

# Haploinsufficient phenotypes promote selection of PTEN and ARID1A deficient clones in human colon

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**Transaction Report: Please note that the manuscript was transferred from another journal where it was originally reviewed. Since the original reviews are not subject to EMBO's transparent review process policy, they cannot be published.**

(Note: With the exception of the correction of typographical or spelling errors that could be a source of ambiguity, letters and reports are not edited. Depending on transfer agreements, referee reports obtained elsewhere may or may not be included in this compilation. Referee reports are anonymous unless the Referee chooses to sign their reports.)

Dear Prof. Winton,

Thank you for the submission of your revised manuscript to EMBO reports, previously peer-reviewed at a venue outside EMBO press. I have now received the report from the arbitrator that was asked to evaluate your revised study, which can be found at the end of this email.

As you will see, the arbitrator thinks that these findings are of high interest, that the concerns of the referees have been adequately addressed and that the study should be published in EMBO reports.

Given this feedback, and after going through your p-b-p-response myself, I have decided to proceed with the manuscript. The manuscript needs now to be formatted according to our journal style. Please carefully review the instructions that follow below to prepare your final revised manuscript.

PLEASE NOTE THAT upon resubmission revised manuscripts are subjected to an initial quality control prior to exposition to re-review. Upon failure in the initial quality control, the manuscripts are sent back to the authors, which may lead to delays. Frequent reasons for such a failure are the lack of the data availability section (please see below) and the presence of statistics based on  $n=2$  (the authors are then asked to present scatter plots or provide more data points).

When submitting your revised manuscript, we will require:

- 1) a .docx formatted version of the final manuscript text (including legends for main figures, EV figures and tables), but without the figures included. Figure legends should be compiled at the end of the manuscript text.
- 2) individual production quality figure files as .eps, .tif, .jpg (one file per figure), of main figures and EV figures. Please upload these as separate, individual files upon re-submission.

The Expanded View format, which will be displayed in the main HTML of the paper in a collapsible format, has replaced the Supplementary information. You can submit up to 5 images as Expanded View. Please follow the nomenclature Figure EV1, Figure EV2 etc. The figure legend for these should be included in the main manuscript document file in a section called Expanded View Figure Legends after the main Figure Legends section. Additional Supplementary material should be supplied as a single pdf file labeled Appendix. The Appendix should have page numbers and needs to include a table of content on the first page (with page numbers) and legends for all content. Please follow the nomenclature Appendix Figure Sx, Appendix Table Sx etc. throughout the text, and also label the figures and tables according to this nomenclature.

For more details, please refer to our guide to authors:

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Please consult our guide for figure preparation:

[http://wol-prod-cdn.literatumonline.com/pb-assets/embo-site/EMBOPress\\_Figure\\_Guidelines\\_061115-1561436025777.pdf](http://wol-prod-cdn.literatumonline.com/pb-assets/embo-site/EMBOPress_Figure_Guidelines_061115-1561436025777.pdf)

See also the guidelines for figure legend preparation:

<https://www.embopress.org/page/journal/14693178/authorguide#figureformat>

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Please also follow our guidelines for the use of living organisms, and the respective reporting guidelines:

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- 4) that primary datasets produced in this study (e.g. RNA-seq, ChIP-seq, structural and array data) are deposited in an appropriate public database. If no primary datasets have been deposited, please also state this in a dedicated section (e.g. 'No primary datasets have been generated and deposited'), see below.

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Please remember to provide a reviewer password if the datasets are not yet public.

The accession numbers and database should be listed in a formal "Data Availability" section that follows the model below. This is now mandatory (like the COI statement). Please note that the Data Availability Section is restricted to new primary data that are part of this study. This section is mandatory. As indicated above, if no primary datasets have been deposited, please state this in this section

## # Data availability

The datasets produced in this study are available in the following databases:

- RNA-Seq data: Gene Expression Omnibus GSE46843 (<https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE46843>)
- [data type]: [name of the resource] [accession number/identifier/doi] ([URL or identifiers.org/DATABASE:ACCESSION])

\*\*\* Note - All links should resolve to a page where the data can be accessed. \*\*\*

Moreover, I have these editorial requests:

5) We now request the publication of original source data with the aim of making primary data more accessible and transparent to the reader. Our source data coordinator will contact you to discuss which figure panels we would need source data for and will also provide you with helpful tips on how to upload and organize the files.

6) Our journal encourages inclusion of \*data citations in the reference list\* to directly cite datasets that were re-used and obtained from public databases. Data citations in the article text are distinct from normal bibliographical citations and should directly link to the database records from which the data can be accessed. In the main text, data citations are formatted as follows: "Data ref: Smith et al, 2001" or "Data ref: NCBI Sequence Read Archive PRJNA342805, 2017". In the Reference list, data citations must be labeled with "[DATASET]". A data reference must provide the database name, accession number/identifiers and a resolvable link to the landing page from which the data can be accessed at the end of the reference. Further instructions are available at: <http://www.embopress.org/page/journal/14693178/authorguide#referencesformat>

7) Regarding data quantification and statistics, please make sure that the number "n" for how many independent experiments were performed, their nature (biological versus technical replicates), the bars and error bars (e.g. SEM, SD) and the test used to calculate p-values is indicated in the respective figure legends (also for EV and Appendix figures). Please also check that all the p-values are explained in the legend, and that these fit to those shown in the figure. Please provide statistical testing where applicable. Please avoid the phrase 'independent experiment', but clearly state if these were biological or technical replicates. Please also indicate (e.g. with n.s.) if testing was performed, but the differences are not significant. In case n=2, please show the data as separate datapoints without error bars and statistics. See also: <http://www.embopress.org/page/journal/14693178/authorguide#statisticalanalysis>

If n<5, please show single datapoints for diagrams. Please add to each legend (main, EV figures, Appendix, where applicable) a 'Data Information' section explaining the statistics used or providing information regarding replicates and scales. See: <https://www.embopress.org/page/journal/14693178/authorguide#figureformat>

8) Please add scale bars of similar style and thickness to microscopic images, using clearly visible black or white bars (depending on the background). Please place these in the lower right corner of the images themselves. Please do not write on or near the bars in the image but define the size in the respective figure legend.

9) Please also note our reference format:

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10) We updated our journal's competing interests policy in January 2022 and request authors to consider both actual and perceived competing interests. Please review the policy <https://www.embopress.org/competing-interests> and update your competing interests if necessary. Please name this section 'Disclosure and Competing Interests Statement' and put it after the Acknowledgements section.

11) We now use CRediT to specify the contributions of each author in the journal submission system. CRediT replaces the author contribution section. Please use the free text box to provide more detailed descriptions and do NOT provide your final manuscript text file with an author contributions section. See also our guide to authors: <https://www.embopress.org/page/journal/14693178/authorguide#authorshippinguidelines>

12) All Materials and Methods need to be described in the main text using our 'Structured Methods' format, which is required for all research articles. According to this format, the Methods section should include a Reagents and Tools Table (listing key reagents, experimental models, software, and relevant equipment and including their sources and relevant identifiers), uploaded as separate file, and a Methods section in which we encourage the authors to describe their methods using a step-by-step protocol format with bullet points, to facilitate the adoption of the methodologies across labs. More information on how to adhere to this format as well as downloadable templates (.doc) for the Reagents and Tools Table can be found in our author guidelines (section 'Structured Methods'):

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13) Please add up to 5 keywords to the manuscript and order the sections like this, using these names:  
Title page - Abstract - Keywords - Introduction - Results - Discussion - Methods - Data availability section - Acknowledgements (including funding information) - Disclosure and Competing Interests Statement - References - Figure legends - Expanded View Figure legends

14) Please provide the abstract written in present tense throughout.

15) Please make sure that all the funding information is also entered into the online submission system and that it is complete and similar to the one in the acknowledgement section of the manuscript text file.

In addition, I would need from you uploaded separately:

- a short, two-sentence summary of the manuscript (not more than 35 words).
- two to four short (!) bullet points highlighting the key findings of your study (two lines each).
- a schematic summary figure as separate file that provides a sketch of the major findings (not a data image) in jpeg or tiff format (with the exact width of 550 pixels and a height of not more than 400 pixels) that can be used as a visual synopsis on our website.

I look forward to seeing the final revised version of your manuscript when it is ready. Please let me know if you have questions regarding the revision.

Achim Breiling  
Senior Editor  
EMBO Reports

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Arbitrator:

After evaluating the revised manuscript and the referees' comments and the point by point reply by the authors, my judgement is that the manuscript is adequately improved.

I can see the hesitation from some of the reviewers regarding the lack of functional or mechanistic insight regarding the mode of clonal expansion of pre-malignant clones. Yet I think that the unique data that is collected here using human samples to infer clonal dynamics in human tissues outweighs this critique. There are a multitude of murine lineage tracing studies but related data in humans remains rare. In addition, the topic that is studied here, goes to the heart of what an oncogenic driver is (oncogene, tumor suppressor gene loss) and I think this is a very elegant discussion of this concept based on actual human data in the normal intestine and colorectal cancer. I recommend publication.

*Authors' response to the referee comments from the journal outside EMBO press not shown.*

Dear Prof. Winton,

Thank you for the submission of your revised manuscript to our editorial offices. Before I can proceed with formal acceptance, I have these further editorial requests I ask you to address in a final revised manuscript:

- Please up to 5 keywords to the manuscript and order the sections like this, using these names:

Title page - Abstract - Keywords - Introduction - Results - Discussion - Methods - Data availability section - Acknowledgements (including funding information) - Disclosure and Competing Interests Statement - References - Figure legends - Expanded View Figure legends

- The nomenclature for the EV figures is Figure EVx. Please use this for the filenames and in the legends. Please check again that also the callouts follow this nomenclature.

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- Please check that the number "n" for how many independent experiments were performed, their nature (biological versus technical replicates), the bars and error bars (e.g. SEM, SD) and the test used to calculate p-values is indicated in the respective figure legends (main, EV and Appendix figures). Please also check that all the p-values are explained in the legend, and that these fit to those shown in the figure. Please provide statistical testing where applicable. Please avoid the phrase 'independent experiment', but clearly state if these were biological or technical replicates. Please also indicate (e.g. with n.s.) if testing was performed, but the differences are not significant. In case n=2, please show the data as separate datapoints without error bars and statistics. See also:

<http://www.embopress.org/page/journal/14693178/authorguide#statisticalanalysis>

If  $n < 5$ , please show single datapoints for diagrams. Could testing be also done for the diagrams in panels 2D, 2F, 2G, 2J, 3B, 3M, 3N and EV2A-C? Moreover:

- Please note that the exact p values are not provided in the legend of figure 4P.

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- Please note that the measure of center for the error bars needs to be defined in the legends of figures 2D, F, G, J; 3B, I, J, M, N; EV2 A-C.

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- Please add scale bars of similar style and thickness to all microscopic images (main, EV and Appendix figures), using clearly visible black or white bars (depending on the background). Please place these in the lower right corner of the images themselves. Please do not write on or near the bars in the image but define the size in the respective figure legend. Presently, some scale bars are hard to see (e.g. EV4Y) or are missing (EV1, Ev5). Please check.

- Please provide the Appendix as pdf file and add a title to the title page ("Appendix for ...").

- Please add the manuscript number to the general info table of the author checklist.

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- Please provide the schematic summary figure in jpeg or tiff format with the exact width of 550 pixels and a height of not more than 400 pixels.

I look forward to seeing the final revised version of your manuscript when it is ready. Please let me know if you have questions regarding the revision.

Best,

Achim Breiling  
Senior Editor  
EMBO Reports

All editorial and formatting issues were resolved by the authors.

Prof. Doug Winton  
Cancer Research UK Cambridge Institute  
Li Ka Shing Centre  
University of Cambridge  
Robinson Way  
Cambridge CB2 0RE  
United Kingdom

Dear Prof. Winton,

I am very pleased to accept your manuscript for publication in the next available issue of EMBO reports. Thank you for your contribution to our journal.

Your manuscript will be processed for publication by EMBO Press. It will be copy edited and you will receive page proofs prior to publication. Please note that you will be contacted by Springer Nature Author Services to complete licensing and payment information.

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If you have any questions, please do not hesitate to contact the Editorial Office. Thank you for your contribution to EMBO Reports.

Yours sincerely,

Achim Breiling  
Senior Editor  
EMBO Reports

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Corresponding Author Name: Douglas Winton  
 Journal Submitted to: EMBO Reports  
 Manuscript Number: EMBOR-2024-60585V2

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### Reporting Checklist for Life Science Articles (updated January)

This checklist is adapted from Materials Design Analysis Reporting (MDAR) Checklist for Authors. MDAR establishes a minimum set of requirements in transparent reporting in the life sciences (see Statement of Task: [10.31222/osf.io/9sm4x](https://doi.org/10.31222/osf.io/9sm4x)). Please follow the journal's guidelines in preparing your article. **Please note that a copy of this checklist will be published alongside your article.**

### Abridged guidelines for figures

#### 1. Data

The data shown in figures should satisfy the following conditions:

- the data were obtained and processed according to the field's best practice and are presented to reflect the results of the experiments in an accurate and unbiased manner.
- ideally, figure panels should include only measurements that are directly comparable to each other and obtained with the same assay.
- plots include clearly labeled error bars for independent experiments and sample sizes. Unless justified, error bars should not be shown for technical replicates.
- if  $n < 5$ , the individual data points from each experiment should be plotted. Any statistical test employed should be justified.
- Source Data should be included to report the data underlying figures according to the guidelines set out in the authorship guidelines on Data

#### 2. Captions

Each figure caption should contain the following information, for each panel where they are relevant:

- a specification of the experimental system investigated (eg cell line, species name).
- the assay(s) and method(s) used to carry out the reported observations and measurements.
- an explicit mention of the biological and chemical entity(ies) that are being measured.
- an explicit mention of the biological and chemical entity(ies) that are altered/ varied/ perturbed in a controlled manner.
- the exact sample size (n) for each experimental group/condition, given as a number, not a range;
- a description of the sample collection allowing the reader to understand whether the samples represent technical or biological replicates (including how many animals, litters, cultures, etc.).
- a statement of how many times the experiment shown was independently replicated in the laboratory.
- definitions of statistical methods and measures:
  - common tests, such as t-test (please specify whether paired vs. unpaired), simple  $\chi^2$  tests, Wilcoxon and Mann-Whitney tests, can be unambiguously identified by name only, but more complex techniques should be described in the methods section;
  - are tests one-sided or two-sided?
  - are there adjustments for multiple comparisons?
  - exact statistical test results, e.g., P values = x but not P values < x;
  - definition of 'center values' as median or average;
  - definition of error bars as s.d. or s.e.m.

Please complete ALL of the questions below.  
 Select "Not Applicable" only when the requested information is not relevant for your study.

### Materials

Newly Created Materials	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
New materials and reagents need to be available; do any restrictions apply?	Not Applicable	
<b>Antibodies</b>	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
For <b>antibodies</b> provide the following information: - Commercial antibodies: RRID (if possible) or supplier name, catalogue number and/or clone number - Non-commercial: RRID or citation	Yes	Reagents and Tools Table
<b>DNA and RNA sequences</b>	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
Short novel DNA or RNA including primers, probes: provide the sequences.	Yes	Appendix
<b>Cell materials</b>	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
<b>Cell lines:</b> Provide species information, strain. Provide accession number in repository <b>OR</b> supplier name, catalog number, clone number, and/or RRID.	Not Applicable	
<b>Primary cultures:</b> Provide species, strain, sex of origin, genetic modification status.	Yes	Reagents and Tools Table
Report if the cell lines were recently <b>authenticated</b> (e.g., by STR profiling) and tested for mycoplasma contamination.	Not Applicable	
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<b>Laboratory animals or Model organisms:</b> Provide species, strain, sex, age, genetic modification status. Provide accession number in repository <b>OR</b> supplier name, catalog number, clone number, <b>OR</b> RRID.	Not Applicable	
<b>Animal observed in or captured from the field:</b> Provide species, sex, and age where possible.	Not Applicable	
Please detail <b>housing and husbandry conditions</b> .	Not Applicable	
<b>Plants and microbes</b>	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
<b>Plants:</b> provide species and strain, ecotype and cultivar where relevant, unique accession number if available, and source (including location for collected wild specimens).	Not Applicable	
<b>Microbes:</b> provide species and strain, unique accession number if available, and source.	Not Applicable	
<b>Human research participants</b>	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
If collected and within the bounds of privacy constraints report on age, sex and gender or ethnicity for all study participants.	Yes	Appendix Table 1
<b>Core facilities</b>	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
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If study protocol has been <b>pre-registered</b> , provide DOI in the <b>manuscript</b> . For clinical trials, provide the trial registration number <b>OR</b> cite DOI.	Not Applicable	
Report the <b>clinical trial registration number</b> (at ClinicalTrials.gov or equivalent), where applicable.	Not Applicable	
Laboratory protocol	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
Provide DOI OR other citation details if <b>external detailed step-by-step protocols</b> are available.	Not Applicable	
Experimental study design and statistics	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
Include a statement about <b>sample size</b> estimate even if no statistical methods were used.	Yes	Figure Legends
Were any steps taken to minimize the effects of subjective bias when allocating animals/samples to treatment (e.g. <b>randomization procedure</b> )? If yes, have they been described?	Not Applicable	
Include a statement about <b>blinding</b> even if no blinding was done.	Yes	
Describe <b>inclusion/exclusion criteria</b> if samples or animals were excluded from the analysis. Were the criteria pre-established?	Not Applicable	
If sample or data points were omitted from analysis, report if this was due to attrition or intentional exclusion and provide justification.		
For every figure, are <b>statistical tests</b> justified as appropriate? Do the data meet the assumptions of the tests (e.g., normal distribution)? Describe any methods used to assess it. Is there an estimate of variation within each group of data? Is the variance similar between the groups that are being statistically compared?	Yes	
Sample definition and in-laboratory replication	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
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In the figure legends: define whether data describe <b>technical or biological replicates</b> .	Yes	Figure Legends

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Ethics	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
Studies involving <b>human participants</b> : State details of <b>authority granting ethics approval</b> (IRB or equivalent committee(s), provide reference number for approval).	Yes	Reagents and Tools Table
Studies involving <b>human participants</b> : Include a statement confirming that <b>informed consent</b> was obtained from all subjects and that the experiments conformed to the principles set out in the WMA Declaration of Helsinki and the Department of Health and Human Services Belmont Report.	Yes	Methods and Protocols, page 16 and 19
Studies involving <b>human participants</b> : For publication of <b>patient photos</b> , include a statement confirming that consent to publish was obtained.	Not Applicable	
Studies involving <b>experimental animals</b> : State details of <b>authority granting ethics approval</b> (IRB or equivalent committee(s), provide reference number for approval. Include a statement of compliance with ethical regulations.	Not Applicable	
Studies involving <b>specimen and field samples</b> : State if relevant <b>permits</b> obtained, provide details of authority approving study; if none were required, explain why.	Not Applicable	

Dual Use Research of Concern (DURC)	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
Could your study fall under dual use research restrictions? Please check biosecurity documents and list of <b>select agents and toxins</b> (CDC): <a href="https://www.selectagents.gov/sat/list.htm">https://www.selectagents.gov/sat/list.htm</a> .	Not Applicable	
If you used a <b>select agent</b> , is the security level of the lab appropriate and reported in the manuscript?	Not Applicable	
If a study is subject to dual use research of concern regulations, is the name of the <b>authority granting approval and reference number</b> for the regulatory approval provided in the manuscript?	Not Applicable	

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The MDAR framework recommends adoption of discipline-specific guidelines, established and endorsed through community initiatives. Journals have their own policy about requiring specific guidelines and recommendations to complement MDAR.

Adherence to community standards	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
State if relevant guidelines or checklists (e.g., ICMJE, MIBBI, ARRIVE, PRISMA) have been followed or provided.	Not Applicable	
For <b>tumor marker prognostic studies</b> , we recommend that you follow the <b>REMARK</b> reporting guidelines (see link list at top right). See author guidelines, under 'Reporting Guidelines'. Please confirm you have followed these guidelines.	Not Applicable	
For <b>phase II and III randomized controlled trials</b> , please refer to the <b>CONSORT</b> flow diagram (see link list at top right) and submit the <b>CONSORT</b> checklist (see link list at top right) with your submission. See author guidelines, under 'Reporting Guidelines'. Please confirm you have submitted this list.	Not Applicable	

#### Data Availability

Data availability	Information included in the manuscript?	In which section is the information available? (Reagents and Tools Table, Materials and Methods, Figures, Data Availability Section)
Have <b>primary datasets</b> been deposited according to the journal's guidelines (see 'Data Deposition' section) and the respective accession numbers provided in the Data Availability Section?	Yes	Data Availability Section
Were <b>human clinical and genomic datasets</b> deposited in a public access-controlled repository in accordance to ethical obligations to the patients and to the applicable consent agreement?	Not Applicable	
Are <b>computational models</b> that are central and integral to a study available without restrictions in a machine-readable form? Were the relevant accession numbers or links provided?	Yes	Reagents and Tools Table
If publicly available data were reused, provide the respective <b>data citations in the reference list</b> .	Not Applicable	