

1 **Model Intercomparison of the Impacts of Varying Cloud Droplet Nucleating**
2 **Aerosols on the Lifecycle and Microphysics of Isolated Deep Convection**

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31 ABSTRACT

32 The microphysical impacts of aerosol particles on scattered isolated deep convective cells near
33 Houston, Texas on 19 June 2013, are examined using multiple cloud-system resolving model
34 (CRM) simulations initialized with vertical profiles of low and high concentrations of cloud
35 droplet nucleating aerosols. These simulations formed part of the Model Intercomparison Project
36 (MIP) conducted by the Deep Convective Working Group of the Aerosol, Cloud, Precipitation
37 and Climate (ACPC) initiative. Each CRM generated a field of convective cells representing
38 those observed during the case study with varying degrees of accuracy. The Tracking and
39 Object-Based Analysis of Clouds (*tobac*) cell tracking algorithm was applied to each MIP CRM
40 simulation to track relatively long-lived convective cells (20-60 minutes). Most of the CRMs
41 produced similar aerosol loading impacts on the warm-phase of tracked cell properties with
42 reduced autoconversion and accretion growth of rain, increased cloud water, reduced rainfall,
43 and reduced near-surface evaporation of rain. The sign of aerosol impacts on the warm-phase
44 properties of the convective cells was also quite consistent over cell lifetimes with the greatest
45 magnitude of influence in the first half of the lifecycle in most CRMs. In contrast, the ice-phase
46 response to aerosol loading was highly variable amongst CRMs and included increases or
47 decreases in ice amounts at inconsistent stages of cell lifecycle and mid-level vs upper-level
48 changes in ice. This inter-model variability in ice is indicative both of the complex indirect
49 interactions between aerosols and ice-phase processes in deep convection and their associated
50 parameterizations.

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62 **1. Introduction**

63 Cloud droplet nucleating aerosols are an essential component to cloud formation via their
64 role as cloud condensation nuclei. Increases in the aerosol number concentration have been
65 shown to increase cloud albedo (Twomey, 1977), reduce cloud precipitation efficiency, and
66 increase cloud lifetime (Albrecht, 1989) through the generation of more numerous but smaller
67 cloud droplets in shallow clouds (e.g., Twomey and Squires, 1959; Kaufman and Nakajima,
68 1993). A population of more numerous, smaller cloud droplets leads to delayed autoconversion
69 and accretion growth of precipitating sized raindrops due to the reduced collision-coalescence
70 efficiency of smaller droplets and narrowing of the droplet spectrum (e.g., Squires 1958;
71 Rosenfeld, 1999; Pinsky et al., 2008; Tao et al., 2012). Such clouds may persist for longer
72 periods of time, continue to grow by vapor condensation, and permit lofting of cloud droplets to
73 higher altitudes since they are less efficiently scavenged (e.g., Rosenfeld and Woodley, 2000;
74 Khain et al., 2004; van den Heever et al., 2006; Altaratz et al., 2014; Koren et al., 2014; Saleeby
75 et al., 2015). In some cases, extremely high concentrations of aerosols can effectively shut down
76 precipitation formation if the droplet sizes are sufficiently small (Rosenfeld, 1999).

77 In deep convective clouds, where a variety of hydrometeor growth processes and phases
78 occurs during their lifecycle, aerosols may impact the processes in complex and non-linear ways.
79 In addition to warm-phase impacts of aerosols on cloud microphysics, the mixed-phase riming
80 growth of ice hydrometeors in deep convection is also impacted by aerosol-induced changes to
81 the cloud droplet size distribution. As droplet sizes are reduced, the efficiency with which they
82 contribute to riming growth of ice hydrometeors can be substantially reduced, thus inhibiting ice
83 growth (Lowenthal et al., 2011). Whether riming is enhanced or inhibited by a greater population
84 of smaller cloud droplets is determined by both the size of the droplets and their number
85 concentration. In a high aerosol scenario, if the droplet sizes are not reduced substantially enough
86 to offset the greater number of droplets contributing positively to the collection efficiency
87 between ice hydrometeors and cloud droplets, then greater riming and ice production can result
88 (Khain et al., 2011; Ilotoviz et al., 2016; Saleeby et al., 2016). However, a tipping point towards
89 reduced riming may be reached at very high aerosol concentration (Khain et al., 2011; Ilotoviz et
90 al., 2016; Barrett and Hoose, 2023). Cheng et al. (2010) also showed that riming rates either
91 decrease or increase depending on the compensating impacts of higher droplet number versus
92 smaller droplet size which impacts ice distributions and precipitation in non-linear ways. As

93 such, we may expect mixed-phase cloud regions to be sensitive to changes in aerosols through
94 the modification of the cloud droplet size distribution and its impact on riming growth of ice.

95 Previous studies have also shown that an increase in aerosol concentration with
96 corresponding change in the cloud droplet size distributions and enhanced droplet surface areas
97 can lead to changes in the profiles of latent heating in convective clouds (e.g., Khain et al., 2005;
98 Tao et al., 2007; Storer and van den Heever, 2013; Marinescu et al., 2016). Increases in latent
99 heating, and thus buoyancy, have the potential to invigorate and deepen the storms through
100 increases in updraft strength (e.g., Andreae et al., 2044; Koren et al., 2005; Khain et al., 2004,
101 2005; Tao et al., 2007; Storer and van den Heever, 2013; Koren et al., 2014; Fan et al., 2018).

102 Aerosol-induced invigoration via changes in latent heating has been hypothesized to
103 occur through several key pathways: *cold phase invigoration* (e.g., Khain et al., 2005; van den
104 Heever et al., 2006; Tao et al., 2007; Rosenfeld et al., 2008; Fan et al., 2012), *condensational*
105 *invigoration* (e.g., Fan et al., 2007; Saleeby et al., 2015; Sheffield et al., 2015; Grabowski and
106 Morrison, 2016; Igel and van den Heever, 2021), and *humidity-entrainment invigoration* (Abbott
107 and Cronin, 2021). Other studies have indicated mixed or inconsistent results related to aerosol
108 invigoration due to competing processes in deep convection (Morrison and Grabowski, 2011;
109 Fan et al., 2013; Grabowski and Morrison, 2016; Igel and van den Heever, 2021). Further,
110 several recent observational studies (Varble 2018; Veals et al. 2022; Oktem et al., 2023) have
111 been unable to find evidence of convective invigoration in the associated field study locations,
112 though they note the difficulty in isolating aerosol impacts in such complicated environments
113 and the need for focused additional studies. Complex non-linear interactions among aerosols,
114 cloud and ice hydrometeors, latent heating, cold pool forcing and the environmental conditions
115 may all contribute to the ultimate impacts of aerosols on deep convective updrafts and
116 precipitation (e.g., Khain and Pokrovsky, 2004; Khain et al., 2004, 2005; Wang et al., 2005;
117 Teller and Levin, 2006; van den Heever et al., 2006; Cheng et al., 2007; Fan et al., 2007; Storer
118 and van den Heever, 2013; Saleeby et al., 2016; Marinescu et al., 2017; Grabowski and
119 Morrison, 2016; Abbott and Cronin, 2021; Sokolowsky et al., 2022). These complex interactions
120 make it challenging to draw blanket conclusions regarding aerosol impacts on convective
121 updrafts, microphysics, and precipitation.

122 To more rigorously assess the impacts of aerosols on deep convection from a numerical
123 modeling perspective, Marinescu et al. (2021), hereafter M21, examined the impacts using

124 simulations from a Model Intercomparison Project (MIP) conducted by the Deep Convective
 125 Working Group (van den Heever et al., 2017), hereafter V17, of the Aerosol, Cloud,
 126 Precipitation and Climate (ACPC) initiative (Rosenfeld et al., 2014; www.acpcinitiative.org).
 127 The ACPC-MIP conducted cloud resolving model simulations focused on the Houston, TX area
 128 of the United States for a case of ordinary deep convection simulated from 1200 UTC 19 June to
 129 1500 UTC 20 June 2013 (V17). Simulations with low and high concentrations of cloud droplet
 130 nucleating aerosols (hereafter “Low-Aero” and “High-Aero”) were run in a nested grid
 131 configuration down to 500 m horizontal grid spacing for seven numerical models (presented in
 132 Section 2a). The use of an array of different CRMs provides a broad range of simulated
 133 responses to aerosol loading that result from differences in parameterizations related to
 134 dynamics, microphysics, and subsequent feedbacks and interactions. This analysis of aerosol
 135 sensitivity within multiple CRMs will help address the ACPC-MIP goal of determining the range
 136 in convective responses to aerosol loading across state-of-the-art modeling frameworks. As such,
 137 the results encompass an ensemble of potential convective responses to aerosol loading that may
 138 be expected among the atmospheric numerical modeling community. M21 specifically examined
 139 the impacts of aerosol concentration on the convective updraft dynamics simulated by these

Model	ICON
Model Version	v2.5.0; Zängl et al., 2015
Grid	Arakawa-C
Vertical Coordinate	SLEVE coordinate implementation (Leuenberger et al., 2010)
Land Surface	TERRA: Heise et al. (2006)
Boundary Layer / Turbulence	3D turbulence scheme using prognostic TKE (Dipankar et al., 2015)
Radiation	RRTM radiation scheme (Mlawer et al., 1997)
Initial / Boundary Condition Dataset	ECMWF Integrated Forecast System: ECMWF (2016)
Microphysics	2M: Seifert and Beheng (2006a), Seifert et al. (2012)
Hydrometeors	c, r, g, h, s, i; (2M in all hydrometeors)
Hydrometeor Fall Speeds	Number and mass weighted mean fall speeds, varying DSDs and power laws for different hydrometeors
Saturation Adjustment	Yes
Aerosol Activation	Based on Abdul-Razzak & Ghan (2000) scheme
Aerosol Processes	Temporal constant aerosol profile
Heterogeneous Ice Nucleation	Phillips et al. (2008)

140 *Table 1. Details of the varying physical parameterizations in the ICON model. For model*
 141 *hydrometeor classes, c, r, g, h, s, i refer to cloud, rain, graupel, hail, snow and cloud ice.*
 142

143 various CRMs via a detailed analysis of the terms that contribute to the prognostic vertical
144 velocity equation. For an increase in aerosol concentration, they found a consistent increase in
145 vertical velocity within the warm-phase and lower mixed-phase portions of the convective cloud
146 columns, from 4-7 km above ground level (AGL), and highly variable results (increases,
147 decreases, and neutral changes in vertical velocity) in the mid to upper levels of the convection.
148 The increase in vertical velocity (that peaked around the 4.75 km AGL, 0°C level) was largely
149 attributed to enhanced buoyancy (condensational invigoration), though there were likely
150 contributions to condensational heating from environmental feedbacks related to instability.

151 van den Heever et al. (2025), hereafter V25, provided an overarching view of the aerosol-
152 induced variability in the bulk convective, dynamical and microphysical properties as a function
153 of the CRMs forming part of the MIP. V25 highlights that the model spread in total precipitation
154 tends to be greater than that resulting from aerosol loading within each model, while most
155 models do indicate a reduction in surface precipitation. Further, the sign of cold pool responses
156 to aerosol loading is variable among models, which is likely linked to greater model variability in
157 cold phase microphysics. M21 focused specifically on changes to the convective updrafts due to
158 changes in latent heating associated with microphysical phase changes.

159 This current companion study examines the same MIP simulations of the convective
160 event as V25 and M21, but it more closely examines the time-varying impact of aerosol loading
161 on the microphysical properties and processes in convective cells and subsequent feedbacks.
162 More specifically, it seeks to identify: (1) similarities and differences in liquid and ice-phase
163 properties and processes (among MIP CRMs and low and high aerosol environments), (2) the
164 corresponding changes to latent heating, (3) the potential feedbacks to the convective updrafts,
165 and (4) the variability of these characteristics over convective cell lifecycles. While M21
166 provided their updraft analysis of identified convective columns over all domain-space and time
167 of this simulated event, in this study we isolate and track convective cells over time using a cell
168 tracking tool that is discussed in detail below. This method allows us to specifically examine the
169 variations over cell lifetimes of aerosol effects on updrafts and the associated cloud
170 microphysics. This also permits an examination of composites of cell properties, a comparison of
171 cell characteristics (and their variability with aerosol concentration) among the MIP CRMs, and
172 a link between cloud hydrometeors, phase changes, latent heating, and convective updrafts.

173

174 **2. Models and Methods**

175 *a. ACPC MIP Models*

176 The CRMs included in the ACPC-MIP were the: Consortium for Small-scale modeling
177 v5.1 (COSMO; Schättler et al., 2019), Meso-NH Model v5.4.1 (Meso-NH; Lac et al., 2018),
178 Regional Atmospheric Modeling System v6.2.09 (RAMS; Cotton et al. 2003, Saleeby and van
179 den Heever, 2013), Unified Model v10.8 (UM; Walters et al., 2017; Field et al., 2023), NASA
180 Unified Weather Research and Forecasting (WRF) Model v3.9.1 (NU-WRF; Skamarock et al.
181 2008, Peters-Lidard et al., 2015), WRF v3.7.1 with Morrison microphysics (WRF-Morr;
182 Skamarock et al., 2008), and WRF v4.0.3 with a new version of the Hebrew University Cloud
183 Model bin microphysics (WRF-SBM; Shpund et al., 2019). The details of each of these CRMs,
184 simulation configuration, the physics choices, and the aerosol initial conditions are described in
185 detail in V17 and M21. We briefly note that the maximum aerosol concentrations at the surface
186 were 500 cm^{-3} for “Low-Aero” and 4000 cm^{-3} for “High-Aero” with a log-normal size
187 distribution median diameter of 100 nm and hygroscopicity factor κ of 0.2 (as defined by
188 Petters and Kreidenweis (2007)). The initial vertical profile of aerosol number concentration is
189 given in M21 and is based on both satellite and field program in situ data near Houston for this
190 case on 19 June 2013. The Icosahedral Nonhydrostatic Model (ICON; Zängl et al., 2015) was
191 run after the other MIP CRMs, the results of which are also included here. The ICON model
192 setup, details, physical parameterizations, and associated references are given in Table 1 of this
193 manuscript and can be compared with the rest of those summarized in Table A1 of M21. While
194 the simulation setups between CRMs were kept as similar as possible, the participating CRMs
195 vary in their dynamic cores, vertical coordinates, boundary layer schemes, radiation
196 parameterizations, and microphysics representations. Given the focus of aerosol impacts on
197 convection, it is particularly noteworthy that these CRMs vary in their hydrometeor classes, bulk
198 vs bin microphysics approaches, and explicit activation of aerosols for droplet nucleation versus
199 saturation adjustment approaches.

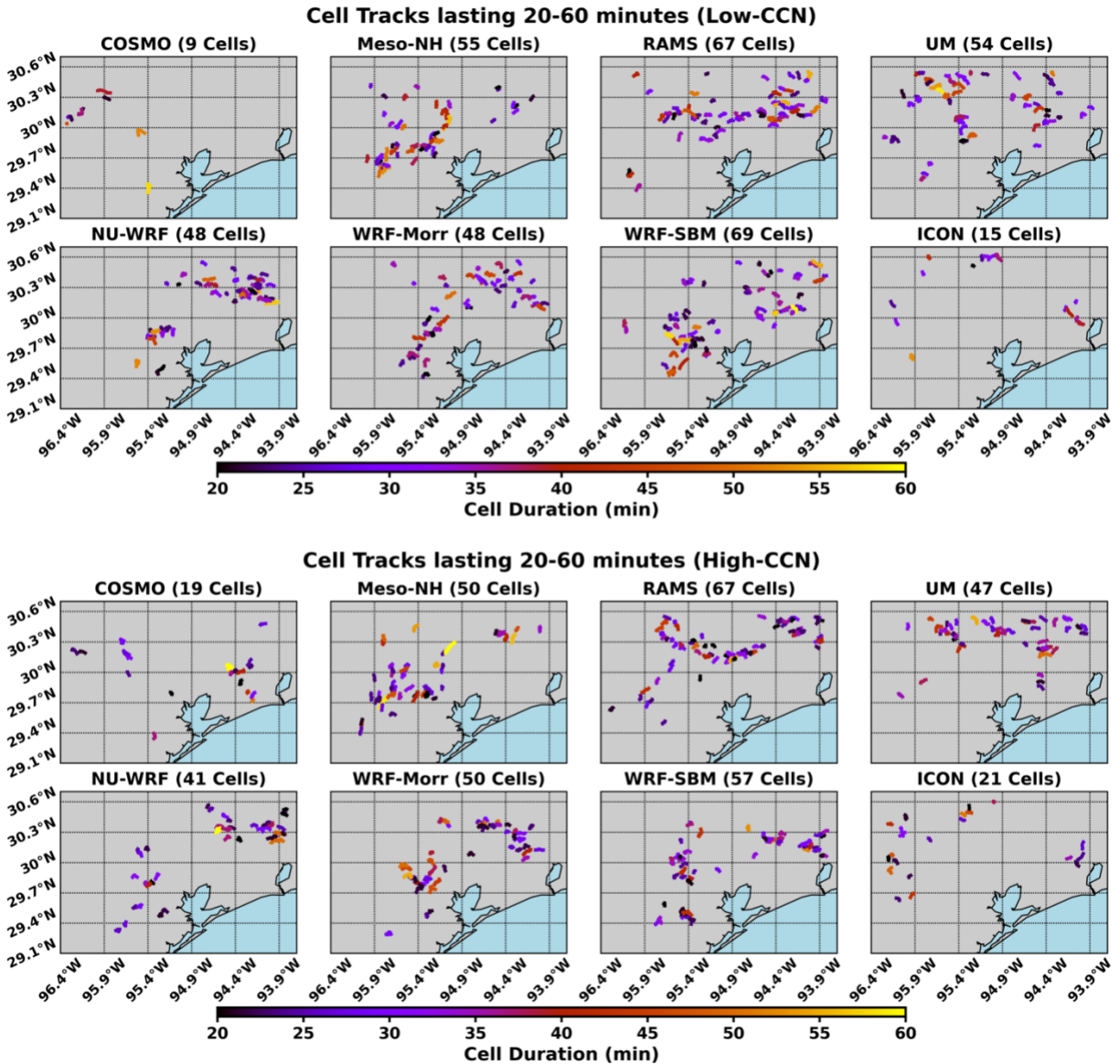
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201 *b. Convective cell identification and filtering*

202 The ACPC MIP simulations’ output is available at one-minute output frequency, for the
203 innermost nested domain with 500m horizontal grid spacing, for a 3-hour period (2100 UTC 19
204 June - 0000 UTC 20 June) of enhanced convective activity (V17). M21 focused on the analysis

205 of convective columns in a bulk sense following the identification of column updrafts using
206 several dynamic and microphysical criteria. To objectively identify and track distinct convective
207 cells and their associated cloud volumes over their individual lifetimes, we used the Tracking
208 and Object-Based Analysis of Clouds (*tobac*; Heikenfeld et al. 2019a,b) feature identification
209 and tracking algorithm. More specifically, we used *tobac* (v1.2) to identify and track convective
210 cells within the high temporal frequency 1-minute data using similar updraft identification
211 criteria as in Heikenfeld et al. (2019a,b). Convective cells were identified via mid-level (3.5-8.0
212 km AGL) vertical velocity using multi-threshold criteria with increasing upward velocity of 3, 5,
213 10 m s⁻¹. More specifically, if an updraft was identified somewhere within a column over the 3.5-
214 8.0 km AGL altitude range and it exceeded the given minimum threshold, then the contiguous
215 group of columns associated with this updraft that meet the threshold is identified as a feature or
216 cell by *tobac*. Cell center locations (grid point location and latitude/longitude locations used for
217 cell tracking) within the defined convective cell updraft regions were determined using centroid
218 measuring techniques. (See Heikenfeld et al. (2019a) for details on the multi-thresholding
219 approach and cell center identification.) A multi-threshold approach permits more specific
220 identification of updraft features under a broader zone of vertical motion while maintaining a
221 minimum distance separation between identified updrafts in order to keep the updraft
222 identification distinct. This approach also permits a separate treatment and analysis of cells of
223 possibly different strengths and microphysical characteristics that may fall under a common
224 updraft region. Cell splits and mergers are not considered in this version of *tobac*, however,
225 given the nature of the convection in this case, splits and mergers are infrequent. Future versions
226 of *tobac* do contain this additional capability (Sokolowsky et al., 2024).

227 Once cells were identified at each time within the 1-minute output data over the 3-hour
228 simulation period, *tobac* was used to link the identified cells in time to generate cell tracks
229 following the cell center locations. For this study, we filtered the output to retain and analyze
230 only cells which met the following criteria: (1) cells with lifetimes from 20-60 minutes, (2) cells
231 in which an updraft of > 3 m s⁻¹ reached higher than 6 km AGL during its lifetime, (3) cells with
232 tracked centers that did not come within 4 km of the lateral boundaries, and (4) cells that
233 completed their lifecycle prior to the final time of 1-min data. These criteria filtered out short-
234 lived, shallow convective cells so that subsequent analyses focus on deep convective cells that



235

236 *Figure 1. Tracks for cells (from the innermost nested domain) with durations of 20-60 minutes*
 237 *from the Low-Aero simulations (top set of panels) and High-Aero simulations (bottom set of*
 238 *panels). Tracks are denoted by the cell lines while the colors denote the cell lifetimes. The*
 239 *number beside each model's name indicates the number of cells/tracks shown in that panel.*
 240

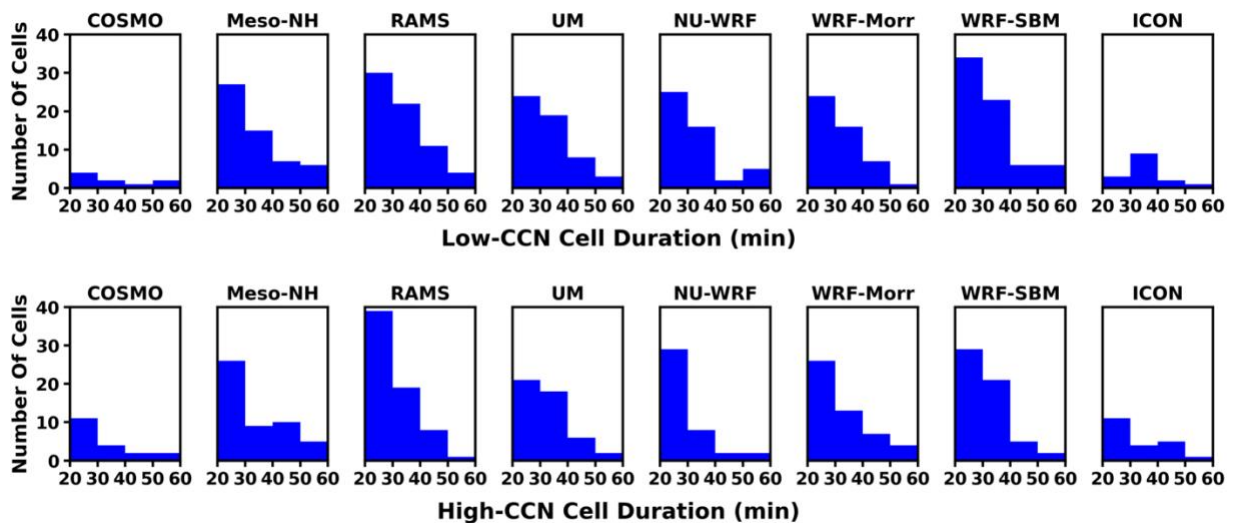
241 reached well above the 0°C level (~ 4.75 km AGL). Cells lasting less than 20 minutes are
 242 unlikely to have time to deepen and generate robust ice microphysics. Only a few cells lasted
 243 more than 60 minutes. The cell tracks and lifetimes for the MIP CRMs Low- and High-Aero
 244 simulations are shown in Figure 1, while histograms showing the number distribution of cells by
 245 lifetime from all simulations are shown in Figure 2. It is apparent that most of the cell lifetimes
 246 are on the shorter end of the filtered lifetime range for all CRMs and simulations. Further, there

247 is greater variability in the number of cells between CRMs than between each CRM's Low- and
 248 High-Aero simulations. This variability in numbers of cells and cell lifetimes should be kept in
 249 mind when assessing the composite cell analysis that follows.

250 COSMO has the fewest cells (9) in its Low-Aero run while RAMS (67) and WRF-SBM
 251 (69) generate the greatest number of cells in their Low-Aero runs. This is generally in agreement
 252 with results from M21, which showed that COSMO tended to produce the least convection
 253 (using total precipitation as a metric) among the MIP CRMs, while RAMS and WRF-SBM
 254 generated the most (the areal coverage of convection roughly scales with the number of tracked
 255 cells). Several of the CRMs produce more (fewer) cells for higher (lower) aerosol concentration,
 256 but this trend is not consistent across all CRMs. The number of cells generated is likely tied to
 257 many factors including the microphysics, convective initiation, cold pool boundaries, and the
 258 complex feedbacks that occur among these factors (e.g., Marinescu et al. 2021).

259
 260 *c. Convective cell analysis criteria*

261 As discussed above, cells have been defined as contiguous updraft regions based on
 262 minimum mid-level updraft criteria, and they may vary in size. Shallow warm-phase-only cells
 263 have been filtered out given our focus on deep isolated convection. Cell center locations are
 264 provided in latitude/longitude coordinates at each time the cell is identified over its lifetime. This
 265 cell center information is then used as the anchor location to compute cell characteristics at each
 266



267
 268 *Figure 2. Histograms showing the number of cells in each 10-minute lifetime bin.*
 269

270 time and height over cell lifetimes for all of the MIP simulations. Since cells can span various
271 sizes in space and time, we have decided to compute cell area-mean dynamic and microphysical
272 characteristics at each time and height within a defined radius of influence focused on the
273 identified cell center locations. In this manner, comparisons will be made within a common area
274 relative to the cell center for all cells. Several horizontal radii of influence ranging from 2.5 km
275 to 10 km were examined using the CRM data, and it was determined that generating cell
276 statistics over all grid points within a 4.0 km horizontal radius of the cell centers provided
277 sufficient representation without potential contamination from nearby cells. Figure 3 provides
278 two examples (from the RAMS Low-Aero simulation) of azimuthally averaged composite cell
279 characteristics that change in magnitude with distance from cell centers. Both the total
280 hydrometeor mixing ratio (top panel) and upward vertical velocity (bottom panel) fields
281 demonstrate that the maxima of these fields are greatest at the centers (0.0 km) and lie well
282 within 4.0 km horizontal distance of the composite cell centers. As such, the 4.0 km horizontal
283 radius sufficiently encompasses the bulk dynamic and microphysical quantities of the identified
284 cells while excluding features that may not be associated with the specific cells of interest. Use
285 of the 4.0 km radius may also include cloud edges (that experience entrainment evaporation) that
286 may have otherwise been excluded if using a smaller radius of influence in the analysis.

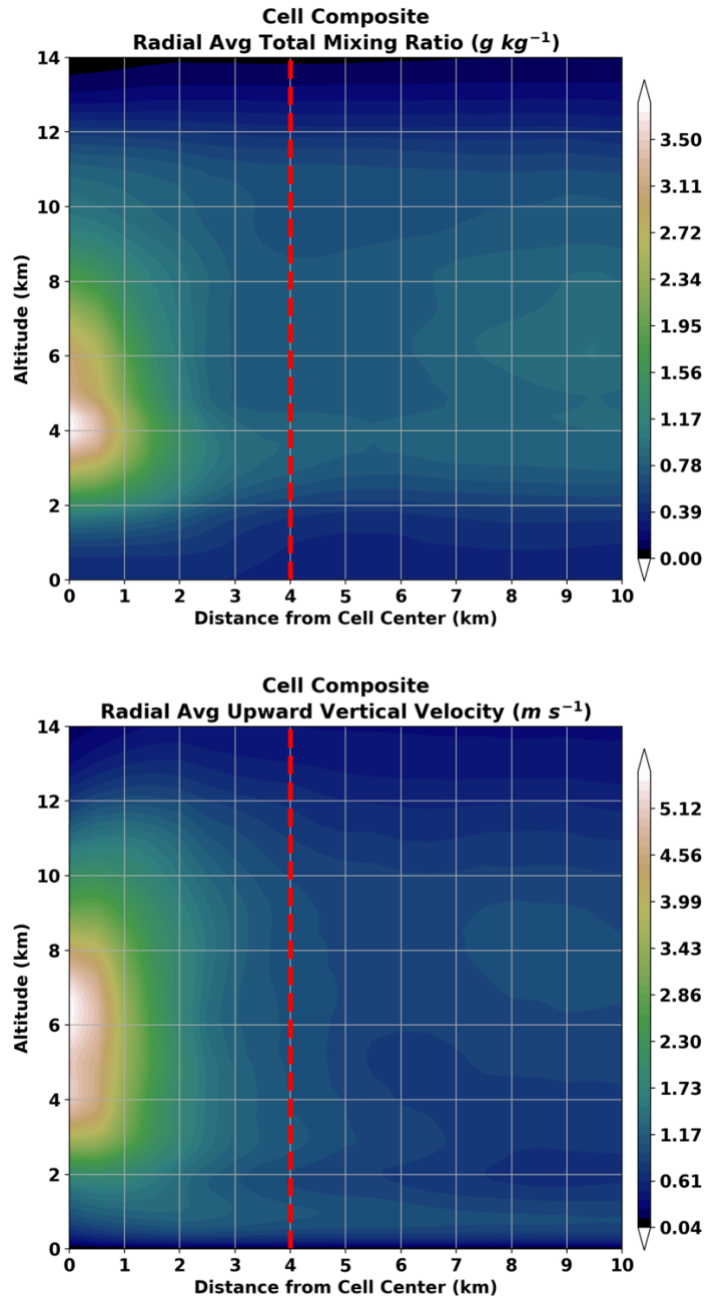
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288 *d. Cell compositing for comparative analysis*

289 Cell composites of a population of cells within a given simulation for a chosen variable,
290 such as updraft speed or cloud water mixing ratio, were then generated by the following process:
291 (1) compute the mean of all horizontal grid points for a given variable with values greater than a
292 designated threshold for each altitude within 4.0 km horizontal radius of each cell center and at
293 each time in the lifetime of each cell; (2) take these area-mean values for each cell at each
294 altitude and time and distribute them across a normalized cell lifetime; (3) take the mean of all
295 identified cells in a simulation at each altitude and normalized time to generate cell composite
296 means for each altitude and normalized cell time.

297 The cell composite means computed in step 3 are not thresholded, such that zero values
298 in the time-height arrays are considered when compositing together the individual cell
299 “thresholded means” from step 1. Compositing in this manner without thresholding prevents the
300 means from being potentially dominated by a few strong cells. These cell-composited variables

301 represent the mean characteristics for each height and normalized time for the population of cells



302
303 *Figure 3. Composite radial profiles of (top) total hydrometeor mixing ratio ($g\ kg^{-1}$) and (bottom)*
304 *upward vertical velocity ($m\ s^{-1}$) from the RAMS model Low-Aero simulation. Quantities were*
305 *azimuthally averaged with distance from identified cell center locations, and the closest 10 km to*
306 *cell centers are shown here. Composites incorporate the filtered cells identified in figure 1 and*
307 *output times over the cell lifetimes. Examples from the other MIP models and the High-Aero*
308 *simulations exhibit similar patterns, with the peaks of the variables readily contained within 4.0*
309 *km of the cell centers (noted by the vertical red, dashed line). Beyond about 5 km from the cell*
310 *centers there is potential contamination of features not associated with the identified cells, hence*
311 *the secondary maxima noted between 8-10 km from the composite cell center.*

312

313 in each individual simulation. These can then be displayed as cell composite time-height
314 diagrams to aid in the MIP inter-model comparison and Low vs High aerosol comparisons.

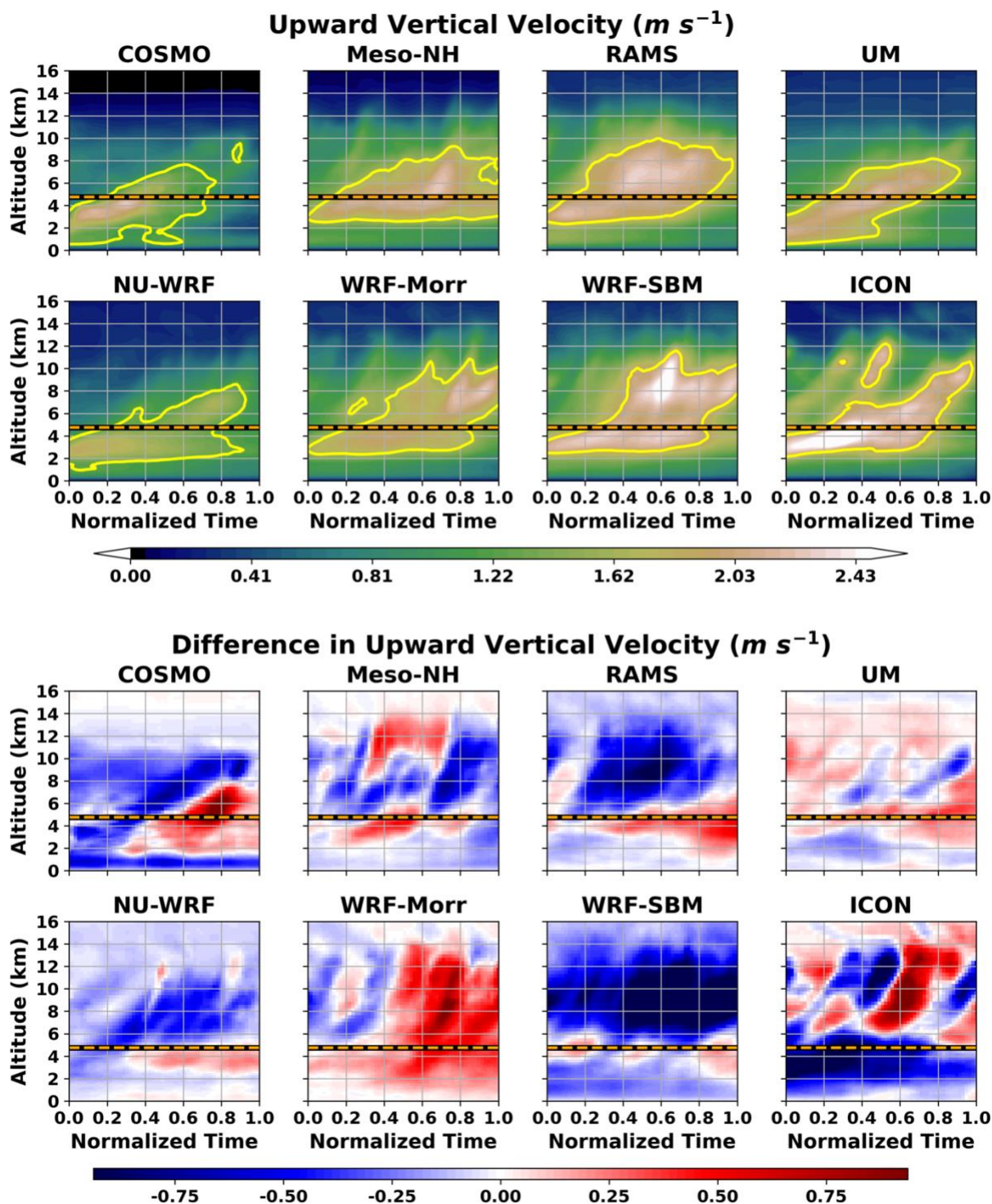
315 Given the nature of the scattered convection in this case study, as noted in M21, and a
316 relatively low-shear southern Texas environment in late June, we do not suspect that there was
317 much tilt to the cells/updrafts. Further, sampling the cylindrical area within 4 km horizontal
318 distance of cell centers would encompass areas of minor tilt. Figure 3b in the manuscript
319 suggests that the cell cores we are sampling in the tracking algorithm are generally upright.

320

321 **3. Cell Composite Analyses**

322 *a. Discussion of cell composite figures*

323 The cell composites in Figures 4 and 6-11 are all displayed in a similar format, and so we
324 will take a moment to discuss the layout in detail. Each of these figures is divided into two
325 components. The top half of the figures consists of time-height plots of cell composites of a
326 given quantity from the Low-Aero simulations from each of the eight MIP CRMs. The altitude
327 (km, AGL) is shown on the ordinate axis and the normalized cell lifetime on the abscissa. A
328 cell's normalized time of 0.0 is the first time a cell is identified and 1.0 is the last time a cell is
329 identified. This method permits a comparison of cell evolution independent of its absolute
330 lifetime. The contouring at the 80th percentile, denoted by the yellow line contour, was chosen
331 subjectively to aid in visually focusing on the areas of greatest value. For the remainder of the
332 discussion, we will refer to the area inside the yellow contours as the “peak zone” for that
333 particular variable and CRM. The bottom half of the figures displays the corresponding
334 differences in a particular variable that occurs due to aerosol loading, and it is computed as High-
335 Aero minus Low-Aero. As such, the red (blue) colors indicate an increase (a decrease) in a given
336 quantity due to an increase in aerosol concentration. In the composite cell analyses that follow,
337 discussion and emphasis will be largely focused on the results from the CRM simulations that
338 contained greater numbers of cells (see Figs. 1,2). Cell composites generated from simulations
339 with very few cells (e.g., COSMO and ICON) are more likely to be biased by a few cells with
340 outlier characteristics. We will still consider these models in the discussion, but caution is
341 advised in placing their results in the context of the cell composites that include many more
342 sampled cells.



343
 344 *Figure 4. Time-height cross-sections of cell composites of mean updraft speed ($m s^{-1}$) from the*
 345 *Low-Aero simulations (top set), and the difference fields taken as High - Low Aero (bottom set).*
 346 *Time is on a normalized cell lifetime scale where 0.0 (1.0) is the first (last) identified cell time.*
 347 *Common color scales are based on the minimum/maximum values among all models. Yellow line*
 348 *contour outlines the 80th percentile. Horizontal black/orange line indicates the 0°C level.*

349 *b. Upward Vertical Velocity*

350 Before proceeding toward the microphysical analysis, we first examine the tracked cell
351 composite upward vertical velocity as a function of normalized cell lifetime (Fig. 4). In the top
352 half of Figure 4, all of the CRMs display a growth in updraft depth (denoted by the height of the
353 yellow contours indicating the depth of the peak zone) over cell lifetime with several of them
354 topping out around 10-11 km AGL. Most of the CRMs then show a reduction in updraft strength,
355 relative to the CRM's maximum, toward the end of cell lifetime. It is worth noting that these
356 composites may miss the earliest and latest stages of cell lifetime since those stages cannot be
357 captured by the mid-level updraft threshold used for cell identification and tracking. These cell
358 composite updraft features, in conjunction with the number of identified cells in Figure 1, offer
359 agreement with M21 which showed updraft Contour Frequency by Altitude Diagrams (CFADs)
360 indicating fewer and shallower updrafts in COSMO and UM and more numerous and deeper
361 updrafts in RAMS and WRF-SBM. It is encouraging that these varying analysis methods lead us
362 to draw similar conclusions regarding updraft frequency and depth analysis, while the cell
363 composite method further allows us to look at the mean evolution of the cells over their lifetime.
364 We should also note that the analyses herein include cells of various depth as long as they meet
365 the mid-level updraft speed threshold criteria (for identification and tracking) and $W > 3 \text{ m s}^{-1}$
366 reaches at least 6 km AGL within the cell during its lifetime.

367 In turning our attention to the bottom half of Figure 4, we examine the aerosol impact on
368 the cell composite updrafts. There is substantial variability over cell lifetime between increases
369 and decreases in updraft speed as well as in the vertical distribution. One common theme can be
370 seen in the areas of increased updraft speed (the red shaded areas); most of the MIP CRMs
371 display an increase in updraft strength below the 0°C level ($\sim 4.75 \text{ km AGL}$) where enhanced
372 cloud condensation would tend to occur in association with aerosol loading and generation of a
373 greater population of smaller cloud droplets (to be examined further below). While this is not a
374 universal characteristic (see ICON and UM), it does follow the trends found in M21 in which
375 enhanced updrafts appeared notably most consistent below the 0°C level. This was attributed in
376 M21 to both aerosol invigoration as well as dynamic feedbacks to the environment, via enhanced
377 instability related to a warmer boundary layer and a cooler low to mid-level layer related to
378 entrainment evaporation, that could make stronger low-level updrafts more favorable. The
379 increases in mid-level updraft strength are also most prevalent toward the mid to latter half of

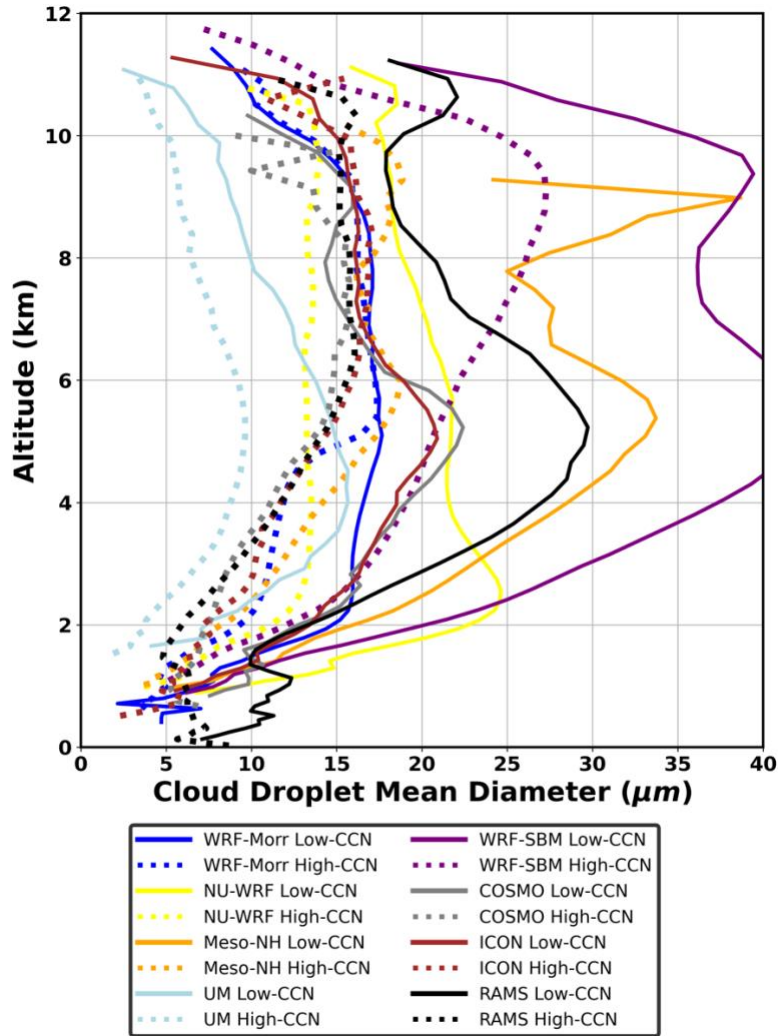
380 cell lifetimes, which suggests that secondary nucleation and additional condensation may help
381 drive stronger updrafts later in the cell lifetime, which could suggest more rapid dissipation in
382 these cells.

383 Most of the CRMs also reveal reduced updraft speeds above ~ 6 km AGL (similar to
384 M21), which suggests that aerosol invigoration by latent heat of freezing (to be examined further
385 below) may be lacking. Greater evaporation/sublimation rates and reduced riming rates in High-
386 Aero runs within the CRMs (that do not use saturation adjustment) may contribute to reduced
387 updrafts aloft. Further, a water vapor deficit at mid to upper levels, as indicated in M21, resulting
388 from enhanced low-level condensation may also contribute to weaker updrafts aloft. As such, we
389 may expect to see less ice generated in these areas aloft where updraft speeds are reduced in
390 polluted conditions. As shown in Figure 4, the models that use saturation adjustment (WRF-
391 Morr, COSMO, UM, Meso-NH, ICON) are exceptions to this updraft reduction aloft, as they
392 display various alternating periods of increased and decreased updraft speed over the composite
393 cell lifetimes. This may be attributed to their constrained aerosol schemes, constant aerosol
394 profile, and/or forced condensation on more fixed cloud droplet distributions (that also impact
395 ice concentrations). We will revisit these varying trends in the aerosol impacts in the sections to
396 follow, as changes in updrafts can be closely tied to changes in the resulting microphysical
397 characteristics through changes in updraft induced supersaturations.

398

399 *c. Cloud Water Composites*

400 Changes in aerosol concentration from the Low-Aero to High-Aero environments most
401 directly impacts the cloud droplet population by increasing the droplet number concentration,
402 and subsequently, reducing the mean droplet size. Figure 5 displays time-averaged vertical
403 profiles of cloud droplet mean mass diameter from each CRM for their respective Low and High
404 aerosol simulations. The mean diameters are shown here in a single panel since there was
405 minimal time variability and for ease of comparison among the CRM low and high aerosol
406 simulations. All of the CRMs experience a sizable decrease in mean droplet size as aerosol
407 concentration is increased. This reduction in droplet size can then impact the cloud water mixing
408 ratio field via modifications to the autoconversion and accretion rates comprising the warm rain
409 process, as well as riming rates that impact ice-phase hydrometeors. While the aerosol impact on
410 the droplet mean diameters are consistent among CRMs, there is variability in the absolute sizes.



411
 412 *Figure 5. Vertical profiles of cloud droplet mean mass diameter (microns) within tracked cells.*
 413 *Results from each MIP model are color shaded with Low-Aero (High-Aero) results denoted by*
 414 *solid (dotted) lines.*

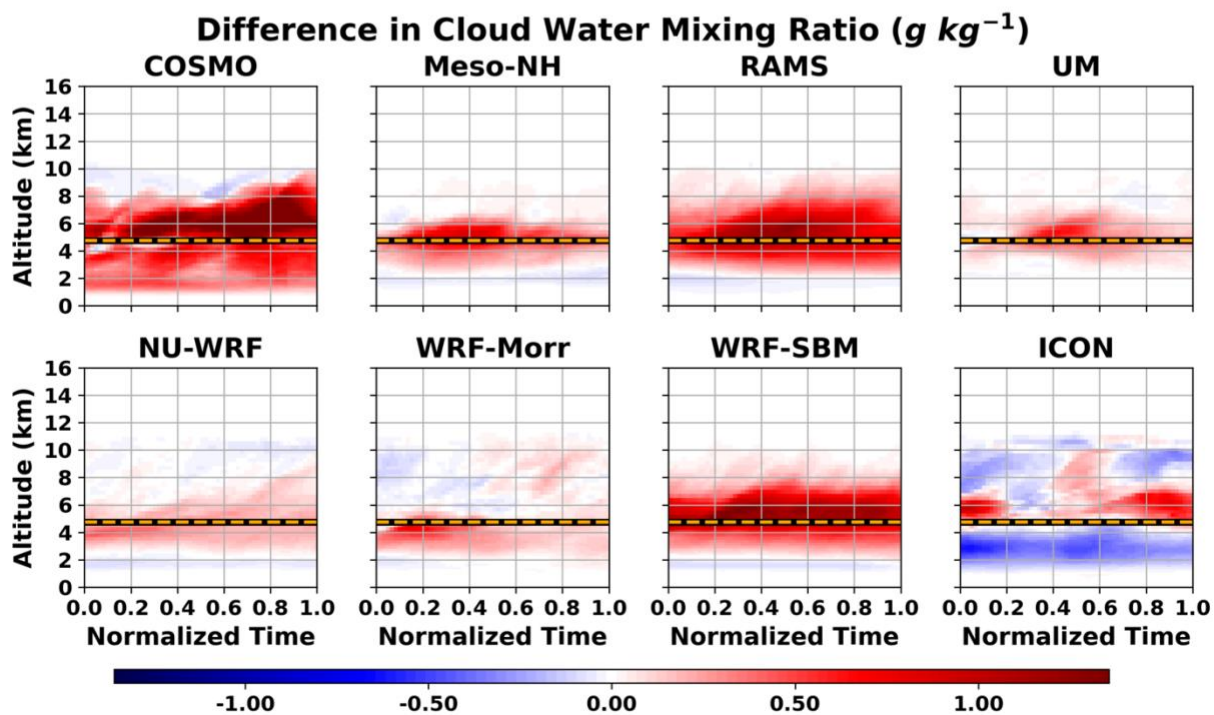
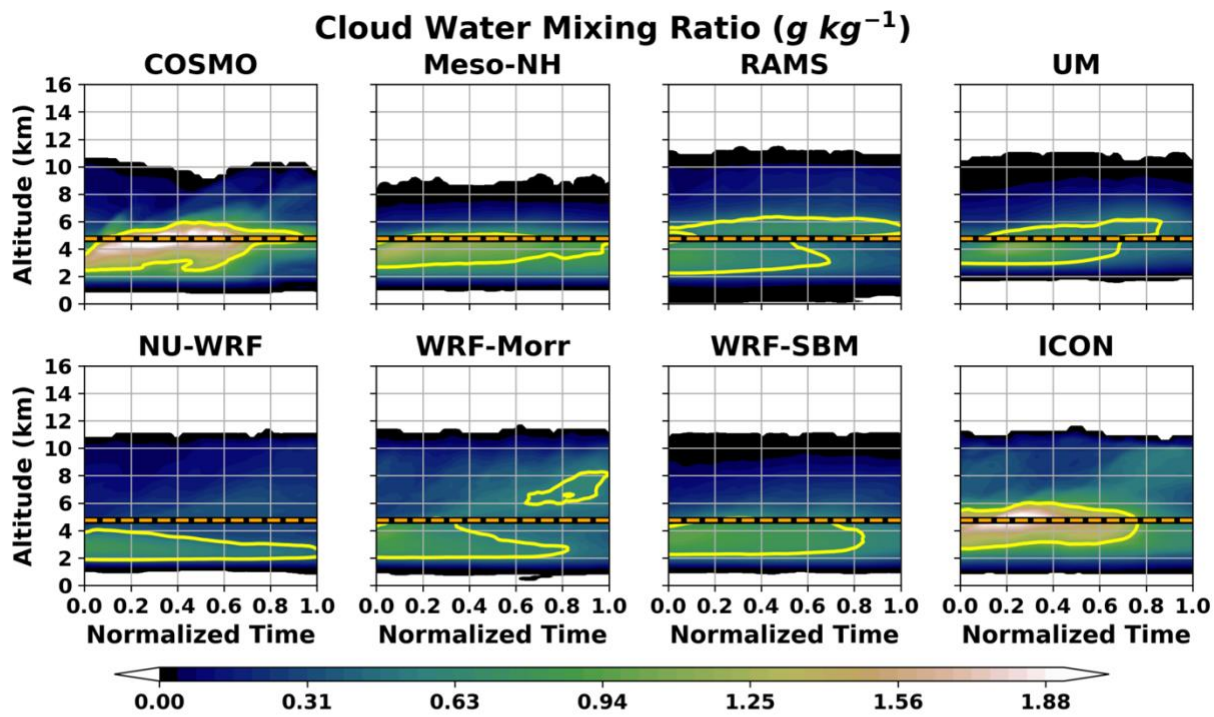
415
 416 This results from differences among CRMs with respect to their aerosol and droplet nucleation
 417 parameterizations, explicit activation vs saturation adjustment, and auto-conversion schemes.

418 The time-height cell composites of cloud water mixing ratio and its change due to aerosol
 419 loading are shown in Figure 6. There are broad similarities among all of the CRMs in the Low-
 420 Aero simulations (top half of Fig. 6) as highlighted by the yellow contoured peak zones in the
 421 figure subplots. Nearly all of the CRMs indicate the peak zone between 2-6 km AGL with the
 422 maximum cloud water occurring between 3-4 km AGL. The maximum in cloud water tends to
 423 peak within the first 40% of cell lifetime for all CRMs and decreases to a minimum value by the
 424 end of cell lifetime. Toward the end of cell lifetimes, ice-phase microphysics becomes more

425 active (to be shown below), and updrafts start to weaken and have reduced support for continued
426 cloud droplet formation and growth. Among CRMs we find that COSMO and ICON have the
427 greatest cell composite cloud water mixing ratio. However, these CRMs also had the fewest
428 identified cells that were likely relatively intense (i.e., greater updraft speed) and contained fewer
429 weak cells that could otherwise drive down the composite values. Absolute cloud base, denoted
430 by the minimum contour shading, shows that RAMS has the lowest minimum cloud base while
431 UM has the highest. This results from differences in the model thermodynamics such that RAMS
432 (UM) has a lower (higher) lifting condensation level (LCL). Though not shown, a near surface
433 analysis revealed that UM is about 2°C warmer than RAMS; differences in the initialization
434 dataset (See M21, Table A1) or radiation and land surface parameterizations may lead to these
435 temperature differences. The differences in surface temperature contribute to the differences in
436 LCL and cloud base. Regardless, the cell composite cloud layer depths, timing and duration, and
437 location of maxima exhibit close similarities among CRMs.

438 The bottom half of Figure 6 displays the aerosol-induced differences in cloud water
439 mixing ratio for the CRMs. While there are subtle differences in the details, all of the CRMs
440 produce an increase in cloud water in response to aerosol loading. In most of the CRMs this
441 increase is present throughout the cell lifetimes and is most commonly maximized during the
442 middle portion of cell lifetime, corresponding to the period with the strongest vertical motion.

443 The common increase in cloud water with aerosol loading is maximized from
444 approximately the upper portion of the cloud water peak zone and upward by 1-2 km AGL. As
445 such, an increased aerosol concentration leads to a raising of the height of the cloud water layer
446 and deepens it in some cases. Each CRM also reveals a thin blue shaded layer beneath the
447 increase shown as the deeper red shaded layer. The blue shade indicates a local decrease in cloud
448 water, which indicates that the lower portion of the cloud water layer has risen or thinned when
449 shifting to the High-Aero scenario. The rising of the cloud base can be explained by the warmer
450 and drier environments in the High-Aero scenarios that result from aerosol-induced reductions in
451 precipitation compared to the Low-Aero scenarios, which are discussed in M21 and represent
452 one of the many microphysical-dynamical feedbacks in cloud systems. This cloud layer
453 deepening results from reduced warm rain conversion and mixed-phase riming as well. Thus,
454 cloud water is not as readily scavenged and can be lofted higher in the updraft.



455

456 *Figure 6. Same as figure 4, but for cloud water mixing ratio ($g\ kg^{-1}$).*

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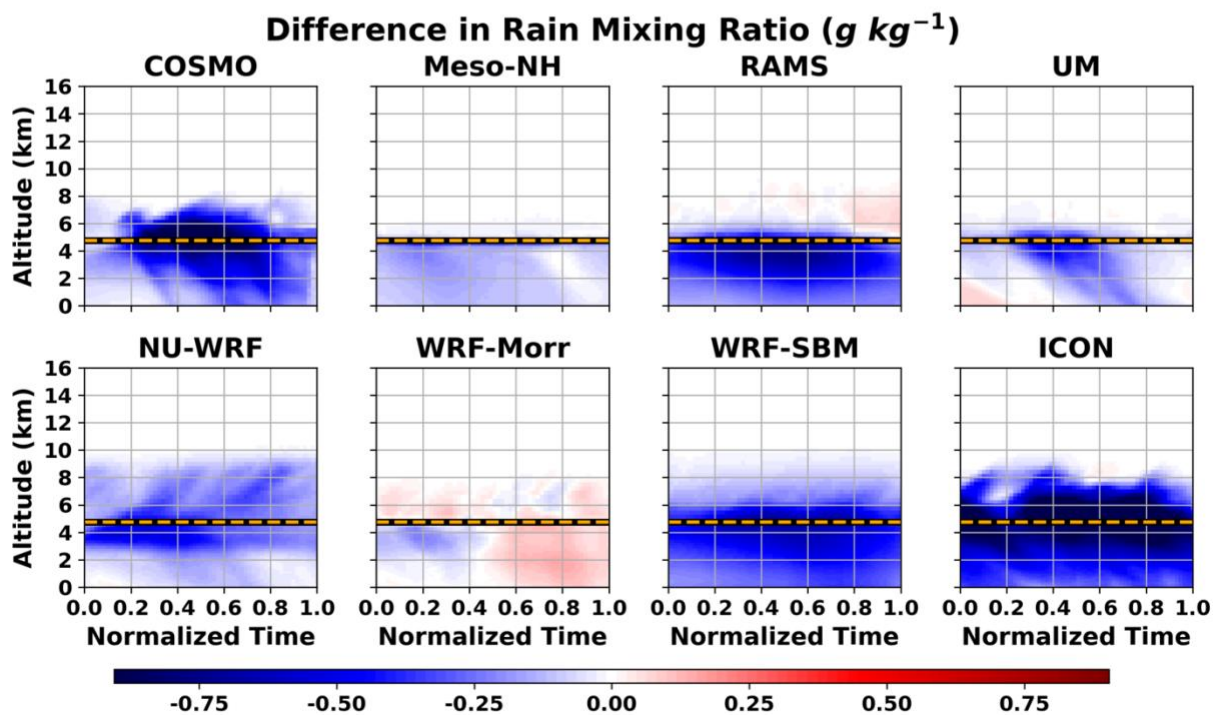
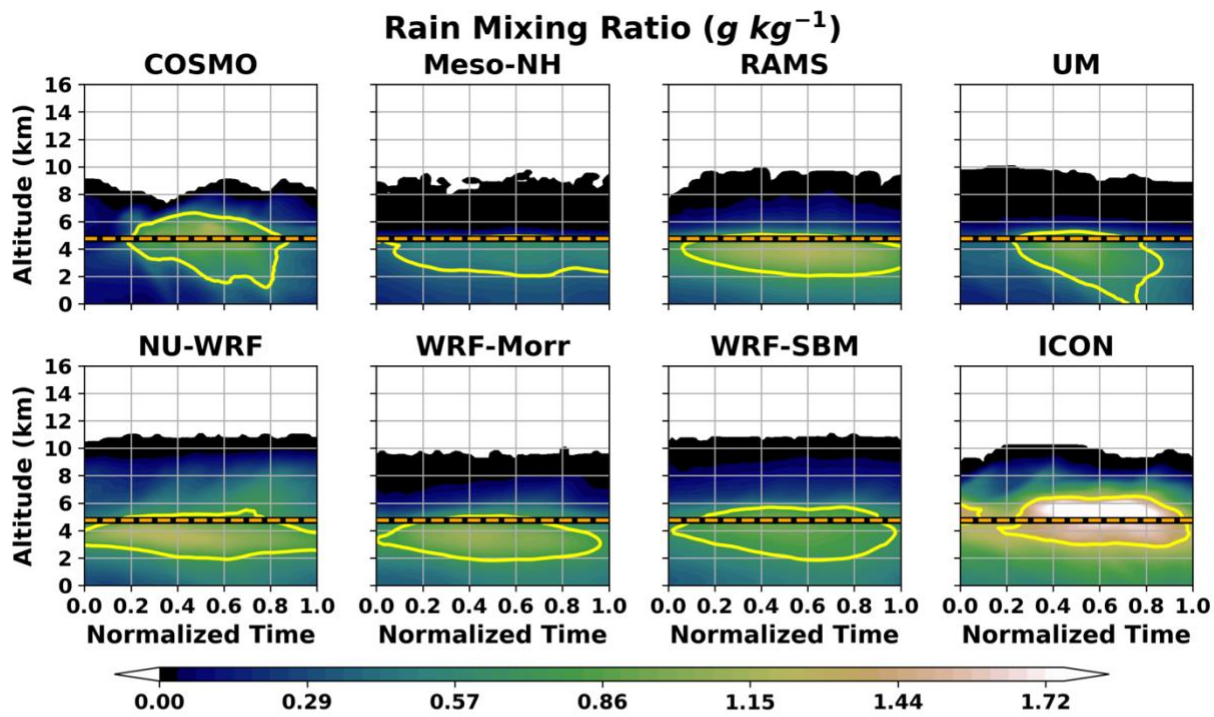
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459

460 *d. Rain Water Composites*

461 Like the cloud water composites, the rain water composites (Fig. 7) also reveal some
462 consistency among the CRMs. The peak zones in the rain layers tend to fall between 2-5 km
463 AGL, with some minor variability between CRMs. The rain mixing ratio, relative to each CRM's
464 maximum, increases over time toward a peak during the middle of the composite cell lifetime
465 and then dissipates toward the end. The normalized time of the peak in rain mixing ratio does
466 vary between CRMs, with NU-WRF and WRF-Morr, for example, peaking around 0.4 and
467 ICON peaking towards 0.75. Given the general consistency among CRMs in the timing of the
468 peaks in cloud water (Fig. 6), it is the variability among microphysics schemes with respect to
469 the efficiency of warm rain processes, as well as melting of ice, that is driving the differences in
470 the timing of the rainwater peaks.

471 The aerosol effect on rain water production (bottom half of Fig. 7) is quite consistent
472 among many of the CRMs (shown by the dominant blue shading), similar to what was seen for
473 cloud water (shown by the dominant red shading in the bottom half of Fig. 6). For most of the
474 CRMs, there is a clear overall decrease in rain water production, due to aerosol loading, over a
475 deep layer from the surface up to near 6 km AGL. Some CRMs (NU-WRF, ICON) show a deep
476 change in rain water up to 8 km AGL, though their relative maxima in difference tends to be
477 from 4-5 km AGL. The magnitudes of the differences in rain water vary among CRMs (denoted
478 by the degree of blue shading) as does the timing of the peaks during the cell lifetimes, which is
479 largely determined by the overall amount of cloud water generated and the efficiency with which
480 it is converted to rain as well as contribution from melting of ice. Shown in Figure 8, melting
481 rates from most of the CRMs peaks over the final 40% of cell lifetime (after ice has had time to
482 form, grow, and fall below the 0°C level), with lesser impacts earlier than this. Furthermore,
483 most of the CRMs experience a decrease in melting of ice for an increase in aerosol
484 concentration (bottom of Fig. 8), which results from reduced ice formation (to be discussed
485 below with Fig. 9) and growth due to reduced riming efficiency associated with smaller cloud
486 droplets. As such, both decreases in warm rain conversion (mostly in the early stages of cell
487 lifetime) and melting (mostly in the latter stages of cell lifetime) contribute toward the overall
488 reduction in rain water and associated surface precipitation. The notable increase in rain water in
489 WRF-Morr (red shading, bottom half Fig. 7) over the final 40% of cell lifetime is associated with
490 a greater overall contribution of melted ice (Fig. 8, WRF-Morr) to the amount of rain compared



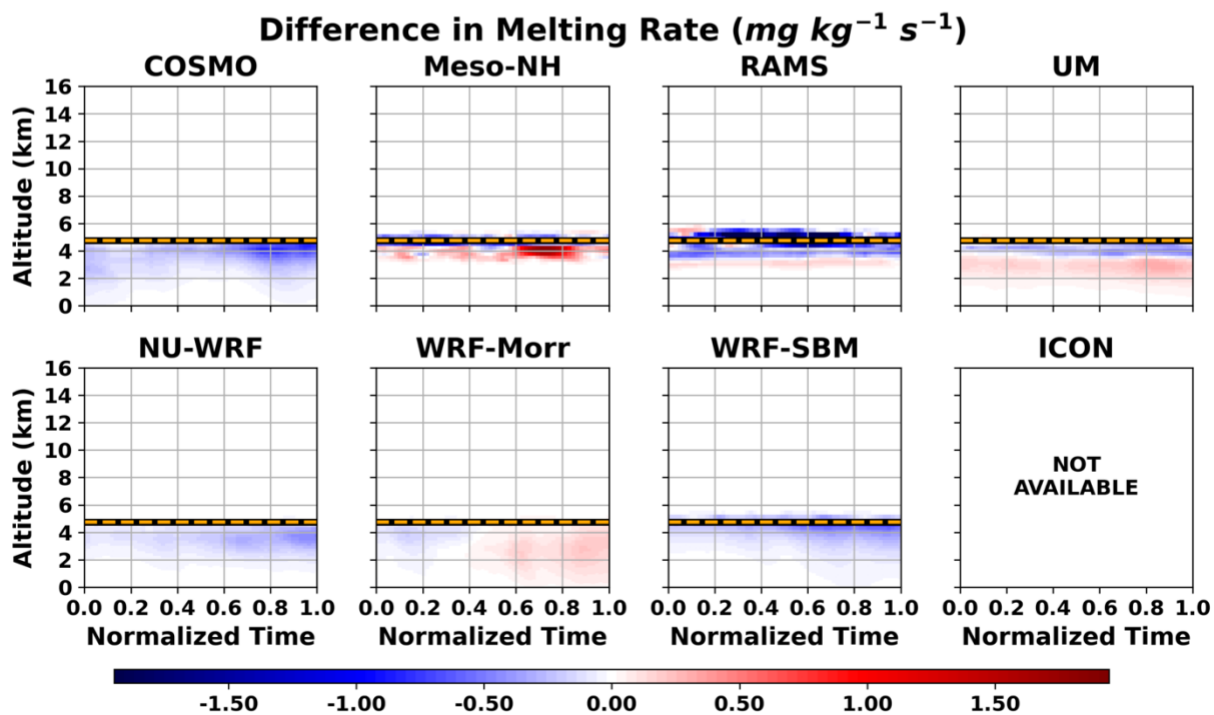
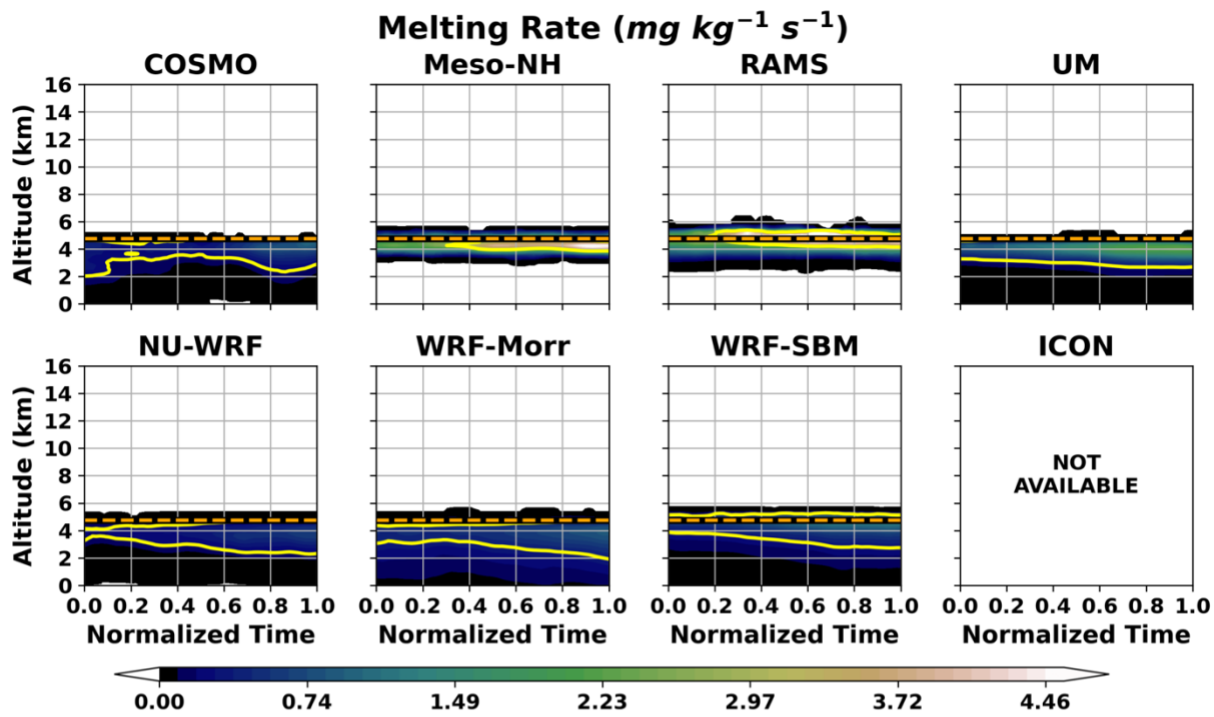
491

492 *Figure 7. Same as figure 4, but for rain mixing ratio ($g\ kg^{-1}$).*

493

494

495



496

497 *Figure 8. Same as figure 4, but for total ice species melting rate ($\text{mg kg}^{-1} \text{s}^{-1}$).*

498

499

500

501 to warm rain processes, especially since the melting contribution is greatest in the latter stages of
502 cell lifetimes. This scenario in WRF-Morr also corresponds with stronger updrafts and more ice
503 through enhanced riming (to be discussed) during the latter stages of cell lifetime in response to
504 aerosol loading which could be related to the constant aerosol profile and saturation adjustment
505 interactions. A balance of these contributions to rain water, which are a function of cell lifetime,
506 ultimately determines the overall change in rainwater in each respective microphysics scheme.

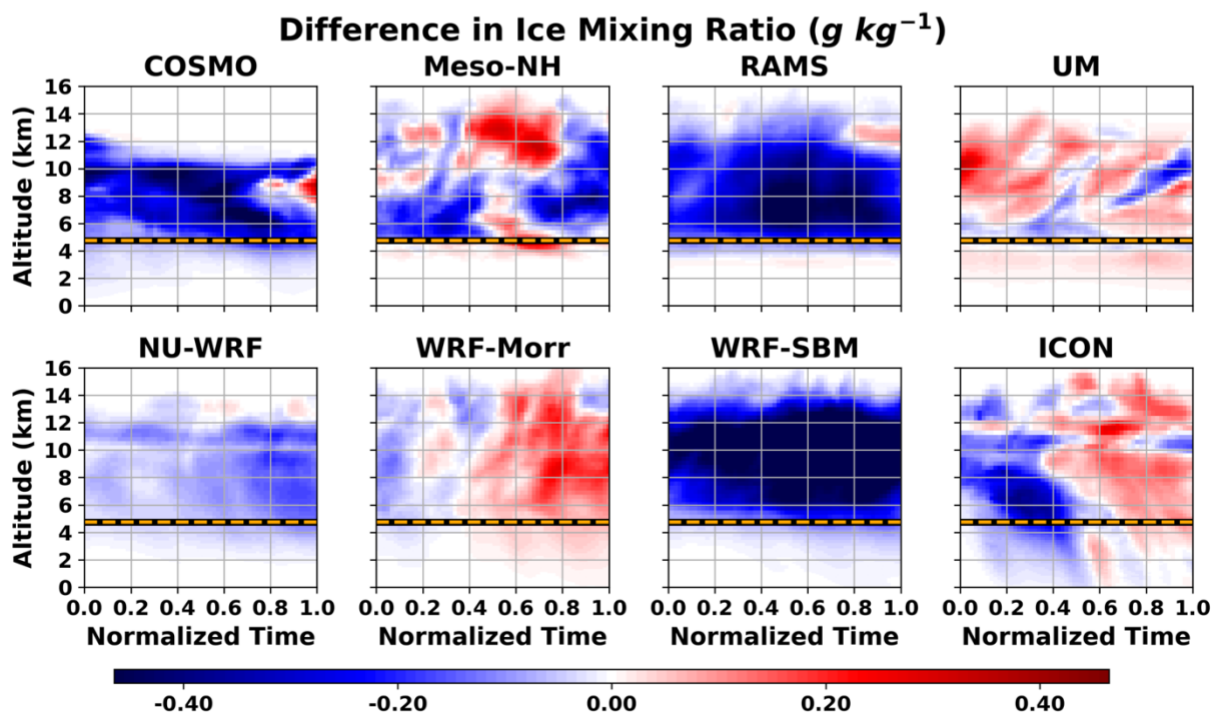
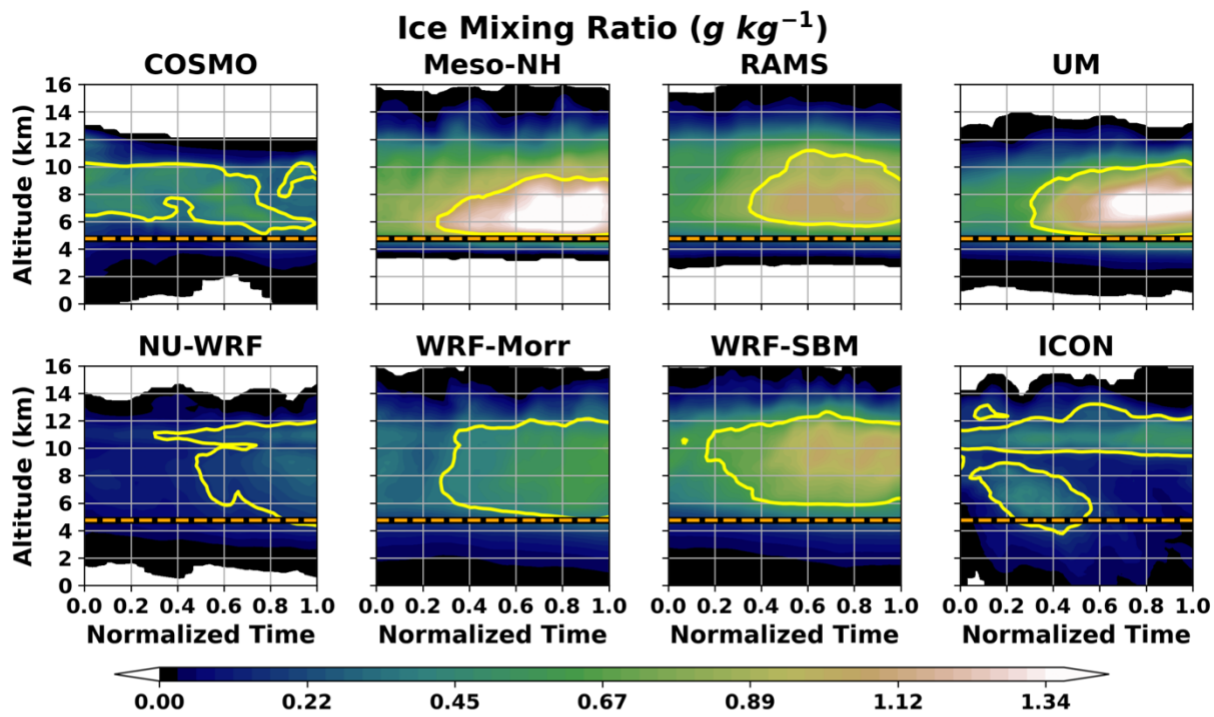
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508 *e. Ice Hydrometeor Composites*

509 The cell composites of total ice hydrometeor mixing ratio (sum of all ice species) are
510 displayed in Fig. 9, and they reveal a more complicated picture compared to the fields of updraft
511 speed, cloud water, and rain water. Ice hydrometeor mixing ratio includes a sum of all ice
512 hydrometeor species available in each CRM. The top half of Figure 9 shows cell composites of
513 total ice, from each CRM's Low-Aero simulation. These vary substantially among CRMs with
514 respect to depth of the ice maxima, duration and timing of the CRM-relative maxima, peak
515 vertical location of ice layer maxima, and the amount of ice mixing ratio.

516 Of the CRMs that contain cells with substantial ice (Meso-NH, RAMS, UM, WRF-Morr,
517 WRF-SBM) most of them display their ice maxima toward the latter half of the cell composite
518 lifetime, lying somewhere between 0.6 and 0.9 of the cell lifetimes, thereby lagging the warm-
519 phase hydrometeor development. Among these same CRMs with substantial ice, the depths of
520 the ice layers (as indicated by contoured peak zones) vary more than that of cloud or rain water.
521 It should also be noted that several of the CRMs (WRF-Morr, WRF-SBM, ICON) have minor
522 amounts of ice that reach the surface in the form of hail. While these are relatively small amounts
523 of surface ice, this is in contrast to a couple of the other CRMs that do not have any ice below
524 about 3 km AGL (Meso-NH, RAMS). These differences point toward variability in hail, melting
525 rates, low-level temperature, and fall speeds, all of which vary among the CRMs.

526 The indirect aerosol impact on total ice (bottom of Fig. 9) is also more variable than that
527 of updraft speed, cloud water, and rain water among the CRMs. The aerosol induced changes in
528 ice broadly suggest a reduction in ice in most of the CRMs due to aerosol loading as indicated by
529 more area of blues (decreases) in the composite subplots. There is more variability in ice over the
530 cell depth and lifetime (denoted by varying red and blue patterns in the subplots) compared to the
531 previous cell composites. This points toward greater variability in mixed-phase and ice process



532

533 *Figure 9. Same as figure 4, but for total ice mixing ratio ($g\ kg^{-1}$).*

534

535

536

537 rates among CRMs, as discussed above, when aerosol concentration is altered. This variability
538 may also alter feedbacks to the cells that can impact potential cell deepening.

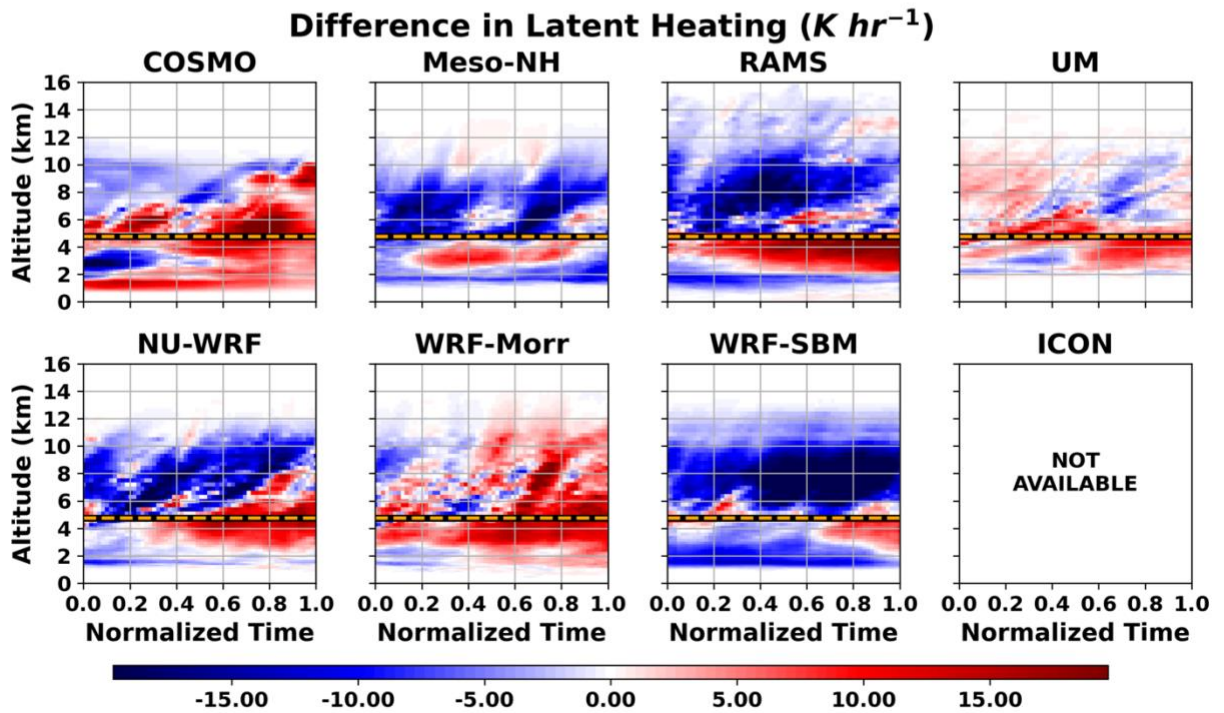
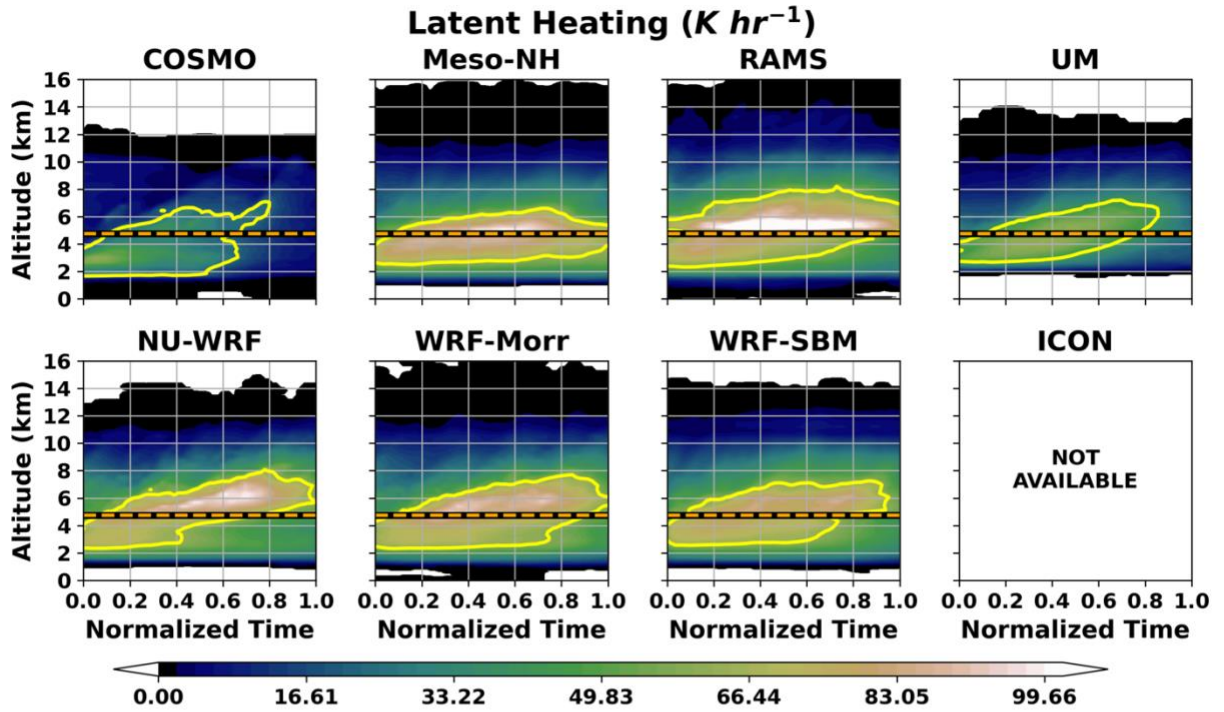
539 WRF-SBM is most consistent with respect to the decrease in ice in the High-Aero case,
540 and (bottom half of Fig. 9) it reveals an overall decrease in ice over a deep layer and over the full
541 duration of the cell lifetime. A brief look back at the cloud droplet mean diameters (Fig. 5)
542 shows that WRF-SBM experiences the greatest reduction in cloud droplet size among all the
543 CRMs. This leads to increased evaporation and sublimation rates along cloud edges where
544 entrainment effects are important. This also contributes to a consistent decrease in ice via less
545 efficient rime accretion processes. While more cloud water remains for riming in the High-Aero
546 scenarios (bottom of Fig. 6) (due to reduced conversion to rain), the reduced cloud droplet sizes
547 may limit the amount of rime contribution to ice production. As such, the predicted change in
548 cloud droplet sizes in the various CRMs contributes to the amount of change in rime growth. For
549 a given updraft strength, CRMs with a greater reduction in cloud droplet size may see a greater
550 or more consistent reduction in rime growth and ice mass compared to others; furthermore, the
551 various methods of parameterizing rime growth also contribute to these differences in ice. While
552 most of the CRMs did not provide riming rates as diagnostic variables, both RAMS and WRF-
553 Morr (not shown) which did provide these riming rates, with RAMS indicating predominant
554 reduction in riming rates from 5-10 km AGL in response to the increase in aerosol concentration
555 and with WRF-Morr indicating reduced riming in the first half of cell lifetime and increased
556 riming in the second half of cell lifetime. The aerosol related changes in riming rates track with
557 the changes in upper-level updrafts, ice production, and melting.

558 The greater variability in ice among the CRMs as well as over the vertical extent and cell
559 lifetimes within the convective cells of each CRM, points toward the complexity of simulating
560 ice processes and the variability among the parameterizations of mixed-phase and ice-phase
561 particle growth mechanisms as well as their feedbacks to cell development.

562

563 *f. Latent Heating Composites*

564 The peak zones of latent heating (top of Fig. 10) tend to broadly coincide with the similar
565 zones in the updraft plots (Fig. 4). However, most of the CRMs have a peak latent heating zone
566 that is less deep than the updraft peak zone depth by at least 2 km. Latent heating contributes to
567 buoyancy production to help drive updraft accelerations, but it is only one of several contributing



568

569 *Figure 10. Same as figure 4, but for latent heating rate ($K hr^{-1}$).*

570

571

572

573 processes to updraft strength. (M21 provides a detailed analysis of the role of buoyancy
574 production and the vertical pressure gradient force to the vertical momentum equation.) The
575 updraft and latent heating peak zones most notably overlap in the warm-phase regions of the cell
576 composites with the latent heating zone tapering off with altitude as one moves upward into the
577 mixed-phase zone of the cells above the 0°C level (~ 4.75 km AGL). Latent heating rates are
578 closely tied to updrafts through condensation and vapor deposition growth of hydrometeors, as
579 well as freezing when cloud and rain drops are rimed or freeze homogeneously. The release of
580 latent heat can then contribute to buoyancy production and feedback to the updraft as a source of
581 acceleration. Most of the CRMs experience an increase in height or depth of the peak zone of
582 latent heating over cell lifetime followed by a reduction or decay toward the last 20% of the
583 lifetime. This is also in keeping with the trend in the lifecycle of cell updrafts, though the peak
584 zones in latent heating are not nearly as deep. Since the latent heat of condensation is nearly an
585 order of magnitude greater than that of freezing, the peak zones of total latent heating are
586 concentrated in the warm-phase regions of the cells. As such, there is a tapering off of the peak
587 zone of latent heating above the peak zone of cloud water as condensation rates decrease higher
588 aloft where cloud mixing ratio is reduced (Fig. 6).

589 The aerosol impact on latent heating (bottom of Fig. 10) reveals a consistent pattern
590 within most of the CRMs, whereby, there is an increase in latent heating rate (denoted by the red
591 shaded layers) roughly coinciding with the liquid cloud layer and region of increased cloud water
592 (Fig. 6) in the High-Aero scenario that is persistent over the cell lifetimes. This indicates a
593 consistent increase in condensation associated with an increase in cloud water. In the High-Aero
594 scenario, in the CRMs that do not use a saturation adjustment scheme, a greater population of
595 smaller cloud droplets increases the effective droplet surface area for condensation growth, and
596 thus, latent heat release. Though not shown here, the CRMs that provided microphysical process
597 rates of vapor condensation, reveal an overlapping pattern, over cell lifetime and cell depth, of
598 enhanced condensation and latent heating in the High-Aero simulations. In addition, most of the
599 CRMs display reduced latent heating above the 0°C level that is typically persistent over cell
600 lifetimes in most CRMs, however, three of the CRMs that use saturation adjustment exhibit an
601 increase in latent heating over a deeper layer. The CRMs that display a more consistent reduction
602 in ice (Fig. 9) also display a more consistent reduction in latent heating in the cold phase region
603 of the cells. The aerosol-induced differences in latent heating very closely follow the aerosol-

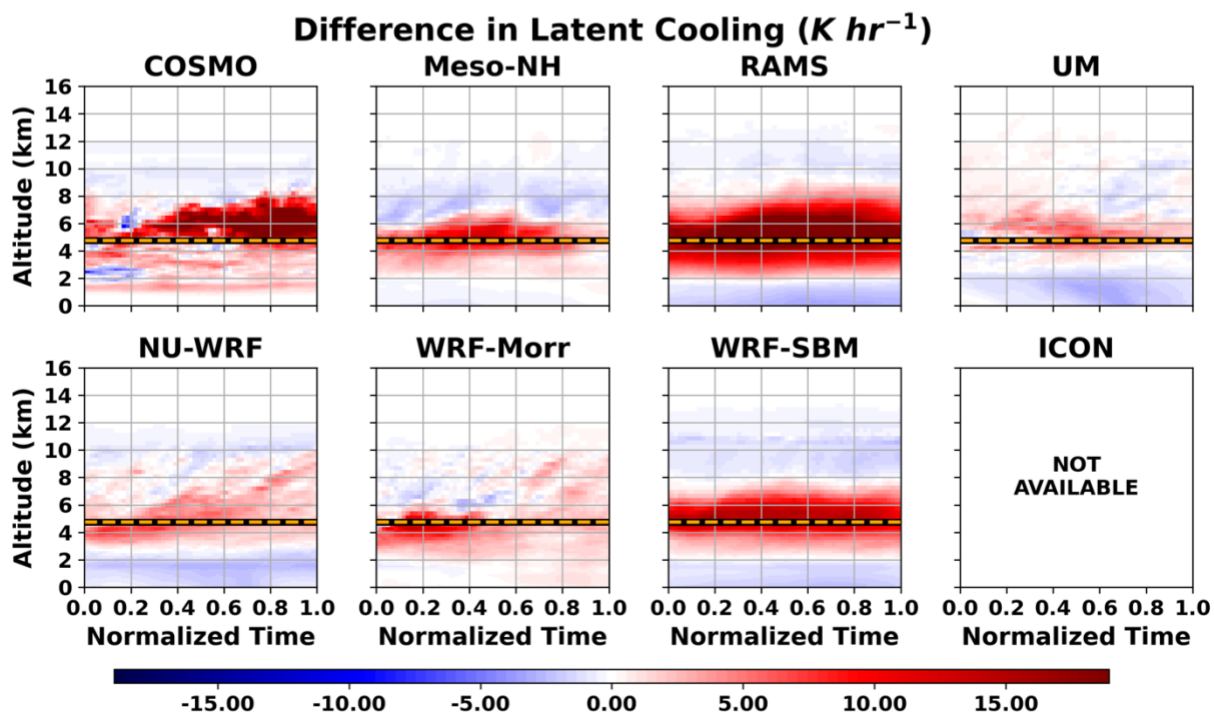
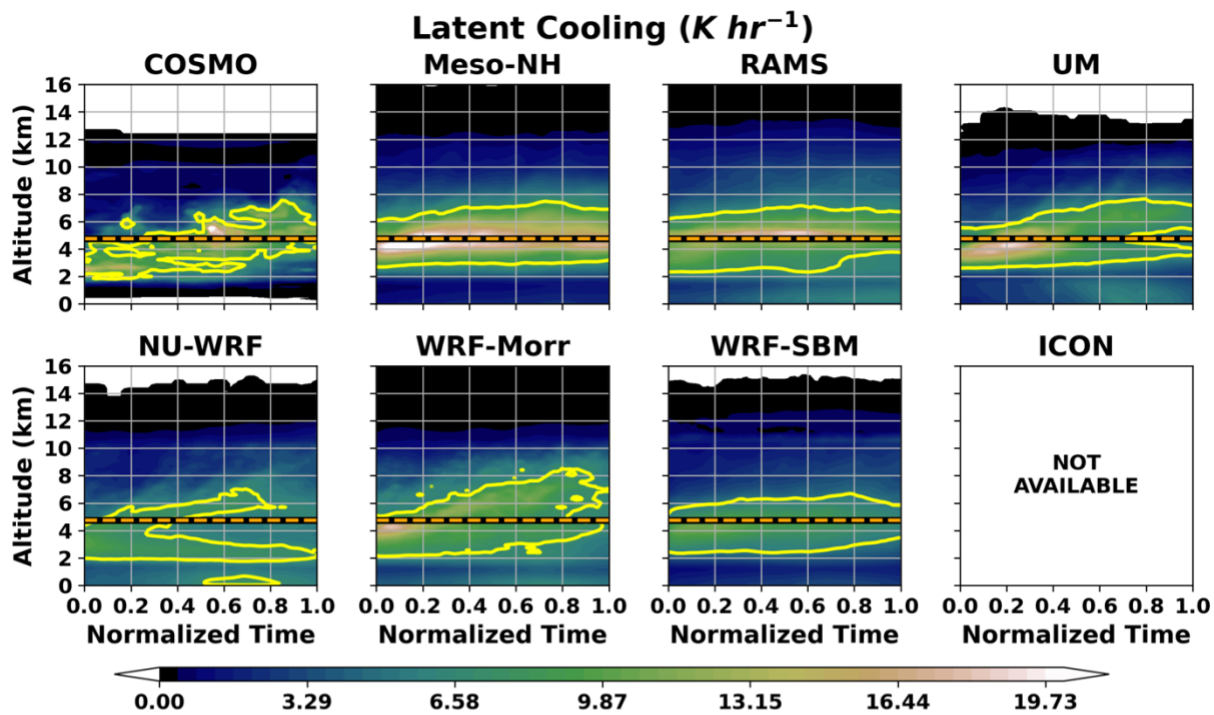
604 induced difference of updrafts.

605 Feedback mechanisms among aerosols, microphysics, and dynamics contribute to the
606 trends noted above. In the High-Aero scenario more numerous, smaller clouds droplets are
607 generated. Due to reduced autoconversion, these are less likely to become rain drops. Instead,
608 they continue to grow by condensation, all the while releasing more latent heat particularly later
609 in the cell lifetime due to delayed or reduced autoconversion. This provides the fuel for
610 sustaining or strengthening updrafts later into the cell lifecycle. In addition, as noted previously
611 from M21, in these simulations, reduced precipitation in the High-Aero cases prevents
612 stabilization of the boundary layer which acts as a positive feedback that permits higher cloud
613 base and stronger updrafts. Clouds that develop further and extend upwards into the mixed-phase
614 zone may continue to grow by condensation and further release latent heat well into the mixed-
615 phase zone. However, since the droplets in the High-Aero simulations are still quite small
616 compared to the Low-Aero simulations, riming rates (and thus, freezing rates) are reduced, so
617 there is not a significant contribution to latent heating from the freezing process. As such, the
618 amount of ice is reduced. And since there is less overall ice, there are fewer ice hydrometeor
619 sites for freezing or deposition growth. Thus, there is reduced overall latent heating in the upper-
620 mixed-phase and cold phase zones of the cells in most of the CRMs. The CRMs with more
621 aerosol-induced variability in the ice field over cell depth and lifetime display more variability in
622 the latent heating above the 0°C level.

623

624 *g. Latent Cooling Composites*

625 Latent cooling rates result from the combined phase changes associated with melting,
626 evaporation, and sublimation. The peak zones of latent cooling in the Low-Aero scenarios (top
627 half of Fig. 11) in most of the CRMs are concentrated near the cloud water peak layer and 0°C
628 level. As noted earlier, in most of the CRMs, cloud water is most dominant during the first half
629 of the cell lifetimes, while ice amounts peak during the second half of cell lifetimes. As such, the
630 peak zones of latent cooling tend to be more associated with cloud droplet evaporation (due to
631 entrainment along cloud edges or near cloud base) peaking during the formative stages of the
632 cells and with contributions from ice hydrometeor melting and sublimation and rain evaporation
633 (along cloud edges) during the latter portion of cell lifetimes. Given that our cell sampling region
634 was within 4 km of cell centers, it is possible that some cloud edges were not included; however,



635

636 *Figure 11. Same as figure 4, but for latent cooling rate ($K hr^{-1}$).*

637

638

639

640 the presence of latent cooling that is coincident with the cloud layer indicates that entrainment
641 evaporation was occurring in the sampled regions either by cloud edge or cloud base droplet
642 evaporation in a substantial number of cells.

643 Most of the CRMs also show secondary maxima in latent cooling near the surface where
644 rain evaporation is maximized below cloud base in the second half of the cell lifetime. This
645 process plays a key role in generating cold pools and stabilizing the boundary layer. NU-WRF
646 appears to have the greatest relative contribution from sub-cloud rain evaporation for this given
647 event; however, the extension of the peak zone to the surface would not have appeared if the
648 mean latent cooling magnitude had been a bit greater in the primary cloud and melting layers.

649 The aerosol impacts on latent cooling (bottom half of Fig. 11) are generally confined
650 within two layers in the majority of the CRMs, and they are largely consistent over cell lifetimes.
651 For an increase in aerosol concentration, there is a prominent increase in cooling above the
652 boundary layer at cloud layer elevations (noted by the bright red shaded regions) associated with
653 the increase in cloud water (seen in the bottom panels of Fig. 6). The presence of more
654 numerous, smaller cloud droplets leads to an enhancement of cloud edge or cloud base net
655 evaporation that contributes to the zone of increased latent cooling. Melting also contributes to
656 latent cooling (Fig. 8), but it is a smaller contributor than droplet evaporation, and it was found to
657 be largely reduced in the High-Aero cases since less ice is typically present.

658 The enhanced cooling from cloud edge evaporation at high aerosol concentration may
659 contribute toward the reduction in upper-level ice and limit potential convective invigoration.
660 Considering the large reduction in droplet size and the large increase in latent cooling from the
661 Low- to High-Aero cases, it is possible that the High-Aero case has the aerosol concentration
662 exceeding the optimal aerosol concentrations for convection invigoration, as previous studies
663 showed, such that the large evaporative cooling in this situation could lead to a strong
664 suppression of convection and precipitation (e.g., Fan et al., 2007 and Li et al., 2008).

665 The other prominent feature in most of the CRMs is the blue shaded latent cooling region
666 near the surface within the lowest 2 km AGL that is consistent over cell lifetime. This results
667 from a decrease in sub-cloud evaporation of rain due to a general reduction in rain formation in
668 the High-Aero case, as noted in the discussion of rain water in association with Fig. 7. In general,
669 the latent cooling rates tend to be dominated by the warm-phase processes with some addition
670 from the narrow melting layer toward the end of cell lifetimes.

671 **4. Summary and Conclusions**

672 In this follow-on study from M21 and V25 we have utilized the case study simulations of
673 deep convection from the ACPC MIP, that included 8 state-of-the-art CRMs, in order to examine
674 the impacts of increased aerosol concentration on composite convective cell microphysical
675 properties and their variability over cell lifecycles. This case was characterized by ordinary
676 convection over the Houston, TX (USA) region from 19-20 June 2013. The overarching
677 investigation of this case by V25 assessed the general variability of simulated convection among
678 the CRMs as well as the impacts of aerosol loading on convective clouds, their microphysical
679 characteristics, and accumulated precipitation. M21 focused on the impacts of increasing aerosol
680 concentration on convective updrafts, in these same CRMs, over a 12-hour period from 1600
681 UTC 19 June – 0400 UTC 20 June with 5-minute model output. Convective columns were
682 identified using established convection partitioning criteria, and various metrics were used for
683 comparing updraft statistics, and their modification by aerosol loading among the MIP CRMs.

684 In this current effort, we have focused our attention on a 3-hour period of convection
685 from 2100 UTC 19 June – 0000 UTC 20 June 2013 for which 1-minute CRM output was
686 available. The *tobac* cell tracking algorithm was applied to the high frequency output from the
687 CRMs to identify and track mid-level updrafts exceeding thresholds of 3, 5, and 10 m s⁻¹. Using
688 tracked cell location output from *tobac*, cells with lifetimes of 20-60 minutes and updrafts that
689 extended above the 0°C level to at least 6 km AGL were included in generating composite cell
690 properties (computed as means at each vertical level within a 4 km horizontal radius of cell
691 centers) of various microphysical and dynamic fields for each CRM's Low and High aerosol
692 simulations. All identified cells within a given simulation were mapped to a normalized time
693 scale to generate time-height composites for comparing CRMs and Low and High aerosol
694 scenarios.

695 The variability in cell composite updrafts and microphysical properties among the CRMs
696 and Low to High aerosol scenarios revealed a number of consistent trends worth discussing. The
697 altitude vs normalized-time cell composites of updraft speed (Fig. 4) displayed a range of updraft
698 depth among CRMs and over cell lifetimes with the contoured peak zones reaching maximum
699 altitudes from 5 to 12 km AGL. Most of the CRMs reveal an increase in updraft peak zone depth
700 from initial cell detection toward the end of cell-detectable lifetime. There is variability among
701 CRMs as to the timing of maximum updraft strength, though most of them reach their maximum

702 between 40-80% of the cell lifetime and then display reduced updraft strength toward the very
703 end of the identified cell lifetime. The aerosol impact on updraft strength tended to result in
704 increases in updraft speed in the warm-phase to lower mixed-phase regions of the cells that were
705 most prevalent toward the mid to latter half of cell lifetimes, which suggests that secondary
706 nucleation and additional condensation may help drive stronger updrafts later in the cell lifetime,
707 which could suggest more rapid dissipation in these cells. Trends were mixed and less consistent
708 aloft in the upper mixed-phase and cold-phase regions of the cells. In spite of the different
709 analysis methods, these results tend to agree with the analysis of aerosol impacts on updrafts in
710 M21.

711 Cell composites of cloud and rain water mixing ratio (Figs. 6,7) reveal rather consistent
712 representations across the CRMs with the peak zones of cloud and rain covering very similar
713 depths and lifetimes. All of the CRMs display a delay in the onset of rain over the cell lifetime
714 compared to the development of the cloud layer, though there is some inter-model variability in
715 the timing of the maximum rain mixing ratio. This results from both the model-specific rates of
716 the autoconversion and accretion of cloud water and the melting of ice (Fig. 8) contributing to
717 rain toward the end of cell lifetimes. The aerosol impact on cloud and rain water is also quite
718 consistent among most of the CRMs. Generally, there is a consistent increase in cloud water as
719 aerosol concentration is increased, and the cloud layer lifts to a slightly higher altitude. These
720 effects result from reduced autoconversion and accretion processes that transfer cloud droplets to
721 precipitation-sized rain drops, as well as feedbacks from environmental changes due to reduced
722 rainfall, as noted in M21. Likewise, rain water is consistently reduced among most CRMs over
723 all depths and throughout the cell lifetimes, due largely to the aforementioned reduction in
724 collision-coalescence growth of cloud droplets and raindrops. The peak in the reduction in rain
725 during the cell lifetimes tends to coincide with the timing of the greatest amount of rainwater. A
726 reduction in melted ice (Fig. 8) also contributes to the aerosol-induced reduction in rain.

727 From an inter-model view, the cell composites of the total ice hydrometeor mixing ratio
728 (Fig. 9) reveal a different scenario when compared to cloud and rain water. There is greater
729 variability among CRMs with respect to the duration, depth, and location and timing of maxima
730 in the peak zones of ice mass mixing ratio, though most of the CRMs place the ice maxima
731 during the second half of cell lifetimes after cells have had time to deepen and mixed- and cold-
732 phase processes become active. There is also more variability in the cell composite total ice

733 maxima among the CRMs than in cloud and rain water. This variability speaks strongly to the
734 CRM differences in ice-phase microphysical processes that generate initial ice embryos and then
735 transfer liquid hydrometeors to ice hydrometeors through freezing and riming processes.
736 Differences in the individual parameterizations of these processes have a profound effect on the
737 overall generation of ice among the CRMs. The aerosol impact on ice is also highly variable over
738 the cell depth and lifecycle among the CRMs compared to that seen for cloud and rain water.

739 The cell composites of latent heating (Fig. 10) reveal similarities among the CRMs as
740 seen in the comparisons of cloud and rain water composites. The timing of the increase in latent
741 heating and deepening of the latent heating peak zones in the cell composites follows that of the
742 updrafts. Further, the CRMs show a general increase in latent heating in association with the
743 increase in cloud water production following an increase in aerosol concentration. This is
744 typically maximized over the latter half of cell lifetimes. The overall latent heating profiles and
745 their responses to aerosol loading are dominated by warm-phase microphysics with lesser
746 contribution from mixed and cold phases processes. Latent cooling (Fig. 11) was also similar
747 among the CRM cell composites, generally persistent over cell lifetimes, and was dominated by
748 cloud edge droplet evaporation rather than melting. Secondary zones of near-surface raindrop
749 evaporation were also apparent. Aerosol loading led to increased cloud edge evaporation and
750 reduced near-surface rain evaporation in all of the CRMs.

751 This study, along with M21 and V25, have laid the groundwork for future MIP studies
752 and have identified areas of agreement among models and areas that need additional
753 investigation and improvement. The various CRMs in this study are most consistent with respect
754 to warm-phase microphysics and are most different regarding mixed and cold-phase clouds and
755 microphysical processes. Our goal moving forward is to continue to identify areas of scientific
756 and modeling uncertainty associated with deep convection, isolate the causes of the uncertainty,
757 and devise solutions to reduce these uncertainties. Collaborative efforts, such as undertaken in
758 this current MIP, are highly productive means of achieving these goals.

759 A follow-on MIP associated with cases identified from the Tracking Aerosol Convection
760 Interactions Experiment (TRACER; Jensen et al. 2019), with an intense observation period from
761 June - September of 2022 in the Houston, TX area, is being planned ([https://arm-
762 synergy.github.io/tracer-mip/Roadmap.html](https://arm-synergy.github.io/tracer-mip/Roadmap.html)). This TRACER-MIP will seek to expand on our
763 knowledge gained from this ACPC-MIP. The TRACER-MIP will simulate multiple case study

764 days of isolated convection (in varying thermodynamic environments) over the Houston area for
765 which in-situ and remote sensing observations were readily available for assistance in model
766 initialization and validation.

767

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779

780 *Data Availability Statement.*

781 The ACPC MIP deep convection CRM simulation data used in this analysis are available from
782 the UK NERC JASMIN data analysis facility in the ACPC group workspace. Individuals can
783 apply for a JASMIN account at the following site: <https://jasmin.ac.uk/users/access/>. For easier
784 access, we suggest that those interested in accessing the CRM data contact
785 peter.marinescu@colostate.edu or stephen.saleeby@colostate.edu. The source code and
786 documentation for the Tracking and Object-Based Analysis of Clouds (tobac), used for cell
787 tracking in this study, are available at <https://github.com/climate-processes/tobac> (Heikenfeld et
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789

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