

1 **The effect of patient, fracture and surgery on outcomes of high energy neck of**
2 **femur fractures in patients aged 15-50**

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4 Wayne Hoskins PhD^{1,2}

5 Johnny Rayner MBBS³

6 Rohan Sheehy MBBS³

7 Harry Claireaux MBChB^{4,5}

8 Roger Bingham, FRACS^{1,3}

9 Roselyn Santos⁶

10 Andrew Bucknill FRCS³

11 Richard N de Steiger FRACS^{7,8}

12 Xavier Griffin PhD^{4,5}

13

14 1. Traumaplasty.Melbourne

15 East Melbourne, Victoria Australia

16 2. Faculty of Medicine, Dentistry and Health Sciences

17 The University of Melbourne

18 Parkville, Victoria Australia

19 3. Department of Orthopaedic Surgery

20 Royal Melbourne Hospital

21 Parkville, Victoria Australia

22 4. Nuffield Department of Orthopaedics

23 University of Oxford

24 Oxford, United Kingdom

25 5. John Radcliffe Hospital

- 1 Oxford University Hospitals NHS Trust
2 Headington, Oxford United Kingdom
3 6. Trauma Service
4 Royal Melbourne Hospital
5 Parkville, Victoria Australia
6 7. Epworth Healthcare, University of Melbourne
7 Australian Orthopaedic Association National Joint Replacement Registry
8 Adelaide, South Australia Australia
9 8. Australian Orthopaedic Association National Joint Replacement Registry
10 Adelaide, South Australia Australia

11

12 Please address all correspondence to:

13 Name: Wayne Hoskins

14 Address: Royal Melbourne Hospital, Grattan St, Parkville VIC 3052 Australia

15 Phone: +613 9342 8479

16 Fax: +613 9342 8780

17 Email: waynehoskins@icloud.com

18

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10

1 **Abstract**

2 **Objectives**

3 To define the effects of patient, fracture and surgical factors on the outcome of high-
4 energy femoral neck fractures in patients aged from 15-50.

5 **Design**

6 A retrospective review of a prospectively recorded database. Minimum follow-up was
7 18 months.

8 **Setting**

9 Level 1 Trauma Center.

10 **Participants**

11 32 patients meeting the inclusion criteria were identified between January 2008 and
12 July 2015.

13 **Intervention**

14 Surgically managed fractures.

15 **Main Outcome Measurements**

16 The primary outcome measures were the development of osteonecrosis or non-union
17 leading to total hip arthroplasty (THA). Secondary outcome measures were osteotomy
18 or other surgical procedures, surgical complications, quality of reduction, malunion
19 and the IHOT12 and EQ-5D.

20 **Results**

21 The mean follow-up was 58.5 months (range 980-3048 days) Three of the 32 patients
22 (9.4%) required THA. No other surgical procedures were performed. None of the 29
23 other patients developed radiologically apparent osteonecrosis. Fracture type,
24 displacement, anatomical reduction and fixation type were not statistically significant

1 risk factors effecting these outcomes. For all patients, an average of 8% loss of
2 femoral neck height and 10% femoral neck offset were seen.

3 **Conclusions**

4 At a mean 4.9 year follow-up, the incidence of high-energy femoral neck fractures
5 leading to THA was 9.4%, as a consequence of osteonecrosis or non-union. Mal-
6 union was common. The long term functional consequences of high energy femoral
7 neck fractures remain poorly understood. Longer term analysis of the patients in this
8 study may provide a better understanding of the natural history, and clarify the
9 importance of anatomical reduction, and the role of anatomical reduction of these
10 fractures.

11 **Level of Evidence**

12 Therapeutic Level III

13

14 **Key words:** Femur, Hip Fractures, Trauma, Young

1 **Introduction**

2 Femoral neck fractures in young adults are relatively rare, accounting for 3% of all
3 hip fractures¹, and are typically associated with high-energy mechanisms and poly-
4 trauma^{2,3}. Neck fractures in young adults have long been considered an orthopaedic
5 emergency, due to the risk of osteonecrosis and subsequent morbidity⁴. Other
6 complications such as non-union and mal-union are not infrequent, and nearly one in
7 five patients will require re-operation⁵. Functional results can be poor⁶, particularly if
8 femoral neck shortening occurs^{7,8}.

9

10 Patient, fracture and surgical factors may all contribute to outcome⁹. High energy
11 femoral neck fractures tend to be comminuted¹⁰ and displaced in up to 80% of cases¹.
12 Vertical fracture patterns are unstable, and may lead to failure of fixation or mal-
13 union¹¹⁻¹³. The younger patient age mandates that surgical treatment preserves the
14 native femoral head wherever possible¹⁴. A range of fixation devices has been
15 described^{12,15}, yet various studies have not identified the ideal treatment strategy^{9,16},
16 and a failure of fixation has been seen with all implants and techniques^{12,15}.

17

18 The surgical management of high energy femoral neck fractures is challenging¹⁰, and
19 traditional teaching suggests that early surgical fixation is required. The relationship
20 between surgical timing and the risk of osteonecrosis has not been confirmed^{1,6}. It is
21 thought that anatomical reduction is essential to preventing osteonecrosis, non-union
22 and mal-union¹², although previous studies have not clearly made this correlation¹.
23 Achieving an anatomical reduction is often difficult, and fractures may collapse, and
24 produce shortening of the femoral neck or varus neck posture¹⁰. It is unclear whether

1 closed reduction of the fracture is adequate, or whether open reduction is
2 necessary^{5,12}. Likewise, if the fracture is anatomically reduced, it is unclear what type
3 of fixation device can best maintain this reduction¹⁴.

4

5 Orthopaedic literature has differed in its definition of the young patient, with study
6 methodologies considering either 50¹, or 60⁵ as the upper limit of young. A
7 physiological rather than a biological definition has been proposed¹⁶, but seems
8 difficult to objectively quantify. Studies typically do not have a uniform distribution
9 of patients age, with up to two thirds of patients aged in the latter decade of the age
10 group being considered⁹. Most studies have shown a heterogeneity in injury
11 mechanism and do not exclude low energy trauma^{6,7,9,11,12,17}. These factors may
12 impact the validity of extrapolating the prognosis and outcomes of femoral neck
13 fractures, when only considering at the age group up to 50 years, and those suffering
14 high energy fractures.

15

16 The low incidence of neck of femur fractures in young adults makes it difficult to
17 achieve an appropriately powered randomised controlled trial, even across multi-
18 centre studies⁶. Population based studies that correlate the results of trauma registries
19 and joint replacement registries, may be the best way to identify any correlation
20 between the management of traumatic injury, and its long-term outcomes. The aim of
21 this study is to assess the effect of patient, fracture and surgical factors on the
22 outcome of high energy femoral neck fractures in young patients aged between 15-50.
23 Specifically, this study aims to identify the rate of re-operation, in particular, the
24 incidence that the treatment of high energy femoral neck fractures leads to subsequent
25 total hip arthroplasty (THA).

1

2 **Materials and Methods**

3 A retrospective review was performed of all patients aged between 15 to 50 years that
4 received surgical management for a femoral neck fracture at a Level 1 Trauma Center
5 during the period of January 2008 to July 2015. Data was sourced from
6 a prospectively recorded trauma database, the Royal Melbourne Hospital (RMH)
7 Trauma Registry. Patients were identified using Abbreviated Injury Scale (AIS) codes
8 for neck of femur fractures and cross checked with the Orthopaedic Department's
9 electronic database. Patients with extra-capsular neck of femur fractures, low energy
10 fractures (defined as a fall <1m in height), pathological fractures, patients with
11 conditions adversely affecting bone density, or alcoholism were excluded from the
12 study, based upon the findings of Robinson et al.¹⁸. Clinical and radiological reviews
13 were performed. Minimum follow up was 18 months. The RMH Trauma Registry,
14 RMH Orthopaedic database and patient records were sourced to record information
15 on patient demographics, co-morbidities, injury details, mechanism, ambulance and
16 transfer details and injury severity score (ISS). Pre-operative plain radiographs were
17 used to classify fractures using the AO / OTA (Arbeitsgemeinschaft Osteosynthese /
18 Orthopaedic Trauma Association) fracture classification system. Fractures were
19 classified as displaced or undisplaced¹¹, and the fracture verticality was measured
20 using Pauwels Classification¹⁰. The time to theatre after presentation to the
21 emergency department was recorded, as were the details of surgical treatment,
22 including reduction method (open or closed), fixation device(s) used, and the quality
23 of fracture reduction (displaced or undisplaced/anatomical) seen on the first available
24 post-operative radiograph. Patients were classified as major trauma with an ISS above
25 12, ICU admission requiring mechanical ventilation of more than 24 hours, or urgent

1 surgery under 48 hours for intracranial / intrathoracic / intra-abdominal injury, or
2 fixation of pelvic or spinal fractures. Final radiological imaging was reviewed to
3 determine the presence of osteonecrosis and classified using the Steinberg
4 classification (modified Ficat and Arlet)¹⁹. All classifications and radiographic
5 measurements were performed by two authors to ensure accuracy (RS and JR).

6

7 The primary outcome measure was the development of osteonecrosis or non-union
8 requiring THA. Patient data was cross referenced with the Australian Orthopaedic
9 Association National Joint Replacement Registry (AOANJRR). The AOANJRR
10 includes information on all arthroplasty procedures performed within Australia. The
11 RMH Orthopaedic database, RMH radiology database, a separate radiological
12 database incorporating a number of other public and private hospitals and patient files
13 were cross checked to maximise data quality. All available relevant radiological
14 imaging were reviewed for each case.

15

16 Secondary outcome measures were osteotomy or other secondary surgical procedure,
17 surgical complications, fracture mal-union measured as loss of femoral horizontal
18 offset and vertical neck length recorded on the most recent post-operative imaging as
19 a percentage of loss compared with the opposite side. Horizontal offset was measured
20 as the perpendicular distance from the centre of the femoral head to a line forming the
21 centre of the femoral canal. Vertical neck length was measured as the perpendicular
22 distance from the centre of the femoral head to a line forming the inferior aspect of
23 the lesser trochanter. The duration of radiological follow up was recorded as the time
24 to the final available radiograph, computer tomography, nuclear medicine or magnetic
25 resonance scan. The International Hip Outcome Tool (IHOT12) and EuroQol EQ-5D

1 were used as patient reported outcome measures and performed via telephone follow
2 up. A sub group analysis was made between patients with anatomical reduction and
3 non-anatomical reduction with regards to the development of mal-union. Approval
4 was received from the RMH Human Research and Ethics Committee: QA2015116.

5
6 Statistical analyses were performed using R 3.2.1 (R Foundation for Statistical
7 Computing, Vienna, Austria). Data was summarised using mean and standard
8 deviations or frequencies for continuous and categorical data, respectively. Fisher's
9 exact test was used to compare differences among categorical variables and analysis
10 of variance (ANOVA) was used to compare mean differences across continuous
11 variables. For comparison of anatomical and non-anatomical reduction on mal-union
12 Wilcoxon rank-sum test was used comparing categorical predictor variable with
13 continuous outcome variable (following categorisation of data as non-parametric by
14 Shapiro-Wilk test). Statistical significance was ascribed when the p value was <0.05.

15
16 **Results**

17 Fifty-three patients were identified over the 7.5 year duration of the study, 21 of
18 which were excluded for extracapsular fractures or low energy trauma, leaving 32
19 patients for analysis. Descriptive statistics outlining patient characteristics and
20 outcomes for continuous variables is shown in table 1. The mean follow up period
21 was 58.5 months (range 980-3048 days).

22
23 Three of 32 patients (9.4%) developed osteonecrosis or non-union leading to THA.
24 No osteotomies nor other surgical procedures were performed. There were no
25 radiological cases of osteonecrosis in patients who did not have THA. 29 of 32

1 patients (90.6%) were male. 10 of 32 patients (31.3%) were involved in a major
2 trauma. 16 of 32 patients (50%) had an isolated neck of femur fracture. In terms of the
3 AO-OTA fracture classification, 14 of 32 (43.8%) were 31-B1, 11 of 32 (34.4%) were
4 31-B2, and 7 of 32 (21.9%) were 31-B3 fractures respectively. 21 of 32 fractures
5 (65.6%) were displaced. Closed reduction was performed in 29 of 32 patients (90.6%).
6 Cannulated screws were used in 13 of 32 patients (40.6%), a sliding hip screw (with
7 or without anti-rotation screw) was used in 14 of 32 patients (43.8%), and 5 of 32
8 patients (15.6%) were treated with a cephalomedullary device. Reduction was graded
9 as anatomical in 21 of 32 patients (65.5%), and displaced in 10 of 32 (31.3%)
10 patients; data on this variable was missing in 1 patient. Only 3 patients were
11 contactable for follow up of the IHOT and 5Q-ED.

12
13 There was no association between development of osteonecrosis or non-union
14 requiring THA and: age ($P=0.14$), injury severity score ($P=0.37$), time to theatre
15 ($P=0.56$), gender ($P=0.81$), suffering major trauma ($P=0.19$), fracture class ($P=0.87$),
16 fracture displacement ($P=0.49$), operative type (open vs closed) ($P=1$), fixation method
17 ($P=0.56$), or quality of reduction ($P=0.30$).

18
19 There was no association between the quality of reduction attained and: fixation
20 method ($P=0.30$), operative type (open vs closed) ($P=1$), fracture displacement
21 ($P=0.055$), or fracture class ($P=0.36$).

22
23 Quality of reduction was not associated with malunion as defined by either offset loss
24 ($P=0.74$) or length loss ($P=0.79$).

25

1 Three patients completed the patient reported outcome measures, this was not
2 included in the analysis.

3

4 **Discussion**

5 This study showed the rate of THA following high energy femoral neck fracture in
6 patients aged 15-50 was 9.4% at a mean follow up period of 58.5 months. This rate is
7 lower than reported in similar studies, and comes despite fact that two thirds of
8 fractures were displaced, and one third of patients had sustained major trauma. Only
9 half had sustained the femoral neck fracture as an isolated injury. In patients who did
10 not undergo THA, no other surgical procedures occurred, nor was there radiological
11 evidence of osteonecrosis. No statistical difference was found for sex, age, major
12 trauma involvement, ISS, fracture classification, fracture displacement, method of
13 reduction, fixation type, quality of reduction or time to theatre as being risk factors for
14 THA. The quality of reduction did not statistically affect the rate of mal-union.

15

16 Liporace et al.'s¹² series of low and high energy Pauwels 3 fracture types in patients
17 aged up to 64 had a 12.9% conversion rate to arthroplasty, which is similar to the
18 9.4% in our study. The conversion to THA has been neglected in the majority of
19 orthopaedic literature on young neck of femur fractures. A meta-analysis of 564
20 patients aged 50 or under found a 23.0% incidence of osteonecrosis and 8.9% non-
21 union¹. It is unknown what percent of these patients went on to require arthroplasty.
22 Our study excluded patients with co-morbidities that affect bone biology²⁰⁻²², which
23 may have contributed to the low rate of osteonecrosis and non-union. Femoral neck
24 fractures in young patients from low energy trauma can occur²³⁻²⁵ secondary to

1 medical comorbidities^{3,16}. High energy fractures tend to be more comminuted¹⁰, yet
2 this study suggests the outcome and prognosis for fractures sustained from high
3 energy mechanisms in a healthy cohort may be different, as has been suggested¹².
4 Cadaver studies have shown a correlation between bone density and fixation
5 stability²⁶. Parker et al.²⁷ also found the incidence of non-union to be age dependent,
6 with a non-union rate of 5.2% in patients younger than 50 years compared to 24.9%
7 for patients in their 70s.

8

9 The time to surgery has long been considered important for the development of
10 osteonecrosis. 90% of cases in this study were operated on in under 24 hours from
11 presentation, 40% within 12 hours, and 20% within 6 hours. None of the patients with
12 greater than 24 hours wait until theatre ultimately went on to undergo THA. Liporace
13 et al.'s¹² series from a Level 1 Trauma Center had every patient treated within 24
14 hours, however their rate of major trauma was lower and 70% of patients had an
15 isolated injury. This study supports previous literature in that femoral neck fractures
16 in young patients can be treated urgently and not emergently²⁸. The most consistent
17 variable in the surgeon's control is the quality of the fracture reduction²⁹⁻³⁰. Time
18 spent on surgical planning, fracture reduction and fixation technique, with a suitable
19 orthopaedic operative team, should occur at the expense of rushing a patient to theatre
20 for a perceived time to avoid osteonecrosis.

21

22 Although not as devastating as osteonecrosis or non-union, the occurrence of mal-
23 fixation and mal-union may be a factor which produces substantial morbidity. This
24 outcome has been sparingly considered by other studies. Slobogean et al.³¹ followed

1 107 patients aged 18 to 55 for 1 year, and found a poor quality of fracture reduction
2 was associated with a lower physical component score of the SF-36, with mal-union
3 trending towards a lower score ($p=0.08$). The retrospective design of this study did
4 not allow the analysis of patient reported functional and quality of life scores. The
5 mal-union of femoral neck fractures can take various forms¹⁴, most notably femoral
6 neck shortening. Upadhyaya et al.⁷, found the average femoral neck shortening to be
7 18mm. This study showed one in three patients to have 10% of greater loss of vertical
8 neck length producing varus femoral neck posture, which increases the shear forces
9 through the femoral neck, which can affect implant fixation³² and decrease the
10 likelihood of bone formation¹⁵. Femoral neck shortening of more than 5 mm is
11 associated with decreased functional outcomes and an increased incidence of
12 requiring a gait aid⁸. This study showed 40% of patients to have 10% or greater loss
13 of horizontal femoral offset which decreases the moment arm of the abductor
14 muscles, and has a pronounced effect on gait³³. Despite femoral head preservation, it
15 results in lower physical functioning scores⁸. These biomechanical deformities cannot
16 be overcome with osteotomy procedures. Techniques have been described to stabilise
17 an unstable femoral neck fracture with a length stable construct³⁴. Such rigid
18 constructs have been associated with their own spectrum of complications including
19 loss of implant fixation, femoral head penetration and implant fracture³⁵. Our study
20 found the outcome measures were independent of implant design.

21

22 This study showed an anatomical reduction in 65.5% of patients, which compares to
23 75% of patients in the study by Slobogean et al.³¹ which also included low energy
24 fractures. Complication rates are higher with anatomically imperfect reductions of
25 femoral neck fractures^{12,36}. Haidekewych et al.¹¹ found a complication rate of 80% in

1 patients with >10mm of displacement and/or >20 degrees of angulation or any varus
2 fracture reduction, and Liporace et al.¹² found that 2/3 of fractures with >5-10mm of
3 displacement and/or >10-20 degrees of angulation developed non-union. Stability
4 may be affected more by the reduction than by the design of the implants used and the
5 technique of application^{32,37}
6
7 Controversy exists as to how best to achieve an anatomical reduction. A number of
8 open techniques can be successful at achieving good reduction^{14,38,39}. The incidence
9 of open reduction in the literature ranges from 17.7%-47.8%^{7,11,12}. Unfortunately
10 these studies did not report on the difference in outcome between open and closed
11 reduction. In this study only 9.4% of cases had open reduction, and none of these
12 patients went on to have THA. It remains unproven whether open techniques produce
13 a more anatomical reduction or decrease mal-union. Even with anatomical reduction,
14 when comminution is present, modern implant devices may not be able to maintain
15 fracture reduction¹⁴. In patients aged 60 or younger, the incidence of non-union has
16 been found to be higher with open rather than closed reduction (11.2% vs. 4.7%)⁵.
17 This is likely confounded by fracture displacement, inability to reduce the fracture
18 closed and the decision to open the fracture¹². However, osteonecrosis has been found
19 to be more common in fractures that underwent closed rather than open reduction
20 (28.0% vs. 10.1%)⁵. The requirement for open reduction may warrant further
21 consideration since fellowship trained traumatologists can only identify a mal-
22 reduction 67% of the time on intra-operative fluoroscopy (M. Graves, MD,
23 unpublished data, October 2013). Open reduction allows direct visualisation of the
24 reduction, reduces intracapsular haematoma³, minimises the risk of multiple closed
25 reduction attempts and allows augmented fixation if required. This study suggests that

1 open reduction of displaced femoral neck fractures in young patients with a high-
2 energy injury mechanism is not associated with additional complications.

3

4 Along with comminution, vertical fracture patterns are associated with an increased
5 risk of fixation failure, mal-union, nonunion, and osteonecrosis²⁸. This study did not
6 find a relationship between fracture verticality and outcome, other studies have shown
7 this may lead to failure of fixation and mal-union¹⁰⁻¹³. Alternative implants and
8 constructs have been proposed for vertical fracture patterns⁴⁰. Further research is
9 required to identify stable implant constructs that can maintain reduction in the face of
10 comminution and fracture verticality.

11

12 This study cross references data with the AOANJRR to identify patients who may
13 have subsequently undergone hip arthroplasty. The challenge of long term follow up
14 of this patient population has been discussed¹¹. The mean follow up for this study was
15 almost five years. Most complications of femoral neck fracture are apparent within 2
16 years⁴¹. Our follow up time period was longer than most other studies, however,
17 ongoing follow up with the AOANJRR will allow longer term data to be analysed to
18 determine the true natural history of these injuries. A homogeneous patient population
19 was seen in this study, given the exclusion of low energy trauma, medical co-
20 morbidities and those aged over 50 years. A relationship between high-energy trauma
21 and development of osteonecrosis exists². To our knowledge, this is the first study to
22 exclusively look at high energy fractures in young patients. Future studies should
23 include similar inclusion and exclusion criteria so that pooling of data can occur.

24

1 Limitations of this study include the low patient numbers and limited statistical
2 analysis, although the sample size was similar to other studies of the same
3 demographic¹. The majority of injured patients included in studies of femoral neck
4 fractures are from low energy mechanisms⁹. The rarity of high energy femoral neck
5 fractures in young patients means that achieving an appropriately powered study is
6 extremely challenging. A study over 25 years at a Level 1 Trauma centre in patients
7 up to 50 years recruited only 83 participants¹¹. A prospective study design with multi-
8 centre recruitment, that included cross referencing with a national joint replacement
9 registry would provide better information to guide surgical decision making and
10 management decisions. Subsequent surgical procedures may have been performed at
11 other hospitals, and we attempted to avoid this by searching radiological databases
12 that included other public and private hospitals. Osteonecrosis may have developed
13 outside the follow up period of this study, yet not all patients with this condition will
14 require THA⁴².

15

16 **Conclusion**

17 Young patients with high energy femoral neck fractures uncommonly require
18 subsequent THA, with the incidence after 5 years being 9.4%. Mal-union is common
19 with 40% of patients having 10% or greater loss of horizontal femoral offset and one
20 in 3 having 10% or greater loss of vertical neck length. The functional and long term
21 consequences of this are poorly understood. Ongoing follow up of this study will
22 allow a better understanding of the natural history of this condition. The main factor
23 under the control of a surgeon is quality of reduction. Further consideration should be
24 given to open reduction techniques to improve the quality of reduction.

25

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1 **Tables**

2 Table 1. Descriptive statistics outlining patient characteristics and outcomes for

3 continuous variables.

	Age	Injury Severity Score (ISS)	Time to theatre (h)	Pauwels Angle (deg)	Offset loss (%)	Neck length loss (%)	FU duration (mo)	Radiological FU duration (mo)
Mean	38.4	13.1	18.5	55.4	0.21	0.19	59.3	7.8
SD	8.5	8.2	20.3	17.1	0.31	0.31	20	9.8

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