

**CLUSTER ANALYSIS OF BONE MICROARCHITECTURE FROM HIGH  
RESOLUTION PERIPHERAL QUANTITATIVE COMPUTED TOMOGRAPHY  
DEMONSTRATES TWO SEPARATE PHENOTYPES ASSOCIATED WITH HIGH  
FRACTURE RISK IN MEN AND WOMEN**

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## **Abstract**

Osteoporosis is a major healthcare problem which is conventionally assessed by dual energy x-ray absorptiometry (DXA). New technologies such as high resolution peripheral quantitative computed tomography (HRpQCT) also predict fracture risk. HRpQCT measures a number of bone characteristics that may inform specific patterns of bone deficits. We used cluster analysis to define different bone phenotypes and their relationships to fracture prevalence and areal bone mineral density (BMD). 177 men and 159 women, in whom fracture history was determined by self-report and vertebral fracture assessment, underwent HRpQCT of the distal radius and femoral neck DXA. Five clusters were derived with two clusters associated with elevated fracture risk. “Cluster 1” contained 26 women (50.0% fractured) and 30 men (50.0% fractured) with a lower mean cortical thickness and cortical volumetric BMD, and in men only, a mean total and trabecular area more than the sex-specific cohort mean. “Cluster 2” contained 20 women (50.0% fractured) and 14 men (35.7% fractured) with a lower mean trabecular density and trabecular number than the sex-specific cohort mean. Logistic regression showed fracture rates in these clusters to be significantly higher than the lowest fracture risk cluster (5) ( $p<0.05$ ). Mean femoral neck areal BMD was significantly lower than cluster 5 in women in cluster 1 and 2 ( $p<0.001$  for both), and in men, in cluster 2 ( $p<0.001$ ) but not 1 ( $p=0.220$ ). In conclusion, this study demonstrates two distinct high risk clusters in both men and women which may differ in etiology and response to treatment. As cluster 1 in men does not have low areal BMD, these men may not be identified as high risk by conventional DXA alone.

## **Keywords**

Bone QCT, DXA, Osteoporosis, Epidemiology, Fracture risk assessment

## Highlights

- The presence of a prevalent fracture is associated with lower cortical thickness in both men and women
- Two distinct bone phenotypes have been identified with elevated fracture prevalence
- Men with bones with large cross-section and thin cortex are associated with prevalent fracture but may not be identified by DXA as being at risk

## 1.0 Introduction

Osteoporosis is a significant global health problem with around one in two women and one in five men over the age of 50 expected to experience an osteoporotic fracture in their lifetime (1). These can cause significant disability, morbidity and even mortality along with a considerable economic cost of both inpatient and community care services (2). In clinical practice, osteoporosis is diagnosed using dual energy x-ray absorptiometry (DXA) of the hip and lumbar spine. This also has a role in fracture prediction as it has been shown that there is an approximate doubling of risk for every one standard deviation (SD) reduction in areal bone mineral density (BMD) (3). However, it is recognized that the basis of bone fragility is heterogeneous. To group individuals into one seemingly homogeneous group because of a T score below -2.5, one or more spine fractures, or a low trauma hip fracture, would obscure the heterogeneity in structural, cellular, and biomechanical basis of bone fragility (4).

Assessment by DXA does not measure volumetric BMD, does not differentiate between cortical and trabecular compartments, and does not provide measures of bone geometry, all of which might contribute to fracture risk. Recently, cross-sectional imaging techniques, including high resolution peripheral quantitative computed tomography (HRpQCT), have been developed and utilized in a research setting to differentiate fracture cases from those without (5-9). To date, most of these studies have been completed in women. So far, they suggest that specific components of bone structure, such as cortical thickness and trabecular microarchitecture, are deficient in fracture cases. It may, however, be more appropriate to explore different bone phenotypes, combining multiple outcomes related to bone strength, and their relationships to fracture.

1 In this study we aimed to use statistical cluster analysis, based upon mathematical, rather than  
2 pre-defined clinical, assumptions to define bone phenotypes for men and women taking into  
3 account all parameters measured by HRpQCT. We then determined whether the data-driven  
4 clusters were associated with different rates of fracture occurrence. Additionally, we  
5 assessed whether cluster phenotypes with high fracture prevalence had a corresponding low  
6 areal BMD as assessed by DXA.

## 7 8 **2.0 Materials and Methods**

### 9 ***2.1 Study Participants***

10 The Hertfordshire Cohort Study (HCS) is a population-based study in the UK which was  
11 designed to examine the relationships between growth in infancy and the subsequent risk of  
12 adult diseases, such as osteoporosis. Study design and recruitment have been described in  
13 detail previously (10). In brief, in conjunction with the National Health Service Central  
14 Registry and the Hertfordshire Family Health Service Association, we traced men and  
15 women who were born between 1931 and 1939 in Hertfordshire and still lived there during  
16 the period 1998–2003. In 2011–2012, 570 men and women from the geographical area of  
17 East Hertfordshire were invited for a follow up study which included HRpQCT. Of these, 376  
18 (66%) agreed to participate. In 344 (91.5%) of those participants scanned, data were also  
19 available on fracture status. This group did not differ significantly from the overall recruited  
20 cohort (n=376) in terms of demographic and lifestyle factors.

### 21 22 ***2.2 High resolution peripheral quantitative computed tomography (HRpQCT)***

23 Each participant had measurements of the non-dominant distal radius using HRpQCT  
24 (XtremeCT, Scanco Medical AG, Switzerland) except when the non-dominant limb had  
25 previously fractured in which case the dominant side was scanned. This allowed acquisition

1 of a stack of parallel CT slices using a two-dimensional detector array. A total of 110 slices  
2 were obtained which represented a volume of bone 9mm in axial length with a nominal  
3 resolution (voxel size) of 82 $\mu$ m. The scanned limb was immobilized during the examination  
4 in a carbon fibre cast. Antero-posterior 2D scout views were performed to determine the  
5 region to be scanned. Positioning was in keeping with the manufacturer's guidelines and as  
6 described by Boutroy *et al* (5). All scans were acquired by one of two trained technicians  
7 using standard positioning techniques. Each scan was assessed for motion artefact, and if  
8 present a second scan was performed. A total of 8 radial images were excluded due to  
9 excessive motion artefact. Image analysis was carried out using the standard manufacturer's  
10 method which has been described in detail previously (11, 12). In brief, we used a semi-  
11 automated, hand-drawn contouring system to delineate the periosteal surface. This process  
12 was always completed by the same trained operator. A threshold-based algorithm then  
13 separated cortical from trabecular compartments. Standard morphologic analysis produced  
14 total and trabecular BMD. Trabecular number was determined using the ridge-extraction  
15 methods (13). Trabecular thickness and separation were calculated from trabecular density  
16 and trabecular number according to standard morphologic relationships (14). Each measure  
17 has been validated against micro-CT imaging (15).

18  
19 Further analysis was performed using an automated segmentation algorithm (16).  
20 Assessments were made of total cross-sectional area, cortical area, and cortical density.  
21 Cortical density was determined as the average mineral density in the region of interest  
22 defined by the autosegmentation cortical bone mask. Using Image Processing Language (IPL,  
23 Version 6.1, ScancoMedical), cortical porosity was estimated from the number of void voxels  
24 in each thresholded cortex image divided by the number of voxels in the cortex (17). Cortical

1 thickness was determined from the threshold cortex image using a distance transform after  
2 removal of intracortical pores (18).

### 3 4 ***2.3 Dual Energy X-ray Absorptiometry (DXA)***

5 Measurement of aBMD was performed at both femoral necks using a Lunar Prodigy Advance  
6 densitometer (GE Medical Systems Lunar). The lowest of the two readings was used in  
7 analyses. Morphometric vertebral fractures were diagnosed from a lateral spine view imaged  
8 using the same machine and graded based on the Genant semi-quantitative method of  
9 vertebral fracture assessment (19).

### 10 11 ***2.4 Anthropometry and Structured Interviews***

12 Height was measured to the nearest 0.1 cm using a wall-mounted SECA stadiometer on the  
13 day of scanning. Weight was measured to the nearest 0.1kg using calibrated SECA 770  
14 digital floor scales (SECA Ltd, Hamburg, Germany).

15  
16 Details regarding physical activity, dietary calcium intake, smoking status, alcohol  
17 consumption, socioeconomic status, bisphosphonate therapy and, in women, years since  
18 menopause and use of estrogen replacement therapy, had been collected previously from  
19 researcher-administered questionnaires. Physical activity was calculated as a standardised  
20 score ranging from 0–100 derived from frequency of gardening, housework, climbing stairs  
21 and carrying loads in a typical week (20). Higher scores indicated greater levels of activity.  
22 Dietary calcium intake was assessed using a food frequency questionnaire (21).  
23 Socioeconomic status was determined using occupation based on the Office of Population  
24 Censuses and Surveys Standard Occupational Classification Scheme for occupation and  
25 social class (22). Using this system, social class could be classified from highest to lowest as

I, II, III non-manual, III manual, IV and V. In men and single women the assessment was based on the current or most recent occupation of the participant and in ever married women the current or most recent occupation of the husband was used instead.

Smoking status was categorised as never smoker, ex-smoker or current smoker by participant self-report at the time questionnaire administration. Alcohol intake was assessed by detailed questions ascertaining the frequency and amount of different forms of alcohol consumed. This was converted into units per week and then categorised into those drinking no alcohol, those drinking some alcohol but less than or equal to the recommended weekly intake (14 units for women, 21 units for men), and those drinking more than the recommended weekly intake. Bisphosphonate use was defined based on whether the participant was currently or had ever taken the medication, as bisphosphonates and their effects can persist after they are ceased.

Positive fracture status was defined as a self-reported fracture since the age of 45 years, assessed by means of validated researcher-administered questionnaires at 3 separate time points (23) and/or evidence of vertebral fracture on vertebral fracture assessment as described above. The East and North Hertfordshire Ethical Committees granted ethical approval for the study and all participants gave written informed consent in accordance with the Declaration of Helsinki (24).

## ***2.5 Statistical analysis***

To test for sex-specific differences between fracture and non-fracture participants, ANOVA was used for continuous variables and Chi squared or Fisher's exact test for categorical variables. Logistic regression was then carried out on all 10 variables, both unadjusted and



adjusted for age, height, weight, daily calcium intake, physical activity, smoker status, alcohol consumption, social status, bisphosphonate use, and in women, time since menopause and estrogen replacement therapy, to assess relationships between individual radial HRpQCT parameters and fracture status. Once the HRpQCT variables had been checked and standardised, the k-means partitioning method of cluster analysis was used to produce clusters in men and women separately. With five different clusters, we produced a distinct series of contrasting phenotypes as has been shown in other uses of cluster analyses in the literature (25, 26). The derived clusters were subsequently numbered in order of fracture prevalence. The means and standard deviations (SD) of the unstandardized HRpQCT parameters and femoral neck aBMD, and fracture proportion were then determined for each cluster. Logistic regression was used to determine the likelihood of fracture in each cluster compared to the lowest risk cluster. Statistical significance was defined as a p value of <0.05. Data were analysed using STATA 13.

## **3.0 Results**

### ***3.1 Fracture sites***

Forty four men and 48 women reported a fracture since the age of 45 years. Table 1 shows that a total of 55 fractures occurred in men and 88 in women. The most common fracture site was the spine; 14 vertebral fractures were reported in men and 23 in women. There were a total of 19 fractures of the distal radius and ulna and 15 of the distal tibia and fibula. Only one man and one woman reported a prior hip fracture.

Table 1: Fracture sites in men and women

Fracture Site	Men	Women	Total
Vertebrae	14	23	37
Distal Radius / Ulna	5	14	19
Distal Tibia / Fibula	6	9	15
Humerus	7	4	11
Hand	4	8	12
Foot	7	12	19
Hip	1	1	2
Other	11	17	28
<b>Total</b>	<b>55</b>	<b>88</b>	<b>143</b>

### 3.2 Demographic and Lifestyle Characteristics by Fracture Status

The mean ages of men with and without a prevalent fracture were not significantly different at 75.7 and 76.1 years respectively (table 2). Women with a prevalent fracture were on average 1.2 years older than their non-fractured counterparts at 77.2 years of age ( $p=0.011$ ). They were also 3.1 years further from the menopause ( $p=0.006$ ). Height, weight, BMI, calcium intake, and levels of physical activity did not differ by fracture status in either men or women. Similarly, alcohol consumption, smoking status, and social class were comparable in those that had fractured to those that had not.

1 Table 2: Participant characteristics by fracture status in men and women

	Men			Women		
	Fracture (n=44)	No Fracture (n=133)		Fracture (n=48)	No Fracture (n=111)	
	Mean (SD)	Mean (SD)	p value	Mean (SD)	Mean (SD)	p value
Age (years)	75.7 (2.4)	76.1 (2.5)	0.255	<b>77.2 (2.4)</b>	<b>76.0 (2.6)</b>	<b>0.011</b>
Height (cm)	174.9 (7.4)	173.2 (6.1)	0.125	160.2 (8.3)	160.1 (5.3)	0.932
Weight (kg)	81.5 (11.6)	83.1 (12.5)	0.466	69.0 (14.1)	72.5 (12.2)	0.114
BMI (kg/m <sup>2</sup> )	26.7 (3.5)	27.7 (3.8)	0.117	26.9 (5.0)	28.3 (4.6)	0.080
Daily Ca <sup>2+</sup> (mg)	1176 (373)	1249 (283)	0.178	1087 (399)	1147 (386)	0.371
Physical Activity	67.0 (13.6)	64.9 (13.3)	0.350	62.8 (11.5)	62.3 (14.3)	0.829
Time since Menopause (yrs)	NA	NA	NA	<b>29.9 (6.2)</b>	<b>26.8 (6.54)</b>	<b>0.006</b>
	n(%)	n(%)	p-value	n(%)	n(%)	p-value
<b>Alcohol</b>						
None	1 (2.3)	7 (5.3)		10 (20.8)	22 (20.0)	
≤ Recommended <sup>a</sup>	33 (75.0)	106 (79.7)		38 (79.2)	85 (77.3)	
> Recommended	10 (22.7)	20 (15.0)	0.424	0 (0.0)	3 (2.73)	0.727
<b>Smoking</b>						
Never	21 (47.7)	59 (39.1)		28 (58.3)	72 (64.9)	
Ex	22 (50.0)	75 (56.4)		17 (35.4)	37 (33.3)	
Current	1 (2.3)	6 (4.5)	0.604	3 (6.3)	2 (1.8)	0.313
<b>Social Status<sup>b</sup></b>						
I – IIINM	18 (42.9)	56 (44.1)		22 (45.8)	48 (43.2)	
IIIM – V	24 (57.1)	71 (55.9)	0.889	26 (54.2)	63 (56.8)	0.763
<b>Bisphosphonate (ever use)</b>						
Yes	1 (2.3)	1 (0.7)		6 (12.5)	6 (5.4)	
No	43 (97.7)	132 (99.3)	0.436	42 (87.5)	105 (94.6)	0.187
<b>HRT<sup>c</sup> (ever use)</b>						
Yes	NA	NA	NA	30 (62.5)	57 (51.4)	
No	NA	NA	NA	18 (37.5)	54 (48.6)	0.195

2  
3 Key: <sup>a</sup> Recommended maximum weekly consumption of alcohol (14 units for women, 21 units for men); <sup>b</sup> I-  
4 IIINM (I to III non-manual), IIIM-V (III manual to V); <sup>c</sup> Hormone Replacement Therapy.  
5

Table 3: Standardised odds ratios for prevalent fracture for a one standard deviation reduction in each HRpQCT parameter

	Men				Women			
	Unadjusted		Adjusted <sup>a</sup>		Unadjusted		Adjusted <sup>a</sup>	
	OR (95% CI)	p-value	OR (95% CI)	p-value	OR (95% CI)	p-value	OR (95% CI)	p-value
<b>Total area</b>	<b>0.50 (0.31,0.78)</b>	<b>0.003</b>	<b>0.46 (0.27,0.80)</b>	<b>0.006</b>	1.07 (0.52, 2.20)	0.859	1.49 (0.57, 3.85)	0.416
<b>Trabecular area</b>	<b>0.52 (0.34, 0.78)</b>	<b>0.002</b>	<b>0.50 (0.31, 0.80)</b>	<b>0.004</b>	0.90 (0.48, 1.72)	0.758	1.13 (0.49, 2.60)	0.766
<b>Cortical area</b>	1.36 (0.88, 2.10)	0.164	1.38 (0.83,2.29)	0.209	<b>2.71 (1.28, 5.72)</b>	<b>0.009</b>	<b>3.03 (1.25, 7.31)</b>	<b>0.014</b>
<b>Cortical thickness</b>	<b>1.65 (1.13, 2.42)</b>	<b>0.010</b>	<b>1.63 (1.07, 2.49)</b>	<b>0.022</b>	<b>1.63 (1.06, 2.52)</b>	<b>0.027</b>	1.61 (0.98, 2.64)	0.058
<b>Cortical density</b>	1.36 (0.96, 1.94)	0.085	1.48 (0.99, 2.21)	0.058	1.24 (0.89, 1.71)	0.200	1.20 (0.83, 1.74)	0.340
<b>Cortical porosity</b>	1.08 (0.77, 1.53)	0.649	1.08 (0.71, 1.64)	0.730	<b>1.49 (1.01, 2.19)</b>	<b>0.046</b>	<b>1.68 (1.06, 2.66)</b>	<b>0.026</b>
<b>Trabecular density</b>	1.32 (0.87, 2.00)	0.186	1.50 (0.93, 2.40)	0.093	<b>1.92 (1.31, 2.82)</b>	<b>0.001</b>	<b>1.83 (1.15, 2.91)</b>	<b>0.011</b>
<b>Trabecular number</b>	1.42 (0.88, 2.28)	0.148	1.56 (0.91, 2.66)	0.103	<b>1.38 (1.03, 1.85)</b>	<b>0.033</b>	1.27 (0.88, 1.83)	0.208
<b>Trabecular thickness</b>	1.20 (0.83, 1.73)	0.334	1.31 (0.86, 1.97)	0.205	<b>2.05 (1.36, 3.09)</b>	<b>0.001</b>	<b>1.92 (1.22, 3.02)</b>	<b>0.005</b>
<b>Trabecular separation</b>	0.61 (0.33, 1.11)	0.107	0.55 (0.26, 1.14)	0.108	<b>0.74 (0.57, 0.97)</b>	<b>0.028</b>	0.80 (0.58, 1.11)	0.182

Key: <sup>a</sup> Adjusted for age, height, weight, calcium intake, physical activity, smoker status, alcohol consumption, social status, bisphosphonate use, and in women, time since menopause and hormone replacement therapy.

### 3.3 Individual HRpQCT predictors of fracture status

In both men and women, the odds of fracture were significantly greater in those with a lower cortical thickness in the unadjusted analyses [OR(95% CI) per SD reduction: 1.65(1.13,2.42) and 1.63(1.06,2.52) in men and women respectively] (table 3). There was a tendency towards a greater odds of prevalent fracture with lower trabecular density, number and thickness. However, this only reached statistical significance in women ( $p < 0.05$  for all). These significant associations were attenuated by adjustment for age, height, weight, calcium intake, physical activity, smoker status, alcohol consumption, social status, bisphosphonate use, time since menopause and hormone replacement therapy. In men, there was a reduction in the odds of prevalent fracture for every one SD reduction in total area and trabecular area (OR(95%CI) 0.50(0.31,0.78) and 0.52(0.34,0.78) respectively,  $p < 0.01$  for both). This relationship was not shown in women.

### 3.4 DXA and Fracture Characteristics of Clusters

The statistical cluster analysis derived 5 stable clusters in men and women (tables 4 and 5). In 2 of the clusters, clear associations with fracture risk were identified whereas in the remaining clusters no elevation of risk was shown. In men, the OR (95%CI) for having a prevalent fracture was 10.33 (2.59,41.26) in cluster 1 and 5.74 (1.14,28.78) in cluster 2. The magnitude of this relationship was similar in women. In women, femoral neck areal BMD was significantly lower in clusters 1 to 4 when compared to cluster 5 (table 5). However, in men, it was lower in clusters 2 and 3, higher in cluster 4, and did not differ significantly in cluster 1 (table 4). A total of 28.6% of men and 35.0% of women in cluster 2 were osteoporotic using DXA areal BMD criteria. By contrast, only 10.0% and 11.5% of men and women respectively in cluster 1 were osteoporotic using DXA areal BMD criteria, although they demonstrated a similar or higher proportion of fractures.

Table 4: Cluster analysis of bone microarchitectural parameters in men

	Cluster 1 (n=30)	Cluster 2 (n=14)	Cluster 3 (n=47)	Cluster 4 (n=52)	Cluster 5 (n=34)
HRpQCT	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)
Total area (mm <sup>2</sup> )	<b>530.4 (45.8)↑</b>	425.7 (56.6)	405.1 (40.0)	423.1 (52.5)	364.1 (49.1)
Trabecular area (mm <sup>2</sup> )	<b>466.0 (41.7)↑</b>	359.4 (51.5)	341.0 (37.6)	338.5 (53.5)	284.5 (46.5)
Cortical area (mm <sup>2</sup> )	62.8 (11.0)	63.1 (11.0)	62.2 (7.2)	82.5 (10.3)	75.9 (12.4)
Cortical thickness (μm)	<b>621.9 (120.9)↓</b>	747.3 (139.0)	729.3 (78.0)	951.0 (133.1)	984.0 (154.8)
Cortical density (mg/cm <sup>3</sup> )	<b>849.9 (44.8)↓</b>	917.3 (54.2)	882.3 (32.7)	915.5 (31.8)	<b>963.9 (21.3)↑</b>
Cortical porosity (%)	4.5 (1.5)	3.0 (1.1)	4.4 (1.3)	4.9 (1.4)	3.0 (0.8)
Trabecular density (mg/cm <sup>3</sup> )	162.6 (29.6)	<b>123.1 (34.3)↓</b>	173.1 (22.2)	208.9 (26.7)	179.1 (24.6)
Trabecular number (cm <sup>-1</sup> )	23.6 (2.0)	<b>18.1 (2.3)↓</b>	23.7 (1.8)	25.3 (1.2)	22.5 (1.5)
Trabecular thickness (μm)	57.3 (8.3)	56.4 (15.4)	61.0 (6.6)	69.0 (8.5)	66.3 (9.0)
Trabecular separation (μm)	369.6 (36.9)	<b>508.9 (111.4)↑</b>	364.3 (34.9)	327.9 (20.1)	379.5 (30.6)
<b>DXA</b>					
Femoral neck aBMD (g/cm <sup>2</sup> ) <sup>a</sup>	0.91	0.83***	0.89*	1.03***	0.94
Normal <sup>b</sup>	14 (46.7)	2 (14.3)	16 (34.0)	38 (73.1)	15 (44.1)
Osteopenic	13 (43.3)	8 (57.1)	27 (57.5)	14 (26.9)	18 (52.9)
Osteoporotic	3 (10.0)	4 (28.6)	4 (8.5)	0 (0.0)	1 (2.9)
<b>Fracture</b>					
Proportion with prevalent fracture	50.0%	35.7%	21.3%	21.2%	8.8%
OR (95% CI) of fracture <sup>a</sup>	10.33*** (2.59,41.26)	5.74* (1.14,28.78)	2.79 (0.71,11.05)	2.77 (0.71,10.79)	1.0 Reference

Key: p value for difference between clusters 0.003. <sup>a</sup> p value for difference when compared to lowest risk cluster (cluster 5); <sup>b</sup> Count (percentage). \*p<0.05, \*\*p<0.01, \*\*\*p<0.001. Bold if mean is >1SD from sex-specific mean; ↑ indicates mean is >1SD above the sex-specific mean; ↓ indicates mean is >1SD below the sex-specific mean.

Table 5: Cluster analysis of bone microarchitectural parameters in women

	Cluster 1 (n=26)	Cluster 2 (n=20)	Cluster 3 (n=39)	Cluster 4 (n=39)	Cluster 5 (n=35)
HRpQCT	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)
Total area (mm <sup>2</sup> )	307.0 (37.7)	280.4 (34.8)	255.1 (28.6)	326.8 (36.6)	262.2 (23.3)
Trabecular area (mm <sup>2</sup> )	267.7 (36.3)	232.7 (33.3)	205.7 (26.6)	277.9 (34.6)	205.6 (23.5)
Cortical area (mm <sup>2</sup> )	<b>38.3 (4.6)↓</b>	46.5 (8.1)	47.3 (6.2)	47.6 (7.3)	54.8 (7.1)
Cortical thickness (μm)	<b>481.7 (60.3)↓</b>	680.8 (129.6)	732.3 (105.4)	627.3 (99.2)	<b>855.7 (133.9)↑</b>
Cortical density (mg/cm <sup>3</sup> )	<b>834.9 (46.7)↓</b>	919.1 (42.9)	947.7 (29.8)	882.3 (40.4)	929.5 (40.1)
Cortical porosity (%)	3.4 (1.1)	2.7 (1.4)	2.8 (1.0)	3.9 (1.2)	4.6 (1.2)
Trabecular density (mg/cm <sup>3</sup> )	110.0 (19.9)	<b>76.9 (17.9)↓</b>	145.3 (23.9)	151.9 (20.2)	<b>192.5 (24.7)↑</b>
Trabecular number (cm <sup>-1</sup> )	19.3 (3.2)	<b>13.4 (2.5)↓</b>	20.5 (2.4)	22.7 (2.1)	24.4 (1.7)
Trabecular thickness (μm)	47.9 (6.5)	48.0 (10.4)	59.3 (8.6)	56.1 (7.1)	65.9 (6.9)
Trabecular separation (μm)	485.9 (97.8)	<b>722.2 (146.0)↑</b>	434.8 (61.1)	388.8 (41.2)	346.6 (30.6)
<b>DXA</b>					
Femoral neck aBMD (g/cm <sup>2</sup> ) <sup>a</sup>	0.75***	0.73***	0.85*	0.85*	0.94
Normal <sup>b</sup>	2 (7.7)	5 (25.0)	16 (41.0)	17 (43.6)	24 (68.6)
Osteopenic	21 (80.8)	8 (40.0)	21 (55.9)	20 (51.3)	10 (28.6)
Osteoporotic	3 (11.5)	7 (35.0)	2 (5.1)	2 (5.1)	1 (2.8)
<b>Fracture</b>					
Proportion with prevalent fracture	50.0%	50.0%	30.8%	20.5%	14.3%
OR (95% CI) of fracture <sup>a</sup>	6.0* (1.77,20.31)	6.0* (1.65,21.80)	2.67 (0.83,8.55)	1.55 (0.45,5.27)	1.0 Reference

Key: p value for difference between clusters 0.006. <sup>a</sup> p value for difference when compared to lowest risk cluster (cluster 5); <sup>b</sup> Count (percentage). \*p<0.05, \*\*p<0.01, \*\*\*p<0.001. Bold if mean is >1SD from sex-specific mean; ↑ indicates mean is >1SD above the sex-specific mean; ↓ indicates mean is >1SD below the sex-specific mean.

### 3.5 HRpQCT Cluster Descriptions

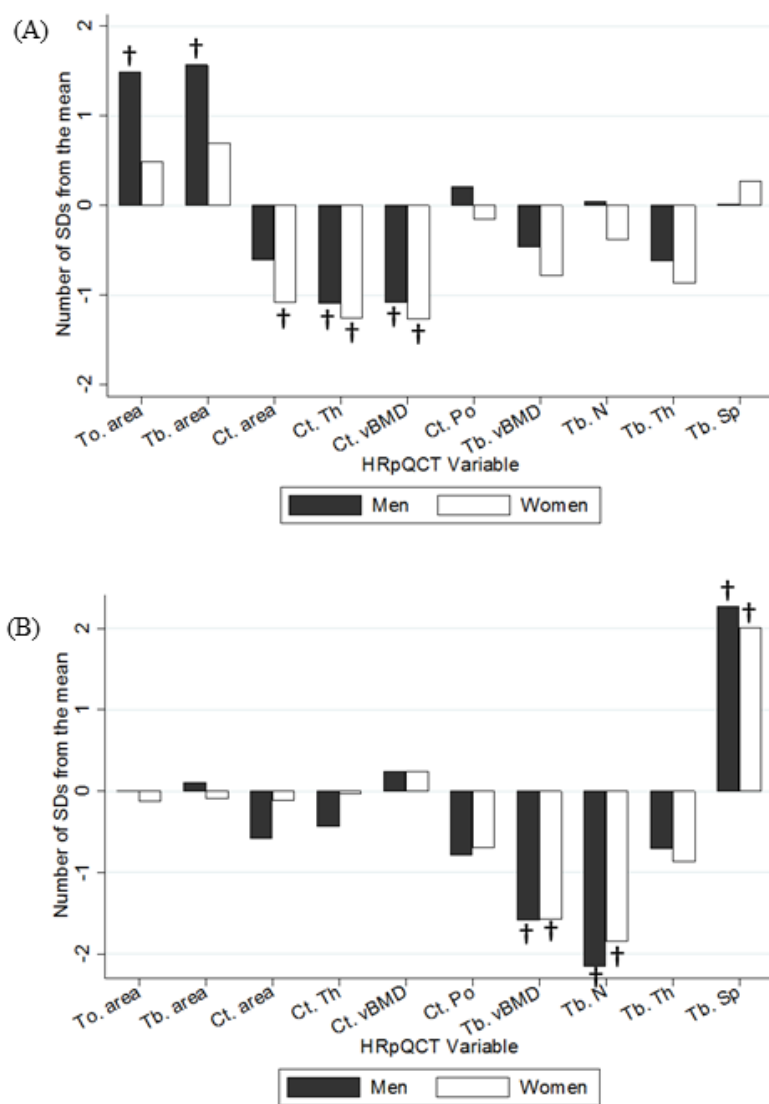
The HRpQCT phenotypes of clusters 1 and 2 were similar in men and women. In both sexes, cluster 1 had a phenotype of “cortical deficiency” with a mean cortical thickness and cortical density of more than one SD below the sex-specific mean. In men, this cluster also

1 demonstrated a mean total and trabecular area of more than one SD above the sex-specific  
2 mean. This feature was not, however, found in women (figure 1).

3  
4 Cluster 2 showed a phenotype of “trabecular deficiency” with mean trabecular density and  
5 number of more than one SD below the sex-specific mean in both sexes. Consequently,  
6 trabecular separation was more than one SD above the sex-specific mean in men and women.  
7 Cluster 3 and 4 did not differ by more than one SD in any parameter. Cortical density in  
8 men, and cortical thickness and trabecular density in women were more than one SD above  
9 the sex-specific mean in cluster 5.



1 Figure 1: Mean difference from the population mean of bone microarchitectural parameters in  
 2 (A) clusters 1 and (B) cluster 2.



Key: † >1SD from sex-specific mean.

## 4.0 Discussion

This study demonstrates two high risk bone phenotypes using HRpQCT in both men and women. The first was characterised by low cortical thickness and density and, in men only, a higher total and trabecular area whereas the second showed low trabecular density and number. Interestingly, although fracture rates were higher in all of these groups, in men the first cluster was not associated with low femoral neck areal BMD and therefore would not have been identified by conventional DXA techniques.

In women, we showed in univariate analyses that lower cortical area and thickness and trabecular density, number, and thickness were associated with a greater odds ratio of prevalent fracture. This is consistent with previous HRpQCT studies (5-8) and is likely to reflect specific components that, when deficient, lead to a weakening of bone structure. Although these associations were attenuated by adjustment for demographic and lifestyle factors, relationships were maintained suggesting the findings are not purely due to confounding, for example by age, as women who had fractured were on average older than those that had not.

Bone microarchitecture by fracture status has been examined to a far lesser extent in men. One study did explore the differences in radial bone microarchitecture in men with idiopathic osteoporosis (9). In keeping with the current study, they also showed that men with fractures tended to have lower cortical thickness but larger bones in cross-section, specifically total and trabecular area. One possible explanation for this finding in men and not women is that although men have larger bones, their cortical thickness is not proportionally increased. Consequently, they will inherently have higher buckling ratios on average potentially elevating their risk of buckling. This may increase their sensitivity to increases in total bone

1 area in conjunction with reduced cortical thickness. Ostertag and colleagues (9) also found  
2 trabecular density to be significantly lower in those that had fractured but this difference did  
3 not reach statistical significance in the current study.

4  
5 When all available parameters of bone microarchitecture were explored using cluster  
6 analysis, the high-risk clusters identified were similar in men and women. The second cluster  
7 is akin to a predictable phenotype of high turnover leading to predominant deterioration in the  
8 metabolically-active trabecular bone with a high surface area to volume ratio (27). In  
9 keeping with this, the cluster was demonstrated to have a low femoral neck areal BMD when  
10 compared to the reference cluster. A considerable proportion of individuals in this cluster  
11 also fell into the osteoporotic range as defined by DXA. It would be of interest to compare  
12 bone turnover markers in these cluster groups.

13  
14 In contrast, the first cluster, with overall lower cortical thickness and density, contained a  
15 much smaller proportion of osteoporotic individuals as defined by DXA despite having a  
16 similar, or in men higher, proportion with prevalent fractures. Furthermore, men in the first  
17 cluster did not differ significantly in areal BMD from those in cluster 5 (referent). As men in  
18 this cluster tend to have larger bones in cross-section, this is likely to falsely elevate measures  
19 of aBMD which does not assess true volumetric density (28). Consequently, these men at  
20 high risk of fracture might not be identified by routine DXA scanning. Interestingly,  
21 although larger bones in younger individuals tend to be associated with greater bone strength,  
22 they also tend to be associated with a thinner cortex (5). In older individuals, this phenotype  
23 becomes more pronounced due to normal age-related changes and may lead to a structure at  
24 risk of buckling (29, 30).

25

1 This study does have limitations. Firstly, the study is not prospective. As the fractures  
2 occurred before the radial scans, it is more difficult to imply that the bone deficits led to the  
3 development of fractures. However, biologically this would seem the most likely explanation  
4 for the associations shown. Secondly, the ascertainment of non-vertebral fractures was  
5 retrospective and occurred through self-report. However, the questionnaires used have been  
6 validated (23). Thirdly, in 22 men and 19 women the dominant limb was scanned (as the  
7 non-dominant limb had previously fractured). However, we did not identify any significant  
8 differences in radial bone microarchitecture when these individuals were compared to those  
9 in which the non-dominant limb was scanned (results not shown). Fourthly, all participants  
10 were older Caucasian men and women recruited from the HCS. This may limit  
11 generalizability to other regions, ages and ethnic groups, however, the cohort has been shown  
12 to be fairly representative of the UK population (10). Lastly, k-means cluster analysis models  
13 can be very unstable which significantly affects the generalizability of the findings in this  
14 study. The results are, however, certainly hypothesis generating and it is clearly important  
15 that these evaluations are repeated in different population samples

16  
17 In conclusion, this study has pointed to two high fracture risk bone phenotypes in both men  
18 and women using HRpQCT. These findings not only highlight a group which may be  
19 underdiagnosed by DXA alone but may also demonstrate distinct phenotypes of bone  
20 fragility with differing risk factors, aetiologies, patterns of fracture site, and responses to  
21 pharmacological therapy. Further research is required to confirm whether individuals in  
22 these “high risk” clusters do have a higher risk of fracture prospectively and in which other  
23 ways clusters 1 and 2 differ. This may have significant implications for prevention and  
24 management of osteoporosis in the future.

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