connected to the ideal ballooning mode (IBM). In a high β experiment performed on MAST Upgrade, shown in figure 5, it is demonstrated that part of the β_e profile lies on the ideal ballooning stability boundary where high heat fluxes would be expected in STEP-like conditions. It is posited that MAST Upgrade can access these conditions due to the strong toroidal flows and flow shear, present in current experiments due to strong torque injection from the neutral beams. The absence of strong flow shear would result in values of β_e unstable to the IBM to be inaccessible. A central aim of future experiments on MAST Upgrade will be to explore the roles of rotation and rotation shear on transport and confinement and their dependence on β_e to test these predictions.

The impact of stochastic magnetic field on electron heat transport in MAST and MAST Upgrade has been investigated over a range of collisionality. The physics guided Rechester–Rosenbluth (RR) model is used to describe the stochastic field contribution to electron heat transport, and to

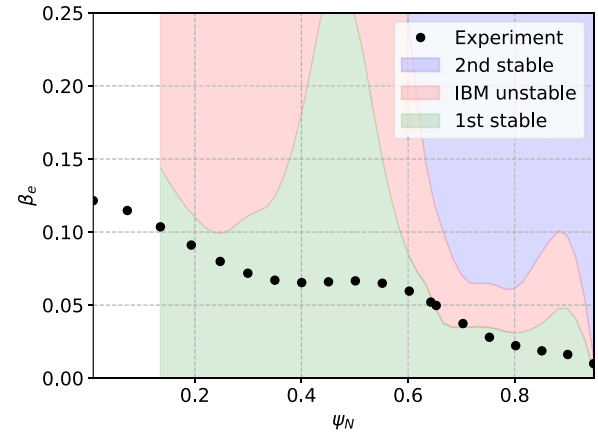


Figure 5. A profile of electron beta, β_e , from shot 48 657 at 0.6s. The parts of the profile that are stable to ideal ballooning modes (IBM, white), unstable (red) and in the 1st and 2nd stable regions (green and blue respectively) are highlighted.

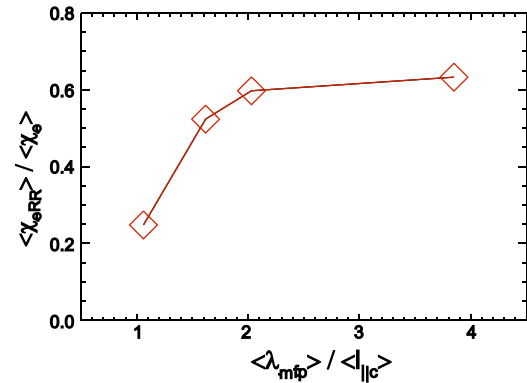


Figure 6. Ratio of the stochastic $\langle \chi_{eRR} \rangle$ to total $\langle \chi_e \rangle$ radial heat diffusion coefficients as a function of the ratio ion–electron collision mean free path λ_{mfp} to a characteristic length scale along the magnetic field l_{lc} .

supplement TGLF reduced model predictions of the transport from electrostatic turbulence. In the framework of the transport, results show a trend for which the importance of the stochasticity with respect to the other electrostatic/electromagnetic instabilities is established as a function of two important parameters represented by the mean free path for ion–electron collisions, λ_{mfp} , and a characteristic length scale l_{lc} along the magnetic field direction [18]. Figure 6 shows the ratio between the average of stochastic diffusion coefficient $\langle \chi_{eRR} \rangle$ and the total diffusion coefficient $\langle \chi_e \rangle$ as a function of the ratio $\langle \lambda_{mfp} \rangle / \langle l_{lc} \rangle$. The average has been performed in the central radial region $r/a = [0.45, 0.75]$. A similar trend has been obtained by averaging diffusion coefficients on the complete radial domain until boundary conditions fixed at $r/a = 0.9$. It emerges that by moving from a more collisional regime in which $\langle \lambda_{mfp} \rangle \approx \langle l_{lc} \rangle$ towards a collisionless regime in which $\langle \lambda_{mfp} \rangle \gg \langle l_{lc} \rangle$ the importance of stochastic transport increases. This suggests it may be possible to quantify the role of stochasticity in the different scenarios in tokamaks, and in particular in STs.

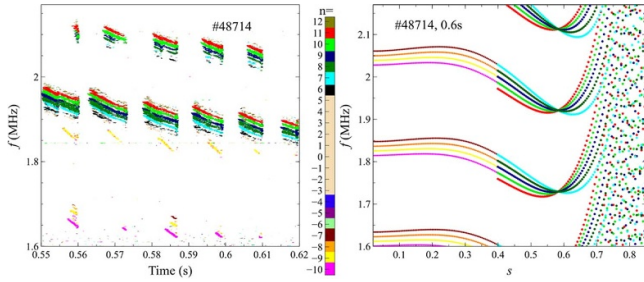


Figure 7. Left: measured frequencies and toroidal mode numbers of modes in the MHz range in pulse number 48 714. Right: global Alfvén continua calculated for this pulse at $t = 0.6$ s, Reproduced from [20]. © 2024 The Author(s). Published by IOP Publishing Ltd on behalf of the IAEA. [CC BY 4.0](https://creativecommons.org/licenses/by/4.0/).

4. Fast ion confinement

The confinement of energetic particles due to external heating in current devices and fusion reactions in future devices is critical for efficient plasma heating and current drive and to avoid excessive wall loads from lost fast ions. Modes with frequencies typically ranging from 1 to 2 MHz (up to around half the on-axis ion cyclotron frequency) are excited in most MAST Upgrade pulses with either on-axis only or on-axis and off-axis neutral beams and have previously been shown to cause fast ion losses [19]. Analysis of these pulses has shown that the modes have frequencies lying close to extrema in the global Alfvén eigenmode (GAE) continuum (figure 7), and eigenmodes at these frequencies have been found using the MISHKA linear ideal MHD code [20]. It is concluded from this analysis that the observed modes are indeed GAEs rather than compressional Alfvén eigenmodes (CAEs).

The appearance of these modes in the ion cyclotron range has motivated work to extend the linear theory of wave-particle interactions to modes of arbitrary frequency [21]. This study indicates that the resonance maps, and hence the expected fast ion losses, associated with GAEs and CAEs in general differ, suggesting that fast ion loss measurements could provide an additional means of distinguishing the two types of mode.

Charge-exchange (CX) with slow edge neutrals is a significant cause of fast ion losses in MAST Upgrade, particularly those originating from the off-axis beam, and an accurate description of this process is needed for fully quantitative modelling of plasma performance. To this end, dedicated experiments have been performed using both high-field side (HFS) and low-field side (LFS) gas fuelling to study CX losses of fast ions [22]. In these pulses a resistive bolometer normally used to record radiation losses was repurposed as a fast neutral diagnostic. The orbit-following ASCOT code, which can model neutralisation and reionisation of the fast ions, was used to simulate the fast neutral power load on the bolometer. In the case of HFS fuelling, a comparison between measured and simulated bolometer loads led to the conclusion that around 10% of the off-axis beam power was lost due to CX. For LFS fuelling, the comparison is complicated by toroidal asymmetries in the neutral density distribution, but it is clear that the CX losses are much higher in this case, up to about 50% of the off-axis beam power.

The first measurements of fusion protons have been obtained using a diamond detector that is more resilient to the environment of a fusion device, less sensitive to temperature variations than conventional silicon detectors, and offers improved reliability [23]. Considering these findings, an Upgraded proton detector has been developed that only contains diamond-based detectors. Measurements of fast ion losses with a Fast Ion Loss Detector have been tested against ASCOT simulations, to enable studies of the interaction of MHD instabilities on fast ion confinement, including type-III ELMs that result in a 25%–30% enhancement of fast ion losses from passing orbits [24].

5. MHD stability and disruptions

Studies of MHD stability on MAST Upgrade have concentrated on understanding and avoiding performance-limiting modes towards improving confinement of thermal and energetic species. As mentioned in section 2, $m/n = 2/1$ modes are commonly observed in neutral beam heated H-mode plasmas and can result in significant degradation of confinement of thermal and fast ions. A summary of a typical pulse exhibiting 2/1 mode activity is shown in figure 8. The appearance of the mode typically coincides with a strong deceleration of the toroidal rotation at the magnetic axis, matching the rotation velocity at the $q = 2$ flux surface later in the pulse. Once the rotation profiles have stabilised, the stored energy and the stored energy normalised to the ITER IPB98y,2 scaling ($H_{98y,2}$) [25] can decrease by up to 40% and the neutron rate (not shown) by up to 50%. The onset conditions for 2/1 instabilities on MAST Upgrade are similar to those observed in the DIII-D ITER baseline scenario [26], where the $q = 2$ flux surface is in close proximity to a local minimum in the toroidal current density profile (e.g. where $dJ_\phi/d\psi_N = 0$). Experiments have been performed to modify the toroidal current density profile to postpone or avoid the onset of 2/1 modes, including applying vertical shifts and oscillations to the plasma to modify the profile of the neutral beam driven current and avoiding or delaying the L–H transition with gas fuelling from the LFS [27] to modify the edge bootstrap current. These techniques have not robustly avoided the onset of these modes, but can temporarily disrupt the 2/1 mode after it appears, resulting in a transient $\sim 15\%$ increase in β_N before the 2/1 mode is re-established. As mentioned in section 2, the onset of 2/1 modes can be postponed via developing plasma scenarios optimised to produce an elevated q profile, in particular maximising elongation in the plasma current ramp-up and flat-top phases.

Understanding and predicting the evolution of the equilibrium q profile is an important goal of scenario modelling, due to its strong role in governing stability and confinement. Experiments performed with only on- or off-axis neutral beam heating can result in a sawtooth phase. However, combined on- and off-axis neutral beam injection can facilitate access to a ‘flux pumping’ regime where $q_0 \sim 1$ in the absence of sawteeth [28], in contradiction to neoclassical current diffusion models that predict q_0 drops monotonically below 1 as the current density profile becomes more peaked (a typical

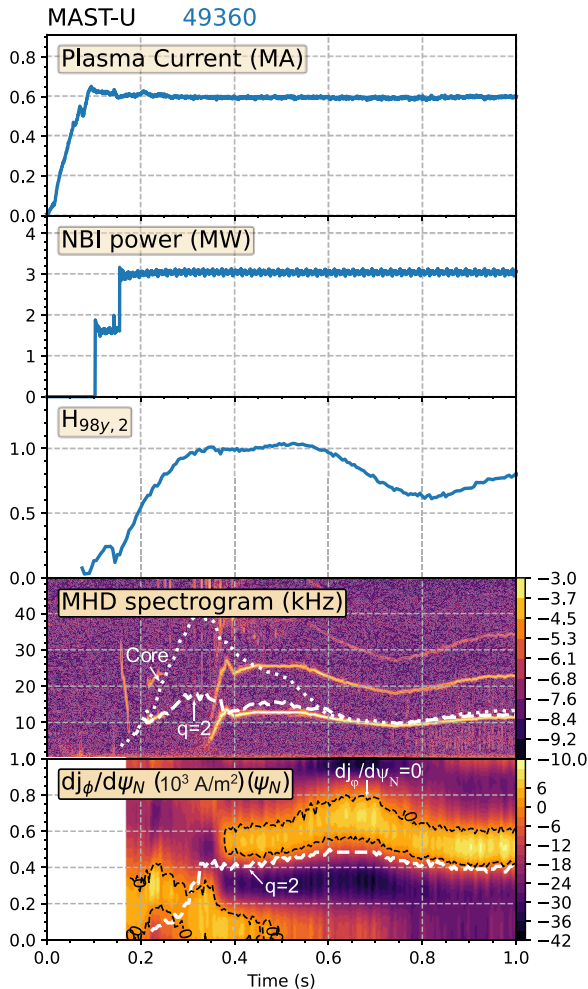


Figure 8. Summary of a typical NBI heated H-mode scenario. An MHD spectrogram (4th sub-figure) shows the toroidal rotation of the plasma core and $q = 2$ flux surface, showing a rapid deceleration of the core rotation after 400 ms, when the 2/1 mode appears. The appearance of this mode coincides with the $q = 2$ flux surface being in close proximity to a region where $dj_\phi/d\psi_N = 0$ (5th sub-figure, plotted as a function of normalised flux on the vertical axis).

MAST Upgrade pulse exhibiting flux pumping is shown in figure 9). Conditions to access the flux pumping regime in MAST Upgrade include high β_p , high q_{95} and weakly positive magnetic shear close to the magnetic axis. Flux pumping is observed in the presence of $m/n = 2/1$ modes that are ubiquitous in MAST Upgrade plasmas with strong auxiliary heating. It is not thought that long-lived 2/1 modes are prevalent in high-performance scenarios in STs in general, for example they were not commonly observed in NSTX experiments [29].

Operation at high elongation has been demonstrated to be a viable route to improved stability and performance in STs (e.g. [29]). However, ensuring robust vertical stability can be challenging, as the highest sustainable elongation is dependent on ensuring the radial current density profiles in the core are sufficiently broad (or, equivalently, the internal inductance is sufficiently low). This has motivated the development of advanced techniques to predict the radial current density profile, and in turn the vertical stability of strongly shaped plasmas within the DECAF framework [30] that can be applied to real-time

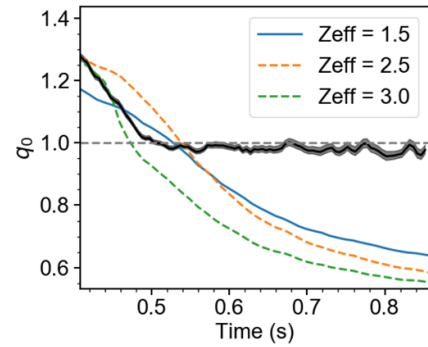


Figure 9. Comparison of the central safety factor, q_0 , inferred from MSE constrained equilibrium reconstruction shown in black with estimates from TRANSP, using the NCLASS model of neoclassical current diffusion and different assumptions for the effective charge (Z_{eff} , blue, orange, green).

control systems. In preparation for the development of real-time disruption avoidance schemes, DECAF has been applied to the MAST Upgrade dataset to identify the chain of events that can result in a disruptive termination of the plasma [31].

6. Pedestal physics

The edge transport barrier that forms at the periphery of the confined plasma in the high confinement (H-mode) regime offers an attractive route to improved global energy confinement, however the steep pressure gradients can give rise to periodic ELM instabilities that transiently reduce confinement at the edge and elevate divertor power loads [32]. Studies on MAST Upgrade have concentrated on developing fundamental understanding of the processes that govern the height and width of the pedestal and avoiding ELMs both theoretically/computationally and experimentally whilst retaining the benefit of improved confinement in H-mode.

A new machine learning (ML) framework HIPED (height and width predictor for edge dynamics), has been designed for predicting and optimising pedestal and core performance in ST plasmas [33]. Using data from the third MAST-U campaign, HIPED demonstrated that the simple power-law relation between pedestal width and height typically used for conventional aspect ratio devices yield low accuracy for STs. When parameters like β_N , elongation, and Greenwald fraction were introduced, the predictive accuracy increased. HIPED also includes the possibility of multi-objective Pareto optimisation, which may allow experimentalists to systematically optimise outcomes by balancing competing goals, such as maximising plasma performance while avoiding ELMs, and identify the necessary control room parameters to achieve those outcomes. For example, discharges were identified from the third MAST-U campaign that lay on the optimised Pareto fronts with different weights on time between ELMs, Greenwald fraction, and β_N , and provided insights on plasma shaping and beam power used to achieve those conditions.

The tightly baffled divertor chambers and flexibility to vary the magnetic configuration within these divertors allows for

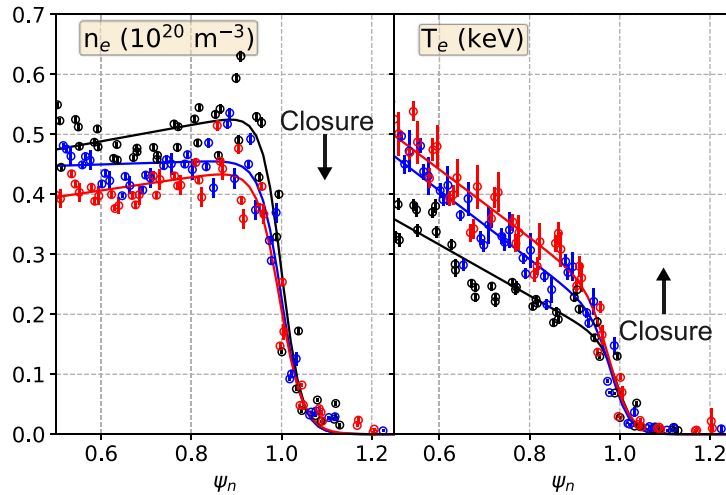


Figure 10. Radial profiles of electron density (left) and temperature (right) as the divertor closure and gas fuelling rate from the divertors are varied. In the most closed divertor configuration, shown in red, the temperature pedestal is highest and density is lowest. As closure decreases, in the blue profiles with a modest reduction in closure, temperature decreases and density increases at constant pressure, but a stronger reduction in closure, shown in black, results in a reduction in the pedestal pressure profile.

detailed studies to understand the impact of fuel atoms and molecules interacting with the pedestal arising from the divertor, via either gas fuelling from the divertor or varying the position of the divertor strike point. Initial results from these studies are shown in figure 10, showing that increasing gas fuelling from the divertors or decreasing the divertor closure reduce the electron temperature at the pedestal top and elevate the pedestal density profile.

Recent experiments have successfully demonstrated access to small ELM (i.e. type-II ELMs or the quasi-continuous exhaust regime [34]) or stationary ELM-free pedestal regimes as a means of retaining the improved confinement of the H-mode pedestal whilst reducing (or eliminating) transient heat loads from ELMs. It has been demonstrated that strong shaping of the last closed flux surface, specifically a combination of either high squareness or high triangularity, can enable access to a small ELM regime, in qualitative agreement with theoretical predictions [35]. In these experiments, stability modelling performed with the ELITE code [36] indicate that the pedestal is limited by peeling-ballooning modes, predominantly by high- n ballooning modes. As the squareness of the last closed flux surface is increased, a transition from type-I to small ELMs occurs, and the stable region of the peeling-ballooning stability space decreases. Elevated D_α emission is observed in the main chamber, suggestive of increased ionisation of neutral particles in the main chamber, and Doppler backscattering measurements indicate there is evidence of increased radial particle transport across the pedestal. Access to a small ELM regime has also been demonstrated via strong gas fuelling from the main chamber, which induces a transition from a type-I ELMing regime. Equilibria with self-consistent bootstrap current are found to be unstable to $n = \infty$ ballooning modes near the separatrix in the small ELM regime and are stable to these modes in the type-I ELMing regime, consistent with studies performed on conventional aspect ratio devices [37].

The application of RMPs has successfully mitigated type-I ELMs using RMPs with toroidal mode number $n = 1$ with static [38], and more recently, toroidally rotating RMP fields. There has been no evidence of RMPs having a significant effect on ELMs by applying $n = 2$ perturbations, which is thought to be due to the interaction of the RMP with the $n = 2$ component of the intrinsic error field produced by small imperfections in the position, orientation or shape of the poloidal field coils used to shape the plasma. The resistive MHD code MARS-F has been extensively used to evaluate the possibility of directly measuring the plasma response in MAST-U, and particularly its variation as function of the applied poloidal spectrum, in order to guide the experimental validation of the predicted best RMP configuration for ELM suppression with $n = 2$ in double null topology [39]. The application of $n = 3$ RMPs has resulted in the successful suppression of ELMs for the first time in a ST. These experiments were performed in a lower single null topology (most STs operate close to a connected double null topology to minimise the heat flux to the relatively small inner divertors) and are summarised in figure 11. Comparison of otherwise similar pulses in the presence and absence of $n = 3$ RMPs show that their application results in a reduction in plasma density, from the centre of the plasma to the pedestal top and deceleration of the toroidal rotation, braking the rotation completely and the elimination of ELMs and an increase in the core ion temperature. Thermal energy confinement initially degrades by $\sim 10\%$ after the RMPs are applied, followed by a recovery of later in the pulse. Thomson scattering measurements of the electron temperature profile indicate the presence of a pedestal when RMPs are applied.

Initial exploration of negative triangularity scenarios have been performed on MAST Upgrade for the first time in a low aspect ratio device [40], to enable detailed studies of the role of aspect ratio on confinement through comparison with negative triangularity experiments performed on higher aspect ratio

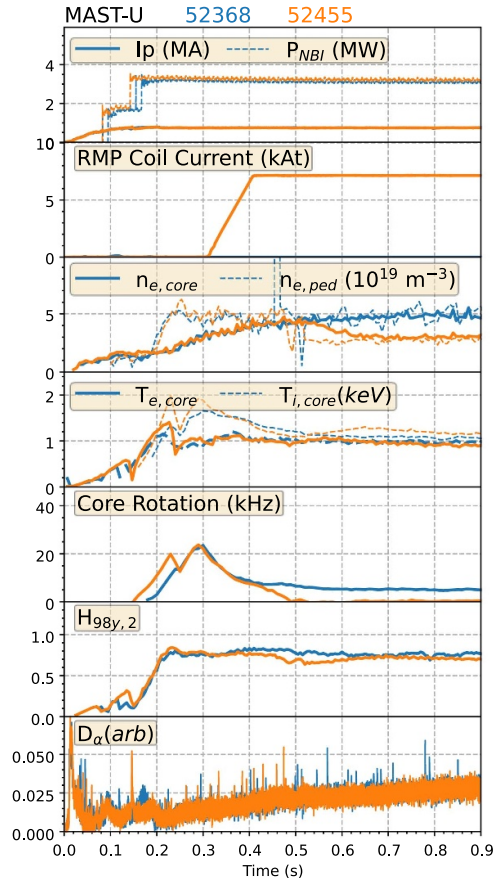


Figure 11. Demonstration of ELM suppression with $n = 3$ RMPs, comparing otherwise similar shots without (blue) and with (orange) RMPs applied. Top—plasma current (solid) and neutral beam heating power (dashed), 2nd from top—current in the RMP coils, 3rd from top—electron density in the plasma core (solid) and pedestal top (dashed), 4th from top—toroidal rotation at the magnetic axis, 5th from top—core energy confinement normalised to the ITER scaling [25], bottom—main chamber D_α emission.

devices such as TCV [41] and DIII-D [42]. A transition from type-III ELMing to an ELM-free regime was observed when the radial position of the primary X -points was swept outwards radially, finding that the transition occurs when the average triangularity (δ_{avg}) is below a critical value, $\delta_{\text{avg}} < -0.06$. As triangularity decreases, the electron temperature at the pedestal top also decreases, accompanied by a steady increase in the temperature in the core, thus maintaining $H_{98y,2}$ (~ 0.7) and β_N (~ 2) throughout the transition from type-III ELMing to ELM-free regimes. In this experiment, it was found that access to the second stability region for IBMs is closed 100 ms prior to the transition to an ELM-free regime, but experiments performed on higher aspect ratio devices report a transition to a quasi-stationary ELM-free regime coinciding with the closure of access to second stability.

Recent extended-MHD simulations with the M3D-C1 code have shown that plasma resistivity can play a role in destabilising peeling-ballooning modes in pulses with type-I ELMs on MAST Upgrade, but not on MAST [43]. This effect expands the unstable domain in the parameter space given by edge current density j and ballooning parameter α . It is seen that the

magnetic shear in the pedestal correlates with the occurrence of resistive peeling-ballooning modes. These results enable the development of a high fidelity pedestal structure model for MAST Upgrade and other STs.

7. Plasma exhaust

Ensuring adequate power and particle exhaust in large, high power density tokamaks such as ITER and its successors remains a high priority for fusion research. MAST Upgrade has performed pioneering studies to improve understanding of power exhaust, including the physics of divertor detachment, and its integration with high-performance core and edge pedestals with tightly baffled, up-down symmetric divertor chambers that can support conventional and alternative divertor configurations. The operational space of MAST Upgrade has recently been expanded with an active cryopump in the lower divertor with a pumping speed of approximately $25 \text{ m}^3 \text{ s}^{-1}$. Due to the cryopump being situated in the lower tightly baffled divertor chamber, its effect is localised to the lower divertor, as shown in figure 12. The ability to independently vary the plasma conditions and neutral density in each divertor, and the conditions in the main chamber from the divertors even in magnetic configurations close to connected double null, has greatly facilitated the integration of high-performance core and pedestals with detached divertors and independent control of the detachment state of each divertor, as discussed later in this section.

Studies of the impact of the cryopump and fuelling location on the separatrix density at the outer mid-plane have been performed in experiments and compared with SOLPS-ITER simulations [44]. In experiments with conventional and Super-X divertor configurations, with fuelling predominantly from the divertor chambers, a dependence of the separatrix density ($n_{e,\text{sep}}$) on divertor neutral pressure (P_n) is found $n_{e,\text{sep}} = 0.71 \times P_n^{0.3}$, in good agreement with simulations that yield a similar trend $n_{e,\text{sep}} = 0.69 \times P_n^{0.34}$, in qualitative agreement with trends observed on other devices [45, 46]. Conversely, experiments performed with fuelling from the main chamber, either from the high- or LFS, yield a higher exponent, $n_{e,\text{sep}} = 2.83 \times P_n^{0.62}$, which is notably different than the trend recovered from simulations $n_{e,\text{sep}} = 1.22 \times P_n^{0.83}$. These trends are independent of whether experiments employ a conventional or Super-X divertor configuration.

The Super-X divertor configuration [47] greatly facilitates access to the detached divertor regime [8, 48], such that the outer divertors are typically detached over the operating space of MAST Upgrade with minimal impact on the edge pedestal or plasma core. The plasma conditions in the divertor chambers in the Super-X configuration are typically low temperature ($T_e < 1 \text{ eV}$) and low density ($n_e < 1 \times 10^{19} \text{ m}^{-3}$), where plasma-molecule interactions can result in significant dissipation of particles, momentum and energy from the plasma [48]. Measurements of D_2 Fulcher band emission in MAST Upgrade and TCV have been used to infer the rotational temperature of D_2 molecules [49]. These measurements show that as the separatrix density increases and the outer

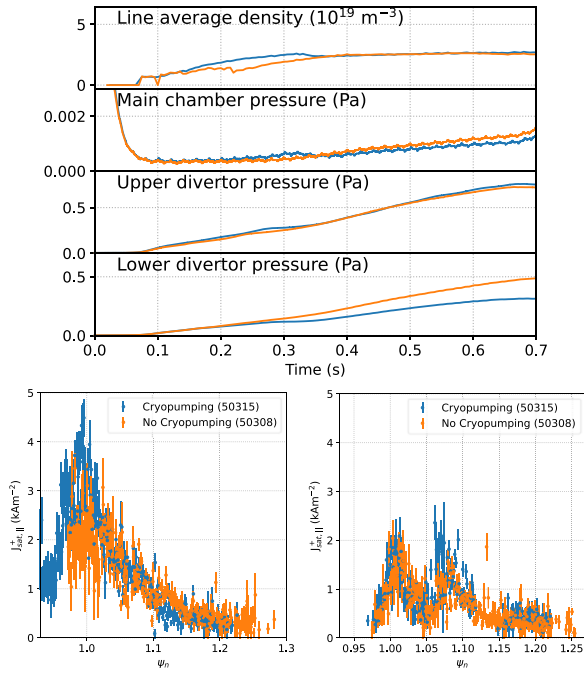


Figure 12. Top—summary of Ohmic heated experiments with (blue) and without (orange) an active lower divertor cryopump and a Super-X divertor configuration. Between 0.4 and 0.6 s, the line average density and neutral pressures in the upper divertor and main chamber are well matched, but the neutral pressure in the lower divertor is reduced by up to 30%. Bottom left—outer divertor ion saturation current density profiles from the lower divertor chamber between 0.4 and 0.6 s, showing that the ion flux increases in the presence of cryopumping, indicative of the divertor being less detached. Bottom right—outer divertor ion saturation current density profiles from the upper divertor, taken over the same period as for the lower divertor, which are unaffected by the lower divertor cryopump.

divertors are more deeply detached, the rotational temperature of D_2 molecules increases. This suggests that the plasma is transferring energy to D_2 molecules, which in turn can result in the formation of molecular ions that in turn react with the plasma via molecular activation ionisation, dissociation and recombination processes. However, the complexity of plasma–molecule interactions, with sufficient resolution to capture the ro-vibrational states of D_2 , is challenging to incorporate in multi-fluid simulations such as SOLPS-ITER. Simulations have been performed with SOLPS-ITER of detachment onset and evolution in MAST Upgrade-like conditions in simplified geometry [50] with models of plasma–molecule interactions of increasing fidelity to estimate their impact on predictive simulations of present-day devices. Higher fidelity models of plasma–molecule interactions predict a $\sim 20\%$ reduction in the upstream separatrix density required to detach the outer divertor leg, if the power entering the divertor leg is held constant, due to higher rates of molecular CX ($p + H_2 \rightarrow H + H_2^+$), thus elevating the density of molecular ions, leading to higher rates of molecular activated recombination and molecular activated dissociation.

In the double null topology, most of the power crossing the separatrix, P_{SOL} , flows to the outer divertors [51] where

it can be effectively dissipated in the Super-X configuration. However, in the lower single null topology, a higher proportion of P_{SOL} flows to the relatively compact inner divertors in the Super-X configuration compared with a conventional divertor, which is consistent with analytic models [52]. As the heating power increases in future campaigns, and the electron temperature at the outer divertor targets increases, predictive SOLPS-ITER simulations with experimentally derived cross-field transport coefficients, suggest that the $E \times B$ drift enhances asymmetries between the upper and lower divertors will increase in a connected double null topology with Super-X outer divertor configurations [53].

Studies of core-edge-divertor integration were initially performed with the outer divertors in the Super-X configuration [8] and have recently been extended to study the X-point target [54]. These experiments show qualitatively similar behaviour as those performed in the Super-X configuration, indicating detached conditions at the outer divertor targets while having minimal impact on the edge pedestal or plasma core ($T_{e,core} \sim 1$ keV, $T_{e,ped} \sim 0.2$ keV, $H_{98y,2} \sim 0.7$ – 0.8 , $\beta_N \sim 2.5$). Divertor Thomson scattering measurements indicate the electron temperature in the lower divertor is reduced in the X-point target configuration compared with an otherwise similar experiment with a Super-X configuration. Measurements of 2D electron density profiles via coherence imaging spectroscopy [55] in otherwise similar experiments with radially extended and X-point target divertor configurations (see figure 13), show that, while the peak electron density is similar, the radial width of the density profile is higher and the electron temperature is lower in the X-point target configuration. These effects arise due to a combination of higher poloidal flux expansion, and hence higher plasma volume in the divertor chamber, and the relatively long length scales of plasma–neutral interactions compared with the radial width of the divertor leg. In conditions where the outer divertor legs are more opaque to neutrals in future high power density tokamaks, these benefits are expected to be maintained if impurity seeding is used to ensure the ionisation front is close to, or upstream of the secondary X-point(s).

A substantial predicted benefit of alternative divertor configurations is improved controllability of divertor detachment [56], leveraging gradients in B (referred to here as ‘total flux expansion’, which in turn lead to gradients in the parallel heat flux) to passively stabilise the movement of the detachment front. These predictions were tested in system identification studies [57] using a sinusoidally varying fuelling rate from the divertor chambers to measure its impact on the detachment front, via multi-wavelength imaging of D_2 Fulcher band emission. Divertor configurations with the greatest total flux expansion exhibited the weakest response, facilitating real-time control, while divertor configurations with lower total flux expansion showed stronger response, which would be more challenging to control. The detachment state of each divertor can be varied independently when the plasma is close to a connected double null topology, such that the upper and lower outer divertors are connected via magnetic field lines. This behaviour is not commonly observed in present day experiments

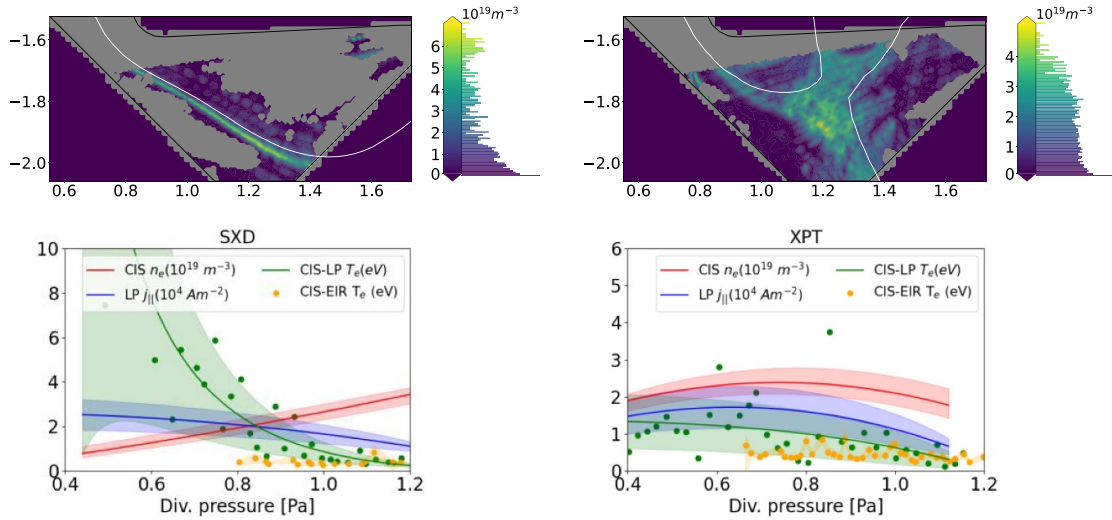


Figure 13. Left top—2D n_e profile in a Super-X divertor configuration with the equilibrium separatrix overlaid, left bottom—*inferred* electron density (red), temperature (green, yellow) and ion saturation current density (blue) profiles. Right top, bottom—profiles measured in the X-point target configuration, with the same layout as the plots on the left.

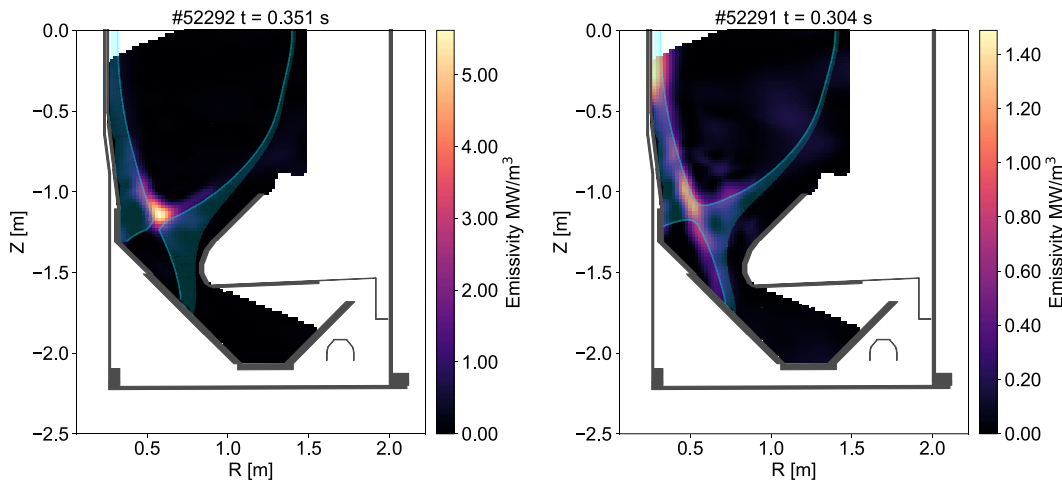


Figure 14. Emissivity of total radiated power from the lower half of MAST Upgrade in lower single null (left) and double null (right) X-point radiator regimes due to strong.

and manifests in MAST Upgrade due to the tightly baffled divertor chambers, and is expected in future devices that feature strong plasma plugging of the divertor entrance and tightly baffled divertors. Building on these system identification studies, real-time control of the detachment front position in the lower divertor chamber, *inferred* via real-time analysis of D_2 Fulcher band emission detected with the multi-wavelength imaging system, has been successfully demonstrated, using gas fuelling as the actuator. The real-time detachment control capability was applied to characterise the dynamics of divertor detachment and subsequent re-attachment, by increasing and decreasing fuelling rates respectively, showing that the timescales for re-attachment are shorter when the lower divertor cryopump is active. Coverage of the Multi-Wavelength Imaging systems and detachment control capabilities have been extended to the upper divertor chamber, allowing for

simultaneous control of the detachment states of both divertors independently of each other and the density of the plasma core.

X-point radiator regimes (described in [58] and references therein) are an attractive power exhaust solution, where power is exhausted via a strongly radiating region within the confined plasma in the vicinity of the X-point through impurity seeding, as it can be readily implemented in existing tokamaks featuring divertors and has modest space requirements [59]. Initial development of X-point radiator regimes has progressed on MAST Upgrade using nitrogen impurity seeding under feedforward control to enhance radiation losses in the vicinity of the X-point(s) in lower single null and double null topologies in NBI heated H-mode experiments. The emissivity of radiation losses from the lower half of the device in the X-point radiator phases are shown in figure 14, showing strongly

localised losses in single null and a broader radiation distribution across the high-field side in double null. In both topologies, the onset of the X-point radiator regime resulted in a $\sim 20\%$ reduction in energy confinement and approximately an order of magnitude reduction in the peak load to the outer divertor(s). Excessive radiation losses resulted in a H–L transition in single null and a transition to an ELM-free pedestal regime in double null.

8. Planned hardware enhancements and future programme

A programme of enhancements is underway to expand the operational space of MAST Upgrade towards more reactor-relevant conditions, including higher beta and lower collisionality in the plasma core and edge pedestal and to increase the heat flux entering the divertors to facilitate more stringent tests of the power handling capabilities of conventional and alternative divertor configurations. In 2027, two additional NBIs will be installed, both with a maximum injected power of 2.5 MW, one with a similar injection geometry as the existing off-axis beam, and the other will be intermediate between the existing on-axis and off-axis beams. This will double the neutral beam heating power available and provide significant flexibility to vary the fast ion pressure profile to avoid energetic particle instabilities driven by gradients in the fast ion pressure profile. In parallel, a 1.8 MW electron Bernstein wave (EBW) heating and current drive system is being developed [60], with injection frequencies of 28 GHz and 34.8 GHz to enable studies EBW mode conversion and current drive efficiency in on-axis and off-axis injection geometries, in support of the STEP physics mission. A high frequency pellet injector will be commissioned to enable studies of the impact of pellet fuelling on confinement and the development of power exhaust solutions that can accommodate modulations in plasma density due to pellet injection.







































The future MAST Upgrade research programme will utilise these new capabilities to study thermal energy confinement at higher electron toroidal beta and the effects of toroidal rotation by varying the power injected from the neutral beam and EBW systems, and hence the net torque coupled to the plasma. Operation at higher heating power is expected to enable studies of MHD stability at values of β exceeding the no-wall stability limit [61], motivating the development of techniques to stabilise or control resistive wall modes. Studies of plasma exhaust and scenario integration will be extended to higher heat flux, enabling more detailed exploration of the onset of divertor detachment in alternative divertor configurations such as the Super-X and X-point target and more extensive use of impurity seeding to vary the degree of detachment of each divertor. As more diagnostics provide more real-time data output, the control capabilities of MAST Upgrade will expand to facilitate comparison of techniques to control plasma properties and the equilibrium in the main chamber and in the divertors. Initial studies of EBW heating and current drive will concentrate on power deposition and current drive to develop deeper understanding of EBW mode conversion and the role of Ohkawa

and Fisch–Boozer current drive mechanisms and their dependences on plasma operating scenarios to test predictive models.

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