

1 **MULTIPLE NEGATIVE CARBON-ISOTOPE EXCURSIONS DURING THE CARNIAN PLUVIAL**
2 **EPISODE (LATE TRIASSIC)**

3

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23 **KEYWORDS**

24 Carnian Pluvial Episode; Late Triassic; carbon-isotopes; climate change; extinction.

25

26 **ABBREVIATIONS**

27 CPE = Carnian Pluvial Episode

28 NCIE = Negative Carbon-Isotope Excursion

29 LIP = Large Igneous Province

30

31 **ABSTRACT**

32 The Carnian Pluvial Episode **was** a phase of global climatic change and biotic turnover that
33 occurred during the early Late Triassic. In marine sedimentary basins, the arrival of huge amounts
34 of siliciclastic sediments, the establishment of anoxic conditions, and a sudden change of the
35 carbonate factory on platforms **marked** the Carnian Pluvial Episode. The sedimentary changes are
36 closely associated with abrupt biological turnover among marine and terrestrial groups as, for
37 example, an extinction among ammonoids and conodonts in the ocean, and a turnover of the
38 vertebrate fauna and the flora on land. Multiple negative carbon-isotope excursions **were** recorded
39 during the Carnian Pluvial Episode in both organic matter and marine carbonates suggesting
40 repeated injection of ^{13}C -depleted CO_2 into the ocean–atmosphere system, but their temporal and
41 causal links with the sedimentological and palaeontological changes are poorly understood. We
42 here review the existing carbon-isotope records and present new data on the carbon-isotope
43 composition of organic carbon in selected sections of the western Tethys realm that **record** the
44 entire Carnian Pluvial Episode. New ammonoid, conodont and sporomorph biostratigraphic data
45 were collected and coupled to an extensive review of the existing biostratigraphy to constrain the
46 age of the sampled sections. **The results** provide **biostratigraphically constrained** composite
47 organic carbon-isotope curves for the Carnian, and shed light on the temporal and causal links
48 between the features of the carbon-isotope record **that characterize** the Carnian Pluvial Episode
49 and the distinct climatic and environmental changes, and biological extinctions and **turnovers, that**
50 mark this interval. The carbon-isotope records **suggest** that a series of carbon-cycle perturbations,
51 possibly recording multiple phases of volcanic activity during the emplacement of the Wrangellia
52 Large Igneous Province, disrupted Carnian environments and ecosystems repeatedly over a
53 remarkably long time interval of about 1 million years.

54 1. INTRODUCTION

55 During the Carnian age (early Late Triassic), a global climate change took place (Simms and
56 Ruffell, 1989; Preto *et al.*, 2010) later termed the Carnian Pluvial Episode (CPE; Simms and
57 Ruffell, 1989) and dated through biostratigraphic calibration from the Julian 2 to the Tuvanian 2
58 intervals (*sensu* Mietto *et al.*, 2012; Fig. 1). The CPE was characterized by major environmental
59 changes in continental to shallow- and deeper water settings, and biological turnovers on land and
60 in the ocean (e.g., Schlager and Schönlberg, 1974; Benton, 1983; Simms and Ruffell 1989;
61 Benton 1991; Krystyn 1991; Simms *et al.*, 1995; Gianolla *et al.*, 1998; Roghi *et al.*, 2004; Hornung
62 and Brandner, 2005; Furin *et al.*, 2006; Keim *et al.*, 2006; Hornung *et al.*, 2007; Rigo *et al.*, 2007;
63 Breda *et al.*, 2009; Preto *et al.*, 2010; Balini *et al.*, 2010; Roghi *et al.*, 2010; Stefani *et al.*, 2010;
64 Arche and López-Gómez, 2013; Martínez-Pérez *et al.*, 2014; Lukeneder and Lukeneder, 2014;
65 Franz *et al.*, 2014; Chen *et al.*, 2015; Dal Corso *et al.*, 2015, 2018; Gattolin *et al.*, 2015; Ruffell *et al.*,
66 2016; Mueller *et al.*, 2016a,b; Sun *et al.*, 2016; Miller *et al.*, 2017; Dunhill *et al.*, 2017; Bernardi
67 *et al.*, 2018). The onset of the CPE coincided with a perturbation of the carbon cycle characterized
68 by a sharp 2–4‰ negative carbon-isotope excursion (NCIE). The NCIE is found in terrestrial and
69 marine organic matter (biomarkers and total organic carbon) and marine carbonates in
70 stratigraphic sections in Europe and China (Dal Corso *et al.*, 2012, 2015; Muttoni *et al.*, 2014;
71 Mueller *et al.*, 2016a, 2016b; Sun *et al.*, 2016; Miller *et al.*, 2017). This NCIE suggests an injection
72 of large quantities of ¹³C-depleted CO₂ into the ocean–atmosphere system that is thought to have
73 induced the climate change and be possibly linked to the eruption of the Wrangellia, also called
74 Nikolai, Large Igneous Province (LIP; Dal Corso *et al.*, 2012, 2015; Mueller *et al.*, 2016a; Sun *et al.*,
75 2016). The emission of large amounts of greenhouse gases into the atmosphere is credited
76 with inducing a global temperature increase estimated as 4–7°C by the examination of the oxygen-
77 isotope signature of conodont apatite from marine successions of the Tethyan realm (Hornung *et al.*,
78 2007; Rigo and Joachimski 2010; Trotter *et al.*, 2015; Sun *et al.*, 2016). Warming in turn
79 presumably triggered a strong intensification of the hydrological cycle and enhanced continental
80 runoff (e.g. Dal Corso *et al.*, 2015; Sun *et al.*, 2016). The CPE was also coincident with biological
81 turnovers on land and in the ocean (e.g. Simms and Ruffell, 1989; Ruffell *et al.*, 2016).

82 Palynological records show a widespread turnover of the terrestrial flora from xerophytic to
83 hygrophitic at different palaeolatitudes, and decrease in diversity (Roghi *et al.*, 2010; Kürschner
84 and Herengreen, 2010; Mueller *et al.*, 2016a,b). Many lineages of terrestrial tetrapod became extinct
85 or significantly declined during the CPE with, however, a significant rise in the dinosaurs (Benton,
86 1983; Benton 1991; Bernardi *et al.*, 2018). The CPE was also associated with a major turnover in
87 marine taxa (Simms and Ruffell 1989; Benton 1991; Simms *et al.*, 1995). Two major events
88 occurred at the Julian–Tuvlian boundary, as illustrated by a prominent extinction of conodonts
89 (Rigo *et al.*, 2007; Martínez-Pérez *et al.*, 2014; Chen *et al.*, 2015) and the crisis of the
90 *Trachyceratinae* ammonoids, the most significant turnover of this group in the entire Triassic
91 Period (Krystyn 1991; Balini *et al.*, 2010).

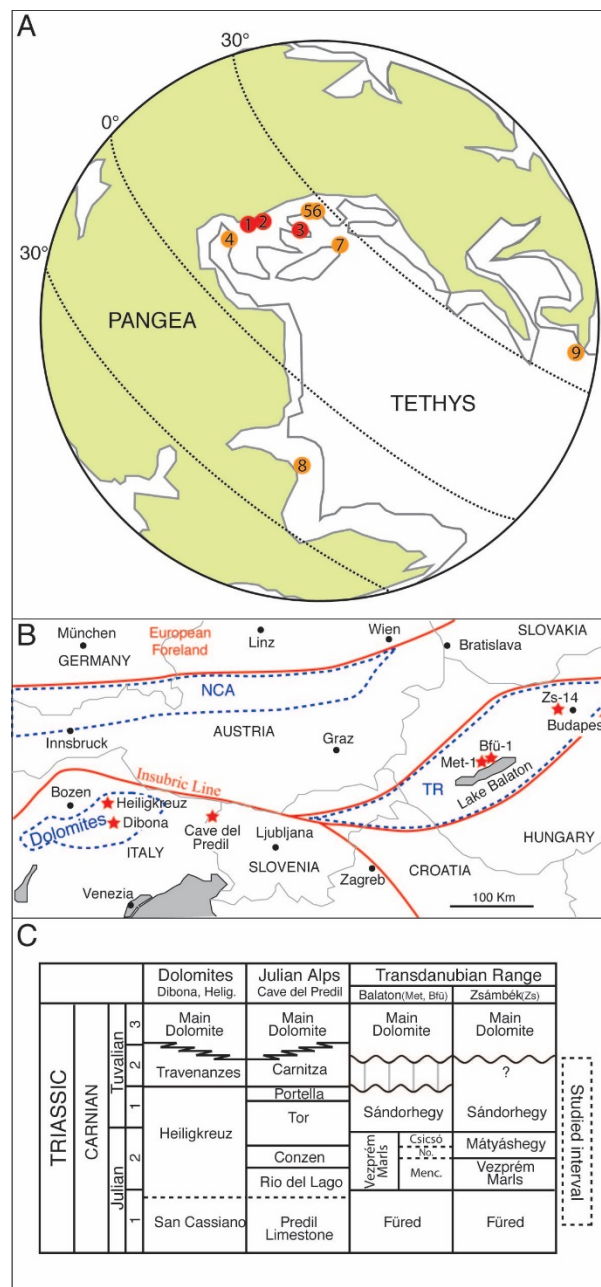
92 Despite the common features that mark the CPE worldwide, however, this phase of Earth
93 history appears not to be uniform. The existence of multiple (at least three) prominent humid
94 pulses within the CPE has been shown by stratigraphic and palynostratigraphic investigations in
95 the Southern Alps and Northern Calcareous Alps that were part of the western Tethys domain in
96 the Carnian (Roghi *et al.*, 2010). Distinct large-scale intercalations of coarse siliciclastics that
97 interrupt shallow-water carbonate successions in the north western Tethys, as well as repeated
98 shifts in the composition of the microflora, from xerophytic to hygrophytic forms, are recorded in
99 sedimentary successions (Roghi *et al.*, 2010; Mueller *et al.*, 2016a and references therein). This
100 evidence, coupled with recent age models based on cyclostratigraphy of gamma-ray and elemental
101 abundances (Zhang *et al.*, 2015; Miller *et al.*, 2017), which assign to the CPE a duration of
102 approximately 1.2 Myr, show that this event was not characterized by uniform climatic conditions
103 and a more complex series of events occurred over such an extended period of time. Furthermore,
104 Sun *et al.* (2016) reported three NCIE in bulk carbonates of the Carnian sedimentary succession of
105 South China (Guizhou): at the base of the Julian 2, at the Julian–Tuvlian boundary, and in the
106 Tuvlian 1. Such shifts are not recorded by organic matter from the same stratigraphic succession,
107 which in turn record a long-term negative shift that starts at the base of the Julian 2 and rebounds
108 to pre-excursion values at the top of the Tuvlian 1. However, Miller *et al.* (2017) detected five
109 NCIE in leaf-wax *n*-alkanes and bulk organic matter from the WP borehole 1 drilled in the Carnian

110 continental succession of Devon (UK). These findings strongly suggest that multiple carbon-cycle
111 perturbations occurred during the CPE.

112 We here review the evidence of multiple carbon-isotope excursions during the CPE and
113 suggest a connection between its sedimentological record from the Western Tethys and the
114 features of the carbon-isotope curve. We have integrated the existing isotopic records with a high-
115 resolution carbon-isotope stratigraphy, whose age is constrained by ammonoid, conodont and
116 sporomorph biostratigraphy. To this end, we collected new data on the carbon-isotope composition
117 of total organic carbon ($\delta^{13}\text{C}_{\text{TOC}}$) and wood ($\delta^{13}\text{C}_{\text{WOOD}}$) in selected sections of the Western Tethys
118 that encompass the stratigraphic range of the entire CPE. We also collected new ammonoid,
119 conodont and sporomorph biostratigraphic data and extensively reviewed the existing
120 biostratigraphic coverage to constrain the age of the sampled sections. The results provide a
121 biostratigraphically very well-constrained organic carbon-isotope reference curve for the Carnian
122 interval, and elucidate the temporal relationships between the geochemical and the climatic,
123 environmental, and biological changes that punctuated the CPE.

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128 **Figure 1.** A) Late Triassic palaeogeography (redrawn from Stampfli and Borel, 2002). The red dots show the
 129 location of the stratigraphic sections and cores analysed for total [organic-carbon isotopes](#) in this study: 1)
 130 Dolomites, Italy; 2) Julian Alps, Italy; and 3) Transdanubian Range, Hungary. The orange dots show the
 131 location of additional selected successions where sudden siliciclastic inputs and/or major changes in the
 132 carbonate factories on platforms are recorded and [are](#) stratigraphically well constrained: 4) Lagonegro, Italy;
 133 5) Drau Range, Austria; 6) Lunz, Austria; 7) Turkey; 8) Spiti, India; 9) South China Block, China. B) Map of
 134 the study area, including the major tectonic lineaments. The red stars indicate the location of the studied
 135 sections. NCA = Northern Calcareous Alps; TR = Transdanubian Range. C) Lithostratigraphic scheme for
 136 the Carnian formations in the studied areas. Biostratigraphic [constraints](#) from this study and the literature are
 137 shown in Fig. 2.

138

139 2. GEOLOGICAL SETTING

140 The studied sedimentary successions are located in the Dolomites-UNESCO World Heritage area
141 (Italy; <https://www.dolomitiunesco.it/en/>), in the Julian Alps (Italy), and in the Balaton Highland
142 and Zsámbék Basins (Hungary), and belong to the Tethyan realm (Fig. 1A and B).

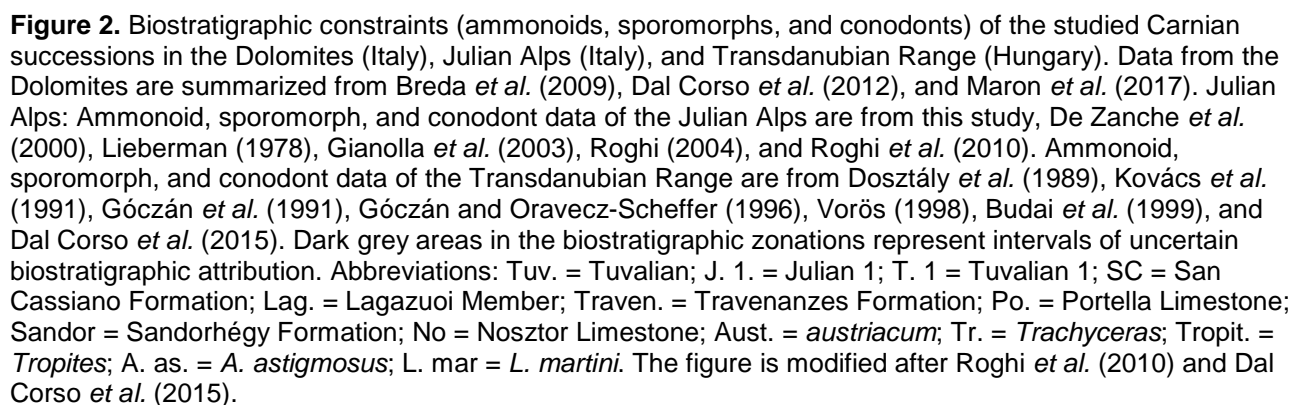
143 The Carnian (Julian–Tuvalian) succession of the Dolomites (Fig. 1C and Fig. 2) begins with
144 the marls and calcareous turbidites of the San Cassiano Formation (Julian). The relative modal
145 composition of packstones and grainstones in the San Cassiano Formation, which reflects the
146 composition of the carbonate producers on the coeval platforms (Cassian Dolomite), show high
147 contents of microbial elements such as oncoids, calcimicrobes, and intraclasts with clotted peloidal
148 fabric (Preto, 2012). Such microbial-dominated composition abruptly changes in the uppermost
149 part of the San Cassiano Formation (lowermost Heiligkreuz Formation), in correspondence with the
150 negative carbon-isotope excursion that marks the onset of the CPE. Ooids and skeletal grains
151 become the most abundant components, and the microbial carbonates reduce to less than 10% of
152 rock volume (Gattolin *et al.*, 2015). This change in facies is interpreted as evidence for the crisis of
153 the highly productive early Carnian microbially dominated platforms, which were replaced by less
154 productive metazoan ramps (Gattolin *et al.*, 2015; Dal Corso *et al.*, 2015). Above the San Cassiano
155 Formation, the coarse siliciclastics, clays, and marls mixed with skeletal and oolitic carbonates of
156 the Heiligkreuz Formation were deposited (Neri *et al.*, 2007; Breda *et al.*, 2009; Stefani *et al.*, 2010).
157 The Heiligkreuz Formation is locally more than 100 m thick and represents the rapid infilling of the
158 early Carnian basins as a consequence of the increasing continental runoff during the CPE. It is
159 followed stratigraphically by the clays and dolomites of the Travenanzes Formation (Tuvalian),
160 which was deposited in a marginal marine dryland coastal system (Breda and Preto, 2011). The
161 investigated stratigraphic sections in the Dolomites, which encompass the Heiligkreuz–
162 Travenanzes Formations, are biostratigraphically very well constrained by ammonoids, conodonts,
163 and sporomorphs (Fig. 2; e.g., Breda *et al.*, 2009; Maron *et al.*, 2017).

164 The locality studied in the Julian Alps is the classical Cave del Predil area (formerly “Raibl”)
165 (Fig. 1C). Here, the Carnian succession starts with the black laminated limestone of the Predil
166 Limestone (Julian). The age of this unit has been re-assigned to the *aonoides* ammonoid zone

167 (see results). Above, a thick (>1000 m) succession of silt and marl alternates with lime mudstone
168 to packstone, locally dolomitized, with abundant skeletal grains (Rio del Lago, Conzen, Tor
169 Formations and Portella Dolomite, upper Julian to lower Tuvanian; De Zanche *et al.*, 2000). The
170 remaining portion of the Tuvanian is represented by mainly nodular, cherty lime mudstone of the
171 Carnitza Formation, which lies conformably on top of the Portella Dolomite (Fig. 1C; Gianolla *et al.*,
172 2003; Caggiati *et al.*, 2007). The age of the Cave del Predil section is constrained by ammonoids,
173 conodonts, and sporomorphs (Fig. 2; this study, section 4.1; De Zanche *et al.*, 2000; Gianolla *et*
174 *al.*, 2003; Roghi *et al.*, 2004).

175 In the Balaton Highland of the Transdanubian Range, a relatively thick marl-dominated
176 succession suggests an increased input of clay and silt from distal sources and lime mud from the
177 neighbouring shallow-water areas during the Julian (Veszprém Marl, Fig. 2; Budai and Haas, 1997;
178 Haas and Budai, 1999). Above, shales with variable carbonate content (Sándorhegy Formation)
179 record the infill of the intra-platform basins during the Late Carnian (Tuvanian) and apparently
180 levelled the topography, on which the peritidal Main Dolomite was deposited. The stratigraphic
181 successions of the Transdanubian Range are biostratigraphically well constrained with
182 ammonoids, sporomorphs, and conodonts (Fig. 2; Budai *et al.*, 1999). Similarly, the succession in
183 the Zsámbék Basin of the Transdanubian Range (Fig. 1C and 2) starts with the Budaörs Dolomite,
184 which represents a carbonate platform inherited from the Ladinian, while coeval carbonate
185 platforms (Ederics Limestone) and intra-platform basins (Füred Limestone) existed in the Balaton
186 Highland and in the Bakony Hills. The Budaörs Dolomite is overlain by grey laminated marls with
187 thin limestone intercalations, which represent increasing siliciclastic content (Veszprém Marl; Early
188 Julian). Up-section, the Veszprém Marl is overlain by a thin-bedded cherty limestone and dolomite
189 (Mátyáshegy Formation, Fig. 1C; Haas and Budai, 2004) with a depauperate foraminifer, ostracod
190 and conodont fauna suggesting a restricted basinal environment (Kristan-Tollmann *et al.*, 1991;
191 Góczán and Oravecz–Scheffer, 1996a,b). This part of the succession is followed by layers of dark
192 grey dolomitic calcareous marl with foraminifera- and ostracod-bearing mudstone and wackestone
193 (Sándorhegy Formation; Haas and Budai, 2004).

194



218 3. METHODS

219 3.1 Sections

220 Sections from the Dolomites and Julian Alps, and cores from the Transdanubian Range, have
221 been sampled to strengthen the biostratigraphy and produce new organic carbon-isotope data. In
222 the Dolomites, the Milieres-Dibona and Heiligkreuz sections encompass the Borca, Dibona and
223 Lagazuoi Members of the Heiligkreuz Fm. (Fig. 2). The Miliers-Dibona sections have been
224 measured and described by Preto and Hinnov (2003), Breda *et al.* (2009), Dal Corso *et al.* (2012),
225 Maron *et al.* (2017). The overlying Travenanzes Fm. has been sampled in the Dibona section. In
226 both the Heiligkreuz and Milieres-Dibona sections the lower part of Borca Member (lowermost
227 Heiligkreuz Fm.), i.e., the portion between the Milieres and the Dibona segments, is missing, as in
228 the rest of the Dolomites, because of the unstable nature of these clayey deposits (e.g., Neri *et al.*,
229 2001). The Miliers-Dibona and Heiligkreuz sections can be physically correlated bed by bed, being
230 part of the same sedimentary succession. In the Julian Alps, the Rio Conzen, Rio delle Cascade,
231 and Portella sections of the Cave del Predil area have been also sampled. These sections were
232 previously studied and described by De Zanche *et al.* (2000) and Roghi (2004). The Rio Conzen
233 and Rio delle Cascade sections are adjacent and can be easily correlated to each other using
234 marker beds. They encompass the Predil Limestone, Rio del Lago Fm., the Conzen Fm., and part
235 of the Tor Fm. The Portella section encompasses the upper Tor Formation, the Portella Limestone
236 and the Carnitza Fm. A part of the Tor Fm., from the top of the Rio Conzen-Rio delle Cascade
237 section to the base of the Portella section, could not be sampled due to the nature of the outcrops.
238 In the Transdanubian Range, cores from the Balaton area and the Zsámbék basins have been
239 sampled. These cores have been previously described and studied for clay mineralogy by Rostási
240 *et al.* (2011). The Met-1 core encompasses the Mencshely, Nosztor Limestone and Csicsó
241 members of the Veszprém Marls of the Balaton area. The Zs-14 core encompasses the uppermost
242 Veszprems Marls, the Mátyáshegy Fm., and the Sándorhegy Fm. of the Zsámbék Basin.

243

244 3.2 New biostratigraphic constraints

245 Three hundred and forty-eight (348) unpublished ammonoid **determinations** from Cave del Predil
246 (formerly **the** “Raibl” area), from museum collections and own new findings, were used to
247 constrain the age of lithostratigraphic units of the Julian Alps. The new material studied for this
248 work was collected from the Predil Limestone on the left side of the Fella Valley near Cave del
249 Predil (formerly “Raibl”), Tarvisio (Julian Alps). Ammonoid specimens are stored at **the**:
250 Geologische Bundesanstalt, Vienna, Austria; Museo Friulano di Storia Naturale, Udine, Italy;
251 Museo Paleontologico Cittadino, Monfalcone, Italy; **and** Museo Geologico Universitario, Padova,
252 Italy. A complete list of these ammonoids with additional information is given in Table 1S
253 (supplementary materials). Only a few specimens were collected in the lower Rio Del Lago
254 Formation. Most of the material occurs as highly compressed specimens, and only rarely are the
255 ventral parts visible. This state of preservation makes the determination at the species level difficult
256 and sometimes impossible.

257 Investigated conodonts were collected in rocks from sections in the Julian Alps, and from
258 the matrix of ammonoid samples. Conodonts were extracted by dissolving 1-8 kg samples of
259 carbonate rock in diluted formic acid at room temperature until the reaction was completed. The
260 residuals were then gently washed and sieved. Conodont elements were picked manually from the
261 fraction > 100 µm.

262 Sporomorphs were extracted from samples at the base of the Rio del Lago Formation also
263 using standard techniques (e.g. Roghi *et al.*, 2004). Samples were powdered and treated with HCl
264 and HF. After washing and sieving (15 µm), the residue was stored in deionized water.

265

266 **3.3 New carbon-isotope analysis of total organic carbon and wood**

267 Three hundred and forty-two (342) rock samples and 20 fossil wood samples were cleaned with
268 deionized water to remove superficial impurities, then oven-dried at 40° and crushed in an agate
269 mortar. The obtained powder was placed in a polypropylene Falcon tube and acid-washed with
270 10% HCl at least overnight, to remove carbonates. Residual powder was then rinsed with
271 deionized water. $\delta^{13}\text{C}_{\text{TOC}}$ and $\delta^{13}\text{C}_{\text{WOOD}}$ analysis of the samples from the Dolomites (Italy) and the
272 Transdanubian Range (Hungary) were carried out at the Department of Geosciences of the

University of Padova, Italy. $\delta^{13}\text{C}_{\text{TOC}}$ analyses of samples from the Julian Alps (Italy) were carried out at the Research Laboratory for Archaeology and the History of Art of the University of Oxford, UK. At the University of Padova, $\delta^{13}\text{C}_{\text{TOC}}$ analyses were performed on a Thermo Scientific Delta V Advantage Isotope Ratio Mass Spectrometer in continuous flow mode, coupled with a Flash 2000 Elemental Analyser and a ConFlo IV interface. Between 1 and 5 mg of sample were placed in a tin capsule and fed to the Elemental Analyser; the resulting CO_2 gas was analysed by the Mass Spectrometer. Blank correction was done on the base of a long-term mean of at least 30 tin cap analyses, and the results were calibrated against reiterated analyses of two international standards (IAEA-CH6, -10.449‰, and IAEA-CH7, -32.151‰). The long-term reproducibility, estimated on analyses of an internal standard (C3 plants sucrose), is better than 0.2‰ (1σ). At the University of Oxford, an aliquot of 10 mg of sample was analysed for $\delta^{13}\text{C}_{\text{TOC}}$ with a Carlo Erba nA 1108 Elemental Analyser combined with a SERCON Geo 20/20 Isotope Ratio Mass Spectrometer running in continuous flow mode with a He carrier gas. The accuracy of isotope analyses ($1\sigma = \pm 0.14\text{‰}$) was calculated using an alanine in-house standard routinely checked against international standards IAEA-CH-6 and IAEA-CH-7 and traceable back to the V-PDB standard.

3.2 TOC and Rock-Eval pyrolysis

We quantified the Total Organic Carbon (TOC) of selected samples ($n = 10$) from Cave del Predil succession, and Rock-Eval pyrolysis was performed to quantify (mg HC/g of rock) the free hydrocarbons (S1) and the hydrocarbons that can be produced by thermal cracking of the kerogen (S2), to obtain information about the kerogen maturity ($T_{\text{max}} - ^\circ\text{C}$) and quality (Hydrogen Index = $\text{S2} \times 100 / \text{TOC}$; mg HC/g TOC). The instrument used was a Rock-Eval 6. The analyses were performed at Eni Upstream and Technical Services (San Donato Milanese, Italy). For TOC (%), 150–200 mg of ground rock samples were treated with HCl (9%) to remove carbonate minerals. The subsequent residue was washed with distilled water and the percentage of TOC in the sample measured using a LECO CS-200 carbon and sulphur analyser, heating the sample at high temperature in an oxygen stream (combustion). For Rock-Eval analysis, about 70–100 mg of ground rock were heated in a nitrogen atmosphere at 300°C for 3 minutes followed by a

301 programmed pyrolysis, increasing the oven temperature at 25°C/min to a final temperature of
302 550°C.

303

304 **4. REVIEW OF THE BIOSTRATIGRAPHIC CONSTRAINTS**

305 A robust stratigraphic correlation between the studied successions is possible using ammonoid,
306 conodont, and sporomorphs biostratigraphy (Fig. 2). [The pre-existing stratigraphy from the](#)
307 [Dolomites \(Italy\) and the Transdanubian Range \(Hungary\) has already been published, to which](#)
308 [the new data collected from the Julian Alps have been added.](#) In this paper we follow a subdivision
309 of the Julian into Julian 1, corresponding to the *Trachyceras* Zone (*Daxatina canadensis*,
310 *Trachyceras aon*, and *Trachyceras aonoides* ammonoid subzones), and Julian 2, corresponding to
311 the *Austrotrachyceras austriacum* ammonoid Zone (Fig. 1C; *sensu* Mietto *et al.*, 2012), and a
312 subdivision of the Tuvallian into Tuvallian 1 (*Tropites dilleri* Zone), Tuvallian 2 (*Tropites subbullatus*
313 Zone), and Tuvallian 3 (*Anatropites spinosus* Zone) (Fig. 1C; *sensu* Jenks *et al.*, 2015). This
314 subdivision is slightly different than that used, for example, by Hornung *et al.*, 2007, where the
315 Carnian is made up of three substages instead of two, and instead follows that already described
316 by Dal Corso *et al.* (2015). In the following paragraphs the biostratigraphy of the studied
317 successions are described in detail.

318

319 **4.1 A revised biostratigraphy for Cave del Predil succession in the Julian Alps**

320 Very few ammonoids were formerly known from the Raibl area in the Julian Alps. Suess (1867)
321 and Stur (1868) collected ammonoids from “Raibl” that were later determined and illustrated by
322 Mojsisovics (1882): *T. aon* from the Predil Limestone and two new species, *T. hacqueti*, and *T.*
323 *roderici*, from a transitional facies between the Predil Limestone and the adjacent carbonate-
324 platform slope. None of these specimens is still present in the museum collections at the
325 Geologische Bundesanstalt, Vienna, Austria (I. Zorn, pers. comm.). However, the *T. aon* specimen
326 was discussed by Ulrichs (1994) and amended as *T. bipunctatum*. The new findings and newly
327 determined specimens from museum collections from the Julian Alps are illustrated in Fig. 3 and
328 include a rich ammonoid association from the Predil Limestone, and two specimens from the basal

329 Rio del Lago Formation. A few findings in the Predil Limestone may indicate the presence of the *T.*
 330 *aon* subzone, based on the occurrence of *T. muensteri* and *Brotheotrachyceras* sp., as well as the
 331 *T. bipunctatum* illustrated by Mojsisovics (1882) and amended by Urlichs (1994). Up to now these
 332 ammonoids have never been found higher than the *T. aon* subzone, but the distribution of
 333 ammonoids between the *T. aon* and *T. aonoides* subzones is still poorly constrained.
 334 Unfortunately, the boundary between these biozones is defined in the Prati di Stuares/Stuares
 335 Wiesen section in the Dolomites, Italy (Urlichs, 1974; 1994) between two horizons with a ca. 50 m
 336 intervening covered interval. It is therefore possible that ammonoids attributed to the uppermost *T.*
 337 *aon* subzone coexist with *T. aonoides* within this covered interval. Below the beds that yielded *T.*
 338 *muensteri* at Cave del Predil, *Sirenites cortinense* occurs, which is only known from the *aonoides*
 339 zone (Leonardi and Polo, 1952; Bizzarini, 2000); the distribution of the genus *Sirenites* is known to
 340 extend into the *A. austriacum* Zone (e.g., Krystyn, 1978; Lukeneder and Lukeneder, 2014). Above
 341 the horizon with *T. muensteri*, the rest of the Predil Limestone can be attributed to the *aonoides*
 342 zone, based on the presence of *Trachyceras pontius*, *T. saulus*, *T. cf. credneri* and *T. cf. velthemii*.
 343 The basal Rio del Lago Formation yielded *Neoprotrachyceras thous* and *Sirenites* sp., an
 344 ammonoid association that is typical of the *austriacum* zone, as illustrated by Krystyn (1978) and
 345 more recently by Lukeneder and Lukeneder (2014). The base of the *A. austriacum* Zone (base of
 346 the Julian 2) is thus close to the lithostratigraphic boundary between the Predil Limestone and the
 347 Rio del Lago Formation in the Cave del Predil (formerly “Raibl”) area of the Julian Alps.

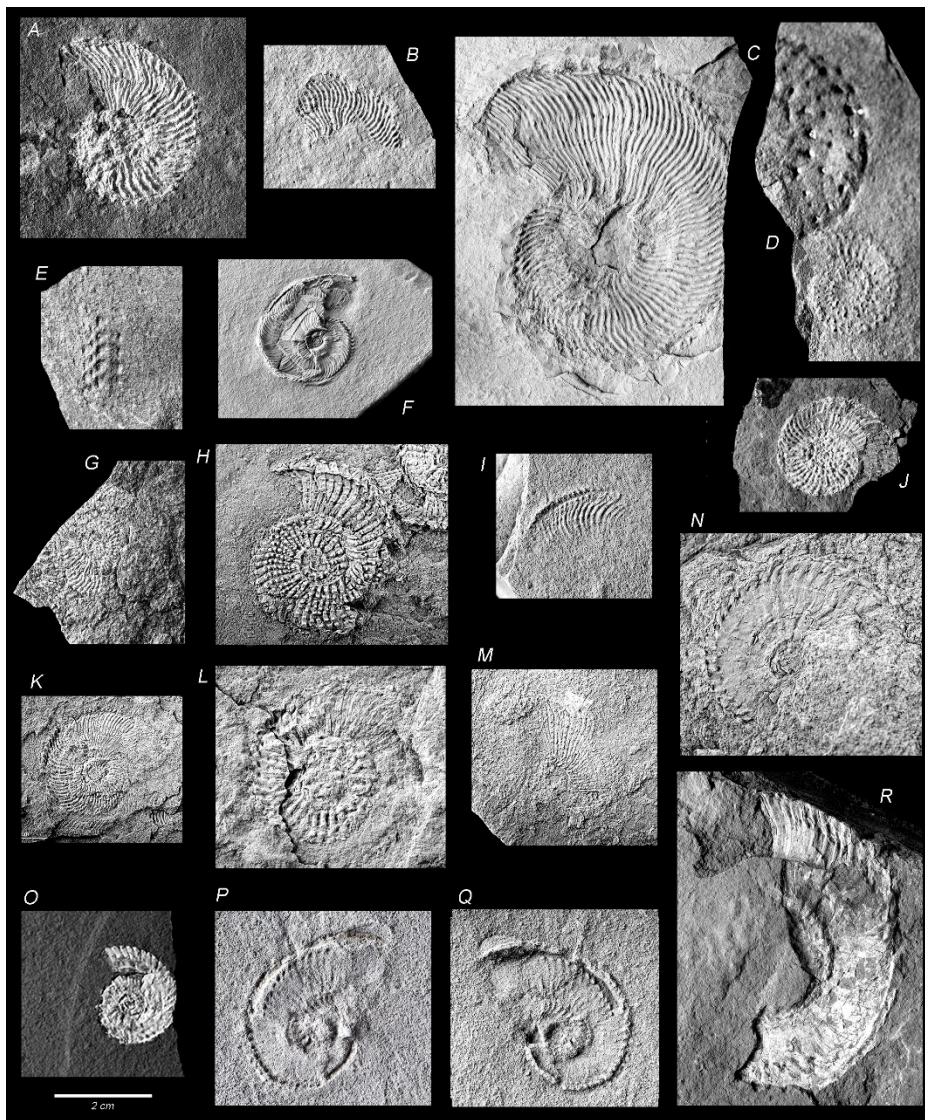
348 In the lower part of the Tor Fm. the ammonoids *Neoprotrachyceras oedipus*, *Joannites* cf.
 349 *styriaca*, and *Proarcestes gaytani* indicate a Julian 2 age (De Zanche *et al.*, 2000; Roghi 2004, and
 350 references therein). The ammonoids found in the Cornitza Fm. (*Tropites subbullatus*, *Projuvites*
 351 sp., *Discotropites plinii*, *Gonojuvavites* sp.) allow dating of the the formation as Tuvallian 2 –
 352 Tuvallian 3 (De Zanche *et al.*, 2000).

353 Preliminary sporomorph biostratigraphic data from the lowermost part of the Rio del Lago
 354 Formation show an assemblage with *Aulisporites astigosus*, *Equisetosporites chinleanus*, and
 355 *Vallasporites ignacii*. The presence of these forms allows placing of the boundary between the
 356 *Concentricisporites bianulatis* and *Aulisporites astigosus* assemblages (*sensu* Roghi *et al.*, 2010)

357 at the base of the Rio del Lago Formation (Fig. 4), which is in agreement with the age given now
358 by the ammonoids. The sporomorph data of the Rio del Lago Formation do not allow the
359 *Aulisporites astigmosus* and *Lagenella martini* assemblages of Roghi *et al.* (2010) to be resolved.
360 In the Carnitza Fm., the *Granuloperculatipollis rudis* assemblage is defined by the appearance of
361 *Infernopollenites sulcatus*, cf. *Brodipora* sp., *Granuloperculatipollis rudis* and *Paracirculina*
362 *quadruplicis*. The age of this assemblage is calibrated by ammonoid biostratigraphy to the Tuvanian
363 2 – Tuvanian 3, i.e. *Tropites subbullatus* – *Anatropites spinosus* Zones (Roghi 2004).

364 A monospecific fauna with the conodont species *Nicoraella? budaensis* was extracted from
365 the same samples that yielded the ammonoid species *Trachyceras pontius* (sample RF 205 44),
366 and *Trachyceras* cf. *humboldti* (sample RF 205 45) collected from the Predil Limestone (Fig. 5),
367 which are exclusive to the *T. aonoides* ammonoid subzone. The conodont *Nicoraella? budaensis*
368 was also collected from the Conzen and Tor Formations up to horizons close to the boundary with
369 the Portella Dolomite, along with *Nicoraella?* sp. A and *N.?* sp. B described by Kolar-Jurkovšek *et*
370 *al.* (2005) from the Raibl Beds of the Košuta Nappe in the western Karavanke Mts. (Slovenia) (Fig.
371 5). The genus *Nicoraella?* is a cavitate conodont mostly occurring in [restricted-basin](#) facies, as
372 [both](#) monospecific fauna and in mass occurrences, even though it has been found also in the
373 pelagic facies of Cozzo Papparino (Sicily), associated with *Gladigondolella* (Kozur and Mock, 1991).
374 Similarly to other Upper Triassic cavitate conodonts (e.g., *Misikella* spp.), [the](#) genus *Nicoraella?* is
375 [found here in sediments deposited during an](#) interval of [climatic](#) and environmental change, which
376 is associated with increasing temperatures (Trotter *et al.*, 2015). In particular, a monospecific fauna
377 of *Nicoraella? budaensis* was collected in the second of the three clastic horizons (mainly shale
378 and marlstone; “Raibl beds”) of the Mežica area, Karavanke Mts. (Slovenia) (Kolar-Jurkovšek and
379 Jurkovšek, 2010), which [were](#) deposited during the CPE, and correlates with the Tor Formation in
380 the Julian Alps (Roghi *et al.*, 2010). A revision of the conodonts illustrated in Lieberman (1978)
381 improved the dating of the Carnitza Formation. The species illustrated in Fig. 1a, b of Lieberman
382 (1978) is clearly the long-ranged taxon *Paragondolella polygnathiformis*, which [had](#) its first
383 [appearance](#) at the base of the Julian and [disappeared](#) in the mid-Tuvanian. The other specimens
384 illustrated in Fig. 2 a, b of Lieberman (1978) are re-determined here as *Carnepigondolella zoeae*, a

385 typical Tuvalian species. The stratigraphic ranges of these two species have only a short interval of
386 overlap in the lower–middle Tuvalian (Rigo *et al.*, 2018). Therefore, the fossil fauna and microflora
387 found in the studied succession of the Julian Alps allow definition of the biozones as [illustrated](#) in
388 Fig. 2.
389



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 393 **Figure 3.** Ammonoids from the Cave del Predil succession (Julian Alps, Italy). A) *Brotheotrachyceras* sp.
 394 Sample PRAS 1.12; Rio Prasnig section, *T. aon-aonoides* subzones. B) *Trachyceras muensteri* Wissmann
 395 1841. Sample CAPR 15.1; Cave del Predil section, *aon-aonoides* subzones. C) *Trachyceras bipunctatum*
 396 Münster 1841. Sample GBA 2017/036/0001; Raibl; *aon-aonoides* subzones. D) *Sirenotrachyceras* cf.
 397 *furcatum* Münster 1841. Sample PSG 1A.3; Rio Prasnig section; *aon-aonoides* subzones. E)
 398 *Sirenotrachyceras* cf. *furcatum* Münster 1841. Sample PSG 8B.1 (ventral view); Rio Prasnig section; *aon-*
 399 *aonoides* subzones. F) “*Paratrachyceras*” *jaegeri* Klipstein 1843. Sample MFSN 44151; Rio Klinken; *aon-*
 400 *aonoides* subzones. G) *Trachyceras* cf. *credneri* Klipstein 1843. Sample RF 204.1; Rio Prasnig section;
 401 *aonoides* Subzone. H) *Trachyceras humboldti* Klipstein 1843. Sample RF 205.42a; Rio Prasnig section;
 402 *aonoides* Subzone. I) *Trachyceras humboldti* Klipstein, 1843. Sample PRAS 1.8; Rio Prasnig section;
 403 *aonoides* Subzone. J) *Trachyceras* cf. *medusae* Mojsisovics in Wöhrmann 1889. Sample MFSN44161.5; Rio
 404 Prasnig; *aonoides* subzone. K) *Trachyceras pontius* Laube 1869. Sample RF 206.1b; Rio Prasnig section;
 405 *aonoides* Subzone. L) *Trachyceras saulus* Laube 1869. Sample RF 206.1a; Rio Prasnig section; *aonoides*
 406 subzone. M) *Trachyceras* cf. *velthemii* Klipstein 1843. Sample RF 204.4; Rio Prasnig section; *aonoides*
 407 subzone. N) “*Diplosirenites*” *hacqueti* Mojsisovics 1882. Sample RPG 2.3b; Rio Prasnig section; *aonoides*
 408 subzone. O) “*Diplosirenites*” *hacqueti* (Mojsisovics, 1882). Sample MFSN44155.2; Rio Prasnig section;
 409 *aonoides* Subzone. P) *Sirenites cortinense* Leonardi & Polo, 1952. Sample CAPR 16.2a (negative); Cave del
 410 Predil section; *aonoides* Subzone. Q) *Sirenites cortinense* Leonardi & Polo 1952. Sample CAPR 16.2b
 411 (positive); Cave del Predil section; *aonoides* Subzone. R) *Neoprotrachyceras thous* (Dittmar, 1866). Sample
 412 MPCM 7773; Rio Prasnig section; *A. austriacum* Zone.

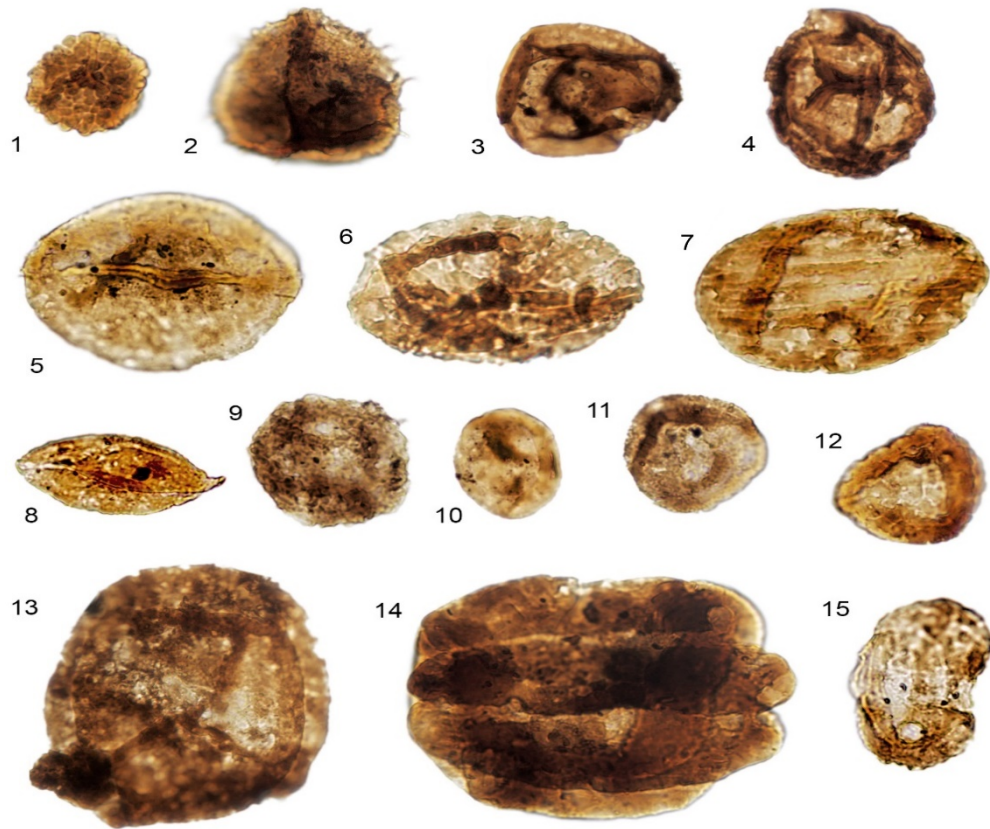


Figure 4. Sporomorphs from the Rio del Lago Formation (Cave del Predil, Julian Alps, Italy). 1) *Uvaesporites* sp., diameter 29 μm ; RCB3 II, L32; 2) *Kraeuselisporites* sp., diameter 48 μm ; RCB II, Q37. 3) *Aulisporites astigosus*, diameter 56 μm , RCB3 II, M23/2. 4) *Aulisporites astigosus*, diameter 51 μm , RCB3 I, E31. 5) *Aratrisporites scabratus*, wide 67 μm , RCB3 I, J41. 6) *Equisetosporites chinleanus*, height 73.6 μm , width 44.8 μm , RCB2 I, E25/4. 7) *Equisetosporites chinleanus*, height 70 μm , width 50 μm , RCB2 I, G54. 8) *Cycadophites* sp., high 52 μm , RCB3 II, E25. 9) *Vallasporites ignacii*, diameter 40 μm , RCB3 II, o19. 10) *Paracirculina maljawkinae*, diameter 32 μm , RCB3 II, E30/4. 11) *Duplicisporites granulatus*, diameter 35 μm , RCB3 II, Q29/3. 12) *Duplicisporites verrucatus*, diameter 35 μm , RCB3 II, T30/3. 13) *Concentricisporites* sp., diameter 56 μm , RCB3 I, K36/2. 14) *Infernopollenites sulcatus*, width 96 μm , RCB3 I, E33/3. 15) *Luekysporites* sp., width 33.6 μm , RCB3 II, G20/4.

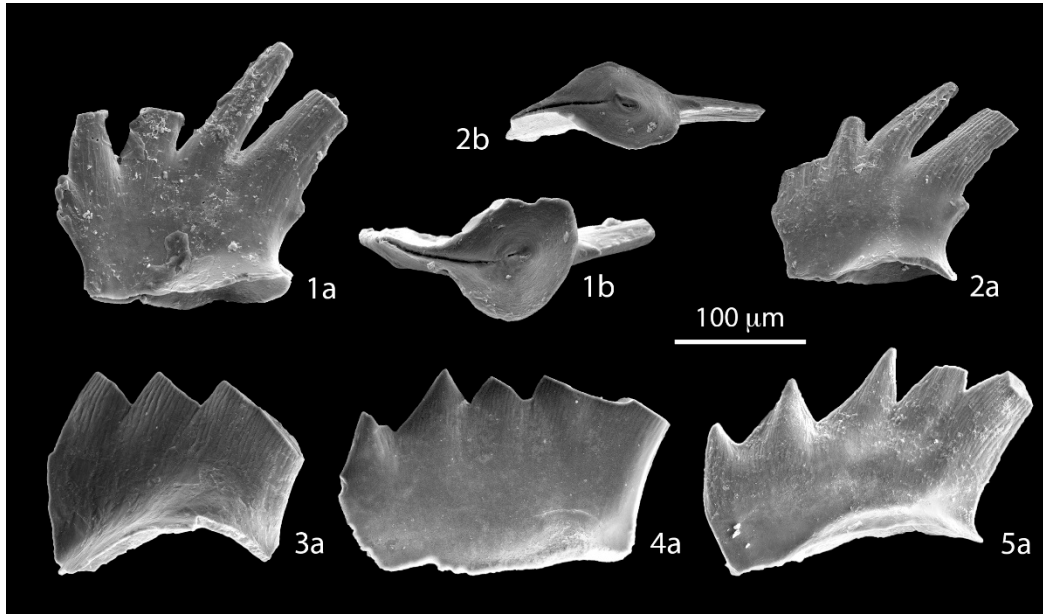


Figure 5. Scanning Electron Microscope photomicrographs of conodont specimens. 1) *Nicoraella?* *budaensis*, sample RF 205 44; 2) *Nicoraella?* *budaensis*, sample RF 205 45; 3) *Nicoraella n. sp. A* sensu Kolar-Jurkovšek *et al.* (2005), sample PORC 4bis; 4,5) *Nicoraella sp. B* sensu Kolar-Jurkovšek *et al.* (2005), sample PORC 12. For each specimen: (a) lateral view, (b) lower view. Scale bar 100 μ m. Colour Alteration Index = 1.5.

4.2 Dolomites: Overview of the published data

The biostratigraphy of the Dolomites has been summarized in Breda *et al.* (2009) and Dal Corso *et al.* (2015). At Milieres (Fig. 6), within the lowermost Heligkreuz Fm., it is possible to define the Julian 1–Julian 2 boundary by the contact between the *Concentricisporites bianulatus* and *Aulisporites astigmosus* sporomorph assemblages. The *Aulisporites astigmosus* assemblage is characterized by the co-occurrence of *Aulisporites astigmosus*, *Aratrisporites* spp., *Calamospora lunzensis*, *Kraeuselisporites cooksonae*, *Distalanulasporites punctus*, *Leschikisporis aduncus*, *Tigrisporites halleinis*, *Duplexisporites* sp. 1 (Roghi *et al.*, 2010). Bizzarini and Braga (1987) found ammonoids of the *Austrotrachyceras* zone in the uppermost part of the Milieres section, which are associated with the sporomorphs of the *Aulisporites astigmosus* assemblage (Roghi *et al.*, 2010; Fig. 2). Julian conodonts (*Paragondolella auriformis*, *Paragondolella polygnathiformis*, *Gladigondolella tethydis*, *Gladigondolella m. malayensis*) are present in the lowermost Heligkreuz Fm. (Mastandrea, 1994). Hence, on the basis of ammonoid, conodont and sporomorph biostratigraphy, the Julian 1–Julian 2 boundary can be placed as in Fig. 2 and Fig. 6. In the Borca Mb. of the Heiligkreuz Fm. (Fig. 2; Dibona section) the ammonoids *Austrotrachyceras* sp. and *Sirenites senticosus* have been found and give a Julian 2 age (Breda *et al.*, 2009). In the Dibona Mb. of the Heiligkreuz Fm., the *Lagenella martini* sporomorph assemblage is present (e.g., spores as *Baculatisporites comaumensis*, *Combaculatisporites mesozoicus*, *Leschikisporites aduncus*, *Raistrickia alpina*, and pollen such as *Lagenella martinii*, *Equisetosporites chinleanus*, *Araucariacites australis* and *Araucariacites* cf. *A. fissus*) (Fig. 2; Roghi *et al.*, 2010). The *Lagenella martini* assemblage is calibrated with ammonoids and conodonts in the Dolomites and in the Northern Calcareous Alps (Raibler Schichten; Austria): its range goes from the Julian 2 to the base of the Tuvanian 2 (Roghi *et al.*, 2010; Maron *et al.*, 2017). In the succession of the Dolomites, the *Lagenella martini* assemblage is indeed found up to the Lagazuoi Mb. of the Heiligkreuz Fm. (Fig. 2) together with the ammonoids *Shastites* cf. *pilari* and cf. *Jovites* sp., and with the conodonts *P. noah* and *Metapolygnathus praecommunisti*, which give a Tuvanian 1 age (Breda *et al.*, 2009; Maron *et al.*, 2017). The Julian–Tuvanian boundary in the Dolomites is placed in the uppermost Dibona Mb. of the Heiligkreuz Fm., where Tuvanian ammonoids occur. The Travenanzes Fm. is

471 dated to the Tuvallian 2 by the presence of a *Granuloperculatipollis rudis* sporomorph assemblage,
472 which in the Julian Alps is calibrated to the Tuvallian 2–Tuvallian 3 by ammonoid biostratigraphy
473 (see section 4.1 and Roghi 2004).

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475 4.3 Transdanubian Range: Overview of the published data

476 Diagnostic ammonoids and conodonts, although rare, have been found in the Füred Limestone.
477 *Frankites* sp. and *Trachyceras aon* are present and give a Julian 1 age (*Trachyceras* ammonoid
478 Zone, Dosztály *et al.*, 1989; Kovács *et al.*, 1991; Budai *et al.*, 1999; Fig. 2). An early Julian age is
479 also confirmed by the presence of the conodonts *Gladigondolella tethydis*, *Paragondolella foliata*,
480 *Paragondolella foliata inclinata* (Fig. 2). In the uppermost part of the Füred Limestone the
481 ammonoids *Neoprotrachyceras* spp. and *Sirenites* sp. have been found, and give a Julian 2 age
482 (cf. Krystyn, 1983; Lukeneder and Lukeneder, 2013). The Julian 1–Julian 2 boundary is thus
483 placed in the uppermost Füred Limestone (Fig. 2). In the Veszprém Marls, a rich ammonoid fauna
484 with *Austrotrachyceras austriacum* is present in the Nosztor Limestone Member (also known as the
485 *Austriacum* beds; Fig. 2). *Neoprotrachyceras baconicum* has been found in the Csicsó Member of
486 the Veszprém Marls (Budai *et al.*, 1999; Dal Corso *et al.*, 2015). Therefore, the Veszprém Marls
487 can be dated to Julian 2 (Fig. 2). This age-attribution is also confirmed by the presence of
488 sporomorphs of the *Aulisporites astigmaticus* assemblage (*sensu* Roghi *et al.*, 2010) throughout the
489 Veszprém Marls (Góczán *et al.*, 1991) that, as in the Dolomites and the Julian Alps, are found in
490 association with ammonoids of the Julian 2 (see above and Roghi *et al.*, 2010). In the shallow-
491 water Sándorhegy Formation, ammonoids have not been found, but sporomorphs biostratigraphy
492 allows the Julian–Tuvallian boundary to be placed in the lowermost part of the formation, where
493 Tuvallian pollen and spores appear (Góczán and Oravecz-Scheffer 1996; Budai *et al.*, 1999; Fig.,
494 2).

495

496 4.4 Biostratigraphic correlation of the studied sedimentary successions

497 On the basis of the new and published biostratigraphic data, a solid correlation between the
498 successions of the Dolomites, Julian Alps, and Transdanubian Range can be traced at ammonoid-

zone resolution (Fig. 2 and Fig. 6). The upper San Cassiano Fm. can be correlated to the Predil Limestone of the Julian Alps and the Füred Limestone of the Transdanubian Range, which both have a Julian 1 age. The lower part of the Heiligkreuz Fm. of the Dolomites (Borca Member and most of the Dibona Member as in Fig. 6) has a Julian 2 age and can be correlated with the uppermost Predil Limestone, the Rio del Lago Formation, the Conzen Fm., and part of the Tor Fm. of the Julian Alps, and to the uppermost Füred Limestone, and the Veszprém Marls of the Transdanubian Range. The Julian–Tuvalian boundary can be placed in the Dolomites in the uppermost Dibona Member of the Heiligkreuz Fm., and in the Transdanubian Range in the lowermost Sándorhegy Fm., which can be therefore correlated with each other. In the Julian Alps, the precise placement of the Julian–Tuvalian boundary is less easy, given the absence of diagnostic taxa belonging to the Tuvalian 1 (*Tropites dilleri* ammonoid Zone). However, ammonoids of the lowermost Carnitza Fm. are Tuvalian 2 in age (*Tropites subbullatus* ammonoid Zone; Fig. 2). Hence, the Julian–Tuvalian boundary must be placed between the uppermost Tor Fm. and the Portella Limestone, which gives the correlation with the Dolomites and the Transdanubian Range as shown in figure 2.

514

515 5. NEW CARBON-ISOTOPE AND ROCK-EVAL DATA

All $\delta^{13}\text{C}$ data of total organic matter and wood are given in Fig.6 and Supplementary Tables. $\delta^{13}\text{C}_{\text{TOC}}$ data from the Julian Alps show, at the base of the Rio del Lago Formation, a negative excursion of approximately 3‰ (NCIE-1). This excursion occurs at the Julian 1–Julian 2 boundary and, on the basis of the biostratigraphic constraints, can be robustly correlated to the shift already found in the Dolomites and Hungary (Fig. 6), Austria, Barents Sea and China at the onset of the CPE (Dal Corso *et al.*, 2015; Mueller *et al.*, 2016a, 2016b; Sun *et al.*, 2016).

In the Balaton Highland (Hungary) and in the Julian Alps (Italy), the $\delta^{13}\text{C}_{\text{TOC}}$ records show a second 3–6‰ negative carbon-isotope excursion within the Julian 2 interval (NCIE-2). This NCIE-2 occurs in the Mencshely Mb. of the Veszprems Marls in the Met-1 core of the Balaton Highland in Hungary, and in the Conzen Formation in the Cave del Predil succession of Julian Alps in Italy (Fig. 6). Rock-Eval pyrolysis analysis shows that the organic matter is Type-III (terrestrial)

527 throughout the NCIE-2 in the Julian Alps and is immature with respect to oil generation (Fig. 7).
528 The biostratigraphy of these units strongly supports the correlation of the NCIE-2 between the
529 Transdanubian Range and the Julian Alps (Fig. 6). Similarly, at the base of the Heiligkreuz section
530 (metres 0–5, Borca Mb.; Fig. 6) in the Dolomites (Italy), a positive shift of about 4‰ of Julian 2 age
531 is correlated to the positive rebound of the NCIE-2 recorded in the Balaton Highland and in the
532 Julian Alps.

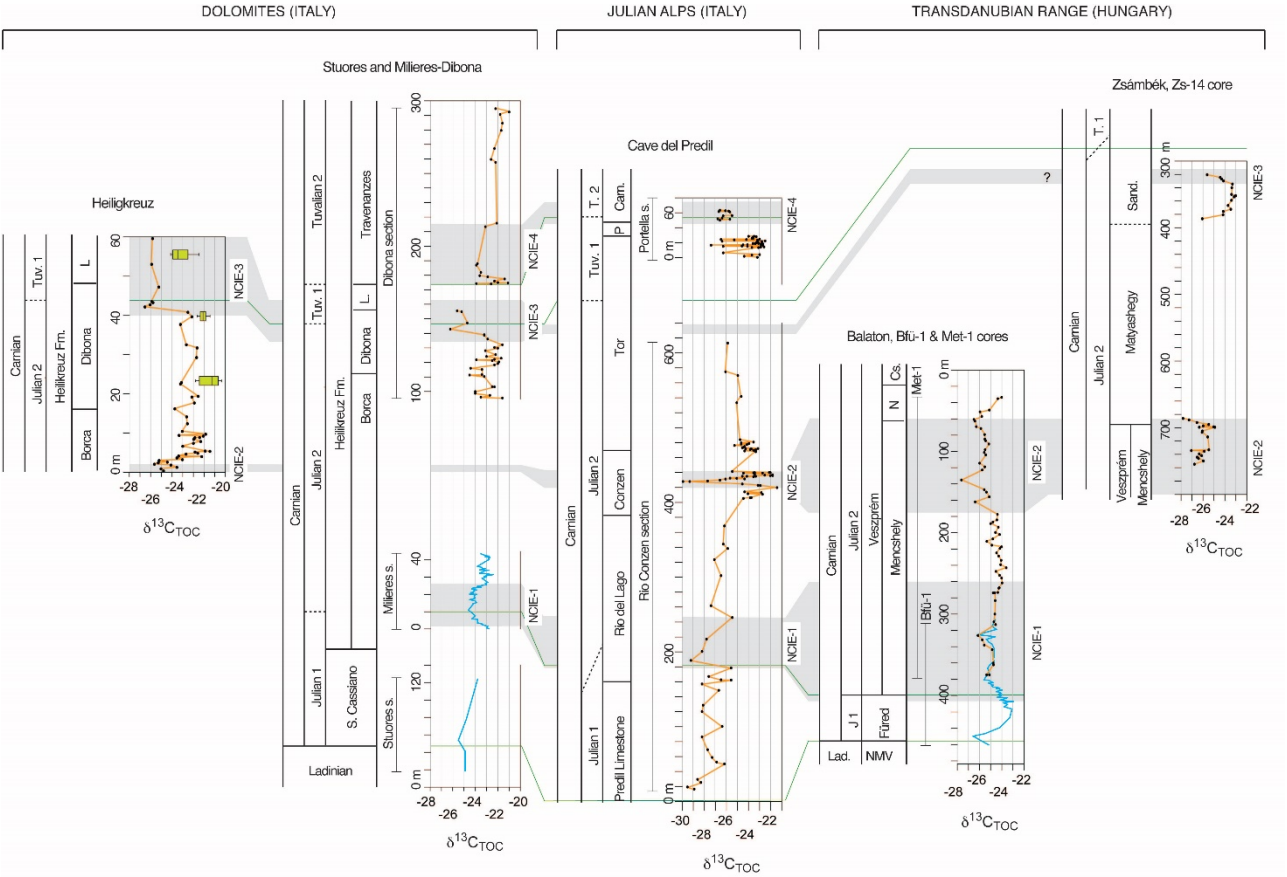
533 A third negative $\delta^{13}\text{C}_{\text{TOC}}$ shift of approximately 4‰ (NCIE-3) occurs at the Julian–Tuvalian
534 boundary in the Dolomites (at metre 42.2 in the Heiligkreuz section and at metre 142.9 in the
535 Dibona section) and in the Zsámbék Basin (metre 340 of the Zs-14 core; Fig. 6). In the Dolomites
536 (Heiligkreuz section), wood collected from a level within the NCIE-3 has $\delta^{13}\text{C}_{\text{WOOD}}$ values lowered
537 by 2‰ with respect to wood collected from two levels below the NCIE-3 (Fig. 6). In the Dibona
538 section (Dolomites), the NCIE-3 rebounds to pre-excursion $\delta^{13}\text{C}_{\text{TOC}}$ values approximately at metre
539 175 at the base of the Travenanzes Formation (Fig. 6).

540 A fourth negative shift (NCIE-4) is recorded by bulk organic matter at the base of the
541 Travenanzes Fm., i.e., at the base of Tuvalian 2 approximately at metre 180 of the Dibona section
542 (Fig. 6). The NCIE-4 rebounds to pre-excursion values at approximately metre 220, to remain
543 relatively stable through the Travenanzes Fm. (Fig. 6). Similarly, in the Julian Alps, the carbon-
544 isotope values of bulk organic matter at the base of the Carnitza Fm. (base of the Tuvalian 2;
545 approx. metre 60 at Portella section; Fig. 6) are on average 1.5–2‰ lower than those at the top of
546 the Tor Fm. (metre 0–40 of the Portella section; Fig. 6).

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Figure 6. Total organic carbon-isotope ($\delta^{13}\text{C}_{\text{TOC}}$) records across the Carnian Pluvial Episode (Julian–Tuvalian) in Italy and Hungary. The black dots and orange lines are the organic carbon-isotope curves from the Dolomites (Dolomites succession), the Julian Alps (Cave del Predil succession) and the Transdanubian Range (Balaton and Zsámbék successions) produced in this study. Wood carbon-isotope data from the Heiligkreuz are represented as green box-and-whiskers plots. Light blue lines are published organic carbon-isotope curves from the Dolomites in Italy, and the Transdanubian Range in Hungary (Dal Corso *et al.*, 2012, 2015). The correlation (green lines) is based on biostratigraphic framework (ammonoid, conodont, and sporomorph data) given in detail in Fig. 2. The grey bars correlate the four negative carbon-isotope excursions (NCIEs) detected in this study. Raw carbon-isotope data are given in the Supplementary Tables.

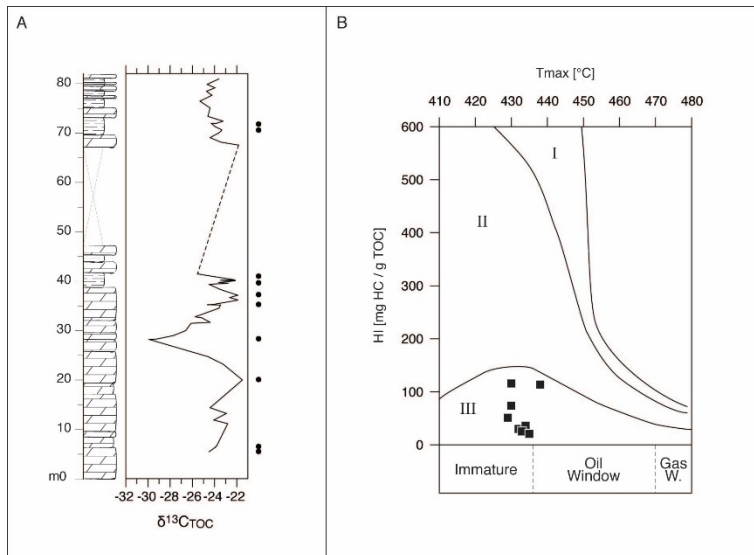


Figure 7. Rock-Eval pyrolysis data, Rio delle Cascate segment of the Cave del Predil succession. A) Position of the samples analysed with Rock-Eval pyrolysis against the carbon-isotope data. Samples were selected to encompass the NCIE-2 at Cave del Predil (see main text). B) Hydrogen Index (HI) vs Tmax. The organic matter of the analysed samples is Type III, and immature with respect to oil generation. The well-preserved palynological associations found in the same stratigraphic section (Roghi 2004) support the Rock-Eval results, since the terrestrial elements (pollen and spores) are much more abundant than the marine elements (acritarchs and algae).

586 6. DISCUSSION

587 6.1. Multiple carbon-isotope excursions punctuated the CPE

588 Miller *et al.* (2017) showed that not just one, but multiple CIEs are associated with the
589 environmental perturbations that define the CPE in Europe. The Wiscombe Park Borehole studied
590 by Miller *et al.* (2017) was drilled through a continental succession and its age can be defined by
591 sporomorphs biostratigraphy. Sun *et al.* (2016) found one single, broad negative CIE in bulk
592 organic matter during the CPE in a marine succession in South China, but the carbonate carbon-
593 isotope record seems to record three negative CIE within the same interval. The age of the
594 Chinese succession is constrained by conodont biostratigraphy and one ammonoid occurrence
595 (Sun *et al.*, 2016). The new data from sections of the North-Western Tethyan realm show three,
596 possibly four, sharp negative carbon-isotope excursions punctuating the CPE (Fig. 6) that can be
597 dated biostratigraphically with ammonoids, conodonts and sporomorphs.

598 The NCIE-1 at the Julian 1–Julian 2 boundary (Fig. 6) has already been identified in
599 different geological settings and in a variety of organic substrates as in $\delta^{13}\text{C}$ records of marine and
600 terrestrial biomarkers (Dal Corso *et al.*, 2012), $\delta^{13}\text{C}$ records of total organic carbon (Dal Corso *et*
601 *al.*, 2012, 2015; Mueller *et al.*, 2016a, 2016b; Sun *et al.*, 2016), and in $\delta^{13}\text{C}$ records of carbonate
602 carbon (Muttoni *et al.*, 2014; Sun *et al.*, 2016). These shifts indicate an injection of ^{13}C -depleted
603 carbon into the ocean–atmosphere system at the onset of the CPE. New data show that the NCIE-
604 1 occurs also at the base of the Rio del Lago Formation of the Cave del Predil succession in the
605 Julian Alps, where the Julian 1–Julian 2 boundary is placed according to ammonoid
606 biostratigraphy. This new record shows that the NCIE-1 followed a long-term global positive $\delta^{13}\text{C}$
607 shift, as registered by both organic matter and brachiopod calcite in various geological settings
608 (Korte *et al.*, 2005; Dal Corso *et al.*, 2011, 2012, 2015). This long-term rise in $\delta^{13}\text{C}$ has been
609 explained as due to increased sequestration of ^{12}C linked to the spread of coal swamps, the
610 remains of which were lost in the geological record after the Permian/Triassic mass extinction
611 (Korte *et al.*, 2005).

612 At least three additional major negative $\delta^{13}\text{C}$ shifts followed the initial NCIE-1 of the CPE
613 (Fig. 6). A 3–6‰ negative shift (NCIE-2) is recorded in the Hungarian series (Met-1 core), in the

614 Julian Alps (Cave del Predil), and partially in the Dolomites (Heiligkreuz section). It occurs in the *A.*
 615 *austriacum* ammonoid Zone, i.e., the Julian 2 biochronozone (Fig. 6). Rock-Eval pyrolysis shows
 616 that organic matter is immature with respect to oil generation across the NCIE-2 in the Julian Alps
 617 (Fig. 7), and the low colour alteration index of conodont apatite between 1 and 1.5, and clay
 618 [mineralogy](#), suggest low burial temperatures in the successions of the Dolomites, Julian Alps and
 619 Hungary (this study and Rostási *et al.*, 2011). Laboratory studies have shown that thermal
 620 maturation produces either no change in the pristine $\delta^{13}\text{C}$ value of organic matter or small levels of
 621 ^{13}C -enrichment (Lewan, 1983; Galimov, 2006). Major changes occur only during late diagenetic
 622 and metamorphic stages. For example, ^{13}C -enrichment of 1–2‰, caused by a more rapid breaking
 623 of ^{12}C - ^{12}C with respect to others C-C bonds, was observed in shales and coals at the contact with
 624 magmatic intrusions (McKirdy and Powell, 1974; Simoneit *et al.*, 1978; Saxby and Stephenson,
 625 1987). Hence, thermal maturation could not have been responsible for the observed changes in
 626 $\delta^{13}\text{C}$ of the bulk organic matter. Rock-Eval pyrolysis shows also that the analysed organic matter is
 627 type III in the Julian Alps, i.e., mainly terrestrial in origin, across the entire NCIE-2 (Fig. 7), this
 628 being confirmed also by the rich and [well-preserved](#) palynological associations found in the same
 629 stratigraphic interval, where pollen and spores (terrestrial) are the most abundant elements while
 630 acritarchs and algae (marine) are very rare (Roghi 2004). Therefore, changes in the source of the
 631 organic matter (marine vs terrestrial) cannot account for the recorded $\delta^{13}\text{C}$ excursion (see also
 632 6.2). The synchronous occurrence of the NCIE-2 in different geological settings suggests it
 633 represents a genuine change in the global carbon-isotope composition of organic matter. We thus
 634 propose that the NCIE-2 represents a shift in the isotopic composition of the ocean–atmosphere
 635 system within the Julian 2 [interval](#) (*A. austriacum* ammonoid Zone).

636 In the Dolomites (upper part of the Heiligkreuz Fm. of the Dibona and Heiligkreuz sections),
 637 the organic matter records a 4‰ $\delta^{13}\text{C}_{\text{TOC}}$ negative shift at the Julian–Tuvanian boundary. This shift
 638 is labelled as NCIE-3 in Fig. 6. This shift is recorded clearly by bulk organic carbon, but it also
 639 occurs in wood; the $\delta^{13}\text{C}_{\text{WOOD}}$ from the Heiligkreuz section shows shifts of about -2‰ in
 640 correspondence with the NCIE-3 (Fig. 6). The onset of the NCIE-3 is also visible in Hungary at the
 641 very top of the studied core (Zs-14), within the Sandorhegy Fm. (Fig. 6). In the Dolomites (Milières-

642 Dibona section), the colour alteration index of conodont apatite is very low (1; Preto *et al.*, 2015),
643 and previous biomarker analysis of organic matter showed the succession experienced negligible
644 thermal maturation (Dal Corso *et al.*, 2012). For the reasons outlined above, diagenesis cannot be
645 considered the cause of the observed negative shift in the $\delta^{13}\text{C}_{\text{TOC}}$. Moreover, the fact that wood
646 recorded a similar shift strongly suggests a negative excursion in the carbon-isotope composition
647 of the atmosphere. This supposition is supported by the fact that changes in the carbon-isotope
648 composition of wood are similar to those in marine carbonates and organic matter, and therefore
649 represent a good proxy for the carbon-isotope composition of the palaeoatmosphere (e.g. Gröcke
650 *et al.*, 1999; Hesselbo *et al.*, 2007; Dal Corso *et al.*, 2011). Thus, the concurrent shifts in [the](#)
651 [geochemical signatures](#) of total organic carbon and wood strongly suggests that NCIE-3
652 represents a change in the carbon-isotope composition of the Carnian ocean–atmosphere system
653 towards more ^{13}C -depleted values.

654 A possible 1.5–2‰ NCIE-4 could be present at the base of the Travenanzes Fm. (base of
655 the Tuvalian 2) in the Dibona section (Dolomites, Fig. 5). Unfortunately, no wood has been found in
656 this portion of the Dibona section to support the total organic carbon-isotope data. The NCIE-4
657 recorded at Dibona can be stratigraphically correlated to a similar NCIE recorded in the Portella
658 section of the Julian Alps (Fig. 2 and Fig. 5), a correlation supported by sporomorph and
659 ammonoid biostratigraphy (De Zanche *et al.*, 2000; Roghi 2004; Preto *et al.*, 2005; Roghi *et al.*,
660 2010). More data are needed to confirm the global nature of the NCIE-4.

661

662 **6.2 Discrepancies in the magnitudes of the negative $\delta^{13}\text{C}_{\text{TOC}}$ excursions**

663 The new and published carbon-isotope curves across [the sediments of](#) the CPE show that each of
664 the NCIEs may have different magnitudes in different geological settings (Fig. 6). Dal Corso *et al.*
665 (2015) already pointed out this discrepancy by comparing the magnitudes of the NCIE-1 recorded
666 by TOC in the Dolomites (Italy), Northern Calcareous Alps (Austria), and Transdanubian Range
667 (Hungary), which range from 4‰ to 1.5‰ in total organic matter (Dal Corso *et al.*, 2012; 2015;
668 Mueller *et al.*, 2016a, 2016b; Miller *et al.*, 2017; this study), while the magnitude of the first shift is
669 more consistently around 3–4‰ when measured on leaf waxes *n*-alkanes (Dal Corso *et al.*, 2012;

670 Miller *et al.*, 2017). Such a pattern suggests that for the NCIE-1 the differences could be linked to
671 local changes in the source of the organic carbon, i.e. shifts in the proportion of marine vs
672 terrestrial organic matter in the marine sediments and/or changes in the marine and terrestrial
673 community structure (Dal Corso *et al.*, 2015). The same factors could apply equally for the other
674 NCIEs that mark the entire CPE (Fig. 6).

675 The $\delta^{13}\text{C}$ signature of terrestrial and marine organic carbon depends on a number of factors
676 such as the source when fixed during photosynthesis by primary producers, the photosynthetic
677 pathway used to fix the carbon, and the environmental conditions (e.g. Diefendorf *et al.*, 2010; Dal
678 Corso *et al.*, 2017). These issues explain why organic substrates have large carbon-isotope
679 variability, as shown also for Carnian plant remains (Dal Corso *et al.*, 2011). Today, the $\delta^{13}\text{C}$ of
680 terrestrial plants is on average more ^{13}C -depleted than that of marine algae but there is evidence
681 that before the Cretaceous marine organic carbon, in many instances, was more ^{13}C -depleted than
682 terrestrial carbon (Arthur *et al.*, 1985). This difference is also evident from our data: wood in the
683 shallow-marine Heiligkreuz Fm. shows on average more positive $\delta^{13}\text{C}$ values than TOC, which
684 likely has a marine organic-carbon component (Fig. 2). Therefore, an increase of terrestrial carbon
685 into the Triassic marine basins could have resulted in a shift of the $\delta^{13}\text{C}_{\text{TOC}}$ toward more positive
686 values. If this input of terrestrial organic carbon was coincident with a NCIE, the effect would have
687 been an apparent attenuation of the NCIE itself, i.e., the magnitude of the NCIE recorded in
688 marginal marine sediments would have been less than the shift in the atmosphere and in the
689 oceans. One of the most striking characteristics of the CPE in the studied successions is the arrival
690 into the basins of huge amounts of siliciclastic sediment. Since the NCIEs described in this work
691 are associated to such inputs of siliciclastic sediments from land (see section 6.5), this effect could
692 explain the differences observed in their magnitudes, depending on the distance from the coast
693 and on the catchment area (Dal Corso *et al.*, 2015).

694 Other possible causes that could have contributed in modulating the $\delta^{13}\text{C}_{\text{TOC}}$ during the
695 CPE are changes in the structure of the terrestrial and marine communities, and/or modifications in
696 carbon-isotope fractionation during photosynthesis linked to variable environmental conditions. For
697 example, it has been shown that the NCIEs at the Triassic–Jurassic boundary are correlated to the

698 relative abundance of different [sporomorph taxa](#), which likely had different $\delta^{13}\text{C}$ signatures (Van de
699 Schootbrugge *et al.*, 2008). In higher plants, carbon-isotope fractionation increases with increasing
700 mean annual precipitation and increasing $p\text{CO}_2$ (Diefendorf *et al.*, 2010; Schubert and Jahren,
701 2012; Kohn, 2016). The magnitude of the NCIE-2 recorded in the Julian Alps (approx. 6‰; Fig. 6),
702 for example, could have been amplified due to increasing higher-plant carbon-isotope fractionation,
703 given that the organic carbon is mainly terrestrial in origin (Fig. 7) and was produced under the
704 more humid climate conditions of the CPE. On the contrary, the NCIE-1 has a similar magnitude in
705 both terrestrial and marine biomarkers, thus ruling out the possibility that a change in the terrestrial
706 plant isotopic fractionation influenced the magnitude of the shift recorded by $\delta^{13}\text{C}_{\text{TOC}}$ (Dal Corso *et al.*
707 *et al.*, 2012; Dal Corso *et al.*, 2015).

708 The $\delta^{13}\text{C}$ of organic matter is controlled by many physiological and environmental factors,
709 which can [only be constrained](#) with difficulty, especially in [deep time](#) (e.g., Dal Corso *et al.*, 2017,
710 and references therein). This fact leaves a certain degree of uncertainty in the interpretation of the
711 carbon-isotope shifts recorded by the bulk organic matter. This [complication](#) is for example
712 particularly relevant when mass-balance calculations are attempted on the basis of the magnitude
713 of the $\delta^{13}\text{C}$ shifts in order to calculate the amount of ^{13}C -depleted C that has been transferred into
714 the atmosphere and the ocean at the time of major climate perturbations in Earth's history. In the
715 case of the CPE, however, the synchronous biostratigraphically-constrained occurrence of the
716 NCIEs in different geological settings, the fact that some of these NCIEs are recorded by different
717 organic substrates (wood, leaf-wax *n*-alkanes, and marine [algal](#) biomarkers), and the little or no
718 diagenetic [overprint](#) on the analysed sediments, [together](#) strongly suggest [that](#) the shifts represent
719 an actual change in the [carbon-isotope](#) composition of the Carnian ocean–land–atmosphere
720 system.

721

722 **6.3 Global correlation of the Carnian carbon-isotope excursions**

723 Based on the biostratigraphic data, the carbon-isotope curves of the western Tethys realm [have](#)
724 [been](#) tentatively correlated to the curves of the continental succession of Devon (Miller *et al.*,
725 2017), and of the Nanpanjiang Basin, Guizhou (South China Block; Sun *et al.*, 2016).

726 A correlation between the NCIEs recorded in this study and those found in the Carnian
 727 sediments from the WP borehole 1 (Devon, UK) by Miller *et al.* (2017) is not straightforward. The
 728 cored material from Devon in UK is a terrestrial succession of mudstone and siltstones, which
 729 represents a sabkha–lacustrine environment, *whose* age is constrained by sporomorph
 730 biostratigraphy. Sporomorphs give a Julian 1–Julian 2 age (Miller *et al.*, 2017), which *is difficult to*
 731 *compare* with the higher biostratigraphic resolution of this study provided by the integrated records
 732 of ammonoids, conodonts, and sporomorphs. However, the continental succession shows four
 733 distinct major NCIEs recorded by both bulk organic matter and higher-plant *n*-alkanes (Fig. 8; Miller
 734 *et al.*, 2017). In the upper part of the WP borehole 1 the circumpollen *P. quadruplicis* (Schuuring
 735 1970) appears at metre 52 (supplementary material of Miller *et al.*, 2017). In the Southern Alps,
 736 where the *sporomorph* assemblages can be calibrated with ammonoid biozones, the first
 737 occurrence of *P. quadruplicis* is dated to the Tuvanian 2 (van der Eem, 1983; Roghi 2004; Roghi *et*
 738 *al.*, 2010). Moreover, Miller *et al.* (2017, their supplementary materials) interpreted the
 739 palynological association found in the uppermost part of the WP1 borehole as the *Lagenella*
 740 *martini* assemblage defined by Roghi *et al.* (2010). The portion of the core in which the *Lagenella*
 741 *martini* assemblage is found comprises the third and fourth NCIEs of Miller *et al.* (2017), i.e. from
 742 metre 56 of the WP borehole 1 above. The *Lagenella martini* assemblage is calibrated with
 743 ammonoids and extends from the uppermost Julian 2 to the Tuvanian 1 (see Fig. 9 of Roghi *et al.*,
 744 2010). In the Dolomites, for example, a typical sporomorph association of the *Lagenella martini*
 745 assemblage is found in the Lagazuoi member of the Heiligkreuz Fm. together with Tuvanian
 746 ammonoids (*Shastites* cf. *pilari*). Hence, according to this interpretation, the pollen record at
 747 Wiscombe Park could indeed extend into the Tuvanian (Upper Carnian). Therefore, the NCIEs of
 748 Miller *et al.* (2017) could correlate to those found in this study as shown in Fig. 8. If this correlation
 749 *were to be* confirmed by future refined stratigraphic studies of the continental succession of Devon,
 750 it would imply that the entire CPE interval punctuated by the NCIEs, i.e., from the base of the
 751 Julian 2 to the base of the Tuvanian 2, lasted for ca. 1 Myrs (Fig. 8).

752 Sun *et al.* (2016) identify only one prolonged NCIE in the total organic matter of the Wayao
 753 Fm., (Nanpanjiang Basin, Guizhou, South China Block). This CIE begins at the base of Julian 2

754 and terminates within the Tuvlian 2. The carbonate carbon-isotope record, however, shows three
755 NCIEs. The differences between the carbonate and organic carbon-isotope records have been
756 explained by changes in the composition of the organic carbon deposited in the basin. The
757 correlation of each negative CIE in the carbonates of the Nanpanjiang Basin is, however, again not
758 straightforward, because of uncertainties on the age attributions. The conodonts illustrated by Sun
759 *et al.* (2016) include long-ranging species, i.e., *Paragondolella polygnathiformis* and *P. praelindae*,
760 occurring with a typical Julian association in the lower part of the section represented by
761 *Paragondolella foliata*, *P. inclinata* and *P. tadpole*. In the uppermost part of the section, the same
762 long-ranged taxa instead co-occur with a Tuvlian conodont association consisting of
763 *Paragondolella noah* and *Hayashiella carpathica*. However, in this locality, *Paragondolella*
764 *noah* also occurs together with the typically Julian conodonts *P. foliata*, *P. inclinata* and *P. tadpole*.
765 The lowest occurrence of *P. noah* in Long Chang is to be dated to the Julian also because of the
766 ammonite *Austrotrachyceras* cf. *A. austriacum* found some 12 m above. This distribution is
767 unusual, because *P. noah* has hitherto only been documented in Tuvlian beds in both Tethyan
768 and Panthalassic region (e.g., Mazza *et al.*, 2012a; Orchard, 2014; Rigo *et al.*, 2017). Sun *et al.*
769 (2016) place the Julian–Tuvlian boundary close to the second negative shift in $\delta^{13}\text{C}_{\text{CARB}}$, which
770 would thus correspond to the NCIE-3 detected in the Southern Alps of Italy (this study) and,
771 possibly, with the third shift recorded in the WP borehole 1 from Devon (Miller *et al.*, 2017) (Fig. 8).
772 It is more difficult to place the boundary between Tuvlian 1 and 2 in the Long Chang section. The
773 conodont species *P. noah* and *H. carpathica* first occur, in western Tethys, below the appearance
774 of the cosmopolitan species *Metapolygnathus praecommunisti* (Mazza *et al.*, 2011; Orchard, 2014;
775 Rigo *et al.*, 2017), which is Tuvlian 1 (Fig. 2; Maron *et al.*, 2017). Conodonts become endemic
776 and rare in the Wayao Fm. of the Nanapanjiang Basin, thus complicating further subdivisions of the
777 Tuvlian at a regional scale (Sun *et al.*, 2016). Following the age attributions of Sun *et al.* (2016),
778 we correlated the NCIE-4 with the third carbonate carbon-isotope negative shift. However, we note
779 that the absence of *M. praecommunisti* may indicate a Tuvlian 1 age of the upper portion of the
780 Long Chang section and the absence of the Tuvlian 2. The NCIE-1 and the NCIE-2, as defined in
781 this study, are not resolved in the Long Chang section (Fig. 8). The biostratigraphically based

782 correlation of the successions of the North-western Tethys with that of Guizhou again supports the
783 hypothesis that the entire CPE interval punctuated by the NCIEs lasted for ca. 1 [Myr](#). Indeed,
784 cyclostratigraphic study of an incomplete succession of the Xiaowa (=Wayao) Fm. in the
785 Nanapanjiang Basin (South China) shows the CPE encompasses almost [two 405-kyr](#) cycles
786 (Zhang *et al.*, 2015).

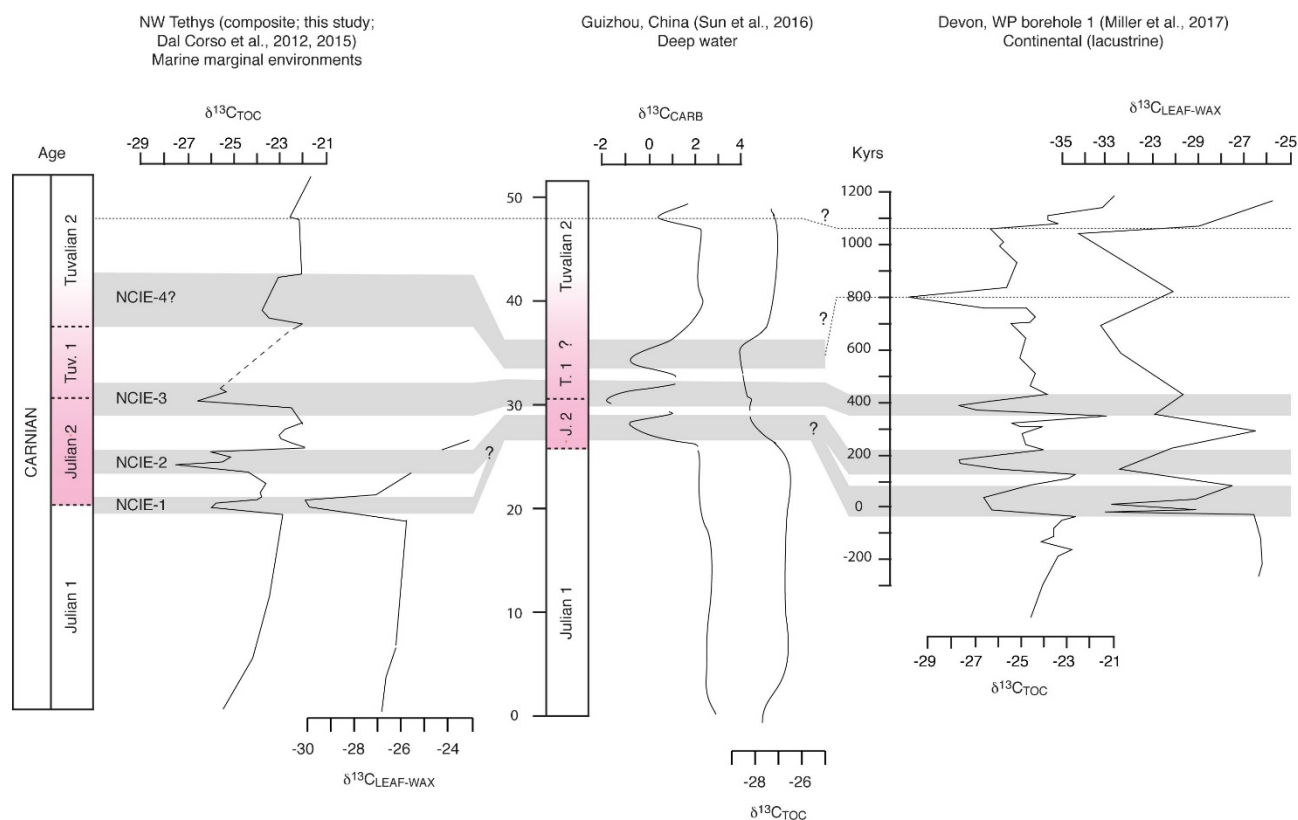
787 A record of stable carbon isotopes that contains multiple shifts was obtained by Mueller *et*
788 *al.* (2016b) from bulk organic matter of marginal marine successions in Spitsbergen (Norway),
789 which was part of the Boreal realm in the Late Triassic. These authors identified three NCIEs,
790 dated on the base of palynostratigraphy and magnetostratigraphy near the Ladinian–Carnian
791 boundary, at the base of the Julian 2 biochronozone (lower Carnian) and at the boundary between
792 Tuvanian 2 and Tuvanian 3 biochronozones in the upper Carnian. Mueller *et al.* (2016b) suggest
793 that all three shifts are present in the [carbon-isotope](#) record of Korte *et al.* (2005). Despite the
794 richer palynological associations with respect to the WP borehole 1, which allowed a more
795 accurate biostratigraphic dating of the NCIEs of Spitsbergen, a correlation with our new record
796 from western Tethys is again not straightforward. A negative CIE at the Ladinian–Carnian
797 boundary is actually not documented at Spitsbergen, where $\delta^{13}\text{C}$ values instead increase steadily
798 from the Ladinian to the lower Carnian. Mueller *et al.* (2016b) correlated their [stable-isotope](#) data
799 from Spitsbergen to the carbonate and bulk [organic-matter](#) $\delta^{13}\text{C}$ records from Tethys of Korte *et al.*
800 (2005) and Dal Corso *et al.* (2015), and reinterpreted these latter datasets as containing the
801 evidence of a negative [carbon-isotope excursion](#) at the Ladinian–Carnian boundary. This
802 interpretation differs, however, from those of the original works. Mietto *et al.* (2012) did not identify
803 any significant [carbon-isotope](#) change in the bulk carbonate at the Carnian GSSP. Mueller *et al.*
804 (2016b) suggest that two stratigraphic intervals at the base of Julian 2 and between Tuvanian 2 and
805 Tuvanian 3 stand out as prominent NCIEs. There [is, however, a](#) large and continuous variability in
806 this record, which oscillates in a wide range from ca. -31‰ to -24‰ VPDB throughout the Carnian.
807 It could be hypothesized that up to 5 NCIEs are present in the records from Spitsbergen, similarly
808 to what has been found in Dorset (Miller *et al.*, 2017) and in Western Tethys (this work), but due to

809 an insufficient sampling resolution, the correct stratigraphic position of these excursions cannot not
810 be determined.

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Figure 8. Chemostratigraphic correlation of the carbon-isotope records from the marine marginal successions of the north-western Tethyan realm (this study) and China (Sun *et al.*, 2016), and the lacustrine succession of Devon (WP borehole 1, UK; Miller *et al.*, 2017). The stylized organic carbon-isotope curve for the Western Tethys (this study) is drawn according to the data presented in Fig. 6 and summarized in Fig. 9 (raw data in the Supplementary Materials). Note that although the correlation of the records from the north-western Tethys and China with that from Devon is tentative and not sufficiently supported by biostratigraphic constraints, the records show similar distinct major carbon-isotope excursions in total organic matter (TOC) and leaf-wax *n*-alkanes. Sporomorphs from the WP borehole 1 give a Carnian (Julian–Tuvanian) age but do not allow a higher resolution biostratigraphic zonation of the units (Miller *et al.*, 2017). The duration of the WP borehole 1 interval containing the NCIEs has been estimated using gamma-ray and elemental abundance cyclicity (Miller *et al.*, 2017). The north-western Tethyan stylized curve is composite and thus the vertical scale does not reflect the actual thickness of the sedimentary successions (see Fig. 6 for the thickness of each studied sections). $\delta^{13}\text{C}_{\text{LEAF-WAX}}$ is expressed as weighted mean of odd C25–C31 *n*-alkanes (from Dal Corso *et al.*, 2012, Dolomites, and Miller *et al.*, 2017, Devon). The age of the Carnian Pluvial Episode is shown in pink. J 2 = Julian 2, T 1 = Tuvanian 1.

837 6.4 LIP volcanism as trigger of the carbon-isotope excursions

838 Our new data show that three (possibly four) major negative excursions in the carbon-isotope
839 composition of the ocean–atmosphere system occurred during the CPE. The first NCIE is at the
840 Julian 1–Julian 2 boundary, the second within the Julian 2, the third at the Julian–Tuvalian
841 boundary, and a possible fourth may exist at the base of the Tuvalian 2 (Fig. 6). Given their close
842 ages, these repeated [disruptions in the carbon cycle](#) could have been related to the emplacement
843 of large masses of volcanic rocks from an igneous province. The Wrangellia LIP, also called [the](#)
844 Nikolai LIP (Schmidt and Rogers, 2007; Greene *et al.*, 2010; Glen *et al.*, 2011), is indicated as the
845 most important contributor, possibly strengthened by other basaltic and acidic volcanic sources,
846 namely the South Taimyr igneous complex in Siberia plus the Kara Dere-Sayrun unit of the middle
847 Antalya nappes and the Huglu-Pindos volcanics in Turkey (Dal Corso *et al.*, 2012; Mueller *et al.*,
848 2016a; Sun *et al.*, 2016; Miller *et al.*, 2017).

849 The remnants of the Wrangellia LIP today [crop out](#) along western North America, from
850 Vancouver Island (Canada) to Alaska (Greene *et al.*, 2010). The volcanic sequence consists of a
851 series of submarine pillow lavas and subaerial basaltic floods, locally reaching a thickness of 3.5
852 Km in Northern Wrangellia (Nikolai Fm., Alaska) and 6 Km in Southern Wrangellia (Karmutsen
853 Fm., Vancouver Island, Canada). It has been estimated that [the](#) Wrangellia LIP [extruded](#) at least 1
854 million Km³ of basalts (Lassiter *et al.*, 1995). [This figure is, however, a](#) minimum estimate of the
855 volumes of basalts erupted because most [of them could](#) have been subducted as a consequence
856 of the accretion of the Wrangellia terranes to the western North America during the Late Jurassic–
857 Early Cretaceous (Greene *et al.*, 2010).

858 The age of the Wrangellia LIP is constrained by biostratigraphic data, ¹⁸⁷Os/¹⁸⁸Os
859 geochemistry, and U-Pb, ⁴⁰Ar–³⁹Ar, and K-Ar radioisotopic ages (Mortensen and Hulbert, 1992;
860 Greene *et al.*, 2010; Glenn *et al.*, 2011; Xu *et al.*, 2014 and references therein). Limestones
861 intercalated and overlying the Wrangellia basalts bear a rich ammonoid association with *Tropites*
862 *dilleri*, which indicates a Tuvalian 1 age (Tozer, 1994). Silicified shales, [locally black](#), chert and
863 limestones underlying [the](#) Wrangellia basalts contain *Daonella* bivalves, which indicate a Ladinian
864 age for [these](#) sediments (Greene *et al.*, 2010). A decrease in ¹⁸⁷Os/¹⁸⁸Os ratio is observed in the

865 Ladinian–Carnian prodelta–delta sediments of the Botneheia, Tschermakfjellet, and De Geerdalen
866 Formations of the Barents Sea (Norway), and has been interpreted as the evidence of a Ladinian
867 age for the beginning of Wrangellia LIP activity (Xu *et al.*, 2014). The $^{187}\text{Os}/^{188}\text{Os}$ excursion
868 consists, however, of only one data point, whose age is constrained to the late Ladinian by the
869 presence of *Nathorstites* sp. Juv., a boreal late Ladinian–early Carnian ammonoid genus (Xu *et al.*,
870 2014 and references therein). A higher resolution record in other settings coupled with a refined
871 biostratigraphy is thus required to confirm such a late Ladinian decrease in $^{187}\text{Os}/^{188}\text{Os}$ ratio. Most
872 of the ^{40}Ar – ^{39}Ar ages of Wrangellia basalts are reset to ages younger than the age of the
873 emplacement of the LIP (Greene *et al.*, 2010; Glenn *et al.*, 2011 and references therein). Few of
874 the ^{40}Ar – ^{39}Ar ages from biotites and hornblendes are considered to retain magmatic ages, which
875 span from 227 to 233 Ma (Greene *et al.*, 2010). The U–Pb data from multigrain zircon and
876 baddeleyite fractions give ages of 226.8 ± 0.5 Ma, 227.3 ± 2.6 Ma, 228.4 ± 2.5 Ma, and 232.2 ± 1 Ma,
877 which are thought to reflect the magmatic age and are in agreement with a Carnian biostratigraphic
878 age of the Wrangellia LIP (Mortensen and Hulbert, 1992; Parrish and McNicoll, 1992; Greene *et al.*
879 *et al.*, 2010). Summarizing, existing data constrain very well the end of Wrangellia LIP volcanism to
880 the Tuvalian 1 (*T. dilleri* ammonoid Zone). The onset of the LIP activity is, however, less well
881 constrained, although data suggest it could have been between the latest Ladinian and the early
882 Carnian.

883 Similar to the eruption of the Central Atlantic Magmatic Province at the end of the Triassic,
884 discrete pulses of Wrangellia activity could have caused multiple injections of ^{13}C -depleted CO_2
885 into the ocean–atmosphere system (e.g., Ruhl and Kürschner, 2011; Marzoli *et al.*, 2018). Such
886 CO_2 could have been released from methane clathrates ($\delta^{13}\text{C} = -60\text{‰}$), as a consequence of
887 volcanically driven global warming, and/or from the metamorphism of organic-rich sediments ($\delta^{13}\text{C}$
888 $= -25\text{‰}$) in contact with igneous intrusions (e.g., Dickens *et al.*, 1995; Svensen *et al.*, 2004). Future
889 research should determine the timing of Wrangellia volcanic activity phases in detail, in order to
890 define possible distinct pulses, and test whether they correlate with the Carnian carbon-isotope
891 record.

892

893 6.5 Each carbon-isotope excursion is linked to environmental changes

894 The interval punctuated by the NCIEs within the Carnian, corresponding to the CPE, [was](#) a time of
895 overall global warming as indicated by $\delta^{18}\text{O}$ analysis of conodont apatite (Fig. 9; Sun *et al.*, 2016
896 and references therein), and is closely related to environmental changes that are widespread at
897 least across the Western Tethys (Fig. 9). Three to four distinct siliciclastic-dominated intervals are
898 traditionally recognized in Carnian (Julian 2–Tuvanian 2) stratigraphic successions of the Western
899 Tethys realm (e.g., see summary in Roghi *et al.*, 2010; Fig. 9). In the Dolomites, such terrigenous
900 levels are located at the base of the Borca Mb., in the lower part of the Dibona Mb., and in the
901 lower part of the Lagazuoi Mb. of the Heiligkreuz Formation. At the base of the Travenanzes Fm. a
902 mixed carbonate–terrigenous level is also present (Fig. 2). These distinct levels can be [recognized](#)
903 in other sections, and are biostratigraphically correlated within the Western Tethyan realm (Roghi
904 *et al.*, 2010).

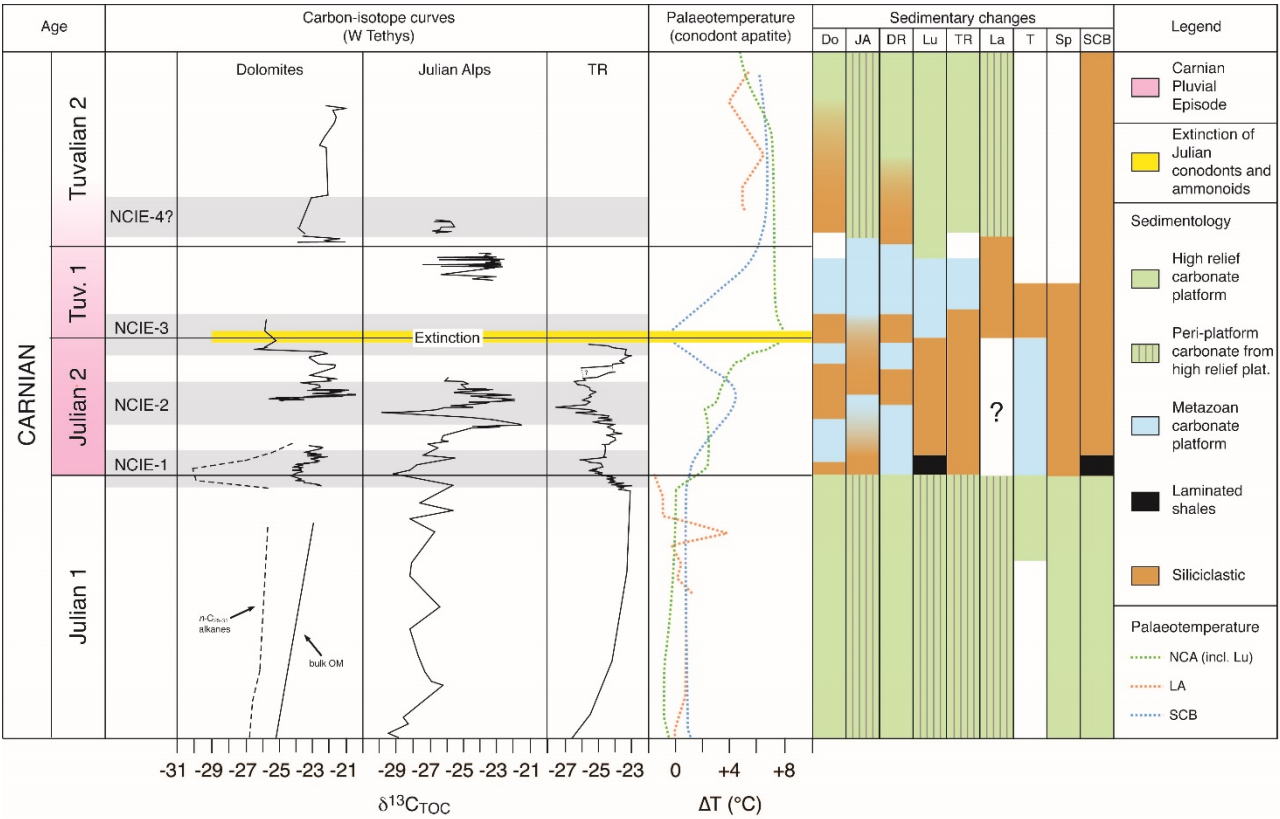
905 Roghi *et al.* (2010) and Stefani *et al.* (2010) interpreted the multiple siliciclastic levels as the
906 stratigraphic evidence of discrete humid pulses. This interpretation is supported by palynological
907 analyses of Western Tethyan successions that show distinct phases of hygrophytic-dominated
908 assemblages in the siliciclastic intervals (Roghi *et al.*, 2010; Mueller *et al.*, 2016a; b). [Evidence](#)
909 presented in this paper show that global NCIEs coincide with the siliciclastic-rich intervals. In fact, a
910 likely consequence of large injections of isotopically depleted CO_2 into the atmosphere [was](#), along
911 with the NCIEs recorded by bulk organic matter, the enhancement of the hydrological cycle and
912 associated continental runoff due to global warming. Under this climatic regime, large quantities of
913 terrigenous material and nutrients would have flowed into marine basins, with development of local
914 anoxic conditions and deposition of laminated organic-rich black shales (e.g., Jenkyns 2010). Such
915 features are observed in several stratigraphic successions that register the NCIE 1 (Dal Corso *et al.*
916 *et al.*, 2015 and references therein). In [summary](#), repeated episodes of enhanced terrigenous input
917 and establishment of hygrophytic floras are here linked to phases of [increased](#) hydrological cycling,
918 likely related to multiple phases of ^{13}C -depleted carbon injection into the Carnian ocean–
919 atmosphere system over a time-span of 1.2 Myrs (Fig. 8, 9; Zhang *et al.*, 2015; Miller *et al.*, 2017).

920 The Carnian NCIEs also coincided with major changes in the carbonate production on
921 shallow-water platforms. At the onset of the CPE in the Dolomites, a sudden shift of the carbonate
922 production mode is observed from microbially induced carbonate precipitation to metazoan
923 biomineralization, in coincidence with the NCIE-1 (Fig. 8; Dal Corso *et al.*, 2015; Gattolin *et al.*,
924 2015). Such a shift of the carbonate factory is noteworthy, because the highly productive microbial
925 platforms had thrived in the shallow-water Tethyan domain and had persisted in the area of the
926 Dolomites since the Anisian (e.g., Blendinger, 1994; Russo *et al.*, 1997; Keim & Schlager, 1999;
927 Preto *et al.*, 2017), and their temporary demise lasted throughout the entire CPE (Fig. 8; e.g.,
928 Gattolin *et al.*, 2015). Only during the Tuvanian 2 interval did the microbial carbonate factories
929 return to dominance (e.g., Main Dolomite: Caggiati *et al.*, in press). Outside the Dolomites, crises
930 of shallow-water carbonate production are documented also for the South China Block (e.g., Sun
931 *et al.*, 2016), the Southern Tethyan successions of the Spiti Valley in India (Hornung *et al.*, 2007)
932 and Anatolia (Lukeneder *et al.*, 2012). In all of these localities, clays and sandstones abruptly
933 substitute for the shallow-water carbonates. Contemporaneously, in the Lagonegro Basin in
934 southern Italy, one of the very few basins that show a Carnian deep-water record, carbonate rocks
935 were abruptly replaced by argillites, siltites, and radiolarites (clay-radiolaritic horizon) with no
936 carbonate content at about the Julian–Tuvanian boundary, testifying to deep-water carbonate
937 dissolution (Rigo *et al.*, 2007).

938 Dal Corso *et al.* (2012) argued that an injection of large quantities of CO₂ into the Carnian
939 ocean–atmosphere system would have triggered an episode of acidification of the ocean, as
940 suggested also for other similar events in the Earth’s history. Ocean acidification could indeed
941 explain the crisis of the microbial factories on platforms as well as the dissolution of deep-water
942 carbonates. For example, deglacial ocean acidification has triggered an abrupt decline of calcified
943 bacterial crusts in tropical reefs during the last 12 Kyr (Riding *et al.*, 2014), and injection of CO₂
944 into the ocean resulted in the shoaling of the CCD and the dissolution of pelagic carbonates at the
945 Palaeocene–Eocene thermal maximum (Zachos *et al.*, 2005). Modelling studies, however, indicate
946 that ocean acidification cannot develop over time scales as long as those of the CPE (ca. 1.2 Myrs)
947 (Ridgwell and Schmidt 2010; Hönisch *et al.*, 2012). Today’s oceans would be relatively rapidly

948 buffered against acidification mainly by dissolution of vast amounts of pelagic carbonate
949 sediments. In the Carnian, however, pelagic calcifiers were not so abundant and the buffering
950 capacity of the oceans would have been much reduced, being limited to dissolution of shallow-
951 water carbonates on platforms and surrounding peri-platform deposits (such as those of the
952 Lagonegro Basin; Rigo et al., 2007), as well as the chemical weathering of carbonate and silicate
953 rocks on land, as proposed also for the Late Permian (Payne et al., 2010). However, Zeebe *et al.*
954 (2009), for example, suggested, for the Palaeocene–Eocene Thermal Maximum, a first rapid (5
955 Kyr) injection of 3000 Gt of C followed by a second slower (ca. 50 Kyr) injection of 1480 Gt of C
956 into the atmosphere–ocean system. This timing could reproduce the duration of the carbonate
957 dissolution event (70 Kyr), reconstructed for Atlantic Ocean cores. Dal Corso *et al.* (2012)
958 estimated that the Wrangellia LIP released a minimum of 5000 Gt of C, but, as previously
959 explained, additional inputs of ^{13}C -depleted C from ocean-floor clathrates and/or from organic-rich
960 sediments must be hypothesized to explain the NCIEs, given the fact that LIPs are thought to
961 release C that is isotopically heavier than these other sources ($\delta^{13}\text{C}$ = ca. -6‰; Dickens *et al.*,
962 1995). Therefore, we suggest that the release in pulses of a huge amount of C (volcanic plus
963 clathrate and/or organic) into the Carnian ocean–atmosphere system, coupled with much slower
964 ocean buffering, could have sustained prolonged episodes of ocean acidification. Pulsed release of
965 CO_2 could have similarly caused the protracted global warming recorded by conodont apatite (Fig.
966 9). The deep-water clay-radiolaritic horizon of the Lagonegro Basin (Rigo *et al.*, 2007), deposited at
967 a lower sedimentation rate than all other successions considered in this study, may lump together
968 all sub-events in an apparently unique environmental perturbation, which lasted throughout the
969 CPE.
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Figure 9. Organic carbon-isotope curves for the Julian 1–Tuvanian 2 interval of the Carnian Stage. Three, possibly four, carbon-isotope excursions in the exchangeable reservoirs of the global carbon cycle punctuated the Carnian Pluvial Episode. These excursions are linked to discrete humid pulses as indicated by the sedimentary record of different siliciclastic inputs in many different geological settings. This interval of multiple carbon-cycle perturbations corresponds to an interval of overall global warming and sudden changes in carbonate production on shallow-water platforms. The third negative excursion is linked to the extinction of the *Trachyceratinae* ammonoids and 70% of conodont genera, approx. 1.2 Myrs after the onset of the Carnian Pluvial Episode and the first carbon-cycle perturbation. Ages are from Zhang *et al.* (2016). The palaeotemperatures calculated from oxygen-isotope analysis of conodont apatite are from Hornung *et al.*, (2007), Rigo and Joachimski (2010), Trotter *et al.* (2015), and Sun *et al.*, (2016). Abbreviations and selected references: Do = Dolomites (Preto and Hinnov, 2003); JA = Julian Alps (De Zanche *et al.*, 2000 and this study); DR = Drau Range (Roghi *et al.*, 2010); Lu = Lunz (Roghi *et al.*, 2010); TR = Transdanubian Range (Budai and Haas, 1997); T = Turkey (Lukeneder *et al.*, 2012); La = Lagonegro (e.g. Rigo *et al.*, 2007); Sp = Spiti (Hornung *et al.*, 2007); SCB = South China Block (Sun *et al.*, 2016).

995 6.6 Multiple carbon-isotope excursions and stepwise biotic turnover

996 The carbon-cycle perturbations during the CPE coincided also with an interval of major biotic
997 turnover (Benton 1986). Many marine taxa became extinct during the CPE, including crinoids,
998 echinoids, bivalves, bryozoan, conodonts, and ammonoids (Simms and Ruffell, 1989; Hallam
999 1995). For example, the *Encrinidae*, the most distinctive group of crinoids in the Triassic, as well as
1000 other important groups like the *Isocrinina*, completely disappeared or severely declined during the
1001 CPE (Simms and Ruffell, 1990). In general, analysis of functional diversity across the entire Late
1002 Triassic indicated that benthic suspension feeding suffered a great loss during the CPE, probably
1003 because of increasing sediment input and eutrophication in shallow-water environments (Dunhill *et*
1004 *al.*, 2017). The exact timing of these extinctions with respect to NCIEs is, however, unknown,
1005 whereas the timing of extinction of biostratigraphically significant pelagic groups is much better
1006 constrained. Approximately 70% of conodont genera became extinct at the Julian–Tuvanian
1007 boundary (Rigo *et al.*, 2007; Martínez-Pérez *et al.*, 2015; Chen *et al.*, 2015). Contemporaneously,
1008 the dominant ammonoid group, the *Trachyceratinae*, virtually disappeared and was substituted by
1009 the *Tropitidae* (e.g., Tozer, 1967; Krystyn 1991; Balini *et al.*, 2010). Therefore, the extinction of
1010 conodonts and ammonoids can be stratigraphically correlated to the NCIE-3 (Fig. 6). This
1011 extinction in pelagic marine environments seems to have been slightly preceded by the
1012 appearance of the first abundant pelagic calcifiers (calcareous nannofossils or calcispheres,
1013 possibly related to calcareous dinocysts) in late Julian and Tuvanian marine successions of the
1014 north western Tethys (e.g., Jafar, 1983; Janofske, 1992; Bellanca *et al.*, 1995; Preto *et al.*, 2013).

1015 On land, a major turnover in the flora and vertebrate fauna is documented for the Carnian,
1016 although the timing of this event is more difficult to constrain precisely with biostratigraphy.
1017 Palynological studies show a peak of diversity during the Julian 2 (early Carnian) and sporomorph
1018 assemblages are dominated by hygrophytic elements, probably an indication of more humid
1019 climatic conditions (e.g., Roghi 2004; Kürschner and Herengreen, 2010). Subsequently, a huge
1020 decline (ca. 50%) in sporomorph diversity between the early Carnian and the Norian is
1021 documented, which is the second most severe decline of microfloras after the Permian–Triassic
1022 boundary mass extinction (Kürschner and Herengreen, 2010). Meanwhile, the circumpolles

1023 (*Cheirolepidiaceae*) group underwent a major diversification (Kürschner and Herengreen, 2010).
1024 The radiation of modern conifers and benettitaleans also occurred during the Carnian (Wills and
1025 McElwain., 2002; Kustatscher et al., 2018). Severe extinction in the Late Carnian is known among
1026 the tetrapod fauna: rhynchosaurs, dicynodonts, and chiniquodontids were essentially extinct by the
1027 end of the Carnian (Benton, 1986; Benton, 1991; Brusatte *et al.*, 2008; Lucas and Tanner, 2015).
1028 The first major diversification of the dinosaurs in the Tuvanian was close in time with, and maybe
1029 favoured by, the extinction of the tetrapod fauna (Brusatte *et al.*, 2008), and the earliest mammals
1030 also date back to the Tuvanian (Lucas and Luo, 1993; Kemp, 2005; Datta, 2005; Newham *et al.*,
1031 2014). Notably, comparison of diversity patterns [shows](#) that terrestrial turnover of the Carnian was
1032 much more important than that at the Triassic–Jurassic boundary, where there is no strong signal
1033 of extinction/radiation among vertebrate fauna and flora (e.g., Benton, 1986; Lucas and Tanner,
1034 2015; Kürschner and Herengreen, 2010; Barbacka *et al.*, 2017 and references therein).

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1036 **7. CONCLUSIONS**

1037 A review of the existing carbon-isotope records and new carbon-isotope analysis of organic matter,
1038 coupled to a refined biostratigraphic calibration of marine sedimentary successions of the Tethyan
1039 realm (Dolomites and Julian Alps in Italy, and Transdanubian Range in Hungary) shows that three,
1040 possibly four, major negative shifts punctuated the Carnian Pluvial Episode. The first negative
1041 $\delta^{13}\text{C}_{\text{TOC}}$ shift, already [recognized](#) in many different geological settings, [occurred at the time of the](#)
1042 Julian 1–Julian 2 boundary. The second is placed within the Julian 2; the third negative $\delta^{13}\text{C}_{\text{TOC}}$
1043 shift [happened](#) at the Julian–Tuvanian boundary; and a possible fourth excursion is recorded in the
1044 Dolomites at the Tuvanian 1–Tuvanian 2 boundary. The occurrence of the first three shifts in
1045 different sedimentary archives at the same stratigraphic levels, [Rock-Eval](#) pyrolysis analysis, and
1046 wood carbon-isotope data show that the [\$\delta^{13}\text{C}\$ excursions represent](#) genuine changes in the
1047 isotopic composition of the exchangeable reservoirs of the carbon cycle. The timing of the negative
1048 $\delta^{13}\text{C}$ shifts is such that they coincided with discrete humid pulses, [which](#) can explain the enhanced
1049 siliciclastic input into the basins and the crisis of [shallow-water](#) carbonate depositional systems
1050 observed worldwide. Moreover, the results of our study envisage a potential link between

1051 extinctions and radiations near the Julian–Tuvalian boundary, and the third negative carbon-
1052 isotope excursion of the Carnian Pluvial Episode. New and literature data show that repeated
1053 injections of ^{13}C -depleted CO_2 into the Carnian ocean-atmosphere system triggered distinct
1054 climatic perturbations, which resulted in the disruption of environments and ecosystems. Similarly
1055 to other LIP-related climatic shifts in Earth’s history, different eruptive pulses of the Wrangellia
1056 (Nikolai) LIP triggered the carbon-cycle perturbations and led to extinctions at the Julian–Tuvalian
1057 boundary.

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1074 **DECLARATIONS OF INTEREST**

1075 None

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