

F -extremization determines certain large- N CFTs

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ABSTRACT: We show that the conformal data of a range of large- N CFTs, the melonic CFTs, are specified by constrained extremization of the universal part of the sphere free energy $F = -\log Z_{S^d}$, called \tilde{F} . This family includes the generalized SYK models, the vector models ($O(N)$, Gross-Neveu, etc.), and the tensor field theories. The known F and a -maximization procedures in SCFTs are therefore extended to these non-supersymmetric CFTs in continuous d . We establish our result using the two-particle irreducible (2PI) effective action, and, equivalently, by Feynman diagram resummation. The universal part of \tilde{F} interpolates in continuous dimension between the known C -functions, so we can interpret this result as an extremization of the number of IR degrees of freedom, in the spirit of the generalized c , F , a -theorems. The outcome is a complete classification of the melonic CFTs: they are the conformal mean field theories which extremize the universal part of the sphere free energy, subject to an IR marginality condition on the interaction Lagrangian.

KEYWORDS: $1/N$ Expansion, Renormalization Group, Nonperturbative Effects, Renormalization and Regularization

ARXIV EPRINT: [2412.10499](https://arxiv.org/abs/2412.10499)

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1 Introduction

In this paper, we show that the conformal data of a particular family of large- N CFTs, the melonic CFTs, are determined by constrained extremization of \tilde{F} , the universal part of the sphere free energy of a collection of generalized free fields. The constraints arise directly from the interaction terms, and are linear in the conformal scaling dimensions of the fields. Put another way, we demonstrate that the melonic CFTs are precisely the conformal mean field theories with constrained extremal \tilde{F} . Notably, this procedure turns out to be identical to the F and a -maximization principles used to determine the R -charges and scaling dimensions of SCFTs with four supercharges [1, 2].

The melonic CFTs arise as the conformal vacua of the melonic quantum field theories, which are a family of large- N QFTs that have a resumable diagrammatic expansion. Recently discovered, they have a structure that is simpler than that of the matrix models, but nonetheless lead to exactly solvable large- N CFTs [3]. It is useful to distinguish three principal types of melonic QFTs, all of which occur in the strict large- N limit:

- the Sachdev-Ye-Kitaev (SYK) model and its generalizations [4];
- the tensor models $\phi_{a_1 \dots a_r}$ with $O(M)^{\times r}$ symmetry for rank $r \geq 3$ and $M^r = N$ [5, 6];
- the vector models, such as the $O(N)$ ϕ^4 model [7].

The solvability of each of these models arises from the exact resummation of their Feynman-diagrammatic expansions at leading order in N . Using this, all the known melonic CFTs can and have been solved individually, whether in the SYK-like [8–22], tensor [5, 23–31], vector [7, 32], or other [33] cases. The resumability occurs for a slightly different (albeit related) reason in each case: for SYK-like theories, a disorder average over the coupling; for the vector and tensor models, the tuned combinatorics. However, for our purposes, the particular mechanism used is irrelevant — to leading order in N (and leading order only, [23, 25]) the solvable CFT found in the IR is not sensitive to those details.¹

In this paper, we show that for any melonic QFT $_d$, regardless of the individual complexities of the model in question, the IR CFT $_d$ is determined by a universal principle: extremization of \tilde{F} , where \tilde{F} is defined for a mean field theory with the same field content as the QFT but arbitrary conformal scaling dimensions. This reflects our expectation that in the large- N limit, factorization means that the leading order in N is essentially Gaussian, i.e. mean field. Since \tilde{F} is thought to count the effective number of degrees of freedom of a CFT $_d$ [1, 34] (being a candidate weak C -function in the sense of Zamolodchikov [35, 36]), this has an appealing simplicity.

In outline, the \tilde{F} -extremization procedure is as follows. In arbitrary dimension d , we define a UV theory of order $\sim N$ free fields $\{\phi\}$ in arbitrary Lorentz and global symmetry representations. We perturb by a particular relevant interaction and follow the renormalization group flow to the deep IR, where we reach the melonic CFT of interest. There, the conformal symmetry means that the fields have some conformal scaling dimensions Δ_ϕ . Thanks to the simplifications of the melonic limit, we can compute the universal part of the sphere free energy as a function of the unknown Δ_ϕ s,

$$\tilde{F} \equiv \sum_{\text{fields } \phi} \tilde{F}_\phi(\Delta_\phi). \tag{1.1}$$

This is interpreted as \tilde{F} for a mean field theory that has the same field content as the original theory, but each field ϕ has an arbitrary *trial* dimension Δ_ϕ . Then, the *actual* IR scaling dimensions are precisely those that extremize this $\tilde{F}(\{\Delta_\phi\})$, subject only to the constraint that the potential is marginal in the IR (i.e. of dimension d). Generically, this procedure leads to a finite number of vacua in the IR for each d . Explicitly, for a perturbing melonic

¹Of course, the N -subleading dynamics of these mechanisms will certainly differ [23, 25].

interaction of schematic form²

$$S_{\text{int}} \supset \sum_m g_m \int d^d x \prod_{\text{fields } \phi} \phi^{q_\phi^m}, \quad (1.2)$$

large- N factorization means that the IR scaling dimension of each monomial is simply the sum of its constituents' scaling dimensions. Hence, the IR marginality conditions are

$$\forall m : \quad [d^d x] + \sum_{\text{fields } \phi} q_\phi^m \Delta_\phi = 0, \quad [d^d x] = -d; \quad (1.3)$$

we call these the *melonic constraints*. However, we must allow for the possibility that any given g_m runs to zero in the IR, in which case the associated constraint is not applied.

Remarkably, this formulation makes manifest that any apparent dependence on the details of the global symmetry representations, or any particular complicated form for the interaction (especially for non-scalar Lorentz representations) is washed out by the conformal melonic limit. The only pieces of data that matter are:

1. the monomial form of the relevant melonic interactions (given by the integers $\{q_\phi^m\}$);
2. and the dimensions of the global symmetry representations of the fields.

In the conformal large- N limit, any non-Gaussian behaviour in the correlation functions of the CFT is subleading in N . Therefore, the melonic CFTs are the conformal mean field theories, with some given field content, that extremize \tilde{F} , subject to the constraints (1.3).

Because the universal part of the sphere free energy (\tilde{F}) interpolates between the Weyl anomaly in even d and the free energy in odd d , this procedure is identical to the mechanisms of a - and F -extremization in superconformal field theories (SCFTs) with four supercharges, without the large- N limit³ [1]: we need only swap out the ϕ s for chiral superfields Φ , and space for superspace. The constraints (1.3) are then exactly the SUSY-preserving constraints in the IR. A melonic version of such an SCFT can be solved using either the supersymmetry or the melonicity; indeed, the two procedures are identical in the SUSY-preserving sector. However, the melonic procedure extends to SUSY-breaking vacua.

The \tilde{F} -extremization procedure is proved using the two-particle-irreducible (2PI) effective action [37], or, equivalently, using the Schwinger-Dyson equations. In this paper, we give both proofs. The effective action approach is more enlightening, and we focus on it: in particular, $\tilde{F}(\{\Delta_\phi\})$ is the 2PI effective action evaluated with the conformal ansatz on the sphere. At its extremum, this function coincides by construction with the universal part of the CFT sphere free energy which is the usual quantity $\tilde{F}_{\text{CFT}} = \sin(\pi d/2) \log Z_{S^d}$ [1, 34].

The proof reduces to two components. The first is straightforward: since the full quantum solution functionally extremizes the 2PI effective action, the non-perturbative conformal scaling dimensions must also extremize \tilde{F} . Second, we demonstrate that in the conformal limit the running coupling constants become Lagrange multipliers implementing the constraints (1.3). There are no further contributions, so the effective action becomes simply the sum of the sphere free energies of generalized free fields: the constraint naturally

²We have suppressed the details that ensure the melonic resummability.

³If the SCFTs are unitary, it can be shown that the extrema are actually maxima.

vanishes on its solution. This gives a concrete understanding of why the \tilde{F} -extremization procedure works. Crucially, however, the proof that the interaction contributes only linear constraints on the IR scaling dimensions rests on the particular properties of the melonic limit; except for the supersymmetric melonic case mentioned above, this structure will not persist to subleading orders in N .

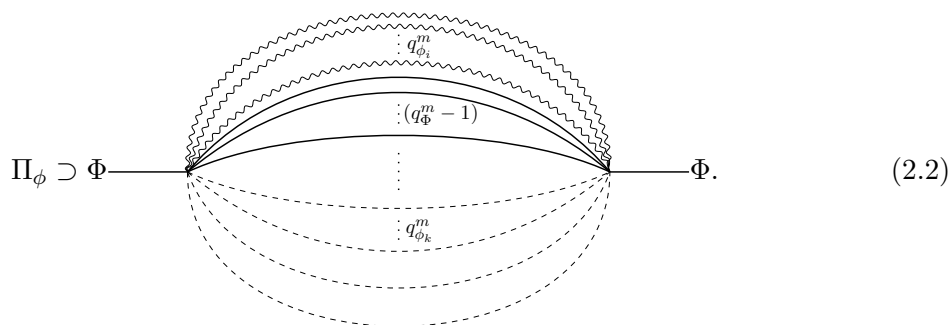
We begin in section 2 with the definition of the melonic field theories. In section 3 we review the role of the universal part of the sphere free energy in QFT, generalized free fields, and the various maximization procedures appearing in supersymmetric quantum field theories. We give a careful explanation of our claim of \tilde{F} -extremization in section 4, and illustrate it with a simple example. Our claim is proved in section 5, using the 2PI effective action (appendix A contains an alternative Feynman-diagrammatic proof using the Schwinger-Dyson equations). In the remainder of the paper, we explore the IR structure of these melonic CFTs as a function of d . Much of this structure can be understood by comparison with the more familiar critical vector models, which we examine from an \tilde{F} -extremization point of view in section 6; in section 7, we consider as examples three melonic CFTs, one of which is an SCFT, and outline the similarities. We conclude with an outlook in section 8.

2 Melonic field theories and their IR limits

The melonic QFTs are found in the large- N limit of QFTs with interaction terms of schematic form

$$g_m \prod_{\text{fields } \phi} \phi^{q_\phi^m}, \quad q_\phi^m \in \mathbb{N}_0, \tag{2.1}$$

where we have suppressed any index structure. These theories are then melonic if they possess a Feynman-diagrammatic expansion of their two-point functions $\langle \Phi(x)\Phi(y) \rangle$ that, at leading order in the large- N limit, is dominated by diagrams of melonic form [38]



We refer to this diagram as the melon diagram, for obvious reasons. The full self-energy Π_Φ of the field Φ , is then given by the melon diagram, and all possible nested re-insertions of the melon. That is, each ϕ_j propagator within the melon can have a recursive insertion of a melon with $q_{\phi_k}^m$ ϕ_k propagators for $k \neq j$, and $q_{\phi_j}^m - 1$ ϕ_j propagators, as illustrated in figure 1. The sum of all of these *melonic diagrams* gives a geometric series, which is resumable. Mathematically, in the case of the tensor models, these dominant diagrams are those of leading *Gurau degree* [25, 38].

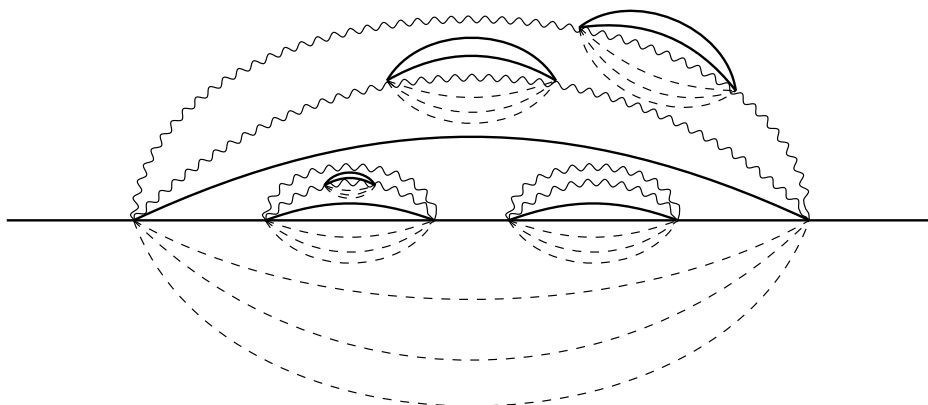


Figure 1. Iterated insertions on a melonic contribution to a two-point function of a theory containing three fields, with $q_i = \{3, 2, 2\}$. It is only diagrams of this kind that contribute to the leading order of a melonic theory.

The melonic diagrams are a summable subset of the planar diagrams, and therefore lie between the vector models and matrix models in terms of complexity: above the vector models, because they do not have the ultralocal dynamics of the vector models; but below the matrix models, since the melonic diagrams can in fact be resummed. Therefore, the data that defines a melonic field theory is simply the collection of integers q_ϕ^m , which determine which melons contribute to the resummation of each ϕ propagator. The result of this paper is that once a list of fields is given, the conformal IR solution is completely specified by this set of integers q_ϕ^m , up to a discrete choice of vacua.

The canonical theories in the melonic class are those containing only a single field:

- The ψ^q SYK model is defined by $q_\psi = q$ [8, 39].
- The ϕ^q tensor model is defined by $q_\phi = q$ [5, 6, 30, 40, 41].

The *multi-field* melonic models, i.e. those with multiple distinct fundamental fields, display much richer IR structure, because the melonic constraints (1.3) no longer completely solve the theory:

- The generalized SYK model is defined [4] by $q_{\psi_i} = q_i$ (hence our choice of notation).
- We can also consider multi-field tensor models, as in [27, 42, 43], with fields ϕ^i . For a rank- r model, each field $\phi_{a_1 \dots a_r}$ transforms in r copies of $O(M)$ (i.e. $O(M)^{\times r}$, with $N = M^r$) — or indeed $Sp(M)^{\times r}$ [44].
- The supersymmetric SYKs or tensor models can be considered in two different ways:
 - They can be formulated directly in superspace, using superfields Φ . In that case, they correspond to single-field melonic models. Taking a superpotential of the form $W \sim \Phi^q$, we have simply $q_\Phi = q$ [31, 45, 46].
 - They can also be formulated in components, $\Phi = \phi + \theta\psi - \theta^2 X$, in which case we obtain the simplest example of a multi-interaction melonic theory. A superpotential

$W \sim \Phi^q$ (see (3.3) of [31]) decomposes into the two melonic interactions

$$g_1 : \{q_\phi^1 = q - 2, q_\psi^1 = 2, q_F^1 = 0\}, \quad g_2 : \{q_\phi^2 = q - 1, q_\psi^2 = 0, q_F^2 = 1\}. \quad (2.3)$$

Component form permits the consideration of supersymmetry-breaking dynamics; we expand upon this in section 7.3.

- The leading order of the large- n $O(n)$ ϕ^4 vector model, rewritten with an auxiliary field $\sigma = \phi_I \phi_I$, is defined by $q_\sigma = 1$ and $q_\phi = 2$. The same holds for the large- n $U(n)$ Gross-Neveu model. We elaborate on this in section 6.

There are also further melonic mechanisms which do not fit so neatly into these categories: one such is the Amit-Roginsky model. This is the d -dimensional theory of N scalar fields in an irrep of $SO(3)$, with a cubic coupling that takes the form of a Wigner 3- j symbol [33, 47, 48]. However, now that we have specified the result of these melonic mechanisms, which is melonic dominance, we need not discuss them any further: see the various reviews [3, 9, 25] for details of their implementation.

The long-range models are not considered here, because they have the same scaling dimension as these short-range models — by construction [30, 49–52]. Likewise, we will not be investigating the renormalization group (RG) flow between these CFTs, and the associated QFTs [27, 30, 53], as we work strictly in the conformal limit.

2.1 Conventions for representations

We use the following conventions for the representations of the fields in our Euclidean QFTs. We denote a field in the $SO(d)$ representation ρ_Φ by $\Phi_{\mu_1 \dots \mu_s}$, where μ_i are the generalized $SO(d)$ indices — that is either vectorial or spinorial. This field may also transform in a representation R_Φ of some finite internal symmetry group \mathcal{G} (i.e. $\dim \mathcal{G}$ does not scale with N), which we assume throughout to be unbroken. Then we say that the field transforms in the representation

$$\rho'_\Phi = \rho_\Phi \times R_\Phi \text{ of } SO(d) \times \mathcal{G}. \quad (2.4)$$

For example, for an $O(N)$ vector of complex Dirac fermions ψ_i we have

$$\rho'_\psi = (\text{Dirac fermion of } SO(d), \text{ vector of } O(N)) \quad (2.5)$$

so that the real dimension of ρ'_ψ is

$$\dim \rho'_\psi = \dim_{\mathbb{R}} \rho_\psi \times \dim R_\psi = 2 \text{Tr}[\mathbb{I}_s] \times N. \quad (2.6)$$

The relevant data for a melonic CFT are the Lorentz representations ρ_Φ and the dimensions of the global symmetry representations $\dim R_\Phi$ — other details of the \mathcal{G} representations do not matter.

3 A review of the F -theorems and \tilde{F} -maximization

3.1 The free energy in QFT and C -functions

In the Wilsonian renormalisation group, each RG step is composed of a Kadanoff blocking followed by a rescaling. The Kadanoff blocking clearly decreases the number of degrees of freedom towards the IR; however, the rescaling step reintroduces degrees of freedom, complicating this interpretation. Nonetheless, we still need to capture our intuition that the number of effective degrees of freedom of a QFT decreases under RG flow. That is, we want to define a C -function (in the sense of Cardy [36]) such that $\frac{dC(E)}{dE} > 0$. This is hard, so a slightly weaker condition is to require a *weak C -function*, defined only at the ends of the flow (where generically we expect a CFT), such that $C_{UV} > C_{IR}$.

In statistical mechanics at finite temperature, the partition function counts the number of active configurations — that is, those with $E \lesssim T$:

$$Z = \sum_i e^{-E_i/T}. \tag{3.1}$$

The free energy $F = -\log Z$ in QFT is then a monotonic reparametrisation of the number of active configurations. Unfortunately, F is not a suitable weak C -function for Euclidean CFTs, as it is mired in subtleties, possessing a volume divergence $\int d^d x$, cutoff dependence, scheme dependence, and gauge dependence. All of these obscure the universal information hiding within it. \tilde{F} , a modified version of the *sphere* free energy, defined below, evades these issues — thus providing a candidate weak C -function.

3.2 \tilde{F} and the generalized \tilde{F} -theorem

For a given CFT in continuous dimension d , we define

$$\tilde{F} \equiv -\sin(\pi d/2)F = \sin(\pi d/2) \log Z_{S^d}, \tag{3.2}$$

where Z_{S^d} is the partition function evaluated on the sphere S^d . The computation on the sphere regulates the volume divergence. The computation in continuous dimension removes the cutoff dependence of $F = -\log Z_{S^d}$, except when approaching even dimensions $d = 2\mathbb{N} - \epsilon$, where the Weyl anomaly gives a $\sim a/\epsilon$ divergence in F . Essentially, this procedure involves analytically continuing in d to dimension low enough that all power-law divergences in F vanish. We notice that we can make this finite in all d by dividing through by the volume of Euclidean hyperbolic space, $\text{vol } H^{d+1}$. The dimensions are kept the same by actually multiplying by $\frac{1}{2} \text{vol } S^{d+1} / \text{vol } H^{d+1}$: this yields the overall factor of $-\sin(\pi d/2)$ in (3.2) [1].

\tilde{F} interpolates between $(-1)^{d/2}\pi a/2$ for the Weyl anomaly coefficients a in even dimension, and $(-1)^{(d-1)/2} \log Z_{S^d}$ in odd dimensions. In dimensions 2, 3, and 4 it is indeed a weak C -function, because so are the Weyl anomalies in $d = 2, 4$ and $F = -\log Z_{S^3}$ in $d = 3$ [2, 35, 54]. The conditions for \tilde{F} to be a weak C -function in continuous dimension are not clear, and there are trivial counterexamples from the flow between generalized free field theories which violate the unitarity bound [51]. Nonetheless, in the following we shall show that \tilde{F} can be used in generic dimension to determine the IR limit of certain large- N theories via an extremization principle.

3.3 Generalized free fields and \tilde{F}

We briefly review generalized free fields (GFFs), following [51]. These are essentially free fields with arbitrary propagators. If a theory containing GFFs is conformal, we call it a conformal generalized free field theory (GFFT), or a long-range massless Gaussian theory. A theory of GFFs is a mean field theory (MFT), as all correlators are simply sums of products of two-point functions. Note that it is a generic result that MFT is the leading contribution in large- N theories, due to factorization [55]. Once we have a particular mean field theory CFT, it is straightforward to conformally map it to the sphere; this enables our calculation of \tilde{F} .

3.3.1 Generalized free fields

The standard free bosonic field, with a local action, has scaling dimension $\Delta = \frac{d-2}{2}$. By giving up locality, we can equally consider the case of a free field with arbitrary Δ . Then the action in flat space is given by

$$S = \frac{1}{2} \int d^d x \phi(x) (-\partial^2)^{\frac{d}{2}-\Delta} \phi(x) = \frac{1}{2} \int \frac{d^d p}{(2\pi)^d} \tilde{\phi}(p) (p^2)^{\frac{d}{2}-\Delta} \tilde{\phi}(-p). \quad (3.3)$$

We will prefer to use the following bilocal form, which essentially contains the explicitly non-local implementation of the operator $(-\partial^2)^{\frac{d}{2}-\Delta}$,

$$S = \lim_{r \rightarrow 0} \frac{1}{2} \int_{|x-y|>r} d^d x d^d y \phi(x) G_\phi^{-1}(x, y) \phi(y), \quad (3.4)$$

though we will hide the limit in all subsequent computations. The inverse propagator is

$$G_\phi^{-1}(x, y) \equiv \frac{c(d-\Delta)}{|x-y|^{2(d-\Delta)}}, \quad c(\Delta) \equiv \frac{1}{2^{d-2\Delta} \pi^{d/2}} \frac{\Gamma(\Delta)}{\Gamma\left(\frac{d}{2}-\Delta\right)}, \quad (3.5)$$

which manifestly gives a conformal correlator. The propagator is then

$$G_\phi(x, y) = \frac{c(\Delta)}{|x-y|^{2\Delta}}, \quad (3.6)$$

which can be shown by Fourier transforming twice. Due to the quadratic form of the action, all higher-point correlators of a theory consisting solely of GFFs can be found by Wick contractions, in the usual manner for a free theory.

We now conformally map (3.6) to the sphere of radius R , via the stereographic projection. Recall that the d -dimensional sphere S^d with a single point removed is conformally equivalent to Euclidean space: the conformal map is the stereographic projection. Hence, the sphere is conformally flat. This makes the map of the flat-space CFT to the sphere CFT straightforward (up to subtleties associated with the curvature couplings, which we can neglect for GFFs [2]). In stereographic coordinates, the sphere metric is

$$g_{\mu\nu} = \Omega(x)^2 \delta_{\mu\nu}, \quad \Omega(x) \equiv \frac{2R}{(1+x^2)^{\frac{1}{2}}}, \quad (3.7)$$

and the chordal distance $s(x, y)$ is

$$s(x, y) \equiv \Omega(x)^{\frac{1}{2}} \Omega(y)^{\frac{1}{2}} |x - y|. \quad (3.8)$$

To conformally map the propagator, we simply replace $|x - y| \rightarrow s(x, y)$ in (3.6) to get

$$G_\phi(x, y)|_{S^d} = \frac{c(\Delta)}{s(x, y)^{2\Delta}}. \tag{3.9}$$

The same applies for fields in other Lorentz representations. However, we note that to reproduce (3.9), the sphere action will generically need additional non-minimal couplings to the geometry.⁴

3.3.2 Free energy

The free energy of a generalized free bosonic field on the sphere is

$$\begin{aligned} F_\phi &= -\log Z_{\phi, S^d} = \log \int \mathcal{D}\phi \exp\left(-\int_{x, y} \frac{1}{2} \phi(x) G_\phi^{-1}(x, y) \phi(y)\right) \\ &= \frac{1}{2} \log \det G_\phi^{-1} = \frac{1}{2} \text{Tr} \log G_\phi^{-1}, \end{aligned} \tag{3.10}$$

where we have defined the path-integral measure to cancel any constant factors, and G_ϕ^{-1} is the inverse sphere propagator. For a field of arbitrary statistics, we need only modify this to $F_\phi = (-1)^{F_\phi} \frac{1}{2} \text{Tr} \log G_\phi^{-1} \equiv \frac{1}{2} \text{Str} \log G_\phi^{-1}$ (taking $F_\phi = 0$ for bosons and 1 for fermions). Hence,

$$\tilde{F}_\phi = -\sin(\pi d/2) \frac{1}{2} \text{Str} \log G_\phi^{-1}(x, y) \tag{3.11a}$$

for a real field with sphere propagator $G_\phi(x, y)$.

The computation of \tilde{F}_ϕ when G_ϕ is the conformal sphere propagator is a standard result from the AdS/CFT literature for any Lorentz representation of ϕ [51, 56, 57]. We simply quote the results, which can be found straightforwardly for arbitrary d using zeta function regularisation. For a free real scalar boson of dimension Δ , we have

$$\tilde{F}_b(\Delta, \text{scalar}) \equiv \frac{\pi}{\Gamma(d+1)} \int_{\frac{d}{2}}^{\Delta} d\Delta' \frac{\Gamma(\Delta') \Gamma(d - \Delta')}{\Gamma\left(\frac{d}{2} - \Delta'\right) \Gamma\left(\Delta' - \frac{d}{2}\right)}, \tag{3.11b}$$

where we have used $\tilde{F}(\Delta = d/2) = 0$. The behaviour of this function in continuous dimension for $0 < \Delta < d$ is shown in figure 2. For a complex fermionic field of dimension Δ ,

$$\tilde{F}_f(\Delta, \text{Dirac f.}) \equiv -2 \text{Tr} \mathbb{I}_s \frac{\pi}{\Gamma(d+1)} \int_{\frac{d}{2}}^{\Delta} d\Delta' \frac{\Gamma\left(\Delta' + \frac{1}{2}\right) \Gamma\left(d - \Delta' + \frac{1}{2}\right)}{\Gamma\left(\frac{d}{2} - \Delta' + \frac{1}{2}\right) \Gamma\left(-\frac{d}{2} + \Delta' + \frac{1}{2}\right)}, \tag{3.11c}$$

where we have used

$$\dim \rho'_\psi = \dim \rho_\psi \times \dim R_\psi = \dim(\text{Dirac fermion}) = 2 \text{Tr} \mathbb{I}_s.$$

⁴For example, in the case of the conformally coupled scalar of dimension $\Delta = \frac{d-2}{2}$, the sphere action is

$$S_\phi = \int_{S^d} d^d x \sqrt{g} \frac{1}{2} \phi \left(-\partial^2 + \frac{d-2}{4(d-1)} R \right) \phi.$$

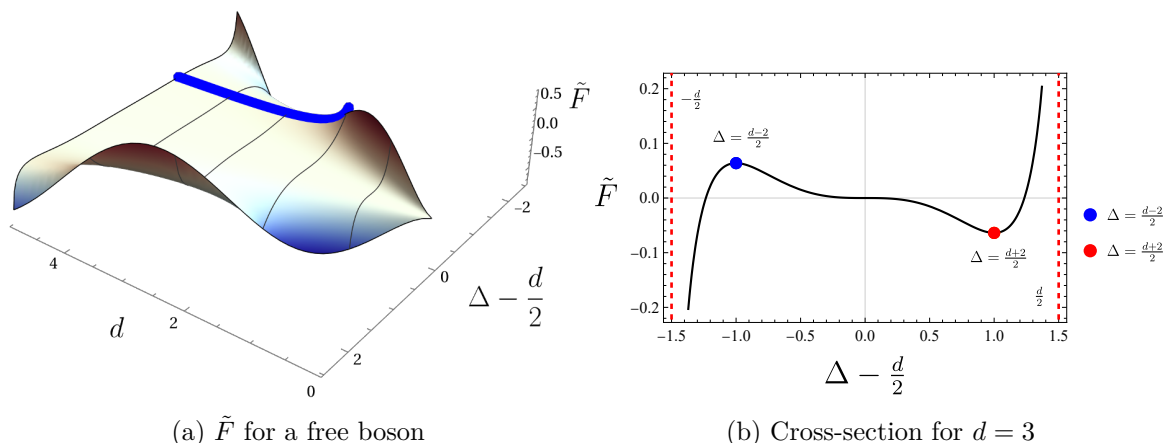


Figure 2. $\tilde{F}_b(\Delta)$ for a generalized free scalar field, (3.11b), shown as a surface for $0 + \epsilon < \Delta < d - \epsilon$. The function is even around $\Delta = \frac{d}{2}$. $\tilde{F}(\Delta)$ looks schematically like figure 2(b) ($d = 3$), for all values of d : there is always a local maximum at the scaling dimension of the free field $\frac{d-2}{2}$ (in blue); a stationary point at $\frac{d}{2}$; and a local minimum for the shadow of the free field $\frac{d+2}{2}$ (in red). There is also always a log-divergence for Δ approaching $0, d$.

The trace structure of \tilde{F}_ϕ makes it easy to see the following two facts. First, the results (3.11) should never depend on the normalization of the field ϕ — indeed they do not, because $\text{Tr} \log(C\delta^d(x-y)) = 0$, for any constant C . Second, it is trivial that \tilde{F}_ϕ for a field ϕ in a representation $\rho' = \rho \times R$ of $\text{SO}(d) \times \mathcal{G}$ is

$$\tilde{F}(\Delta, \rho') = \dim R \times \tilde{F}(\Delta, \rho). \quad (3.12)$$

For example, a complex scalar has $\tilde{F}(\Delta, \text{complex scalar}) = 2\tilde{F}(\Delta, \text{scalar})$. Finally, as demonstrated in figure 2, for all d , $\tilde{F}_b(\Delta)$ for the scalar GFF has the following properties. \tilde{F} hits a maximum for the free field, $\Delta = \frac{d-2}{2}$, with a corresponding minimum for the shadow of the free field at $\frac{d+2}{2}$ — and a stationary point in between at $\frac{d}{2}$. The same applies for the fermion, with free field $\Delta = \frac{d-1}{2}$. Hence, the free field values are always local maxima, and certainly absolute maxima within the range given by the free field value and its shadow.

3.4 \tilde{F} -maximization in superconformal field theories

We now review the F - and a -maximization procedures in SCFTs; as mentioned in the introduction, we unify these procedures by considering \tilde{F} -maximization in general dimension [1, 58].

Take a UV supersymmetric QFT in d -dimensions with four supercharges, containing free chiral superfields $\{X\}$, in some general global symmetry representations. Then perturb by some superpotential $W(\{X\})$ that preserves the supercharges and global symmetry.

Now flow the theory to the deep IR; assuming that there is an SCFT there, given certain caveats (see section 3.4.2), the R -symmetry generator in the IR is a linear combination of the original UV generator and any other abelian symmetry generators. The supersymmetry then enforces the relationship

$$\Delta_X = \frac{d-1}{2} R_X \quad (3.13)$$

between the scaling dimensions and the R -charges. We can find the IR scaling dimensions by extremizing the total \tilde{F} for a collection of generalized free chiral superfields with *trial* scaling dimensions Δ_X

$$\tilde{F} = \sum_{\text{chirals } X} \tilde{\mathcal{F}}(\Delta_X), \quad \tilde{\mathcal{F}}(\Delta) \equiv \tilde{F}(\Delta, \text{chiral superfield}), \quad (3.14)$$

subject to a constraint. This constraint is implied by insisting that we have supersymmetry and an R -charge in the IR, which fixes the R -charge of the superpotential to be two. This constraint can be rewritten suggestively in terms of the scaling dimensions Δ_X using the relationship eq. (3.13): assuming a perturbing superpotential of the form

$$S_{\text{int}} \supset \int d^d x d^2 \theta W, \quad W \equiv \sum_m g_m \prod_{\text{chirals } X} X^{q_X^m}, \quad (3.15)$$

then, for each monomial, we have the constraint

$$\sum_{\text{chirals } X} q_\phi^m \Delta_X + [d^d x d^2 \theta] = 0, \quad [d^d x d^2 \theta] = -(d-1). \quad (3.16)$$

Each chiral superfield contains a complex scalar, a complex auxiliary field, and a complex Dirac fermion; so $\tilde{\mathcal{F}}(\Delta_X)$ is defined by summing up the contributions of each of these components,

$$\tilde{F}(\Delta) = \tilde{F}_b(\Delta, \text{complex scalar}) + \tilde{F}_f(\Delta + \frac{1}{2}, \text{Dirac fermion}) + \tilde{F}_b(\Delta + 1, \text{complex scalar}), \quad (3.17)$$

up to a convention-dependent additive constant which drops out of all computations. To ensure supersymmetry, we take $\text{Tr } \mathbb{I}_s = 2$ fixed, regardless of dimension: this is the dimensional reduction scheme, a standard procedure for analytically continuing 3d supersymmetry away from $d = 3$ [1].

These constraints (3.16) correspond exactly to the requirement that each monomial operator in the superpotential is marginal in the IR. This is because the scaling dimensions of products of chiral superfields add linearly (precisely due to the relationship eq. (3.13)), and the form of the superpotential is protected by supersymmetry: hence the scaling dimension of each monomial is indeed $\sum_\phi q_\phi^m \Delta_\phi$. Thus, as in the melonic case, we extremize \tilde{F} for a mean field theory, subject only to the IR marginality of the potential (3.16). If the SCFT is also unitary, it turns out that the extremum is also a maximum; whether this holds in any sense for the melonic theories as well is not yet clear.

3.4.1 Simple example

Consider the example of $N + 1$ chiral superfields, and a potential $W = \frac{\lambda}{2} X \sum_{i=1}^N Z_i Z_i$. To find the exact IR scaling dimensions, we maximise

$$\tilde{F} = \tilde{\mathcal{F}}(\Delta_X) + N \tilde{\mathcal{F}}(\Delta_Z), \quad \text{subject to } \Delta_X + 2\Delta_Z = d - 1, \quad (3.18)$$

with no N -subleading corrections!

3.4.2 Limitations of \tilde{F} -maximization

The principal limitation with \tilde{F} -maximization is we must assume that the flow ends at an SCFT without any accidental symmetries arising in the IR. If this is not the case, the R -charge can mix with said symmetries. We can see this in a slight modification of the example above, and consider the UV perturbation

$$W = g_1 X \sum_{i=1}^N Z_i Z_i + g_2 X^3. \tag{3.19}$$

This triggers an RG flow, which preserves a $O(N) \times \mathbb{Z}_3$ flavour symmetry and a unique $U(1)_R$ symmetry, under which all the fields have R -charge $2/3$. However, for $N > 2$, at least, the coupling g_2 is thought to run to zero; the flavour symmetry is then enhanced to $O(N) \times U(1)$, and so the IR R -charges, and so scaling dimensions, are not determined by the naive constraint $3\Delta_X = d - 1$ that would come from a non-zero g_2 [2].

This phenomenon has a precise parallel in the case of the melonic-type theories: essentially, it corresponds to the fact that when we have multiple melonic interactions g_m , any of them can either be tuned to zero, or could run to zero; in the latter case, the corresponding monomial is irrelevant.

4 Fundamental claim

The complicated IR structure of melonic field theories, with arbitrary number of fields and interactions, can be reformulated as a constrained \tilde{F} -extremization problem. The defining data for these melonic theories is

1. a list of n_f fields and representations (Lorentz representations, and global symmetry representations — $(\phi, \rho_\phi, R_\phi)$);
2. an $n_m \times n_f$ matrix of integers — q_ϕ^m , determined from the schematic form of the melonic-dominant potential $V = \sum_{m=1}^{n_m} g_m \prod_\phi \phi^{q_\phi^m}$.

Then, we can compute the IR scaling dimensions by extremizing the free energy $\tilde{F} = -\sin(\pi d/2)F$ of the mean field theory consisting of a collection of generalized free fields

$$\tilde{F}(\{\Delta_\phi\}) = \sum_{\text{fields } \phi} \tilde{F}_\phi(\Delta_\phi, \rho'_\phi) = \sum_{\text{fields } \phi} \tilde{F}_\phi(\Delta_\phi, \rho_\phi) \times \dim R_\phi, \tag{4.1a}$$

with respect to the trial scaling dimensions Δ_ϕ , subject to the melonic constraints

$$\sum_{\text{fields } \phi} q_\phi^m \Delta_\phi - d = 0 \tag{4.1b}$$

for each of the couplings g_m . Essentially, these constraints enforce the marginality of V in the IR. This extremization will typically give a discrete infinity of solutions — consistency with the UV description then requires that the scaling dimensions of the fields must be greater than their free (UV) scaling dimensions. Thus,

$$\Delta_\phi > \Delta_\phi^{\text{free}}, \tag{4.1c}$$

where for dynamical scalars $\Delta_\phi^{\text{free}} = \frac{d-2}{2}$; for fermions $\Delta_\psi^{\text{free}} = \frac{d-1}{2}$; and for auxiliary scalars $\Delta_X^{\text{free}} = \frac{d}{2}$. Otherwise, the free behaviour will dominate in the IR; of course, for the interacting fields (4.1c) also corresponds to the unitarity bound in $d \geq 2$. Therefore, only $\{\Delta_\phi\}$ lying inside a polyhedron in \mathbb{R}^{n_f} , which we call the *IR wedge*, are valid solutions.⁵

Note that it is possible for the constraint system to be over-determined (if $n_m > n_f$). In that case, to find an IR solution, some of the couplings g_m must either be set to zero, or run to zero. In the latter case, IR consistency demands that $\sum_\phi q_\phi^m \Delta_\phi > d$. We will typically assume that we keep only the g_m s that are non-zero at the fixed point.

Other than the substitution of $[d^d x d^2 \theta] = d - 1$ with $[d^d x] = d$, this is precisely identical to the supersymmetric \tilde{F} -maximization of section 3.4, except that, as far as we know, the solution need not only be a maximum.

Incorporating the constraints (4.1b) into \tilde{F} , using Lagrange multipliers \mathbf{g}'_m , we find

$$\frac{1}{N} \tilde{F}(\{\Delta_\phi, \mathbf{g}'_m\}) = \sum_{\text{fields } \phi} \tilde{F}_\phi(\Delta_\phi) + \sum_{\text{melons } m} \mathbf{g}'_m \left(\sum_{\text{fields } \phi} q_\phi^m \Delta_\phi - d \right). \quad (4.2)$$

We have suppressed the dependence on the representations. We will show in the next section that this is an expansion of the sphere free energy of the melonic CFT, where \mathbf{g}'_m is proportional to the running coupling constant squared (that is, including the field renormalizations). Extremizing this quantity with respect to the Δ_ϕ s and \mathbf{g}'_m s then determines the conformal scaling dimensions of the theory. The Lagrange multipliers enforcing marginality can therefore be given a precise interpretation as being the squared running coupling constants; this is precisely the conjecture of Kutsakov [59–61] in the case of a/F -maximization in SCFTs.

The final results are a function only of the discrete data of the integers q_ϕ^m and the representations of the fields. In generic dimension, this \tilde{F} -extremization procedure must be done numerically, typically yielding multiple possible IR vacua: we now demonstrate this with an explicit example.

4.1 Explicit example: the melonic quartic Yukawa model

Consider the melonic quartic Yukawa model (studied in detail in [27]): it is the theory of N Dirac fermions⁶ and N bosons that is marginal in $d = 3$, with schematic Lagrangian

$$\mathcal{L}_{QY} = \frac{1}{2} \phi(-\partial^2)\phi + \bar{\psi}(-\not{\partial})\psi + \frac{1}{2} g \phi \phi \bar{\psi} \psi. \quad (4.3)$$

Note that we have suppressed the particular melonic mechanism here, which could be a disorder average, as in SYK [43], or tensorial [27]. The scalar potential has also been tuned to zero. Therefore, we extremize

$$\tilde{F}(\Delta_\phi, \Delta_\psi, \mathbf{g}')/N = \tilde{F}(\Delta_\phi, \text{scalar}) + \tilde{F}(\Delta_\psi, \text{Dirac fermion}) - \frac{\mathbf{g}'}{2} (2\Delta_\phi + 2\Delta_\psi - d) \quad (4.4)$$

with respect to the Δ s and the Lagrange multiplier \mathbf{g}' , while also requiring $\Delta_\phi > \frac{d-2}{2}$ and $\Delta_\psi > \frac{d-1}{2}$. Conveniently, we are taking derivatives with respect to Δ , so do not need to

⁵Solutions satisfying $\Delta_\phi < \Delta_\phi^{\text{free}}$ — lying in the *UV wedge* — are also consistent as UV CFTs; however, we will usually neglect them.

⁶As in the supersymmetric case, we take the gamma matrices to have dimension 2, regardless of d .

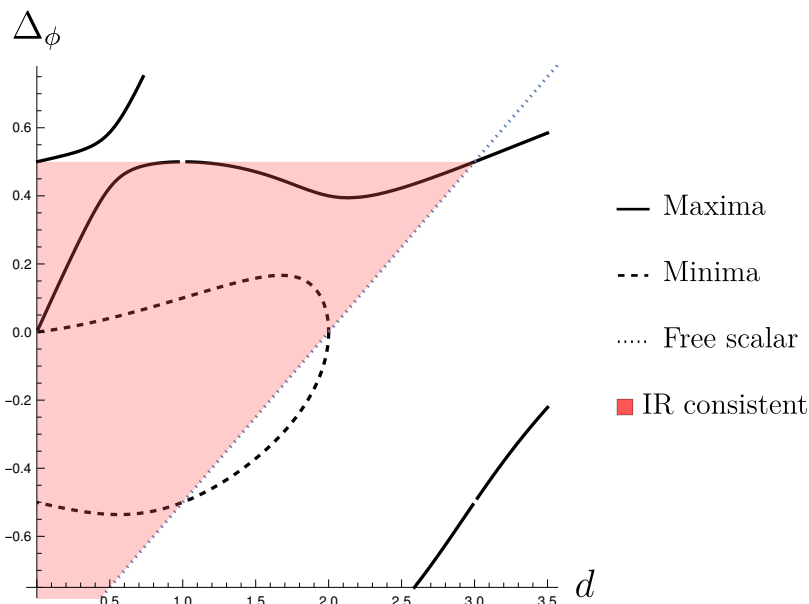


Figure 3. The scaling dimension of ϕ for the melonic quartic Yukawa theory (4.3) in continuous dimension; this follows from extremizing $\tilde{F}(\Delta_\phi, \Delta_\psi, \mathbf{g}')$ in (4.4). Δ_ψ can be found by the constraint $2\Delta_\phi + 2\Delta_\psi = d$. The red region indicates the IR-consistent wedge $\Delta_\phi \geq \frac{d-2}{2}$ and $\Delta_\psi \geq \frac{d-1}{2}$; this theory is free in the upper corner of this wedge, for $d = 3$. Solid lines are maxima of the constrained $\tilde{F}(\Delta_\phi) = \tilde{F}_b(\Delta_\phi) + \tilde{F}_f(\frac{d-2\Delta_\phi}{2})$; dashed lines are minima.

do the integrals in (3.11). After a numerical extremization procedure we obtain the results given in figure 3, where the IR wedge (evidently bounded by the scaling dimension of a free scalar) is shown in red, $\frac{d-2}{2} < \Delta_\phi < \frac{1}{2}$. Since we are extremizing with respect to only a single variable Δ_ϕ , we only have a single constraint; thus all extrema are either maxima or minima. The line descending here from the free theory ($\Delta_\phi = \frac{d-2}{2}, \Delta_\psi = \frac{d-1}{2}$) that exists in $d = 3$ is then indeed a maximum; however, for $d \leq 2$, we also have two lines of minima. We comment on this model further in section 7.1.

5 \tilde{F} -extremization from the 2PI effective action

This mechanism is a straightforward consequence of the 2PI formalism, which we first briefly review. We aim to reach a simple expression (5.9a) for the sphere free energy F as a function of $G = \langle \varphi(x)\varphi(y) \rangle^{\text{conn}}$, the full propagator. For more details, see [37] and references therein.

5.1 Reminder of the 2PI formalism

The two-particle irreducible (2PI) effective action $\Gamma[\phi, G]$ is defined as the double Legendre transform of the generating functional $\mathbf{W}[j, k]$ with respect to j , a one-point source, and k , a two-point source. Explicitly, for the theory of a scalar field with action $S[\varphi]$,

$$\mathbf{W}[j, k] \equiv \log \int \mathcal{D}\varphi \exp \left(-S[\varphi] + \int_x j(x)\varphi(x) + \int_{x,y} \frac{1}{2}\varphi(x)k(x,y)\varphi(y) \right). \quad (5.1)$$

This is precisely (minus) the sourced free energy. Now, we define the expectation value of the field φ in the presence of the sources

$$\Phi(x) = \langle \varphi(x) \rangle_{j,k} = \frac{\delta \mathbf{W}}{\delta j(x)}; \tag{5.2}$$

and the full connected propagator

$$\begin{aligned} \mathbf{G}(x, y) &= \langle \varphi(x)\varphi(y) \rangle_{j,k}^{\text{conn}} = \langle \varphi(x)\varphi(y) \rangle_{j,k} - \langle \varphi(x) \rangle_{j,k} \langle \varphi(y) \rangle_{j,k} \\ &= 2 \frac{\delta \mathbf{W}}{\delta k(x, y)} - \frac{\delta \mathbf{W}}{\delta j(x)} \frac{\delta \mathbf{W}}{\delta j(y)}, \end{aligned} \tag{5.3}$$

also in the presence of the sources. The (double) Legendre transform with respect to both j and k is implemented almost as usual [62], giving the 2PI effective action:⁷

$$\Gamma[\Phi, \mathbf{G}] + \mathbf{W}[j, k] = \int_x j(x)\Phi(x) + \frac{1}{2} \int_{x,y} (\mathbf{G}(x, y) + \Phi(x)\Phi(y))k(x, y). \tag{5.4}$$

Hence, in the unsourced case ($j = 0, k = 0$), Γ coincides precisely with the free energy. The reason for the additional $\frac{1}{2}$ and $\Phi(x)\Phi(y)$ in the second Legendre transform is to ensure that we get the connected \mathbf{G} defined in eq. (5.3), rather than the disconnected propagator.

Solving the theory corresponds to finding $\langle \varphi \rangle$ and $\langle \varphi(x)\varphi(y) \rangle^{\text{conn}}$ in the unsourced case: we call these the classical field ϕ and the full two-point function G respectively,

$$\phi \equiv \Phi|_{j=0,k=0}, \quad G \equiv \mathbf{G}|_{j=0,k=0}. \tag{5.5}$$

The Legendre transform relations are that, considered as functionals of $\Phi(x)$ and $\mathbf{G}(x, y)$, $j[\Phi, \mathbf{G}]$ and $k[\Phi, \mathbf{G}]$ solve

$$\frac{\delta \Gamma[\Phi, \mathbf{G}]}{\delta \Phi(x)} = j(x) + \int_y k(x, y)\Phi(y), \tag{5.6a}$$

$$\frac{\delta \Gamma[\Phi, \mathbf{G}]}{\delta \mathbf{G}(x, y)} = \frac{1}{2}k(x, y). \tag{5.6b}$$

Therefore, the equations of motion for ϕ and G are

$$j[\phi, G] = 0, \quad k[\phi, G] = 0 \implies \frac{\delta \Gamma[\phi, G]}{\delta \phi} = 0, \quad \frac{\delta \Gamma[\phi, G]}{\delta G} = 0. \tag{5.7}$$

In the melonic case, $\frac{\delta \Gamma}{\delta \phi} = 0$ is trivially solved by assuming no symmetry breaking, $\langle \varphi \rangle = 0$; $\frac{\delta \Gamma}{\delta G} = 0$ will give the Schwinger-Dyson equation for the bilocal field G , which is the usual route to solve a melonic field theory. It is then a standard result that the 2PI effective action $\Gamma[\phi, G]$ for a scalar field theory is given by

$$\Gamma[\phi, G] = S[\phi] + \frac{1}{2} \text{Tr} \ln G^{-1} + \frac{1}{2} \text{Tr} C^{-1}G + \Gamma_2[\phi, G]. \tag{5.8a}$$

Here:

⁷We overload notation and use the same symbol to refer to a given object, regardless of whether it is the argument of a functional or a functional itself. Hence, we have either $(j, k, \Phi[j, k], \mathbf{G}[j, k])$ or $(j[\Phi, \mathbf{G}], k[\Phi, \mathbf{G}], \Phi, \mathbf{G})$; regardless of which we take, (5.4) holds.

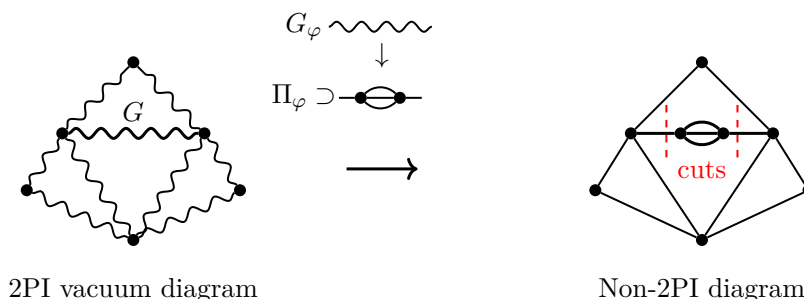


Figure 4. Replacing a propagator for φ in a 2PI diagram by a contribution to the field self-energy Π_φ yields a diagram which automatically disconnects on cutting two lines. Hence, all such diagrams are not 2PI.

- $S[\phi]$ is the classical action, evaluated for the classical field ϕ .
- $C^{-1}(t, t')$ is the (matrix) inverse free propagator for φ .
- As usual, we treat $G(x, y)$ and $C(x, y)$ as matrices indexed by x and y , and take the matrix logarithm.
- $\Gamma_2[\phi, G]$ is (minus) the sum of all of the two-particle irreducible vacuum graphs. These are all graphs that do not disconnect when cutting open any two edges. Crucially, the Feynman rules are slightly modified: instead of the free φ propagator, we use G . In the symmetric case where $\phi = 0$, the vertices used are precisely the same as those in the original action (this is not the case if $\phi \neq 0$, i.e. if the field gets a VEV).

This means that we never need to consider self-energy insertions: they are resummed automatically by the fact that we are using G ! To see this, take any 2PI diagram, and replace one of the φ propagators by a diagram that would contribute to the self-energy Π_φ of that field. This is demonstrated in figure 4; clearly, in that case we can cut the two edges surrounding the Π_φ insertion and disconnect the diagram; thus it does not contribute to Γ_2 .

The following schematic expression [37] is a useful aide-mémoire. If the original action was $S[\varphi]$, then we can write

$$e^{-\Gamma[\phi, G]} = e^{-S[\phi] - \frac{1}{2} \text{Tr}[C^{-1}G]} \int_{2\text{PI}} \mathcal{D}\varphi e^{-\frac{1}{2}\varphi G^{-1}\varphi - S_{\text{int}}[\phi, \varphi]}, \tag{5.8b}$$

where the subscript indicates that when we do the perturbative expansion of the functional integral, we keep only the 2PI graphs. Here, $S_{\text{int}}[\phi, \varphi]$ is the interacting part of $S[\phi + \varphi]$.

This formalism trivially generalizes to the multi-field case, with $\phi_i = \langle \varphi_i \rangle$ and $G_i = \langle \varphi_i \varphi_i \rangle^{\text{conn}}$; additionally, it is purely combinatoric, and therefore must also apply to a QFT on a sphere. Thus, we have all the ingredients we need to apply it to calculating the sphere free energy of any QFT, which we note from (5.4) is just

$$F \equiv -\log Z_{S^d} = \Gamma[\phi_i, G_i] \Big|_{k_i=0, j_i=0, \text{ on } S^d}, \tag{5.9a}$$

where $k = 0, j = 0$ just means that we evaluate Γ for the ϕ_i and G_i s that solve eq. (5.6a),

$$\forall i \quad \frac{\delta \Gamma}{\delta \phi_i} = 0, \quad \frac{\delta \Gamma}{\delta G_i} = 0. \tag{5.9b}$$

That is, the (sphere) free energy of any QFT is precisely the extremum of the 2PI action Γ , with respect to the propagators G_i and field VEVs ϕ_i .

5.2 Application of the 2PI formalism

In the following, we calculate the sphere free energy in the IR, which is assumed to be conformal. Thus, we evaluate (5.9a) for large radius R , such that the contribution of the UV propagator is negligible. We also assume no symmetry breaking of any kind, and so drop $\phi = \langle \varphi \rangle$ and $S[\phi]$ in eqs. (5.8) and (5.9). In this case, we now find that for a melonic theory, *only n_m diagrams appear in $\Gamma_2[G]$* : these are the complete melons

$$\Gamma_2[\{G_{\phi_i}\}] = -\frac{1}{2} \sum_m \frac{g_m^2}{S_m} \int_{x,y} \text{diagram} \tag{5.10}$$

where the value of S_m does not matter, due to our freedom to rescale g_m (but in the case of real fields is typically $S_m = \prod_\phi q_\phi^{m!}$). Typically, we would have had to resum the melonic insertions on each leg — but the 2PI formalism has done this automatically.

We note that there are certain drawbacks to the 2PI reformulation of the SYK model, but these only apply at subleading orders in N , and so we neglect them [37].

5.2.1 2PI effective action for an SYK-like theory

To illustrate this formalism, consider the 2PI effective action for an SYK-like theory: that is, for a melonic-type theory in $d = 1$ with a single fermionic field ψ_i , we obtain

$$\frac{1}{N} \Gamma_{\text{SYK}}[G] = -\frac{1}{2} \text{Tr} \ln G^{-1} - \frac{1}{2} \text{Tr} C^{-1} G - \frac{1}{2} J_m^2 \int_{t,t'} G(t,t')^q \tag{5.11}$$

Here:

- $G(t,t')\delta_{ij} \equiv \langle \psi_i(t)\psi_j(t') \rangle$; so we have assumed no symmetry breaking.
- N would be replaced by $N = M^q$ if we were studying an $O(M)^q$ -tensor model, rather than a disorder-averaged model. All terms shown on the r.h.s. are therefore order N^0 .
- $C^{-1}(t,t') = \delta(t-t')\partial_t$ is the (matrix) inverse of the bare propagator.
- J_m^2 is the effective coupling for a complete melon.⁸
- The minus signs in front of the trace terms compared to (5.8a) arise because of the fermionic character of ψ .

⁸As mentioned above, we have rescaled J_m^2 for convenience, so it differs by: q from the usual SYK normalisation, $J_m^2 = J_{\text{SYK}}^2/q$; and $q!$ from the usual Feynman-diagrammatic normalisation, $J_m^2 = J_F^2/q!$.

The result (5.11) is well known, and directly derivable in disorder-averaged theories, via a change of variables in the replica method [4, 8, 37, 39]; it was shown in [37] that it also applies to the tensor models, though it is more complicated to derive. Adding more fermions leads to the generalized SYK model of [4]; but we can now also generalize it to arbitrary dimensions, fields, and melonic interactions.

5.2.2 2PI effective action for an arbitrary melonic theory

Consider a melonic theory with n_f fields $\{\Phi\}$, each of which has some suppressed indexed structure Φ_i associated to a melonic mechanism. Take n_m melonic couplings g_m , so the UV perturbation is

$$V = \sum_m g_m \mathcal{O}_m, \quad \mathcal{O}_m = \prod_{\Phi} \Phi^{q_{\Phi}^m}. \tag{5.12}$$

By comparison with the SYK model, we can immediately write down the following d -dimensional 2PI effective action for $G_{\Phi}(x, y)\delta_{ij} \equiv \langle \Phi_i(x)\Phi_j(y) \rangle$:

$$\frac{1}{N} \Gamma[\{G_{\Phi}\}] \equiv \sum_{\Phi} \left(\frac{1}{2} \text{Str} \ln G_{\Phi}^{-1} + \frac{1}{2} \text{Str} C_{\Phi}^{-1} G_{\Phi} \right) - \frac{1}{2} \sum_m g_m^2 \int_{x,y} \prod_{\Phi} G_{\Phi}(x, y)^{q_{\Phi}^m}. \tag{5.13}$$

Though its form should be clear as a generalization of (5.11), we comment:

- We sum over the n_f dynamical fields Φ , not accounting for the N additional copies present due to the melonic mechanism. Thus, for the SYK or quartic tensor model, the sum only has a single contribution, $\{\Phi\} = \{\psi\}$.
- The supertrace $\text{Str} X_{\Phi} = (-1)^{F_{\Phi}} \text{Tr} X_{\Phi}$ provides a -1 factor for fermionic fields ($F_{\psi} = 0$ for bosons and 1 for fermions).
- All fields are assumed to be real; the generalization to complex fields requires just replacing $\frac{1}{2} \rightarrow 1$ in the first two terms.
- The final term in (5.13) is

$$-\frac{1}{2} \sum_m g_m^2 \int_{x,y} \langle \mathcal{O}_m(x)\mathcal{O}_m(y) \rangle, \tag{5.14}$$

expanded by using the large- N factorisation. This is manifestly a scalar. However, for fields in non-trivial representations of $\text{SO}(d) \times \mathcal{G}$, G_{Φ} has indices, which must therefore be contracted; such contractions only rescale g_m by constants, and so we neglect them.

- Since we have assumed no symmetry breaking, R_{Φ} then only appears in the $\text{Tr} \mathbb{I}_R$ implicit in the first term — so we see immediately that only the dimension of R_{Φ} matters.
- Generically, g_m^2 might be a homogeneous quadratic polynomial in the melonic dominant couplings, as in [27, 28].

The UV propagator C that appears in the second term plays no role in the IR, assuming $\Delta_\Phi > \Delta_\Phi^{\text{free}}$, and we drop it from Γ hereafter: it only serves to specify the IR wedge (4.1c).

Naturally, the stationary point conditions $\frac{\delta\Gamma}{\delta G_\Phi} = 0$ are just the two-point Schwinger-Dyson equations. In fact, this is the easiest way to construct them,⁹ we obtain precisely the leading- N two-point function SDE derived diagrammatically in appendix A:

$$0 = (-1)^{F_\Phi} \dim R_\Phi G_\Phi^{-1}(x, y) + \sum_m q_\Phi^m g_m^2 [G_\Phi(x, y)]^{q_\Phi^m - 1} \prod_{\mathcal{O} \neq \Phi} [G_{\mathcal{O}}(x, y)]^{q_{\mathcal{O}}^m}. \quad (5.15)$$

5.3 The fundamental claim proved I

We now show how our fundamental claim arises.

In the language of [55], it is a standard result of CFT that a primary Φ has a unique two-point structure with an operator Φ^\dagger , with scaling dimension Δ_Φ , transforming in the conjugate reflected representation ρ^\dagger ; this representation is the complex conjugate of ρ when working in Lorentzian signature. We suppress the global symmetry group and its indices. This two-point function is uniquely determined up to an arbitrary normalization constant \mathcal{Z}_Φ :

$$G_\Phi(x, y) = \langle \Phi_{\mu_1 \dots \mu_s}(x) (\Phi^\dagger)^{\nu_1 \dots \nu_s}(y) \rangle = \mathcal{Z}_\Phi [D_\Phi(x - y)]_{\mu_1 \dots \mu_s}^{\nu_1 \dots \nu_s} \mathbb{I}_{R_\Phi}. \quad (5.16)$$

Here, D_Φ depends only on the data of the primary, i.e. the scaling dimension Δ_Φ and the $\text{SO}(d)$ representation ρ . We suppress the G indices in the following.

The quantity to be extremized, \tilde{F} , is then defined to be the function given by the 2PI action (5.13), evaluated on the sphere with the conformal G_Φ s:

$$\tilde{F}(\{\Delta_\Phi\}, \{\mathcal{Z}_\Phi\}, \{g_m\}) \equiv -\sin(\pi d/2) \Gamma[\{G_\Phi\}]|_{S^d, \text{conformal } G_\Phi}. \quad (5.17)$$

It is regulated with a $-\sin \pi d/2$, just as F was regulated in (3.2), making it demonstrably finite for all d . If the IR limit on the sphere is a CFT, then the constraints of conformal symmetry tell us the exact form of the $G_\Phi(x, y)$ s, up to two numbers Δ_Φ and \mathcal{Z}_Φ . The functional extremization problem on Γ then becomes a function extremization problem on \tilde{F} . To find the sphere propagators $D_\Phi(x, y)$ s, we need only conformally map the textbook two-point functions, as we did to find eq. (3.9).

Without loss of generality, we assume that all of the melons are IR relevant (if they are not, they simply disappear from the calculation entirely). Then, evaluating (5.17) to leading order in N , we find

$$\frac{1}{N} \tilde{F}(\{\Delta_\Phi, \mathfrak{g}_m\}) = \sum_\Phi \tilde{F}_\Phi + \sum_m \frac{\mathfrak{g}_m}{(2R)^{2m}} \tilde{\mathfrak{M}}(\mathfrak{m}_m) + O(N^{-1}). \quad (5.18)$$

We have defined the following quantities.

- As in (3.11), \tilde{F}_Φ is \tilde{F} evaluated for a generalized free field of dimension Δ_Φ in the representation $\rho'_\Phi = \rho_\Phi \times R_\Phi$; it is independent of \mathcal{Z}_Φ , and linear in $\dim R_\Phi$.

⁹Of course, when performing the variation, we must remember that $G_\Phi(x, y) = (-1)^{F_\Phi} G_\Phi(y, x)$ are not independent [37].

- The renormalized squared coupling is

$$\mathfrak{g}_m \equiv g_m^2 \prod_{\Phi} \mathcal{Z}_{\Phi}^{q_{\Phi}^m}; \tag{5.19}$$

note that in the IR the \mathcal{Z}_{Φ} s therefore appear in \tilde{F} solely through \mathfrak{g}_m .

- A convenient combination of scaling dimensions associated to each melon m is

$$\mathfrak{m}_m \equiv \sum_{\Phi} q_{\Phi}^m \Delta_{\Phi} - d. \tag{5.20}$$

As we will see shortly, the melonic constraints are $\mathfrak{m}_m = 0$.

- The dimensionless function $\tilde{\mathfrak{M}}$ is proportional to the complete melon on the sphere:

$$\tilde{\mathfrak{M}}(\mathfrak{m}_m) \equiv [-\sin(\pi d/2)] \left(-\frac{1}{2}\right) \times (2R)^{2\mathfrak{m}_m} \times \int d^d x d^d y \sqrt{g(x)} \sqrt{g(y)} \frac{1}{s(x,y)^{2(\mathfrak{m}_m+d)}}. \tag{5.21}$$

This complete melon integral is easily evaluated, using the homogeneity of the sphere to fix one point to zero [2, 36, 51]:

$$\tilde{\mathfrak{M}}(\mathfrak{m}_m) = \frac{\pi^d \sin\left(\frac{\pi d}{2}\right) \Gamma\left(\frac{d}{2}\right) \Gamma\left(-\frac{d}{2} - \mathfrak{m}_m\right)}{2\Gamma(d)\Gamma(-\mathfrak{m}_m)}. \tag{5.22}$$

This function has zeros for $\mathfrak{m}_m = 0, 1, 2, \dots$

- f_{Φ}^{free} is the contribution from the free (UV) propagator, which is $\propto \mathcal{Z}_{\Phi} R^{2(\Delta_{\Phi}^{\text{free}} - \Delta_{\Phi})}$. Assuming $\Delta_{\Phi} > \Delta_{\Phi}^{\text{free}}$, this will be dropped in the IR.

The factor of $-\sin(\pi d/2)$ in the definition of \tilde{F} serves to ensure that $\tilde{\mathfrak{M}}'(0)$ does not have poles for even integer d . Since \tilde{F}_{Φ} for generalized free fields is also finite, the entire \tilde{F} is indeed finite in all d by construction.

5.4 The fundamental claim proved II

Extremizing (5.18) with respect to the Δ_{Φ} s and \mathcal{Z}_{Φ} s gives

$$\forall \mathcal{Z}_{\Phi} : \frac{1}{\mathcal{Z}_{\Phi}} \sum_m q_{\Phi}^m \mathfrak{g}_m \tilde{\mathfrak{M}}(\mathfrak{m}_m) (2R)^{-2\mathfrak{m}_m} = 0, \tag{5.23a}$$

$$\forall \Delta_{\Phi} : \frac{d\tilde{F}_{\Phi}}{d\Delta_{\Phi}} + \sum_m q_{\Phi}^m \mathfrak{g}_m \tilde{\mathfrak{M}}'(\mathfrak{m}_m) (2R)^{-2\mathfrak{m}_m} = 0, \tag{5.23b}$$

where we have immediately used eq. (5.23a) to cancel the term $\propto \log R$ that otherwise would appear in eq. (5.23b), and dropped the N -subleading terms.

The extrema of \tilde{F} satisfying $\mathfrak{m}_m = 0$ for all m correspond to the extrema of the functional Γ that are independent of R , and therefore can be consistently mapped to flat space. There exist other solutions to (5.23a), but they lead to terms of different order in R in eq. (5.23b); the dependence of the solutions on R implies that we do not have a consistent IR solution (which should be R -independent for large R). Contributions from any g_m s with $\mathfrak{m}_m > 0$ will

not survive the IR limit, and therefore the associated melonic constraint will not be applied. As mentioned above, we will typically neglect this possibility.

To make contact with the usual analysis of the full Schwinger-Dyson equations, it is clear that any such solutions with R -dependence do not satisfy (5.15); that is, they are not extrema of the full $\Gamma[G]$, but only of the conformal slice of Γ , which we have defined to be $\tilde{F}(\Delta_\phi, Z_\Phi)$. It is only the R -independent extrema of \tilde{F} that give G s that extremize $\Gamma[G]|_{S^d}$. We can therefore expand (5.18) around $\mathbf{m}_m = 0$, using

$$\tilde{\mathfrak{M}}(\mathbf{m}_m)(2R)^{-2\mathbf{m}_m} = \frac{\pi^{d+1}}{\Gamma(d+1)} \mathbf{m}_m + O(\mathbf{m}_m^2). \tag{5.24}$$

Substituting (5.24) into (5.23b), we see that the functional extremization problem is, in the IR, equivalent to extremizing the function

$$\frac{1}{N} \tilde{F}(\{\Delta_\Phi, \mathfrak{g}'_m\}) = \sum_\Phi \tilde{F}_\Phi + \sum_m \mathfrak{g}'_m \left(\sum_\Phi q_\Phi^m \Delta_\Phi - d \right) \tag{5.25}$$

with respect to the Lagrange multipliers

$$\mathfrak{g}'_m = \frac{\pi^{d+1}}{\Gamma(d+1)} g_m^2 \prod_\Phi Z_\Phi^{q_\Phi^m}. \tag{5.26}$$

Hence, the extremum of $\tilde{F}(\{\Delta\})$ corresponds precisely to the actual value of \tilde{F}_{CFT} for this CFT, and is just

$$\tilde{F}_{\text{CFT}} = \sum_\Phi \tilde{F}_\Phi|_{\Delta_\Phi}. \tag{5.27}$$

The complete melon has been regulated to zero; this can be understood as the fact that the trace of the identity is zero in continuous dimension, $\text{Tr} \mathbb{I} = 0$ [51]. Naturally, all of this agrees with the equations obtained by a diagrammatic analysis in the appendix, (A.17).

This is the fundamental claim (4.1): the melonic interaction precisely implements the (linear) melonic constraint in the \tilde{F} -extremization procedure. Large- N factorisation immediately gives (see (5.14)) that the IR scaling dimension of each of the monomials \mathcal{O}_m in V is $\mathbf{m}_m + d$, so we see that the melonic constraints ensure that the interaction term is marginal in the IR. This is consistent, as the large- N limit protects the form of the V , so no additional terms are generated. This is precisely the same as the supersymmetric case.

Thus, to conclude in words: the conformal IR solution of a melonic QFT can be found by extremizing the sum of the free energies of a collection of generalized free fields, subject to a marginality constraint $\mathbf{m}_m = 0$ (or $\mathfrak{g}_m = 0$) for each melon. Since any non-Gaussian behaviour in the correlators is N -subleading, the melonic CFTs are therefore precisely the mean field theories with constrained extremal \tilde{F} .

6 The pattern: large- n vector models

The leading order of the large- n vector models is melonic; we can therefore use (4.1) to solve for the critical CFT_d . Certain features of the melonic models are already visible here

We now make the connection to the standard large- n vector model by *also* taking the large- n limit. This has a solution for $\Delta_\phi = \frac{d-2}{2} + \gamma_\phi$, $\Delta_\sigma = 2 + O(1/n)$, with

$$\gamma_\phi = \frac{1}{n} \frac{2(4-d)\Gamma(d-2)}{d\Gamma\left(2-\frac{d}{2}\right)\Gamma\left(\frac{d}{2}-1\right)^2\Gamma\left(\frac{d}{2}\right)} + O\left(\frac{1}{n^2}\right), \tag{6.6}$$

which is exactly the standard result for the anomalous dimension of the field ϕ_I [7]. For $2 < d < 4$ it is positive, and so lies in the IR wedge.

There is one slight complication: at this stage, the correction to Δ_σ in the large- n limit for the original vector model is undetermined, as there $\Delta_\sigma = d - 2\Delta_\phi$ only up to $O(1/n)$ corrections. However, the actual value of Δ_σ would be calculable by studying the spectrum of bilinears appearing in the OPE $\phi \times \phi$. Nonetheless, we have the result described in [32], of a one-parameter family that interpolates from the critical $O(n)$ model (dual to higher spin AdS gravity) at $n \rightarrow \infty$ to the theory with a classical string dual.

6.2 Further solutions

The story above is the usual solution of the $O(n)$ vector model, but in fact eq. (6.5) has many other solutions for Δ_ϕ in generic d . These are shown in figure 5 by the black contours. Note that for $d > 1$, only one of the contours lies within the IR wedge (4.1c), shown in red (for completeness we also show the UV wedge in blue). However, we are always allowed to modify the free propagator to that of a GFF — that is, some formal expression like $\phi(-\partial^2)^\zeta \phi$ for any ζ — usually at the cost of unitarity and locality [51]. Essentially, this modifies the UV scaling dimension $\Delta_{\phi,\sigma}^{\text{free}}$, which changes the IR wedge. Then, the modified Lagrangian can access these extra solutions in its conformal limit.

Let us understand where these additional solutions come from in the large- n limit; these lessons will transfer to the generic melonic case. The gamma function has no zeros. Therefore, in the large- n limit, all solutions to (6.5) must come from near the poles Δ_ϕ^0 of the gamma functions in the numerator. Of course, these poles must not coincide with poles in the denominator; expanding about them with $\Delta_\phi \equiv \Delta_\phi^0 + \gamma_\phi$, we can determine the leading-order anomalous dimensions for the fixed points. The order in n of the correction then depends on the multiplicity of the pole. A pole of multiplicity m at some $\Delta_\phi^0(d)$ leads to a leading-order equation

$$\frac{f(d)}{\gamma_\phi^m} + O(n^0) = \frac{n}{2} \implies \gamma_\phi \propto \frac{1}{n^{\frac{1}{m}}}; \tag{6.7}$$

this may be complex, depending on $m > 1$ and the sign of $f(d)$ (which is always real, as $\Gamma(x)$ is real for real x). Thus, in practice, some of the perturbative poles will not give physical IR CFTs. The locations and multiplicities of such poles for integer k are

	pole multiplicity	Δ_ϕ^0	values	γ_ϕ values
(i)	triple pole	$\frac{d}{2}$	$\frac{d}{2}$	one real, two imaginary $\propto \frac{1}{n^{1/3}}$
(ii)	double pole	$\frac{d}{2} + k, k > 0$	$\frac{d}{2} + 1, \frac{d}{2} + 2, \dots$	for $d < 4$, two imaginary $\propto \frac{i}{n^{1/2}}$
(iii)	single pole	$\frac{d}{2} - k, k > 0$	$\frac{d}{2}, \frac{d}{2} - 1, \dots$	real $\propto \frac{1}{n}$
(iv)	single pole	$\frac{d}{2} + k + \frac{1}{2}, k \geq 0$	$\frac{d}{2} + \frac{1}{2}, \frac{d}{2} + \frac{3}{2}, \dots$	real $\propto \frac{1}{n}$
(v)	single pole	$-k - \frac{1}{2}, k \geq 0$	$-\frac{1}{2}, -\frac{3}{2}, \dots$	real $\propto \frac{1}{n}$

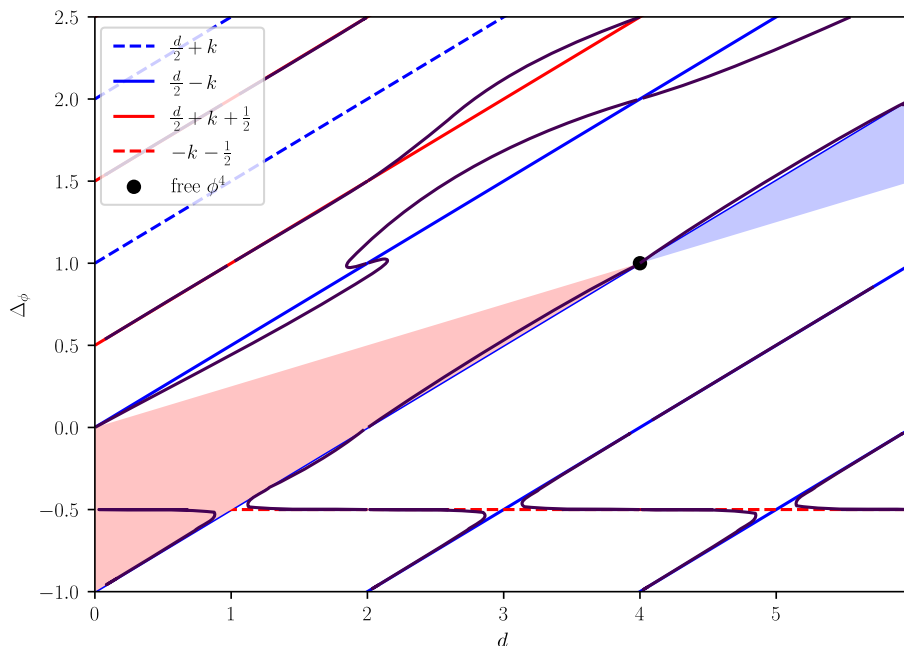


Figure 5. Large (but finite) n contours superimposed in black onto the poles of (6.5). Note the absence of the $\Delta_\phi \sim \frac{d}{2} + k, k > 0$ contours; this is due to the complexity of the anomalous dimensions around those poles. The curious twisted behaviour of the $\Delta_\phi \sim \frac{d}{2}$ line is due to the triple pole. The line descending from the free theory should be in the IR consistent (red) region only for $2 < d < 4$. We have taken $n = 200$, but note that the size of the perturbation around $\Delta_\phi = \frac{d-2}{2}$ has been manually enhanced for clarity, to indicate in which dimensional range the scaling dimensions lie in the regions of IR and UV validity.

These five cases can be written compactly as

$$(i-iii) \Delta_\phi^0 = \frac{d}{2} \pm k \text{ and } (iv-v) \Delta_\phi^0 = \frac{d}{2} \pm \left(\frac{d}{2} + 2k + 1 \right), \text{ for } k \geq 0. \quad (6.8)$$

To make it clear that the solutions are perturbations around the pole lines, they are shown in colour in figure 5. We make the following comments:

- To ensure similarity to the actual large- n vector model, we have chosen $n = 200$ for the ratio of degrees of freedom of ϕ and σ . This ratio is tunable, and, as shown in the tables, all anomalous dimensions are order $1/n^k$. For small n , the solutions depend strongly on n .
- For example, we have one real and two complex solutions around $\Delta_\phi = \frac{d}{2}$:

$$\Delta_\phi = \frac{d}{2} + \frac{\alpha}{n^{1/3}} + O(1/n^{2/3}), \quad \alpha^3 = \frac{\Gamma(d)}{\Gamma\left(-\frac{d}{2}\right)\Gamma\left(\frac{d}{2}\right)^3}, \quad (6.9)$$

which gives the notable twisted structure.

- There are no visible solutions for $\Delta_\phi^0 = \frac{d}{2} + k, k = 1, 2$, since they are complex.

- We note that for $d = 1$ exactly, for $\Delta_\phi > 0$, there is only one real solution, with $\Delta_\phi = \frac{d}{2} + O(1/n^{1/3})|_{d=1}$. However, there are an infinite number of real solutions perturbatively in $d = 1 + \epsilon$, which is why the contours appear unbroken.
- The fact that γ_ϕ is positive for $2 < d < 4$ means that the $\Delta_\phi = \frac{d-2}{2} + \gamma_\phi$ solution is consistent in this region. We observe the presence of a second consistent IR solution (that is, one lying within the IR wedge) for $\Delta_\phi = -\frac{1}{2} + O(1/n)$ for $d < 1$, and hence $\Delta_\sigma = d + 1 + O(1/n)$, that seemingly descends from the free $d = 1$ boson.
- We can immediately identify the poles at $\Delta_\phi^0 = \frac{d}{2} - k$ in the large- n limit as being the real \square^k CFT, which is dual to the minimal type- A_k higher spin gravity [56, 65, 66].

This analysis extends immediately to other large- n vector models, such as the Gross-Neveu model [67], where we would take

$$\frac{\dim \rho'_\psi}{\dim \rho'_\sigma} = 2 \text{Tr}[\mathbb{I}_s] \times n, \tag{6.10}$$

and again solve to leading order in n . The result matches the computations of [67, 68], and changing the $\dim \rho$'s, also solves the chiral and non-abelian [69, 70] extensions. Hence, we have a simple example of how, as promised, only the dimension of complicated finite symmetry representations matters.

We have shown that the disorder-averaged vector model indeed gives a consistent extension of the leading- n physics of the critical vector model ($n \rightarrow \infty$) to finite values of n . Of course, the melonic structure of the standard large- n vector model does not persist to the next order in n : if we attempt to compute the subleading terms in \tilde{F} , along the lines of [71], we find non-melonic diagrams. This means that when solving for the IR, we no longer have the neat interpretation of the constrained extremization of $\tilde{F}(\Delta_\phi, \Delta_\sigma)$ for two GFFs, $= N\tilde{F}_b(\Delta_\phi) + \tilde{F}_b(\Delta_\sigma)$.

The large- n vector models provide simple examples in which we can observe these characteristic features. We turn next to the melonic models, in which case the equivalent of the parameter n is decreased to order one, and so the anomalous dimensions are also order one. This makes it harder to see directly the reason for, for example, the disappearing contour lines (the generation of complex anomalous dimensions), but they occur for reasons identical to those shown here.

7 Melonic models: some examples

For a generic melonic model, the anomalous dimensions are order one, and so it can be harder to interpret what happens as we change d — this is why we began with the large- n vector models in section 6. In this section, we demonstrate how the characteristic features identified in the vector models also hold here:

1. There are multiple conformal vacua, only some of which lie inside the IR wedge, for theories with $n_f > n_m$. As in the vector case, each line arises from a perturbation around the pole of a gamma function.

2. At certain values of d , pairs of real solutions collide and become a complex conjugate imaginary pair: thus we have disappearing lines of solutions.
3. There are gaps in the solution contours at certain integer values of dimension d .
4. The existence and location of real solutions depends strongly on the solutions on the ratios of $\dim \rho'_\phi$ s.

We use three multi-field models as our example: first, two single-interaction models, and then a multi-interaction model, which also possesses supersymmetric vacua. We do not discuss the single-field melonic models (or more generally, the theories with $n_f = n_m$), as they have only a single solution, $\Delta = d/q$, directly from the constraint(s).

7.1 Two fields, one interaction: the quartic Yukawa model

In figure 6, we give the contour plot of the solution space for the $\phi^2 \bar{\psi} \psi$ melonic model, tuned so that

$$\frac{\dim \rho'_\psi}{\dim \rho'_\phi} = 2 \text{Tr} \mathbb{I}_s = 4. \tag{7.1}$$

Unlike figure 3, we also include here the (blue, dashed) complex solutions; these collide at $d = 2$ on the free scalar line, giving the two real solutions that exist for $d \leq 2$. The details of the contours and the occurrence of complexification occurs depend strongly on the ratio (7.1), as was demonstrated in [27].

7.2 Three fields, one interaction: the Popović model

In the case of more fields, we find a higher-dimensional generalization of the above behaviour. In figure 7, we show the IR solutions for a melonic version of the Popović model [72]. With melonic mechanism suppressed, the Lagrangian for this is

$$\mathcal{L}_{\text{Popović}} \sim \bar{\phi}_I (-\partial^2) \phi_I + \bar{\psi}_I (-\not{\partial}) \psi_I - \bar{\chi} \chi + g_0 (\bar{\chi} \phi_I \psi_I + \bar{\psi}_I \phi_I^\dagger \chi), \tag{7.2a}$$

where I is summed from 1 to n , and χ is a fermionic auxiliary field,¹¹ which becomes dynamical. We choose arbitrarily

$$\frac{\dim \rho'_\psi}{\dim \rho'_\phi} = \text{Tr} [\mathbb{I}_s] = 2, \quad \frac{\dim \rho'_\phi}{\dim \rho'_\chi} = n = 5. \tag{7.2b}$$

The melonic mechanism constrains $\Delta_\phi + \Delta_\psi + \Delta_\chi = d$, and so eliminating Δ_χ , the extrema of \tilde{F} lie in the three-dimensional space $(d, \Delta_\phi, \Delta_\psi)$ shown in the figure. The IR wedge becomes an IR tetrahedron

$$\Delta_\phi > \frac{d-2}{2}, \quad \Delta_\psi > \frac{d-1}{2}, \quad \Delta_\chi > \frac{d}{2}. \tag{7.3}$$

We still find a discrete set of vacua in each d , only some of which, drawn in black, lie within the IR tetrahedron.

¹¹Note that the Popović model is essentially an $O(n)$ vector model, where the singlet field is $\chi \propto \phi_I \psi_I$ instead of the usual $\phi_I \phi_I$.

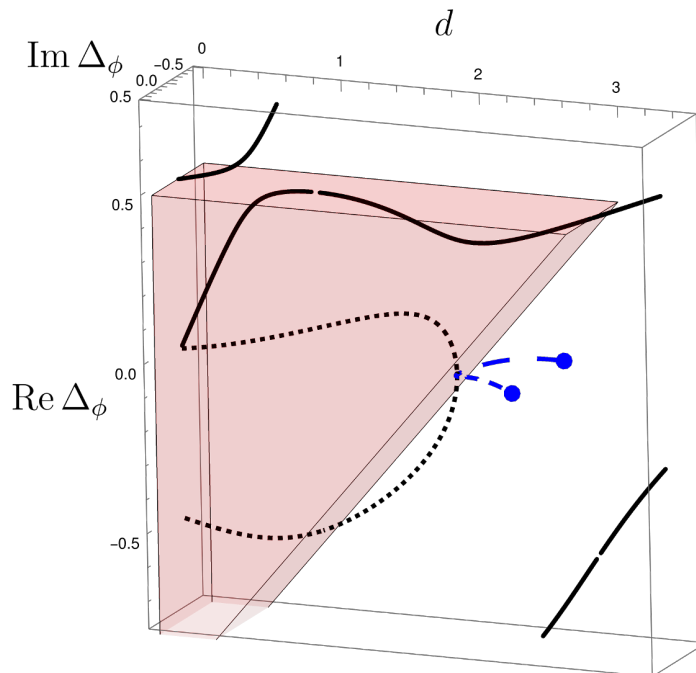


Figure 6. Plot of solutions for the scaling dimension Δ_ϕ in the $\phi^2\bar{\psi}\psi$ melonic model. Δ_ψ is obtained from the melonic constraint $2\Delta_\phi + 2\Delta_\psi = d$. Complex solutions are blue and dashed, and leave the volume of the plot at the blue dots. The real slice of this figure corresponds to figure 3. On the real axis, solid lines represent maxima of \tilde{F} ; dashed lines represent minima. The gaps in the contours are real, and indicate missing solutions in $d = 1$ and $d = 3$ here.

7.3 Multi-interaction melonics; a supersymmetric model

As described above, we can consider multiple melonic interactions, i.e. $n_m > 1$. One natural case where these arise is in the supersymmetric melonic theory of a single chiral field. Recall that the standard supersymmetric \tilde{F} -extremization trivially collides with the melonic \tilde{F} -extremization. The advantage of the melonic theory is that we are also permitted to consider the vacua with broken SUSY. We now provide a somewhat shortened version of the discussion of section 4.5.1 of [27]. We take the melonic-type theory of a single complex scalar superfield with four supercharges, as given in [46]. As usual, we suppress the melonic mechanisms, which can be found in [10, 31, 45, 46]. The form of the superpotential makes the \tilde{F} -extremization trivial,

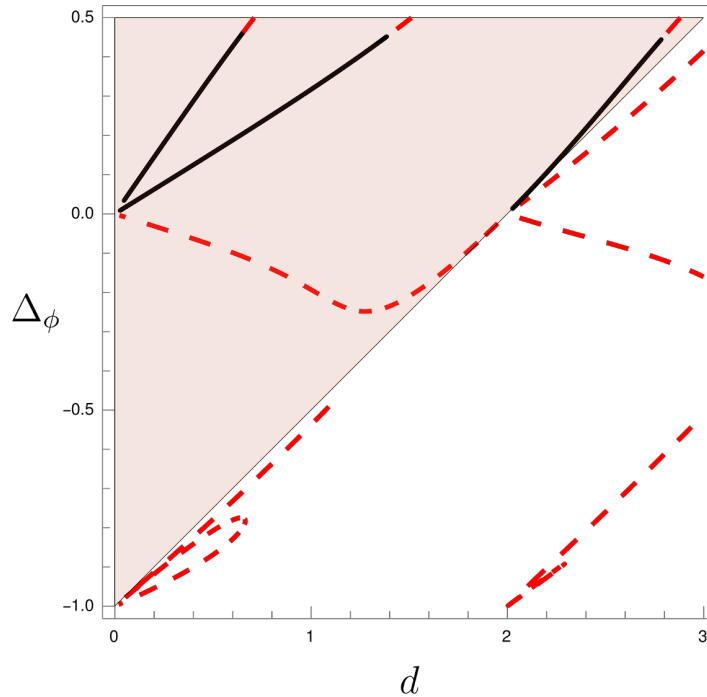
$$W[\Phi] \sim g\Phi^4, \quad \Delta_\Phi = \frac{d-1}{4}. \tag{7.4}$$

However, we can also consider breaking up the superfield into its components in the usual way,

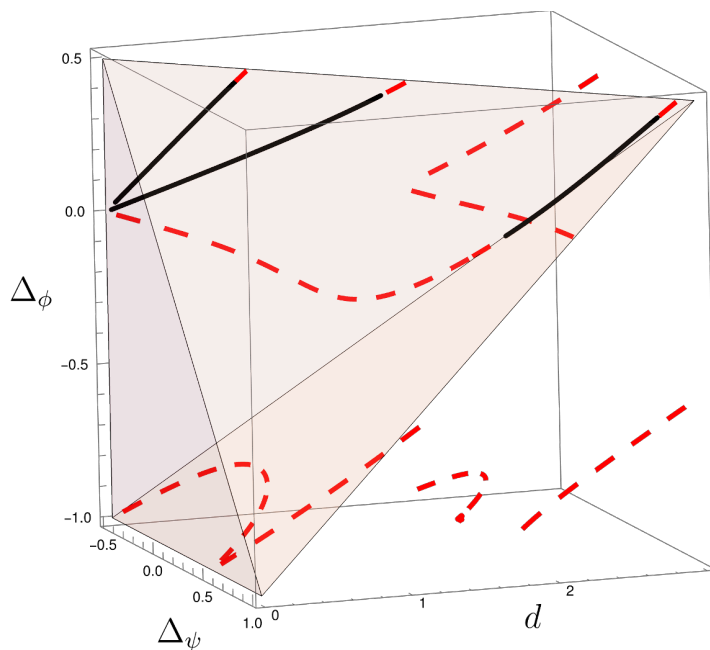
$$\Phi = \phi + \theta\psi - \theta^2 X. \tag{7.5}$$

In this case, we obtain a potential of schematic form

$$V(\phi, \psi, X) = \rho(X\phi^3 + X^\dagger(\phi^\dagger)^3) + \lambda\phi^\dagger\phi\bar{\psi}\psi, \tag{7.6}$$



(a) Contour plot of Δ_ϕ against d .



(b) Angle view, showing 3d structure.

Figure 7. Scaling dimension solutions of the melonic Popović model eq. (7.2) for various d , illustrated for $n = 5$, $\text{Tr}[\mathbb{I}_s] = 2$; it demonstrates a complex network of conformal vacua. The IR wedge is now an IR tetrahedron, which is shaded: black lines lie inside it; the dashed red lines lie outside. Unlike before, we do not indicate the maxima and minima. The rightmost line in figure 7(a) corresponds to the solution $\Delta_\phi = \frac{d-2}{2} + O(1/n)$, $\Delta_\psi = \frac{d-1}{2} + O(1/n)$. The left-hand lines are the $\Delta_\phi = \frac{d}{2} \pm O(1/n^{1/2})$, $\Delta_\psi = \frac{d-1}{2} + O(1/n)$ solutions.

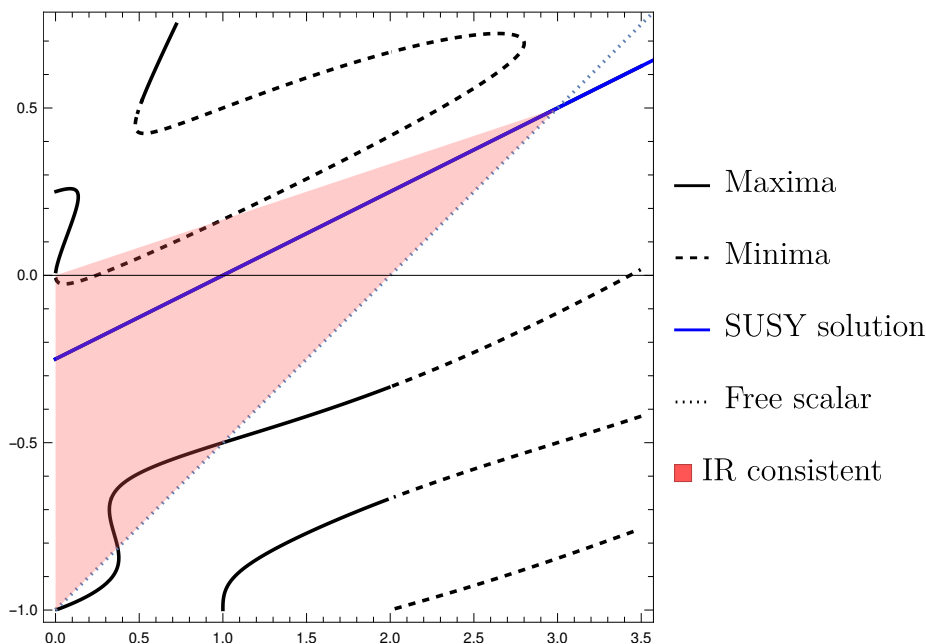


Figure 8. The supersymmetry-breaking vacua of the theory of a single complex scalar superfield with four supercharges; i.e. with potential eq. (7.6). The SUSY-preserving solution (7.4) is the line $\Delta = \frac{d-1}{4}$.

where supersymmetry gives the relation $\rho \sim \lambda$. This is the full quartic Yukawa model, with auxiliary field, of [27], which was defined as $h\lambda_{\text{prismatic}}$ there.

Let us now consider SUSY-breaking vacua, for which we allow ρ and λ to vary independently. We take $\dim \rho'_X = \dim \rho'_\phi$ and $\dim \rho'_\psi = 2 \dim \rho'_\phi$. Since we have two interactions, there are now two melonic constraints,

$$\Delta_X + 3\Delta_\phi = d \text{ and } 2\Delta_\phi + 2\Delta_\psi = d, \tag{7.7}$$

and so the extrema are only in the space (d, Δ_ϕ) , which we plot in figure 8. The supersymmetric solution (7.4), where $\Delta_\phi = \Delta_\psi - \frac{1}{2} = \Delta_X - 1$, is the straight line in the figure. In addition, the component melonic approach has given non-perturbative access to all the SUSY-breaking vacua; however, in this case the additional vacua are only IR consistent for $d < 1$.

8 Discussion

In this paper we have established our fundamental claim (4.1); that is, the melonic CFTs in the strict large- N limit are precisely a mean field theory, or collection of generalized free fields, with extremal sphere free energy — subject to constraints that correspond to IR marginality of the potential. This holds regardless of any finite symmetry groups and the interaction structure: hence we have a complete solution and classification of melonic CFTs. Now, we expected mean field behaviour in the large- N limit, due to factorization. However, since \tilde{F} is also thought to count the number of degrees of freedom in a CFT, this has the pleasing interpretation that we extremize the number of IR degrees of freedom.

To establish this claim, we used the fact that the quantum solution of a field theory lies at the extremum of the two-particle effective action Γ_{2PI} . This holds even when Γ_{2PI} is evaluated on the IR conformal slice of solutions; thus, the quantum solution lies at an extremum with respect to the trial scaling dimensions of the fundamental fields, which leads directly to a non-perturbative definition of $\tilde{F}(\{\Delta_\phi\})$. We then illustrated this procedure and its results using various example melonic CFTs, and saw that much of the IR structure can be understood by generalizing that of the large- N vector models (including the \square^k CFTs).

Future questions fall into two categories. The first concerns the nature of the IR structures that arise here:

1. We often find a discrete choice of vacua in the IR, some of which are maxima, some of which are minima, and some of which are saddle points. Can we identify a mechanism to select which one is physically realised? Equivalently, in the SUSY mechanism, unitarity requires that F and a must be maximized; is the same true here? Of course, these theories can only be unitary in integer dimensions, due to the presence of evanescent operators [73, 74]; nonetheless, is there a sense in which \tilde{F} -maximization holds for QFTs that are the analytic continuations in d of unitary theories?
2. Along similar lines: is $\tilde{F}_{IR} \leq \tilde{F}_{UV}$ always true, at least within the IR wedge? This would give further hints towards a generalized \tilde{F} -theorem, valid in continuous dimension [1, 34]. The fact that the usual free field values are local maxima of \tilde{F}_ϕ almost — but not quite — shows this, as an unconstrained maximum always gives an upper bound for a constrained maximum.
3. The additional vacua lying outside the unitarity wedge can be understood in the case of the vector model as corresponding to the \square^k CFTs — these also have known AdS duals [56, 65, 66]. It would be nice to understand the additional vacua in other melonic models to the same degree.
4. In certain integer dimensions d , we find no solutions for the field scaling dimensions, despite the existence of perturbative solutions around that d (this is just as in [22]). What, therefore, happens in the IR of these theories? [75]
5. We can also compute the scaling dimensions of operators in the OPE of the fundamental fields, as well as their OPE coefficients, at least for the tensorial realisation of the SYK model [51]; what can we discover by analysing these? Various divergences are evident in the scaling dimensions [27, 42] in the case when the scaling dimension of one of the fields hits zero. Does the \tilde{F} -extremization perspective aid in understanding the true fate of these theories in the IR, perhaps as logarithmic CFTs?
6. The melonic and supersymmetric CFT mechanisms are identical in practice. The only difference is that for the latter, at finite N the Lagrange multiplier is a more complicated function of the coupling constant, i.e. $\lambda \sim g^2 \prod_\phi \mathcal{Z}_\phi^{q_\phi} + O(g^3)$. This coincidence arises because the form of the potential is protected in both, by supersymmetry and large- N respectively; hence only field renormalization occurs. However, is there more to this than coincidence? In any case, we can directly import the results from F and a -maximisation

to the melonic SCFTs. This may lead to a neat explanation of their various spectral divergences: for example, in [45], we obtain $\Delta = 0$ in $d = 1$, and therefore a missing tower of operators in (at least) the BB -type bilinears; likewise in [46].

The second category involves extending this procedure in various directions:

1. It is clear that the contribution of non-melonic diagrams will break the interpretation of the IR solution as extremizing the free energy of a collection of free fields (subject to a constraint). Of course, we must still lie at an extremum of $\Gamma_{2\text{PI}}$. It would therefore be interesting to compute the first correction at subleading order in N . In the SYK model, the NLO 2PI vacuum graphs take the form of the periodic ladders with $n \geq 1$ rungs, with or without one twist of the rails [37]; in the tensor models, diagrams containing other couplings enter at NLO, and ladder-like diagrams appear at NNLO [51]. We note that there are certain subtleties associated to the computation of the 2PI action [37].
2. Along similar lines: we often find complex solutions for the scaling dimension of some operator — these are thought to indicate that in the true IR vacuum that operator would condense [76]. Thus: what happens when we permit the possibility of symmetry breaking, as in [77]?
3. One obvious generalization that suggests itself is to formally modify the melonic constraint to some generic $f(\{\Delta_\phi\}) = 0$. This was first explored in [52], where a bilocal interaction was used to effectively set the melonic constraint of an SYK-like theory to $q\Delta_\phi = d - \alpha$, for tunable α .
4. The so-called higher melonic theories [26] fit very neatly into this framework. Essentially, we compute \tilde{F} for irreps of $\text{SO}(d + 1, 1)$ over non-Archimedean fields, and then perform a constrained extremization as usual. Their multiple-field generalizations may then prove rich. This also suggests considering the properties of the melonic-type theories defined over non-compact groups G other than the conformal group; these are then a solvable sector of the G -theories proposed in [78].

Acknowledgments

The authors are grateful to Márk Mezei and John March-Russell for discussions. LFT is supported by a Dalitz Scholarship from the University of Oxford and Wadham College.

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A Diagrammatic proof of constrained F -extremization

In the following, we demonstrate how \tilde{F} -extremization can be recovered by a more standard Feynman-diagrammatic calculation of the two-point Schwinger-Dyson equations.

A.1 Conformal two-point functions and conventions

The inverse of a conformal two-point function D_ϕ , if it exists, is defined by

$$\int d^d x D_\phi(w-x)_{\mu_1 \dots \mu_s}^{\nu_1 \dots \nu_s} [D_\phi^{-1}(x-y)]_{\nu_1 \dots \nu_s}^{\sigma_1 \dots \sigma_s} \equiv \delta^d(w-y) \hat{\delta}_{\mu_1 \dots \mu_s}^{\sigma_1 \dots \sigma_s}, \quad (\text{A.1})$$

which we write in the shorthand form

$$\int d^d x D_\phi(w-x) \cdot D_\phi^{-1}(x-y) \equiv \delta^d(w-y) \mathbb{I}_{\rho_\phi}, \quad (\text{A.2})$$

where $\mathbb{I}_{\rho_\phi} \equiv \hat{\delta}_{\mu_1 \dots \mu_s}^{\sigma_1 \dots \sigma_s}$ is the appropriate identity for ρ_ϕ . As usual, all \mathcal{G} indices are suppressed.

Now define the unique shadow field $\tilde{\phi}$ to be the field with scaling dimension $\tilde{\Delta}_\phi \equiv d - \Delta_\phi$, transforming in the *reflected representation*¹² ρ_ϕ^{ref} . The operator $\tilde{\phi}^\dagger$ then has scaling dimension $d - \Delta$ and $\text{SO}(d)$ representation ρ^* (the dual of ρ). The inverse propagator and the shadow field propagator transform identically under conformal symmetry. Since both are unique, we must have

$$D_\phi^{-1}(x-y) = \frac{1}{\mathcal{N}_\phi} D_{\tilde{\phi}}(x-y), \quad (\text{A.3})$$

for some \mathcal{N}_ϕ ; this must be a purely representation-theoretical quantity (since D_ϕ s are unit normalised) that can be calculated explicitly by taking the inverse in momentum space. This result is a generic identity for CFTs, provided that $\mathcal{N}_\phi \neq \infty, 0$, which occurs for operators transforming in the exceptional series of conformal group representations. \mathcal{N}_ϕ can be found using [55]

$$(-1)^{F_\psi} \frac{\dim_{\mathbb{R}}(\rho_\phi)}{\mathcal{N}_\phi} = \Omega_d \mu(\phi), \quad (\text{A.4})$$

where $\Omega_d = 2^d \text{vol SO}(d)$ is a constant that drops out of all computations, $(-1)^{F_\psi}$ just gives a minus sign for fermionic reps, and $\mu(\phi)$ is the Plancherel measure of $\text{SO}(d+1, 1)$ for the conformal representation of ϕ . For comparison with the main text, we note that \tilde{F} for a generalized free field is defined by

$$\tilde{F}(\Delta_\phi, \rho_\phi) = \frac{\pi^{d+1}}{\Gamma(d+1)} \int_{\frac{d}{2}}^{\Delta_\phi} d\Delta' \Omega_d \mu(\phi|_{\Delta'}), \quad (\text{A.5})$$

where for a scalar field, $\mathcal{N}_\phi^{-1} = c(\Delta)c(d-\Delta)$.

In the scalar case, these correspond to the GFFs with Lagrangian $\phi(-\partial^2)^k \phi$ for $k \in \mathbb{N}_0$ — in particular, we have that $\mathcal{N}_\phi = \infty$ for the free scalar.

¹²The reflected representation of ρ is defined by $\rho^R(g) \equiv \rho(RgR^{-1})$, where $R \in \text{O}(d)$ is a reflection in any direction. In odd dimensions, ρ^R and ρ are equivalent, because $\text{O}(d)$ factorizes into $\text{SO}(d) \times \mathbb{Z}_2$ as -1 is a reflection matrix. In even dimensions, to obtain ρ^R , we swap the weights associated to the two spinor representations.

A.2 Two-point Schwinger-Dyson equations

As is usual for conformal field theories, we will work in position space, where the two-point functions $G_\phi = \mathcal{Z}_\phi D_\phi$ (defined in (5.16)) satisfy the usual Schwinger-Dyson equation

$$-\text{---} \boxed{\mathcal{Z}_\phi D_\phi} \text{---} = -\text{---} \boxed{C_\phi^{\text{free}}} \text{---} + -\text{---} \boxed{C_\phi^{\text{free}}} \text{---} \bigcirc \Pi_\phi \text{---} \boxed{\mathcal{Z}_\phi D_\phi} \text{---} \quad (\text{A.6a})$$

$$\mathcal{Z}D_\phi = C_\phi^{\text{free}} + C_\phi^{\text{free}} \star \Pi_\phi \star \mathcal{Z}_\phi D_\phi. \quad (\text{A.6b})$$

Here, $C_\phi^{\text{free}}(x, y)$ is the bare propagator of the field, assumed also to be conformal with scaling dimension $\Delta_\phi^{\text{free}}$; $\Pi_\phi(x, y)$ is the 1PI self-energy for the field ϕ ; and \star indicates convolution of these two-index objects. Convoluting with the inverses, we find

$$[C_\phi^{\text{free}}]^{-1} = \frac{1}{\mathcal{Z}_\phi} [D_\phi]^{-1} + \Pi_\phi. \quad (\text{A.7})$$

We assume that the free propagator drops out. For an IR or UV CFT, this means that each field must satisfy

$$\text{IR: } \Delta_\phi > \Delta_\phi^{\text{free}}; \quad \text{UV: } \Delta_\phi < \Delta_\phi^{\text{free}}. \quad (\text{A.8})$$

The case of equality, $\Delta_\phi = \Delta_\phi^{\text{free}}$, leads to the long-range melonic models [30, 49–52]. Note that for canonical free field kinetic terms, any UV CFT must violate the unitarity bounds.

A.3 Melonic theories

We will specify a melonic-type theory with schematic interaction Lagrangian¹³

$$\sum_m^{n_m} \tilde{g}_m \prod_\Phi \frac{\Phi^{q_\Phi^m}}{q_\Phi^m!}. \quad (\text{A.9})$$

For convenience, we discuss only bosonic fields. As discussed in the main text, we assume that there is an unspecified underlying mechanism (typically a disorder average or tensorial structure) that enforces the large- N melonic dominance. It is standard that the self-energy Π_ϕ of each field can then be resummed to

$$\Pi_\phi(x, y) = \sum_m \left(\text{Diagram with } m \text{ melons between } x \text{ and } y \right), \quad (\text{A.10})$$

¹³Note that \tilde{g}_m is the coupling constant with the conventional Feynman-diagrammatic normalising factor associated to a melon. This differs from the coupling normalisation commonly used for SYK.

where the propagator on each leg is the full resummed propagator $\mathcal{Z}_\Phi D_\Phi$. Each diagram has symmetry factor $\prod_\Phi q_\Phi^{m!}/q_\phi^m$, so in the scaling limit (A.7) becomes

$$\frac{-1}{\mathcal{Z}_\phi} [D_\phi(x-y)]^{-1} = \frac{1}{\dim(\rho'_\phi)} \sum_m \tilde{\mathfrak{g}}_m q_\phi^m [\mathcal{Z}_\phi D_\phi(x-y)]^{q_\phi^m - 1} \prod_{\Phi \neq \phi} [\mathcal{Z}_\Phi D_\Phi(x-y)]^{q_\Phi^m}, \quad (\text{A.11a})$$

where

$$\tilde{\mathfrak{g}}_m = \frac{\tilde{g}_m^2}{\prod_\Phi q_\Phi^{m!}}. \quad (\text{A.11b})$$

Dimensional analysis of (A.11) tells us immediately that the continuous data of this melonic theory, being the scaling dimensions, are forced to obey the following equality for each melon m :

$$\sum_\Phi q_\Phi^m \Delta_\Phi = d. \quad (\text{A.12})$$

By (A.3), the right-hand side must transform in the shadow representation of the field Φ , that is ρ'_Φ . For this reason, we do not need to keep track of the Lorentz indices: the various contractions must end up giving an identity \mathbb{I}_s on the right-hand side, and so only contribute a factor of $\dim(\rho_\Phi)$ inside $\dim(\rho'_\psi)$. Likewise, since the symmetry group is assumed unbroken, the G indices marshal themselves into a \mathbb{I}_R .

As before, the D_ϕ s are unit normalised, and therefore we must have

$$[D_\phi(x-y)]^{q_\phi^m - 1} \prod_{\Phi \neq \phi} [D_\Phi(x-y)]^{q_\Phi^m} = D_{\tilde{\phi}}(x-y). \quad (\text{A.13})$$

Plugging that in to (A.11), we find

$$[\mathcal{Z}_\phi D_\phi(x-y)]^{-1} = -\frac{1}{\dim \rho'_\phi} \sum_m q_\phi^m \mathfrak{g}_m D_{\tilde{\phi}}(x-y), \quad (\text{A.14})$$

where we have defined a renormalized coupling constant for each melon

$$\mathfrak{g}_m \equiv \tilde{\mathfrak{g}}_m \left(\prod_\Phi^{\text{melon}} Z_\Phi^{q_\Phi^m} \right) = g_m^2 \prod_\Phi \frac{Z_\Phi^{q_\Phi^m}}{q_\Phi^{m!}}. \quad (\text{A.15})$$

Then using the identity (A.3), for each field ϕ we obtain

$$\frac{\dim \rho'_\phi}{\mathcal{N}_\phi} = -\sum_m q_\phi^m \mathfrak{g}_m. \quad (\text{A.16})$$

Thus, if we have n_m melons and n_f fields $\{\phi\}$ in $\text{SO}(d) \times G$ representations ρ'_ϕ , we have n_m equations from eq. (A.12) and n_f equations from eq. (A.16). Therefore, generically we can find a solution for the unknown \mathfrak{g}_m s and Δ_ϕ s.

Recall that we required $\Delta_\phi > \Delta_\phi^{\text{free}}$ in order to obtain consistent IR scaling. This picks out a polyhedron of allowed scaling dimensions in \mathbb{R}^{n_f} . However, we can always tune the free scaling dimension of the fields by modifying the kinetic terms; and so we ignore this

condition. Using (A.5), we can eliminate \mathcal{N}_ϕ in favour of $\frac{d\tilde{F}_\phi}{d\Delta_\phi}$, and so derive:

$$\text{Given a set of melonic data: } \{m : \prod_{\Phi} \Phi^{q_{\Phi}^m}\} \tag{A.17a}$$

$$\text{For each melon: } \sum_{\Phi}^{\text{melon}} q_{\Phi}^m \Delta_{\Phi} = d \tag{A.17b}$$

$$\text{For each field } \phi: \frac{d\tilde{F}_\phi(\Delta_\phi)}{d\Delta_\phi} = -\frac{\pi^{d+1}}{\Gamma(d+1)} \sum_m q_{\phi}^m \mathfrak{g}_m \tag{A.17c}$$

These equations give a complete solution for the conformal melonic limit, assuming appropriate IR (UV) scaling (A.8). We have assumed here that all melons are IR-relevant; if any melon m is not, $\sum_{\Phi} q_{\Phi}^m \Delta_{\Phi} > d$, so the associated monomial operator is irrelevant, and the melon will drop out in the IR. These equations (A.17) are manifestly recoverable from the \tilde{F} -extremization of (4.1).

Data Availability Statement. This article has no associated data or the data will not be deposited.

Code Availability Statement. This article has no associated code or the code will not be deposited.

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