

ON THE TOPOLOGY AND THE BOUNDARY OF N -DIMENSIONAL RCD(K, N) SPACES

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ABSTRACT. We establish topological regularity and stability of N -dimensional RCD(K, N) spaces (up to a small singular set), also called non-collapsed RCD(K, N) in the literature. We also introduce the notion of a boundary of such spaces and study its properties, including its behavior under Gromov-Hausdorff convergence.

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1. INTRODUCTION

The notion of RCD(K, N) metric measure spaces (m.m.s.) was proposed and analyzed in [Gig15, EKS15, AMS19] (see also [CM16a]), as a finite dimensional refinement of RCD(K, ∞) m.m.s. which were first introduced and studied in [AGS14] (see also [AGMR15]).

For $K \in \mathbb{R}$, $N \in [1, \infty]$, the class of RCD(K, N) spaces is a subclass of CD(K, N) spaces pioneered by Lott-Villani [LV09] and Sturm [Stu06a, Stu06b] a few years earlier. Roughly, RCD(K, N) spaces are those CD(K, N) spaces where the Sobolev space $W^{1,2}(X, \mathbf{d}, \mathbf{m})$ is a Hilbert space (for a general CD(K, N) space, $W^{1,2}(X, \mathbf{d}, \mathbf{m})$ is only Banach). The motivation is that, while CD(K, N) spaces include Finsler manifolds, the class of RCD(K, N) spaces singles out the “Riemannian” CD(K, N) spaces.

Both the classes of CD(K, N) and RCD(K, N) spaces are stable under pointed measured Gromov Hausdorff convergence (pmGH for short), see [LV09, Stu06a, Stu06b, Vil09, AGS14, GMS15].

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Since the class of $\text{RCD}(K, N)$ spaces includes the Riemannian manifolds with Ricci curvature bounded below by K and dimension bounded above by N , the aforementioned stability results imply that also their pmGH limits (the so-called Ricci-limits, thoroughly studied by Cheeger-Colding [CC97, CC00a, CC00b]) are $\text{RCD}(K, N)$.

An interesting sub-class of Ricci-limits already detected by Cheeger-Colding [CC97], corresponds to the *non-collapsed* ones. It consists of those Ricci-limits where the approximating sequence of smooth Riemannian manifolds have a uniform strictly positive lower bound on the volume of a unit ball. It follows from Colding's volume convergence [Col97] that if (X, d, \mathbf{m}) is a limit of N -manifolds with lower Ricci bound then it is non-collapsed if and only if $\mathbf{m} = \mathcal{H}^N$, and if and only if the Hausdorff dimension of (X, d) is N , see [CC97]. The motivation for isolating the class of *non-collapsed* Ricci-limits is that they enjoy stronger structural properties than general (possibly collapsed) Ricci limits, for instance outside of a no-where dense set of measure zero they are topological manifolds.

It is thus natural to consider those $\text{RCD}(K, N)$ m.m.s. (X, d, \mathbf{m}) , where $\mathbf{m} = \mathcal{H}^N$, and call them “non-collapsed”. The class of non-collapsed $\text{RCD}(K, N)$ spaces has been the object of recent research by Kitabeppu [Kit17], De Philippis-Gigli [DPG18] (where the synthetic notion of non-collapsed $\text{RCD}(K, N)$ spaces was formalized), Honda [Hon], Ketterer and the first author [KK], Antonelli-Brué-Semola [ABS19].

Remark 1.1 (Comparison between non-collapsed Ricci limits of Cheeger-Colding [CC97] and non-collapsed $\text{RCD}(K, N)$ spaces). The class of non-collapsed $\text{RCD}(K, N)$ spaces strictly contains the non-collapsed Ricci limits of Cheeger-Colding [CC97]. Indeed:

- (1) [CC97] considered sequences of manifolds without boundary and proved that in the non-collapsing situation the limit does not have boundary. More precisely, in the terminology we introduce here, [CC97] prove that the limit does not have reduced boundary, and it follows from Theorem 1.10 that more generally the limit does not have RCD -boundary either. In particular a convex body in \mathbb{R}^N with boundary cannot arise as a non-collapsed Ricci limit of manifolds without boundary; however this is a non-collapsed $\text{RCD}(0, N)$ space.

Although there are results about Gromov Hausdorff (pre)-compactness of N -manifolds with Ricci bounded below and with boundary satisfying suitable conditions (e.g. bounded second fundamental form [Won08]), extending Cheeger-Colding theory to the corresponding limit spaces with boundary seems to be not yet addressed in the literature.

The theory of $\text{RCD}(K, N)$ spaces, and this paper in particular, should be useful in this regard. Indeed a Riemannian N -manifold (M, g) with Ricci bounded below by K and with convex boundary (i.e. $II_{\partial M} \geq 0$) is a (non-collapsed) $\text{RCD}(K, N)$ space [Han19, Theorem 2.4]. Thanks to the stability of (resp. non-collapsed) $\text{RCD}(K, N)$ spaces, it follows that the (resp. non-collapsed) pmGH limits of such objects are (resp. non-collapsed) $\text{RCD}(K, N)$ as well.

- (2) By [Pet11] or [Ket15a] or [GGKMS18], a cone (resp. a spherical suspension) over \mathbb{RP}^2 is an example of a non-collapsed $\text{RCD}(0, 3)$ (resp. $\text{RCD}(1, 3)$ space). It was noted in a discussion between De Philippis-Mondino-Topping that such spaces cannot arise as non-collapsed Ricci limits. Indeed on the one hand they are not topological manifolds, and on the other hand it was proved in [Sim12, ST17] that non-collapsed 3-dimensional Ricci limits are topological manifolds (see also Remark 1.9).

In this note we study topological and rigidity properties of non-collapsed RCD spaces. As in [CC97], we will adopt the notation that $\mathbb{R}_+ \times \mathbb{R}^k \ni (\varepsilon, x) \mapsto \Psi(\varepsilon|x) \in \mathbb{R}_+$ denotes a non-negative function satisfying that, for any fixed $x = (x_1, \dots, x_k)$, $\lim_{\varepsilon \rightarrow 0} \Psi(\varepsilon|x) = 0$. We prove the following results.

Theorem 1.2. *Fix some $K \in \mathbb{R}$ and $N \in \mathbb{N}$. Let $\{(X_i, d_i, \mathcal{H}^N, \bar{x}_i)\}_{i \in \mathbb{N}}$ be a sequence of $\text{RCD}(K, N)$ spaces pmGH-converging to a closed smooth Riemannian manifold (M, g) of dimension N . Then*

there exists $i_0 \in \mathbb{N}$ such that for all $i \geq i_0$ the space (X_i, d_i) is homeomorphic to (M, g) , in particular (X_i, d_i) is a topological manifold.

Theorem 1.3. *Fix some $N \in \mathbb{N}$. Let (X, d, \mathcal{H}^N) be an $\text{RCD}(0, N)$ space.*

If X admits a tangent space at infinity isometric to \mathbb{R}^N then (X, d, \mathcal{H}^N) is isomorphic to \mathbb{R}^N as a m.m.s..

More precisely the following holds. For every $N \in \mathbb{N}, N \geq 1$ there exists $\varepsilon(N) > 0$ with the following property.

- *If there exists $x \in X, R_0 \geq 0$ such that $d_{GH}(B_r(x), B_r(0^N)) \leq \varepsilon r$ for all $r \geq R_0$, then X is homeomorphic to \mathbb{R}^N and $\mathcal{H}^N(B_r(x)) \geq (1 - \Psi(\varepsilon|N))\omega_N r^N$ for all $r \geq R_0$;*
- *If there exists $x \in X, R_0 \geq 0$ such that $\mathcal{H}^N(B_r(x)) \geq (1 - \varepsilon)\omega_N r^N$ then X is homeomorphic to \mathbb{R}^N and $d_{GH}(B_r(x), B_r(0^N)) \leq \Psi(\varepsilon|N)r$ for all $r \geq R_0$.*

Theorem 1.4 (Sphere Theorem). *For every $N \in \mathbb{N}, N \geq 1$ there exists $\varepsilon(N) > 0$ with the following property.*

Let (X, d, \mathcal{H}^N) be an $\text{RCD}(N - 1, N)$ space for some $N \in \mathbb{N}, N \geq 1$.

- *If $d_{GH}(X, \mathbb{S}^N) \leq \varepsilon$ then X is homeomorphic to \mathbb{S}^N and $\mathcal{H}^N(X) \geq (1 - \Psi(\varepsilon|N))\mathcal{H}^N(\mathbb{S}^N)$;*
- *If $\mathcal{H}^N(X) \geq (1 - \varepsilon)\mathcal{H}^N(\mathbb{S}^N)$ then X is homeomorphic to \mathbb{S}^N and $d_{GH}(X, \mathbb{S}^N) \leq \Psi(\varepsilon|N)$.*

We also introduce the notion of a boundary of a non-collapsed RCD space and establish its various properties. In particular we prove some stability results about behavior of the boundary under limits.

The definition of a boundary point is inductive on the dimension of the space. Roughly,

The RCD -boundary ∂X of a non-collapsed $\text{RCD}(K, N)$ space (X, d, \mathcal{H}^N)
consists of points admitting a tangent space with boundary.

For the precise notions see Definition 4.2.

From [Kit17, DPG18] every tangent space to a non-collapsed $\text{RCD}(K, N)$ space is a metric measure cone $C(Z)$ over a non-collapsed $\text{RCD}(N - 2, N - 1)$ space Z (see Lemma 4.1). Since the cone $C(Z)$ has boundary if and only if Z has, the induction on the dimension is clear and stops in dimension one where any non-collapsed $\text{RCD}(0, 1)$ space is isomorphic to either a line, a circle, a half line or a closed interval [KL16]; by definition we say that the latter two have boundary and the former two don't.

A somewhat different notion of a boundary of noncollapsed RCD spaces was proposed in [DPG18]. In our notation, De Philippis and Gigli proposed to call the boundary of X the closure of what we call *reduced* boundary of X where by reduced boundary we mean $\mathcal{S}^{N-1} \setminus \mathcal{S}^{N-2}$ (see Definition 4.2). It's possible that these two definitions are equivalent but this is not clear at the moment. In fact, it's not clear if either of these sets is contained in the other. We do show that the reduced boundary is a subset of the boundary (Lemma 4.6).

Our notion of boundary agrees with the one of boundary of an Alexandrov space i.e. in case (X, d) is a finite dimensional Alexandrov space with curvature bounded below. Moreover, for Alexandrov spaces our notion of the boundary is known to coincide with the one suggested by De Philippis and Gigli [Per91].

Our notion of boundary is compatible with the topological boundary in case X is a topological manifold in the following sense:

Theorem 1.5 (Corollary 5.2 and Corollary 5.3). *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space. If (X, d) is a topological N -manifold with boundary ∂X , then $\partial X \subset \partial X$.*

In particular if X is a topological N -manifold without boundary in manifold sense, then it is also without boundary in the RCD sense.

Moreover, if (X, d) is bi-Lipschitz homeomorphic to a smooth N -manifold with boundary then also the reverse inclusion holds, namely $\partial X = \partial X$.

We are grateful to Alexander Lytchak for pointing out to us that the above theorem can be improved to show the following stronger result:

Theorem 1.6. (*Proposition 5.6*) *Let (X, d_X, \mathcal{H}^N) and (Y, d_Y, \mathcal{H}^N) be non-collapsed $\text{RCD}(K, N)$ spaces. Assume there is a bi-Lipschitz homeomorphism $f : X \rightarrow Y$. Then $f(\partial X) = \partial Y$.*

One of the main results of the paper is the following structure theorem of non-collapsed $\text{RCD}(K, N)$ spaces, see Theorem 4.11 for a more precise statement. It should be compared with the structure theory of non-collapsed Ricci-limit spaces of Cheeger-Colding [CC97]; a major difference is that while it is known (still from [CC97]) that non-collapsed Ricci limits do not have boundary, in general a non-collapsed $\text{RCD}(K, N)$ space does have boundary (e.g. a closed convex subset of \mathbb{R}^N with nonempty interior).

Theorem 1.7. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space for some $K \in \mathbb{R}, N \in \mathbb{N}$. Then ∂X has Hausdorff dimension at most $N - 1$.*

Moreover there exists an open subset $M \subset X$ bi-Hölder homeomorphic to a smooth manifold, such that

$$X = M \dot{\cup} \partial X \dot{\cup} (X \setminus (\partial X \cup M)),$$

where $X \setminus (\partial X \cup M)$ has Hausdorff dimension at most $N - 2$.

In words: X is the disjoint union of a manifold part of dimension N , a boundary part of Hausdorff dimension at most $N - 1$, and a singular set of Hausdorff dimension at most $N - 2$.

Moreover, if $\mathcal{H}^{N-1}(\partial X) = 0$, then M is path connected and the induced inner metric on M coincides with the restriction of the ambient metric d .

We suspect that the sharp codimension for the “topologically singular set” is three:

Conjecture 1.8. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space. Then there exists an open subset $M \subset X$ such that M is homeomorphic to a topological manifold, $\mathcal{R}_N \subset M$ and $X \setminus (\partial X \cup M)$ has codimension at least 3.*

Remark 1.9. Co-dimension 3 would be sharp in general: indeed $C(\mathbb{RP}^2)$ is a non-collapsed $\text{RCD}(0, 3)$ space and the vertex of the cone is not a manifold point.

Notice the difference with the case of non-collapsed Ricci limits, where a conjecture by Anderson-Cheeger-Colding-Tian states that the space is a manifold out of a singular set of co-dimension 4. This was proved for 3-dimensional compact Ricci limits by M. Simon [Sim12] under a global non-collapsing assumption, for general non-compact non-collapsed 3-dimensional Ricci limits by M. Simon-Topping [ST17], and for non-collapsed Ricci-double sided limits of arbitrary dimension by Cheeger-Naber [CN15].

In Section 5 we prove several results about the behaviour of the boundary under pointed Gromov Hausdorff convergence, here we just state the following which (sharpens and) generalizes to non-collapsed $\text{RCD}(K, N)$ spaces [CC97, Theorem 6.1] by Cheeger-Colding.

Theorem 1.10 (Theorem 5.1). *Let $\{(X_i, d_i, \mathcal{H}_{d_i}^N)\}_{i \in \mathbb{N}}$ be a sequence of non-collapsed $\text{RCD}(K, N)$ spaces. Assume that*

- *$\{(X_i, d_i, p_i)\}_{i \in \mathbb{N}}$ converge to (X, d, p) in pointed Gromov-Hausdorff sense.*
- *$\mathcal{H}_{d_i}^N(B_1(p_i)) \geq v > 0$ for all i .*
- *Each (X_i, d_i) is a topological manifold without boundary.*

Then (X, d, \mathcal{H}^N) is a non-collapsed $\text{RCD}(K, N)$ space with $\partial X = \emptyset$.

Note that Theorem 1.5 follows directly from a localized version of Theorem 1.10, namely Theorem 5.1.

In Section 6, we investigate sequences of $\text{RCD}(K, N)$ spaces where the limit is non-collapsed $\text{RCD}(K, N)$ (or more generally weakly non-collapsed, i.e. $\mathfrak{m} \ll \mathcal{H}^N$). We will prove several results stating roughly that if the limit of a pmGH sequence of $\text{RCD}(K, N)$ spaces is (weakly) non-collapsed, then the same is true eventually for the elements of the sequence; thus establishing a sort of “sequential openness” of this class of spaces. For the precise statements, see Theorem 6.1 and Theorem 6.3; here we only mention a very special case when the limit is a smooth Riemannian manifold.

Theorem 1.11 (Theorem 6.5). *Let (M, g) be a compact Riemannian manifold of dimension N . Let $\{(X_i, \mathbf{d}_i, \mathbf{m}_i, \bar{x}_i)\}_{i \in \mathbb{N}}$ be a sequence of $\text{RCD}(K, N)$ spaces, for some $K \in \mathbb{R}$, converging to (M, g) in pointed measured Gromov Hausdorff sense. Then there exists $i_0 \in \mathbb{N}$ such that for all $i \geq i_0$ it holds that*

- $(X_i, \mathbf{d}_i, \mathbf{m}_i)$ is a compact non-collapsed $\text{RCD}(K, N)$: more precisely, there exists a sequence $c_i \rightarrow 1$ such that $\mathbf{m}_i = c_i \mathcal{H}^N$.
- (X_i, \mathbf{d}_i) is homeomorphic to M via a bi-Hölder homeomorphism.

As a natural application of some of the main results of the paper, we next present an almost-rigidity result on the spectrum of the Laplace operator. In the proof we will use the Sphere Theorem 1.4, Theorem 1.11, the stability of the spectrum for $\text{RCD}(N-1, N)$ spaces [GMS15, Theorem 7.8] and the higher order Obata's rigidity result [Ket15b, Theorem 1.4]. The complete proof can be found at the end of Section 6.

Corollary 1.12. *For every $N \in \mathbb{N}, N \geq 2$, and every $\varepsilon > 0$ there exists $\delta = \Psi(\varepsilon|N)$ with the following property. If $(X, \mathbf{d}, \mathbf{m})$ is an $\text{RCD}(N-1, N)$ space such that $N \leq \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_{N+1} \leq N + \delta$, then*

- (1) $(X, \mathbf{d}, \mathbf{m})$ is a non-collapsed $\text{RCD}(N-1, N)$ space; more precisely there exists $c > 0$ such that $\mathbf{m} = c \mathcal{H}^N$.
- (2) (X, \mathbf{d}) is homeomorphic to \mathbb{S}^N .
- (3) $\mathcal{H}^N(X) \in [(1-\varepsilon)\mathcal{H}^N(\mathbb{S}^N), \mathcal{H}^N(\mathbb{S}^N)]$.
- (4) $\mathbf{d}_{GH}(X, \mathbb{S}^N) \leq \varepsilon$.

In case $(X, \mathbf{d}, \mathbf{m})$ is a smooth Riemannian N -manifold with $\text{Ricci} \geq N-1$, Corollary 1.12 is a consequence of [Pet99, Theorem 1.1][Col96a, Col96b] (see also [Ber07]). With a similar compactness-contradiction argument used to prove Corollary 1.12, one can obtain the following almost version of the Erbar-Sturm's rigidity result [ES, Corollary 1.4].

Corollary 1.13 (Almost version of Erbar-Sturm's Rigidity). *For every $N \in \mathbb{N}, N \geq 2$, and every $\varepsilon > 0$ there exists $\delta = \Psi(\varepsilon|N)$ with the following property. If $(X, \mathbf{d}, \mathbf{m})$ is an $\text{RCD}(N-1, N)$ space such that*

$$\left| \int_X \int_X \cos \mathbf{d}(x, y) \mathbf{m}(dx) \mathbf{m}(dy) \right| < \delta,$$

then the same conclusions (1)-(4) of Corollary 1.12 hold.

Let us stress that Corollary 1.13 seems new even in case when $(X, \mathbf{d}, \mathbf{m})$ is a smooth Riemannian N -manifold with $\text{Ricci} \geq N-1$.

A few days after the present work was posted on arXiv, an independent paper by Honda and Mondello [HM] appeared, containing similar versions of Theorem 1.4 and Corollary 1.12. The two papers are quite different in scope though: while we are mainly concerned with the topology and the boundary of non-collapsed RCD spaces, [HM] is more focused on sphere theorems and their application to stratified spaces.

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2. PRELIMINARIES

Throughout the paper a metric measure space (m.m.s. for short) is a triple (X, d, \mathbf{m}) where (X, d) is a complete, proper and separable metric space and \mathbf{m} is a non-negative Borel measure on X finite on bounded subsets and satisfying $\text{supp}(\mathbf{m}) = X$. The properness assumption is motivated by the synthetic Ricci curvature lower bounds/dimensional upper bounds we will assume to hold.

For $k > 0$ we will denote by \mathcal{H}^k the k -dimensional Hausdorff measure of (X, d) . The Gromov-Hausdorff distance between two metric spaces is denoted by d_{GH} .

2.1. Ricci curvature lower bounds and dimensional upper bounds for metric measure spaces.

We denote by

$$\text{Geo}(X) := \{\gamma \in C([0, 1], X) : d(\gamma_s, \gamma_t) = |s - t|d(\gamma_0, \gamma_1), \text{ for every } s, t \in [0, 1]\}$$

the space of constant speed geodesics. The metric space (X, d) is a *geodesic space* if and only if for each $x, y \in X$ there exists $\gamma \in \text{Geo}(X)$ so that $\gamma_0 = x, \gamma_1 = y$. Given two points x, y in a geodesic metric space (X, d) we will denote by $[x, y]$ a shortest geodesic between x and y .

Recall that, for complete geodesic spaces, local compactness is equivalent to properness (a metric space is proper if every closed ball is compact).

We denote with $\mathcal{P}(X)$ the space of all Borel probability measures over X and with $\mathcal{P}_2(X)$ the space of probability measures with finite second moment. $\mathcal{P}_2(X)$ can be endowed with the L^2 -Kantorovich-Wasserstein distance W_2 defined as follows: for $\mu_0, \mu_1 \in \mathcal{P}_2(X)$, set

$$(2.1) \quad W_2^2(\mu_0, \mu_1) := \inf_{\pi} \int_{X \times X} d^2(x, y) \pi(dxdy),$$

where the infimum is taken over all $\pi \in \mathcal{P}(X \times X)$ with μ_0 and μ_1 as the first and the second marginal. The space (X, d) is geodesic if and only if the space $(\mathcal{P}_2(X), W_2)$ is geodesic.

We will also consider the subspace $\mathcal{P}_2(X, d, \mathbf{m}) \subset \mathcal{P}_2(X)$ formed by all those measures absolutely continuous with respect with \mathbf{m} .

In order to formulate curvature properties for (X, d, \mathbf{m}) we recall the definition of the distortion coefficients: for $K \in \mathbb{R}, N \in [1, \infty), \theta \in (0, \infty), t \in [0, 1]$, set

$$(2.2) \quad \tau_{K, N}^{(t)}(\theta) := t^{1/N} \sigma_{K, N-1}^{(t)}(\theta)^{(N-1)/N},$$

where the σ -coefficients are defined as follows: given two numbers $K, N \in \mathbb{R}$ with $N \geq 0$, we set for $(t, \theta) \in [0, 1] \times \mathbb{R}_+$,

$$(2.3) \quad \sigma_{K, N}^{(t)}(\theta) := \begin{cases} \infty, & \text{if } K\theta^2 \geq N\pi^2, \\ \frac{\sin(t\theta\sqrt{K/N})}{\sin(\theta\sqrt{K/N})} & \text{if } 0 < K\theta^2 < N\pi^2, \\ t & \text{if } K\theta^2 < 0 \text{ and } N = 0, \text{ or if } K\theta^2 = 0, \\ \frac{\sinh(t\theta\sqrt{-K/N})}{\sinh(\theta\sqrt{-K/N})} & \text{if } K\theta^2 \leq 0 \text{ and } N > 0. \end{cases}$$

Let us also recall the definition of the Rényi Entropy functional $\mathcal{E}_N : \mathcal{P}(X) \rightarrow [0, \infty]$,

$$(2.4) \quad \mathcal{E}_N(\mu) := \int_X \rho^{1-1/N}(x) \mathbf{m}(dx),$$

where $\mu = \rho \mathbf{m} + \mu^s$ with $\mu^s \perp \mathbf{m}$.

Definition 2.1 (CD condition). Let $K \in \mathbb{R}$ and $N \in [1, \infty)$. A metric measure space (X, d, \mathbf{m}) verifies $\text{CD}(K, N)$ if for any two $\mu_0, \mu_1 \in \mathcal{P}_2(X, d, \mathbf{m})$ with bounded support there exist a W_2 -geodesic $(\mu_t)_{t \in [0, 1]} \subset \mathcal{P}_2(X, d, \mathbf{m})$ and $\pi \in \mathcal{P}(X \times X)$ W_2 -optimal plan, such that for any $N' \geq N, t \in [0, 1]$:

$$(2.5) \quad \mathcal{E}_{N'}(\mu_t) \geq \int \tau_{K, N'}^{(1-t)}(d(x, y)) \rho_0^{-1/N'} + \tau_{K, N'}^{(t)}(d(x, y)) \rho_1^{-1/N'} \pi(dxdy).$$

Throughout this paper, we will always assume the proper metric measure space $(X, \mathbf{d}, \mathbf{m})$ to satisfy $\text{CD}(K, N)$, for some $K, N \in \mathbb{R}$. This will imply in particular that (X, \mathbf{d}) is geodesic.

A variant of the CD condition, called reduced curvature dimension condition and denoted by $\text{CD}^*(K, N)$ [BS10], asks for the same inequality (2.5) of $\text{CD}(K, N)$ but the coefficients $\tau_{K, N}^{(t)}(\mathbf{d}(\gamma_0, \gamma_1))$ and $\tau_{K, N}^{(1-t)}(\mathbf{d}(\gamma_0, \gamma_1))$ are replaced by $\sigma_{K, N}^{(t)}(\mathbf{d}(\gamma_0, \gamma_1))$ and $\sigma_{K, N}^{(1-t)}(\mathbf{d}(\gamma_0, \gamma_1))$, respectively. In general, the $\text{CD}^*(K, N)$ condition is weaker than $\text{CD}(K, N)$.

On $\text{CD}(K, N)$ spaces, the classical Bishop-Gromov volume growth estimate holds. In order to state it, for a fixed a point $x_0 \in X$ let

$$v(r) = \mathbf{m}(\bar{B}_r(x_0))$$

be the volume of the closed metric ball of radius r and center x_0 and let

$$s(r) := \limsup_{\delta \rightarrow 0} \frac{1}{\delta} \mathbf{m}(\bar{B}_{r+\delta}(x_0) \setminus B_r(x_0))$$

be the codimension one volume of the corresponding spheres.

Theorem 2.2 (Bishop-Gromov in $\text{CD}(K, N)$, Theorem 2.3 [Stu06b]). *Let $(X, \mathbf{d}, \mathbf{m})$ be a $\text{CD}(K, N)$ space for some $K, N \in \mathbb{R}, N > 1$. Then for all $x_0 \in X$ and all $0 < r < R \leq \pi\sqrt{N-1}/(K \vee 0)$ it holds:*

$$(2.6) \quad \frac{s(r)}{s(R)} \geq \begin{cases} \left(\frac{\sin(r\sqrt{K/(N-1)})}{\sin(R\sqrt{K/(N-1)})} \right)^{N-1}, & \text{if } K > 0, \\ \left(\frac{r}{R} \right)^{N-1}, & \text{if } K = 0, \\ \left(\frac{\sinh(r\sqrt{K/(N-1)})}{\sinh(R\sqrt{K/(N-1)})} \right)^{N-1}, & \text{if } K < 0, \end{cases}$$

and

$$(2.7) \quad \frac{v(r)}{v(R)} \geq v_{K, N}(r) := \begin{cases} \frac{\int_0^r (\sin(t\sqrt{K/(N-1)}))^{N-1} dt}{\int_0^R (\sin(t\sqrt{K/(N-1)}))^{N-1} dt}, & \text{if } K > 0, \\ \left(\frac{r}{R} \right)^N, & \text{if } K = 0, \\ \frac{\int_0^r (\sinh(t\sqrt{K/(N-1)}))^{N-1} dt}{\int_0^R (\sinh(t\sqrt{K/(N-1)}))^{N-1} dt}, & \text{if } K < 0. \end{cases}$$

One crucial property of the $\text{CD}(K, N), \text{CD}^*(K, N)$ conditions is the stability under measured Gromov Hausdorff convergence of m.m.s., so that Ricci limit spaces are $\text{CD}(K, N)$. Moreover, on the one hand it is possible to see that Finsler manifolds are allowed as $\text{CD}(K, N)$ -space while on the other hand from the work of Cheeger-Colding [CC97, CC00a, CC00b] it was understood that purely Finsler structures never appear as Ricci limit spaces. Inspired by this fact, in [AGS14], Ambrosio-Gigli-Savaré proposed a strengthening of the CD condition in order to enforce, in some weak sense, a Riemannian-like behavior of spaces with a curvature-dimension bound (to be precise in [AGS14] it was analyzed the case of strong- $\text{CD}(K, \infty)$ spaces endowed with a probability reference measure \mathbf{m} ; the axiomatization has been then simplified and generalized in [AGMR15] to allow $\text{CD}(K, \infty)$ -spaces endowed with a σ -finite reference measure). The finite dimensional refinement $\text{RCD}^*(K, N)$ with $N < \infty$ has been subsequently proposed and extensively studied in [Gig15, EKS15, AMS19]. Such a strengthening consists in requiring that the space $(X, \mathbf{d}, \mathbf{m})$ is such that the Sobolev space $W^{1,2}(X, \mathbf{d}, \mathbf{m})$ is Hilbert (or, equivalently, the heat flow is linear; or, equivalently, the Laplacian is linear), a condition named “infinitesimal Hilbertian” in [Gig15]. More precisely, on a m.m.s. there is a canonical notion of “modulus of the differential of a function”

f , called weak upper differential and denoted with $|Df|_w$; with this object one defines the Cheeger energy

$$\text{Ch}(f) := \frac{1}{2} \int_X |Df|_w^2 \mathbf{m}.$$

The Sobolev space $W^{1,2}(X, \mathbf{d}, \mathbf{m})$ is by definition the space of $L^2(X, \mathbf{m})$ functions having finite Cheeger energy, and it is endowed with the natural norm $\|f\|_{W^{1,2}}^2 := \|f\|_{L^2}^2 + 2\text{Ch}(f)$ which makes it a Banach space. We remark that, in general, $W^{1,2}(X, \mathbf{d}, \mathbf{m})$ is not Hilbert (for instance, on a smooth Finsler manifold the space $W^{1,2}$ is Hilbert if and only if the manifold is actually Riemannian); in case $W^{1,2}(X, \mathbf{d}, \mathbf{m})$ is Hilbert then we say that $(X, \mathbf{d}, \mathbf{m})$ is *infinitesimally Hilbertian*.

We refer to the aforementioned papers and references therein for a general account on the synthetic formulation of the latter Riemannian-type Ricci curvature lower bounds; for a survey of results, see the Bourbaki seminar [Vil17] and the recent ICM-Proceeding [Amb18].

A key property of $\text{RCD}^*(K, N)$ is stability under pointed measured Gromov Hausdorff convergence [AGS14, GMS15] so that Ricci limit spaces are $\text{RCD}^*(K, N)$ spaces.

To conclude we recall also that recently Cavalletti and E. Milman [CM16a] proved the equivalence of $\text{CD}(K, N)$ and $\text{CD}^*(K, N)$, together with the local-to-global property for $\text{CD}(K, N)$, in the framework of essentially non-branching m.m.s. having $\mathbf{m}(X) < \infty$.

It is worth also mentioning that a m.m.s. verifying $\text{RCD}^*(K, N)$ is essentially non-branching (see [RS14, Corollary 1.2]) implying the equivalence of $\text{RCD}^*(K, N)$ and $\text{RCD}(K, N)$, in case of finite total measure.

Remark 2.3. The results in [CM16a] are stated for spaces with finite reference measure but the kind of arguments used seems to indicate that the same also holds without this restriction. For this reason (and also to uniformize our notation with [DPG18] where the non-collapsed $\text{RCD}(K, N)$ spaces have been formalized), in the present paper we shall work with

$$\text{RCD}(K, N) := \text{CD}(K, N) + \text{Infin. Hilbertian}$$

spaces, rather than with

$$\text{RCD}^*(K, N) := \text{CD}^*(K, N) + \text{Infin. Hilbertian}$$

ones, which have been popular in the last years. In any case, all our arguments are local in nature and since the local versions of $\text{CD}(K, N)$ and $\text{CD}^*(K, N)$ are known to be equivalent from the original paper [BS10], our results are independent by the global equivalence of $\text{RCD}(K, N)$ vs. $\text{RCD}^*(K, N)$. Actually our proofs can be carried without modification directly for $\text{RCD}^*(K, N)$ spaces.

Following the terminology of [DPG18] (motivated by [CC97]), we say that the $\text{RCD}(K, N)$ space $(X, \mathbf{d}, \mathbf{m})$ is *non-collapsed* if $\mathbf{m} = \mathcal{H}^N$, the N -dimensional Hausdorff measure. We also say that the $\text{RCD}(K, N)$ space $(X, \mathbf{d}, \mathbf{m})$ is *weakly non-collapsed* if $\mathbf{m} \ll \mathcal{H}^N$. It was recently proved [Hon] that a compact weakly non-collapsed $\text{RCD}(K, N)$ space is actually non-collapsed (up to a constant rescaling of the measure). This is expected to be true in the noncompact case as well.

2.2. Regular and singular sets. Given a complete metric space (X, \mathbf{d}) , $N \in \mathbb{N}$ with $N \geq 1$, and $\varepsilon, r > 0$, denote

$$(2.8) \quad (\mathcal{R}_N)_{\varepsilon, r} := \{x \in X : \exists t > r \text{ such that } \mathbf{d}_{GH}(B_s^X(x), B_s^{\mathbb{R}^N}(0)) \leq \varepsilon s, \text{ for all } s \in (0, t]\}.$$

The (ε, N) -regular set $(\mathcal{R}_N)_\varepsilon$ of (X, \mathbf{d}) is defined by

$$(2.9) \quad (\mathcal{R}_N)_\varepsilon := \cup_{r>0} (\mathcal{R}_N)_{\varepsilon, r}.$$

In turn, the N -regular set \mathcal{R}_N of (X, \mathbf{d}) is defined by $\mathcal{R}_N := \cap_{\varepsilon>0} (\mathcal{R}_N)_\varepsilon$.

It follows from [MN19] that

$$(2.10) \quad \mathbf{m}(X \setminus \mathcal{R}_N) = 0 \quad \text{if } (X, \mathbf{d}, \mathbf{m}) \text{ is weakly non-collapsed } \text{RCD}(K, N).$$

Notice that, if $(X, \mathbf{d}, \mathbf{m})$ is an $\text{RCD}(K, N)$ space, the monotonicity in Bishop-Gromov ensures that for every $x \in X$ the following (possibly infinite) limit exists

$$(2.11) \quad \vartheta_N[(X, \mathbf{d}, \mathbf{m})](x) = \vartheta_N(x) = \lim_{r \rightarrow 0} \frac{\mathbf{m}(B_r(x))}{\omega_N r^N},$$

and that the function $x \mapsto \vartheta_N(x)$ is lower semicontinuous. It was proved in [DPG18] that

$$(2.12) \quad \text{An } \text{RCD}(K, N) \text{ space } (X, \mathbf{d}, \mathbf{m}) \text{ is non-collapsed if } \vartheta_N = 1 \text{ } \mathbf{m}\text{-a.e..}$$

Moreover, from [DPG18, Corollary 1.7]:

$$(2.13) \quad \begin{aligned} &\text{If } (X, \mathbf{d}, \mathcal{H}^N) \text{ is a non-collapsed } \text{RCD}(K, N) \text{ space} \\ &\text{then } \vartheta_N(x) \leq 1 \text{ for every } x \in X \text{ and } \vartheta_N(x) = 1 \text{ if and only if } x \in \mathcal{R}_N. \end{aligned}$$

Given a metric space (Z, \mathbf{d}_Z) , we define the metric cone $C(Z)$ over Z to be the completion of $\mathbb{R}^+ \times Z$ endowed with the metric

$$(2.14) \quad \mathbf{d}_C((r_1, z_1), (r_2, z_2))^2 = \begin{cases} r_1^2 + r_2^2 - 2r_1 r_2 \cos(\mathbf{d}_Z(z_1, z_2)), & \text{if } \mathbf{d}_Z(z_1, z_2) \leq \pi \\ (r_1 + r_2)^2, & \text{if } \mathbf{d}_Z(z_1, z_2) \geq \pi. \end{cases}$$

If $(Z, \mathbf{d}_Z, \mathbf{m}_Z)$ is a m.m.s. the metric cone $C(Z)$ can be endowed with a family of natural cone measures $\mathbf{m}_{C,N}$, depending on a real parameter $N > 1$ playing a dimensional role, as

$$(2.15) \quad \mathbf{m}_{C,N} = t^{N-1} dt \otimes \mathbf{m}_Z.$$

In order to make the notation short, when there is no ambiguity on the metric or on the measure, we will simply write X for the metric space (X, \mathbf{d}) (resp. for the m.m.s. $(X, \mathbf{d}, \mathbf{m})$). We adopt the following quantitative stratification notations and terminology from [CJN18].

Definition 2.4.

- A metric space X is called k -symmetric if it is isometric to $\mathbb{R}^k \times C(Z)$ for some metric space Z .
- Given $\varepsilon > 0$ we say that a ball $B_r(x) \subset X$ is (k, ε) -symmetric if there is a k -symmetric space $X' = \mathbb{R}^k \times C(Z)$ such that $\mathbf{d}_{GH}(B_r(x), B_r(x')) < \varepsilon r$ where x' is the vertex of the cone in X' .
- Given $\varepsilon, r > 0, k \in \mathbb{N}$ we define $\mathcal{S}_{\varepsilon, r}^k(X)$ to be the set of points p in X such that $B_s(p)$ is not $(k+1, \varepsilon)$ -symmetric for any $r \leq s \leq 1$.
- Lastly, we define $\mathcal{S}_\varepsilon^k(X)$ as $\bigcap_{r>0} \mathcal{S}_{\varepsilon, r}^k(X)$.

When the space X in question is clear we will often omit it from notations and write $\mathcal{S}_{\varepsilon, r}^k$ and $\mathcal{S}_\varepsilon^k$.

In the paper we will work with both metric tangent space and metric-measure tangent spaces, which are defined as follows. Let $(X, \mathbf{d}, \mathbf{m})$ be a m.m.s., $p \in \text{supp}(\mathbf{m})$ and $r \in (0, 1)$; we consider the rescaled and normalized p.m.m.s. $(X, r^{-1}\mathbf{d}, \mathbf{m}_r^p, p)$ where the measure \mathbf{m}_r^p is given by

$$(2.16) \quad \mathbf{m}_r^p := \left(\int_{B_r(p)} 1 - \frac{1}{r} \mathbf{d}(\cdot, p) \mathbf{m} \right)^{-1} \mathbf{m}.$$

Then we define:

Definition 2.5 (The collection of m.m. tangent spaces $\text{Tan}(X, \mathbf{d}, \mathbf{m}, p)$). Let $(X, \mathbf{d}, \mathbf{m})$ be a m.m.s. and $p \in \text{supp}(\mathbf{m})$. A p.m.m.s. $(Y, \mathbf{d}_Y, \mathbf{m}_Y, y)$ is called a (metric-measure) *tangent space* to $(X, \mathbf{d}, \mathbf{m})$ at $p \in X$ if there exists a sequence of radii $r_i \downarrow 0$ so that $(X, r_i^{-1}\mathbf{d}, \mathbf{m}_{r_i}^p, p) \rightarrow (Y, \mathbf{d}_Y, \mathbf{m}_Y, y)$ as $i \rightarrow \infty$ in the pointed measured Gromov-Hausdorff topology. We denote the collection of all the tangents of $(X, \mathbf{d}, \mathbf{m})$ at $p \in X$ by $\text{Tan}(X, \mathbf{d}, \mathbf{m}, p)$ or, more shortly when there is no ambiguity, by $\text{Tan}(X, p)$.

Analogously, given a metric space (X, \mathbf{d}) and a point $p \in X$ we say that a pointed metric space (Y, \mathbf{d}_Y, y) is a (metric) tangent if there exists a sequence of radii $r_i \downarrow 0$ so that $(X, r_i^{-1}\mathbf{d}, p) \rightarrow (Y, \mathbf{d}_Y, y)$ as $i \rightarrow \infty$ in the pointed Gromov-Hausdorff topology. We denote with $\text{Tan}(X, \mathbf{d}, p)$ (or,

more shortly when there is no ambiguity, with $\text{Tan}(X, p)$ the collection of all metric tangents of X at p .

Notice that if (X, d, m) satisfies $\text{RCD}(K, N)$ (or more generally a local doubling condition), then Gromov's Compactness Theorem ensures that the set $\text{Tan}(X, d, m, p)$ is non-empty. Notice however that in general (and actually very often in the non-smooth setting) there is more than one element both in $\text{Tan}(X, d, m, p)$ and in $\text{Tan}(X, d, p)$.

Note that, by the very definition (2.9) of $(\mathcal{R}_N)_\varepsilon$, if $x \in (\mathcal{R}_N)_\varepsilon$ then for every $(Y, y) \in \text{Tan}(X, x)$ it holds

$$(2.17) \quad d_{GH}(B_1^Y(y), B_1^{\mathbb{R}^N}(0^N)) \leq \varepsilon.$$

We next relate the symmetry of the tangent space with the singular sets $\mathcal{S}_\varepsilon^k$.

It is easy to see that if (X, d, m) is $\text{RCD}(K, N)$ then $\mathcal{S}_\varepsilon^k \subset \hat{\mathcal{S}}_\varepsilon^k$ where $\hat{\mathcal{S}}_\varepsilon^k$ is the set of points $p \in X$ such that for *any* tangent space $Y \in \text{Tan}(X, d, p)$, the unit ball around the vertex is not $(k+1, \varepsilon)$ -symmetric.

Recall that the singular stratum $\mathcal{S}^k(X)$ is defined as the set of points $p \in X$ such that no element of $\text{Tan}(X, d, p)$ is $(k+1)$ -symmetric. From the very definitions, it is clear that $\cup_{\varepsilon>0} \hat{\mathcal{S}}_\varepsilon^k(X) \subset \mathcal{S}^k(X)$. Also the reverse inclusion $\mathcal{S}^k(X) \subset \cup_{\varepsilon>0} \hat{\mathcal{S}}_\varepsilon^k(X)$ holds: indeed if $x \in \mathcal{S}^k(X)$ then a standard compactness-contradiction argument gives that there exists $\varepsilon > 0$ such that $x \in \hat{\mathcal{S}}_\varepsilon^k(X)$.

We claim that it also holds $\mathcal{S}^k(X) = \cup_{\varepsilon>0} \mathcal{S}_\varepsilon^k(X)$. Indeed if $x \in \mathcal{S}^k(X)$ then, by a standard compactness-contradiction argument, it is readily seen that there exists $\varepsilon > 0$ such that $x \in \mathcal{S}_\varepsilon^k(X)$. The converse inclusion trivially follows by $\mathcal{S}_\varepsilon^k \subset \hat{\mathcal{S}}_\varepsilon^k \subset \mathcal{S}^k$, for every $\varepsilon > 0$. We conclude that

$$(2.18) \quad \mathcal{S}^k(X) = \cup_{\varepsilon>0} \mathcal{S}_\varepsilon^k(X) = \cup_{\varepsilon>0} \hat{\mathcal{S}}_\varepsilon^k(X).$$

We finally set $\mathcal{S}(X) := \bigcup_k \mathcal{S}^k(X)$ to be the singular set.

Remark 2.6. It is also immediate from the definition that for any $\lambda \geq 1$ it holds that $\mathcal{S}_\varepsilon^k(X, d) \subset \mathcal{S}_\varepsilon^k(X, \lambda d)$ and $\hat{\mathcal{S}}_\varepsilon^k(X, d) = \hat{\mathcal{S}}_\varepsilon^k(X, \lambda d)$.

A key role will be played by the following metric Reifenberg-type result proved by Cheeger and Colding [CC97, Theorem A.1.1].

Theorem 2.7 (Cheeger-Colding metric Reifenberg Theorem). *Fix $N \in \mathbb{N}, N \geq 1$ and $\alpha \in (0, 1)$. There exists $\bar{\varepsilon} = \bar{\varepsilon}(N, \alpha) > 0$, with the following properties. Let (X, d) be a complete metric space such that for some $\bar{x} \in X$ and $\varepsilon \in (0, \bar{\varepsilon}]$ it holds that*

$$(2.19) \quad x \in (\mathcal{R}_N)_{\varepsilon, r}, \quad \text{for all } x \in B_1^X(\bar{x}) \text{ and } r \in (0, 1 - d(\bar{x}, x)].$$

Then there exists a topological embedding $F : B_1^{\mathbb{R}^N}(0) \rightarrow B_1^X(\bar{x})$ such that $F(B_1^{\mathbb{R}^N}(0)) \supset B_\alpha^X(\bar{x})$. Moreover, the maps F, F^{-1} are Hölder continuous, with exponent α . Further, both F and F^{-1} are $\Psi(\varepsilon|N)$ -GH-approximations between $B_1(\bar{x})$ and $B_1(0)$.

This theorem has the following generalization [CC97, Theorems A.1.2, A.1.3].

Theorem 2.8. *Fix $N \in \mathbb{N}, N \geq 1$ and $\alpha \in (0, 1)$. There exists $\varepsilon_0 = \varepsilon_0(N, \alpha) > 0$ with the following property.*

If (X, d) is a complete metric space such that $X = (\mathcal{R}_N)_{\varepsilon, r}(X)$, for some $r > 0$ and $\varepsilon < \varepsilon_0$, then X is homeomorphic to a smooth manifold.

Moreover, if X_1, X_2 are two such metric spaces which in addition satisfy $d_{GH}(X_1, X_2) < \varepsilon$ then there exist α -biHölder embeddings $f_1 : X_1 \rightarrow X_2$ and $f_2 : X_2 \rightarrow X_1$ which are also $\Psi(\varepsilon|N)$ GH-approximations.

In particular, if both X_1, X_2 are closed manifolds then f_1, f_2 are α -bi-Hölder homeomorphisms.

Theorem 2.8 immediately implies the following mild generalization of [CC97, Theorem A.1.3]

Theorem 2.9. Fix $N \in \mathbb{N}, N \geq 1$ and $\alpha \in (0, 1)$. There exists $\varepsilon_0 = \varepsilon_0(N, \alpha) > 0$ with the following property.

Let (M^N, g, p) be a complete connected pointed Riemannian manifold and let $\{(X_i, d_i, p_i)\}_{i \in \mathbb{N}}$ be a sequence of complete pointed metric spaces, converging to (M^N, g, p) in pointed Gromov Hausdorff sense.

Suppose for any $R > 0$ there is $r(R) > 0$ such that for all large i it holds that $B_R(p_i) \subset (\mathcal{R}_N)_{\varepsilon_0, r(R)}(X_i)$.

Then for any $R > 0$, for all large i , $B_R(p_i)$ is an N -manifold and there is an α -bi-Hölder embedding $f_{i,R}: B_R(p_i) \rightarrow B_{R+\varepsilon_i}(p)$ which is also an ε_i -GH approximation with $\varepsilon_i \rightarrow 0$ and $d(p, f_{i,R}(p_i)) < \varepsilon_i$ and such that

$$f_{i,R}(B_R(p_i)) \supset B_{R-10\varepsilon_i}(p) \text{ and } d_{(M,g)}(f_{i,R}(p_i), p) \leq \varepsilon_i.$$

Remark 2.10. [CC97, Theorem A.1.3] directly implies the above theorem in case of compact M . However, the proof of [CC97, Theorem A.1.3] actually gives the above pointed version as well except possibly for the inclusion $f_{i,R}(B_R(p_i)) \supset B_{R-10\varepsilon_i}(p)$. But that inclusion easily follows from the other conclusions of the theorem (cf. [Kap07, Lemma 4.8]). Indeed, the intersection $f_{i,R}(\bar{B}_{R-\varepsilon_i}(p_i)) \cap \bar{B}_{R-10\varepsilon_i}(p)$ is clearly nonempty and closed in $\bar{B}_{R-10\varepsilon_i}(p)$ and by the invariance of domain theorem it's also open in $\bar{B}_{R-10\varepsilon_i}(p)$. Hence, it's equal to $\bar{B}_{R-10\varepsilon_i}(p)$.

Corollary 2.11. If under the assumptions of Theorem 2.9 the manifold M is compact then X_i is bi-Hölder homeomorphic to M for all large i .

The next two results were proved in [DPG18] extending to the RCD setting celebrated results by Colding [Col97, Col96c] (see also [CC97]).

Theorem 2.12 (GH-Continuity of \mathcal{H}^N). [DPG18, Theorem 1.3] Fix some $K \in \mathbb{R}$, $N \in [1, \infty)$ and $R \geq 0$. Let $\mathbb{B}_{K,N,R}$ be the collection of all (equivalence classes up to isometry of) closed balls of radius R in $\text{RCD}(K, N)$ spaces equipped with the Gromov-Hausdorff distance. Then the map $\mathbb{B}_{K,N,R} \ni Z \mapsto \mathcal{H}^N(Z)$ is real valued and continuous.

Theorem 2.13 (Volume Rigidity). [DPG18, Theorem 1.6] For every $\varepsilon > 0$ and $N \in \mathbb{N}$, $N \geq 1$, there exists $\delta = \delta(\varepsilon, N) > 0$ such that the following holds. Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(-\delta, N)$ space and assume there exists $\bar{x} \in X$ satisfying $\mathcal{H}^N(B_1^X(\bar{x})) \geq (1-\delta)\mathcal{H}^N(B_1^{\mathbb{R}^N}(0))$. Then

$$d_{GH}(\bar{B}_{1/2}^X(\bar{x}), \bar{B}_{1/2}^{\mathbb{R}^N}(0)) \leq \varepsilon.$$

Combining the GH-continuity of \mathcal{H}^N (Theorem 2.12) with the volume rigidity (Theorem 2.13) gives the next characterization of $(\mathcal{R}_N)_\varepsilon$ in terms of the density ϑ_N defined in (2.11).

Corollary 2.14. Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space for some $K \in \mathbb{R}, N \in \mathbb{N}$ and let $x \in X$. The following holds:

- If $\vartheta_N(x) \geq 1 - \varepsilon$ then $x \in (\mathcal{R}_N)_{\Psi(\varepsilon|K, N)}$.
- Conversely, if $x \in (\mathcal{R}_N)_\varepsilon$ then $\vartheta_N(x) \geq 1 - \Psi(\varepsilon|K, N)$.

Let $(\mathcal{WR}_N)_\varepsilon(X)$ denote the set of points in X such that some tangent space $T_x X$ satisfies

$$d_{GH}(B_1^{T_x X}(o), B_1^{\mathbb{R}^N}(0)) \leq \varepsilon.$$

Combining the above two theorems and Bishop-Gromov volume comparison (see for instance the proof of Theorem 3.1) we get:

Corollary 2.15. For any $N \in \mathbb{N}, K \in \mathbb{R}$ there exists $\varepsilon(\delta, K, N) = \Psi(\delta|K, N)$ such that if (X, d, \mathcal{H}^N) is a non-collapsed $\text{RCD}(K, N)$ space then $X \setminus \mathcal{S}_\delta^{N-1} \subset (\mathcal{R}_N)_{\varepsilon(\delta|K, N)}$ and $(\mathcal{WR}_N)_\varepsilon \subset (\mathcal{R}_N)_{\Psi(\varepsilon|K, N)}$.

3. TOPOLOGICAL REGULARITY

The next theorem extends to the RCD setting a celebrated result by Cheeger-Colding [CC97, Theorem A.1.8]

Theorem 3.1. *Fix $K \in \mathbb{R}$ and $N \in \mathbb{N}, N \geq 1$. For every $\alpha \in (0, 1)$, there exist $\bar{\varepsilon} = \bar{\varepsilon}(K, N, \alpha) > 0$ such that for any $0 < \varepsilon \leq \bar{\varepsilon}$ we can find $\bar{r} = \bar{r}(K, N, \alpha, \varepsilon)$ satisfying the next assertion. Let (X, d, \mathcal{H}^N) be an $\text{RCD}(K, N)$ space and let $\bar{x} \in (\mathcal{R}_N)_{\varepsilon, \bar{r}}$ be a $(N, \varepsilon, \bar{r})$ -regular point, for some $r \in (0, \bar{r})$. Then there exists a topological embedding $F : B_{\alpha r}^{\mathbb{R}^N}(0) \rightarrow B_{\alpha r}^X(\bar{x})$ such that $F(B_{\alpha r}^{\mathbb{R}^N}(0)) \supset B_{\alpha r}^X(\bar{x})$. Moreover, the maps F, F^{-1} are Hölder continuous, with exponent α . Further, both F and F^{-1} are $\Psi(\varepsilon|N)$ -GH-approximations.*

Proof. First of all we fix $K \in \mathbb{R}, N \in \mathbb{N}, N \geq 1, \alpha \in (0, 1)$ and an $\text{RCD}(K, N)$ space (X, d, \mathcal{H}^N) . Notice that there exists $\bar{r} = \bar{r}(K, N, \delta)$ such that the rescaled space $(X, d/\bar{r}, \mathcal{H}^N)$ is $\text{RCD}(-\delta, N)$; in the latter space, \mathcal{H}^N is the Hausdorff measure corresponding to the rescaled distance d/\bar{r} . In order to keep the notation short, let us denote $X/\bar{r} := (X, d/\bar{r}, \mathcal{H}_{d/\bar{r}}^N)$. By definition of $(N, \varepsilon, \bar{r})$ -regular point, it holds

$$(3.1) \quad d_{GH}(B_1^{X/\bar{r}}(\bar{x}), B_1^{\mathbb{R}^N}(0)) \leq \varepsilon.$$

The GH-continuity of \mathcal{H}^N (see Theorem 2.12) combined with (3.1) gives that

$$(3.2) \quad \mathcal{H}^N(B_1^{X/\bar{r}}(\bar{x})) \geq (1 - \Psi(\varepsilon|N)) \mathcal{H}^N(B_1^{\mathbb{R}^N}(0)).$$

We now claim that (3.2) combined with Bishop-Gromov monotonicity of the volume implies that any point $x \in B_\eta(\bar{x})$ has almost maximal volume at every (sufficiently small) scale, i.e.:

$$(3.3) \quad \frac{\mathcal{H}^N(B_\rho^{X/r}(x))}{\omega_N \rho^N} \geq 1 - \Psi(\varepsilon, \delta, \eta|N), \quad \text{for all } x \in B_\eta(\bar{x}), \rho \in (0, 1).$$

Indeed using that $B_1^{X/r}(\bar{x}) \subset B_{1+\eta}^{X/r}(x)$ for every $x \in B_\eta^{X/r}(\bar{x})$ and recalling Bishop-Gromov inequality (2.7), we obtain that for every $\rho \in (0, 1 + \eta)$ it holds

$$(3.4) \quad \begin{aligned} \frac{\mathcal{H}^N(B_\rho^{X/r}(x))}{v_{-\delta, N}(\rho)} &\geq \frac{\mathcal{H}^N(B_{1+\eta}^{X/r}(x))}{v_{-\delta, N}(1 + \eta)} \geq \frac{\mathcal{H}^N(B_1^{X/r}(\bar{x}))}{v_{-\delta, N}(1 + \eta)} \\ &\stackrel{(3.2)}{\geq} (1 - \Psi(\varepsilon|N)) \frac{\mathcal{H}^N(B_1^{\mathbb{R}^N}(0))}{v_{-\delta, N}(1 + \eta)} \geq 1 - \Psi(\varepsilon, \delta, \eta|N). \end{aligned}$$

The claim (3.3) follows from (3.4) combined with the estimate $v_{-\delta, N}(\rho) \geq (1 - \Psi(\delta|N))\omega_N \rho^N$ for every $\rho \in (0, 2)$.

In virtue of Theorem 2.13, the claim (3.3) implies that

$$(3.5) \quad d_{GH}(\bar{B}_\rho^{X/r}(x), \bar{B}_\rho^{\mathbb{R}^N}(0)) \leq \Psi(\varepsilon, \delta, \eta|N)\rho, \quad \text{for all } x \in B_\eta^{X/r}(\bar{x}), \rho \in (0, 1/2).$$

In other terms, coming back to the original scale of (X, d) , we have just proved that $B_{\eta r}^X(\bar{x}) \subset (\mathcal{R}_N)_{\Psi(\varepsilon, \delta, \eta|N), r/2}$.

The conclusion follows now from Theorem 2.7. \square

Combining (2.10) with Theorem 3.1 we obtain:

Corollary 3.2. *Let (X, d, \mathcal{H}^N) be an $\text{RCD}(K, N)$ space for some $K \in \mathbb{R}, N \in \mathbb{N}, N \geq 1$. Fix $\alpha \in (0, 1)$.*

Then there exists an open subset $U \subset X$ such that

- $\mathcal{R}_N \subset U$. In particular U is dense in X and of full measure, i.e. $\mathbf{m}(X \setminus U) = 0$.
- U is a C^α -manifold, i.e. it is a topological manifold with C^α charts.

The following theorem is a stronger version of Theorem 1.2 which includes possibly noncompact limits.

Theorem 3.3 (Topological stability). *Let $0 < \alpha < 1$. Suppose $(X_i, p_i) \rightarrow (M^N, p)$ is a pmGH converging pointed sequence of non-collapsed $\text{RCD}(K, N)$ spaces where M is a smooth Riemannian manifold. Then for any fixed $R > 0$ for all large i it holds that the balls $B_R(p_i)$ are topological manifolds and there exist α -bi-Hölder embeddings $f_i : B_R(p_i) \rightarrow B_{R+\varepsilon_i}(p)$ which are also ε_i -GH approximations with $\varepsilon_i \rightarrow 0$ and such that $f_i(B_R(p_i)) \supset B_{R-10\varepsilon_i}(p)$ and $d_{(M,g)}(f_{i,R}(p_i), p) \leq \varepsilon_i$.*

In particular, if M is compact then X_i is α -bi-Hölder homeomorphic to M for all large i .

Proof. Let $\varepsilon > 0$ be an arbitrary positive real number. The same argument as in the proof of Theorem 3.1 shows that for any fixed $R > 0$ there is $r > 0$ such that for all large i all points in $B_R(p_i)$ lie in $(\mathcal{R}_N)_{\varepsilon,r}(X_i)$. Now the result follows by Theorem 2.9 if $\varepsilon > 0$ is chosen small enough. \square

Remark 3.4. The same proof shows that Theorem 3.3 remains true if M is a non-collapsed $\text{RCD}(K, N)$ space with all points lying in $(\mathcal{R}_N)_{\varepsilon_1}$ for some sufficiently small $\varepsilon_1 = \varepsilon_1(N)$.

Using Bishop-Gromov inequality and arguing similarly to the proof of Theorem 3.1, we obtain the next two rigidity and almost rigidity results which are the RCD counterparts of [CC97, Theorem A.1.10, A.1.11] established by Cheeger-Colding for smooth Riemannian manifolds.

In order to state the next result, let us recall the notion of tangent space at infinity for an $\text{RCD}(0, N)$ space (X, d, \mathcal{H}^N) . First of all note that, if (X, d, \mathcal{H}_d^N) is $\text{RCD}(0, N)$, then for every $r > 0$ the rescaled space $(X, r d, \mathcal{H}_{r d}^N)$ is still $\text{RCD}(0, N)$; Gromov's Compactness Theorem thus implies that, for any sequence $r_i \downarrow 0$, the sequence $(X, r_i d, \mathcal{H}_{r_i d}^N)$ admits a subsequence which is pmGH-converging to some $\text{RCD}(0, N)$ space, called a *tangent space at infinity*. In general the tangent space at infinity may not be unique and need not be a metric cone (see for instance [CC97, Example 8.95]).

Theorem 3.5. *Fix some $N \in \mathbb{N}$. Let (X, d, \mathcal{H}^N) be an $\text{RCD}(0, N)$ space.*

If X admits a tangent space at infinity isometric to \mathbb{R}^N then (X, d, \mathcal{H}^N) is isomorphic to \mathbb{R}^N as a m.m.s..

Moreover the following almost rigidity holds. For every $N \in \mathbb{N}, N \geq 1$ there exists $\varepsilon(N) > 0$ with the following property.

- *If there exists $p \in X, R_0 \geq 0$ such that $d_{GH}(B_r(p), B_r(0^N)) \leq \varepsilon r$ for all $r \geq R_0$, then X is homeomorphic to \mathbb{R}^N and $\mathcal{H}^N(B_r(p)) \geq (1 - \Psi(\varepsilon|N))\omega_N r^N$ for all $r \geq R_0$;*
- *If there exists $x \in X, R_0 \geq 0$ such that $\mathcal{H}^N(B_r(p)) \geq (1 - \varepsilon)\omega_N r^N$ then X is homeomorphic to \mathbb{R}^N and $d_{GH}(B_r(p), B_r(0^N)) \leq \Psi(\varepsilon|N)r$ for all $r \geq R_0$.*

Proof. The proof of Theorem 3.5 follows from the proof of Theorem 3.3 in verbatim the same way as in the proof of [CC97, Theorem A.1.11] (which is a smooth analog of Theorem 3.5) from [CC97, Remark A.1.47].

Instead of using [CC97, Remark A.1.47] one can also argue as follows. It follows from Volume Rigidity (Theorem 2.13) and GH-Continuity of \mathcal{H}^N (Theorem 2.12) that the assumptions of the bullet points are equivalent. We will therefore assume that both hold. Volume rigidity and Theorem 3.1 easily imply that X is a topological N -manifold.

The main part is to prove that X is homeomorphic to \mathbb{R}^N .

We have that for any $\varepsilon > 0$ there is a large enough $R_0 = 2^k$ so that for all $r \geq R_0$ it holds that $d_{GH}(B_r(x), B_r(0^N)) \leq \varepsilon r$. When ε is small enough, by Theorem 3.3, this implies that there exists a bi-Hölder embedding $F_0 : B_{R_0}(0) \rightarrow B_{(1+\Psi(\varepsilon|N))R_0}(p)$ whose image contains $B_{R_0}(p)$ and which is a $\Psi(\varepsilon|N)R_0$ -GH-approximation from $B_{R_0}(0) \subset \mathbb{R}^N$ to $B_{R_0}(p)$.

For each $i > 0$ we can get similarly constructed maps $F_i : B_{1.1R_i}(0) \rightarrow B_{(1.1+\Psi(\varepsilon|N))R_i}(p)$ where $R_i = 2^{k+i}$. For any $i > 0$ let C_i be the annulus in \mathbb{R}^N equal to $\{0.4R_i < |x| < 1.1R_i\}$ and let A_i be the annulus in X given by $\{0.4R_i < d(p, \cdot) < 1.1R_i\}$. The maps F_i give bi-Hölder embeddings $C_i \rightarrow \{(0.4 - \Psi(\varepsilon|N))R_i < d(p, \cdot) < (1.1 + \Psi(\varepsilon|N))R_i\}$ which are also $\Psi(\varepsilon|N)R_i$ -GH-approximations from C_i to A_i . Note that the maps $G_i = F_i^{-1} : A_i \rightarrow \mathbb{R}^N$ and $G_{i+1} = F_{i+1}^{-1} : A_{i+1} \rightarrow \mathbb{R}^N$ need not a-priori be uniformly close on the overlaps but they can be made close by post-composing with orthogonal maps in \mathbb{R}^N . Indeed the composition $f_i = G_{i+1} \circ F_i$

gives a $\Psi(\varepsilon|N)R_i$ -GH-approximation from $B_{1.1R_i}(0) \subset \mathbb{R}^n$ to itself. Rescaling the domain and the target by $1/R_i$ this means that $f_i: B_{1.1}(0) = \frac{1}{R_i}B_{1.1R_i}(0) \rightarrow B_{1.1}(0) = \frac{1}{R_i}B_{1.1R_i}(0)$ is an $\Psi(\varepsilon|N)$ -GH-approximation. By the limit argument it's $\Psi(\varepsilon|N)$ -close to a self isometry of $B_{1.1}(0)$, i.e. to a linear map given by some orthogonal matrix B_i . Going back to the unrescaled spaces this means that $f_i: B_{1.1R_i}(0) \rightarrow B_{1.1R_i}(0)$ is $\Psi(\varepsilon|N)R_i$ -close to B_i . Thus, after post composing G_{i+1} with an orthogonal map we can assume that G_i and G_{i+1} are $\Psi(\varepsilon|N)R_i$ -close on $A_i \cap A_{i+1}$ for all $i \geq 0$.

Note that G_i is an embedding which is also an $R_i\Psi(\varepsilon)$ -GH-approximation from A_i to the annulus C_i in \mathbb{R}^N .

Using Siebenmann's deformation of homeomorphisms theory [Sie72], this implies ([Kap07, Theorem 4.11]) that if $\varepsilon > 0$ is small enough then for each $i \geq 0$ it's possible to modify G_i and G_{i+1} on a small neighborhood of $A_i \cap A_{i+1}$ such that they become equal there and the "glued" map $G: X \rightarrow \mathbb{R}^N$ is still a topological embedding. By the same argument as in Remark 2.10 the map G is onto i.e. it's a homeomorphism. \square

Theorem 3.6 (Sphere Theorem). *For every $N \in \mathbb{N}, N \geq 1$ there exists $\varepsilon(N) > 0$ with the following property.*

Let (X, d, \mathcal{H}^N) be an $\text{RCD}(N-1, N)$ space for some $N \in \mathbb{N}, N \geq 1$.

- If $d_{GH}(X, \mathbb{S}^N) \leq \varepsilon$ then X is homeomorphic to \mathbb{S}^N and $\mathcal{H}^N(X) \geq (1 - \Psi(\varepsilon|N))\mathcal{H}^N(\mathbb{S}^N)$;*
- If $\mathcal{H}^N(X) \geq (1 - \varepsilon)\mathcal{H}^N(\mathbb{S}^N)$ then X is homeomorphic to \mathbb{S}^N and $d_{GH}(X, \mathbb{S}^N) \leq \Psi(\varepsilon|N)$.*

Proof. Both the statements can be proved by a compactness-contradiction argument.

We first discuss the first statement: if $(X, d_i, \mathcal{H}^N), i \in \mathbb{N}$, is a sequence of $\text{RCD}(N-1, N)$ spaces such that (X, d_i) is GH-converging to \mathbb{S}^N , then the GH-continuity of \mathcal{H}^N (Theorem 2.12) implies that $\mathcal{H}^N(X_i) \rightarrow \mathcal{H}^N(\mathbb{S}^N)$ and thus (X, d_i, \mathcal{H}^N) converges to \mathbb{S}^N in mGH sense. We conclude that (X, d_i) is homeomorphic to \mathbb{S}^N by the topological stability Theorem 3.3.

Regarding the second statement: let $(X, d_i, \mathcal{H}^N), i \in \mathbb{N}$, be a sequence of $\text{RCD}(N-1, N)$ spaces such that $\mathcal{H}^N(X_i) \rightarrow \mathcal{H}^N(\mathbb{S}^N)$. By Gromov's Compactness Theorem there exists an $\text{RCD}(N-1, N)$ space (Y, d_Y, m_Y) such that, up to subsequences, $(X, d_i, \mathcal{H}^N) \rightarrow (Y, d_Y, m_Y)$ in mGH sense; moreover, by the stability of non-collapsed $\text{RCD}(K, N)$ spaces (see [DPG18, Theorem 1.2]) it follows that $m_Y = \mathcal{H}^N$. In particular $\mathcal{H}^N(Y) = \lim_{i \rightarrow \infty} \mathcal{H}^N(X_i) = \mathcal{H}^N(\mathbb{S}^N)$. By Bishop-Gromov inequality (2.7) it follows that $\text{rad}(Y) = \pi$, where

$$\text{rad}(Y) := \inf_{x \in Y} \sup_{y \in Y} d_Y(x, y) = \inf\{r > 0 : \exists x \in Y \text{ s.t. } Y \subset B_r(x)\}$$

is the radius of (Y, d_Y) . An iteration of the Maximal Diameter Theorem [Ket15a] gives that (Y, d_Y, m_Y) is isomorphic as metric measure space to \mathbb{S}^N . Thus $(X, d_i) \rightarrow \mathbb{S}^N$ in GH-sense and (X, d_i) is homeomorphic to \mathbb{S}^N by the topological stability Theorem 3.3. \square

4. BOUNDARY OF A NON-COLLAPSED RCD SPACE

In this section we define the boundary of a non-collapsed $\text{RCD}(K, N)$ space and study its properties.

At the core of this definition is the following lemma.

Lemma 4.1. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space. Then for every $x \in X$, every $Y \in \text{Tan}(X, d, \mathcal{H}^N, x)$ is a metric-measure cone over a non-collapsed $\text{RCD}(N-2, N-1)$ space Z , i.e. $Y = C(Z)$.*

Proof. First of all recall that tangent spaces to non-collapsed $\text{RCD}(K, N)$ spaces are metric measure cones and are non-collapsed $\text{RCD}(0, N)$ spaces (see [DPG18, Step 2, Page 645]), i.e. for every $x \in X$, every $Y \in \text{Tan}(X, d, x)$ is a metric-measure cone and a non-collapsed $\text{RCD}(0, N)$ space. Thus there exists a m.m.s (Z, d_Z, m_Z) such that $Y = C(Z)$. By [Ket15a, Theorem 1.2] it follows that Z satisfies $\text{RCD}(N-2, N-1)$. Using on the one hand that Y is non-collapsed $\text{RCD}(0, N)$ and

on the other hand that the metric-measure structure on Y is given by the $(0, N)$ -cone structure $(Y, d_Y, m_Y = \mathcal{H}_{d_Y}^N) = (C(Z), d_C, m_{C,N})$, from the definitions (2.14)-(2.15), it is easy to check that $\vartheta_{N-1}[(Z, d_Z, m_Z)] = 1$ m_Z -a.e.. Indeed, the metric-measure cone structure (2.14)-(2.15) implies that for any $z \in Z, t > 0$ it holds that $\vartheta_N(t, z) = \vartheta_{N-1}(z)$ where the first density is with respect to m_Y and the second is with respect to m_Z . Since $\vartheta_N = 1$ m_Y -a.e. on Y this immediately yields that $\vartheta_{N-1} = 1$ m_Z -a.e. on Z . By (2.12) we conclude that Z is a non-collapsed $\text{RCD}(N-2, N-1)$ space. \square

Definition 4.2 (Boundary of a non-collapsed $\text{RCD}(K, N)$ space). Given (X, d, \mathcal{H}^N) , a non-collapsed $\text{RCD}(K, N)$ space for some $K \in \mathbb{R}, N \in \mathbb{N}$, we define the RCD -boundary of X as

$$(4.1) \quad \partial X := \{x \in X : \exists Y \in \text{Tan}(X, d, x), Y = C(Z), \partial Z \neq \emptyset\},$$

and the reduced boundary of X as

$$(4.2) \quad \partial^* X := \mathcal{S}^{N-1} \setminus \mathcal{S}^{N-2}.$$

Note that, thanks to Lemma 4.1 the definition of ∂X is inductive on the dimension N of the non-collapsed $\text{RCD}(K, N)$ space. The base of this inductive definition lies on the classification of $\text{RCD}(0, 1)$ spaces (X, d, \mathcal{H}^1) proved in [KL16]: such an (X, d, \mathcal{H}^1) is isomorphic (up to rescaling) to either the singleton $\{x\}$, the unit circle \mathbb{S}^1 , the real line \mathbb{R} , the half line $[0, \infty) \subset \mathbb{R}$, or the segment $[0, 1] \subset \mathbb{R}$. Of course, in the first three cases we say that (by definition) $\partial X = \emptyset$, in the last two cases we set (again by definition) $\partial X = \{0\}$, $\partial X = \{0, 1\}$ respectively.

In the next lemma we give a characterization of the RCD -boundary in terms of iterated tangent spaces. Let us first clarify the meaning of iterated tangent space. Given $x \in X$ we write $T_x X$ to denote an arbitrary element in $\text{Tan}(X, d, x)$ (no claim of uniqueness is made here). An N -iterated tangent space at $x \in X$ is a metric space $T_{\xi_N} T_{\xi_{N-1}} \dots T_x X$, where $\xi_1 := x, \xi_2 \in T_{\xi_1} X$, etc. .

Lemma 4.3. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space for some $K \in \mathbb{R}, N \in \mathbb{N}$. Then*

$$(4.3) \quad \partial X = \{x \in X : \exists \text{ an } N\text{-iterated tangent space at } x, \text{ i.e. } T_{\xi_N} T_{\xi_{N-1}} \dots T_x X, \text{ isometric to } \mathbb{R}_+^N\},$$

where $\mathbb{R}_+^N := \{(x_1, \dots, x_N) | x_1 \geq 0\}$ is the N -dimensional Euclidean half-space.

Proof. “ \supset ”: follows by the fact that \mathbb{R}_+^N has non-empty RCD -boundary according to Definition 4.2 since it is a cone over the half sphere $\mathbb{S}_+^{N-1} := \mathbb{S}^{N-1} \cap \mathbb{R}_+^N$, and we can induct on the dimension up to \mathbb{R}_+ .

“ \subset ”. We argue by induction on $N \in \mathbb{N}$.

Case $N = 1$: if X is non-collapsed $\text{RCD}(K, 1)$ with non-empty boundary then it is isometric to either a segment or a half line; in both cases, if $x \in \partial X$ then $\text{Tan}(X, d, x) = \{\mathbb{R}_+\}$.

Case $N > 1$: if X is non-collapsed $\text{RCD}(K, N)$ and $x \in \partial X$ then (by Lemma 4.1 and Definition 4.2) there exists $T_x X \in \text{Tan}(X, d, x)$ with $T_x X = C(X_1)$, X_1 non-collapsed $\text{RCD}(N-2, N-1)$ space with $\partial X_1 \neq \emptyset$. Let $x_1 \in \partial X_1$ and note that, by inductive assumption, there exists an $N-1$ -iterated tangent space to X_1 at x_1 isometric to \mathbb{R}_+^{N-1} . Choosing $\xi_2 := (1, x_1) \in C(X_1) = T_x X$ we get that $T_{\xi_2} T_x X = \mathbb{R} \times T_{x_1} X_1$. Thus X has an N -iterated tangent space isometric to $\mathbb{R}_+^{N-1} \times \mathbb{R} = \mathbb{R}_+^N$. \square

The definition of the boundary of a non-collapsed $\text{RCD}(K, N)$ space is similar to the definition of the boundary of an Alexandrov space. However unlike in the Alexandrov case where tangent spaces are known to be unique we don't know at the moment if it's possible to have points where some tangent spaces have boundary and others don't.

Question 4.4. *Is it true that if some tangent space at some point p in a non-collapsed $\text{RCD}(K, N)$ space X has boundary then every tangent space at p has boundary?*

Remark 4.5. The answer to Question 4.4 is “Yes” if $N \leq 3$. Indeed, if $N \leq 2$ then X is Alexandrov by [LS18] and hence tangent spaces are unique. If $N = 3$ then any $Y \in \text{Tan}(X, d, p)$ has the form $Y = C(Z)$ where Z is a 2-dimensional Alexandrov space with $\text{curv} \geq 1$. For a

non-collapsing sequence of Alexandrov spaces it is known that if the elements of the sequence have (resp. don't have) boundary then the same holds for the limit. Therefore the subset of $\text{Tan}(X, d, p)$ consisting of tangent spaces that have boundary is closed and the same is true for its complement.

Since the space of tangent spaces $\text{Tan}(X, d, p)$ is connected (see e.g. [LS18, Lemma 2.1] or [DS17, Lemma 3.2]), the claim follows.

Lemma 4.6. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space.*

Then for every $x \in \mathcal{S}^{N-1} \setminus \mathcal{S}^{N-2}$, there exists a tangent space at x isomorphic to the half space $\mathbb{R}_+^N := \{(x_1, \dots, x_N) : x_1 \geq 0\}$.

In particular, $\partial^ X \subset \partial X$ and the Hausdorff dimension of $\partial X \setminus \partial^* X$ is at most $N - 2$.*

Proof. Recall that every tangent space to an $\text{RCD}(K, N)$ space is a non-collapsed $\text{RCD}(0, N)$ metric cone [DPG18, Proposition 2.8]. Note that

$$(4.4) \quad \mathcal{S}^{N-1}(X) \setminus \mathcal{S}^{N-2}(X) = \{x \in X : \nexists T_x X \text{ splitting } \mathbb{R}^N \text{ but } \exists T_x X \text{ splitting } \mathbb{R}^{N-1}\}.$$

Now, if Y is an $\text{RCD}(0, N)$ space splitting \mathbb{R}^{N-1} then $Y = Z \times \mathbb{R}^{N-1}$ with Z an $\text{RCD}(0, 1)$ space. By the classification of 1-dimensional RCD spaces [KL16], Z can be isometric to either a singleton $\{0\}$, a half-line \mathbb{R}^+ , a line \mathbb{R} , a closed interval $[a, b] \subset \mathbb{R}$, or a circle \mathbb{S}^1 .

The case $Z = \{0\}$ is excluded since it would imply $Y = \mathbb{R}^{N-1}$ which has infinite N -density ϑ_N and hence is not a non-collapsed $\text{RCD}(0, N)$. The case $Z = \mathbb{R}$ is excluded since it would imply that $Y = \mathbb{R}^N$. The cases $Z = \mathbb{S}^1$ and $Z = [a, b]$ are excluded since they would imply that Y is not a metric cone.

Thus the only possibility is that Z is a half line \mathbb{R}^+ and hence Y is isomorphic to the half space \mathbb{R}_+^N . The claim follows from the combination of this last observation with (4.4).

It is easy to see that $(\partial X \setminus \partial^* X) \subset \mathcal{S}^{N-2}$ (see for instance the beginning of the proof of Theorem 4.11). By [DPG18, Theorem 1.8], for all k it holds that

$$(4.5) \quad \dim_{\mathcal{H}} \mathcal{S}^k \leq k.$$

We conclude that the Hausdorff dimension of $\partial X \setminus \partial^* X$ is at most $N - 2$. \square

The above notion of boundary is compatible with the one of topological manifold with boundary, see Corollary 5.2.

It is clear that $\partial^* X$ need not be closed. For instance if $X = [0, 1] \times [0, 1] \subset \mathbb{R}^2$ then it is easy to see that $\partial^* X = (0, 1) \times \{0, 1\} \cup \{0, 1\} \times (0, 1)$ while $\partial X = [0, 1] \times \{0, 1\} \cup \{0, 1\} \times [0, 1]$. It is not clear if in general $\partial X \subset X$ is a closed subset.

Question 4.7. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space. Is ∂X necessarily a closed subset of X ?*

A closely related question is the following:

Question 4.8. *Is it true that if X is a non-collapsed $\text{RCD}(K, N)$ space and $\partial X \neq \emptyset$ then $\partial^* X \neq \emptyset$ also?*

Another closely related question is

Question 4.9. *Let X be a non-collapsed $\text{RCD}(K, N)$ space. Is it true that ∂X is equal to the closure of $\partial^* X$?*

A positive answer to this question would mean that our definition of the boundary is equivalent to the one suggested by De Philippis and Gigli in [DPG18].

The following conjecture is inspired by the theory of finite perimeter sets, and in particular by De Giorgi's Theorem.

Conjecture 4.10. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space. Then ∂X (or, equivalently, $\partial^* X$ in view of Lemma 4.6) is \mathcal{H}^{N-1} rectifiable.*

The next result says that a non-collapsed $\text{RCD}(K, N)$ space X is the disjoint union of a manifold part of dimension N which is open in X , a boundary part of Hausdorff dimension at most $N - 1$, and a singular set of Hausdorff dimension at most $N - 2$.

Theorem 4.11. *Let $(X, \mathbf{d}, \mathcal{H}^N)$ be a non-collapsed $\text{RCD}(K, N)$ space for some $K \in \mathbb{R}, N \in \mathbb{N}$. Then $\partial X \subset \mathcal{S}^{N-1}$, in particular the Hausdorff dimension of ∂X is at most $N - 1$. Moreover there exists $\varepsilon_0 = \varepsilon_0(K, N)$ such that for $\varepsilon \in (0, \varepsilon_0)$ the following properties hold*

- (1) $\mathcal{R}_N \subset (\mathcal{R}_N)_\varepsilon \subset X$ and $(\mathcal{R}_N)_\varepsilon \subset X$ is $\alpha(\varepsilon)$ -bi-Hölder homeomorphic to a smooth manifold, where $\alpha(\varepsilon) \rightarrow 1$ as $\varepsilon \rightarrow 0$.
- (2) $(\mathcal{R}_N)_\varepsilon \cap \partial X = \emptyset$.
- (3) $(\mathcal{R}_N)_\varepsilon \cap \mathcal{S} \subset \mathcal{S}^{N-2}$, in particular it has Hausdorff dimension at most $N - 2$.
- (4) If $\mathcal{H}^{N-1}(\mathcal{S}) = 0$ (equivalently, if $\mathcal{H}^{N-1}(\partial^* X) = 0$), then $(\mathcal{R}_N)_\varepsilon$ is path connected. Moreover, the induced inner metric on $(\mathcal{R}_N)_\varepsilon$ coincides with the restriction of the ambient metric \mathbf{d} .

It follows that

$$X = (\mathcal{R}_N)_\varepsilon \cup \partial X \cup (\mathcal{S}^{N-2} \setminus (\partial X \cup (\mathcal{R}_N)_\varepsilon)).$$

In words: X is the disjoint union of a manifold part of dimension N , a boundary part of Hausdorff dimension at most $N - 1$, and a singular set of Hausdorff dimension at most $N - 2$.

Proof. We first show that $x \notin \mathcal{S}^{N-1} \Rightarrow x \notin \partial X$: If $x \notin \mathcal{S}^{N-1}$ then there exists a tangent space to x isomorphic to \mathbb{R}^N . By Bishop-Gromov monotonicity it follows that every tangent space to x is isomorphic to \mathbb{R}^N , and thus $x \notin \partial X$.

Since $\partial X \subset \mathcal{S}^{N-1}$, (4.5) implies that ∂X has Hausdorff dimension at most $N - 1$.

Proof of (1). We claim that $(\mathcal{R}_N)_\varepsilon \subset (\mathcal{R}_N)_{\Psi(\varepsilon|N)}$.

Combining (2.17) with Theorem 2.12, it holds that $|\text{vol}(B_1^Y(y)) - \omega_n| \leq \Psi(\varepsilon|N)$. Therefore $1 \geq \vartheta_N(x) \geq 1 - \Psi(\varepsilon|N)$. By semicontinuity of ϑ_N this implies that $1 \geq \vartheta_N(z) \geq 1 - \Psi(\varepsilon|N)$ for all z sufficiently close to x . By Corollary 2.14 this implies that all z near x belong to $(\mathcal{R}_N)_{\Psi(\varepsilon|N)}$, i.e. $(\mathcal{R}_N)_\varepsilon \subset (\mathcal{R}_N)_{\Psi(\varepsilon|N)}$ as claimed. By Theorem 3.1 this implies (1) as soon as ε_0 is small enough so that for all $0 < \varepsilon < \varepsilon_0$ it holds that $\Psi(\varepsilon|N) < \bar{\varepsilon}(K, N, \alpha)$ given by Theorem 3.1.

Proof of (2). We argue by induction on N . The base of induction $N = 1$ is easy due to the classification of $\text{RCD}(K, 1)$ spaces.

Suppose the statement holds for $N - 1 \geq 1$ and we need to prove it for N .

As above, if $x \in (\mathcal{R}_N)_\varepsilon$ then for every $(Y, y) \in \text{Tan}(X, x)$ it holds (2.17). On the other hand, if $x \in \partial X$, then there exists $(\bar{Y}, \bar{y}) \in \text{Tan}(X, x)$ such that $Y = C(Z)$ where Z is a non-collapsed $\text{RCD}(N - 2, N - 1)$ space with boundary.

The estimate (2.17) implies that

$$(4.6) \quad d_{GH}(Z, \mathbb{S}^{N-1}) \leq \Psi(\varepsilon|N).$$

From the Sphere Theorem 3.6, we infer that Z has almost maximal volume, i.e. $\mathcal{H}^{N-1}(Z) \geq (1 - \Psi(\varepsilon|N - 1))\mathcal{H}^{N-1}(\mathbb{S}^{N-1})$. By the Bishop-Gromov monotonicity of volumes, it follows that for every $z \in Z$ it holds

$$\lim_{r \rightarrow 0} \frac{\mathcal{H}^{N-1}(B_r(z))}{\omega_{N-1}r^{N-1}} \geq 1 - \Psi(\varepsilon|N - 1).$$

If $\varepsilon \leq \bar{\varepsilon}(N)$, Corollary 2.14 implies that $Z = (\mathcal{R}_{N-1})_{\varepsilon_0(N-2, N-1)}(Z)$ and therefore $\partial Z = \emptyset$ by the induction assumption. This is a contradiction and hence $(\mathcal{R}_N)_\varepsilon \cap \partial X = \emptyset$.

Proof of (3). Notice that, from the very definition of singular set and reduced boundary, and from Lemma 4.6 we get

$$(4.7) \quad \mathcal{S} \setminus \mathcal{S}^{N-2} = \mathcal{S}^{N-1} \setminus \mathcal{S}^{N-2} = \partial^* X \subset \partial X.$$

Thus

$$(\mathcal{R}_N)_\varepsilon \cap (\mathcal{S} \setminus \mathcal{S}^{N-2}) \subset (\mathcal{R}_N)_\varepsilon \cap \partial X = \emptyset,$$

where in the last identity we used (2). We conclude that $(\mathcal{R}_N)_\varepsilon \cap \mathcal{S} \subset \mathcal{S}^{N-2}$. In particular, by (4.5), $(\mathcal{R}_N)_\varepsilon \cap \mathcal{S}$ has Hausdorff dimension at most $N-2$.

Proof of (4).

First note that, by (4.5), $\mathcal{H}^{N-1}(S) = 0$ if and only if $\mathcal{H}^{N-1}(\partial^* X) = 0$.

Let $0 < \varepsilon < \varepsilon_0$. By part (1) we know that $B = X \setminus (\mathcal{R}_N)_\varepsilon \subset \mathcal{S}$. By the assumption this implies that $\mathcal{H}^{N-1}(B) = 0$. Also, B is obviously closed.

Let $x, y \in X \setminus B = (\mathcal{R}_N)_\varepsilon$. Then for any small $\delta > 0$ the ball $B_\delta(y)$ lies in $(\mathcal{R}_N)_\varepsilon$. By Corollary A.8 there is $y' \in B_\delta(y)$ and a shortest geodesic $[x, y']$ which is entirely contained in $(\mathcal{R}_N)_\varepsilon$. Then the concatenation of $[xy']$ and any shortest $[y'y]$ lies in $(\mathcal{R}_N)_\varepsilon$ and has length $\leq d(x, y) + 2\delta$. Since this holds for all small δ this proves (4). \square

We suspect that the condition that $\mathcal{H}^{N-1}(\mathcal{S}) = 0$ in part (4) of Theorem 4.11 is not needed.

Conjecture 4.12. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space. Then $(\mathcal{R}_N)_\varepsilon$ is path connected for all small ε .*

Note, that this is known to be true for Alexandrov spaces: it follows from a result of Petrunin, stating that for Alexandrov spaces tangent spaces are isometric along interiors of geodesics [Pet98].

5. BOUNDARY AND CONVERGENCE

In [CC97, Theorem 6.1] Cheeger and Colding proved that the limit of a non-collapsing sequence of N -manifolds with Ricci curvature bounded below satisfies the property that the singular set \mathcal{S} is contained in \mathcal{S}^{N-2} ; following our terminology, the limit space has empty reduced boundary. We show that this theorem has the following natural generalization to non-collapsed RCD spaces.

Theorem 5.1. *Let $\{(X_i, d_i, \mathcal{H}^N)\}_{i \in \mathbb{N}}$ be a sequence of non-collapsed $\text{RCD}(K, N)$ spaces. Assume that*

- *$\{(X_i, d_i, p_i)\}_{i \in \mathbb{N}}$ converge to (X, d, p) in pointed Gromov-Hausdorff sense.*
- *The open ball $B_1(p_i)$ is a topological N -manifold and $\mathcal{H}^N(B_1(p_i)) \geq v > 0$ for all i .*

Then (X, d, \mathcal{H}^N) is a non-collapsed $\text{RCD}(K, N)$ space with $\partial X \cap B_1(p) = \emptyset$.

In particular $\mathcal{S}(X) \cap B_1(p) \subset \mathcal{S}^{N-2}(X)$ by Lemma 4.6.

We will prove Theorem 5.1 later in the section, let us first draw some consequences. Applying this theorem to a constant sequence immediately gives

Corollary 5.2. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space.*

Suppose (X, d) is a topological N -manifold with boundary ∂X . Then $\partial^ X \subset \partial X$.*

In particular if X is a topological N -manifold without boundary in manifold sense, then it is also without boundary in the RCD sense.

We don't know if in the above Corollary the inclusion $\partial^* X \subset \partial X$ is always an equality. This is known to be true if X is an Alexandrov space with curvature bounded below [Per91]. We can also prove it in the following special case.

Corollary 5.3. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space which is bi-Lipschitz homeomorphic to a smooth N -manifold with boundary. Then the RCD boundary of X agrees with the manifold boundary, i.e. $\partial X = \partial X$.*

Proof. Suppose $f: M^N \rightarrow X$ is an L -bi-Lipschitz homeomorphism where M is a smooth Riemannian manifold with boundary, X is a non-collapsed $\text{RCD}(K, N)$ space and $L > 0$. By Corollary 5.2 it holds that $\partial X \subset f(\partial M)$. Suppose there is $p \in \partial M$ such that *some* tangent space $(T_{f(p)}X, o) = \lim_{r_j \rightarrow 0} \frac{1}{r_j}(X, f(p))$ does not have boundary. Looking at $f: \frac{1}{r_i}(M, p) \rightarrow \frac{1}{r_i}(X, f(p))$ by Arzela-Ascoli's Theorem we can pass to a subsequence and get a limit map (which can be thought of as “a differential” of f at p) $f_0: T_p M = \mathbb{R}_+^N \rightarrow T_{f(p)}X = C(Z_0)$ which is also an L -bi-Lipschitz homeomorphism. Here we use the short-hand notation $T_{f(p)}X = C(Z_0)$ to denote a tangent space, without any claim of uniqueness; moreover, we will use the suggestive notation $f_0 = d_p f: T_p M = \mathbb{R}_+^N \rightarrow T_{f(p)}X = C(Z_0)$ without any claim of differentiability of f at p , but just to stress that f_0 is a blow up of f at p . Observe that $C(Z_0)$ is a non-collapsed $\text{RCD}(0, N)$ space and a metric cone, and Z_0 is a noncollapsed $\text{RCD}(N-2, N-1)$ space with $\partial Z_0 = \emptyset$.

Let $p_0 \in \partial \mathbb{R}_+^N$ be any point different from the origin and consider $q = f_0(p_0)$. Then clearly q is not the vertex of the cone $C(Z_0)$, i.e. it has the form $q = (t_0, z_0)$ where $z_0 \in Z_0$ and $t_0 > 0$.

Repeating the same blow-up procedure for $f_0: \mathbb{R}_+^N \rightarrow C(Z_0)$ at p_0 we obtain “a differential” $f_1 = d_{p_0} f_0: \mathbb{R}_+^N \rightarrow T_q C(Z_0) = \mathbb{R} \times C(Z_1)$ where $C(Z_1) = T_{z_0} Z_0$ is a noncollapsed $\text{RCD}(0, N-1)$ space and a metric cone and Z_1 is a noncollapsed $\text{RCD}(N-3, N-2)$ space with $\partial Z_1 = \emptyset$. Proceeding by induction for any $k \leq N-1$, we can construct bi-Lipschitz homeomorphisms $f_k: \mathbb{R}_+^N \rightarrow \mathbb{R}^k \times C(Z_k)$ where $C(Z_k)$ is a noncollapsed $\text{RCD}(0, N-k)$ space without boundary.

Indeed, suppose $k < N-1$ and we have already constructed f_k . Since f_k is bi-Lipschitz and $k < N-1$, we can find p_k in $\partial \mathbb{R}_+^N$ such that $f_k(p_k) \notin \mathbb{R}^k \times \{o\}$, i.e. $f_k(p_k) = (x_k, t_k, z_k)$ with $x_k \in \mathbb{R}^k, t_k > 0$ and $z_k \in Z_k$. Then we can set f_{k+1} to be a blowup of f_k at p_k , i.e.

$$f_{k+1} = d_{p_k} f_k: T_{p_k} \mathbb{R}_+^N = \mathbb{R}_+^N \rightarrow T_{f_k(p_k)}(\mathbb{R}^k \times C(Z_k)) = \mathbb{R}^{k+1} \times C(Z_{k+1})$$

where $C(Z_{k+1}) = T_{z_k} Z_k$.

On the last step we get a map $f_{N-1}: \mathbb{R}_+^N \rightarrow \mathbb{R}^{N-1} \times C(Z_{N-1})$ where $C(Z_{N-1})$ is a noncollapsed $\text{RCD}(0, 1)$ space without boundary and a metric cone. By the classification of $\text{RCD}(0, 1)$ spaces this can only be \mathbb{R} . This means that we have a homeomorphism $f_{N-1}: \mathbb{R}_+^N \rightarrow \mathbb{R}^N$. This is impossible and therefore $f(\partial M) = \partial X$. \square

Remark 5.4. It's easy to see that the proof of Corollary 5.3 works more generally if, instead of assuming that X is bi-Lipschitz to a smooth manifold, we assume that X is a Lipschitz manifold with boundary and the metric d is compatible with the Lipschitz structure on X . In other words, if X admits an atlas of charts which are bi-Lipschitz maps to open subsets of \mathbb{R}_+^N .

Remark 5.5. The proof of Corollary 5.3 shows that for X satisfying the assumptions of the Corollary, the answer to Question 4.4 is positive; i.e. a point $p \in X$ belongs to ∂X if and only if every tangent space $T_p X$ has boundary.

As it was suggested to the authors by Alexander Lytchak, using a similar blow up argument Corollary 5.3 implies the following stronger result.

Proposition 5.6. *Let (X, d_X, \mathcal{H}^N) and (Y, d_Y, \mathcal{H}^N) be non-collapsed $\text{RCD}(K, N)$ spaces. Assume there is a bi-Lipschitz homeomorphism $f: X \rightarrow Y$. Then $f(\partial X) = \partial Y$.*

Proof. Clearly, since $f^{-1}: Y \rightarrow X$ is also a bi-Lipschitz homeomorphism, it is enough to show that $f(\partial X) \subset \partial Y$. We argue by contradiction. If it is not the case, then there exists $p \in \partial X$ (i.e. there exists a tangent space $T_p X = C(X_0)$ with non-empty RCD -boundary) such that every tangent space $T_{f(p)} Y$ at $f(p) \in Y$ has empty RCD -boundary. As in the proof of Corollary 5.3, there is no claim of uniqueness of tangent spaces, we use the shorthand notation $T_p X$ just for convenience.

Also, again as in the proof of Corollary 5.3 we can pass to a subsequence and get a limit bi-Lipschitz “blow up” map $f_0 = d_p f: T_p X = C(X_0) \rightarrow T_{f(p)} Y =: Z_0$. Pick a point $p_0 \in \partial C(X_0)$

different from the origin (whose existence follows directly from Definition 4.2). Then $p_0 = (t_0, x_0)$ where $t_0 > 0$ and $x_0 \in \partial X_0$. Recall that $\partial Z_0 = \emptyset$ and hence $f_0(p_0)$ is not a boundary point. Next we can take $f_1 = d_{p_0} f_0: T_{p_0} C(X_0) \rightarrow T_{f_0(p_0)} Z_0 =: Z_1$ which is a bi-Lipschitz homeomorphism and $T_{p_0} C(X_0)$ has boundary while Z_1 does not. Note that, by the Splitting Theorem [Gig14], $T_{p_0} C(X_0) \cong \mathbb{R} \times C(X_1)$ where $C(X_1) = T_{x_0} X_0$ is non-collapsed $\text{RCD}(N-1, 0)$ with $\partial C(X_1) \neq \emptyset$. We can iterate this construction further to get, for $k = 0, \dots, N-1$, bi-Lipschitz homeomorphisms $f_k: \mathbb{R}^k \times C(X_k) \rightarrow Z_k$ where each Z_k is a non-collapsed $\text{RCD}(0, N)$ space without boundary and each $C(X_k)$ is a non-collapsed $\text{RCD}(0, N-k)$ space with boundary. On the very last step the space $C(X_{N-1})$ is a non-collapsed $\text{RCD}(0, 1)$ space with boundary which can only happen if $C(X_{N-1}) \cong [0, \infty)$, by [KL16]. Therefore $f_{N-1}: \mathbb{R}_+^N \rightarrow Z_{N-1}$ is a bi-Lipschitz homeomorphism and $\partial(Z_{N-1}) = \emptyset$. Since \mathbb{R}_+^N is a smooth manifold with boundary this is impossible by Corollary 5.3. Therefore $f(\partial X) \subset \partial Y$. \square

Theorem 5.1 also immediately implies the following result.

Corollary 5.7. *Let $\delta = \delta(N)$ be small enough so that $\varepsilon(\delta, N)$ provided by Corollary 2.15 satisfy*

$$\varepsilon(\delta, N) < \bar{\varepsilon}(N, 1/2),$$

where $\bar{\varepsilon}(N, 1/2)$ was given in Theorem 2.7. Then the following holds.

Let $\{(X_i, d_i, \mathcal{H}^N)\}_{i \in \mathbb{N}}$ be a sequence of non-collapsed $\text{RCD}(K, N)$ spaces. Assume that

- $\{(X_i, d_i, p_i)\}_{i \in \mathbb{N}}$ converge to (X, d, p) in pointed Gromov-Hausdorff sense.
- $X_i \cap B_1(p_i) \subset (\mathcal{WR}_N)_\varepsilon(X_i)$ and $\mathcal{H}^N(B_1(p_i)) \geq v > 0$ for all i .

Then (X, d, \mathcal{H}^N) is a non-collapsed $\text{RCD}(K, N)$ space with $\partial X \cap B_1(p) = \emptyset$.

Proof of Corollary 5.7. Observe that by Corollary 2.15, all X_i satisfy $X_i \cap B_1(p_i) \subset (\mathcal{R}_N)_\varepsilon(X_i)$ for all i and hence all $X_i \cap B_1(p_i)$ are topological N -manifolds by the Cheeger-Colding-Reifenberg Theorem 2.7. Now the result follows by Theorem 5.1. \square

For the proof of Theorem 5.1 we will need the following well-known folklore result in topology.

Theorem 5.8. *Let M^n be a connected non-compact topological manifold. Then M admits an exhaustion $K_0 \subset K_1 \subset \dots$ by compact connected n -dimensional submanifolds K_i with boundary such that $\cup_i K_i = M$ and $K_i \subset \text{int } K_{i+1}$ for all i .*

Proof. Since we don't know an explicit reference to this statement in literature we briefly sketch the argument from known results.

For $n \leq 3$ all topological n -manifolds are smoothable and for smooth manifolds the statement easily follows by taking an exhaustion by regular sublevel sets of a smooth proper function.

In dimension 4 it was proved by Quinn that any noncompact connected manifold is smoothable [Qui82] hence the same argument applies. In dimensions ≥ 6 the result immediately follows from work of Kirby and Siebenmann [KS77] who proved that for $n \geq 6$ all topological manifolds admit handle decompositions. Existence of handle decompositions was proved by Freedman and Quinn [Qui82] for $n = 5$ which gives the proof in that dimension also. \square

Proof of Theorem 5.1. We first observe that (X, d, \mathcal{H}^N) is a non-collapsed $\text{RCD}(K, N)$ space: the fact that $(X_i, d_i, \mathcal{H}^N, p_i)$ are $\text{RCD}(K, N)$ implies by Gromov's compactness theorem that they converge (up to subsequences) to a limit p.m.m.s. $(Y, d_Y, \mathbf{m}, \bar{y})$ in the pointed measured Gromov Hausdorff sense. Since by assumption $(X_i, d_i, p_i) \rightarrow (X, d, p)$ in pmGH sense, then (X, d, p) is isometric to (Y, d_Y, y) . By the stability of $\text{RCD}(K, N)$ under pmGH convergence [LV09, Stu06b, Vil09, AGS14, GMS15] it follows that (X, d, \mathbf{m}) is $\text{RCD}(K, N)$. The assumption $\mathcal{H}^N(B_1(p_i)) \geq v > 0$ implies that (X, d, \mathbf{m}) is a non-collapsed $\text{RCD}(K, N)$ space by [DPG18, Theorem 1.2], i.e. $\mathbf{m} = \mathcal{H}^N$.

Since all the spaces involved are non-collapsed $\text{RCD}(K, N)$, the background measure is always the N -dimensional Hausdorff measure. We will therefore suppress the measure in notations for RCD spaces occurring in the proof.

Suppose by contradiction that $\partial X \neq \emptyset$. From Lemma 4.3, if $x \in \partial X$ then some iterated tangent space $T_{\xi_N} T_{\xi_{N-1}} \dots T_{\xi_1} X$ is isometric to $\mathbb{R}_+^N = \{(x_1, \dots, x_N) | x_1 \geq 0\}$. Here $x = \xi_1 \in X, \xi_2 \in T_{\xi_1} X$ etc.

Next note that if $(Y_i, d_i, \hat{y}_i) \rightarrow (Y, d, \hat{y})$ is a pointed GH-converging sequence of spaces then for any $y \in Y$ and any tangent space $T_y Y$ by a diagonal argument there exists a sequence of rescalings $\lambda_k \rightarrow \infty$, a subsequence Y_{i_k} , and a sequence of base points y_{i_k} such that $(Y_{i_k}, \lambda_k d_{i_k}, y_{i_k}) \rightarrow (T_y Y, o)$ as $k \rightarrow \infty$.

Combining the above observations implies that after passing to a subsequence, up to a change of base points and rescalings we can assume that to begin with $(X_i, p_i) \rightarrow (\mathbb{R}_+^N, p)$ where $p = 0$ and X_i is $\text{RCD}(K_i, N)$ with $K_i \rightarrow 0$.

Let $f_i: B_1(p_i) \rightarrow B_1(0) \cap \mathbb{R}_+^N$ be a δ_i -Gromov-Hausdorff approximation with $\delta_i \rightarrow 0$. By a standard partition of unity center of mass argument we can assume that f_i is continuous. Namely, let $g_i: B_1(p_i) \rightarrow B_1(0) \cap \mathbb{R}_+^N$ be a δ_i -GH approximation. Take a maximal finite δ_i -separated net $\{x_1, \dots, x_m\}$ in $B_1(p_i)$. Then the balls $\{B_{\delta_i}(x_j)\}_{j=1}^m$ cover $B_1(p_i)$. Let $\lambda_j(x)$ be a partition of unity subordinate to this cover.

Set $f_i(x) := \sum_j \lambda_j(x) g_i(x_j)$. Then f_i is continuous and uniformly $10\delta_i$ close to g_i .

Since all X_i are topological manifolds, by Theorem 5.8 there exist compact connected submanifolds with boundary $K_i \subset B_1(p_i)$ such that $\bar{B}_{1-\delta_i}(p_i) \subset K_i$.

Since f_i is δ_i -GH approximation and $\partial B_1(0) \cap \mathbb{R}_+^N$ is exactly the unit sphere around 0 in \mathbb{R}_+^N we must have that $f_i(\partial K_i)$ is contained in the $2\delta_i$ -neighborhood of $\partial B_1(0) \cap \mathbb{R}_+^N$. By adjusting the maps f_i (along radial projections in \mathbb{R}^N) we can assume that

$$(5.1) \quad f_i(\partial K_i) \subset \partial B_1(0) \cap \mathbb{R}_+^N.$$

Note that $\partial B_1(0) \cap \mathbb{R}_+^N$ is a proper submanifold of codimension 0 homeomorphic to \bar{D}^{N-1} in $\partial(B_1(0) \cap \mathbb{R}_+^N) \cong \mathbb{S}^{N-1}$ and the same holds for $\bar{B}_1(0) \cap \partial \mathbb{R}_+^N$.

Let $q = (1/2, 0, \dots, 0) \in \mathbb{R}_+^N$ and let $q_i \in X_i$ be such that $d(q, f_i(q_i)) \leq \delta_i$. Obviously, such q_i exists. By modifying the map f_i slightly by a post-composition with a self homeomorphism of \mathbb{R}_+^N which is identity outside $B_{0.49}(q)$ we can assume that $f_i(q_i) = q$.

By the Topological Stability Theorem 3.3 for all large i there exist topological embeddings $h_i: B_{1/3}(q_i) \rightarrow B_{1/3+\varepsilon_i}(q)$ with $\varepsilon_i \rightarrow 0$ which are also ε_i -GH approximations and such that $h_i(B_{1/3}(q_i)) \supset B_{1/3-10\varepsilon_i}(q)$.

By a straight line interpolation we can change f_i slightly to a $\Psi(\delta_i, \varepsilon_i | N)$ -close map \hat{f}_i such that $\hat{f}_i = h_i$ on $B_{1/5}(q_i)$ and $\hat{f}_i = f_i$ outside $B_{1/3}(q_i)$. Indeed, let $\lambda: \mathbb{R} \rightarrow \mathbb{R}$ be a continuous function with $0 \leq \lambda \leq 1$, $\lambda(x) = 0$ for $x \leq 1/5$ and $\lambda = 1$ for $x \geq 1/4$. Then $\hat{f}_i(x) = \lambda(d(x, q))f_i(x) + (1 - \lambda(d(x, q)))h_i^{-1}(x)$ works.

Now, let M_i be the double of K_i along its boundary and let \bar{M} be the double of $\bar{B}_1(0) \cap \mathbb{R}_+^N$ along $\partial B_1(0) \cap \mathbb{R}_+^N$. Note that M is topologically a closed disk \bar{D}^N and M_i is a connected closed manifold without boundary.

By (5.1) we can “double” \hat{f}_i along ∂K_i and extend it to a map $\tilde{f}_i: M_i \rightarrow M$. Then if we compute \mathbb{Z}_2 degree of \tilde{f}_i on the one hand it must be zero since M is not a closed manifold. On the other hand it must be equal to 1 for large i since \tilde{f}_i is a homeomorphism on $B_{1/5}(q_i)$ and q has a unique preimage under \tilde{f}_i and this preimage is contained in $B_{1/5}(q_i)$. This is a contradiction and hence $\partial X = \emptyset$. \square

Next we will show that Theorem 5.1 still holds if the elements of the sequence are allowed to have more severe singularities provided the singular set is reasonably small.

We will make use of the following generalization to non-collapsed $\text{RCD}(K, N)$ spaces due to Antonelli, Brué and Semola [ABS19] of the quantitative stratification result of Cheeger and Naber [CN13], originally proved for smooth Riemannian manifolds with Ricci and volume bounded below.

Theorem 5.9 (Quantitative Stratification, [CN13, ABS19]). *Given $v > 0$, $\varepsilon > 0$, $0 < \eta < 1$ and non-negative integers $k < N$ there exists $c(k, K, N, v, \varepsilon, \eta)$ such that if (X, d, \mathcal{H}^N) is a non-collapsed $\text{RCD}(K, N)$ space with $\mathcal{H}^N(B_1(p)) \geq v$ then*

$$\mathcal{H}^N(B_r(\mathcal{S}_{\varepsilon, r}^k \cap B_1(p))) \leq c(k, K, N, v, \varepsilon, \eta) r^{N-k-\eta}, \quad \forall r \in (0, 1).$$

We will prove:

Theorem 5.10. *For any $K \in \mathbb{R}$ and $N \in \mathbb{N}$ there exists $\hat{\varepsilon}(K, N)$ such that the following holds.*

Let $\{(X_i, d_i, \mathcal{H}_{d_i}^N)\}_{i \in \mathbb{N}}$ be a sequence of non-collapsed $\text{RCD}(K, N)$ spaces. Assume that

- $\{(X_i, d_i, p_i)\}_{i \in \mathbb{N}}$ converge to (X, d, p) in pointed Gromov-Hausdorff sense.
- $\mathcal{H}^N(B_1(p_i)) \geq v > 0$ for all $i \in \mathbb{N}$.
- For any $i \in \mathbb{N}$ it holds that $\hat{\mathcal{S}}_{\hat{\varepsilon}}^{N-1}(X_i) \subset \mathcal{S}_{\hat{\varepsilon}}^{N-2}(X_i)$.

Then (X, d, \mathcal{H}^N) is a non-collapsed $\text{RCD}(K, N)$ space with $\partial X = \emptyset$.

We believe that the following natural conjecture should hold in general.

Conjecture 5.11. *Let $\{(X_i, d_i, \mathcal{H}_{d_i}^N)\}_{i \in \mathbb{N}}$ be a sequence of non-collapsed $\text{RCD}(K, N)$ spaces. Assume that*

- $\{(X_i, d_i, p_i)\}_{i \in \mathbb{N}}$ converge to (X, d, p) in pointed Gromov-Hausdorff sense.
- $\mathcal{H}^N(B_1(p_i)) \geq v > 0$ for all $i \in \mathbb{N}$.
- $\partial X_i = \emptyset$ for all i .

Then (X, d, \mathcal{H}^N) is a non-collapsed $\text{RCD}(K, N)$ space with $\partial X = \emptyset$

Remark 5.12. The corresponding statement is known to be true for Alexandrov spaces. This follows from Perelman's Stability Theorem but can also be proved by more elementary methods similar to the proofs of Theorems 5.1 and 5.10.

Also note that if the answer to Question 4.8 is positive then Conjecture 5.11 is equivalent to conjecturing that if $\mathcal{S}^{N-1}(X_i) \subset \mathcal{S}^{N-2}(X_i)$ then $\partial X = \emptyset$.

It is also natural to ask the opposite question.

Question 5.13. *Suppose $(X_i, d_i, p_i) \rightarrow (X, d, p)$ is a converging sequence of non-collapsed $\text{RCD}(K, N)$ spaces with $\mathcal{H}^N(B_1(p_i)) \geq v > 0$ for all i . Suppose further that $\partial X_i \cap B_1(p) \neq \emptyset$ (resp. $\partial^* X_i \cap B_1(p) \neq \emptyset$). Does this imply that $\partial X \neq \emptyset$ (resp. $\partial^* X \neq \emptyset$) as well? This again is known for Alexandrov spaces by Perelman's Stability Theorem.*

Proof of Theorem 5.10. Let $\alpha = 1/2$ and let $\bar{\varepsilon} = \bar{\varepsilon}(N, \alpha)$ be the constant provided by Theorem 2.7. Further let $\delta > 0$ be such that $\varepsilon(\delta, K, N)$ given by Corollary 2.15 is smaller than $\bar{\varepsilon}$.

Finally, set $\hat{\varepsilon} = \min\{\delta, \bar{\varepsilon}\}$. We claim that $\hat{\varepsilon}$ satisfies the conclusions of the theorem. Suppose $(X_i, p_i) \rightarrow (X, p)$ is a contradicting sequence.

Recall that by Remark 2.6 the inclusion $\hat{\mathcal{S}}_{\hat{\varepsilon}}^{N-1}(X_i) \subset \mathcal{S}_{\hat{\varepsilon}}^{N-2}(X_i)$ remains true after rescaling the metric by any $\lambda \geq 1$. Therefore, as in the proof of Corollary 5.7 we can assume that $(X, p) = (\mathbb{R}_+^N, 0)$ and X_i is non-collapsed $\text{RCD}(K_i, N)$ with $K_i \rightarrow 0$.

Let $f_i: (B_1(p_i), 0) \rightarrow (B_1(0) \cap \mathbb{R}_+^N, 0)$ and $h_i: (B_1(0) \cap \mathbb{R}_+^N, 0) \rightarrow (B_1(p_i), 0)$ be δ_i -GH-approximations with $f_i \circ h_i$ and $h_i \circ f_i$ both δ_i -close to identity.

Let $\eta = 1/2$, $k = N - 2$ and let $c(k, -1, N, v, \hat{\varepsilon}, \eta)$ be given by the Quantitative Stratification Theorem 5.9 where $v = \omega_N/10$.

Fix an $r > 0$ be small enough so that $100c(N - 2, -1, N, v, \hat{\varepsilon}, \eta)r^{3/2} \leq \omega_{N-1}r$. Let $U_{i,r} = B_r(h_i(\partial \mathbb{R}_+^N)) \cap B_1(p_i)$. Then by volume continuity (Theorem 2.12) we have that

$$\mathcal{H}^N(U_{i,r}) \geq \frac{\omega_{N-1}}{2}r.$$

On the other hand, by Theorem 5.9 we have that

$$\mathcal{H}^N(B_{3r}(\mathcal{S}_{\hat{\varepsilon}, 3r}^{N-2}) \cap B_1(p)) \leq c(N - 2, -1, N, v, \hat{\varepsilon}, \eta)(3r)^{3/2} < \frac{\omega_{N-1}}{2}r.$$

Therefore there exists $\hat{q}_i \in U_{i,r} \setminus B_{3r}(S_{\hat{\varepsilon}, 3r}^{N-2})$.

By above $B_{3r}(\hat{q}_i)$ contains no points from $S_{\hat{\varepsilon}, 3r}^{N-2}$. We can find $q_i \in B_{3r}(\hat{q}_i)$ such that $B_r(q_i)$ contains no points from $S_{\hat{\varepsilon}, 3r}^{N-2}$ and $d(f_i(q_i), \partial \mathbb{R}_+^N) \leq \Psi(\delta_i)$.

After passing to a subsequence we can assume that $(X_i, q_i) \rightarrow (\mathbb{R}_+^N, q)$ with $q \in \partial \mathbb{R}_+^N$.

By the assumptions of the theorem the above implies that $B_r(q_i) \cap \hat{S}_{\hat{\varepsilon}}^{N-1} = \emptyset$. By the definition of $\hat{S}_{\hat{\varepsilon}}^{N-1}$ this means that for any $x \in B_r(q_i)$ some tangent space $T_x X_i$ is $\hat{\varepsilon}$ close to \mathbb{R}^N . Since $\hat{\varepsilon} \leq \delta$, by Corollary 2.15 any tangent space $T_x X_i$ is $\bar{\varepsilon}(N)$ -close to \mathbb{R}^N . This means that $B_r(q_i)$ satisfy the regularity assumptions in Corollary 5.7 for all large i . Now Corollary 5.7 implies the result. \square

In [KLP] a different notion of a boundary, called metric-measure boundary or mm-boundary was introduced.

Question 5.14. *What is the relation between ∂X and the mm-boundary of X ? In particular, is it true that if $\partial X = \emptyset$ then the mm-boundary of X is zero? Is the same true if we only assume that $\partial^* X = \emptyset$?*

6. “SEQUENTIAL OPENNESS” OF WEAKLY NON-COLLAPSED $\text{RCD}(K, N)$ SPACES

Following the terminology proposed in [DPG18], we say that an $\text{RCD}(K, N)$ space (X, d, m) is *weakly non-collapsed* if $m \ll \mathcal{H}^N$. It was recently proved by Honda [Hon] that a compact weakly non-collapsed $\text{RCD}(K, N)$ space (X, d, m) is non-collapsed (up to a constant rescaling of the measure), i.e. $m = c\mathcal{H}^N$ for some constant $c > 0$.

The goal of the present section is to prove a series of results stating roughly that if the limit of a pmGH sequence of $\text{RCD}(K, N)$ spaces is (weakly) non-collapsed, then the same is true eventually for the elements of the sequence; thus establishing a sort of “sequential openness” of this class of spaces.

Theorem 6.1. *Let (X, d, m, \bar{x}) be a pointed weakly non-collapsed $\text{RCD}(K', N)$ space for some $K' \in \mathbb{R}, N \in \mathbb{N}$. Let $\{(X_i, d_i, m_i, \bar{x}_i)\}_{i \in \mathbb{N}}$ be a sequence of pointed $\text{RCD}(K, N)$ spaces, for some $K \in \mathbb{R}$, converging to (X, d, m, \bar{x}) in pointed measured Gromov Hausdorff sense. Then there exists $i_0 \in \mathbb{N}$ such that (X_i, d_i, m_i) is a weakly non-collapsed $\text{RCD}(K, N)$ space for every $i \geq i_0$.*

Proof. Without loss of generality we can assume that \bar{x} is an N -regular point for (X, d, m) . In particular, for every $\delta > 0$ there exists $r = r(\bar{x}, \delta)$ such that

$$d_{mGH} \left(\left(B_r^X(\bar{x}), d, \frac{1}{m(B_r^X(\bar{x}))} m \llcorner B_r^X(\bar{x}) \right), \left(B_r^{\mathbb{R}^N}(0^N), d_E, \frac{1}{\mathcal{L}^N(B_r^{\mathbb{R}^N}(0^N))} \mathcal{L}^N \llcorner (B_r^{\mathbb{R}^N}(0^N)) \right) \right) \leq \delta r.$$

Since by assumption $(X_i, d_i, m_i, \bar{x}_i) \rightarrow (X, d, m, \bar{x})$ in pmGH sense, there exists $i_0 = i_0(\delta, r) \in \mathbb{N}$ such that for all $i \geq i_0$:

$$(6.1) \quad d_{mGH} \left(\left(B_r^{X_i}(\bar{x}_i), d_i, \frac{1}{m_i(B_r^{X_i}(\bar{x}_i))} m_i \llcorner B_r^{X_i}(\bar{x}_i) \right), \left(B_r^{\mathbb{R}^N}(0^N), d_E, \frac{1}{\mathcal{L}^N(B_r^{\mathbb{R}^N}(0^N))} \mathcal{L}^N \llcorner (B_r^{\mathbb{R}^N}(0^N)) \right) \right) \leq 2\delta r.$$

Combining (6.1) with ε -regularity [MN19, Theorem 6.8] it follows that, for all $i \geq i_0$, there exist a subset $U_i \subset B_r^{X_i}(\bar{x}_i)$ with $m_i(U_i) > 0$ and a $(1 + \varepsilon)$ -bi-Lipschitz map $u_i : U_i \rightarrow u_i(U_i) \subset \mathbb{R}^N$, where $\varepsilon = \Psi(\delta|K, N)$. Moreover, from [DPG18, Proposition 3.2], it holds that $\mathcal{L}^N(u_i(U_i)) > 0$. Since u_i is $(1 + \varepsilon)$ -bi-Lipschitz it follows that $\mathcal{H}^N(U_i) > 0$.

From the rectifiability of $\text{RCD}(K, N)$ spaces as metric measure spaces [KM18, Theorem 1.2] (see also [DPMR17] and [GP16] for independent proofs), it follows that the regular stratum $\mathcal{R}_N(X_i)$ of dimension N satisfies:

$$(6.2) \quad m_i(\mathcal{R}_N(X_i)) > 0, \quad m_i \llcorner \mathcal{R}_N(X_i) \ll \mathcal{H}_{d_i}^N.$$

The constancy of the dimension in $\text{RCD}(K, N)$ spaces proved in [BS19] yields that

$$(6.3) \quad m_i(X_i \setminus \mathcal{R}_N(X_i)) = 0.$$

The combination of (6.2) with (6.3) gives that (X_i, d_i, m_i) is a weakly non-collapsed $\text{RCD}(K, N)$ space for every $i \geq i_0$. \square

Remark 6.2. The above theorem also follows from [Kit19] where it is proved that the geometric dimension of $\text{RCD}(K, N)$ spaces is lower semicontinuous under pmGH convergence. This implies that $\mathcal{R}_N(X_i)$ has positive measure for large i which by the same argument as above using [KM18, Theorem 1.2] yields the result.

Theorem 6.3. *Let (X, d, \mathcal{H}^N) be a compact non-collapsed $\text{RCD}(K', N)$ space for some $K' \in \mathbb{R}, N \in \mathbb{N}$. Let $\{(X_i, d_i, m_i)\}_{i \in \mathbb{N}}$ be a sequence of $\text{RCD}(K, N)$ spaces, for some $K \in \mathbb{R}$, converging to (X, d, m) in measured Gromov Hausdorff sense. Then there exists $i_0 \in \mathbb{N}$ such that (X_i, d_i, m_i) is a compact non-collapsed $\text{RCD}(K', N)$ space for every $i \geq i_0$. More precisely, there exists a sequence $c_i \rightarrow 1$ such that $m_i = c_i \mathcal{H}_{d_i}^N$.*

Proof. From Theorem 6.1 we have that (X_i, d_i, m_i) is a weakly non-collapsed $\text{RCD}(K, N)$ space for every $i \geq i_0$. Moreover, since the limit space X is compact, from the definition of pmGH convergence we have that X_i is compact as well, for large i . Since every compact weakly non-collapsed RCD space is actually non-collapsed [Hon] up to rescaling the background measure by a constant, we infer that there exist constants $c_i > 0$ such that $m_i = c_i \mathcal{H}_{d_i}^N$.

We now claim that $c_i \rightarrow 1$.

The mGH convergence of $(X_i, d_i, m_i = c_i \mathcal{H}_{d_i}^N)$ to (X, d, \mathcal{H}^N) ensures that

$$(6.4) \quad c_i \mathcal{H}_{d_i}^N(X_i) \rightarrow \mathcal{H}^N(X).$$

On the other hand, the GH convergence of (X_i, d_i) to (X, d) combined with the volume continuity Theorem 2.12 (applied with $R > \limsup \text{diam } X_i$) yields that

$$(6.5) \quad \mathcal{H}_{d_i}^N(X_i) \rightarrow \mathcal{H}^N(X).$$

Putting together (6.4) and (6.5) gives the claim $c_i \rightarrow 1$. \square

Collecting some results of the paper with others in the literature we obtain the following theorem (compare also with [DPG18, Kit19, AHPT18]).

Theorem 6.4. *Let (X, d, m) be an $\text{RCD}(K, N)$ space. Then the following are equivalent:*

- (1) *There exists $p \in X$ and a tangent space $(Y, d_Y, m_Y) \in \text{Tan}(X, d, m, p)$ with $m_Y \ll \mathcal{H}_{d_Y}^N$.*
- (2) *There exists a point $p \in X$ such that $\mathbb{R}^N \in \text{Tan}(X, d, p)$, i.e. \mathbb{R}^N with Euclidean metric is a metric tangent space at p .*
- (3) *For m -a.e. $p \in X$ the tangent space at p is unique and isomorphic as a m.m.s. to Euclidean \mathbb{R}^N (endowed with the suitable rescaled measure).*
- (4) *(X, d, m) is a weakly non-collapsed $\text{RCD}(K, N)$ space, i.e. $m \ll \mathcal{H}^N$.*

If moreover (X, d) is compact, then all the above statements are equivalent to (X, d, m) being a non-collapsed $\text{RCD}(K, N)$ space up to constant a normalization of m , i.e. $m = c \mathcal{H}^N$ for some constant $c > 0$.

Proof. The final claim in case of compact (X, d) is a direct consequence of [Hon].

(1) \Rightarrow (4). Since (Y, d, m_Y) is a tangent space of an $\text{RCD}(K, N)$ space, then Y is an $\text{RCD}(0, N)$ space as well. The assumption then implies that Y is weakly non-collapsed $\text{RCD}(0, N)$. Hence (4) follows directly by applying Theorem 6.3 to the blow up sequence pmGH converging to (Y, d, m_Y) .

(4) \Rightarrow (3) Follows by combining the next results: m -a.e. $x \in X$ has unique tangent space which is isomorphic to a Euclidean space [MN19], m -a.e. uniqueness of the dimension of Euclidean tangent spaces [BS19], on the k -regular stratum it holds $m \ll \mathcal{H}^k$ ([KM18, Theorem 1.2]; see also [DPMR17] and [GP16] for independent proofs).

(3) \Rightarrow (2) is trivial.

(2) \Rightarrow (1). By the compactness of $\text{RCD}(-1, N)$ spaces, there exists a Radon measure \mathbf{m}_∞ on \mathbb{R}^N with $\text{supp } \mathbf{m}_\infty = \mathbb{R}^N$ so that $(\mathbb{R}^N, \mathbf{d}_E, \mathbf{m}_\infty)$ is a metric measure tangent space. In particular, $(\mathbb{R}^N, \mathbf{d}_E, \mathbf{m}_\infty)$ verifies $\text{RCD}(0, N)$. It follows from [CM16b, Corollary 8.2] that $\mathbf{m}_\infty \ll \mathcal{L}^N$ and thus $(\mathbb{R}^N, \mathbf{d}_E, \mathbf{m}_\infty)$ is a weakly non-collapsed $\text{RCD}(0, N)$ space appearing as a tangent. \square

The combination of Theorem 3.3 and Theorem 6.3 gives the following result.

Theorem 6.5. *Let (M, g) be a compact Riemannian manifold of dimension N . Let $\{(X_i, \mathbf{d}_i, \mathbf{m}_i)\}_{i \in \mathbb{N}}$ be a sequence of $\text{RCD}(K, N)$ spaces, for some $K \in \mathbb{R}$, $m\text{GH}$ converging to (M, g) . Then there exists $i_0 \in \mathbb{N}$ such that*

- $(X_i, \mathbf{d}_i, \mathbf{m}_i)$ is a compact non-collapsed $\text{RCD}(K, N)$ space for every $i \geq i_0$: more precisely, there exists a sequence $c_i \rightarrow 1$ such that $\mathbf{m}_i = c_i \mathcal{H}^N$.
- (X_i, \mathbf{d}_i) is homeomorphic to M via bi-Hölder homeomorphisms.

We can now combine the results obtained so far to give a proof of Corollary 1.12.

Proof of Corollary 1.12. Assume by contradiction that it is not true. Then we can find $\varepsilon_0 > 0$ and a sequence $\{(X_i, \mathbf{d}_i, \mathbf{m}_i)\}_{i \in \mathbb{N}}$ of $\text{RCD}(N-1, N)$ spaces such that $\lambda_j(X_i) \rightarrow N$ as $i \rightarrow \infty$ for every $j = 1, \dots, N+1$, and such that one of the conclusions (1) – (4) fail for $\varepsilon = \varepsilon_0$ for all $i \in \mathbb{N}$.

By Gromov’s compactness Theorem, stability of $\text{RCD}(N-1, N)$ and stability of the spectrum [GMS15, Theorem 7.8] under $m\text{GH}$ convergence (as well as equivalence of pmG and $m\text{GH}$ for uniformly doubling spaces, see [GMS15, Theorem 3.30 and Theorem 3.33]) we get that there exists an $\text{RCD}(N-1, N)$ m.m.s. $(Y, \mathbf{d}_Y, \mathbf{m}_Y)$ such that, up to subsequences,

$$(X_i, \mathbf{d}_i, \mathbf{m}_i) \rightarrow (Y, \mathbf{d}_Y, \mathbf{m}_Y) \text{ in pmGH-sense and } \lambda_1(Y) = \lambda_2(Y) = \dots \lambda_{N+1}(Y) = N.$$

Applying Obata’s rigidity result [Ket15b, Theorem 1.4], we get that $(Y, \mathbf{d}_Y, \mathbf{m}_Y)$ is isomorphic as m.m.s. to the standard round sphere \mathbb{S}^N of unit radius (endowed with the standard Riemannian metric and volume measure). By Theorem 1.11 we then infer that, for large i in the converging subsequence, $(X_i, \mathbf{d}_i, \mathbf{m}_i)$ is a non-collapsed $\text{RCD}(N-1, N)$ space. Moreover, by construction $\mathbf{d}_{\text{GH}}(X_i, \mathbb{S}^N) \rightarrow 0$ as $i \rightarrow \infty$. Thus applying the Sphere Theorem 1.4, we obtain that (X_i, \mathbf{d}_i) is homeomorphic to \mathbb{S}^N and $\mathcal{H}^N(X_i) \rightarrow \mathcal{H}^N(\mathbb{S}^N)$. Since by Bishop-Gromov it holds also $\mathcal{H}^N(X_i) \leq \mathcal{H}^N(\mathbb{S}^N)$, we see that all the conclusions (1) – (4) are satisfied for $\varepsilon = \varepsilon_0/2$ for infinitely many i . Contradiction. \square

APPENDIX A. ALMOST CONVEXITY OF LARGE SETS

It is a classical result in measure theory that if $E \subset \mathbb{R}^N$ is closed and $\mathcal{H}^{N-1}(E) = 0$, then $\mathbb{R}^N \setminus E$ is connected. It turns out that this fact admits a natural generalization to essentially non-branching $\text{MCP}(K, N)$ spaces and in particular to $\text{RCD}(K, N)$ spaces. The proof of this very statement was given by Cheeger-Colding in the framework of Ricci limits [CC00a, Theorem 3.9].

Here we prove it for general essentially non-branching $\text{MCP}(K, N)$ spaces. Let us note here that our proof is inspired by but is somewhat different from the one of Cheeger and Colding. Since this is the only result in the current paper that applies to a more general class of spaces than non-collapsed $\text{RCD}(K, N)$ spaces, we have placed it in an appendix.

A.1. Essentially non-branching $\text{MCP}(K, N)$ spaces: definition and basic properties. Roughly, $\text{MCP}(K, N)$ space are those m.m.s. $(X, \mathbf{d}, \mathbf{m})$ where Bishop-Gromov volume comparison Theorem holds (where the model space is the N dimensional space form with constant Ricci curvature K). Here we briefly recall the important definitions, in order to make this appendix as self-contained as possible.

For any $t \in [0, 1]$, let e_t denote the evaluation map:

$$e_t : \text{Geo}(X) \rightarrow X, \quad e_t(\gamma) := \gamma_t.$$

Any geodesic $(\mu_t)_{t \in [0,1]}$ in $(\mathcal{P}_2(X), W_2)$ can be lifted to a measure $\Pi \in \mathcal{P}(\text{Geo}(X))$, so that $(e_t)_\# \Pi = \mu_t$ for all $t \in [0, 1]$.

Given $\mu_0, \mu_1 \in \mathcal{P}_2(X)$, we denote by $\text{OptGeo}(\mu_0, \mu_1)$ the space of all $\Pi \in \mathcal{P}(\text{Geo}(X))$ for which $(e_0, e_1)_\# \Pi$ realizes the minimum in (2.1). Such a Π is called *dynamical optimal plan*. If (X, d) is geodesic, then the set $\text{OptGeo}(\mu_0, \mu_1)$ is non-empty for any $\mu_0, \mu_1 \in \mathcal{P}_2(X)$.

A set $G \subset \text{Geo}(X)$ is a set of non-branching geodesics if and only if for any $\gamma^1, \gamma^2 \in G$, it holds:

$$\exists \bar{t} \in (0, 1) \text{ such that } \forall t \in [0, \bar{t}] \quad \gamma_t^1 = \gamma_t^2 \implies \gamma_s^1 = \gamma_s^2, \quad \forall s \in [0, 1].$$

In the appendix we will only consider essentially non-branching spaces, let us recall their definition (introduced in [RS14]).

Definition A.1. A metric measure space (X, d, \mathbf{m}) is *essentially non-branching* (e.n.b. for short) if and only if for any $\mu_0, \mu_1 \in \mathcal{P}_2(X)$, with μ_0, μ_1 absolutely continuous with respect to \mathbf{m} , any element of $\text{OptGeo}(\mu_0, \mu_1)$ is concentrated on a set of non-branching geodesics.

The definition of $\text{MCP}(K, N)$ given independently by Ohta [Oht07] and Sturm [Stu06b]. On general metric measure spaces the two definitions slightly differ, but on essentially non-branching spaces they coincide [CM17, Appendix A]. We use the one given in [Oht07].

Definition A.2 ($\text{MCP}(K, N)$ condition). Let $K \in \mathbb{R}$ and $N \in [1, \infty)$. A metric measure space (X, d, \mathbf{m}) verifies $\text{MCP}(K, N)$ if for any $\mu_0 \in \mathcal{P}_2(X)$ of the form $\mu_0 = \frac{1}{\mathbf{m}(A)} \mathbf{m}|_A$ for some Borel set $A \subset X$ with $\mathbf{m}(A) \in (0, \infty)$, and any $o \in X$ there exists $\Pi \in \text{OptGeo}(\mu_0, \delta_o)$ such that

$$(A.1) \quad \frac{1}{\mathbf{m}(A)} \mathbf{m} \geq (e_t)_\# \left(\tau_{K,N}^{(1-t)}(d(\gamma_0, \gamma_1)) \Pi(d\gamma) \right), \quad \forall t \in [0, 1],$$

where the distortion coefficient $\tau_{K,N}$ was defined in (2.2).

Remark A.3. A key property we will use of $\text{MCP}(K, N)$ spaces is the validity of the Bishop-Gromov Theorem 2.2, see [Stu06b, Remark 5.3] or [Oht07, Theorem 5.1].

Remark A.4 (Notable examples of spaces fitting in the framework of the appendix). The class of essentially non-branching $\text{MCP}(K, N)$ spaces include many remarkable families of spaces, among them:

- Smooth Finsler manifolds where the norm on the tangent spaces is strongly convex, and which satisfy lower Ricci curvature bounds. More precisely we consider a C^∞ -manifold M , endowed with a function $F : TM \rightarrow [0, \infty]$ such that $F|_{TM \setminus \{0\}}$ is C^∞ and for each $p \in M$ it holds that $F_p := T_p M \rightarrow [0, \infty]$ is a strongly-convex norm, i.e.

$$g_{ij}^p(v) := \frac{\partial^2 (F_p^2)}{\partial v^i \partial v^j}(v) \quad \text{is a positive definite matrix at every } v \in T_p M \setminus \{0\}.$$

Under these conditions, it is known that one can write the geodesic equations and geodesics do not branch; in other words these spaces are non-branching. We also assume (M, F) to be geodesically complete and endowed with a C^∞ measure \mathbf{m} in a such a way that the associated m.m.s. (X, F, \mathbf{m}) satisfies the $\text{MCP}(K, N)$ condition, see [Oht09].

- Sub-Riemannian manifolds. The following are all examples of essentially non-branching $\text{MCP}(K, N)$ -spaces: the $(2n+1)$ -dimensional Heisenberg group [Jui09], any co-rank one Carnot group [Riz16], any ideal Carnot group [Rif13], any generalized H-type Carnot group of rank k and dimension n [BR18].
- Strong $\text{CD}^*(K, N)$ spaces, and in particular $\text{RCD}^*(K, N)$ (thus also $\text{RCD}(K, N)$) spaces [RS14].

A.2. Disintegration in essentially non-branching $\text{MCP}(K, N)$ spaces. In the proof of the main result of this appendix, namely Proposition A.6, we will use a disintegration/localization argument. In order to make the appendix as self-contained as possible, we briefly recall the results we will use.

Let (X, d, \mathbf{m}) be an essentially non-branching m.m.s. satisfying $\text{MCP}(K, N)$, for some $K \in \mathbb{R}, N \in (1, \infty)$. Fix a point $\bar{x} \in X$ and let $u(\cdot) := d(\bar{x}, \cdot)$ be the distance function from \bar{x} . Define

$$(A.2) \quad \Gamma_u := \{(x, y) \in X \times X : u(x) - u(y) = d(x, y)\}.$$

Its transpose is given by $\Gamma_u^{-1} = \{(x, y) \in X \times X : (y, x) \in \Gamma_u\}$. We define the *transport relation* R_u as:

$$(A.3) \quad R_u := \Gamma_u \cup \Gamma_u^{-1}.$$

Using that (X, d, \mathbf{m}) is essentially non-branching, Cavalletti [Cav14] (cf. [BC13]) proved that R_u induces a partition of X (up to a subset \mathcal{N} , with $\mathbf{m}(\mathcal{N}) = 0$) into a disjoint family (of equivalence classes) $\{X_\alpha\}_{\alpha \in Q}$ each of them isometric to an interval of \mathbb{R} . Here Q is any set of indices.

Once an essential partition of X is at disposal, a decomposition of the reference measure \mathbf{m} can be obtained using the Disintegration Theorem. Denote by $\tilde{X} = X \setminus \mathcal{N}$ the subset of full measure partitioned by R_u . Let $\mathfrak{Q} : \tilde{X} \rightarrow Q$ be the quotient map induced by the partition:

$$(A.4) \quad \alpha = \mathfrak{Q}(x) \iff x \in X_\alpha.$$

Finally, the set of indices Q can be identified with a suitable subset of X , intersecting each ray X_α exactly once (see [CM, Section 3.1] for the details), enjoying natural measurability properties. In the next statement, we denote with $\mathcal{M}_+(X)$ the space of non-negative Radon measures over X .

Theorem A.5 (Theorem 3.4 and Theorem 3.6 [CM]). *Let (X, d, \mathbf{m}) be an essentially non-branching m.m.s. satisfying $\text{MCP}(K, N)$, for some $K \in \mathbb{R}, N \in (1, \infty)$. Fix a point $\bar{x} \in X$ and let $u(\cdot) := d(\bar{x}, \cdot)$ be the distance function from \bar{x} .*

Then the measure \mathbf{m} admits the following disintegration formula:

$$\mathbf{m} = \int_Q \mathbf{m}_\alpha \mathfrak{q}(d\alpha),$$

where \mathfrak{q} is a Borel probability measure over $Q \subset X$ such that $\mathfrak{Q}_\#(\mathbf{m}) \ll \mathfrak{q}$ and the map $Q \ni \alpha \mapsto \mathbf{m}_\alpha \in \mathcal{M}_+(X)$ satisfies the following properties:

- (1) for any \mathbf{m} -measurable set B , the map $\alpha \mapsto \mathbf{m}_\alpha(B)$ is \mathfrak{q} -measurable;
- (2) for \mathfrak{q} -a.e. $\alpha \in Q$, \mathbf{m}_α is concentrated on $\mathfrak{Q}^{-1}(\alpha) = X_\alpha$ (strong consistency);
- (3) for any \mathbf{m} -measurable set B and \mathfrak{q} -measurable set C , the following disintegration formula holds:

$$\mathbf{m}(B \cap \mathfrak{Q}^{-1}(C)) = \int_C \mathbf{m}_\alpha(B) \mathfrak{q}(d\alpha);$$

- (4) for \mathfrak{q} -a.e. α , \mathbf{m}_α is a Radon measure with $\mathbf{m}_\alpha = h_\alpha \mathcal{H}^1 \llcorner_{X_\alpha} \ll \mathcal{H}^1 \llcorner_{X_\alpha}$ and $(\bar{X}_\alpha, d, \mathbf{m}_\alpha)$ verifies $\text{MCP}(K, N)$.

A.3. The result. Let (X, d, \mathbf{m}) be a metric measure space. For any $\beta \in \mathbb{R}$ we can consider a codimension β version of \mathbf{m} denoted by $\mathbf{m}_{-\beta}$ as defined by Cheeger and Colding in [CC00a, Section 2]. Recall that it's defined as follows:

For $\delta > 0$ set

$$(\mathbf{m}_{-\beta})_\delta(U) = \inf_{\mathcal{B}} \sum_i r_i^{-\beta} \mathbf{m}(B_{r_i}(q_i))$$

where $\mathcal{B} = \{B_{r_i}(q_i)\}$ is a collection of balls covering U with all $r_i \leq \delta$. Then $(\mathbf{m}_{-\beta})_\delta(U)$ is non-increasing in δ and we put

$$\mathbf{m}_{-\beta}(U) = \lim_{\delta \rightarrow 0+} (\mathbf{m}_{-\beta})_\delta(U)$$

This obviously defines a metric outer measure and hence all Borel subsets of X are $\mathbf{m}_{-\beta}$ measurable.

Proposition A.6. *Let (X, d, \mathbf{m}) be an essentially non-branching $\text{MCP}(K, N)$ space. Let $S \subset X$ be a closed subset with $\mathbf{m}_{-1}(S) = 0$. Let $x_1 \in X \setminus S$. Then for \mathbf{m} -a.e. $y \in X \setminus S$ there exists a geodesic joining x_1 and y which is entirely contained in $X \setminus S$.*

We first establish the following preliminary lemma which generalizes and strengthens [CC00a, Lemma 3.1] in the MCP case.

Lemma A.7. *Given $\delta, d, N > 0, K \in \mathbb{R}$ there is $C(\delta, d, N, K) > 0$ such that the following holds.*

Let $(X, \mathbf{d}, \mathbf{m})$ be an essentially non-branching $\text{MCP}(K, N)$ space. Assume there exist $x_1, x_2 \in X$ with $B_{2\delta}(x_1) \cap B_{2\delta}(x_2) = \emptyset$ and satisfying the following conditions:

- *Denoting*

$$E = \cup_{j=1}^l B_{r_j}(q_j)$$

the union of finitely many balls in X , it holds that

$$B_{2\delta}(x_1) \cup B_{2\delta}(x_2) \subset B_d(x_1) \setminus E.$$

- *There is a subset $Y \subset B_\delta(x_2)$ with $\mathbf{m}(Y) \geq \frac{1}{2}\mathbf{m}(B_\delta(x_2))$ such that for every $x \in Y$, every geodesic from x_1 to x intersects E .*

Then

$$0 < C(\delta, d, N, K) < \sum_j \frac{\mathbf{m}(B_{r_j}(q_j))}{r_j \mathbf{m}(B_d(x_1))}.$$

Proof. Step 1. The key step in the proof of the lemma is the following inequality

$$(A.5) \quad \mathbf{m}(B_\delta(x_2)) \leq C(\delta, d, N, K) M_+(\partial E),$$

where we denote with $M_+(\partial E)$ the co-dimension one Minkowski content defined as

$$(A.6) \quad M_+(\partial E) = \liminf_{\varepsilon \downarrow 0} \frac{\mathbf{m}(U_\varepsilon)}{\varepsilon},$$

where $U_\varepsilon := \{x \in X : \exists y \in \partial E \text{ such that } \mathbf{d}(x, y) < \varepsilon\}$ is the ε -neighborhood of ∂E with respect to the metric \mathbf{d} .

Applying Theorem A.5, we obtain the radial disintegration of the background measure \mathbf{m} with respect to the point x_1 :

$$\mathbf{m} = \int_Q h_\alpha \mathcal{H}^1 \llcorner_{X_\alpha} \mathbf{q}(d\alpha)$$

where $\mathbf{m}_\alpha = h_\alpha \mathcal{H}^1 \llcorner_{X_\alpha} \ll \mathcal{H}^1 \llcorner_{X_\alpha}$ satisfies $\text{MCP}(K, N)$.

Let $0 < \varepsilon < \delta$ and let U_ε the ε -tubular neighbourhood of ∂E defined above.

Let $\mathcal{A}' \subset Q$ be the set of all indices α such that $X_\alpha \cap Y \neq \emptyset$. For any $\alpha \in \mathcal{A}'$ let $x_\alpha \in X_\alpha$ be the point of intersection of X_α with ∂E (note that $X_\alpha \cap \partial E \neq \emptyset$ by the assumptions of the lemma) which is closest to x_1 .

For each $\alpha \in \mathcal{A}'$ let $J_\alpha = X_\alpha \cap Y$ and let $I_\alpha = B_\varepsilon(x_\alpha) \cap X_\alpha$. Note that $I_\alpha \subset U_\varepsilon$, $\mathcal{H}^1(I_\alpha) = 2\varepsilon$ and $\mathcal{H}^1(J_\alpha) \leq 2\delta$ for any $\alpha \in \mathcal{A}'$.

Also note that $\mathbf{d}(x_\alpha, x_1) \geq 2\delta$. Now the $\text{MCP}(K, N)$ condition implies (see for instance [CM, (2.10)]) that for any $x \in I_\alpha, y \in J_\alpha$ the densities h_α at these points satisfy

$$h_\alpha(x) \geq C(K, N, d, \delta) h_\alpha(y).$$

Averaging this inequality over I_α, J_α with respect to \mathcal{H}^1 gives that

$$\mathbf{m}_\alpha(I_\alpha) \geq \varepsilon \frac{C(\delta, N, K, d) \mathbf{m}_\alpha(J_\alpha)}{\delta}$$

Integrating the last estimate with respect to $\mathbf{q}(d\alpha)$ and taking into account that $\cup_\alpha I_\alpha \subset U_\varepsilon$ gives

$$\mathbf{m}(U_\varepsilon) \geq \varepsilon \frac{c(\delta, N, K, d) \mathbf{m}(Y)}{\delta} \geq \varepsilon c(\delta, N, K, d) \mathbf{m}(B_\delta(x_2)).$$

Dividing by ε and sending $\varepsilon \rightarrow 0$ gives (A.5).

Step 2. The estimate (A.5) gives

$$\begin{aligned} \mathbf{m}(B_\delta(x_2)) &\leq C(\delta, d, N, K) M_+(\partial E) \\ &\leq C(\delta, d, N, K) \sum_j M_+(\partial B_{r_j}(q_j)) \leq C(\delta, d, N, K) \sum_j \frac{\mathbf{m}(B_{r_j}(q_j))}{r_j} \end{aligned}$$

where in the last estimate we used Bishop-Gromov Theorem 2.2 (see Remark A.3). Dividing by $\mathbf{m}(B_d(x_1))$ and using Bishop-Gromov Theorem again we get

$$c(\delta, d, N, K) \leq \frac{\mathbf{m}(B_\delta(x_2))}{\mathbf{m}(B_{2d}(x_2))} \leq \frac{\mathbf{m}(B_\delta(x_2))}{\mathbf{m}(B_d(x_1))} \leq C(\delta, d, N, K) \sum_j \frac{\mathbf{m}(B_{r_j}(q_j))}{r_j \mathbf{m}(B_d(x_1))}$$

which finishes the proof of the lemma. \square

Proof of Proposition A.6. It's enough to prove the proposition for compact S . Let Y be the set of points $y \in X \setminus S$ such that every geodesic from x_1 to y intersects S . Suppose $\mathbf{m}(Y) > 0$. Let $x_2 \in X \setminus S$ be a Lebesgue-density point of Y . Note that $x_2 \neq x_1$ since S is closed and $x_1 \notin S$. Let $d > 0$ be big enough so that $\{x_2\} \cup S \subset B_d(x_1)$.

Let $\delta > 0$ be small enough so that $\mathbf{m}(B_\delta(x_2) \cap Y) \geq \frac{1}{2} \mathbf{m}(B_\delta(x_2))$ and $B_{10\delta}(x_1) \cup B_{10\delta}(x_2) \subset B_d(x_1) \setminus S$ and $B_{10\delta}(x_1) \cap B_{10\delta}(x_2) = \emptyset$. Since $\mathbf{m}_{-1}(S) = 0$ and S is compact, for any $\eta > 0$ there exists a finite collection of balls $\{B_{r_j}(q_j)\}_{j=1}^l$ such that all $r_j \leq \delta$ and

$$\sum_j \frac{\mathbf{m}(B_{r_j}(q_j))}{r_j} \leq \eta.$$

On the other hand, applying Lemma A.7 to x_1, x_2 , and $E_\eta = \cup_j B_{r_j}(q_j)$ we get that

$$0 < C(\delta, d, N, K) \mathbf{m}(B_d(x_1)) < \sum_j \frac{\mathbf{m}(B_{r_j}(q_j))}{r_j}.$$

This gives a contradiction when η is sufficiently small. \square

Corollary A.8. *Let (X, d, \mathcal{H}^N) be a non-collapsed $\text{RCD}(K, N)$ space. Let $B \subset X$ be a closed subset with $\mathcal{H}^{N-1}(B) = 0$. Let $x_1 \in X \setminus B$. Then for \mathcal{H}^N -a.e. $y \in X \setminus B$ there exists a geodesic from x_1 to y which is contained in $X \setminus B$.*

Proof. Since the measure $\mathbf{m} = \mathcal{H}^N$ is locally Ahlfors regular on a non-collapsed $\text{RCD}(K, N)$ space, it easily follows from the definition of \mathbf{m}_{-1} that if $\mathcal{H}^{N-1}(B) = 0$ then $\mathbf{m}_{-1}(B) = 0$ as well. Now the result follows from Proposition A.6 \square

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