

# Configuration, Performance, and Commissioning of the ATLAS $b$ -jet Triggers for the 2022 and 2023 LHC data-taking periods



## The ATLAS collaboration

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**ABSTRACT:** In 2022 and 2023, the Large Hadron Collider produced approximately two billion hadronic interactions each second from bunches of protons that collide at a rate of 40 MHz. The ATLAS trigger system is used to reduce this rate to a few kHz for recording. Selections based on hadronic jets, their energy, and event topology reduce the rate to  $O(10)$  kHz while maintaining high efficiencies for important signatures resulting in  $b$ -quarks, but to reach the desired recording rate of hundreds of Hz, additional real-time selections based on the identification of jets containing  $b$ -hadrons ( $b$ -jets) are employed to achieve low thresholds on the jet transverse momentum at the High-Level Trigger. The configuration, commissioning, and performance of the real-time ATLAS  $b$ -jet identification algorithms for the early LHC Run 3 collision data are presented. These recent developments provide substantial gains in signal efficiency for critical signatures; for the Standard Model production of Higgs boson pairs, a 50% improvement in selection efficiency is observed in final states with four  $b$ -quarks or two  $b$ -quarks and two hadronically decaying  $\tau$ -leptons.

**KEYWORDS:** Particle identification methods; Trigger detectors

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## 1 Introduction

In its third proton-proton ( $pp$ ) run (Run 3), the Large Hadron Collider (LHC) [1] produces collisions at  $\sqrt{s} = 13.6$  TeV every 25 ns. The average number of  $pp$  collisions per bunch crossing,  $\langle\mu\rangle$ , delivered to the ATLAS experiment [2, 3] in the 2022 and 2023 data-taking has ranged from about 30 to about 70. To contend with this 40 MHz event rate, the ATLAS experiment utilises a two-staged triggering system [4]. The first stage, composed of hardware-based selection algorithms that run at the full 40 MHz rate, reduces this to a 100 kHz stream of events. Software-based algorithms impose further selections to bring this rate down to about 3 kHz for the event streams used in most analyses of the ATLAS data. The hardware stage is known as the Level 1 (L1) trigger system, and the software stage is called the High-Level Trigger (HLT) system.

Collimated final-state hadrons from the fragmentation of quarks and gluons (“jets”) are produced at a high rate at the LHC, but jets containing  $b$ -hadrons ( $b$ -jets) provide striking signatures in collider detectors that can be used to identify them [5]. The identification of  $b$ -jets ( $b$ -tagging) is a key

component of a broad range of ATLAS analyses of the LHC data and is used for example to probe properties of the Higgs boson [6–8] and the top quark [9, 10] and to search for a wide variety of possible processes beyond the Standard Model (SM) [11–13].

At a hadron collider experiment, the cross-section of multijet events is substantial compared with electroweak or Higgs boson production processes [14]; analyzing hadronic final states therefore poses a significant experimental challenge already at the trigger stage. For fully hadronic final-states including  $b$ -quarks,  $b$ -tagging is a strong tool for reducing the trigger rate to the point of being manageable with the available hardware and computing resources while maintaining a high signal efficiency. Several analyses of the LHC data probe processes with fully hadronic final states that involve at least one  $b$ -jet. These include Higgs boson and top-quark pair production events in the fully hadronic channels [8, 15] and the production of new resonances decaying preferentially to  $b$ -quarks [12].

This article describes the real-time  $b$ -jet identification algorithms developed by the ATLAS Collaboration for the LHC Run 3  $pp$  collision data, highlighting differences relative to Run 2 ATLAS triggering strategy for  $b$ -jets [16]. First, an overview of the ATLAS detector and trigger systems is given. The real-time  $b$ -tagging algorithms utilised in the HLT are then introduced; their optimisation and expected performance in simulation is also presented. Comparisons between detector simulation and observed data collected during the LHC 2022 and 2023 data-taking are shown, and triggering rates from this period are reported.

## 2 ATLAS detector

The ATLAS detector at the LHC covers nearly the entire solid angle around the collision point. It consists of an inner tracking detector surrounded by a thin superconducting solenoid, electromagnetic and hadron calorimeters, and a muon spectrometer incorporating three large superconducting air-core toroidal magnets.

The inner-detector system (ID) is immersed in a 2 T axial magnetic field and provides charged-particle tracking in the range of  $|\eta| < 2.5$ .<sup>1</sup> The high-granularity silicon pixel detector covers the interaction region and typically provides four measurements per track, the first hit normally being in the insertable B-layer (IBL). It is followed by the silicon microstrip tracker (SCT), which usually provides eight measurements per track. These silicon detectors are complemented by the transition radiation tracker (TRT), which enables radially extended track reconstruction up to  $|\eta| = 2.0$ . The TRT also provides electron identification information based on the fraction of hits (typically 30 in total) above a higher energy-deposit threshold corresponding to transition radiation.

The calorimeter system covers the pseudorapidity range  $|\eta| < 4.9$ . Within the region  $|\eta| < 3.2$ , electromagnetic calorimetry is provided by barrel and endcap high-granularity lead/liquid-argon (LAr) calorimeters, with an additional thin LAr presampler covering  $|\eta| < 1.8$  to correct for energy loss in material upstream of the calorimeters. Hadron calorimetry is provided by the steel/scintillator-tile calorimeter (Tile calorimeter), segmented into three barrel structures within  $|\eta| < 1.7$ , and two copper/LAr hadron endcap calorimeters. The solid angle coverage is completed with forward

<sup>1</sup>ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the  $z$ -axis along the beam pipe. The  $x$ -axis points from the IP to the centre of the LHC ring, and the  $y$ -axis points upwards. Polar coordinates  $(r, \phi)$  are used in the transverse plane,  $\phi$  being the azimuthal angle around the  $z$ -axis. The pseudorapidity is defined in terms of the polar angle  $\theta$  as  $\eta = -\ln \tan(\theta/2)$ . Angular distance is measured in units of  $\Delta R \equiv \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2}$ .

copper/LAr and tungsten/LAr calorimeter modules optimised for electromagnetic and hadronic energy measurements respectively.

The muon spectrometer (MS) comprises separate trigger and high-precision tracking chambers measuring the deflection of muons in a magnetic field generated by the superconducting air-core toroidal magnets. The field integral of the toroids ranges between 2.0 and 6.0 Tm across most of the detector. Three layers of precision chambers, each consisting of layers of monitored drift tubes, cover the region  $|\eta| < 2.7$ , except in the innermost layer of the endcap region, where layers of small-strip thin-gap chambers and Micromegas chambers both provide precision tracking in the region  $1.3 < |\eta| < 2.7$ . The muon trigger system covers the range  $|\eta| < 2.4$  with resistive-plate chambers in the barrel, and thin-gap chambers in the endcap regions, and with these small-strip thin-gap chambers and Micromegas chambers in the innermost layer of the endcap.

The Run 3 detector configuration benefits from several upgrades compared with that for Run 2 to maintain high detector performance at the higher pile-up levels of Run 3. The improvements include a new innermost layer of the muon spectrometer in the endcap region, which provides higher redundancy and a strong reduction in fake-muon triggers. Other updates and further details are provided in ref. [3]

An extensive software suite [17] is used in data simulation, in the reconstruction and analysis of real and simulated data, in detector operations, and in the trigger and data acquisition systems of the experiment.

### 3 Datasets and simulated events

The results presented in this paper use data from  $pp$  collisions with a centre-of-mass energy  $\sqrt{s} = 13.6$  TeV, collected during the first two years of the Run 3 of the LHC, in 2022 and 2023.

Monte Carlo (MC) simulations of top-quark pairs ( $t\bar{t}$ ) produced in  $pp$  collisions are used throughout this paper to provide a sample of simulated jets resulting from  $b$ -,  $c$ -, and light-flavour quarks. These simulated events are used for the optimisation of online  $b$ -tagging algorithms and to compare the simulated performance of said algorithms to observed performance in collision data. The production of  $t\bar{t}$  events was modelled using the POWHEG Box v2 [18–21] generator at next-to-leading-order (NLO) with the NNPDF3.0<sub>NLO</sub> [22] parton distribution function (PDF) set and the  $h_{\text{damp}}$  parameter<sup>2</sup> set to  $1.5 m_{\text{top}}$  [23]. The events were interfaced to PYTHIA 8.230 [24] to model the parton shower, hadronisation, and underlying event, with parameters set according to the A14 set of tuned parameters (“tune”) [25] and using the NNPDF2.3<sub>LO</sub> set of PDFs [26]. This combination of calculations and tune parameters was found to provide the best agreement to collision data in measurements of  $b$ -tagging efficiency [27] and  $b$ -quark fragmentation in top-quark decays [28].

Samples of simulated Higgs boson pair production (“di-Higgs boson production”) via gluon-gluon fusion in the fully hadronic  $b\bar{b}b\bar{b}$  and  $b\bar{b}\tau\tau$  final states are used to assess the expected performance of the trigger chains that make use of  $b$ -tagging algorithms at the HLT. These samples are generated with POWHEG Box v2, the PDF4LHC15<sub>NLO</sub> PDF set [29], and PYTHIA 8.244 to model the parton shower hadronisation and underlying event, with parameters set according to the A14 tune.

The decays of bottom and charm hadrons were performed by EVTGEN 1.6.0 [30]. All simulated events have additional overlaid minimum-bias interactions generated with PYTHIA 8.160 [31] with the

<sup>2</sup>The  $h_{\text{damp}}$  parameter is a resummation damping factor and one of the parameters that controls the matching of POWHEG matrix elements to the parton shower and thus effectively regulates the high- $p_T$  radiation against which the  $t\bar{t}$  system recoils.

A3 set of tuned parameters [32] and NNPDF2.3<sub>LO</sub> parton distribution functions to simulate pile-up background.<sup>3</sup> The simulated events were processed through the full ATLAS detector simulation [33] based on GEANT4 [34].

## 4 The ATLAS trigger system

ATLAS records data from LHC collisions using a two-stage triggering system; the first-level, L1, is custom hardware-based while the second-level, HLT, is software-based. The Data Acquisition (DAQ) system [4] transports data from custom subdetector electronics through to offline processing, according to the decisions made by the trigger.

The L1 trigger uses custom electronics to trigger on reduced-granularity information from the calorimeter and muon detectors. The L1 calorimeter (L1Calo) trigger takes signals from the calorimeter detectors as input. The Run-2 L1Calo system was operated in parallel to the upgraded L1Calo system during the start of Run 3. While the upgraded system was in operation during this time, it was not used for jet selections at Level 1; rather the “legacy” Run-2 system was used. In the legacy system, analogue detector signals are digitised and calibrated by the preprocessor and sent in parallel to the cluster processors (CP) and the jet/energy-sum processors (JEP). The CP system identifies electron, photon, and  $\tau$ -lepton candidates above a programmable threshold, and the JEP system identifies jet candidates and produces global sums of total and missing transverse energy.

The L1 muon (L1Muon) trigger uses hits from resistive-plate chambers (RPCs) in the barrel and thin-gap chambers (TGCs) in the endcap to coarsely determine the muon candidate momentum [35]. To reduce the rate in the endcap regions of particles not originating from the interaction point, the L1Muon trigger in Run 2 applied coincidence requirements between the outer TGC station and either the inner TGC stations or the Tile calorimeter. In Run 3, the replacement of the original small wheels by the new small wheels allow for a further rate reduction from good rate tolerance and improved resolution.

The L1 topological processor (L1Topo) system takes input Trigger Objects (TOBs) containing kinematic information from the L1Calo and L1Muon systems and applies topological selections. New modules were designed for Run 3 to accommodate input from the new L1Calo and L1Muon systems. During 2022 and 2023, the Run 2 (legacy) L1Topo system ran in parallel with the upgraded L1Topo system, although the legacy system did not process inputs from the L1Muon system.

The L1 trigger decision is formed by the central trigger processor (CTP); in the legacy system this is done combining information mainly from the L1Calo and muon trigger processors, while the upgraded system receives the information from the L1Muon trigger system through the muon-to-central Trigger Processor Interface [36], which was also upgraded for Run 3. The muon information is then processed together with L1Topo and L1Calo systems’ outputs. The total L1 trigger rate of accepting collision events has an upper limit of about 100 kHz, determined by the rate at which the detector can be read out.

If accepted by the L1 trigger, events are then sent to the HLT. Here, algorithms reconstruct the event at progressively higher levels of detail than at L1, either in restricted regions-of-interest (RoIs), which are regions of the detector in which candidate trigger objects are identified by the L1 trigger, or in the full event. The ATLAS jet,  $b$ -jet, and missing-transverse-energy trigger algorithms run in the full-event context, while electron, muon,  $\tau$ -lepton, and photon identification usually run in RoIs defined by the L1 system.

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<sup>3</sup>Pile-up interactions correspond to additional  $pp$  collisions accompanying the hard-scatter  $pp$  interaction in proton bunch collisions at the LHC.

A typical real-time (“online”) reconstruction sequence makes use of dedicated fast trigger algorithms to provide early rejection, followed by more precise and more CPU-intensive algorithms that are similar or identical to those used for offline reconstruction to make the final selection. The HLT software is incorporated in the same software framework used offline to reconstruct recorded events but runs on a dedicated computing farm composed of about 90k multi-processor units. Events accepted by the HLT selection are distributed to a server cluster, where they are compressed and written to disk or tape for storage. The physics output rate of the HLT during an ATLAS data-taking run with the nominal Run-3 physics menu and LHC conditions is on average 3 kHz, excluding streams used for detector calibrations, trigger-level analyses [37] and other specialised applications. Most physics  $b$ -jet trigger chains are part of the *Main Stream*, and promptly undergo offline reconstruction right after data-taking, while some  $HH$ -dedicated chains are instead part of the *Delayed Stream*, and are reconstructed only when resources are available [4]. The pipeline can maintain about an 8 GB/s rate of data to offline storage.

## 5 Algorithms

After a brief description of the pertinent L1 trigger selection and the main inputs to HLT  $b$ -jet identification, this paper focuses on the optimisation and performance of the  $b$ -tagging algorithms used in the HLT.

### 5.1 Level 1 trigger selections

Since track finding requires reading out the ATLAS ID subsystems, which is only possible at a frequency much lower than the 40 MHz LHC maximum bunch-crossing rate, the L1 selection for  $b$ -jets is based exclusively on information from the ATLAS calorimeter and muon systems [4]. In 2022 and 2023, the legacy L1 system introduced in section 4 was used for the hardware jet selection. Hadronic jets are reconstructed in the L1Calo systems as clusters of energy in the grid of trigger towers ( $0.2 \times 0.2$  in  $\eta$  and  $\phi$ ) presenting hadronic and electromagnetic transverse energy sum substantially above the expectation from noise [38]. Suppression of backgrounds from different bunch crossings (“out-of-time backgrounds”) and pile-up from the same bunch crossing is improved through noise thresholds that are  $\eta$  dependent and through an energy pedestal subtraction that varies based on the LHC bunch crossing being analysed. Jets reconstructed in the calorimeter systems are used to select events that are likely to contain high-momentum jets and to reject the large background of diffractive and soft-QCD processes.

Since  $b$ -hadron decay chains include muons about 20% of the time [39], selecting events with muon candidates in addition to calorimeter jets greatly reduces the rate of non- $b$ -jet backgrounds with a non-negligible  $b$ -jet selection efficiency, especially in the case of multi- $b$ -jet final states where the combinatorial probability of having at least one muon from  $b$ -hadron decays grows quickly with the number of  $b$ -jets. Some ATLAS trigger selections take advantage of this, either at L1 alone (through the L1Topo system) or in both the L1 and HLT selections; specifically, muon triggers are used for signatures targeting four or more  $b$ -jets and for single-jet triggers used in  $b$ -tagging calibrations.

### 5.2 Key inputs to HLT $b$ -jet identification

Real-time software  $b$ -tagging depends on three main reconstructed inputs: hadronic jets, charged-particle tracks (tracks), and primary interaction vertices (PVs). A more detailed description of each of

these ingredients is given in section 4 of ref. [5] for the ATLAS offline  $b$ -tagging algorithms; here the focus is on the primary differences between the inputs to offline and online  $b$ -tagging.

### 5.2.1 Charged-particle tracking

Charged-particle tracks are reconstructed in the ID [40], and several track reconstruction strategies are used, depending on the rate at which they run. Here is presented an overview of these strategies as pertains to the selection of  $b$ -jets in the HLT, but a more complete description can be found in ref. [41] and in section 5.1 of ref. [4].

A *fast track finder* (FTF) — less CPU intensive than alternative strategies — performs track pattern recognition and a fast track fit. To reduce CPU consumption, TRT hits are not included in the track fit in the FTF stage. A *precision track finder*, also referred to as “precision tracking,” is optionally run after the FTF stage. The precision tracking strategy uses the output of FTF tracking, namely the spacepoints assigned to tracks, and later runs the full offline track fit on these spacepoints [42]. This achieves higher CPU efficiency than using the full offline track reconstruction algorithm. Using the offline track fit in the precision tracking strategy provides well-measured tracks with high purity after quality requirements and good resolution relative to the full offline tracks [4, 42].

Tracking may be run over the entire detector (full-scan tracking), in regions of interest of a defined geometry around some seeding object (RoI tracking), or by combining several RoIs into a single larger RoI (super-RoI tracking). Performing tracking in a limited subset of the detector reduces the computing time required. The choice of full-scan tracking, RoI tracking, or super-RoI tracking depends on the event rate at which tracking is required and the performance needs of selections that depend on these tracks. In HLT selections involving  $b$ -tagging, an instance of full-scan tracking using the FTF strategy is used for primary-vertex reconstruction and jet finding; tracking parameters are chosen to enable it to run very quickly over the full detector but at the expense of the tracking efficiency. Charged-particle tracks that are input to the  $b$ -tagging algorithms themselves are the result of FTF and precision tracking steps that run in jet RoIs. For some signatures involving multiple  $b$ -jets, the FTF full-scan tracking is unaffordable at the input rate required; in such cases, a  $b$ -tagging “preselection” is imposed based on a separate FTF reconstruction instance operating in a super-RoI built from calorimeter jets. In events with at least four calorimeter jets with transverse momentum  $p_T > 20$  GeV, full-scan tracking requires about 1 second per event, super-RoI tracking about 250 ms per event, and RoI precision tracking for  $b$ -tagging about 350 ms per event.

The precision and FTF tracking efficiencies for charged pions with  $p_T > 4$  GeV ranges from 90% for  $|\eta| < 1$  to 70% in the forward region ( $2.3 < |\eta| < 2.5$ ) of the detector; the efficiency for both tracking algorithms falls very quickly for charged particles with  $p_T < 1$  GeV [4]. Additional selection criteria for reconstructed tracks are applied in the  $b$ -tagging algorithms to maintain a high efficiency for charged particles from heavy-flavour hadron decays while rejecting tracks originating from pile-up interactions. For example, tracks with poor fit quality are discarded. These additional selections are detailed in sections 4 and 5 of ref. [5].

### 5.2.2 Primary vertex reconstruction

The reconstruction of primary vertices for each event is crucial for high-performance  $b$ -tagging, since the measured location of the hard-scatter collision (i.e. the collision in a bunch crossing resulting in the process of interest) is used as a reference point for calculating track and vertex displacements [43].

For use in  $b$ -tagging algorithms, a track’s transverse and longitudinal impact parameters relative to the reconstructed PV,  $d_0$  and  $z_0$ , are respectively defined as the track’s distance of closest approach to the PV in the transverse plane and the longitudinal separation between the PV and the point on the track where  $d_0$  is measured; this  $d_0$  measuring point is called the “perigee”. The impact parameters  $d_0$  and  $z_0$  tend to be larger for tracks from  $b$ -hadron decays than for those originating directly from the hard-scatter interaction.

During LHC Run 3, PV finding in the HLT is performed using a Gaussian distribution track-density seed finder, which seeds vertex-finding locations based on reconstructed tracks, followed by an adaptive multi-vertex finder algorithm [43] that associates tracks to vertex candidates via association weights optimised through an annealing process. For  $pp$  collisions with a high track multiplicity, the vertex  $z$  position resolution is about  $30\ \mu\text{m}$ , while the transverse position resolution in the core of the distribution is 10 to  $12\ \mu\text{m}$ . For comparison, the luminous region typically is of the order of tens of millimeters in  $z$  and less than  $10\ \mu\text{m}$  in the transverse plane.

### 5.2.3 Jet reconstruction algorithms

Two different types of hadronic jets are reconstructed in the HLT for the purpose of  $b$ -jet identification; these differ primarily in their input constituents, and the choice of constituent depends on the event rate at which they can be constructed given computing constraints. The first type of jet is built from topological clusters of calorimeter cells with significant energy, calibrated to the electromagnetic energy scale [44]; these are called EMTopo jets. The second is known as “particle-flow” jets, since their constituents are particle-flow objects (PFOs) inferred from information from both the ATLAS calorimeters and ID. In particular, particle-flow jet reconstruction can take advantage of the superior resolution of particle tracking when low- $p_T$  charged hadrons yield both calorimeter deposits and a charged-particle track; the calibration of the PFO candidates considers both calorimetry and tracking information [45]. PFO candidates that are not matched to the hard-scatter vertex are omitted from jet finding, reducing the impact of pile-up interactions on the jet response. However, particle-flow jet reconstruction comes at a much higher computational cost due to its reliance on event-wide ID track finding to construct constituents and to determine the hard-scatter vertex.

The anti- $k_r$  algorithm with radius parameter  $R = 0.4$  [46], implemented in FASTJET [47], is used for both collections of jets. Jets with  $p_T < 20\ \text{GeV}$  or  $|\eta| \geq 2.5$  are not considered for  $b$ -tagging for several reasons: (1) jets outside this  $\eta$  range are beyond the fiducial volume of the ATLAS ID, (2) the number of jets that must be considered at low- $p_T$  quickly becomes computationally prohibitive, and (3) the efficiency calibration of low- $p_T$  jets is extremely challenging [48].

To reduce the number of jets with large energy fractions from pile-up collision vertices before  $b$ -tagging algorithms are run, the “jet vertex tagger” (JVT) algorithm is used [49] for particle-flow jets. The JVT procedure is based on a multivariate discriminant for each jet within  $|\eta| < 2.4$  built from the ID tracks ghost-associated<sup>4</sup> with the jet; in particular, jets with a large fraction of high-momentum tracks from pile-up vertices are less likely to satisfy the JVT requirement. The JVT efficiency for jets originating from the hard  $pp$  scattering is above 90% in the simulation across this  $p_T$  range and grows with jet  $p_T$ . Since the rate of pile-up jets with  $p_T \geq 60\ \text{GeV}$  is sufficiently small, the JVT requirement is removed above this threshold.

<sup>4</sup>The ghost-association algorithm collects tracks within a jet’s geometric catchment area, taking into account possibly overlapping jet areas and is detailed in ref. [46].

### 5.2.4 Track-to-jet matching and jet labeling

Tracks are matched to jets by setting a maximum allowed angular separation  $\Delta R$  between the track momenta, defined at the perigee, and the jet axis, which is defined as the direction of the four-momentum sum of the jet constituents. Given that the decay products from higher- $p_T$   $b$ -hadrons are more collimated, the  $\Delta R$  requirement varies as a function of jet  $p_T$ , being wider for low- $p_T$  jets (0.45 for jet  $p_T = 20$  GeV) and narrower for high- $p_T$  jets (0.26 for jet  $p_T = 150$  GeV); if more than one jet fulfils the matching criteria, the closest jet is preferred [5]. The jet axis is also used to assign signed impact parameters to tracks, where the sign is defined to be positive if the track intersects the jet axis in the transverse plane in front of the primary vertex, and negative if the intersection lies behind the primary vertex [50].

The flavour of a jet in simulation is determined by the nature of the hadrons it contains. Jets are labelled as  $b$ -jets if at least one weakly decaying  $b$ -hadron having  $p_T \geq 5$  GeV is found within a cone of size  $\Delta R = 0.3$  around the jet axis. If no  $b$ -hadrons are found,  $c$ -hadrons and then  $\tau$ -leptons are searched for, based on the same selection criteria. The jets matched to a  $c$ -hadron ( $\tau$ -lepton) are labelled as  $c$ -jets ( $\tau$ -lepton jets). The remaining jets are labelled as light-flavour jets. For jets with more than one heavy-flavour hadron, e.g. from gluon splitting into  $b\bar{b}$  or  $c\bar{c}$ , the procedure above is still followed, and  $b\bar{b}$  ( $c\bar{c}$ ) jets will receive a  $b$  ( $c$ ) label.

### 5.3 Low-level identification algorithms

The outputs of three “low-level”  $b$ -jet identification algorithms are used as inputs to the full “high-level” HLT discriminants used for the trigger decision. These can be broadly categorised as secondary-vertex (SV) finders and neural-network-based discriminators with tracks as their inputs.

Two secondary-vertex finders were used in the HLT in 2022 data-taking, the `JetFitter` and `SSVF` algorithms; each algorithm attempts to reconstruct the  $b$ -hadron decay vertex and, in the case of `JetFitter`, the subsequent  $c$ -hadron decay as a tertiary vertex. The `SSVF` vertexing algorithm finds at most one displaced vertex consistent with a heavy-flavour hadron decay based on charged-particle tracks within a jet [51]. `JetFitter` is a topological multi-vertex finding algorithm that attempts to reconstruct the full  $b$ -hadron decay chain, employing a modified Kalman filter to fit the  $b$ -hadron decay hypothesis [52]. Many observables from `SSVF` and `JetFitter` vertex finding, including the track multiplicity, invariant mass, and three-dimensional decay length significance of the vertex candidates, are constructed for use as inputs to high-level  $b$ -tagging algorithms.

Features from a neural-network discriminator taking ID tracks as input are also constructed for HLT  $b$ -tagging. This discriminator is based on the `DeepSet` architecture [53], which is a universal approximator of permutation-invariant functions with variable-length input sets. The Deep Impact Parameter Sets (DIPS) discriminator uses ten quantities for individual tracks to determine the probability that a given jet is a  $b$ -jet,  $c$ -jet, or light-flavour jet. This follows the algorithm originally designed for offline flavour-tagging [54, 55], but a subset of the input variables used in the offline case are included: the transverse and longitudinal impact parameters of the track relative to the primary vertex, the corresponding signed impact parameter significances,<sup>5</sup> the number of hits in the IBL, the number of hits in the first pixel layer beyond the IBL, the total number of hits in the pixel system, the total number of hits in the SCT, the  $\Delta R$  between the track and the jet axis, and the ratio

<sup>5</sup>The impact parameter significance of a track is defined as the ratio of the track impact parameter to its uncertainty.

of the track  $p_T$  to the jet  $p_T$ . Using the notation of ref. [54], the per-track embedding network  $\phi$  comprises three layers containing 100, 100, and 128 nodes each; the jet-wide network  $F$  has four internal layers with 100, 100, 100, and 30 nodes each. The resulting flavour probabilities are used as low-level inputs to high-level  $b$ -tagging algorithms.

#### 5.4 High-level taggers: DL1d and GN1

Two high-level  $b$ -tagging algorithms are used for HLT  $b$ -jet identification: DL1d and GN1. Both are constructed from neural networks and optimised using the categorical cross-entropy loss to derive an optimal discriminant between  $b$ -,  $c$ -, and light-flavour jets.

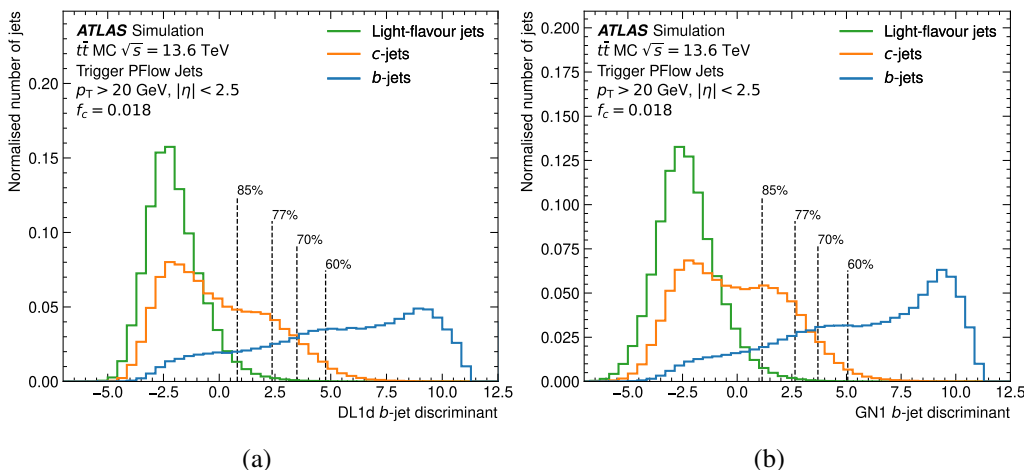
DL1d is a multi-layer perceptron (MLP) classifier that takes as inputs the jet  $p_T$  and  $|\eta|$  and several outputs from low-level taggers and emits approximate probabilities that a jet has a given flavour, denoted  $p_u$ ,  $p_c$ , and  $p_b$  for light-flavour,  $c$ -, and  $b$ -jet probabilities. From SSVF, key inputs are the invariant mass of the SV ( $m_{SV}$ ), the energy fraction of SV tracks ( $E_{\text{frac}}$ ), the SV track multiplicity ( $n_{\text{trk}}^{SV}$ ), the 3D and transverse distances ( $L_{xyz}$  and  $L_{xy}$ ) between the PV and SV, the significance of the 3D distance between PV and SV ( $S_{xyz}$ ), and the  $\Delta R$  between the jet axis and the displacement of the SV relative to the PV. Similarly, for JetFitter the most important inputs are the  $b$ -hadron SV  $m_{SV}$ ,  $E_{\text{frac}}$ ,  $L_{xy}$ ,  $L_{xyz}$ ,  $S_{xyz}$ ,  $\Delta R$  to the jet axis, and additionally the invariant mass and energy fraction of the possible tertiary vertex. Finally, the DIPS classification scores,  $p_u^{\text{DIPS}}$ ,  $p_c^{\text{DIPS}}$ , and  $p_b^{\text{DIPS}}$ , are used as inputs to DL1d. The network architecture comprises eight dense layers of [256, 128, 60, 48, 36, 24, 12, 6] nodes per layer and the ReLU activation function [56]. For a complete list of inputs and network architectural details, see ref. [5]. The DL1d algorithm was used for real-time  $b$ -jet identification in the HLT during the 2022 data-taking.

During the 2023 LHC  $pp$  collision run, a new flavour-tagging algorithm based on graph neural networks, GN1, was used [57]. In addition to the jet transverse momentum and pseudorapidity, GN1 directly uses about 20 quantities for each track associated with a jet rather than the outputs of low-level taggers to discriminate between jet flavours. Only tracks with  $p_T > 500$  MeV and satisfying loose requirements on the number of associated silicon hits in the pixel and SCT systems are considered. Among the 20 quantities, important input features include the track  $q/p$ , its angular distance to the jet axis, its impact parameters relative to the primary vertex, the corresponding impact parameter significances, and summary quality criteria; ref. [57] provides a detailed description of all tracking quantities used.

In this article, the DL1r tagging algorithm, based on the same architecture as DL1d, but utilizing a low-level algorithm based on Recurrent Neural Networks [58] instead of DIPS, and used in a wide array of physics results as an offline tagging algorithm [5], is shown for performance comparisons only. While DL1r was not deployed as part of the ATLAS trigger system in LHC Run 3, its performance is shown in what follows to provide a perspective on the algorithm evolution leading to the DL1d and GN1 algorithms.

#### 5.5 Classifier training procedure

Following the prescription detailed in ref. [5], a training sample is built of jets taken from simulated  $t\bar{t}$  production with at least one leptonically decaying  $W$  boson in the final state. This yields a good mixture of  $b$ -,  $c$ -, and light-flavour jets with which to train the neural-network classifiers. This population of jets is resampled such that all flavours have the same distribution in the two-dimensional



**Figure 1.** The (a) DL1d and (b) GN1 discriminant scores for  $b$ -,  $c$ -, and light-flavour jets in  $t\bar{t}$  events with  $p_T > 20$  GeV and  $|\eta| < 2.5$ . Dashed vertical lines indicate the discriminant selections for operating points that are commonly used in the HLT.

$p_T \times |\eta|$  space to ensure that these jet kinematic quantities are not used for discrimination; the  $b$ -jet distribution is used as the resampling target. The classifiers are trained using the ADAM optimizer [59] within the KERAS [60] and PYTORCH [61] frameworks. The categorical cross-entropy loss is minimized to derive the optimal classifier of  $b$ -,  $c$ -, and light-flavour jets.

In the online environment, the performance of flavour-tagging for relatively low- $p_T$  jets is particularly important: the cross-section of multijet production falls quickly with the energy scale of the event, to the point that above  $\sim 400$  GeV, flavour-tagging is not needed to reduce HLT rates to a tractable level. As such, the online  $b$ -tagging algorithms are trained only using jets from  $t\bar{t}$  production without additional jets from high- $Q^2$  processes, which were included in previous studies for offline flavour-tagging [5].

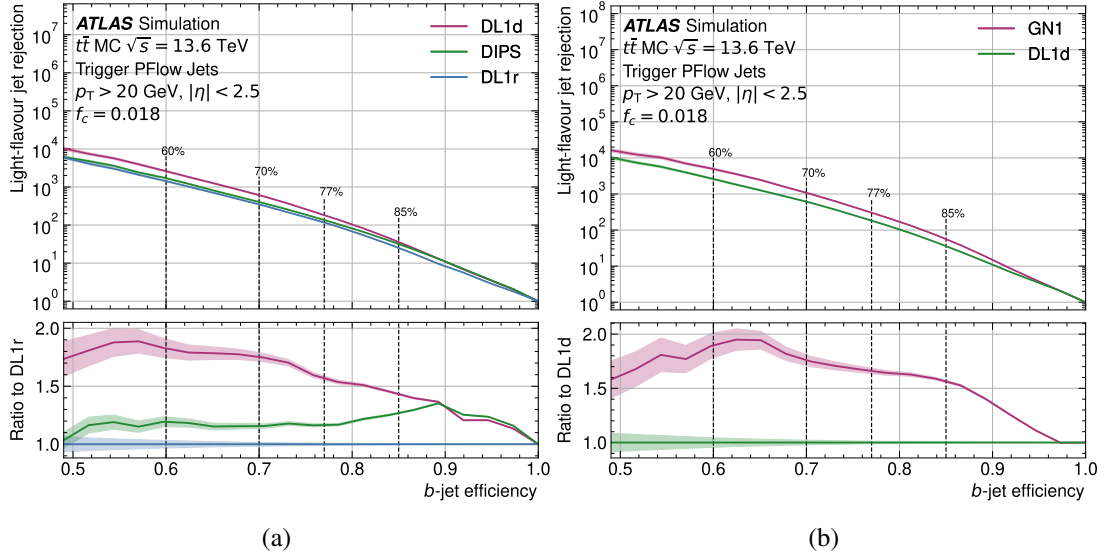
## 5.6 Performance in simulation

After training, a discriminant for  $b$ -jet identification is constructed from the outputs of the DL1d and GN1 classifiers, which are the probabilities that a jet belongs to the  $b$ -,  $c$ -, or light-jet categories. For  $b$ -jet identification, this is defined as

$$D_b = \log \frac{p_b}{f_c p_b + (1 - f_c) p_u}, \quad (5.1)$$

where  $f_c$  is a hyperparameter denoting the  $c$ -jet fraction of the background population of jets. For both DL1d and GN1, the choice of  $f_c = 0.018$  is made, following the optimisation over many measurements and searches [5], in order to maximize the overlap between online and offline  $b$ -tagging selections. From these discriminants, operating points (OPs) are defined; e.g. the “70%  $b$ -tagging operating point” is the value of  $D_b$  for which 70% of  $b$ -jets with  $p_T > 20$  GeV in a SM  $t\bar{t}$  sample have a higher discriminant score. Figure 1 shows the real-time  $b$ -tagging discriminant output for  $b$ -,  $c$ -, and light-jets in a simulated sample of  $t\bar{t}$  events; clear discrimination among the jet flavour classes is observed.

The receiver operating characteristic (ROC) curves for the  $b$ -jet vs light-jet classification task are shown in figure 2 (a) for DIPS and DL1d compared with the Run 2 baseline  $b$ -jet identification



**Figure 2.** The ROC curves for various flavour-tagging discriminants using light-flavour jets and  $b$ -jets from  $t\bar{t}$  events with  $p_T > 20$  GeV and  $|\eta| < 2.5$ . The DL1d and DIPS discriminants are compared with the (a) DL1r tagger used for  $b$ -tagging with the ATLAS detector in the LHC Run 2, and (b) the GN1 classifier is compared with the DL1d classifier. The lower panels show the ratio of the light-jet rejection factors to DL1r (a) and DL1d (b). The shaded area represents the statistical uncertainty.

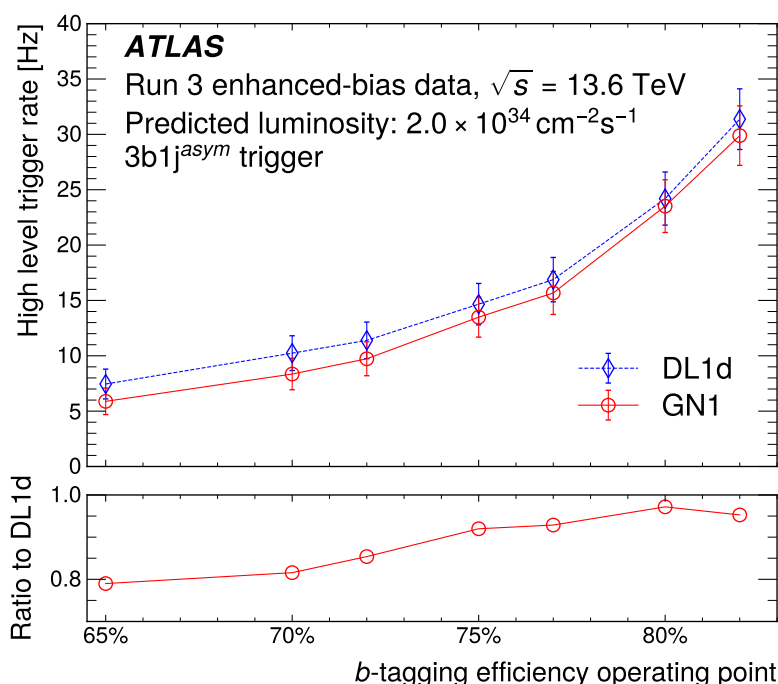
algorithm, DL1r; here, the rejection of background jets for a selection is defined as  $1/\varepsilon$ , where  $\varepsilon$  is the probability of a background jet to satisfy the selection. The focus in the trigger system is on light-jet discrimination, as opposed to  $c$ -jet discrimination, as most multijet events at the LHC do not produce a high-momentum charm hadron. The simulated performance of GN1 is compared with DL1d in figure 2 (a): the GN1 classifier outperforms DL1d for all  $b$ -jet efficiency OPs, providing up to a factor two improvement in light-flavour jet rejection at a fixed  $b$ -tagging selection efficiency.

## 6 The $b$ -jet trigger menu

To record events, the objects defined in section 5 are used in combination according to a set of sequential rules named *trigger chains*. A chain consists of an L1 trigger item and a series of HLT algorithms organised into distinct steps that reconstruct physics objects and apply kinematic selections to them [4]. The list of trigger chains is referred to as the *trigger menu*. A large variety of  $b$ -jet trigger chains were deployed since the start of Run 3 and were optimised using simulated events and validated using the first data recorded at the beginning of the Run 3 data-taking.

### 6.1 Estimated rates for $b$ -jet chains

Before data taking, rates for the proposed chains are evaluated with a data-driven technique that uses a sample of collision events selected by the L1 system during previous runs to produce a compact data sample, referred to as *enhanced bias* [62]. Enhanced bias data have the statistical power to assess the trigger rate of algorithmic selections performed by the HLT. This is a crucial asset when designing low- $p_T$   $b$ -jet trigger chains, where the rates express a trade-off between requiring tighter  $b$ -tagging working points or higher jet  $p_T$  thresholds at HLT to reject the overwhelming multijet production.



**Figure 3.** Estimated trigger rates by applying the trigger decision to enhanced bias data for the multi- $b$ -jet selection ( $3b1j^{\text{asym}}$ ) using different DL1d and GN1 HLT  $b$ -tagging working points.

Figure 3 shows the estimated rate for a four-jet trigger with at least three  $b$ -tagged jets ( $3b1j^{\text{asym}}$ ), which is described in more detail in section 6.2) as a function of the working point used for DL1d and for GN1. With an estimated  $3b1j^{\text{asym}}$  trigger rate of approximately 30 Hz, the  $\epsilon = 82\%$  operating point was deployed online; GN1 outperforms DL1d by about a 5% relative rate at this particular operating point.

## 6.2 List of $b$ -jet chains

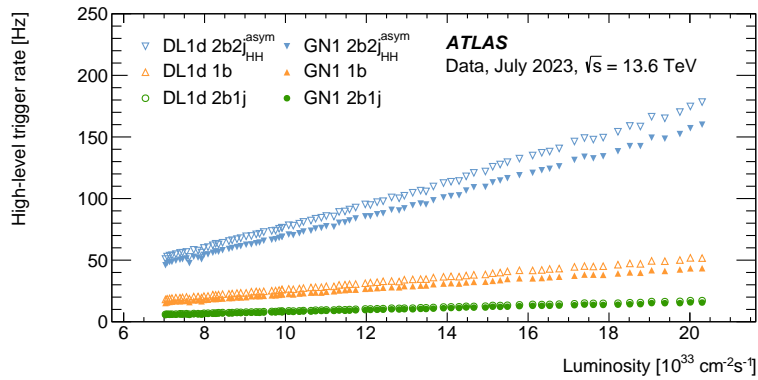
The list of  $b$ -jet trigger chains used in Run 3, itemized according to the requirements used at each level, from L1 to HLT preselection to HLT is summarised in table 1 for the general-purpose chains, and in tables 2, 3 and 4 for the chains specialized for analyses such as di-Higgs boson [8], supersymmetric searches [13] and Vector Boson Fusion (VBF) measurements. Starting from Run 3, most  $b$ -jet trigger chains use a preselection step, to reduce the rate at which the precision tracking is performed. This consists of loose EMTopo jet  $p_T$  requirements combined with a loose  $b$ -tagging preselection, based on the DIPS algorithm which uses FTF as input [55], referred to as FASTDIPS. The loose FASTDIPS working points deployed at preselection level present a  $b$ -tagging efficiency of 85%, 90% and 95% when estimated on  $t\bar{t}$  simulated events, and a light-jet rejection of 10, 5 and 2.5 respectively [55]. Figure 4 shows the linear dependency of the output rates for the high- $p_T$  threshold 1b, 2b1j and for the 2b2j<sup>asym</sup> (seeded by three low- $p_T$  jets at L1)  $b$ -jet triggers, as a function of the instantaneous luminosity for a collision run taken in 2022.

**Table 1.** Details of the lowest-threshold  $b$ -jet triggers chains included in the Main Stream. For L1 (HLT preselection and selection),  $n \times E_T$  ( $p_T$ ) indicates that  $n$  trigger objects must satisfy the listed requirements, the L1 energy scale corresponds to an uncorrected EM scale [63]. In the  $b$ -tag column,  $n \times b$  indicates that  $n$  jets with  $p_T > 20$  GeV must satisfy the specified  $b$ -tagging operating point; these  $b$ -jet candidates need not be a subset of the jets satisfying the  $p_T$ -threshold requirements. L1 and HLT jets are required to be within  $|\eta| < 3.2$  and  $|\eta| < 2.9$  respectively. Unless otherwise specified, the preselection follows the  $|\eta|$  requirements of the HLT. Jets with  $|\eta| > 2.5$  are not considered for  $b$ -tagging. At HLT the value associated with the  $b$ -tagging efficiency ( $\varepsilon$ ) is the DL1d (GN1) working point deployed in 2022 (2023) at HLT, while, for the HLT preselection level, it is the FASTDIPS working point. For each trigger chain, the requirements listed in a given column must be satisfied independently. The trigger thresholds denoted by the asterisk (\*) were moved down by  $\sim 6\%$  from May 2023, thanks to an updated jet calibration at the HLT which improved the  $p_T$  response. Jets in the HLT preselection are built from calorimeter inputs alone (EMTopo), while those in the HLT selection include charged-particle tracking (particle-flow).

Type	L1 threshold	HLT preselection threshold		HLT selection threshold	
	$E_T$ [GeV]	$p_T$ [GeV]	$b$ -tag	$p_T$ [GeV]	$b$ -tag
1b	$1 \times 100$	$1 \times 180$	-	$1 \times 255^*$	$1 \times b, \varepsilon = 70\%$
		$1 \times 225$	-	$1 \times 300^*$	$1 \times b, \varepsilon = 77\%$
		$1 \times 225$	-	$1 \times 360^*$	$1 \times 1b, \varepsilon = 85\%$
2b	$1 \times 100$	$1 \times 140,$ $1 \times 45$	$2 \times b, \varepsilon = 85\%$	$1 \times 175,$ $1 \times 60$	$2 \times b, \varepsilon = 60\%$
2b1j	$1 \times 85,$ $2 \times 30$	$1 \times 80,$ $2 \times 45$	$2 \times b, \varepsilon = 90\%$	$1 \times 150^*,$ $2 \times 55^*$	$2 \times b, \varepsilon = 70\%$
3b	$3 \times 35,$ $ \eta  < 2.3$	$3 \times 45$	$2 \times b, \varepsilon = 95\%$	$3 \times 65^*$	$3 \times b, \varepsilon = 77\%$
1b3j	$4 \times 20$	$4 \times 50$	$1 \times b, \varepsilon = 85\%$	$1 \times 75^*$ $3 \times 75^*,  \eta  < 3.2$	$1 \times b, \varepsilon = 60\%$
2b2j <sub>cent</sub>	$4 \times 15,$ $ \eta  < 2.5$	$4 \times 25$	$2 \times b, \varepsilon = 85\%$	$4 \times 35,  \eta  < 2.5$	$2 \times b, \varepsilon = 60\%$
2b2j	$4 \times 15,$ $ \eta  < 2.5$	$4 \times 25$	$2 \times b, \varepsilon = 85\%$	$2 \times 45$ $2 \times 45,  \eta  < 3.2$	$2 \times b, \varepsilon = 60\%$
2b+H <sub>T</sub>	$H_T > 150,$ $M_{jj} > 400$			$2 \times 45$ $M_{jj} > 700, H_T > 300^*$	$2 \times b, \varepsilon = 70\%$
3b1j	$4 \times 15,$ $ \eta  < 2.5$	$4 \times 25$	$2 \times b, \varepsilon = 85\%$	$3 \times 35$ $1 \times 35,  \eta  < 3.2$	$3 \times b, \varepsilon = 70\%$
4b	$4 \times 15,$ $ \eta  < 2.5$	$4 \times 25$	$4 \times b, \varepsilon = 95\%$	$4 \times 35$	$2 \times b, \varepsilon = 85\%$
					$2 \times b, \varepsilon = 70\%$
					$4 \times b, \varepsilon = 77\%$
2b3j	$5 \times 15,$ $ \eta  < 2.5$	$5 \times 25$	$2 \times b, \varepsilon = 85\%$	$3 \times 35$ $2 \times 35,  \eta  < 3.2$	$2 \times b, \varepsilon = 60\%$

**Table 2.** Details of the asymmetric  $b$ -jet triggers dedicated for Higgs boson physics included in the Main and Delayed (\*) Streams. For L1 (HLT preselection and selection),  $n \times E_T$  ( $p_T$ ) indicates that  $n$  trigger objects must satisfy the listed requirements, the L1 energy scale corresponds to an uncorrected EM scale [63]. In the  $b$ -tag column,  $n \times b$  indicates that  $n$  jets with  $p_T > 20$  GeV must satisfy the specified  $b$ -tagging operating point; these  $b$ -jet candidates need not be a subset of the jets satisfying the  $p_T$ -threshold requirements. L1 (j) and HLT jets are required to be within  $|\eta| < 3.2$  and  $|\eta| < 2.4$  respectively. Unless otherwise specified, the preselection follows the  $|\eta|$  requirements of the HLT. Jets with  $|\eta| > 2.5$  are not considered for  $b$ -tagging. At HLT the value associated with the  $b$ -tagging efficiency ( $\varepsilon$ ) is the DL1d (GN1) working point deployed in 2022 (2023) at HLT, while, for the HLT preselection level, it is the FASTDIPS working point. For each trigger chain, the requirements listed in a given column must be satisfied independently. Jets in the HLT preselection are built from calorimeter inputs alone (EMTopo), while those in the HLT selection include charged-particle tracking (particle-flow). L1 muons require two- and three-stations coincidence respectively for the barrel ( $|\eta| < 1.05$ ) and the endcap  $1.05 < |\eta| < 2.4$ .

Type	L1 threshold	HLT preselection		HLT selection	
	$E_T$ [GeV]	$p_T$ [GeV]	$b$ -tag	$p_T$ [GeV]	$b$ -tag
$3b1j^{\text{asym}}$	j: $1 \times 45$ ,			$1 \times 80$	
	$ \eta  < 2.1$			$1 \times 55$	
	$2 \times 15$ ,	$4 \times 20$	$2 \times b, \varepsilon = 85\%$	$1 \times 28$	$3 \times b, \varepsilon = 82\%$
	$ \eta  < 2.5$			$1 \times 20$	
$2b2j^{\text{asym} (*)}$	j: $1 \times 45$ ,			$1 \times 80$	
	$ \eta  < 2.1$			$1 \times 55$	
	$2 \times 15$ ,	$4 \times 20$	$2 \times b, \varepsilon = 85\%$	$1 \times 28$	$2 \times b, \varepsilon = 77\%$
	$ \eta  < 2.5$			$1 \times 20$	
$\mu+2b2j^{\text{asym} (*)}$	j: $1 \times 20$			$1 \times 80$	
	$1 \times 15$	$4 \times 20$	$2 \times b, \varepsilon = 85\%$	$1 \times 55$	$2 \times b, \varepsilon = 77\%$
	$\mu: 1 \times 8$ ( $p_T$ )			$1 \times 28$	
				$1 \times 20$	



**Figure 4.** Output rates of selected ATLAS  $b$ -jet triggers as a function of the instantaneous luminosity during 2023 proton-proton data taking with a centre-of-mass energy of 13.6 TeV and an LHC bunch-crossing interval of 25 ns for DL1d (empty markers) and GN1 (solid markers). The trigger chains represented,  $2b2j^{\text{asym}}$  (inverted triangles), 1b (triangles) and  $2b1j$  (circles) are defined in tables 1 and 2.

**Table 3.** Details of the missing transverse energy plus  $b$ -jet triggers dedicated for supersymmetric searches included in the Main Stream. For L1 (HLT preselection and selection),  $n \times E_T$  ( $p_T$ ) indicates that  $n$  trigger objects must satisfy the listed requirements, the L1 energy scale corresponds to an uncorrected EM scale [63]. In the  $b$ -tag column,  $n \times b$  indicates that  $n$  jets with  $p_T > 20$  GeV must satisfy the specified  $b$ -tagging operating point; these  $b$ -jet candidates need not be a subset of the jets satisfying the  $p_T$ -threshold requirements. L1 (j) and HLT jets are required to be within  $|\eta| < 3.2$  and  $|\eta| < 2.9$  respectively. Unless otherwise specified, the preselection follows the  $|\eta|$  requirements of the HLT. Jets with  $|\eta| > 2.5$  are not considered for  $b$ -tagging. At HLT the value associated with the  $b$ -tagging efficiency ( $\varepsilon$ ) is the DL1d (GN1) working point deployed in 2022 (2023) at HLT, while, for the HLT preselection level, it is the FASTDIPS working point. Cell-based missing transverse energy ( $E_T^{\text{miss}}$ ) [4] is used for early reduction, whereas the final  $E_T^{\text{miss}}$  selection at HLT is based on PFOs. For each trigger chain, the requirements listed in a given column must be satisfied independently. Jets in the HLT preselection are built from calorimeter inputs alone (EMTopo), while those in the HLT selection include charged-particle tracking (particle-flow).

Type	L1 threshold	HLT preselection	HLT selection	
	$E_T$ [GeV]	$E_{T,\text{cell}}^{\text{miss}}$ [GeV]	$p_T$ [GeV]	$b$ -tag
1b1j+E $_T^{\text{miss}}$	$E_T^{\text{miss}} > 40$ j: $2 \times 50$	60	j: $1 \times 80$	$1 \times b$ , $\varepsilon = 60\%$
1b+E $_T^{\text{miss}}$	$E_T^{\text{miss}} > 55$	50	$E_T^{\text{miss}} > 85$ j: $1 \times 100$	$1 \times b$ , $\varepsilon = 60\%$
2b+E $_T^{\text{miss}}$	$E_T^{\text{miss}} > 55$ j: $2 \times 15$	50	$E_T^{\text{miss}} > 85$ j: $2 \times 45$	$2 \times b$ , $\varepsilon = 60\%$
3b+E $_T^{\text{miss}}$	$E_T^{\text{miss}} > 40$ j: $3 \times 15$ , $ \eta  < 2.5$	50	$E_T^{\text{miss}} > 70$ j: $3 \times 35$	$3 \times b$ , $\varepsilon = 60\%$

### 6.3 Performance in $t\bar{t}$ enriched data

Top quark pairs are copiously produced at the LHC and can be used as a control sample to compare data and simulations. Since the branching fraction of the top-quark decay into a  $W$  boson and a  $b$ -quark is nearly 100%, identifying these events can provide a large sample of relatively pure  $b$ -jets, an important asset when assessing  $b$ -tagging performance for both offline [27] and online [16] algorithms. Moreover, MC simulated  $t\bar{t}$  events are used, before data-taking, to optimise the algorithm working points which are later deployed online. Once collected using lepton triggers, top quark pairs decay into final state with at least one lepton approximately 56% of the time [64]; data enriched in  $t\bar{t}$  events provide an unbiased sample in which the  $b$ -jet trigger performance for  $b$ -jets in data can be compared with MC. This comparison is performed once data is processed through the offline reconstruction algorithms. These algorithms benefit from the better knowledge of detector conditions, obtained during the offline processing, and are therefore more precise than their online equivalent. Moreover, the amount of CPU time per event is less of a constraint for offline reconstruction, whereas the online performance is largely affected by the limitations on the CPU consumption imposed to the FTF seed finding, a major source of inefficiency for online tracking [65]. This has a direct impact on the better performance of the offline  $b$ -tagging algorithms relative to their online equivalent.

**Table 4.** Details of the forward jets plus  $b$ -jet trigger chains dedicated to VBF measurements included in the Main Stream. For L1 (HLT preselection and selection),  $n \times E_T$  ( $p_T$ ) indicates that  $n$  trigger objects must satisfy the listed requirements, the L1 energy scale corresponds to an uncorrected EM scale [63]. In the  $b$ -tag column,  $n \times b$  indicates that  $n$  jets with  $p_T > 20$  GeV must satisfy the specified  $b$ -tagging operating point; these  $b$ -jet candidates need not be a subset of the jets satisfying the  $p_T$ -threshold requirements. L1 (j) and HLT jets are required to be within  $|\eta| < 3.2$  and  $|\eta| < 2.9$  respectively, while forward jets (f) are required to have  $3.1 < |\eta| < 4.9$  both at L1 and HLT. Unless otherwise specified, the preselection follows the  $|\eta|$  requirements of the HLT. Jets with  $|\eta| > 2.5$  are not considered for  $b$ -tagging. At HLT the value associated with the  $b$ -tagging efficiency ( $\varepsilon$ ) is the DL1d (GN1) working point deployed in 2022 (2023) at HLT, while, for the HLT preselection level, it is the FASTDIPS working point. For each trigger chain, the requirements listed in a given column must be satisfied independently. Jets in the HLT preselection are built from calorimeter inputs alone (EMTopo), while those in the HLT selection include charged-particle tracking (particle-flow).

Type	L1 threshold	HLT preselection	HLT selection	
	$E_T$ [GeV]	$p_T$ [GeV]	$p_T$ [GeV]	$b$ -tag
1b2f	$1 \times 25,  \eta  < 2.3$	$1 \times 45$	$1 \times 55$	$1 \times b, \varepsilon = 70\%$
	$2 \times 15, 3.1 <  \eta  < 4.9$	$1 \times 40$	$2 \times 45, 3.1 <  \eta  < 4.9$	
2b1f	$1 \times 40,  \eta  < 2.5$	$1 \times 60$	$1 \times 80$	$1 \times b, \varepsilon = 70\%$
	$1 \times 25$	$1 \times 45$	$1 \times 60$	$1 \times b, \varepsilon = 85\%$
1b1j1f	$1 \times 20, 3.1 <  \eta  < 4.9$	$1 \times 40$	$1 \times 45, 3.1 <  \eta  < 4.9$	$1 \times b, \varepsilon = 60\%$
	$1 \times 40,  \eta  < 2.5$	$1 \times 60$	$1 \times 80,  \eta  < 2.4$	
1b1j1f	$1 \times 25$	$1 \times 45$	$1 \times 60$	$1 \times b, \varepsilon = 60\%$
	$1 \times 20, 3.1 <  \eta  < 4.9$	$1 \times 40$	$1 \times 45, 3.1 <  \eta  < 4.9$	

### 6.3.1 Offline reconstruction

Electron candidates are reconstructed from an isolated electromagnetic calorimeter energy deposit with  $|\eta_{\text{cluster}}| < 2.47$ , which is matched to a track in the ID [66]. The electron track must satisfy  $|z_0 \sin \theta| < 0.5$  mm, where  $\theta$  is the track polar angle, and  $|d_0|/\sigma_{d_0} < 5$ , where  $\sigma_{d_0}$  is the uncertainty in  $d_0$ . For the tight likelihood identification working point [66] used in this work, the isolation criteria, defined as an upper requirement on the sum of the transverse energy or momentum reconstructed in a cone of size around the electron, excluding the energy of the electron itself, depends on the electron's  $p_T$ . Furthermore, electrons are required to have  $p_T > 4.5$  GeV.

Particle-flow jets are constructed from offline PFOs analogously to online particle-flow jets introduced in section 5. These jets are then calibrated to the particle level by applying a jet energy scale derived from simulation with in situ corrections based on collected data [44]. A cleaning procedure is used to identify and remove jets arising from calorimeter noise or non-collision backgrounds. To suppress pile-up jets within  $|\eta| < 2.4$ , a discriminant called the ‘jet vertex tagger’ (nnJVT) is constructed using a neural network method [49]. Jets within  $|\eta| < 2.5$  are  $b$ -tagged using the DL1d  $b$ -tagging algorithm [5, 27] with a working point corresponding to a  $b$ -tagging efficiency of 77%, measured in a sample of simulated  $t\bar{t}$  events. The corresponding rejection factors are approximately 200 and 6 for light- and  $c$ -jets, respectively.

### 6.3.2 Data Monte-Carlo comparison in $t\bar{t}$ enriched events

Data enriched in  $t\bar{t}$  events are selected with the following requirements:

- Satisfy the isolated single-electron-plus-jets trigger, corresponding to an  $E_T$  requirement of 26 GeV for the electron and  $p_T \geq 20$  GeV for the two jets at the HLT.
- Contain an offline electron with  $E_T \geq 28$  GeV,  $|\eta| < 2.47$ , excluding the transition region between the barrel and endcap cryostats ( $1.37 \leq |\eta| < 1.52$ ), satisfying the tight identification and isolation requirements.
- Exactly one electron satisfying the trigger selection.
- At least four offline jets with  $|\eta| < 2.5$  and with  $p_T > 120$  GeV, for the leading jet,  $p_T > 70$  GeV, for the subleading jet and  $p_T > 30$  GeV, for any extra jet in the event.
- At least two offline jets must satisfy the offline  $b$ -tagging DL1d algorithm criteria for the 77% efficiency working point.

After this selection, the data sample contains at least 90%  $t\bar{t}$  events, and it is used to compare data and MC simulation [67].

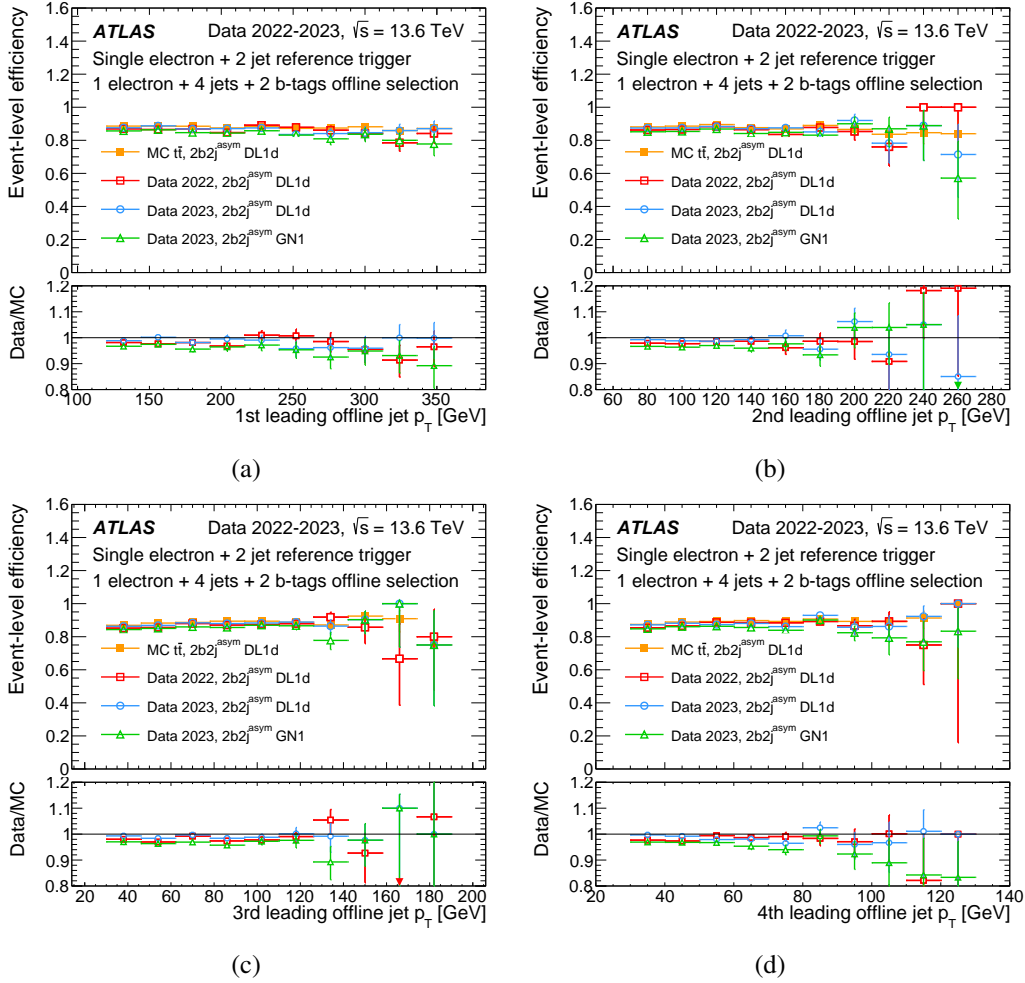
Figure 5 shows the percentage of the selected lepton-triggered events satisfying the  $2b2j^{\text{asym}}$   $b$ -jet trigger defined in table 2, for both DL1d and GN1 algorithms. This  $2b2j^{\text{asym}}$  event-level trigger efficiency is shown independently as a function of the first-, second-, third- and fourth-leading offline jet  $p_T$ . The offline jet  $p_T$  requirements are chosen such that at least 95% of the events passing the  $t\bar{t}$  offline selection satisfy the L1 and HLT jet requirements of the  $2b2j^{\text{asym}}$  trigger chain; this condition is referred to as “above the plateau of the jet trigger turn-on”. The jet  $p_T$  requirements depend on the presence of the isolated electron from the top-quark decay which can participate as a reconstructed jet in the  $2b2j^{\text{asym}}$  decision. After the offline jet requirements, the  $2b2j^{\text{asym}}$  efficiency shown in figure 5 is only sensitive to residual difference between the performance of the  $b$ -jet trigger in data and MC  $t\bar{t}$  events. Finally, figure 5 shows an overall good agreement for the correlation of the offline and online  $b$ -tagging between data and MC simulation.

### 6.4 Efficiency improvements for $HH \rightarrow bbbb$ and $HH \rightarrow bb\tau\tau$ compared with Run 2

The trigger menu described in section 6.2 yields substantial improvements in the data recording efficiency relative to Run 2 for two of dominant final states of Higgs boson pair production:  $HH \rightarrow bbbb$  and  $HH \rightarrow bb\tau\tau$ . The gain in efficiency is driven by improvements in the  $b$ -jet identification algorithms, described in section 5, that consequently allows loosening jet transverse momentum thresholds.

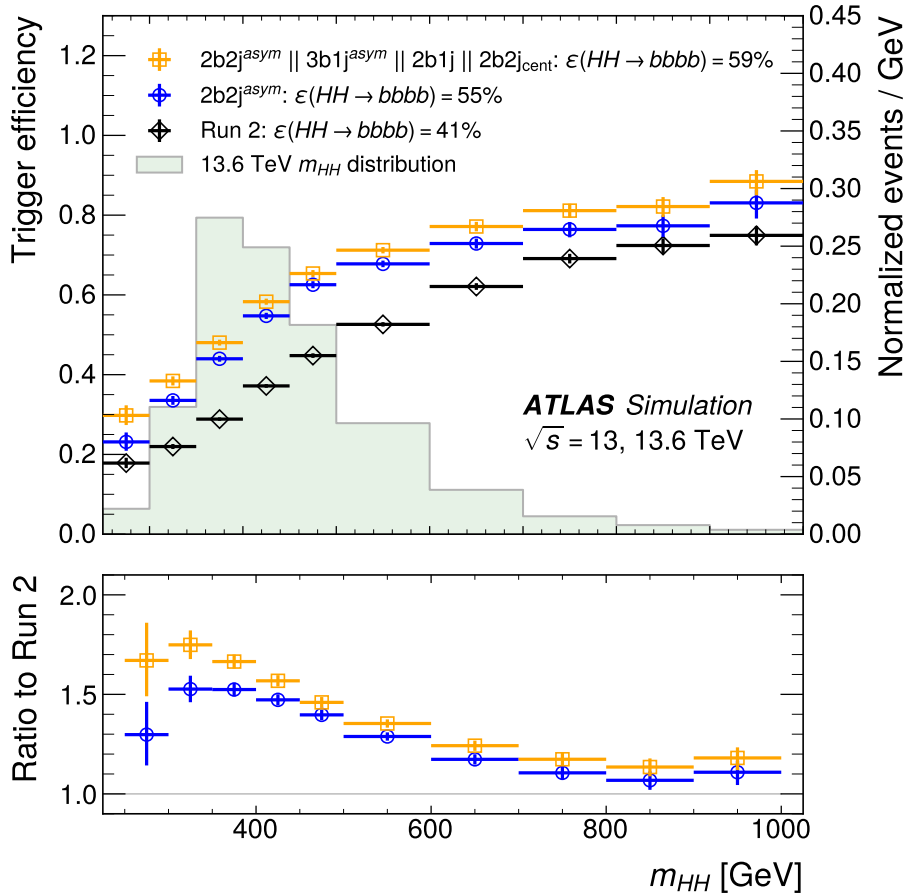
An inclusive efficiency gain of about 50% is achieved compared with the Run 2 trigger strategy for the SM  $HH \rightarrow bbbb$  signal. The efficiency improvements are especially large ( $\sim 75\%$ ) in the low  $HH$  invariant mass ( $m_{HH}$ ) region close to the  $2m_H$  threshold, which is known to have particular sensitivity to the Higgs boson self-interaction strength [68]. Figure 6 compares the  $HH \rightarrow bbbb$  signal efficiency of the Run 2 ATLAS trigger strategy with the new menu deployed in Run 3 as a function of  $m_{HH}$ .

The  $2b2j^{\text{asym}}$  trigger chain also efficiently selects events from the  $HH \rightarrow bb\tau\tau$  process in which both  $\tau$ -leptons decay hadronically; for SM  $HH \rightarrow bb\tau\tau$  production, an efficiency above 50% is



**Figure 5.** Trigger efficiencies in data and simulation for a  $2b2j^{\text{asym}}$  HLT selection after requiring an isolated-electron-plus-jet trigger and an offline selection requiring one electron, four jets and two  $b$ -tagged jets in the event. The efficiency of the  $2b2j^{\text{asym}}$  trigger selection is shown relative to the  $p_T$  of the (a) leading, (b) subleading, (c) third leading and (d) fourth leading reconstructed offline jet. Efficiencies, shown above the plateau of the jet trigger turn-on, are sensitive to differences between  $b$ -jet performance in data and MC  $t\bar{t}$  events. The lower panels show the ratio between data and the simulated  $t\bar{t}$  events.

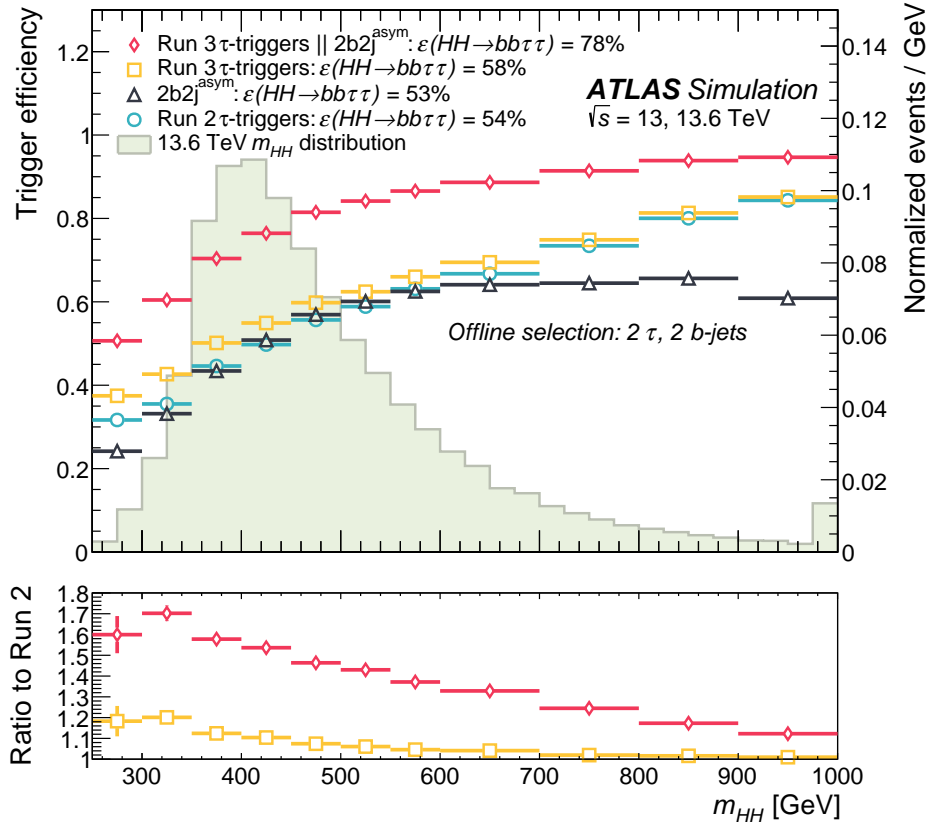
predicted, in simulation, for events passing the  $HH \rightarrow bb\tau\tau$  fiducial selection: two  $b$ -jets having  $p_T > 20$  GeV and two  $\tau$ -leptons having the hadronic component of the transverse momentum ( $p_T^{\text{vis}}$ )  $> 20$  GeV, within the inner detector acceptance ( $|\eta| < 2.5$ ). The  $2b2j^{\text{asym}}$  chain moreover achieves a large unique efficiency ( $> 40\%$ ) with respect to a trigger strategy selecting events with pairs of  $\tau$ -leptons in the HLT. This strategy was used by the ATLAS Collaboration in Run 2 searches for the  $HH \rightarrow bb\tau\tau$  process [69], where the leading- $p_T$  (sub-leading- $p_T$ )  $\tau$ -lepton must have  $p_T > 35$  GeV ( $p_T > 25$  GeV). In Run 3, ATLAS deployed a similar trigger chain with looser  $\tau$ -lepton  $p_T$  thresholds at 30 and 20 GeV. A comparison between the trigger efficiencies for these various  $HH \rightarrow bb\tau\tau$  trigger strategies is shown differentially in  $m_{HH}$  in figure 7. To ensure the efficiencies are comparable and relevant for the ATLAS search for  $HH \rightarrow bb\tau\tau$  production, they are calculated relative to the  $HH \rightarrow bb\tau\tau$  fiducial selection.



**Figure 6.** Performance of the  $b$ -jet trigger HLT selections in a simulated sample of  $HH \rightarrow bbbb$  events. The trigger efficiency relative to inclusive  $HH \rightarrow bbbb$  events is shown as a function of the true di-Higgs boson invariant mass,  $m_{HH}$ , for the  $2b2j^{asym}$  selection and the union of  $2b2j^{asym}$ ,  $3b1j^{asym}$ ,  $2b1j$ , and  $2b2j_{cent}$  triggers, as introduced in tables 1 and 2, compared with the trigger selection employed in the Run 2 analysis [8]. The  $2b2j^{asym}$  trigger has the highest efficiency of any single trigger chain in the Run 3 menu.

## 7 Offline and online $b$ -jet trigger monitoring

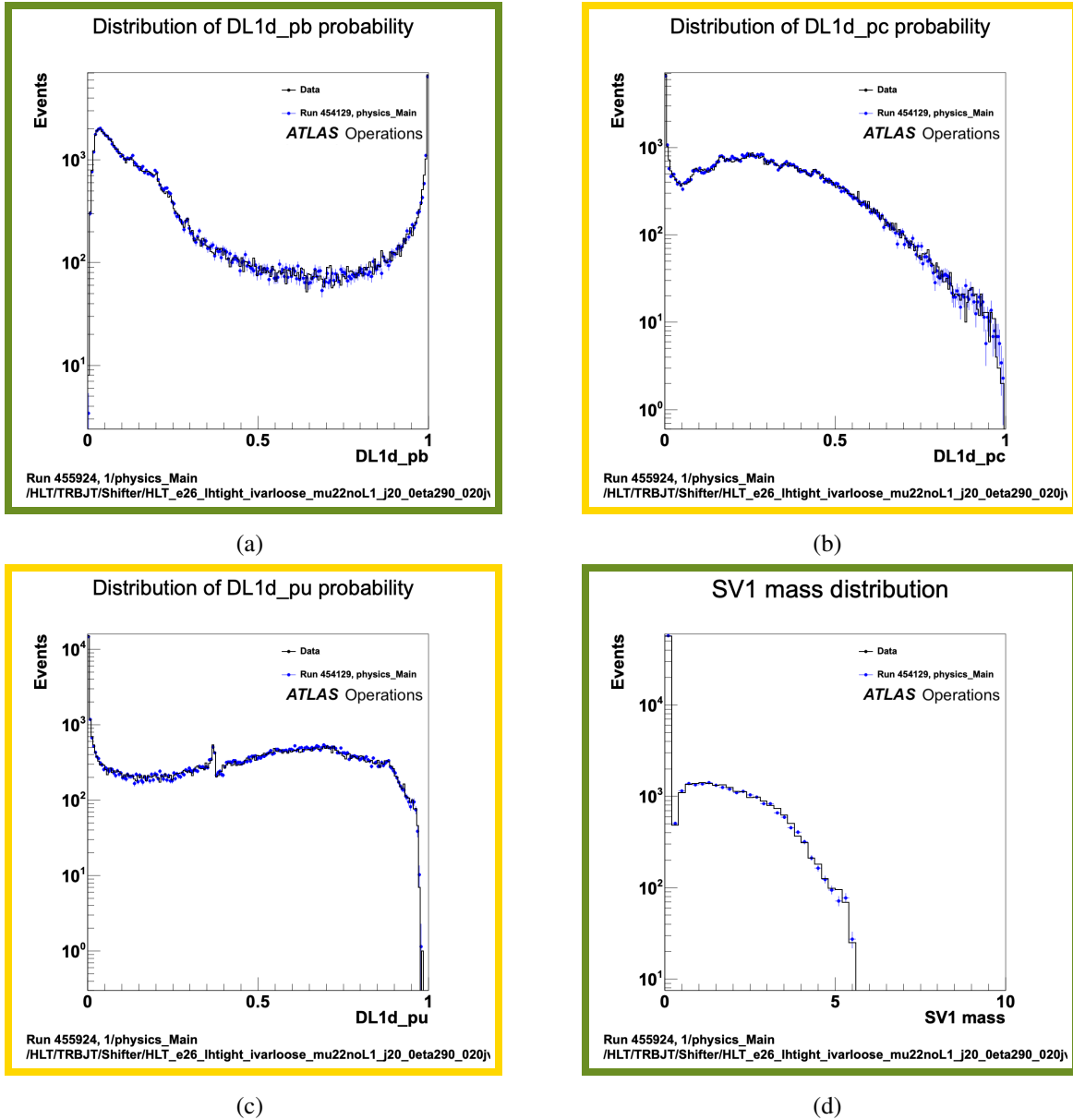
As the trigger is the first step in the ATLAS event selection of LHC data, its behaviour must be understood at the deepest level since any failure during data-taking is unrecoverable. The quality of  $b$ -jet triggered data is continuously assessed, thanks to the trigger system monitoring infrastructure [70], which is part of the ATLAS Data Quality Monitoring system [71]. For the trigger, this consists of two parts: the online and the offline monitoring systems. The online monitoring system allows a real-time check of the quality of collected events during the data-taking, and provides quick detection of processing failures or reconstruction issues, ensuring that the ATLAS Trigger and Data Acquisition [4] operates properly and collects high quality data. Using the Data Quality Monitoring Display (DQMD), shifters in the ATLAS control room can monitor distributions for  $b$ -tagging relevant quantities, such as track impact parameters, properties of secondary vertices and jet  $b$ -tagging weights, both inclusively, i.e. every time the algorithm is called, and separately for specific trigger chains. Inclusive quantities can provide high statistics distributions, available to the shifter as histograms, although these are more



**Figure 7.** Performance of the  $b$ -jet trigger HLT selections in a simulated sample of  $HH \rightarrow bb\tau\tau$  events: the trigger efficiency as a function of the true di-Higgs boson invariant mass,  $m_{HH}$ . The  $2b2j^{\text{asym}}$  trigger selection compared with a trigger selection based purely on HLT  $\tau$ -lepton identification is shown. To ensure efficiencies are comparable across trigger strategies, they are measured relative to events within the detector acceptance for the  $HH \rightarrow bb\tau\tau$  analysis; these events must have two offline  $\tau$ -lepton candidates matched to true hadronically decaying  $\tau$ -leptons and two offline jets matched to  $b$ -hadrons. The  $\tau$ -lepton based triggers shown follow the strategy used for the Run 2  $HH \rightarrow bb\tau\tau$  analysis [69] together with an additional di- $\tau$ -lepton trigger with lower  $p_T$  thresholds for Run 3, requiring at least one identified  $\tau$ -lepton in the HLT system with  $p_T > 30$  GeV and a second with  $p_T > 20$  GeV. Efficiencies are calculated relative to a selection of two reconstructed  $b$ -jets ( $p_T > 20$  GeV) and two reconstructed  $\tau$ -leptons ( $p_T^{\text{vis}} > 25, 20$  GeV) within the inner detector acceptance ( $|\eta| < 2.5$ ).

sensitive to changes in the trigger menu which could arise in the middle of the run from changes in the beam conditions. On the other hand, the exclusive monitoring of specific chains permits checking data behaviour in a stable environment, where each monitored trigger chain is chosen to have a different jet flavour composition, as an example multijet events are dominated by the light-jet component, while selecting data where muons are embedded in hadronic jets allows to enhance the  $b$ -jet component by taking advantage of the large semimuonic branching ratio of  $B$ -hadrons.

After the data are fully reconstructed, the offline monitoring allows a more detailed quality assessment. A set of automatic software routines run dedicated algorithms that first select events, based on the precise offline reconstructed physics objects, compare relevant trigger and offline distributions with the ones taken in a reference run and then make it available to the offline shifter, via a web-based display.



**Figure 8.** Examples of the monitored quantities present in the web display for the offline data quality assessment. Shown here are various plots for  $b$ -jet candidates as reconstructed in the HLT. The display shows  $b$ -tagging quantities for dedicated dilepton plus jets chains, enhanced in  $t\bar{t}$  events, the DL1d probability for the (a)  $b$ -, (b)  $c$ -, (c) light-jet hypothesis and (d) the mass of the reconstructed Secondary Vertex (in GeV). The data for the relevant run (solid histogram) are compared with a reference (solid markers), and according to a  $\chi^2$  test, depending on the agreement, they are flagged as green ( $\chi^2/\text{N dof} < 1$ ), yellow ( $1 < \chi^2/\text{N dof} < 1.5$ ), or red ( $\chi^2/\text{N dof} > 1.5$ ). The reference run is updated periodically to reflect significant condition changes, such as updated trigger menus. This example shows run 455924, which was recorded in April 2023. Error bars include the statistical uncertainties only.

By using the DQMD in the ATLAS control room, and the web-based display, the tasks of both online and offline shifters are facilitated by a series of automatic tests, such as width and mean of the distribution comparison, or the Kolmogorov-Smirnov statistical test, which compares several parameters

of a distribution to a reference histogram to evaluate data quality. Histograms then appear in different colours depending on to which degree the shown distributions satisfy these tests, for instance a  $\chi^2$  test, depending on the agreement, flags as green if the ratio of  $\chi^2$  over the number of degrees of freedom ( $\chi^2/\text{Ndof}$ ) is smaller than one, yellow if  $1 < \chi^2/\text{Ndof} < 1.5$  and finally red if  $\chi^2/\text{Ndof} > 1.5$ . This is shown in figure 8 where several  $b$ -tagging related quantities for a dilepton(one electron and one muon)-plus jets chain, naturally enhanced in  $t\bar{t}$  events, for the run 455924, recorded in April 2023, are compared with a reference. Overall, mismatches can be due to actual problems such as differences in the run pile-up profile and instantaneous luminosity, slight differences in the beam position, LHC configuration changes, up to detector or reconstruction problems and are followed up and discussed in dedicated ATLAS forums.

## 8 Conclusion

ATLAS restarted its data taking in 2022 deploying new state-of-the-art  $b$ -tagging algorithms constructed from neural networks. In the first year of the Run 3 data-taking the new DL1d algorithm led already to a sizeable increase in performance relative to Run 2. For the 2023 data-taking campaign the baseline tagger changed to the GN1 algorithm which added up to a factor two improvement in light-flavour rejection. These improvements are introduced together with a new two-step trigger strategy that mitigates the CPU demands by introducing a fast  $b$ -tagging preselection, running on calorimeter-based jets, which is scheduled before the HLT precision-tracking-based  $b$ -tagging. These ameliorations allow ATLAS to collect hadronic signatures such as  $HH \rightarrow bb\tau\tau$  with an increase in signal efficiency of up to a factor 1.7 relative to Run 2. Finally, to assess the quality of the simulation, conditional efficiencies are measured in data and compared with simulation in  $t\bar{t}$ -enriched events, where an overall good agreement of the trigger efficiencies are observed.

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