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2 **Hydrogen spillover enabled active Cu sites for methanol synthesis**
3 **from CO₂ hydrogenation over Pd doped CuZn catalysts**

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1 **Abstract**

2 Surface modification with Pd is an effective way for improved activity in CO₂
3 hydrogenation to methanol over commercial Cu-ZnO catalysts *via* a so-called
4 hydrogen spillover mechanism. However, there still lacks a quantitative analysis of
5 hydrogen spillover effect and the nature of active sites after Pd modification remains
6 unclear. In this work, we prepared a series of Pd-doped Cu-ZnO catalysts (Pd-CZ-x)
7 with tunable Pd loading by using a facile polyol reduction method for a deep study of
8 the promotion effect of Pd. With the increase of Pd/Cu molar ratio (x) from 0 to 0.04,
9 there emerges a volcano-shaped relationship between methanol space time yield (STY)
10 and Pd loading. 1% Pd doping can increase the methanol STY by 2.5 times and the
11 methanol turnover frequency (TOF) by 3.5 times at 543 K, when compared to
12 undoped Cu-ZnO. Kinetic studies show the activation energy required for methanol
13 synthesis is greatly reduced from 59 kJ mol⁻¹ over Cu-ZnO to 31 kJ mol⁻¹ over
14 Pd-CZ-0.01. Behind the volcano-shaped relationship is a balance between the
15 hydrogen spillover effect of Pd and the reduced surface Cu area caused by Pd
16 blocking. Chemisorption gives a quantitative analysis of the reducible Cu sites (Cu_{red.})
17 enabled by hydrogen spillover. Importantly, it is found that the methanol STY
18 correlates linearly with Cu_{red.} surface area, suggesting that the activated Cu sites
19 enabled by hydrogen spillover are real active sites for methanol synthesis from CO₂
20 hydrogenation.

21 **Key words:** CO₂ hydrogenation; hydrogen spillover; Pd doped CuZn catalysts;
22 reducible Cu; active sites

23

1 **1. Introduction**

2 Catalytic methanol synthesis from CO₂ is one of the most important reactions in
3 industry because methanol is a platform chemical for the manufacture of fuels and
4 value-added chemicals [1-6]. Cu-ZnO based catalysts, commercially used for
5 methanol synthesis from syngas, are also active for CO₂ hydrogenation to methanol
6 [7-9]. However, they still suffer from low methanol yield and considerable activity for
7 CO formation *via* the reverse water gas shift (RWGS) reaction ($\text{CO}_2 + \text{H}_2 \rightarrow \text{CO} + \text{H}_2\text{O}$)
8 [10,11]. Hence, the conventional CuZn catalysts have been widely modified with
9 different metal oxides as a support such as ZrO₂, Ga₂O₃, CeO₂, MgO and Cr₂O₃, etc
10 [12-16]. These supports were believed to modulate the basicity to enhance adsorption
11 of CO₂ and related C1 intermediate species [17-19], to improve the dispersion of
12 surface Cu⁰ moiety [13,14] or to prevent the catalyst from deactivation by water vapor
13 [20], etc.

14 Another strategy is to improve the capability of hydrogen dissociation over CuZn
15 catalysts by adopting a promoter to increase the hydrogen activation [21,22]. Pd has
16 been found to be efficient in improving methanol formation over Cu-ZnO catalyst.
17 Melian-Cabrera et al. reported Pd as a good promoter for methanol synthesis with
18 improved activity by maintaining the reduced state of Cu via hydrogen spillover
19 [23-25]. Sahibzada et al. further confirmed the promotion effect of hydrogen spillover
20 on both methanol selectivity and yield by physically mixing Pd-Al₂O₃ with the
21 Cu-ZnO catalyst [26]. Although the hydrogen spillover was evidenced between Pd
22 and Cu sites to enhance the reaction rate, the nature of active sites still remains
23 unclear. Moreover, PdCu and PdZn bimetallic phases have also been reported as
24 active sites for methanol synthesis [27,28]. The complexity of surface sites (Pd, Cu,
25 PdCu, PdZn, CuZn, et. al.) would make it rather difficult to identify the real active
26 sites in the Pd modified CuZn catalysts.

27 To address above issues, it is very important to prepare ternary Pd-Cu-Zn catalysts
28 with as well-defined surface sites as possible. The variation in Pd incorporation

1 methods (i.e., co-precipitation versus sequential precipitation) was reported to have
2 the opposite effect on the catalytic performance in the hydrogenation of CO₂ to
3 methanol [25]. Polyol has been widely used to reduce metal cations into metal
4 nanoparticles in nanoparticle synthesis [29-31]. Besides, the polyol system was
5 successfully used to prepare ZnO aggregates with a high surface area [32]. Such
6 progress inspired us to synthesize Pd-Cu-ZnO complex by using a one-pot polyol
7 method. Here, the aim of this work was to explore the promotion effect of Pd on
8 CuZn catalysts and to identify the nature of active sites for methanol synthesis after
9 Pd modification. A series of Pd-doped Cu-ZnO catalysts with tunable Pd loading was
10 facilely prepared using a polyol reduction method in diethyl glycol. A proper amount
11 of Pd doping was confirmed to improve the catalytic performance through the
12 hydrogen spillover effect which created more reducible surface Cu sites as active sites
13 for CO₂ hydrogenation to methanol.

14 **2. Experimental**

15 **2.1 Catalyst preparation**

16 The Cu/Zn molar ratio in the series of Cu-based catalysts was fixed at 1:1 so as to
17 explore the effect of Pd modification to the system.

18 The Cu-ZnO catalyst was synthesized in the following procedure. 1.9965 g of
19 Cu(CH₃COO)₂·H₂O (10 mmol, ≥98% pure, Sinopharm Chemical Reagent) and
20 2.1951 g of Zn(CH₃COO)₂·2H₂O (10 mmol, ≥99% pure, Sinopharm Chemical
21 Reagent) were dissolved in 100 mL of diethylene glycol (DEG, ≥99% pure,
22 Sinopharm Chemical Reagent) in a three-neck round-bottom flask with the help of
23 vigorous magnetic stirring and ultrasonication. The flask was then transferred into an
24 oil bath to heat the solution rapidly to reflux at around 462 K under vigorous stirring
25 and under the protection of N₂ flow. The reaction was maintained for 8 h and then
26 cooled down naturally. The precipitates were collected by centrifugation and washed
27 with distilled water and ethanol each by 3 times, followed by a vacuum drying at 333
28 K for 12 h. The product is denoted as CZ.

1 Pd-doped Cu-ZnO catalysts were synthesized in a similar procedure with the only
2 difference being the co-addition of Na_2PdCl_4 ($\geq 98\%$ pure, Aladdin), from 0.0147 to
3 0.1176 g, with the Cu and Zn precursors in the initial step. The Pd/Cu molar ratio was
4 varied from 0.005 to 0.01, 0.02, 0.03 and 0.04. The final product was denoted as
5 Pd-CZ-x, where x stands for the molar ratio of Pd to Cu in the initial recipe.

6 **2.2 Characterization**

7 Unless noted otherwise, before each characterization, the as-synthesized catalyst
8 was reduced under H_2 flow, cooled down under Ar followed by swift transfer into
9 small glass vessel with a perforated rubber cap and then into a Schlenk tube
10 deoxygenated by Fe powder and then vacuumed and refilled with N_2 .

11 Transmission Electron Microscopy (TEM) images were acquired on a JEM-2100
12 (JEOL) to observe the morphology of the catalysts. Elemental mapping was
13 performed on a Technai G2 F20 (FEI) equipped with scanning TEM (STEM)
14 accessories. N_2 sorption measurements were conducted at 77 K on a ASAP 2020
15 (Micromeritics) and the Brunauer-Emmett-Teller (BET) multilayer-sorption model
16 was used to calculate the surface area while the Barrett-Joyner-Halenda (BJH) method
17 was used for pore volume calculation. Inductively coupled plasma-atomic emission
18 spectroscopy (ICP-AES) analysis was performed on an IRIS Intrepid II XSP
19 equipment (Thermo) to measure the active metal content in the as-synthesized
20 catalysts. Powder X-ray diffraction (XRD) patterns of the reduced catalysts were
21 recorded on a Bruker D8 Advance with $\text{Cu K}\alpha$ radiation. Powder Diffraction Files,
22 #04-0836 (Cu) and #36-1451 (ZnO), are used to recognize the crystallite phase.
23 Scherrer equation was used to calculate the crystallite sizes.

24 X-ray photoelectron spectroscopy (XPS) measurements were carried out in a
25 custom made device (Scienta Omicron) equipped with a gas-reaction chamber. The
26 sample was pressed into a tablet and then placed in the pretreatment chamber to be
27 reduced under $20 \text{ mL min}^{-1} \text{ H}_2$ at 573 K (heating rate: 5 K min^{-1}) for 2 h. After it is
28 cooled down, the tablet was transferred into the test chamber to measure the XPS

1 spectra for the reduced catalyst. No adventitious carbon is used as internal standard
2 during XPS test with regard to the inevitable carbon residue on the catalysts surface in
3 polyol reduction method. The C-C component of C1s was fitted out and calibrated to
4 284.8 eV, which provided the binding energy (BE) calibration for elements in the
5 same set of measurement.

6 H₂ temperature-programmed desorption (H₂-TPD) experiments were carried out on
7 a BEL-CAT B (BEL, Co., Japan) to examine the hydrogen adsorption properties of
8 the catalysts. In each test, 0.1 g of sample was loaded into the quartz tube and was
9 reduced in a flow of 5% H₂/Ar (30 mL min⁻¹ at 573 K (heating rate: 5 K min⁻¹) for 2 h
10 and cooled down to 303 K in 30 mL min⁻¹ of 5% H₂/Ar. Then Ar flow (30 mL min⁻¹)
11 was used to purge the residual H₂ for 1h. After the above pretreatment was done, the
12 carrier gas was switched to Ar at a flow rate of 10 mL/min and the temperature was
13 elevated to 1123 K at a rate of 10 K min⁻¹ while the TCD recording was concurrently
14 started.

15 Temperature-programmed reduction (TPR) experiments were performed on a
16 BEL-CAT B (BEL, Co., Japan) in order to study the surface copper species in the
17 catalysts. 0.1 g of sample was used in each individual test. The pretreatment steps
18 were as follows. First, the catalyst was reduced under 5% H₂/Ar flow (30 mL min⁻¹) at
19 573 K (heating rate: 5 K min⁻¹) for 2 h and cooled down to 313 K under Ar flow (20
20 mL min⁻¹). Then N₂O was passed through (20 mL min⁻¹) the sample to oxidize the
21 surface species at 333K for 1h. And then the sample temperature was raised to 423 K
22 (heating rate: 5 K min⁻¹) under Ar flow at a rate of 20 mL min⁻¹ and hold at 423 K for
23 30 min to purge residual N₂O. Finally, the sample temperature was cooled down to
24 313 K. After the pretreatment is done, the gas was switched to 5% H₂/Ar (30 mL
25 min⁻¹) and the sample temperature was ramped to 553 K at a rate of 10 K min⁻¹ and
26 thermal conductivity detector (TCD) recording was started to obtain surface-H₂-TPR
27 profile. TPR peak area was integrated and transformed into H₂-consumption based on
28 calibration. The number of surface copper atoms is twice the number of H₂ molecules

1 consumed as shown in Eq. (1) and Eq. (2).



4 **2.3 Catalytic test**

5 A continuous-flow, tubular fix-bed reactor was used to evaluate the catalytic
6 activity. 0.2 g of catalyst was loaded into the stainless steel tube filled with an
7 appropriate amount of quartz fiber. Before catalytic test, the catalyst was reduced in
8 20 mL min⁻¹ of H₂ at 573 K (heating rate: 5 K min⁻¹) for 2 h. After cooling down to
9 423 K, a CO₂/H₂ mixture with a molar ratio of 1:3 was fed at a rate of 400 mL min⁻¹
10 to reach 4.5 MPa set by the back pressure valve. Then the flow rate was adjusted to 36
11 mL min⁻¹ (corresponding to a gas hourly space velocity (GHSV) of 10800) and the
12 temperature was elevated at 5 K min⁻¹ to the desired reaction temperature within the
13 range of 503 K-543 K. Post-reactor lines and valves were heated up to 423 K to avoid
14 products condensation. The products were analyzed online by a gas chromatography
15 (GC) equipped with a TCD detector and Porapak-Q column. Conversion and
16 selectivity were calculated from the last three sets of GC spectra collected every 5 min
17 throughout a reaction time of 3 h.

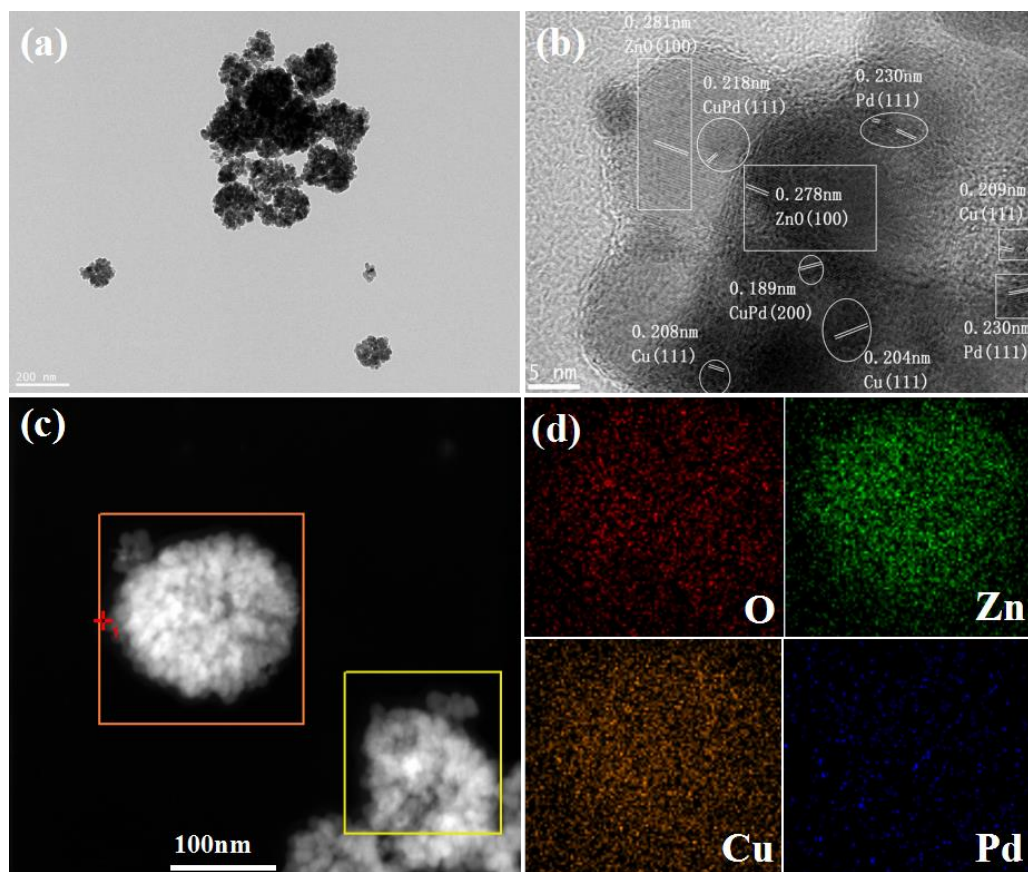
18 **3. Results and discussion**

19 **3.1 Materials characterization**

20 The Cu-ZnO and Pd-doped Cu-ZnO catalysts were prepared using a one-pot polyol
21 reduction method, denoted as CZ and Pd-CZ-x respectively, where x represents the
22 molar ratio of Pd to Cu. The morphology and microstructures were first examined by
23 using transmission electron microscopy (TEM). As shown in Fig. 1a and Fig. S1, all
24 samples show blackberry-shaped microstructures of up to 200 nm, with each “berry”
25 composed of numerous nanospheres of about 20 nm in the average size. Fig. 1b shows
26 high resolution TEM images of the typical Pd-CZ-0.01 sample. Clearly, the lattice
27 fringes of bimetallic CuPd (111), (200) and Pd (111) in the vicinity of ZnO (100),
28 provide evidence for the high dispersion of Pd, mainly in form of CuPd alloy phase

1 within the catalyst. The elemental mapping was then acquired by using high-angle
 2 annular dark-field STEM (HAADF-STEM) with energy dispersive X-ray
 3 spectrometer (EDX), explicitly showing a homogeneous distribution of O, Zn, Cu and
 4 Pd through the entire framework within a berry (Figs. 1c and d). Similar profiles for
 5 the Pd-CZ-0.03 and Pd-CZ-0.04 samples were also demonstrated (Fig. S2).

6



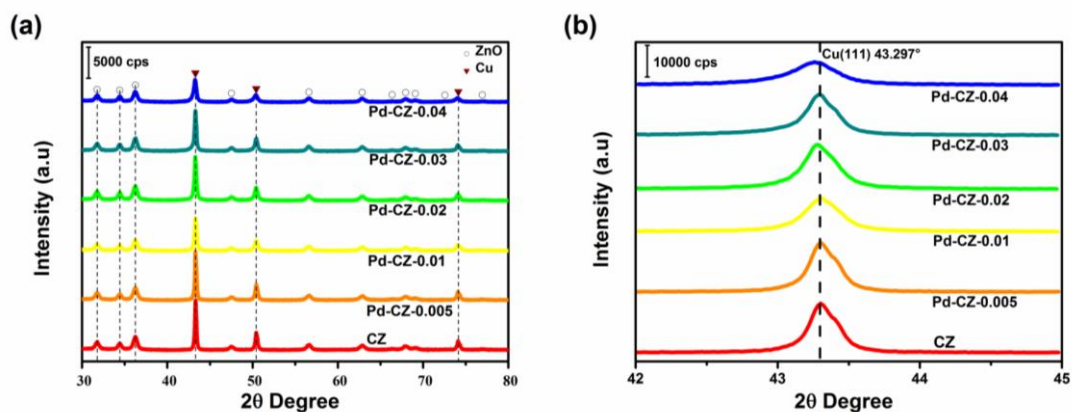
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8 **Fig. 1** (a) TEM, (b) HR-TEM and (c) HAADF-STEM images of the typical Pd-CZ-0.01 sample
 9 and the corresponding (d) elemental mapping from (c). In (c), the orange rectangle is the area for
 10 elemental mapping and the yellow rectangle serves for drift correction.

11 X-ray photoelectron spectra (XPS) were then performed to probe the chemical state
 12 of Cu, Zn and Pd for the CZ and Pd-CZ-x catalysts, as illustrated in Fig. S3. All
 13 samples show identical binding energies (BE) of Zn 2*p* and Cu 2*p*, suggesting the
 14 same oxidation state of Zn and Cu before and after the doping of Pd. Combining with
 15 the modified Auger parameters [33] (AP, defined as $AP = BE(2p_{3/2}) - BE(LMM) +$

1 X-ray energy) of Zn (1850.65-1850.82 eV) and Cu (2009.50-2009.61 eV) (Table S1),
2 we confirmed the chemical state of Zn²⁺ and Cu⁰ in all catalysts with reference to
3 Wagner Plot [34]. XPS spectra of Pd 3d for all Pd-CZ-x samples show two peaks at
4 335.4 and 340.7 eV, which can be assigned to the 3d_{5/2} and 3d_{3/2} of Pd⁰. Note that the
5 reported binding energies of Pd⁰ in PdCu alloy and metallic Pd are very close, making
6 them difficult to be differentiated from Pd 3d core-level spectra [27]. However, we
7 could still rule out the presence of PdZn from our Pd-CZ-x samples because PdZn
8 alloy phase shows a more positive BE than metallic Pd [35].

9 Fig. 2a shows the powder X-ray diffraction (XRD) patterns of the reduced CZ and
10 Pd-CZ-x catalysts. All samples exhibit a series of typical diffraction peaks (labelled as
11 circle) indexed to wurtzite ZnO (JCPDS#36-1451) while the diffraction peaks at 43°,
12 51° and 74° (labelled as inverted triangle) are assigned to metallic Cu
13 (JCPDS#04-0836). No recognizable Pd diffractions appear in the patterns of the
14 Pd-CZ-x samples due to the low Pd loading and good dispersion. Notably, the Cu
15 diffractions are much sharper than the ZnO peaks, indicating a larger crystalline size
16 of Cu than that of ZnO. Table S2 displays the average particle size of Cu and ZnO
17 as calculated from Scherrer formula. It can be seen the Cu particle size
18 generally decreases from 37.9 to 22.0 nm with the increase of Pd/Cu molar
19 ratios from 0 to 0.04 while the ZnO particle size remains unperturbed (16.4±0.7
20 nm) This somehow indicates that Pd can modulate the formation of Cu particles
21 rather than ZnO crystals. Moreover, in Fig. 2b the Cu (111) peak gradually shifts
22 towards low angle with the increase of Pd loading, suggesting a lattice expansion of
23 Cu due to a larger atomic radius of Pd than Cu. This can further support the successful
24 doping of Pd into the Cu lattice.



1
2 **Fig. 2** The XRD patterns of the CZ and Pd-CZ-x catalysts. (a) The wide-range patterns show the
3 typical diffraction peaks indexed to wurtzite ZnO and metallic Cu. (b) The magnified Cu (111)
4 peak displays a gradual shift towards low angle with increased Pd loading, suggesting a lattice
5 expansion of Cu.

6 Fig. S4 displays the nitrogen sorption isotherms of all samples, where a type IV
7 isotherm with a type H3 hysteresis loop is observed, indicative of abundant mesopores
8 formed by the stacking of particles in the materials [36]. All samples possess very
9 similar Brunauer-Emmett-Teller (BET) surface area of about 20 m² g⁻¹ (Table S3).
10 The contents of Cu and Pd were detected by ICP-OES and listed in Table S3. The Pd
11 contents for all Pd-CZ-x samples were slightly lower than the theoretical values
12 (calculated from recipe) while the Cu contents were opposite, which may be explained
13 by the different reduction potentials of Cu and Pd.

14 **3.2 Catalytic performance**

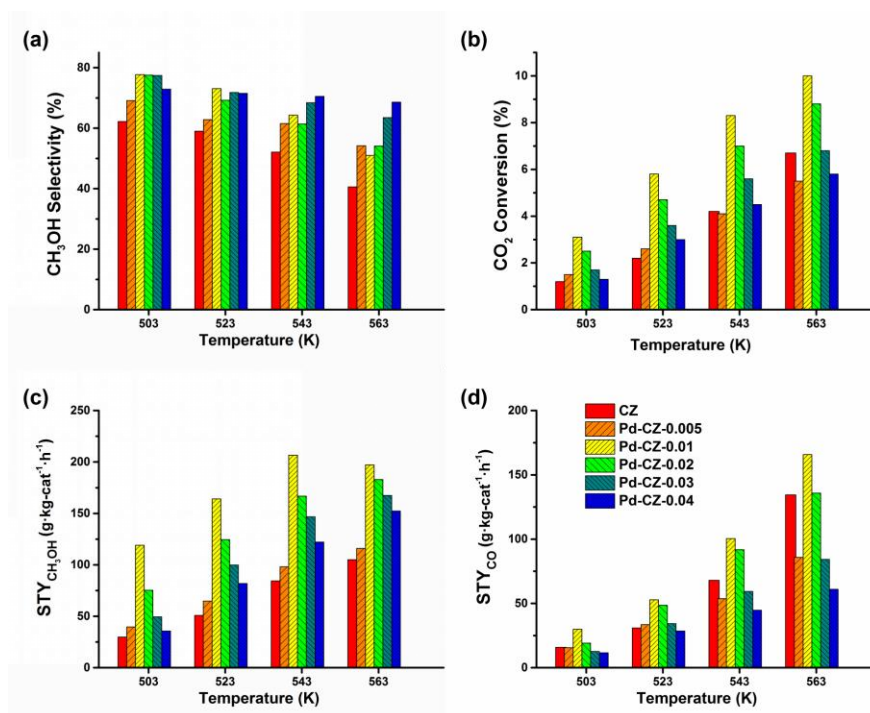
15 We next evaluated the CZ and Pd-CZ-x catalysts in the hydrogenation of CO₂ to
16 methanol at different temperatures from 503 to 563 K. Fig. 3 and Table S4 show the
17 catalytic results including the conversion of CO₂, the selectivity to methanol, and the
18 space time yield (STY) of methanol and CO. Note that CO is generated as the
19 exclusive carbonaceous byproduct via the reversed water gas shift (RWGS) reaction.
20 The RWGS reaction is endothermic, with an enthalpy change of 41.2 kJ mol⁻¹ at 298
21 K, whilst the title reaction (CO₂ hydrogenation to methanol) is exothermic (-49.5 kJ
22 mol⁻¹) [37], as seen in Equations 3 and 4. Thermodynamically, the selectivity to
23 methanol will drop as the reaction temperature increases, in good agreement with the

1 decreasing trend of methanol selectivity for all samples (Fig. 3a). The methanol
 2 selectivity declines sharply from 62% at 503 K to 41% at 563 K for the CZ catalyst,
 3 but it only drops from 73% to 69% for the Pd-CZ-0.04 sample. This suggests that the
 4 doping of Pd favors a narrow variation range of methanol selectivity with reaction
 5 temperature. Also the doping of Pd could improve the methanol selectivity to some
 6 extent, which is distinctive at a high reaction temperature. Importantly, the methanol
 7 selectivity of Pd-CZ-0.01 reaches 73% at 523 K, which is much higher than that of the
 8 CZ sample (59%) in this work and previously reported Cu-based catalysts (below
 9 55% under approximate reaction condition) [38,39], and comparable to that of
 10 advanced Pd/ZnO catalysts (70-80%) [4]. So, Pd doping is indeed an effective way to
 11 increase the methanol selectivity of commercial CuZn catalysts.



14 (4)

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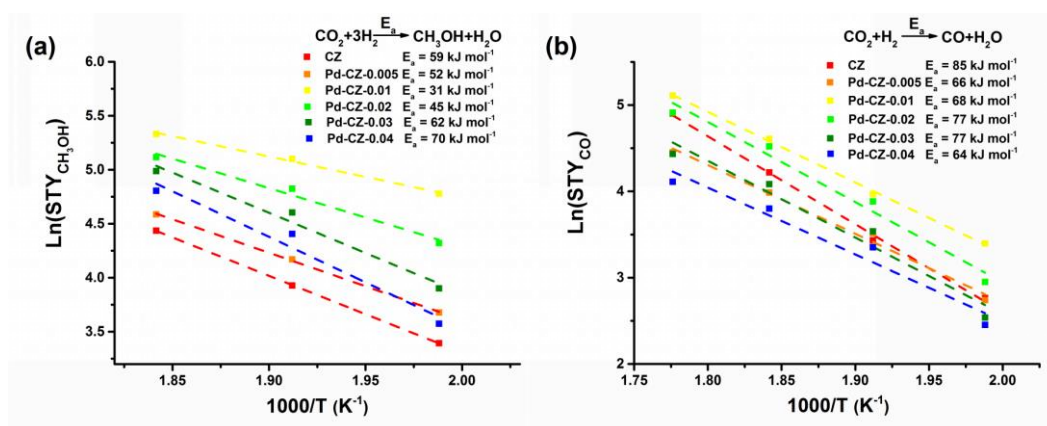
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17 **Fig. 3** (a) Methanol selectivity, (b) CO₂ conversion, (c) methanol STY and (d) CO STY in
 18 methanol synthesis from CO₂ hydrogenation over a series of catalysts. Reaction conditions: 4.5

1 MPa, $H_2/CO_2 = 3$ and GHSV = 10800.

2 Regarding the CO_2 conversion, it shows an increasing trend with reaction
3 temperature for all the catalysts, suggesting that high temperatures would favor the
4 activation of CO_2 . Precisely, the CO_2 conversion over the CZ sample increases by
5 nearly 6 times from 1.2% to 6.7% when the temperature was raised from 503 to 563 K.
6 In contrast, the CO_2 conversion over the Pd-CZ-0.01 samples is only enhanced by
7 about 3 times from 3.1% to 10.0%, which is less sensitive to the reaction temperature
8 than that over the CZ sample. This indicates the activation energy (E_a) required for
9 CO_2 hydrogenation decreases after the 1% doping of Pd. It is also found that the
10 Pd-CZ-0.01 catalyst shows the highest CO_2 conversion among the six samples in the
11 temperature region from 503 to 563 K.

12 As for the methanol yield, we can see a volcanic correlation of STY versus the
13 loading of Pd at all tested temperatures, with the Pd-CZ-0.01 catalyst showing the best
14 performance (Fig. 3c). Notably, the methanol STY over the Pd-CZ-0.01 catalyst
15 reaches the highest value ($207 \text{ g kg}_{\text{cat}}^{-1} \text{ h}^{-1}$) at 543 K, 2.5 times that of the undoped CZ
16 catalyst ($84 \text{ g kg}_{\text{cat}}^{-1} \text{ h}^{-1}$). The sudden drop of the methanol STY at a higher
17 temperature (563 K) may result from the limitation of thermodynamic equilibrium
18 state [40]. The STY of the byproduct CO was also correlated with the Pd loading, and
19 a very similar volcanic profile was observed, irrespective of the high STY over the CZ
20 sample at 543 and 563 K (Fig. 3d).



21
22 **Fig. 4** (a) The Arrhenius plots for methanol synthesis reaction for the calculations of apparent
23 activation energy (E_a). The points at 563 K were excluded from the fitting due to the limitation of

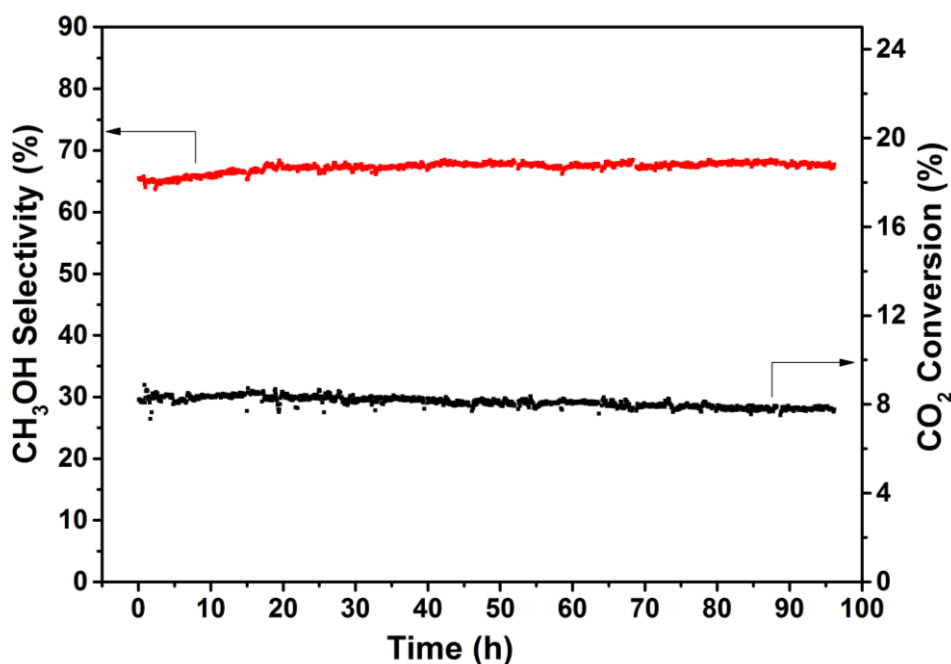
1 thermodynamic equilibrium. (b) The Arrhenius plots for RWGS for the calculations of E_a .
2 The apparent activation energies (E_a) for methanol synthesis and RWGS were then
3 calculated via Arrhenius Equation ($\ln(K) = \ln(A) - E_a/RT$, where K is the reaction rate
4 constant, A is the Arrhenius factor, $R = 8.314 \text{ J mol}^{-1} \text{ K}^{-1}$, T is the temperature). The
5 Arrhenius plots are depicted in Fig. 4. The E_a for methanol synthesis over CZ and
6 Pd-CZ- x (x from 0.005 to 0.04) are 59, 52, 31, 45, 62 and 70 kJ mol^{-1} , respectively.
7 Among them, the Pd-CZ-0.01 catalyst shows the lowest E_a (31 kJ mol^{-1}), which may
8 explain the highest methanol STY at a Pd/Cu molar ratio of 0.01. This suggests that a
9 proper amount of Pd doping could decrease the E_a for methanol synthesis. As for the
10 side reaction for CO formation (RWGS), the E_a over all Pd-doped samples decreased
11 to 64-77 kJ mol^{-1} compared to the CZ catalyst (85 kJ mol^{-1}), indicating that the
12 incorporation of Pd would also benefit the CO formation to some extent, as confirmed
13 by Fig. 3d. The big difference in the activation energies may be explained by different
14 chemical properties of surface Cu. Cu is promoted by Pd in the following aspects: the
15 electronic effect, the geometric effect and the hydrogen spillover effect. The spillover
16 affects mostly the coverages of active hydrogen atoms and thus affecting the
17 pre-factor more than the activation energies. The electronic structure of adjacent Cu
18 atoms would also be affected by Pd doping, probably via an electron transfer from Pd
19 to Cu (J. Phys. Chem. **1991**, 95, 5716-5719). It is believed that the electronic effect
20 and geometric effect would somehow influence the adsorption/desorption and
21 activation steps, thus affecting the activation energy of a reaction. So the electronic
22 and geometric promotion of Pd in the active sites cannot be ruled out.

23 We then performed a time-on-stream (TOS) test to examine the long-term stability
24 of the Pd-CZ-0.01 catalyst. Fig. 5 shows both CO_2 conversion and methanol
25 selectivity at 543 K for 96 h. The CO_2 conversion slightly decreases from initial 8.2%
26 to 7.8% while the methanol selectivity increases gradually from 66% to 68% after 96
27 h. As a result, the final methanol STY marginally drops by only 1.7% with reference
28 to the initial yield. After the TOS test, the Pd-CZ-0.01 catalyst was cooled down in the

1 H₂ atmosphere and then carefully collected for further characterizations. TEM images
2 in Fig. S5 indicated that the “blackberry” structures were retained and the domains of
3 Cu and PdCu lattices were still distributed on the ZnO substrates. Interestingly, we
4 found that some of them were wrapped by few-layered overcoats with a measured
5 layer distance of about 0.37 nm, which were believed as the amorphous ZnO as
6 reported in the literature [41]. The migration of ZnO onto Cu surface was believed as
7 an evidence for the strong metal-support interactions (SMSI). The growth of ZnO
8 overcoat may protect Cu nanoparticles from reshaping and sintering caused by the
9 structural dynamics that are found for bare copper at variable chemical potential
10 (*Science* **2002**, 295, 2053–2055). However, whether the metastable ZnO overcoats on
11 Cu can promote methanol synthesis is still unknown. Note that we did not observe
12 ZnO overcoats on Cu or PdCu in all of the reduced catalyst prior to catalysis. So it
13 seems that these ZnO overcoats form only after a prolonged time (nearly 100 h) of
14 catalytic reaction (see Fig. S5). To better check the formation of overcoats under the
15 reaction conditions, we have carried out additional HRTEM characterization of all
16 used catalysts just after 8h catalytic tests. The selected TEM images are shown in Fig.
17 S6. During the whole process of TEM imaging, we did not observe obvious
18 amorphous ZnO overcoats on Cu or CuPd particles for all samples, unlike the case of
19 Pd-CZ-0.01 after 96 h of TOS evaluation. This suggests that the formation of ZnO
20 layer is undergoing a slow and ongoing process under methanol synthesis conditions.
21 However, it should be noted that there is almost no change in catalytic activity during
22 the TOS test (Fig. 5), which clearly reveals that ZnO overcoats do not play a decisive
23 role in methanol synthesis. In addition, by comparing the TEM images of all used
24 catalysts, we could not find any useful information on the influence of different Pd
25 loading on the formation of ZnO overcoats. So there is no evidence to describe
26 whether Pd could facilitate the formation of overcoats.

27 In addition, the crystal phase and chemical state of active species remained unchanged
28 from the XRD and XPS analyses of the used Pd-CZ-0.01 catalyst (Fig.s S7 and S8).

1 All above analyses confirms the stability of our Pd-doped CuZn catalysts for the
2 hydrogenation CO₂ to methanol.

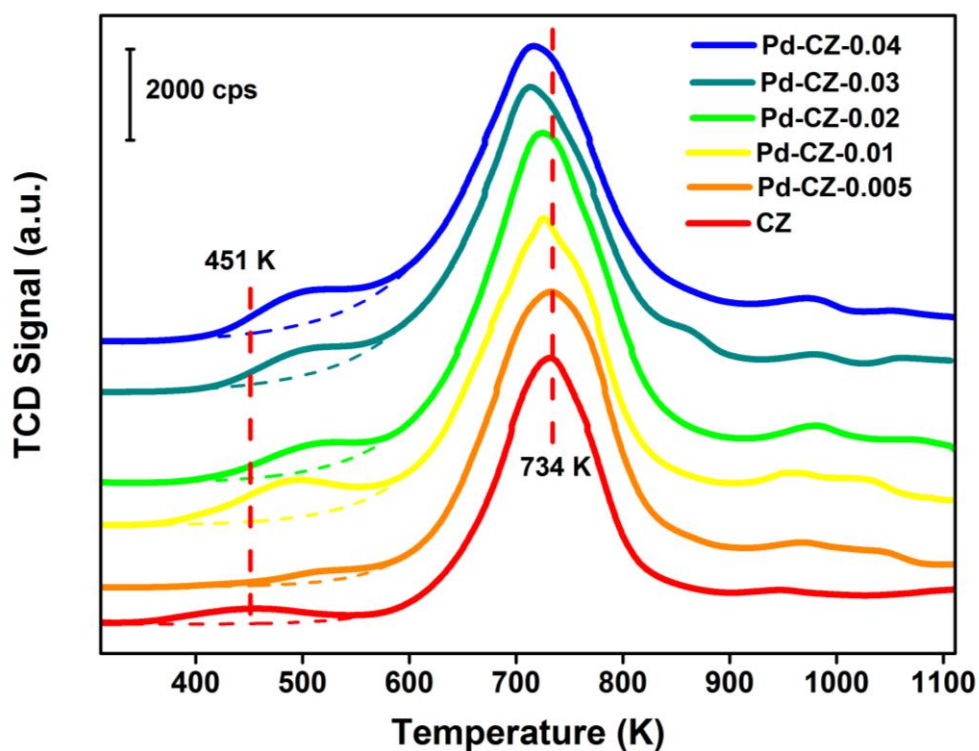


3
4 **Fig. 5** Time-on-stream test of the Pd-CZ-0.01 catalyst in CO₂ hydrogenation to methanol at 543 K
5 for 96 h. Reaction conditions: pressure=4.5 MPa, H₂/CO₂=3:1, gas flow=36 mL/min, catalyst
6 mass=0.2 g (GHSV=10800).

7 **3.3 Identification of active sites for methanol synthesis**

8 It has been proposed that a hydrogen spillover mechanism is responsible for the
9 increase in the methanol yield over Pd modified CuZn catalysts [23-26]. The
10 dissociation of H₂ on Pd is much easier than that on Cu, which leads to a hydrogen
11 spillover from Pd to Cu at their interfaces [44]. The transferred hydrogen species
12 would participate in the subsequent CO₂ hydrogenation on Cu sites, thus promoting a
13 higher methanol yield. H₂-TPD tests were then performed to confirm H₂-spillover in
14 our Pd-CZ-x catalysts. The TPD profiles in Fig. 6 show that all samples are
15 characteristic of a small signal at 350-600 K (Peak A) and a large H₂ desorption peak
16 at 600-800 K (Peak B). For the CZ sample, the former peak at 451 K is assigned to
17 the hydrogen species adsorbed on Cu in the form of Cu-H bonds [45], while the latter
18 at 734 K stems from the heterolytically adsorbed hydrogen on the ZnO surface [46].
19 After the doping of Pd, the Peak A shifts positively to around 500 K, which is located

1 between that of CZ and the referenced Pd-ZnO sample (Fig. S9). This reveals a strong
2 interaction at the interfaces of Pd related phase and Cu where an apparent
3 hydrogen spillover occurs. The peak area can provide information on the amount of
4 H₂ dissociative adsorption on Cu and Pd (metal-H bonds), thus somehow reflecting
5 the amount of surface metal sites. Table 1 shows the calculated amount of H₂
6 adsorption. Apparently, the Pd-CZ-0.01 catalyst shows the largest H₂ adsorption on a
7 catalyst weight basis (17.4 μmol g_{cat}⁻¹) as well as the largest H₂ adsorption per mole of
8 Pd (0.32 mol mol_{Pd}⁻¹), manifesting that Pd-CZ-0.01 is more advantageous than the
9 other Pd-doped samples in the utilization of Pd. As for the Peak B, after Pd promotion,
10 H₂-spillover could further increase the heterolytic hydrogen stored on the ZnO surface
11 through the Cu-ZnO interfaces, which can explain the broadening, intensification and
12 low-temperature shift of the Peak B with the increase of Pd loading. To provide more
13 evidence for hydrogen spillover, we have supplemented H₂ TPR tests of O₂
14 pretreated samples and the result is shown in Fig. S10. It can be clearly seen that the
15 reduction of CuO for all the Pd doped samples is greatly facilitated as their reduction
16 peaks shift to much lower temperatures when compared to the referenced CZ sample.
17 The peak temperature can be brought down from 484 K for CZ to as low as 428 K
18 even with the presence of tinny amount of Pd doping in Pd-CZ-0.005. Such shift
19 strongly supports the idea of hydrogen spillover.
20



1
 2 **Fig. 6** H₂-TPD profiles of different catalysts with the curved dash lines representing the
 3 exponential background for the shoulder peak and the straight dash lines marking the most
 4 distinctive desorption peaks of CZ.

5 **Table 1** H₂ desorption calculated from the peak at 350-600 K in H₂-TPD profiles.

Catalysts	peak position (K)	H ₂ desorption per	H ₂ desorption per
		gram of catalyst ^a	mole of Pd ^a
		($\mu\text{mol g}_{\text{cat}}^{-1}$)	($\text{mol mol}_{\text{Pd}}^{-1}$)
CZ	451	7.5	-
Pd-CZ-0.005	516	3.8	0.12
Pd-CZ-0.01	490	17.4	0.32
Pd-CZ-0.02	507	8.3	0.08
Pd-CZ-0.03	498	9.9	0.05
Pd-CZ-0.04	498	13.3	0.06

6 ^a H₂ desorption stands for chemisorbed hydrogen on metal species (Cu or PdCu).

7 Although the hydrogen spillover contributes a lot to the methanol yield, the exact
 8 nature of active sites still remains unclear. For commercial CuZn based catalysts, the

1 active sites for methanol synthesis are generally believed to be either CuZn alloy
2 (*Science* **2012**, 336, 893-897) or Cu-ZnO interfaces (*Science* **2017**, 355, 1296-1299).
3 The ZnO component is crucial to methanol synthesis and its role cannot be neglected.
4 However, it is still very challenging to accurately quantify the above two types of
5 active sites for commercial CuZn catalysts. Instead, the assessment of Cu surface area
6 is normally used to correlate with the catalytic performance as the more exposed
7 surface Cu means the more interface Cu (CuZn or Cu-ZnO). For a simplification, we
8 would only evaluate the chemistry of Cu surface after the modification of Pd in this
9 current work. Moreover, surface bimetallic sites such as PdCu, PdZn, CuZn have also
10 been regarded as active sites for methanol synthesis from CO₂ hydrogenation in the
11 literature [28]. In fact, in this work only PdCu bimetallic phase was directly
12 determined from HRTEM analysis, but it is very difficult to quantify the surface PdCu
13 sites. Fortunately, the chemisorption technique could provide information not only on
14 the Cu surface areas but also on the types of Cu species.

15 The Cu surface area (SA) was measured by means of N₂O chemisorption, which
16 gave the amount of Cu sites exposed to the reactants. Note that during the
17 pre-oxidation treatment with N₂O under our conditions, only surface Cu was oxidized
18 to Cu₂O while Pd remained in metallic phase [48], ruling out the interference by Pd.
19 During the subsequent H₂-TPR, the surface Cu₂O was reduced to metallic Cu,
20 resulting in the quantification of H₂ consumption as depicted in Fig. 7. The Cu SA
21 was calculated based on the calibration plot in Fig. S11 and the results are displayed
22 in Table 2. Apart from the case of Pd-CZ-0.02, the overall Cu SA shows a decreasing
23 trend with the increasing Pd loading due to the blocking of surface Cu sites by Pd. As
24 for the Pd-CZ-0.04 catalyst with the highest Pd loading, the Cu SA drops to 2.1 m² g⁻¹,
25 which is much smaller than the undoped CZ sample (7.2 m² g⁻¹). Such a decrease in
26 Cu SA at high coverage of Pd agrees well with earlier reported Pd modified CuZnAl
27 catalysts [23].

28

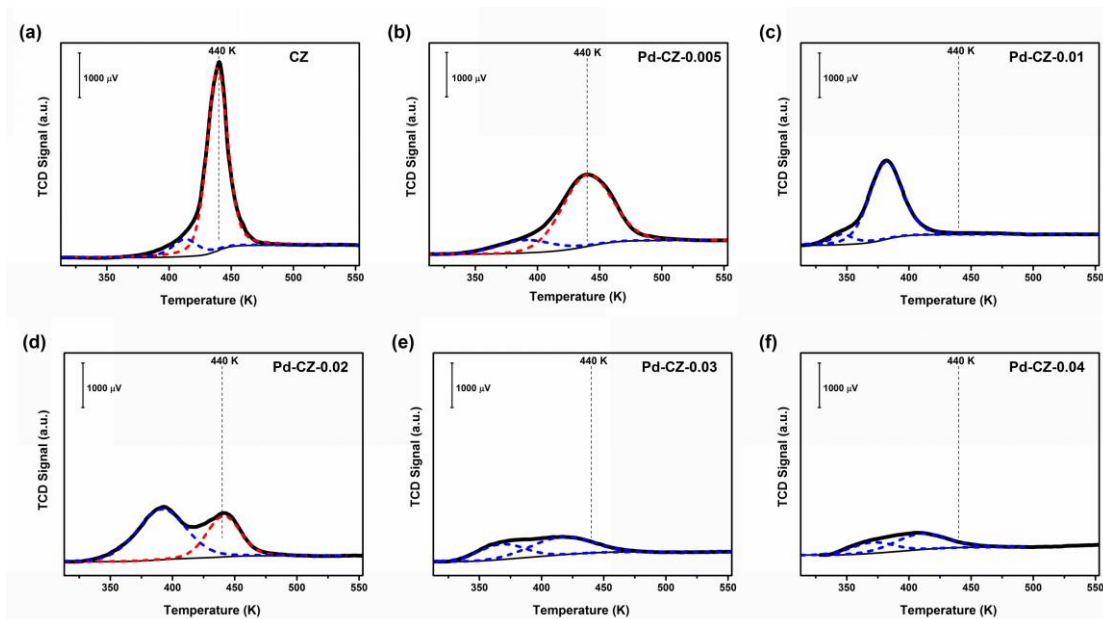
1 **Table 2** Cu surface area based on TPR peak fitting and methanol TOF at 523 K and 543 K.^a

Catalyst	Cu _{all} SA (m ² g ⁻¹) ^b	Cu _{red.} SA (m ² g ⁻¹) ^c	TOF _{Methanol} (×10 ⁻³ s ⁻¹) at 523 K ^d	TOF _{Methanol} (×10 ⁻³ s ⁻¹) at 543 K ^d
CZ	7.2	0.8	2.53	4.20
Pd-CZ-0.005	6.8	1.2	3.42	5.20
Pd-CZ-0.01	5.0	5.0	11.8	14.8
Pd-CZ-0.02	6.2	4.0	7.14	9.56
Pd-CZ-0.03	2.7	2.7	13.5	19.8
Pd-CZ-0.04	2.1	2.1	14.3	21.3

2 ^a Cu SA was calculated with reference to 1.46×10¹⁹ Cu m⁻² [47]. ^b Cu_{all} stands for the overall
3 surface Cu. ^c Cu_{red.} stands for the surface Cu sites indicated by peak(s) at lower than 440 K.

4 ^d Methanol TOF was calculated based on the assumption of each surface Cu atom as one active
5 site.

6 We then calculated the methanol turnover frequency (TOF) based on the
7 assumption that each surface Cu is the one site for catalytic CO₂ hydrogenation to
8 methanol. The methanol TOF shows an increasing trend with Pd loading, suggesting
9 that the Pd modified surface Cu sites are more active than undoped Cu sites.
10 Importantly, the comparison between Pd-CZ-0.01 and CZ demonstrates that a minor
11 modification with 1% Pd can increase the methanol TOF by 4.7 times from 2.53×10⁻³
12 to 1.18×10⁻² s⁻¹ at 523 K and 3.5 times from 4.20×10⁻³ to 1.48×10⁻² s⁻¹ at 543 K (Table
13 2). Such enhancement encouraged us to further explore the Pd modified Cu sites.
14 Moreover, the methanol STY is not linearly correlated to the overall Cu SA (Fig. S12),
15 suggesting that not all surface Cu atoms can offer the active sites for CO₂
16 hydrogenation reaction.

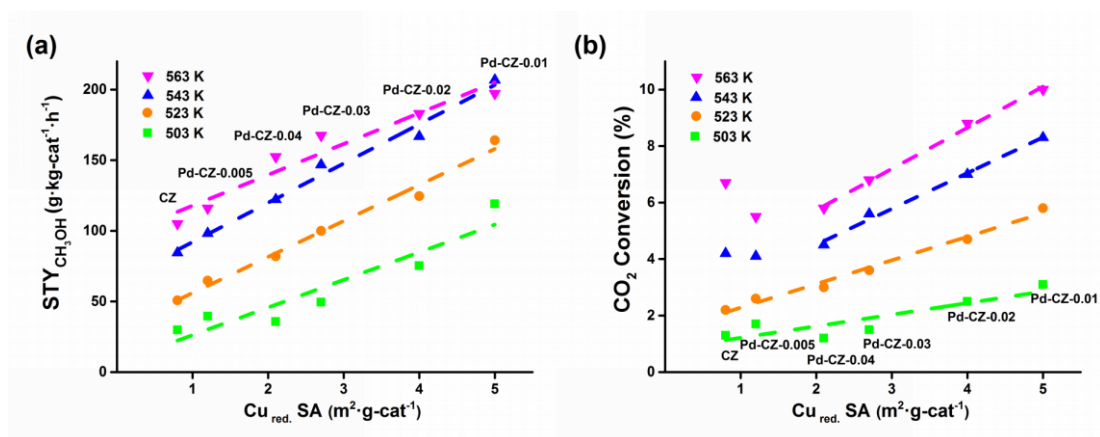


1

2 **Fig. 7** Surface H₂-TPR spectra following the N₂O-oxidation treatment of CZ (a), Pd-CZ-0.005 (b),
 3 Pd-CZ-0.01 (c), Pd-CZ-0.02 (d), Pd-CZ-0.03 (e) and Pd-CZ-0.04 (f). The peak at 440 K, marked
 4 with red dashed line, arises from freestanding Cu sites. The reduction peaks at lower than 440 K,
 5 colored in blue, arise from reducible Cu sites.

6 Fig. 7 shows the deconvolution of TPR curves of all catalysts. The CZ sample
 7 shows a dominant reduction peak at 440 K as well as a minor peak at 412 K. The
 8 major peak can be assigned to the freestanding surface Cu upon N₂O oxidation, while
 9 the small one represents the more reducible Cu species at Cu-ZnO interfaces as it has
 10 been accepted that the coverage of ZnO can enhance the reducibility of Cu [49, 50].
 11 After the doping of Pd, the signal of Pd-CZ-0.005 become broad due to the hydrogen
 12 spillover caused by Pd. Sykes et al. reported the hydrogen spillover effect of
 13 atomically dispersed Pd on Cu(111) surface which is distinctive even at a Pd coverage
 14 of 0.01 monolayer [44]. Interestingly, the Pd-CZ-0.01 sample exhibits a nearly singlet
 15 peak at 381 K, suggesting that the surface Cu in Pd-CZ-0.01 is evenly decorated with
 16 Pd atoms which brings spillover hydrogen to cover the overall surface Cu sites. The
 17 Pd-CZ-0.02 sample shows two distinctive reduction peaks located at 391 and 440 K.
 18 With further increasing the Pd loading the Pd-CZ-0.03 and Pd-CZ-0.04 catalysts do
 19 not show any peak at 440 K or above, suggesting that overall surface Cu domains are

1 affected by Pd *via* hydrogen spillover. Based on the above analyses, we divided the
 2 surface Cu sites into two main types: freestanding Cu (440 K) and reducible Cu
 3 (below 440 K, denoted as Cu_{red.}) caused by hydrogen spillover from Pd (Pd-CZ-x) or
 4 Cu/ZnO interfaces in the CZ sample. It should be noted that the effects of Pd, PdCu,
 5 CuZn or even Cu/ZnO interfaces on the creation of the reducible Cu are included in
 6 the Cu_{red.} type. In the CO₂ hydrogenation reaction, these above phases could keep the
 7 adjacent Cu surface in a more reduced state by the spilled-over hydrogen, thus
 8 counteracting the oxidizing effect of CO₂ or H₂O.



9
 10 **Fig. 8** Linear plots of (a) methanol STY and (b) CO₂ conversion against Cu_{red.} SA. Stacked lines
 11 represent linear fitting of data points grouped by reaction temperature. In (b), the points of CZ and
 12 Pd-CZ-0.005 at 543 K and 563 K were excluded from the fitting.

13 The SA of Cu_{red.} sites are calculated at different Pd loadings and described in Table
 14 2. It is found that the Cu_{red.} SA goes up to a maximum of 5.0 m² g⁻¹ for the
 15 Pd-CZ-0.01 sample and then declines with a further increase of Pd loading, which
 16 resembles the volcano-shaped variation trend of methanol STY versus Pd loading. So
 17 we propose that there must be some relationship between methanol STY and Cu_{red.} SA.
 18 When the methanol STY is plotted against the Cu_{red.} SA, as shown in Fig. 8a, a nearly
 19 linear correlation is obtained at all reaction temperatures, indicating that the Cu_{red.}
 20 sites are indeed the active sites for methanol synthesis from CO₂ hydrogenation. The
 21 Pd-CZ-0.01 catalyst has the largest SA of Cu_{red.}, accounting for the highest methanol
 22 STY among all tested samples. A further increase in Pd loading leads to the drop of
 23 the activity, which is probably because the effect of hydrogen spillover from Pd is not

1 capable of overcoming the large loss of exposed Cu surface ($2.1 \text{ m}^2 \text{ g}^{-1}$ for
2 Pd-CZ-0.04 versus $5.0 \text{ m}^2 \text{ g}^{-1}$ for Pd-CZ-0.01). So, the aforementioned
3 volcano-shaped relationship between methanol yield and Pd loading can be explained
4 by a balance between the hydrogen spillover effect of Pd and the reduction of surface
5 Cu area caused by Pd blocking. At last, the CO_2 conversion was then correlated with
6 the Cu_{red} SA, and it also shows a nearly linear relationship except for the data of CZ
7 and Pd-CZ-0.005 at 523 and 543 K (Fig. 8b). Note that the deviation of the CZ and
8 Pd-CZ-0.005 sample at 523 and 543 K may be explained by the additional
9 contribution from the freestanding Cu sites activated at a high temperature for the
10 RWGS reaction. This is further confirmed by the correlation between CO STY and
11 Cu_{red} SA (Fig. S13).

12 **4. Conclusions**

13 In conclusion, we have demonstrated a facile strategy to modulate the chemistry
14 of surface Cu sites *via* doping of Pd over the CuZn catalysts with improved activity
15 for methanol synthesis from CO_2 hydrogenation. The Pd doping was established in a
16 one-pot synthesis by adopting a polyol reduction method in diethylene glycol. The
17 characterizations of XRD, TEM and HAADF-STEM confirm all the Pd-CZ-x samples
18 show a high dispersion of Pd on Cu nanoparticles supported on ZnO. As the molar
19 ratio of Pd to Cu is gradually increased from 0 to 0.04, we obtain a volcano-shaped
20 relationship between methanol STY and Pd loading. The Pd-CZ-0.01 sample shows
21 the best performance, with a methanol STY of $207 \text{ g kg}_{\text{cat}}^{-1} \text{ h}^{-1}$, 2.5 times that of the
22 undoped CZ catalyst, and with a TOF of 0.015 s^{-1} , 3.5 times that of CZ catalyst at 543
23 K. Kinetic studies shows that the Pd modification significantly reduces the E_a required
24 for methanol synthesis from 59 kJ mol^{-1} over CZ to 31 kJ mol^{-1} over Pd-CZ-0.01.
25 Behind the volcano-shaped relationship, we found a balance between the hydrogen
26 spillover effect of Pd and the reduction of surface Cu area caused by Pd blocking. In
27 addition, the chemisorption analyses reveal the strong interactions between Pd and Cu
28 at their interfaces, where a hydrogen spillover is taken place from Pd to Cu, thus

1 generating more reducible Cu sites. Importantly, these reducible Cu sites are the real
2 active sites for methanol synthesis, as confirmed by a linear correlation of the
3 methanol STY with the reducible Cu SA. Thus, this work provides insight into the
4 surface modification of Cu-based catalysts for more efficient methanol synthesis.
5

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