


UKRI – OA policy briefing

Oxford Festival of Open Scholarship 2022

March 16th 2022 – 10:00-11:00

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Open Scholarship Librarian

 This session will be recorded

 Slides and handouts will be distributed after the session



Join us for more events at:
<http://openaccess.ox.ac.uk/oxfos>



If you would like to tweet about this session please use: #OxFOS22



Timeline of events

Autumn 2018 to summer 2021

The UKRI Open Access Policy was determined by the UKRI Open Access Review

August 2021

UKRI announced its new policy

1st April 2022

The policy will begin to apply

The UKRI open access policy will replace the Research Councils (RCUK) policy on open access and also apply to certain funding provided by Innovate UK (building on current guidance) and Research England (excluding hybrid and block grant funding provided to HE institutions).

A note on UKRI/REF

Researchers should continue to 'Act on Access' in line with REF 2021 requirements



UKRI Open Access Policy

Does
Not
Equal



'Next REF' Open Access Requirements

“Both UKRI and the UK higher education funding councils note that the scope of an open access policy for the future national research assessment exercise is much broader than the UKRI Open Access Policy. This will be considered when developing the future national research assessment position.”

(Found here: <https://www.ukri.org/wp-content/uploads/2021/08/UKRI-180821-UKRIOpenAccessPolicyExp>)

What does it apply to?

Submitted from 1st April 2022

Short-form publications including:

- Research articles
- Reviews (incl. commissioned/invited reviews)
- Conference papers

Accepted for final publication in either a journal, conference proceeding with an ISSN, or publishing platform*

From 1st April 2024

Long-form publications including:

- Academic monographs
- Book chapters
- Edited collections
- Trade books (where they are the sole output of UKRI funded research)

Short Form Publication Requirements

Published under a CC BY (Creative Commons Attribution) licence.
Two exceptions on request (Open Government Licence / CC BY-ND)

Include a data accessibility statement signposting where/how a researcher can access the underlying data (templates available)

No embargo period is permitted for 'green route OA' where the accepted manuscript is deposited into a repository

UKRI have indicated they won't support OA publication costs in hybrid journals unless subject to a Transformative Arrangement (please use green OA)

Routes to compliance

1

Publish in a fully open access journal/platform that makes the article immediately open access (the 'gold route')

1

Publish in a journal covered by a Transitional Agreement (also sometimes known as a Read & Publish deal)

2

Publish in a subscription journal and make the Author Accepted Manuscript (AAM) openly available on publication with no embargo (the 'green route').

UKRI tool (forthcoming)



<https://doaj.org>

The University recommends that all UKRI-funded researchers use rights retention at the point of submission to a journal (more later)

Route 1 – Fully Gold OA / Transformative

Publish in a fully open access journal/platform that makes the article immediately open access

Brief how to:

1. Search for an applicable title in your subject area using:
 - The UKRI toolOR
 - Directly searching the Directory of Open Access Journals (DOAJ)
2. Email apc@bodleian.ox.ac.uk to confirm funds are available
3. Submit paper (after April 1st 2022) using @ox.ac.uk email
4. APC payments will be processed by the Oxford APC team
5. Article is made Open Access by the journal on publication with a CC BY licence
6. Deposit into the Oxford University Research Archive (ORA) as standard

This route would also be applicable for journals considered Transformative journals

Route 1 – Transitional Agreement

Publish in a journal covered by a Transitional Agreement
(also sometimes known as a Read & Publish deal)

Brief how to:

1. Search for an applicable title in your subject area using the UKRI tool
2. It signposts that there is an agreement
3. Email apc@bodleian.ox.ac.uk to confirm applicability for the agreement
4. Submit paper (after April 1st 2022) using your @ox.ac.uk email address
5. All charges are managed by the Oxford APC team
6. Article is made Open Access by the journal on publication with a CC-BY licence (select this licence when prompted)
7. Deposit into the Oxford University Research Archive (ORA) as standard

Go here for a list of current deals: <http://openaccess.ox.ac.uk/publisher-deals>

Route 2 – Green OA & Rights Retention

Publish in a subscription journal and make the Author Accepted Manuscript (AAM) openly available on publication with no embargo.

Brief how to:

1. Search for an applicable title in your subject area using the UKRI tool
2. It signposts that you can be compliant:
 1. Via a deposit
 2. Using Rights Retention (next slide)
3. Include the Rights Retention text in all submissions
4. Submit paper (after April 1st 2022) using your @ox.ac.uk email address
5. Deposit the accepted manuscript – preferably into the Oxford University Research Archive (Act on Acceptance)

Author Accepted Manuscript:

The version of your research after peer review, when changes have been accepted by the publisher and before the final proofreading stage.

Rights Retention

Rights Retention is used by researchers publishing in subscription or hybrid journals where the gold and green routes are not compliant with UKRI policy

This below text is added during the application process to apply a Creative Commons (CC BY) licence to any resulting AAM to allow for deposit via the green route

The University advises researchers to include this text on ALL of their UKRI funded submissions to ensure coverage

‘This research was funded in whole, or in part, by UKRI [Grant number]. For the purpose of Open Access, the author has applied a CC BY public copyright licence to any Author Accepted Manuscript version arising from this submission.’

<https://openaccess.ox.ac.uk/rights-retention>

BBSRC/MRC funded authors



Deposit research outputs at the earliest opportunity, and certainly within six months of publication, in Europe PubMed Central - preferably the Version of Record (VoR) but accept the manuscript (AM) if necessary (NOTE: Green route compliance ON PUBLICATION)

Deposit 'how to' can be found here: <https://plus.europepmc.org/home>

Note: Some journals will automatically deposit into PMC, but remember to check into EPMC and not just PubMed Central!

Long Form Publications Requirements

Published on or after 1st April 2024

A CC BY (preferred) licence is applied to the open version – Which can be the AAM or VoR (OGL/and other CC licences permitted)

An embargo period of 12 months (or less) is permitted

The open access version should include, where possible, any images, illustrations, tables and other supporting content

Where an AAM is deposited, it should be clear that this is not the final published version

Required for:
Academic monographs
Book chapters
Edited collections
Trade books (sole output)

Not required for:
Trade books
Scholarly editions
Exhibition catalogues
Scholarly illustrated catalogues
Textbooks
All types of fictional works and creative writing

*Defined as an edition of another author's original work or body of work (including but not limited to books, manuscripts, texts, documents and letters), often with a scholarly introduction and explanatory notes or analysis, by a different author.

Long Form Publications - Exemptions

Sole publication venue – After consultation

“where the only appropriate publisher, **after liaison and consideration**, is unable to offer an open access option that complies with UKRI’s policy”

After training grant lifespan

Where the publication is a result from a UKRI training grant
“[UKRI] recognises that publication may occur sometime beyond the lifetime of a training grant” (note: the policy on theses still applies)

Third-party copyright

“UKRI’s licensing requirements do not apply to any materials included within a long-form output that are provided by third-party copyright holders”

Any questions?

Open access helpdesk

opena

Open access contacts

ope

APC Team

a

Open scholarship mailing lists

e

UKRI Open Research

UKRI policy pages