

Terminal attack trajectories of peregrine falcons are described by the proportional navigation guidance law of missiles

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The ability to intercept uncooperative targets is key to many diverse flight behaviors, from courtship to predation. Previous research has looked for simple geometric rules describing the attack trajectories of animals, but the underlying feedback laws have remained obscure. Here, we use GPS loggers and onboard video cameras to study peregrine falcons, *Falco peregrinus*, attacking stationary targets, maneuvering targets, and live prey. We show that the terminal attack trajectories of peregrines are not described by any simple geometric rule as previously claimed, and instead use system identification techniques to fit a phenomenological model of the dynamical system generating the observed trajectories. We find that these trajectories are best—and exceedingly well—modeled by the proportional navigation (PN) guidance law used by most guided missiles. Under this guidance law, turning is commanded at a rate proportional to the angular rate of the line-of-sight between the attacker and its target, with a constant of proportionality (i.e., feedback gain) called the navigation constant (N). Whereas most guided missiles use navigation constants falling on the interval $3 \leq N \leq 5$, peregrine attack trajectories are best fitted by lower navigation constants (median $N < 3$). This lower feedback gain is appropriate at the lower flight speed of a biological system, given its presumably higher error and longer delay. This same guidance law could find use in small visually guided drones designed to remove other drones from protected airspace.

peregrine falcon | pursuit | guidance law | system identification | proportional navigation

The success of any aerial predator hinges on its ability to steer a collision course to its prey, which previous work has explored by looking for simple rules describing the geometry of an attack (1–11). For example, many predators are said to hold the geographic direction of their target constant on approach. This geometry guarantees interception, but describes pattern, not process, being only the idealized outcome of some underlying guidance law by which sensory feedback is used to command body accelerations (12). Guidance laws have previously been fitted to the flight trajectories of chasing flies (13–16), where the picture is complicated by the fact that the pursuer is not necessarily trying to intercept the object of its territorial or courtship behavior (see also refs. 17–19). Guidance laws have also been fitted to the flight trajectories of pigeons avoiding obstacles (20), modeled as a piecewise target-aiming behavior at gaps identified post hoc from the observed trajectory. These examples contrast with the case of aerial predation, for which the objective of the behavior is clear, but for which the underlying guidance laws remain obscure. Here, we analyze the aerial attack behaviors of peregrines, *Falco peregrinus*, dynamically, using GPS data supported by onboard video to identify a simple guidance law capable of generating the trajectories observed during the terminal phase of an attack.

Previous studies have described the target-oriented behaviors of animals using three simple geometric rules, each defined by the constancy of one of two angles characterizing the 2D geometry of a chase: the line-of-sight angle (λ), defined as the compass bearing

of the line-of-sight from pursuer to target; and the deviation angle (δ) between the line-of-sight and the pursuer's velocity vector. Under a first geometric rule (Fig. 1A), the pursuer flies directly at its target at all times (t), producing a pure pursuit course defined by the rule $\delta(t) = 0$. Pure pursuit has been described in hawks attacking stationary targets (10), flies chasing mates (13–16), fish catching sinking food (21), beetles running after targets (22, 23), and bees landing on a moving platform (24). Under a second geometric rule (Fig. 1B), the pursuer directs its velocity at a nonzero lead angle α ahead of the line-of-sight, producing a deviated pursuit course defined by the rule $\delta(t) = \alpha$. Deviated pursuit has been hypothesized in falcons on the basis of their visual anatomy (7, 8), but behavioral evidence is lacking (9). Under a third geometric rule (Fig. 1C), seen in dragonflies (1–5), robber flies (6), falcons (9), hawks (10), and bats (11), the pursuer keeps its target on a constant compass bearing. This results in a parallel navigation course defined by the rule $\lambda(t) = \lambda(0)$, and leads incidentally to a form of motion camouflage, because the pursuer appears stationary against a distant background (2, 25, 26) [also called constant absolute target direction (9–11) or constant bearing angle (6)]. Missile engineers designed guidance laws to implement these geometric rules decades ago (12), but it is not yet known how they are implemented by aerial predators.

Past research has tried to relate the curved geometry of raptor attack trajectories to the constraints imposed by their visual anatomy (7–9). Birds vary considerably in the extent of their eye movements, but these are limited in falcons, which have two acute

Significance

Renowned as nature's fastest predators, peregrines are famous for their high-speed stooping and swooping attack behaviors. We used miniature GPS receivers to track peregrines attacking dummy targets thrown by a falconer or towed by a drone and fitted a simulation describing the dynamics of the guidance system used in interception. We collected onboard video giving a falcon's-eye view of the attacks and used this to validate our conclusions for attacks on live targets. Remarkably, we find that the terminal attack trajectories of peregrines are described by the same feedback law used by visually guided missiles, but with a tuning appropriate to their lower flight speed. Our findings have application to drones designed to remove other drones from protected airspace.

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Data deposition: All GPS and video data have been uploaded to Dryad Digital Repository (<https://doi.org/10.5061/dryad.md268>).

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fitted a significantly longer duration of flight (median duration: 4.9 s; first, third quartiles: 3.3, 8.8 s) than did the PP simulations (sign test on 27 untied differences: two-tailed $P = 0.0003$). We therefore concluded that PN was much the better supported of the two guidance laws we tested (see also Fig. 3) and present only the results of the PN simulations hereon. In aggregate, our PN simulations modeled >4.2 km of flight at 1.0% error tolerance (*SI Appendix, Table S1*), fitting long sections of flight against both stationary (median simulation length: 47 m; first, third quartiles: 31, 71 m) and maneuvering (median simulation length: 114 m; first, third quartiles: 45, 200 m) targets. Although our PN simulations could not model all of the attack passes at 1.0% error tolerance, this reflects the stringency of the error threshold chosen in advance of our analysis, coupled with our requirement to model ≥ 2 s of flight.

A few of the attacks involved long sections of nearly straight flight toward the target, but while these were consistent with the use of PN, the guidance dynamics were not strongly excited in these degenerate cases, making parameter estimation unreliable (*SI Appendix, Table S2*). We therefore focused our reporting on the more informative subset of attacks involving the most turning, which we assessed by ranking the trajectories according to aspect ratio. Fig. 4 presents the 15 trajectories involving the most turning (see *SI Appendix, Figs. S2 and S3* for the rest), from which it is clear that PN guidance is capable of generating most of the turning behavior that

we observed. For example, the paths of increasing radius that the peregrines followed toward stationary targets all belong to the family of curves generated naturally by the dynamics of PN guidance (Fig. 4 *F–O*). Moreover, whereas the three geometric rules only make sense when an attacker is already closing range on its target, PN can also generate the turning behavior observed when an attack is started with the attacker flying away from its target (Fig. 4 *I–M*). PN guidance can even generate a hairpin turn that one of the peregrines made after missing a maneuvering target (Fig. 4*A*). Our simulations performed comparably well in 3D at an equivalent 1.2% error tolerance for those attacks involving substantial changes in altitude (Fig. 5), accurately describing the curved descent of the longest gravity-assisted stoop that we observed (Fig. 5*B*). No geometric rule could possibly describe this breadth of behavior, and indeed the decreasing radius of the spiraling flight path predicted under Tucker's model of deviated pursuit in falcons (7) is opposite to the increasing radius of the curves that we observed (Fig. 4).

Sensitivity of Method and Robustness of Simulations

We verified the sensitivity of our system identification analysis by computing the percentage change in the mean absolute distance between the simulated and measured trajectories in response to a $\pm 10\%$ change in their fitted values of N (Fig. 3), quantifying the sensitivity as the ratio of these percentage changes (sensitivity ratios in *SI Appendix, Table S2*). The match between the simulated and measured trajectories was highly sensitive to the value of N (median sensitivity ratio: 3.5; upper, lower quartiles: 1.4, 28.5), so for a given start point, the best-fitting value of N was usually well defined (Fig. 3). The best-fitting value of N is itself sensitive to the initial conditions, however, and so depends on the selected start point of the simulation. The start points of our simulations were determined by our choice of error tolerance, but the population properties of the fitted values of the navigation constants were robust to this: Values of N fitted to shorter lengths of flight at 0.5% error tolerance (median $N_{0.5\%}$: 2.5; first, third quartiles: 1.5, 3.9) did not differ systematically (sign test on 22 untied differences: $P = 0.83$) from values of N fitted to longer lengths of flight at 1.0% error tolerance (median $N_{1.0\%}$: 2.6; first, third quartiles: 1.5, 3.2) (Fig. 4 and *SI Appendix, Table S2*). This presumably reflects the fact that the terminal phase of an attack is short enough for the guidance dynamics to be treated as time-invariant, even if parametric variation in the guidance dynamics becomes apparent over the longer time intervals between passes.

The preceding simulations were fitted assuming nominally lag-free guidance, notwithstanding the timing uncertainty of ± 0.1 s implicit in aligning our 5-Hz GPS measurements to the point of capture. To verify the robustness of our simulations to this uncertainty, which precludes reliable estimation of the inevitable sensorimotor delay, we reran the PN simulations after lagging the line-of-sight rate fed back to command turning by a delay equivalent to one GPS sample interval. Adding this explicit 0.2-s delay worsened the fit over the same sections of flight that we had fitted at 1.0% error tolerance without delay (median prediction error: 1.1%; first, third quartiles: 0.9%, 1.6%; sign test on 46 untied differences: $P < 0.001$; *SI Appendix, Table S3*) and systematically lowered the fitted values of N (median N with delay: 2.3; first, third quartiles: 1.4, 3.4; sign test on 46 untied differences: $P = 0.001$), although the effect size was small (median N of 2.3 vs. 2.6, with and without delay). This was not necessarily surprising, because this simple sensitivity analysis did not amount to modeling the effects of sensorimotor delay, which is likely to be shorter than the 0.2-s delay introduced here to verify the robustness of our conclusions to the ± 0.1 -s timing uncertainty in our data. Nevertheless, in the absence of data with the time resolution needed to accurately identify any sensorimotor delay, our simulations assuming nominally lag-free PN guidance provided the best available phenomenological model of the data.

Optimization of the Navigation Constant

Strikingly, approximately two-thirds of the fitted values of N fell below the interval $3 \leq N \leq 5$ that is typical of guided missiles (*SI Appendix, Table S1*). Lower navigation constants are avoided in

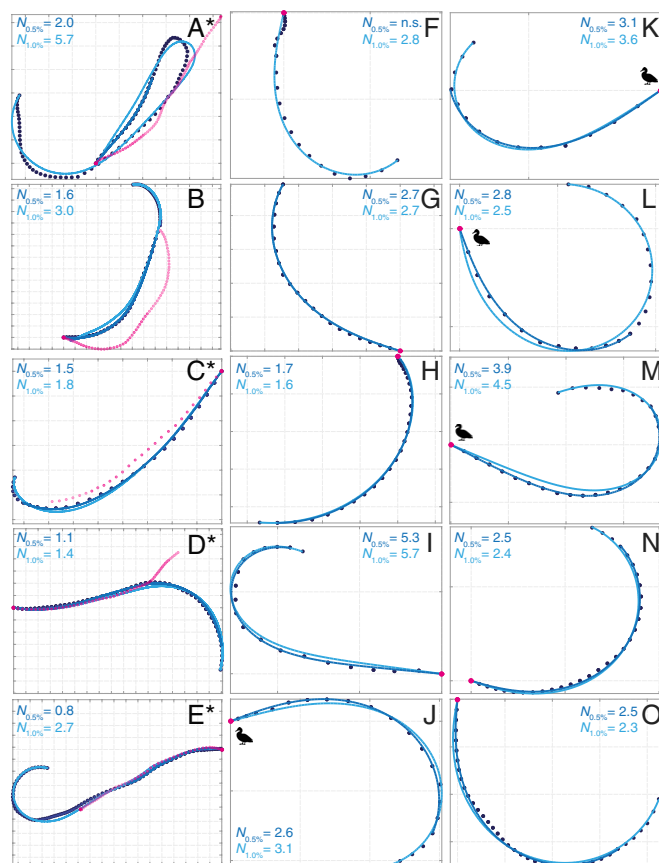


Fig. 4. GPS tracks for peregrines (blue points) attacking maneuvering (*A–E*) or stationary (*F–O*) targets (magenta points), overlain with best-fitting 2D simulations under PN guidance (blue lines). Duck icons indicate live targets (cf. Fig. 1*E*). Simulations start from the earliest point for which the prediction error is <0.5% (dark blue) or <1.0% (light blue) of the distance flown; $N_{0.5\%}$ and $N_{1.0\%}$ denote the best-fitting values of N at each error tolerance. n.s., no simulation. The 15 trajectories involving the most turning are plotted here; see *SI Appendix, Figs. S2 and S3* for the 31 other trajectories fitted at 1.0% error tolerance. Starred trajectories are simulated in 3D in Fig. 5. Grid lines are at 10-m spacing.

Conclusions

Previous research has looked for simple geometric rules describing the aerial attack behaviors of animals (1–11). The attack trajectories of peregrines are not described by any single geometric rule (cf. refs. 7–9), but they are well described by a single form of guidance law—PN—that is capable of producing any of the idealized attack geometries described in nature given appropriate tuning of its navigation constant (Fig. 1 A–C). This same mechanism, therefore, has the potential to unify our understanding of pursuit behaviors across species—whether in the air, the water, or on the ground. Although peregrines are the first aerial predators for which a guidance law has been formally identified, the fact that other animals such as dragonflies and robber flies tend toward a parallel navigation course on final approach (1–6) is consistent with the hypothesis that they, too, use PN (3, 4, 6). Besides responding effectively to moving targets, PN can be used to steer an energetically optimal approach to a stationary target, correcting simultaneously for steering error and wind drift. It follows that PN could underpin a far wider range of visually guided flight behaviors than the attack behaviors considered here. This hypothesis is mechanistically appealing, because PN does not require any information on target speed or range, merely requiring an estimate of the line-of-sight rate. How this estimate is obtained will depend on the gaze stabilization strategy of the animal: If the head/eyes track the target, then the line-of-sight rate can be obtained from their rotation in an inertial frame; conversely, if the head/eyes are stabilized inertially, then the line-of-sight rate can be obtained from the drift of the target's image across the retina. It remains an open question how peregrines might mechanize PN, but, as the head of a bird plays a role analogous to the gimbaled seeker of a guided missile, with visual and inertial sensors providing the sensory input to both, the missile literature offers useful pointers for future research. Conversely, our results from peregrines point to the fact that PN guidance optimized for low flight speeds could find use in small visually guided drones designed to remove other drones from protected airspace.

Materials and Methods

Experimental Protocol. Each of the $n = 8$ peregrines carried a GPS receiver logging position and groundspeed at 5 Hz (BT-Q1300; Qstarz International) and

a forward-facing camera recording $1,280 \times 720$ -pixel video at 30 fps (HD808; Hetai Digital Technology). The camera was worn dorsally on a falconry harness (TrackPack; Marshall Radio Telemetry), while the GPS was mounted on the camera, carried on a tail mount, or worn on the leg jesses. The equipment weighed 0.031 kg in total (<5% body mass). Against stationary targets, the bird was released and allowed to gain height before being called to a food lure thrown upward by the falconer (SI Appendix, Fig. S4A and Movie S1). Against maneuvering targets (SI Appendix, Fig. S4B and Movies S2 and S3), the bird was usually allowed to gain height before the aircraft towing the lure was launched, but was sometimes released after the aircraft was airborne. The pilot was instructed to maneuver the aircraft to cause the lure to swing unpredictably. For safety, the lure was released on a parachute upon capture. The protocol was reviewed and approved by the US Air Force, Surgeon General's Human and Animal Research Panel, and the Animal Welfare and Ethical Review Board of the University of Oxford's Department of Zoology.

Data Processing. We screened the GPS data for accuracy and precision (SI Appendix), and, after discarding data contaminated by electromagnetic interference from the video camera in one unfortunate mounting configuration, we were left with high-quality GPS data from 23 flights against stationary targets ($n = 3$ birds; 33 passes) and 22 flights against maneuvering targets ($n = 4$ birds; 22 passes). We synchronized the video and GPS data by identifying the start and end of the flight in each data stream and used the video to identify the point of intercept, having interpolated a small number of dropped GPS data points identified by examining their time code. As the thrown targets moved only a short distance horizontally, we identified their position from the GPS coordinates of the bird at the moment it reached its target in the video. For the towed targets, we used another GPS unit attached to the lure to identify the target's trajectory. We then shifted the target's trajectory to align the coordinates of the target and the bird at the known point of intercept, so as to remove any discrepancies arising from inaccuracy in the GPS position estimates.

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