Synthesis of carbon nanocoil forests on BaSrTiO₃ substrates with the aid of a Sn catalyst

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Abstract

10 A simple route for the Sn-assisted synthesis of carbon nanocoils (CNCs) by chemical vapour deposition of acetylene on polycrystalline BaSrTiO₃ (BST) substrates is reported. In this study, high-quality CNC forests have been synthesized on BST substrates with the presence of Sn. The morphologies and qualities of the CNCs were characterized by scanning electron microscopy, transmission electron microscopy, and
15 Raman spectroscopy, while the structures and compositions of catalysts were investigated by transmission electron microscopy and energy-dispersive X-ray spectroscopy. Structural control over the CNCs was achieved by simply altering the Sn concentration or acetylene flow rates. This study demonstrates that Sn can act as an

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efficient catalyst for CNC synthesis by cooperating with either perovskite oxides (BST) or transition metals (Fe).

1. Introduction

5 Research in the field of helical carbon nanostructures has grown rapidly in the past decade, owing to their unique morphologies and attractive properties [1,2]. These nanostructures have generally been referred to as carbon nanocoils (CNCs), and have been investigated for implementation in numerous applications, for instance in structural cushions (nanosprings) [3], field emission displays [4,5], and magnetic components [6].
10 To fulfil the rational use of helical carbons, various approaches towards synthesis have been attempted to gain control over the structures and hence the properties of the products.

Chemical vapour deposition (CVD) is the most commonly used synthesis technique for CNCs and the catalyst material employed within the CVD reactions plays a crucial
15 role [4,7,8]. It was shown that the size, shape, and yield of the grown nanostructures are governed by the chemical and geometrical features of the catalyst [8-10]. To date, assorted types of catalysts have been investigated for the formation of CNCs, which mainly include Cu [7,8], Fe-Sn [11,12], Fe/In [12,13], TiC [14] and alkali compounds [4,15]. Based on these studies, several growth models were proposed in the literature,

where the prevailing mechanism was based on the anisotropic extrusion of carbon from different facets/sites of catalyst particles, which was attributed to catalyst topography [7,8,16] as well as inhomogeneity of chemical composition within a particle [14,17].

Amongst the metal catalysts used in the growth of CNCs, Sn has attracted most
5 attention owing to its capacity to lead to efficient synthesis of carbon coils at low cost. Unlike transition metal catalysts (*i.e.* Fe, Ni), Sn de-wets the graphite nanostructure surfaces thus promotes non-linear growth of carbon [12]. Sn has been extensively reported as cooperating with Fe and/or Fe-In in synthesizing CNCs. However, to some extent, these investigations suffered from either tedious catalyst preparation procedures
10 or low selectivity of CNC products, and also did not generate high-quality CNC forests [11,17,18], which have been shown to have great potential for various applications such as nanoelectromechanical systems and field emissions [4,12,19].

Herein we report Sn-assisted synthesis of high-purity/selectivity CNCs on polycrystalline BST substrates by acetylene (C_2H_2)-CVD, with the first-time realization
15 of CNC forest growth on perovskite oxides. Interest in BST for growing CNC on it stems from the fact that i) BST is a commonly-used, low-cost ferroelectric material and can become conductive after proper doping, the growth of CNC forests on BST can be of technological importance in nanoelectronic device fabrication, for example in design of field emitter arrays, which require direct electrical contact between the CNC and the

substrate; and that ii) BST has already been used for various applications, for example, high-sensitivity sensors and tunable microwave systems [20,21], hence it will be meaningful to explore the nature of the resulting CNC/BST system in other novel application sectors. In this work, Sn has been conveniently introduced into the catalyst system and functioned as an efficient catalyst for the formation of CNCs by cooperating with either perovskite oxides (BST) or transition metals (Fe). In particular, the synthesis of high-quality CNC forests on perovskites has been achieved by using Sn-Fe binary catalysts. Structural control over the grown product was achieved by simply altering the Sn concentrations or C₂H₂ flow rates.

2. Experimental

2.1. Catalyst fabrication

Epi-polished (one-sided) polycrystalline BaSrTiO₃ substrates (PI-KEM Ltd, UK) were employed in this work. The *as-received* substrates were used for CVD reactions, without applying any further treatment. As-received substrates were used because it was found that they contained small amounts of Sn within the pits (grain boundary triple junctions) of the BST surface, which were introduced by the manufacturer, because the substrates were positioned on a Sn plate for polishing. Subsequently, the samples were processed using water-based, chemical-mechanical planarization steps, thus it is likely that the Sn is

completely removed from the sample surfaces, but remains inside the pits as residues to some extent (see Supplementary Information Fig. 1 (Fig. S1)).

The *BHF-etched* substrates were prepared in order to control the Sn concentration coated on BST substrates. The as-received BST substrates were etched for 5 min in a buffered NH_4F -HF solution (BHF) of pH 4.5 according to the recipe described by Kawasaki *et al.* [22] to remove any possible metal contamination. SnCl_2 powders (Sigma-Aldrich Co. LLC, UK) were used and mixed with ethanol to prepare four solutions with different Sn^{2+} concentrations ($c(\text{Sn}^{2+}) \sim 0.01/0.03/0.06/0.1$ mol/L), which are shown to have a critical effect on the structural changes on the grown CNC product (Section 3.2.3). The etched samples were coated with Sn by simply dipping them into the Sn^{2+} solutions. The Sn-coated samples were then dipped into a ferrocene-ethanol solution ($c(\text{Fe}^{2+}) \sim 0.02$ mol/L) for 5 sec, to provide sufficient Fe catalysts for CNC growth.

2.2. Carbon nanocoil synthesis

CNCs were produced using an atmospheric CVD system. For each synthesis run BST samples were placed inside a quartz tube (2.2 cm inner diameter) which was then positioned in a 50 cm long horizontal electrical tube furnace. C_2H_2 was used as carbon feedstock and was delivered at a flow rate of 20~40 standard cubic centimeters per

minute (sccm) during the growth stage. The CVD reactions were performed at 750 °C in mixtures of 900 sccm Ar and 100 sccm H₂ for 15 min, with a H₂ pretreatment at 750 °C (800 sccm, 5 min) prior to the introduction of C₂H₂. During heating and cooling the system was flushed with 200 sccm Ar. The resultant material-covered substrates were
5 then collected for characterization. No purification procedures were used.

2.3. Characterization

The morphology of the grown CNCs was analyzed by using a JEOL JSM 840F scanning electron microscopy (SEM) working at 5 kV, and a JEOL JEM 4000HR
10 transmission electron microscopy (TEM) operating at an acceleration voltage of 80 kV. The quality of obtained CNCs was inspected by a Raman spectroscopy (JY Horiba Labram Aramis confocal Raman microscope with a 532 nm doubled Nd:YAG laser). Elemental analysis for the grown samples was performed by using the energy-dispersive X-ray spectroscopy (EDX) equipped within a JEOL JSM 840A SEM and a JEOL JEM
15 2010 TEM, and a G Clam X-ray photoelectron spectrometer (XPS) with X-ray radiation from the Mg K α band ($h\nu = 1253$ eV).

3. Results

3.1. Synthesis of CNCs on as-received BaSrTiO₃ substrates

SEM-EDX characterization of as-received BST substrate surfaces (Fig. S1) confirmed that Sn was indeed located inside the pits of the substrate, whereas there is no trace of Sn at the polished, flat surfaces of the substrate. Moreover, there were no other metals found either within the pit or at the substrate surface. The SEM-EDX measurements
5 reveal that the pits on the BST substrates are perfect sites to accommodate Sn-residues, which would be beneficial to the growth of CNCs, as discussed below.

Investigations of the growth temperature effect on CNC synthesis on as-received substrates (Fig. S2) indicated that 750 °C was the optimum. CNCs synthesized on the as-received BST substrates at 750 °C by C₂H₂-CVD (C₂H₂ flow rate: 40 sccm) are
10 shown in Fig. 1. Based on the SEM observation, the CNC selectivity (the ratio of the CNC yield to the entire carbon fiber production) was obtained statistically by counting the numbers of CNCs at different areas of SEM images. It can be observed that clusters of CNCs uniformly sprout from the pits on the substrate, in large quantities and with high CNC selectivity (*ca.* 91%). The low-magnification SEM image (Fig. 1a) shows a
15 general view of the BST substrate surface after the CVD reaction. It is worth noting that the pits on the surface function as the cultivating centers for ‘nurturing’ the growth of CNC clusters, as indicated by the white arrows marked on the image. The grown CNC clusters have a measured areal density of $\sim 2 \times 10^5/\text{cm}^2$. Fig. 1b shows a closer view of these CNC clusters, within which are contained branches of CNCs with different coil

diameters and pitches. An individual coil is representatively displayed in the inset of Fig. 1c. More detailed characterizations of these obtained CNCs with diversified shapes are shown in the high-resolution SEM image library in Fig. S3.

Fig. 1. SEM images of CNCs grown on as-received BST substrates. Scale bars: (a) 5 μm .
(b) 3 μm . (c) 1 μm .

The helical nanostructures that were obtained were further investigated by SEM, TEM and Raman spectroscopy. For comparison, similar sizes and shapes of grown CNCs are shown in the SEM images (Fig. 2a-c) and in the TEM micrographs (Fig. 2d-f), respectively. The TEM observation reveals that the CNCs differ from each other in coil diameters (range: 200~900 nm) and lengths of pitches (range: 200~600 nm), which originates from the inhomogeneous size and shape distributions of catalyst particles, since the sizes and geometries of catalyst particles can dictate the morphologies and scales of resultant carbon nanostructures. Moreover, it can be seen from the TEM

images that the CNCs barely possess hollow channels along the axis of the tubules, from which the helical structures are formed, therefore indicating that the structures of these CNCs are similar to carbon nanofibers (CNFs). The representative Raman spectrum of grown CNCs (Fig. 2g) exhibits the characteristic D and G peaks, with a

5 G/D ratio at approx. 2.2, indicating a fairly high graphitization of CNCs.

Fig. 2. Detailed characterization of CNCs grown on as-received BST substrates. (a-c)

SEM images of distinct shaped-CNCs. Scale bars: 500 nm. (d-f) TEM observations of CNCs in similar sizes and shapes as shown in SEM images (a-c), respectively. Scale bars: 200 nm. (g) A representative Raman spectrum of grown CNCs showing the characteristic D and G peaks.

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Fig. 3a presents a cross-sectional SEM view of CNCs grown from a specific pit on the as-received substrate, where it can be seen that the catalyst particles locate at the tips of the grown CNCs. The tip growth mode of synthesized CNCs is consistent with that reported in most of the research articles [9,12,17,23], which is due to the weak interactions between the catalyst particles and the substrate surfaces. Further characterization of the grown sample was performed with the aid of point-localised EDX equipped within a TEM, which reveals the detailed chemical constitutions of catalyst particles. Fig. 3b shows a representative TEM micrograph of the CNC, with a catalyst particle located at the tip. This inspected nanocoil possesses a diameter of approx. 600 nm and a pitch length of approx. 200 nm. The catalyst particle trapped at the tip of the nanocoil appears to have an irregular polyhedral structure. Fig. 3c shows a point-localised EDX spectrum of the catalyst particle, which displays that the TEM-EDX signal from the catalyst particle mainly consists of Sn, Sr, Ba, Ti, and O. The quantitative EDX analysis on the catalyst particle reveals that the molar ratio of

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(Sn:Ba:Sr:Ti:O) is approximately 0.1:0.6:0.4:1:3 (Sn/Ba_{0.6}Sr_{0.4}TiO₃ NPs), indicating that Sn might stay in its metallic form or partially oxidized form, the details of which requests further identification. Moreover, the fashion in which the Sn decoration onto the BST NPs remains unknown, it would be helpful to perform EDX elemental mapping towards the catalyst particles to see the morphology of Sn distributions in our future work. Herein Sn is regarded as being critical for the efficient synthesis of CNCs (See Fig. 4 for comparison), the source of which originates from the Sn-residues trapped inside the pits of the as-received BST substrates.

Fig. 3. (a) Cross-sectional SEM view of CNCs grown on as-received BST substrates, showing the tip growth mode of CNCs. Scale bar: 3 μm . (b) TEM observation of an individual nanocoil, with a catalyst particle trapped at the tip. Scale bar: 100 nm. (c) Point-localised EDX spectrum of the catalyst particle, suggesting that the chemical composition of the catalyst is $\sim$ BST with Sn decoration. The signal of Cu is attributed to the TEM grid.

Apart from Sn, the result shown in Fig. 3 also suggests that BST played an important catalytic role, other than just being a catalyst support. It is reasonable to investigate whether BST remains catalytically active in the formation of CNCs when no Sn is present. To achieve this, we have performed metal-free CVD experiments using scratched BHF-etched BST substrates (Fig. S4). Interestingly, CNCs were obtained on such substrates, although they were produced under restricted reaction conditions (narrow growth temperature windows $\sim 750^\circ\text{C}$) and with limited yields, as depicted in Fig. 4. Fig. 4a and b present the structures of carbon solenoids, which were occasionally observed on the scratched surfaces after a CVD reaction at 750°C . A close up SEM micrograph of Fig. 4b is shown in Fig. 4c, where the white arrow marked on the image points to a catalyst particle. The EDX spectrum generated from the white box in Fig. 4b is displayed in Fig. 4e, showing the presence of Ba, Sr, Ti, O, and C. The grown

samples were also subject to XPS characterization (Fig. 4d), which indicates that the sample surface is free of metals. This demonstrates i) that BST on its own is catalytically active in the formation of CNCs and ii) that CNC growth without Sn results in much lower yield of target products.

5 The latest findings regarding the metal-free growth of carbon nanotubes/nanofibers by oxides (for example: SiO_2 , ZrO_2) prove to be encouraging, and the reason why these carbon nanostructures were grown on oxides has been proposed, due to the fact that the formation of oxide asperities/nanoparticles with nanoscale curvatures on the surfaces function as templates to tailor the nucleation of carbon cap and the subsequent growth
10 of 1D carbon nanomaterials, even without the aid of any metals. It is believed that the scratching of the BST substrate surface induced the formation of BST nanoparticles (NPs). It is likely that some of the NPs were in sizes and shapes suitable for the generation of helical carbon structures (Fig. 4c). The formation of CNCs in this case is attributed to the geometrical (particle shapes, facet orientations) and chemical (localized
15 compositions of distinct facets) faceting effects [7,16] of the BST NPs, which lead to uneven precipitation of carbons from different sites of the particles. As for the as-received substrate, there are freestanding BST NPs (coming off from the pit walls) staying inside the pits of the substrate, which act as reservoirs for the non-uniform dispersion of trapped Sn-residues onto these NPs. Under these circumstances, the

carbon deposition/extrusion rates on different regions of the catalyst particle should be different, since they are dictated by this non-uniform distribution of Sn. Moreover, the BST NPs may synergistically pose facet effects (facet geometry and chemistry) on aiding the formation of CNCs. The helical growth of carbons is therefore achieved

5 without the aid of any other transition metal elements (Fe, Co, Ni *etc.*).

Fig. 4. Investigations on the growth of CNCs on scratched BST substrates by metal-free C_2H_2 -CVD (C_2H_2 flow rate: 40 sccm) at 750 °C. (a-b) SEM observations of solenoid-like CNCs. Scale bars: 3 μ m. The inset shown in (c) indicates the presence of a

catalyst particle (white arrow). Scale bar: 500 nm. (d) XPS spectrum and (e) EDX pattern (the white box marked in (b)) indicate the grown samples are free of metallic species.

5 **3.2. Synthesis of CNCs on BHF-etched BaSrTiO₃ substrates**

3.2.1. Higher-yield production of CNCs

Using Sn and Fe to modify the BHF-etched BST substrates ($c(\text{Sn}^{2+}) = 0.01 \text{ mol/L}$; $c(\text{Fe}^{2+}) = 0.02 \text{ mol/L}$) is a step further towards catalyst design, which leads to more promising growth of CNCs (compared to that achieved on as-received substrates under identical CVD reaction conditions). Here the growth of CNCs not only occurs at the pits on the surface (similar to as-received samples), but also arises on the edges of the substrates. The synthesis was of such great efficiency that CNC forests have been obtained. Fig. 5a displays the side-view SEM image of the grown CNC forests on the substrate edge. The overall thickness of the forest is about 30 μm . Fig. 5b provides a closer view of the CNC forest. It can be seen that the catalyst particles are found at the tips of the coils, suggesting that the grown CNCs follow a tip growth mechanism. Apart from CNC forests, CNC bouquets also formed on the sample edges, as shown by the side-view SEM images in Fig. 5c and d. The SEM top-views shown in Fig. 5e and f present the growth of CNC clusters generated from the pits on the sample surface,

where various shapes of nanocoils (twisted forms, solenoids, spring-like forms, waved fibers) can be observed.

Fig. 5. SEM view of CNCs grown on Sn-modified, BHF-etched BST substrates,

5 showing (a-b) CNC forest grown on the sample edges, (c-d) CNC bouquet grown on the

sample edges, and (e-f) CNC cluster grown from the sample surfaces. Scale bars: (a),

(c), (e) 10 μm . (b), (d), (f) 5 μm .

3.2.2. Further characterization of CNCs and catalyst particles

5 Cross-sectional SEM observation of CNC forests at a higher magnification confirms that the CNC follows a tip growth mode, as displayed in Fig. 6a. TEM combined with point-localised EDX measurements was conducted to further examine the morphological structure of CNCs and detect chemical constitution of the catalyst particles responsible for the coil growth, as presented in Fig. 6b and c. Fig. 6b presents a
10 spring-like CNC with a catalyst particle located at the tip. Point-localised EDX measurement (Fig. 6c) of the catalyst particle reveals that the catalyst NP contains Fe and Sn, with an estimated composition ratio (Fe/Sn) of 5:2, roughly consistent with the atomic ratio of elements used in the experiment (Fe/Sn: 2:1).

The investigations herein confirm that the elements of Fe and Sn are responsible
15 for catalyzing the growth of CNCs, which is consistent with quite a few reports claiming that Fe-Sn is catalytically active for inducing carbon helical structures. Moreover, the Fe and Sn ratio in the catalyst composition is also an important factor for inducing non-linear growth. Wang *et al.* [12] observed that a Fe/Sn ratio of 4:1 can lead to a promising growth of coiled carbon nanowires. Chang *et al.* [24] suggested that a

composition ratio of Fe and Sn (19:1) was critical to yield carbon helical structures, by conducting CNC synthesis on stainless steel plates. Li and his colleagues [9] considered a Fe/Sn ratio of 15:6 optimal for the growth of coiled carbon nanostructures. Herein the EDX analysis of a catalyst particle located at the CNC tip shows the presence of Fe and Sn with a Fe/Sn ratio of approx. 5:2, which has been shown to be suitable for the growth of CNCs. Moreover, comparative studies have been done by depositing unitary catalysts (Fe or Sn) separately on BST substrates and using them to grow carbon nanostructures under the identical CVD conditions. SEM imaging of the grown samples (Fig. S5) reveals that CNFs were obtained on both samples, but without any observation of CNCs, indicating the importance of involving Fe-Sn binary catalysts in this case for the formation of CNCs. The growth mechanism for the formation of CNCs is thought to be due to the difference between the carbon deposition/diffusion and extrusion rates in different regions of Fe-Sn binary catalyst particle (more specific discussion is presented in Fig. 8 therein). There might be Fe and Sn carbides forming within a catalyst particle during the CVD process, such as $\text{Fe}_3\text{C}(\text{Sn})$ and Fe_3C , which could cause the difference in the extrusion speeds of the carbon network among different parts of the catalyst, as reported by Okazaki *et al.* [17] and by Li *et al.* [27]

Fig. 6. (a) Cross-sectional SEM view of CNCs grown on BHF-etched BST substrates, showing the tip growth mode of CNCs. Scale bar: 3 μm . (b) TEM image of an individual CNC with a catalyst particle located at the tip. Scale bar: 100 nm. (c)

- 5 Point-localised EDX spectrum of the catalyst particle, indicating the presence of Sn and Fe. The signal of Cu is attributed to the TEM grid.

3.2.3. Morphological control over the grown product

In this study, simply tuning the amount of Sn used in catalyst precursor solutions ($c(\text{Sn}^{2+})$: 0.1, 0.06, 0.03, and 0.01 mol/L) or changing the C_2H_2 flow rate used during CVD reactions (40/20 sccm) leads to the morphological control over the grown product.

Fig. 7 displays an SEM image library of various shapes of grown carbon nanostructures, dictated by the Sn^{2+} concentrations and C_2H_2 gas flow rate used. Fig. 7a and b show the formation of flower-like carbon structures, constituted by straight CNFs, where the substrate was coated with a solution of 0.1 mol/L Sn^{2+} in ethanol, and there is no helical growth of carbon observed in this situation. Once the concentration of Sn^{2+} is reduced to 0.06 mol/L, beaded CNFs start to dominate the morphologies of grown products (Fig. 7c and d). Further reducing the amount of Sn triggers carbon helical growth. Fig. 7e and f present the formation of CNCs on the edge as well as on the flat surface of the substrate, when the concentration of Sn^{2+} is approx. at 0.03 mol/L. Furthermore, a coating of Sn^{2+} solution with concentration at 0.01 mol/L for sample treatments results in encouraging production of CNCs, as shown in Fig. 7g and h. However, the morphologies of carbon helical structures can be slightly changed from CNCs to CNC-CNF hybrid structures or twisted carbon tubules (Fig. 7i and j), when the C_2H_2 gas flow rate was decreased from 40 sccm to 20 sccm at growth.

Fig. 7. SEM image library of the effect of Sn concentration and C₂H₂ flow rate used on the morphologies of the grown product, showing carbon nanostructures with distinct shapes: (a-b) flower-like structures constituted by straight CNFs, (c-d) beaded CNFs, (e-f) CNCs, (g-h) CNCs (with higher yield), and (i-j) twisted tubules. Scale bars: 3 μm.

5 The amount of Sn used in each case during substrate preparation is marked on each image.

4. Discussion

The results presented above feature a simple method for Sn-assisted synthesis of helical
10 carbon nanostructures of good quality on BST substrates. A highlight of these results is the synthesis of CNC forests. Firstly, it is instructive to compare the growth results that have been achieved in this study with those grown on other catalyst systems involving the use of Sn, as there is no study on the helical growth of carbons on BST substrates.

For Sn-involved cases, there were quite a few reports revealing that the element of Sn
15 (no matter which form (metallic/oxidized/carbide) it stays) is effective for inducing carbon helical growth, normally used as co-catalyst with transition metals such as Fe [9,11,12,17], Ni [24,25], and Cr [24,25], or functioned as a catalyst alone in its oxidized form (SnO₂) [26] or carbide form (Fe₃C(Sn)) [27]. For instance, Wang *et al.* [12] demonstrated a promising synthesis of helical carbon nanowires with the aid of a Fe and

Sn bi-metallic catalyst, although this was achieved by using carbon and catalyst precursors in liquid forms. A specific model based on the mutual solubility of Fe and Sn, along with metallic catalyst-carbon product interactions, has been proposed in their study to elucidate the role of the Sn. Similarly, the yield and quality of carbon helices synthesized in this study have been greatly enhanced by the involvement of Sn, although the chemical compositions of catalyst particles containing Sn at the exact growth stage remain unknown, it would be helpful to perform investigations to identify the catalyst particle in future works.

It is well known that the use of helical carbons in real applications strictly depends upon the ability to tailor the morphologies and shapes of these nanomaterials. In typical CVD reactions, the synthesis of carbon nanomaterials can be controlled by varying growth temperature, ambient gas, carbon feedstock, and the type of catalyst. The work presented here demonstrates simple synthetic methods to achieve structural control over the grown carbon products by varying the concentrations of Sn-coating on BHF-etched BST substrates or flow rates of C_2H_2 during the CVD processes. As for tuning the Sn concentrations used in the fabrication of the catalyst precursors, large Sn doses (0.1 mol/L) lead to the formation of straight CNFs (Fig. 7a-b), whilst small concentrations (0.01~0.03 mol/L) of Sn give rise to non-linear growth of carbons (Fig. 7e-j). In particular, an encouraging production of CNCs was obtained when the amount of Sn

used was at 0.01 mol/L (Fig. 7g/h). The reason for these scenarios is suggested to be the **non-uniform** distribution of Fe and Sn within the catalyst particles leading to the difference in carbon extrusion rates in different parts of the catalyst, where the small doses of Sn cause large gradients of Sn concentrations within catalysts, resulting in asymmetric geometry and chemistry of the catalyst particles. A sketch model of such an asymmetric catalyst particle is depicted in Fig. 8 (adapted from Ref. [28]) to explain the observed phenomenon. As for altering the C₂H₂ gas flow rates during CVD processes, it can be clearly seen in our investigation that an apparent morphological change of helical carbon nanostructures (from nanocoils (Fig. 7g) to twisted tubules (Fig. 7i)) occurred, when the C₂H₂ gas flow rate was varied from 40 sccm to 20 sccm during synthesis. This observation suggests that the C₂H₂ gas (concentration, pressure *etc.*) might be capable of dynamic restructuring of the catalyst particles at CNC growth, which have been observed recently by using Cu as a CNC growth catalyst [7,8,29].

Fig. 8. A sketched model [28] of hypothetical growth mechanism of CNCs showing an **non-uniform** catalyst particle. The C is extruded at different rates (R_a , R_b) due to the asymmetric geometry and chemistry of the particle, inducing the coiling during carbon fiber growth.

In this study, two types of BST substrates have been employed for investigating the synthesis of CNCs on them: as-received substrates (BST-Sn) and prepared BHF-etched BST substrates (Fe-Sn). It was subsequently found that both Sn-decorated BST oxide NPs and Sn-modified Fe metallic nanopowders can lead to the formation of CNCs. **Also, 1D helical carbon fibers can be obtained on scratched BST substrates from metal-free CVD reactions.** Comparisons between the differences of grown CNC products on these two different substrates are depicted in Table 1.

Samples	Positions of grown CNCs	Product: selectivity to CNCs	SEM: product morphology	EDX: catalyst materials	Raman G/D ratio
As-received	pits	91%	CNC clusters	Sn-decorated BST NPs	2.2
BHF-etched	pits and edges	97%	CNC forests	Fe-Sn binary NPs	3.4
Scratched	sample surfaces	14%	CNC individuals	BST NPs (metal-free)	1.4

Table 1. Comparison of CNCs grown on different BST substrates (identical CVD conditions).

This study demonstrates that introducing the element of Sn into the catalyst systems (either perovskite oxides or metals) induces the helical growth of carbons, although the roles of Sn in aiding the CNC growth differ one from the other. Our findings are important because it extends our discussions to a broader context as to ‘whether CNCs can be formed from any Sn coated substrates?’ It is well known that Sn has a non-wetting interaction with graphite thus could promote helical growth of carbons [12].

With regard to metals (Fe, Ni, Cr, Mn *etc.*), there have already been quite a few reports on answering this question. It has been proposed that the formation of bimetallic phases

(e.g. Fe-Sn mixtures) or complex alloys (e.g. Fe-Ni-Cr-Sn) gives rise to **non-uniform** distributions of Sn within the catalyst particles, which can lead to the uneven deposition/extrusion of carbons to establish the helical growth. With regard to oxides, however, we stress that the possibility of growing CNCs from a Sn/oxide nanocomposite relies on the growth mode adopted by Sn when making contact with the oxide substrate. As for our BST case, it is expected that Sn will wet the BST surface, because Sn has a substantially lower surface energy (approx. $0.5\sim0.7\text{ J/m}^2$) [30] when compared to BST (approx. $1.5\sim2.0\text{ J/m}^2$) [31,32]. During the CVD process, Sn (in the liquid state) will wet the irregularly-shaped BST polyhedral NPs and this leads to carbon helical growth due to the mechanism shown in Fig. 8 [28]. For future studies of this type, it would be interesting to use SiO_2 particles because Sn will not wet SiO_2 [33], and hence if our proposed mechanism is correct then it will not be possible to generate helical growth of carbon with Sn-decorated SiO_2 NPs.

5. Conclusions

We proposed a new way to grow high-quality CNC forests (about $30\text{ }\mu\text{m}$ thick) on polycrystalline BST substrates by using Sn-assisted, C_2H_2 -CVD at $750\text{ }^\circ\text{C}$. We also demonstrated structural control over the carbon product by simply altering the Sn concentrations used in catalyst precursors or C_2H_2 flow rates used in CVD reactions. We

suggested a convenient method to introduce Sn into the catalyst system and showed that Sn played an important role in the formation of CNCs by cooperating with either perovskite oxides (BST) or transition metals (Fe). We proposed a growth model based on different carbon extrusion rates from different regions of the catalyst NPs due to the asymmetric geometry and chemistry of the Sn-modified particle, therefore inducing the coiling during fiber growth. Furthermore, the catalytic roles of Sn played in CNC synthesis have been discussed in a wider context. **Future work will be concerned with the synthesis of more uniform CNCs (similar coil diameters, lengths and shapes) with the aid of more homogeneous catalyst particles (similar sizes and chemical compositions), where some other catalyst preparation methods (such as sol-gel, electrospray) and reaction protocols (aerosol-CVD) could be employed.** Our study might not only pave the way towards designing new catalyst systems for efficient synthesis of helical carbon nanostructures, but also herald the beginning of a new age of perovskite-based nanoelectronic devices.

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Appendix A. Supplementary data

5 Supplementary data associated with this article can be found, in the online version, at
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Figure Captions

Fig. 1. SEM images of CNCs grown on as-received BST substrates. Scale bars: (a) 5 μm . (b) 3 μm . (c) 1 μm .

Fig. 2. Detailed characterization of CNCs grown on as-received BST substrates. (a-c) SEM images of distinct shaped-CNCs. Scale bars: 500 nm. (d-f) TEM observations of CNCs in similar sizes and shapes as shown in SEM images (a-c), respectively. Scale bars: 200 nm. (g) A representative Raman spectrum of grown CNCs showing the characteristic D and G peaks.

Fig. 3. (a) Cross-sectional SEM view of CNCs grown on as-received BST substrates, showing the tip growth mode of CNCs. Scale bar: 3 μm . (b) TEM observation of an individual nanocoil, with a catalyst particle trapped at the tip. Scale bar: 100 nm. (c) Point-localised EDX spectrum of the catalyst particle, suggesting that the chemical composition of the catalyst is $\sim$ BST with Sn decoration. The signal of Cu is attributed to the TEM grid.

Fig. 4. Investigations on the growth of CNCs on scratched BST substrates by metal-free C_2H_2 -CVD (C_2H_2 flow rate: 40 sccm) at 750 $^\circ\text{C}$. (a-b) SEM observations of solenoid-like CNCs. Scale bars: 3 μm . The inset shown in (c) indicates the presence of a catalyst particle (white arrow). Scale bar: 500 nm. (d) XPS spectrum and (e) EDX

pattern (the white box marked in (b)) indicate the grown samples are free of metallic species.

Fig. 5. SEM view of CNCs grown on Sn-modified, BHF-etched BST substrates, showing (a-b) CNC forest grown on the sample edges, (c-d) CNC bouquet grown on the sample edges, and (e-f) CNC cluster grown from the sample surfaces. Scale bars: (a), (c), (e) 10 μm . (b), (d), (f) 5 μm .

Fig. 6. (a) Cross-sectional SEM view of CNCs grown on BHF-etched BST substrates, showing the tip growth mode of CNCs. Scale bar: 3 μm . (b) TEM image of an individual CNC with a catalyst particle located at the tip. Scale bar: 100 nm. (c) Point-localised EDX spectrum of the catalyst particle, indicating the presence of Sn and Fe. The signal of Cu is attributed to the TEM grid.

Fig. 7. SEM image library of the effect of Sn concentration and C_2H_2 flow rate used on the morphologies of the grown product, showing carbon nanostructures with distinct shapes: (a-b) flower-like structures constituted by straight CNFs, (c-d) beaded CNFs, (e-f) CNCs, (g-h) CNCs (with higher yield), and (i-j) twisted tubules. Scale bars: 3 μm . The amount of Sn used in each case during substrate preparation is marked on each image.

Fig. 8. A sketched model of hypothetical growth mechanism of CNCs showing an **non-uniform** catalyst particle. The C is extruded at different rates (R_a , R_b) due to the asymmetric geometry and chemistry of the particle, inducing the coiling during carbon fiber growth.