

Wellcome – OA policy briefing

Oxford Festival of Open Scholarship 2022

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March 15th 2022 – 11:00-12:00



This session will be recorded



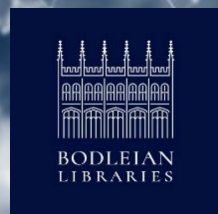
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<http://openaccess.ox.ac.uk/oxfos>



Slides and handouts will be distributed after the session



If you would like to tweet about this session please use: #OxFOS22



What does it apply to?

Submitted from 1st January 2021

Original, peer-reviewed research articles that are supported in whole, or in part, by Wellcome

NOTE: Where there are multiple partners involved, all research articles supported in whole, or in part, by Wellcome must be compliant with this policy.

Reviews and editorials, are not included in the policy.

All original scholarly monographs and book chapters authored or co-authored by Wellcome grantholders as part of their grant-funded research

It does not apply to PhD theses by Wellcome-funded students. PhD theses must be made freely available from the EThOS repository as soon as possible.

Short Form Publication Requirements

Published under a CC BY (Creative Commons Attribution) licence.
One exception **if approved** (CC BY-ND)

Include a data accessibility statement signposting where/how a researcher can access the underlying data (templates available)

No embargo period is permitted for 'green route OA' where the accepted manuscript is deposited into a repository (required: EPMC)

No support for OA publication costs in hybrid journals
(see: <https://wellcome.org/press-release/publishing-hybrid-open-access-journals>)

Rights retention strategy

The Rights Retention Strategy is used by researchers publishing in subscription or hybrid journals where the gold and green routes are not compliant with Wellcome policy

This below text is added during the application process to apply a Creative Commons (CC BY) licence to any resulting AAM to allow for deposit via the green route

Wellcome require this text on ALL submitted outputs. You must also ensure you don't sign a conflicting publisher agreement if this is your sole route to compliance.


This research was funded in whole, or in part, by the Wellcome Trust [Grant number xxxxx]. For the purpose of open access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.

Deposit into a repository

Deposit research outputs at the earliest opportunity, in **Europe PubMed Central** or **PubMed Central**, preferably the Version of Record (VoR) but accepted manuscript (AM) if not available. This deposit is **required** for all Wellcome funded authors.

Deposit 'how to' can be found here: <https://plus.europepmc.org/home>

Note: Some journals will automatically deposit into EPMC but remember to check that it is EPMC and not just PubMed Central!



Please also
'act on acceptance'



ORA
OXFORD UNIVERSITY
RESEARCH ARCHIVE

Europe
PMC

Author Accepted Manuscript:

The version of your research after peer review, when changes have been accepted by the publisher, but before the final version is published.

Routes to compliance

1

Publish in a fully open access journal/platform that makes the article immediately open access (the 'gold route')

2

Publish in a subscription journal through a transformative arrangement that is available to you at Oxford.

3

Publish in a subscription journal and take responsibility for making the Author Accepted Manuscript (AAM) freely available in Europe PMC at the time of publication (the 'green route').

This route may require the use of the "Rights Retention Strategy" (RRS)

A brief demonstration – Route 1



JOURNAL CHECKER TOOL

<https://journalcheckertool.org>

Demo title: eLife (ISSN: 2050-084X)

Route 1 – Gold open access

Publish in a fully open access journal/platform that makes the article immediately available to all

Brief how to:

1. Journal checker confirms the availability of a 'gold' route:
 - You can also find these journals by searching the Directory of Open Access Journals (DOAJ)
2. Email apc@bodleian.ox.ac.uk to confirm funds are available
3. Submit paper using @ox.ac.uk email
4. Include the Rights Retention text in your submission
5. APC payments will be processed by the Oxford APC team
6. Article is made Open Access by the journal on publication with a CC BY licence
7. Deposit the version of record (EPMC and ORA)



<https://doaj.org>

The fund does not cover letters or page charges so you need to use other funds such as your grant

A brief demonstration – Route 2



JOURNAL CHECKER TOOL

<https://journalcheckertool.org>

Demo title: *Brain* (Publisher OUP 0006-8950 (print); 1460-2156 (web))

Route 2 – Transformative

Publish in a journal covered by a Transformative Agreement (also sometimes known as a Read & Publish deal)
“From 1 January 2021 to 31 December 2024”

Brief how to:

1. Journal checker signposts that there is a publishing agreement or the journal is ‘transformative’
2. Email apc@bodleian.ox.ac.uk to confirm availability of funds
3. Include the Rights Retention text in your submission
4. Submit paper using your @ox.ac.uk email address
5. All charges are managed by the Oxford APC team
6. Article is made Open Access by the journal on publication with a CC BY licence (select when prompted)
7. Deposit the version of record as standard (EPMC and ORA)

A list of current Oxford deals

<http://openaccess.ox.ac.uk/publisher-deals>

A list of ‘transformative journals’

<https://www.coalition-s.org/plan-s-compliance/transformative-journals>

A brief demonstration – Route 3



JOURNAL CHECKER TOOL

<https://journalcheckertool.org>

Demo title: *New England Journal of Medicine* (0028-4793 (print); 1533-4406 (web))

Route 3 – Green open access

Publish in a subscription journal and make the Author Accepted Manuscript (AAM) or the final published version of your paper openly available on publication with no embargo.

Brief how to:

1. Journal checker signposts that you can be compliant with the journal's policy on open access publishing:
 1. Via a deposit (with zero embargo)
 2. Using the Rights Retention strategy
2. Include the Rights Retention text in all submissions
3. Submit paper using your @ox.ac.uk email address
4. Deposit the manuscript (Accepted manuscript if required) as standard (EPMC and DRA)

Author Accepted Manuscript:

The version of your research after peer review, when changes have been accepted by the publisher but before any formatting is applied.

A brief demonstration – Complicated!



JOURNAL CHECKER TOOL

<https://journalcheckertool.org>

Demo title: *Blood* (print: 0006-4971)

Long Form Publications Requirements

Where a fee has been paid a CC BY (preferred) licence is applied (CC BY-NC or CC BY-NC-ND also permissible)

Charges are managed by Wellcome not Oxford

Go to: <https://wellcome.org/grant-funding/guidance/open-access-guidance/open-access-funding> for details of how to apply

Made freely available through NCBI Bookshelf PubMed Central (PMC) and Europe PMC as soon as possible and no later than **within 6 months of the official final publication date**

Wellcome do not cover page/colour charges for monographs

Required for:
Academic monographs
Book chapters
Edited collections

Not required for:
Textbooks
Trade books
General reference works
Works of fiction
Collections edited by non-funder grant holders.

It would not affect, for example, a monograph work written for a general audience aimed at a general audience (e.g. a general interest journal or general publisher.)

One year on (Michele Warren - MSD)

- Wellcome's requirement for Rights Retention text in all routes not always appreciated (and is wider than UKPR requirements)
- Affiliation of Corresponding Author has become more critical
- Multi-institution, international teams need careful attention
- Frustrations have arisen at article acceptance when there is no obvious route to compliance, including no source for APCs
- How can we best encourage more consideration of OA routes at time journal is selected, or at least at submission?

Any questions?

Open access team

openacce

Open access contacts

opena

Open scholarship mail lists

http

APC Team

a

Wellcome Open Research

Wellcome policy pages

Journal checker tool