

TITLE PAGE

Title

The Short-term Association between Asthma Hospitalizations, Ambient Temperature, Other Meteorological Factors and Air Pollutants in Hong Kong: A Time-Series Study

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25 **Key Words**

26 Asthma, ambient temperature, meteorological factors, time-series

27

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29 **Key Message:**

30 What is the key question?

31 What sort of associations exist between asthma hospitalizations and meteorological and
32 environmental parameters in a densely populated Asian city with a subtropical climate?

33 What is the bottom line?

34 More asthma hospitalizations were associated with high temperatures, relative humidity and
35 ozone levels in the hot season and low temperatures and relative humidity in the cool season.

36 Why read on?

37 This study, which used advanced statistical models that allow for the non-linear and lagged
38 association and had a very large sample size which allowed subgroup analyses to be
39 performed, found that asthma admissions showed considerably different sensitivities to
40 meteorological and environmental conditions between children, adults, and the elderly.

Abstract

Background: Previous studies have found associations between meteorological variables and asthma hospitalizations but the nature of these associations has varied and few studies have been done in subtropical areas or evaluated effect modification by age.

Objectives: This study aimed to evaluate associations between asthma hospitalizations and meteorological factors and to assess effect modification of these associations by age and season in Hong Kong.

Methods: Poisson generalized additive models combined with distributed lag non-linear models and piecewise linear models were used to model associations between daily asthma hospitalizations from 2004-2011 and meteorological factors and air-pollutants, adjusting for day-of-week, seasonality and trend. Subgroup analyses by age and season were performed.

Results: In the hot season, hospitalizations were lowest at 27°C, rose to a peak at 30°C, then plateaued between 30°C and 32°C. The cumulative relative risk for lags 0-3 days (RRlag0-3) for 30°C vs. 27°C was 1.19 (95% confidence interval (CI) 1.06, 1.34). In the cold season, temperature was negatively associated with asthma hospitalizations. The cumulative RRlag0-3 for 12°C vs. 25°C was 1.33 (95% CI 1.13, 1.58). Adult admissions were most sensitive to temperatures in both seasons while admissions among children below 5 were least associated. Higher humidity and ozone levels in the hot season, and low humidity in the cold season were also associated with more asthma admissions.

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64 Conclusion: Asthmatics should avoid exposure to adverse conditions by limiting outdoor
65 activities during periods of extreme temperatures, combinations of high humidity and high
66 temperature, and low humidity and low temperature, and high ozone levels.

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INTRODUCTION

According to the World Health Organization (WHO), asthma is among the most common chronic respiratory diseases and there are currently over 235 million people suffering from the disease worldwide.[1] Asthma is characterized by chronic airway inflammation and high sensitivity to various triggers. Once triggered the inflamed airway swells further, the muscle of the airway wall tightens, and extra mucus is secreted, leading to the common symptoms of asthma including coughing, wheezing, shortness of breath and chest tightness. Although the fundamental causes of asthma are still unclear, some factors that trigger asthma have been identified, including environmental factors, such as pollens, pollutants, tobacco smoke and chemical irritants at workplace, host factors, such as respiratory infections, and psychological factors, such as fear and anger.[1] Asthma cannot be cured but can be controlled by medicines and by avoiding exposure to risk factors.

Previous studies have assessed the environmental risk factors for asthma and some have reported that both high and low ambient temperatures were associated with higher asthma morbidity.[2–10] However, the nature of these associations varied across studies in different geographic locations, likely due to differences in climate, socioeconomic development, and genetic characteristics. A study from Australia[6] reported a positive association between childhood asthma morbidity and temperature while a study from Shanghai[2] reported higher numbers of admissions during periods of low temperature. In Hong Kong, large diurnal temperature ranges were found to be associated with more emergency hospital admissions for asthma.[11] However, the associations between daily mean temperatures, other meteorological factors, and asthma hospitalizations in Hong Kong were not reported in that study[11], and have not been reported in any other studies to this date. Thermoregulation

ability, comorbidity prevalence, lung function, responsiveness to rescue medicines and disease severity are different between children, adults and the elderly,[12–15] thus age is a possible effect modifier of the asthma- temperature association. Several studies have reported on associations in different age-groups.[5,8,16] However, only one study from Korea compared associations among children, adults and the elderly from the same population,[5] and reported a higher risk for children under 15 than for adults or the elderly at low temperatures, but did not report on differences between age groups for other environmental variables. Other studies have only compared the associations between either children and adults [16], or the elderly and non-elderly[8].

Understanding the association between asthma hospitalizations and ambient temperature, and how the associations vary across age groups, are important in developing strategic health protection policies. In this study, associations between asthma hospitalizations and ambient temperatures and other environmental factors in Hong Kong, a densely populated city with a sub-tropical climate, were assessed using a time-series approach, and subgroup analyses by age group and season were also done to assess potential effect modification by these variables.

METHODS

Data

Data on all public hospital admissions in Hong Kong during the period 2004 to 2011 including age, gender, and International Classification of Diseases code (ICD-9) for principal diagnosis at discharge were obtained from the Hospital Authority. Admission records with principal diagnosis at discharge code ICD-9 493.xx were included in the study.

Meteorological data including daily mean temperature ($^{\circ}\text{C}$), daily mean relative humidity percentage (RH%), daily mean solar radiation (MJ/m^2), daily mean wind speed (km/h), and daily rainfall (mm) were obtained for a single central monitoring station from the Hong Kong Observatory (HKO). Air pollutant data including average daily level of respirable suspended particulates (RSP/ PM_{10} in $\mu\text{g}/\text{m}^3$), average daily level of sulphur dioxide (SO_2 in $\mu\text{g}/\text{m}^3$), nitrogen dioxide (NO_2 in $\mu\text{g}/\text{m}^3$), and ozone (O_3 in $\mu\text{g}/\text{m}^3$) were collected from 11 general stations and 3 roadside stations of the Hong Kong Environmental Protection Department.

Data from Tap Mun station, a remote area with small population, and from the roadside stations, were excluded as these data might not represent the exposure of the overall population. Data from the remaining 10 general stations, which are widely spread throughout the city and are located in districts with large population, were averaged to produce the daily values for each pollutant.

Statistical models

A combination of Poisson generalized additive models (GAM)[17] and distributed lag non-linear models (DLNM)[18] was used to assess the potentially non-linear association between meteorological and pollutant variables and asthma hospitalizations allowing for lagged effects. The model was as below:

134 $\text{Log}(E[\text{daily number of ASTHMA admissions}]) =$
 135 $\text{cb}(\text{temperature}, \text{df}=4; \text{lag}, \text{df}=4) + \text{cb}(\text{RH}, \text{df}=3; \text{lag}, \text{df}=4) + \text{cb}(\text{sqrt.wind speed},$
 136 $\text{df}=3; \text{lag}, \text{df}=4) + \text{cb}(\text{solar radiation}, \text{df}=3; \text{lag}, \text{df}=4) + \text{cb}(\text{air pollutants}, \text{df}=2; \text{lag},$
 137 $\text{df}=4) + \text{cb}(\text{influenza}, \text{df}=2; \text{lag}, \text{df}=4) + \text{cb}(\text{ARI}, \text{df}=2; \text{lag}, \text{df}=4) + \text{s}(\text{sqrt.Rain}, k=3)$
 138 $+ \text{s}(\text{DOS}, k=7) + \text{s}(\text{DOY}, k=5) + \text{factor}(\text{DOW}) + \text{factor}(\text{Holiday})$

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140 *cb: crossbasis of independent variables built up using the `dlm()` package in R*

141 *s(): smoothing function of independent variables*

142 *k: limitation of degree of freedom in smoothing function*

143 *factor(): indicator of categorical independent variables*

144 *air pollutants: LogPM_{10} , SO_2 , NO_2 and/ or O_3*

145 *DOS: Day of study (1, 2, 3..., 2922)*

146 *DOY: Day of year (1,2,3, ...,365/366)*

147 *DOW: Day of week (1,2,3,...,7)*

148

149 Meteorological variables and air-pollutants variables were modeled simultaneously using
 150 DLNM. Same day rainfall, long term time trend and day-of-year were modeled using natural
 151 cubic splines while holiday and day-of-week were adjusted as categorical factors. Mean wind
 152 speed and total rainfall were square root transformed, and PM_{10} was log transformed to
 153 reduce skewness and the influence of outliers. Since influenza and acute respiratory
 154 infections (ARI) were associated with exacerbation of asthma, the daily numbers of

hospitalizations of influenza and ARI were included as predictors in models during model selection. As air pollutants are usually highly correlated with each other, both single-pollutant and multi-pollutant models were built and compared to reveal the independent associations between air-pollutants and asthma admissions.

Maximum lags of 20-30 days have been commonly used in modeling temperature due to the relatively longer observed lagged effect of cold temperature,[2,7,19,20] while lagged effect of air-pollutants are usually shorter.[21] Maximum lags for DLNMs were chosen by comparing RR-lag associations generated from initial DLNMs using different maximum lags (10-30 days). The shortest maximum lag that could capture a robust lagged effect was chosen. The number of degrees of freedom (df) for variable terms were chosen by minimizing the generalized cross validation(GCV) score in the mgcv() package[17] in R. The most common df adapted for seasonal trend per year was 7 in similar studies.[22] Data was split into half for seasonal analyses in this study, therefore the df was adjusted accordingly and a df of 5 was used. The reference values for environmental variables used for comparison with extreme values (the 3rd and the 97th percentile) depended on the nature of the association identified. The 3rd/ 50th/ 97th percentile of the variable, value that associated with the minimum risk of admissions and the threshold point was used for monotonic association, U-shaped association and when a threshold point was observed, respectively.

To better illustrate the changes in estimated risk of asthma admissions (in %) among the overall population per unit change in environmental factors, factors that showed linear or partially linear associations with asthma admissions in initial DLNM were put into piecewise linear models. Thresholds for the piecewise terms were using a grid search of reasonable values chosen by visual examination of DLNM plots. Thresholds used in the final piecewise models were those that minimized the GCV score while the length of lagged effects considered were chosen with reference to the results from the DLNMs.

Separate analyses were performed for hot season (May to October) and cold season (November to April). Season has been reported as a possible effect modifier on the association between environmental factors and respiratory morbidity in a previous local study [23] therefore the same classification of season was adopted for this study. Subgroup analyses by age-group were done for older adults (aged 60 or higher), working age adults (aged 15-59) and children (age below 15). Children were further grouped into young children (under 5) and children aged 5-14. The length of lagged effects for different age-groups were assessed using plots of single day and cumulative RRs vs. lag days and observing the length of time that lagged effects persisted. If the RR was observed to be elevated for up to k days then the cumulative RR for 0-k days was reported.

Model Checking and Sensitivity analyses

Residual plots and partial autocorrelations (PACF) plots of residuals were used for assessing the appropriateness of models and partial autocorrelation of residuals. Any time-related potential confounders that did not include in the models would cause autocorrelation in residuals, which in turn would cause bias in the standard errors estimates. To minimize autocorrelations, autoregressive terms were added to the models for the lags with value of PACF higher than 0.1 in the first 30 days. Sensitivity analyses were done by applying different dfs for the lag terms to the models to observe the robustness of the results. All statistical analyses were done using R version 3.0.3.

RESULTS

There were a total of 56,112 asthma admissions during the 8-year study period. Among these, 33.07%, 27.60% and 39.38 % were in children, adults, and older adults respectively. The average daily numbers of admissions were 19.20, 17.45 and 20.89 for the whole year, hot season and cold season, respectively. Daily mean temperatures ranged from 8.8°C to 31.8°C. The median daily mean temperatures (3rd, 97th percentile) were 24.6°C (12.7°C, 30.0°C), 27.8°C (23.6°C, 30.4°C) and 19.3°C (11.7°C, 25.9°C) for whole year, hot and cold seasons, respectively. Descriptive statistics for overall asthma hospitalizations and environmental variables during the study period by season are shown in Table I.

Maximum lags of 30 days and 15 days with df of 4 were used for the lag parameter for temperature/ RH and other environmental factors in DLNMs, respectively. A df of 4 or 3 for meteorological variables, and df=2 for pollutant variables, were chosen for use in the variable parameter in final DLNMs.

213 Table I Descriptive statistics of daily number of asthma admissions, meteorological factors
 214 and air pollutants in Hong Kong during 2004-2011

	<i>Min.</i>	<i>3rd percentile</i>	<i>25th percentile</i>	<i>Median</i>	<i>Mean</i>	<i>75th percentile</i>	<i>97th percentile</i>	<i>Max.</i>
<i>Whole year</i>								
<i>Daily no. of asthma admissions</i>	5.00	9.00	15.00	19.00	19.20	23.00	32.00	46.00
<i>Mean temperature (°C)</i>	8.80	12.70	19.30	24.60	23.36	27.80	30.03	31.80
<i>Mean RH (%)</i>	31.00	53.00	73.00	79.00	77.94	85.00	94.00	98.00
<i>Solar radiation(MJ/m²)</i>	0.64	2.44	8.34	13.97	13.51	18.05	25.58	28.81
<i>Wind speed(km/h)</i>	3.00	6.80	15.10	21.90	22.47	28.60	42.73	70.00
<i>PM₁₀(µg/m³)</i>	9.75	18.90	31.25	49.44	53.79	71.23	109.36	586.40
<i>SO₂(µg/m³)</i>	3.70	5.79	10.76	15.85	18.68	22.83	48.77	113.97
<i>NO₂(µg/m³)</i>	14.41	27.31	46.51	59.61	62.15	75.18	110.23	180.07
<i>O₃(µg/m³)</i>	6.17	11.07	18.98	32.97	36.23	49.37	78.20	122.12
<i>Hot seasons</i>								
<i>Daily no. of asthma admissions</i>	5.00	8.00	14.00	17.00	17.45	21.00	29.00	40.00
<i>Mean temperature (°C)</i>	18.70	23.60	26.10	27.80	27.52	29.10	30.40	31.80
<i>Mean RH (%)</i>	45.00	62.00	75.00	80.00	79.88	85.00	94.00	98.00

<i>Solar radiation(MJ/m²)</i>	0.73	3.18	10.40	16.18	15.54	20.79	26.68	28.81
<i>Wind speed(km/h)</i>	3.20	6.70	13.50	19.35	21.07	27.00	44.58	70.00
<i>PM₁₀(µg/m³)</i>	14.42	17.75	24.86	34.36	43.33	56.18	102.45	154.08
<i>SO₂(µg/m³)</i>	3.87	5.61	10.08	15.43	18.86	22.96	55.24	113.97
<i>NO₂(µg/m³)</i>	14.41	24.97	38.80	50.76	54.23	65.83	100.67	142.10
<i>O₃(µg/m³)</i>	6.17	11.34	17.37	25.99	34.43	49.12	79.50	120.87
<i>Cold seasons</i>								
<i>Daily no. of asthma admissions</i>	7.00	11.00	16.00	20.00	20.98	25.00	34.00	46.00
<i>Mean temperature (°C)</i>	8.80	11.70	16.70	19.30	19.15	21.80	25.85	27.80
<i>Mean RH (%)</i>	31.00	48.00	69.00	78.00	75.97	85.00	94.00	97.00
<i>Solar radiation(MJ/m²)</i>	0.64	2.13	6.74	12.31	11.45	15.74	21.02	27.70
<i>Wind speed(km/h)</i>	3.00	7.50	17.60	23.80	23.89	29.80	41.89	60.70
<i>PM₁₀(µg/m³)</i>	9.75	26.21	45.16	60.92	64.40	78.79	117.69	586.40
<i>SO₂(µg/m³)</i>	3.70	6.51	11.41	16.11	18.49	22.76	42.42	107.46
<i>NO₂(µg/m³)</i>	25.34	40.62	55.52	67.10	70.20	80.89	117.71	180.07
<i>O₃(µg/m³)</i>	6.37	10.69	23.62	36.85	38.06	49.46	76.50	122.12

216 Hot season regression analyses

217 For the overall population, the minimum morbidity temperature (MMT) was about 27°C. The
 218 RR for asthma admissions increased when mean temperature rose from 27°C to about 30°C
 219 and then plateaued for temperature higher than 30°C (figure 1). The wide 95% confidence
 220 interval of RR for temperature higher than 30°C suggested the association was not significant
 221 and was less conclusive in this temperature range, possibly caused by the small number of
 222 days with mean temperature higher than 30°C during the study period (about 6% of hot
 223 season days). The lagged effect lasted for about 3 days and the cumulative (cum.) RR for high
 224 temperatures (lag0-3, 30°C vs. 27°C) was 1.19 (95% CI 1.06, 1.34) (table II). In the piecewise
 225 linear model, the estimated risk increased per 1 degree increase in mean temperature (lag 0-3
 226 days) for mean temperature above 27.9°C was 6.00% (95%CI 1.60%, 10.58%; p=0.007).

227 Asthma risk increased when RH increased from 70% to about 87% and then stopped
 228 increasing for higher RH. However the wide CI suggested that the association was less
 229 conclusive for RH higher than 90%. Cum. RR for high RH (lag0-12, 94% vs. 70%) was 1.13
 230 (95% CI 0.83, 1.54) (table III). Every 1% increase in RH (lag0-12 days) was associated with
 231 1.89% (95%CI -0.02%, 3.83%; p=0.052) increase in estimated risk for RH above 82% in the
 232 piecewise model. Solar radiation demonstrated a negative association with asthma admissions
 233 with a cum. RR for low solar radiation (lag0-7, 3 vs. 15.88 MJ /m²) 1.26 (95%CI 0.91, 1.74).
 234 A 1MJ/m² decrease in solar radiation was associated with a 2.06% (95%CI 3.02%, 1.08%;
 235 p<0.0005) change in estimated risk in the piecewise model. High wind speed was associated
 236 with fewer asthma admissions but the association was not significant. Concentration of ozone
 237 showed a strong positive association with asthma admissions in both single- and multi-
 238 pollutant models. The cum. RRs for high level of ozone (lag0-3, 97th percentile of 78 µg/m³
 239 vs. median of 26.62 µg/m³) in the single-pollutant model and the multi-pollutant model were
 240 1.19 (95% CI 1.07, 1.32) and 1.44 (95% CI 1.19, 1.73), respectively. Every 10 µg/m³

241 increase in ozone was associated with a 4.04% (95%CI 2.24%, 5.85%; $p<0.0005$) and 8.72%
242 (95%CI 5.31%, 12.15%; $p<0.0005$) increase in estimated risk in the piecewise single- and
243 multi-pollutant models, respectively. No significant and consistent associations were
244 observed for the other air-pollutants (table IV).

245 Table II Cumulative relative risks (RR) from DLNMs describing associations between
 246 asthma hospitalizations and mean daily temperature by age group and season, Hong Kong,
 247 2004-2011

Age group	Hot season (n=1232)					Cold season (n=1180)				
	Ref. Temp.#	High Temp.*	Lag (day)	Cum. RR	95%C.I.	Ref. Temp.#	Low Temp.*	Lag (day)	Cum. RR	95%C.I.
All	27°C	30°C	0-3	1.19	1.06, 1.34	25°C	12°C	0-3	1.33	1.13,1.58
Children (<5)	27°C	30°C	0-3	1.08	0.82, 1.43	25°C	12°C	0	1.10	0.88, 1.38
Children (5-14)	27°C	30°C	0-3	1.32	1.01, 1.74	25°C	12°C	0-2	1.77	1.15, 2.73
Adults (15-59)	26°C	30°C	0-12	1.52	1.13, 2.06	25°C	12°C	0-3	1.77	1.30, 2.41
Older adults (>59)	28°C	30°C	0-3	1.17	1.03,1.33	21°C	12°C	0-10	1.67	1.25,2.22

248

249 C.I., Confidence interval

250 Temp., mean temperature

251 # Reference value used for comparison with extreme values was 1) the 97th percentile of the
 252 season for monotonic association or 2) the value associated with the lowest risk of admissions
 253 for U-shaped association or 3) the threshold value.

254 *Extreme values were the 3rd percentile or the 97th percentile during seasons

255

Table III. Cumulative relative risks (RR) from DLNM describing associations between asthma hospitalizations and daily mean RH by age group and season, Hong Kong, 2004-2011

Age group	Hot season (n=1232)					Cold season (n=1180)				
	Ref. RH#	High RH*	Lag (day)	Cum. RR	95% C.I.	Ref. RH#	Low RH*	Lag (day)	Cum. RR	95% C.I.
All	70%	94%	0-12	1.13	0.83, 1.54	83%	49%	0-12	1.19	0.99,1.43
Children (<5)	70%	94%	0-3	1.46	0.93, 2.28	83%	49%	0-5	0.91	0.64,1.29
Children (5-14)	70%	94%	0-12	4.31	1.86, 9.96	83%	49%	0-10	0.96	0.59, 1.55
Adults (15-59)	83%	94%	0-10	1.28	0.78,2.12	83%	49%	0-10	1.56	1.14,2.15
Older adults (above 59)	80%	94%	0-10	1.08	0.71,1.66	83%	49%	0-25	1.26	0.87, 1.83

258

259 C.I., Confidence interval

260 # Reference value used for comparison with extreme values was 1) the median of the season
 261 for monotonic association or 2) the value associated with the lowest risk for admissions for U-
 262 shaped association or 3) the threshold value.

263 *Extreme values were the 3rd percentile or the 97th percentile during seasons

264 Table IV. Cumulative relative risks from DLNM describing associations between asthma
 265 hospitalizations and concentration of air-pollutants by age group and season, Hong Kong,
 266 2004-2011 (Lag 0-3 days, the 97th percentile versus the 50th percentile of concentration of
 267 air-pollutants during seasons)

Cumulative relative risks (95% Confidence Interval) generated from single-pollutant models								
Age-group	Hot season				Cold season			
	PM ₁₀	NO ₂	SO ₂	O ₃	PM ₁₀	NO ₂	SO ₂	O ₃
All	1.06 (0.96,1.17)	1.08 (0.91,1.29)	1.04 (0.96,1.15)	1.19 (1.07,1.32)	1.00 (0.97, 1.04)	1.05 (0.93,1.18)	0.96 (0.89,1.04)	1.01 (0.92,1.11)
Children (<5)	0.88 (0.68,1.13)	1.03 (0.74,1.44)	1.25 (0.99,1.57)	0.87 (0.68, 1.13)	0.98 (0.88,1.09)	1.29 (0.89, 1.87)	1.06 (0.85,1.33)	0.85 (0.64,1.13)
Children (5-14)	1.12 (0.86, 1.46)	1.18 (0.83,1.66)	1.05 (0.82, 1.33)	1.13 (0.86,1.48)	1.14 (1.02,1.27)	1.56 (1.08 ,2.25)	1.18 (0.95,1.47)	0.82 (0.61,1.10)
Adults (15- 59)	1.14 (0.95, 1.37)	1.04 (0.81,1.32)	1.02 (0.87,1.20)	1.32 (1.09,1.60)	0.99 (0.92,1.06)	1.02 (0.79,1.27)	0.93 (0.81,1.08)	1.14 (0.97,1.34)
Older adults (above 59)	1.11 (0.96,1.30)	1.13 (0.92, 1.38)	1.06 (0.93,1.21)	1.33 (1.13, 1.57)	1.01 (0.95, 1.07)	0.92 (0.74, 1.14)	0.85 (0.76,0.96)	1.04 (0.90,1.20)
Cumulative relative risks (95% Confidence Interval) generated from multi-pollutant models								
Age-group	Hot season				Cold season			
	PM ₁₀	NO ₂	SO ₂	O ₃	PM ₁₀	NO ₂	SO ₂	O ₃

All	0.79 (0.64, 0.97)	1.20 (0.86, 1.68)	1.06 (0.90, 1.24)	1.43 (1.19, 1.73)	1.01 (0.97, 1.06)	1.04 (0.88,1.23)	0.93 (0.84,1.04)	1.01 (0.91,1.13)
Children (<5)	0.84 (0.52, 1.37)	0.87 (0.47, 1.63)	1.35 (0.93, 1.96)	0.91 (0.58, 1.43)	0.98 (0.85, 1.12)	1.26 (0.75,2.11)	0.88 (0.64,1.20)	0.84 (0.62,1.14)
Children (5-14)	1.20 (0.70, 2.07)	1.43 (0.72, 2.85)	0.81 (0.54, 1.21)	0.98 (0.60, 1.60)	1.20 (1.05, 1.37)	1.89 (1.11,3.22)	0.78 (0.58,1.06)	0.72 (0.52,0.99)
Adults (15- 59)	0.82 (0.56, 1.20)	0.91 (0.56, 1.48)	1.20 (0.91, 1.59)	1.51 (1.08, 2.11)	0.92 (0.84, 1.01)	0.78 (0.55,1.12)	1.21 (0.99,1.48)	1.27 (1.05,1.54)
Older adults (> 59)	0.70 (0.50, 0.96)	1.03 (0.68, 1.55)	1.33 (1.05, 1.67)	1.91 (1.44, 2.54)	1.06 (0.98, 1.14)	0.96 (0.71,1.29)	0.83 (0.70,0.99)	1.04 (0.89,1.22)

268

269 N=1232 in the hot season

270 N=1180 in the cold season

271

272 Subgroup analyses by age group

273 While more asthma admissions were associated with higher temperatures and higher RH in
274 all age groups these associations were stronger for adults and older children than for young
275 children and older adults. Adults also had a lower minimum morbidity temperature (MMT) at
276 26°C, than either of the children's group, 27°C, or older adults, 28°C (figure 2). Overall
277 admissions among adults and older children were most sensitive to high temperature while
278 the association among young children was the weakest and was not significant. The
279 association between RH and admissions among children groups was U-shaped with minimum
280 risk at 70% while admissions among adults increased with high RH when RH rose over 83%
281 (figure 3). The associations were strongest in older children, followed by adults, young
282 children and then older adults. Wind speed and solar radiation did not show significant
283 associations for any age group, while ozone demonstrated a strong and positive association
284 with asthma admissions among adults and older adults in both single- and multi-pollutant
285 models (Table IV). Adult admissions were most sensitive to environmental factors while
286 those in young children below 5 were least sensitive. A residual seasonal pattern,
287 demonstrated by the plotting the smooth term for day-of-year, was observed for all age
288 groups, with admissions bottoming out from mid-July to mid- August, with a sharper drop for
289 children (figure 4).

290 Cold season regression analyses

291 For the overall population, asthma hospitalizations were negatively associated with ambient
292 mean temperature, with lagged effects lasting about 3 days. The cum. RR for low temperature
293 (lag0-3, 12°C vs. 25°C) was 1.33 (95% CI 1.13, 1.58) (table II). For temperatures below
294 15.2°C, a 1 degree drop in mean temperature was associated with 5.79% (95%CI 3.29%,
295 8.36%; p=0.012) increase in estimated risk in the piecewise model. RH was negatively
296 associated with asthma admissions (figure 5). The RR for low RH (lag0-12, 49% vs. 83%)
297 was 1.19 (95% CI 0.99, 1.43) (table III). Every 1% drop in RH below 58% was associated
298 with 2.88% (95%CI 0.37%, 5.45%; p=0.024) increase in estimated risk in the piecewise
299 model. High solar radiation and low wind speed were associated with more admissions but
300 the associations were not significant (figure 5). None of the studied air-pollutants showed
301 consistent and significant associations with asthma admissions in the cold season (table IV).

302 Subgroup analyses by age group

303 Admissions increased when temperatures dropped below about 20°C for older children, adults,
304 and older adults while those among young children increased when mean temperature
305 dropped from the seasonal maximum of 27.8°C to 20°C, then declined for lower temperatures
306 though the association was not significant (figure 6). The association with low temperatures
307 was strongest among adults and older children, followed by older adults. Low RH was
308 associated with more admissions among adults and older adults but not in children (figure 7).
309 The association was negative throughout the RH range in adults and was U-shaped among
310 older adults when RH was higher than 60% with a minimum morbidity RH at 83%. Wind
311 speed and solar radiation did not show significant associations for any age group. PM₁₀ and
312 NO₂ were significantly and positively associated with number of admissions in older children
313 in both single- and multi-pollutant models (table IV). No significant, positive, and consistent
314 associations with any air-pollutants were observed in other subgroups. Overall, adult
315 admissions were most, and young children's admissions were least, sensitive to temperature
316 variation. A residual seasonal pattern was observed for both children's groups, with
317 admissions increasing from January to April (figure 8).

DISCUSSION

Our study found that associations between asthma admissions and environmental factors varied by season and age group. Adults were most sensitive to both temperature and RH while children below 5 year-old were least sensitive to environmental factors. Asthma admissions increased at high temperatures in the hot season and at low temperatures in the cold season. The nature of associations reported from past studies of asthma morbidity and temperatures have not been consistent, likely due to differences in climate, study design, and statistical methodology. Some studies have found positive associations between temperatures and asthma admissions,[24,25] some have reported negative associations, [2,5,7,8,16,19,26,27] while a few have reported significant associations with both high and low temperatures.[6,28] The seasonal differences in associations of RH with asthma hospitalizations observed in this study, negative association in the cold season, and positive in the hot season, have not been commonly reported in previous studies. Among the limited number of studies examining the associations between asthma hospitalizations and RH, two U.S. studies reported positive associations with RH but negative associations with temperature.[9,26] However neither study performed stratified analyses by season and they both assessed only linear associations.[9,26]

Among pollutants, ozone demonstrated the strongest positive association with asthma admissions (only in the hot season) in our study. Positive asthma-ozone associations have been reported in other studies[21,29–33] and previous Hong Kong studies also reported a significant associations between increased asthma admissions and ozone in multi-pollutant models.[21,34,35] Studies from London, the U.S., and Turkey have also reported that ozone was positively associated with asthma only in the hot season. [31,36–39]. Previous reports

have suggested that the complicated mixture of air pollutants, the possibly higher exposure to air-pollutants due to the longer time spent outdoors in the hot season, or some as yet unknown synergism between high temperature and ozone might contribute to the stronger association observed between asthma conditions and ozone in the hot season.[31, 37-38] While in our data mean O₃ concentrations were higher in the cold season, there were more days with very high O₃ in the hot season.

Our study found that although the risk of asthma exacerbations increased at both high and low temperatures and that the association with low temperatures was stronger. Previous studies have reported the association of low temperatures with decreasing lung function, higher risk of airway inflammation and lower lung capacity.[40,41] Together with low temperatures, low humidity, which can induce bronchoconstriction and dry the mucosal membrane along the airway, also increases the risk of asthma exacerbations. Cold and dry air decreases the humidity of the mucosal membrane and hence increases susceptibility to airway bacterial and viral infections and irritation by allergens and other irritants.[42,43] Low temperatures and low levels of humidity also favor the survival of viruses like influenza and respiratory syncytial virus (RSV)[44] which will also increase the risk of infection-induced triggers. The observed effect modification by season of the associations between asthma admissions and temperature is likely due to a U-shaped association between temperature and asthma which is reflected in a positive association in the summer, when temperatures range from moderate to hot, and a negative association in the winter when temperatures range from moderate to cold. The effect modification of the association between RH and asthma by season may reflect a temperature-RH interaction as high RH can exacerbate heat stress during periods of high temperatures, while low RH may be linked with asthma during periods of cold temperature for reasons discussed above.

366

367 In addition, neutrophils might also play important roles in temperature-related triggers. The
368 cell is a kind of bio-marker of asthma exacerbations.[45] High neutrophil counts are
369 commonly seen in sputum and bronchial lavage of patients with chronic severe asthma (in
370 both infection-related exacerbations and non-infection-related exacerbations) while this was
371 less likely to be observed in patients with mild and moderate asthma.[45] Previous studies
372 have reported ambient temperature, especially low temperatures, have significant effects on
373 suppressing the immune system.[46,47] Low temperatures induce secretion of corticosteroid
374 which increase the level of neutrophils.[45,46] Neutrophils release mediators that cause
375 bronchial epithelial damage and airway obstruction.[45] This may be associated with the
376 acute exacerbations among patients with severe asthma when the neutrophil count rises due
377 to the increasing level of corticosteroid in low temperatures.[45,46] Thus, the contributions of
378 neutrophils may partly explain the strong association between low temperatures and asthma
379 hospitalizations. Some studies also suggested that high temperatures have a similar effect on
380 level of corticosteroid but the findings were less conclusive in humans.[47] Our result also
381 showed that older age groups were more sensitive to low temperatures. Since high neutrophil
382 counts has been observed more commonly in chronic severe asthma cases than in mild and
383 moderate asthma, older asthma patients with a longer history of asthma may be more
384 vulnerable to changing neutrophil levels induced by extreme temperatures.

385

386 Despite the fact the many previous studies have reported associations between high
387 temperatures and asthma morbidity,[6,24,25,28] the direct biological mechanisms by which
388 high temperatures cause asthma exacerbations are still unclear. A recent study suggested that
389 the pulmonary c-fiber sensory nerve plays an important role in asthma in hot

temperatures.[48] High temperatures may activate the c-fiber nerve and enhance bronchoconstrictions.[48] Another possible link between high temperatures, high humidity, and asthma is the amount of airborne allergens. High temperatures and high RH favor production of many airborne allergens such as tree pollens and fungal spores.[49] Moulds are more likely to grow in damp places while mites are at higher concentrations in high humidity.[50] High temperatures also favor the production of ozone through photolysis. The higher concentrations of allergens and air-pollutants in the air may increase the stimulus to the hyperreactive airway and aggravate airway inflammation in asthmatics. High wind speeds, which enhance dispersion of air-pollutants and allergens might lower the number of admissions by reducing exposure to irritants.

In subgroup analysis by age, adult admissions were most sensitive to both ambient temperatures and RH while young children's admissions were least sensitive to environmental factors. This is consistent to the results of previous studies from Taiwan and Japan that stronger associations were found between ambient temperatures and asthma admissions among adults when compared with the elderly(age >65 years) and children(age <15 years), respectively.[8,16] Although the Korean study reported a stronger association between asthma admissions and low temperatures among children (<15) than in the older age-groups,[5] the study did not compare associations between young children(<5) and older children(5-14). A study reported adults with severe asthma tended to have more severe airway obstruction and tended to have poorer responsiveness to glucocorticoids than children with asthma.[12] This might be related to higher prevalence of smoking, longer duration of airway inflammation and the lower lung function in adults compared with children. [12] Moreover, adults are the major working population and likely have more active social lives

than children or older adults. This might increase their exposure to environmental triggers as they are less able to avoid going out during periods of unfavorable environmental conditions.

Asthma admissions among young children (below 5) did not show significant associations with environmental factors. A possible reason for these weak associations is that pre-school aged children generally spend more time indoors, and their lifestyle and activities are usually restricted by parents. In addition, children, particularly younger children, are more likely to have their compliance with their medication regimens monitored and reinforced, whereas compliance among adolescents and adults may be more lax. Thus older groups may be more likely to have residual airway inflammation due to poorer compliance and therefore be more susceptible to environmental triggers.

A significant V-shaped seasonal pattern in the hot season was observed among the two children's groups both before and after environmental variables were adjusted, with hospitalizations declining from May to a minimum risk point during mid-August, then rising again through September and October. This is possibly due to changes in exposure to infectious pathogens during the school summer holiday. The crowded environment in schools likely enhances the spread of infectious pathogens between students, increasing the risk of respiratory infections and related-triggers among children with asthma. This may explain the changing risks during school term and the summer break. The seasonal trends in adults and the elderly were similar but were less significant after environmental factors were adjusted.

A strength of this study was the use of comprehensive and reliable database which was systematically maintained by the Hospital Authority of Hong Kong. The dataset includes more than 83% of total hospital admissions in Hong Kong over a 8- year study period [23] in 2,922 days, which provided the study with credible precision and power.[22] The use of combination of GAM and DLNM also provided flexibility for modeling the potentially nonlinear and lagged association between environmental variables and asthma hospitalizations. Moreover, this study was one of the few studies that compared associations between asthma admissions and ambient temperature among different age groups within the same population.

Major limitations of this study were the assumption of same levels of exposure and direct exposure to environmental factors for the whole population. However, exposures vary among people with different occupations and from different socioeconomic classes due to differences in amount of time spent outdoors and use of air conditioning, heating, and humidifiers. Lack of spatial data is another limitation in this study. While there are several other weather stations around Hong Kong, the data reported from the central HKO weather station is the most complete in terms of the number of variables collected and having no missing data. Hong Kong is small geographically, despite its large population, and the HKO site is located close to the densely populated areas of Kowloon and Northern Hong Kong Island. In addition the correlation between the various weather stations for temperature was very high (0.99 in most cases) and is also high for RH% (mostly > 0.92). Therefore the data collected from the HKO site should accurately reflect meteorological conditions and their variations in Hong Kong. Furthermore, use of a single monitoring station for weather variables is standard for this sort of study done in urban areas,[51] and a study on the use of

multiple measuring stations in a densely populated European city[51] found that there was 'no added value in taking into account data from multiple weather stations when estimating exposure in the Paris area'. Another potential limitation is that our data included some non-emergency hospital admissions. In general non-emergency admissions are less likely to be in response to symptoms, and thus would be relatively insensitive to environmental factors. However the percentage of such admissions was relatively small, 19% with reference to a previous local study,[11] and some non-emergency admissions may also result from physician noted symptom exacerbations. Therefore we think it is unlikely that this issue will seriously affect our results. The inclusion of multiple environmental factors in the models, along with the fact that several subgroup analyses were conducted, raises the issue of multiple testing. We do not make any formal adjustment of the P-values but readers should keep this issue in mind. Lack of data on common asthma-related allergens like pollen is another limitation. The negative association between higher wind speed and asthma admissions likely partly results from winds role in dispersing these allergens. In addition, general practitioner consultations, emergency department visits that did not result in public hospital admissions during the study period were not included in the study. Thus we can view our study as examining meteorological associations with the incidence of more severe cases of asthma requiring hospitalization.

CONCLUSION

Taking steps to reduce time outdoors during periods of adverse environmental conditions and the use of humidifier/ dehumidifier and air-conditioner to keep the optimum indoor temperatures and RH are recommended for people with asthma. The results of this study should be considered when reviewing targeted health precaution guidelines for asthma

484 patients in regards to extreme environmental conditions and should be referenced for
485 resources forecast in the healthcare systems in Hong Kong.

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Competing Interests

The authors declare that they have no conflicts of interest.

Authorship

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1. Substantial contributions to the conception or design of the work, or the acquisition, analysis or interpretation of data.
2. Drafting the work or revising it critically for important intellectual content.
3. Final approval of the version published

Ethical approval

Ethics approval has been granted by Survey and Behavioural Research Ethics Committee, The Chinese University of Hong Kong

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Legends

Figure 1. Plots of cumulative relative risks (cum. RR) during the hot season (May to October , 2004-2011, Hong Kong) in overall population against: (A) Mean temperature, (B) Relative humidity, (C) Solar radiation, (D) Square-root-transformed wind speed, (E) Ozone in single-pollutant model and (F) Ozone in multi-pollutant model

Figure 2. Plots of cumulative relative risks (cum. RR) against mean temperature during the hot season (May to October, 2004-2011, Hong Kong) among asthma patients by age group: (A) below 5, (B) 5-14, (C)15-59 and (D) 60+

Figure 3. Plots of cumulative relative risks (cum. RR) against mean RH during the hot season (May to October, 2004-2011, Hong Kong) among asthma patients in age: (A) below 5, (B) 5-14, (C) 15-59 and (D) 60+

Figure 4. Plots of residual seasonal trend (smooth term of DOY) against day-of-year during the hot season (May to October, 2004-2011, Hong Kong) among asthma patients in age: (A) below 5, (B) 5-14, (C) 15-59 and (D) 60+.

Figure 5. Plots of cumulative relative risks (cum. RR) during the cold season (November to April, 2004-2011, Hong Kong) in overall population against: (A) Mean temperature, (B) Relative humidity, (C) Solar radiation, (D) Square-root-transformed wind speed.

666

667 Figure 6. Plots of cumulative relative risks (cum. RR) against mean temperatures during the
668 cold season (November to April, 2004-2011, Hong Kong) among asthma patients in age: (A)
669 below 5, (B) 5-14, (C) 15-59 and (D) 60+.

670

671 Figure 7. Plots of cumulative relative risks (cum. RR) against mean RH during the cold
672 season (November to April, 2004-2011, Hong Kong) among asthma patients in age: (A)
673 below 5, (B) 5-14, (C) 15-59 and (D) 60+.

674

675 Figure 8. Plots of residual seasonal trend (smooth term of DOY) against day-of-year during
676 the cold season (November to April, 2004-2011, Hong Kong) among asthma patients in age:
677 (A) below 5, (B) 5-14, (C) 15-59 and (D) 60+.