

THE STATUS OF PASSIVE CONSTRUCTIONS IN OLD ENGLISH

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ABSTRACT

In Old English, passive-type constructions involving a copula and a passive participle could be used to express both events and states. Two different types of copula are found in these constructions: *weorðan*, meaning ‘become’ and *wesan* and *beon*, meaning ‘be’. There has been some dispute as to how the meaning of these copulas relates to the meaning of the construction as a whole, in both its eventive and its stative uses, and whether any of these constructions was grammaticalized in the sense that their meaning was non-compositional. We propose a semantic model that represents these constructions compositionally and test it against a selected corpus of Old English texts in order to address two questions: whether the data provide evidence of non-compositional meaning that would suggest grammaticalization, and whether other factors are also responsible for the choice of copula. Our analysis suggests that the attested Old English passives are fully compatible with a compositional analysis; we also discuss additional semantic factors that may be responsible for the lower frequency of passives with *weorðan*.

1. INTRODUCTION

In Old English there are two types of periphrastic passive construction: those formed with a passive participle and a copula meaning ‘become’ (*weorðan*) and those in which the copula involved meant ‘be’ (*wesan* or *beon*). Constructions with a copula and the passive participle of a transitive verb also fall into two broad semantic classes: those denoting events and those denoting states. The mapping between these formal and semantic categories has remained unclear in certain respects. Early studies often identified the ‘become’ constructions with eventive meaning and the ‘be’ constructions with stative meaning; however, substantial evidence militates against such a rigid alignment and in particular attests to the frequent use of ‘be’

constructions with eventive meaning (for review see Mitchell 1985: I, 324–33). More recent work has implicitly or explicitly identified eventive meaning with a more advanced stage of grammaticalization (e.g. Denison 1993; Petré & Cuyckens 2009). Other research has acknowledged the possibility of a compositional analysis for these constructions but has not addressed the semantic and distributional differences between the two types of copula (e.g. Mailhammer & Smirnova 2013). The present study aims to provide a compositional semantic model in which the range of possible meanings of the two formal classes is clearly related to the semantic content of their components, and to provide empirical data on the form–meaning combinations attested in Old English passives. These will be used to address two questions: first, whether the compositional semantic model proposed can account for the data (i.e. without the need to suppose that grammaticalization has taken place), and second, whether it fully accounts for the observed distribution of the copulas. Our findings are that the compositional semantic model proposed here can account for the full range of data, but that other factors seem also to be involved where the compositional model would allow either copula to be used to convey the same meaning.

The use of both types of copula for passive constructions in Old English reflects a situation found in a number of other early Germanic languages. However, the individual languages have modified this pattern in different ways. For example, Old High German eventually developed an opposition in which the ‘be’-passives were restricted to stative constructions, while the ‘become’-passives were restricted to events, both transitional and non-transitional (see Jones 2009). In contrast, within English the ‘be’-constructions came to predominate; the copula *weorðan* was eventually lost and its role in the passive was not filled by any of the verbs that supplanted it in more general use. A more detailed understanding of passive constructions in Old English may also shed light on their subsequent development.

1.1 *WESAN* AND *BEON*

Old English possessed two words meaning ‘be’, *wesan* and *beon*. Although they would eventually be integrated into a single, suppletive paradigm¹, during the Old English period both

¹ The paradigm of *wesan* was itself already suppletive (see e.g. Ringe & Taylor 2014).

verbs retained full, discrete paradigms except in the preterite tense, where only *wesan* is found. The verb *beon* can be traced to a Proto-Indo-European root meaning ‘become’, but by the attested Old English period it had come to mean ‘be’ and was distinguished from *wesan* largely in having semantic traits that some authors have characterized as ‘perfective’ in contrast to the ‘imperfective’ *wesan* (e.g. Ringe & Taylor 2014: 373). In research on the passive some authors have treated *wesan* and *beon* as already having the status of a single entity, while acknowledging the existence of semantic differences between forms from the two paradigms (e.g. Mitchell 1985; Denison 1993); others have drawn a stronger distinction between the two and discussed the effect that their semantic differences have had on the interpretation of passive constructions formed with them (e.g. Kilpiö 1989; Petré 2014). From a methodological perspective both approaches have certain advantages. In the present work we adopt a two-stage approach to the analysis. We begin by treating the two verbs as a single unit, ‘*wesan/beon*’; at the period in question both these forms shared the meaning ‘be’, and so they are both dissimilar to a verb such as *weorðan* ‘become’ in their lack of any semantically inherent notion of transitionality. Subsequently, however, we explore the semantic and distributional differences (where these occur) between *wesan* and *beon* and consider their implications for the passive.

1.2 THE TERMS ‘PASSIVE’ AND ‘PASSIVE PARTICIPLE’

We make a distinction, not always explicit in previous treatments, between the function and form of the OE passive. Functionally, the passive typically makes the patient the grammatical subject of a predicate, in contrast to the active, which makes the agent the grammatical subject. Formally, the passive is given by a combination of one of two copular verbs, *weorðan* or *wesan/beon*, and the passive participle of a verb. By calling this combination a ‘passive’ or a ‘passive construction’ we do not imply anything about whether that combination is grammaticalized or not (see section 2.2 below).

The most appropriate nomenclature for the participle found in OE passive constructions has been the subject of dispute (see Mitchell 1985, I, 12). These forms are often described as ‘past participles’; however, they can be used to denote ongoing states contemporaneous with the action of the main verb (see (9) below). They have also been described as ‘passive participles’; however, they can also occur with active intransitive meaning, as in the case of forms such as

druncen and in perfect constructions. Mitchell's own solution was to adopt the semantically neutral term 'second participle'.² In the present work we have chosen to use the more familiar, informative term 'passive participle' to make it clear that the active intransitive use of such participles is excluded.

The combination of these participles with *wesan/beon* can express perfect meaning as well as passive meaning. Many of these perfect constructions are easily identifiable because the verb involved is intransitive (e.g. *feallan* 'fall'). However, there are a certain number of verbs, such as *wanian* 'wane, diminish', which can be either transitive or intransitive, and for which the construction with *wesan/beon* and the participle can be interpreted either as the passive of a transitive verb or the perfect of an intransitive verb. It has been questioned whether these perfect constructions were actually grammaticalized to the same extent as perfects with *habban* 'have' (e.g. Mitchell 1985, I, 303–4; McFadden & Alexiadou 2010). Our position is that, where there is ambiguity, the perfect reading and the passive reading are not two separate, semantically distinct constructions that happen to be formally identical, but rather two different interpretations of a single construction; the temporal anteriority seen in the perfect reading would thus simply be a contextual interpretation of the resultative meaning expressed by a transitional participle (for the semantic model involved see section 3).³ Accordingly, where the context makes it clear that such constructions are meant as intransitive, they have been excluded from the data, but where a transitive reading is tenable they have been included.

2. PREVIOUS TREATMENTS OF THE OE PASSIVE

2.1 THE FUNCTIONAL DISTRIBUTION OF THE DIFFERENT COPULAS

It is widely recognized that *weorðan* and *wesan/beon* are sometimes used in near-identical contexts in OE, such as in the following pairs:

² This is in line with the use of the modern German term 'Partizip II' both for the passive participle (typically of transitive verbs), as in *die Stadt war/wurde zerstört* and for the past participle of active intransitive verbs, e.g. *sie ist gekommen*.

³ Such a stance is equally compatible with an analysis in which the participle involved is seen as unspecified for voice and one in which the intransitive verbs involved are categorized as 'unaccusative' verbs with non-agentive subjects and therefore seen as inherently passive-like (see Perlmutter 1978).

- (1) a. On *ðæm* gefeohte **wæs** Cartainiensa VII M **ofslagen**,
 on the fight was Carthaginians' seven thousand slain,
 & VX M **gefangen**
 and fifteen thousand taken
 'In the battle seven thousand Carthaginians were slain, and fifteen thousand captured.'
 (*Or IV*, 6.4.92)
- b. *Þær* **wearð** Romana XXX M **ofslagen**,
 there became Romans' thirty thousand slain,
 & Regulus **gefangen**
 and Regulus taken
 'There thirty thousand Romans were slain, and Regulus captured.'
 (*Or IV*, 6.94.17)
- (2) a. *Þær* **wæron** **gehælede** þurh *ða* halgan femnan fela adlige menn
 there were healed through the holy maiden manyill men
 'There many ailing men were healed by the holy maiden.'
 (*ÆLS* 20.113)
- b. *Þær* **wurdon** **gehælede** æt *ðære* halgan byrgene eahta untrume menn
 there became healed at the holy tomb eight unsound men
 'There at the holy tomb eight men in poor health were healed.'
 (*ÆLS* 21.132)

These pairs, cited by Mitchell (1985, I, 332) and Denison (1993: 418), respectively, appear to show that the two copular verbs are interchangeable when used to denote events.⁴ Mitchell goes even further and argues (*ibid.* 331–2) that the two copulas can also both be used to form passive constructions denoting states. In support, he cites constructions with *weorðan* which, he argues, have stative meaning (as constructions with *wesan/beon* uncontroversially do). Mitchell's strongest examples of 'stative' *weorðan* passives are as follows:

⁴ See also Petré (2010: 457–8) and Mailhammer & Smirnova (2013: 47).

- (2) *þæt cwearternwearð afylled mid fulum adelan*
 the prison became filled with foul addle
and butan ælcum leohte atelice stincende
 and but each light horribly stinking

‘The prison was filled with foul mud, stinking horribly without any light’

(*ÆLS* 35.244)

- (3) *and hi wurdon ða utan ymbsette mid Romaniscum here swa lange*
 and they became then outside beset with Roman host so long
þæt ðær fela ðusenda mid hungre wurdon acwealde
 that their many thousands with hunger became killed

‘And they were then besieged outside by the Roman army so long that many thousands of them were starved to death.’

(*ÆCHom* I, 402.3)

However, it is possible to read both of these constructions as eventive: in the first the filling of the prison with foul mud is as easily seen as an event as a state; in the second, ‘*wurdon...ymbsette*’ can refer to the event of ‘becoming besieged’ and ‘*swa lange*’ to the following period; see the discussion by Denison (*ibid.*).⁵ In his analysis of two translations from Latin, *Bede* and *CP*, Kilpiö (1989: 67, 85) shows that, in both tenses, *weorðan* + passive participle is used only for ‘actional’ passives and not at all for stative passives. The functional distribution between copulas appears therefore to be that either copula may be used to form eventive passives, but only *wesan/beon* is used to form stative passives; the challenge is to account for this distribution. For a summary of the literature, see Petré (2014), chapter 2.

⁵ Similar issues of interpretation exist with non-passive constructions as well, as is shown by an example such as *Or* I 5.23.19 (*mid Egyptum wearð syfan gear se ungemetlica eorðwela, & hi æfter ðæm wæron on þan mæstan hungre oðre syfan gear.*). This example is interpreted by Mitchell (1985, I, 331) as an instance of stative *weorðan*, but it can also be interpreted in an eventive sense (‘Among the Egyptians there occurred immense prosperity for seven years, and after that they were in the greatest hunger for another seven years.’). As the translation suggests, in such contexts the eventive/stative distinction can be partly neutralised.

In other early Germanic languages the use of both ‘be’ and ‘become’ in passive constructions which denote events has been well documented. For the Gothic Bible (the main extant Gothic work), Schröder (1957) argues that only *wairþan* is properly used to denote events and that instances of *wisan* translating Greek eventive passives must represent either an improvement on the Greek (e.g. 1957: 93) or poor translation practice (1957: 14, 61). Bammesberger (1979: 97) and Jones (2009: 219-26), following Behaghel (1924: 207), acknowledge that *wairþan* and *wisan* are sometimes used to translate identical or near-identical eventive Greek passives, and that in such cases the two copulas appear to be interchangeable. For Old Norse, Faarlund (2004: 211–15) and Barnes (2008: 251-2) point out that, while *verða* (the cognate of *weorðan*) is used only for ‘dynamic’ passives, *vera* (the cognate of *wesan*) can be either ‘dynamic or static’. For OHG the text which has received the most attention in this regard is the OHG *Tatian*, a (sometimes very close) translation from Latin. Here the pattern is similar to that in Gothic and Old Norse, in that stative Latin passives are translated only with *wesan*, but eventive Latin passives are translated by both *werdan* and *wesan*; as in Gothic, sometimes identical eventive Latin passives are translated by *werdan* and *wesan* in different parts of the work. Schröder (1955) adopts a similar approach to the OHG evidence to that which he applies to Gothic, attributing the use of *wesan* for eventive passives in the OHG *Tatian* to uncertainty on behalf of the translators (1955: 59). More recently, Eroms (1990), Schrodtt (2004), and Jones (2009) (in line with work by Lussky (1924) and Zieglschmid (1929)) acknowledge that passives with *wesan* can be genuinely eventive, and they attempt to account for the distribution between *werdan* and *wesan* in passive constructions in terms of Aktionsart and/or aspect (for definitions of these terms, see sections 5.2–5.3).⁶

Recent work relating to the OE passive has addressed two broad topics. The first is the loss of *weorðan* from the lexicon (in passive constructions and elsewhere) between OE and ME; for example, Petré (2010) argues that there was a move between OE and ME from ‘bounded’ to ‘unbounded’ (usually progressive) sentences, and that *weorðan* was particularly associated with bounded sentences. The second recent topic is the question of the status of *weorðan* and *wesan/beon* + passive participle constructions; thus Mailhammer and Smirnova (2013) explore whether such constructions in OE (and OHG) are compositional (i.e. whether the meaning of the

⁶ Some treatments of the OHG passive do not engage closely with the OHG *Tatian* and therefore do not explore the use of *wesan* for eventive passives in great detail; see Rupp (1956), Oubouzar (1974), and Valentin (1987). For a wider discussion of the role of Aktionsart and aspect in the development of the German verb, see Leiss (1992).

whole construction can be derived from the meaning of its component parts) or grammaticalized (i.e. non-compositional). As a basis for future research, their paper sets out an aspect-based compositional model on which to test the OE evidence. The concept of grammaticalization plays a role in research on both these topics; as a preliminary to further discussion, we clarify in the next section what grammaticalization would involve in the context of passive constructions.

2.2 GRAMMATICALIZATION AND CONSTRUCTIONALIZATION

The term ‘grammaticalization’ relates to the way in which words lose lexical meaning and gain grammatical meaning. The term has been used for a variety of different processes (not necessarily operating together), of which the following four are widely accepted (see Heine (2003): (i) semantic bleaching, i.e. the loss of lexical meaning; (ii) extension, i.e. the use of the word in new contexts; (iii) decategorialization, i.e. the loss of morphosyntactic properties, including a loss of status as an autonomous word; and (iv) phonological erosion.⁷ More recent work on grammaticalization has stressed the importance, often implicit in earlier work, of analysing entire constructions rather than just single words, and argues that some processes of grammaticalization can be understood only by reference to co-text and context (see Bergs and Diewald 2008). In the present work, the term ‘grammaticalization’ is reserved for processes involving semantic bleaching whereby the meaning of the construction is not deducible compositionally from the meaning of the two parts. Such semantic bleaching is not a necessary element of all forms of grammaticalization, and may be absent from processes such as affixation (e.g. Hopper & Traugott 2003, 7–10); however, it will be seen below that many of the other criteria proposed for evaluating the grammaticalization of passive constructions are problematic. Grammaticalization is one of the processes for which we will be testing in our empirical analysis. The development of the *werden*-passive in German will illustrate the process. The copula *werden*, which has had the meaning ‘become’ throughout the history of the language, came to be used from late OHG onwards in passive constructions even where no sense of transition was

⁷ The precise status of grammaticalization is disputed. A number of linguists, while recognizing that it is a useful description for tendencies in linguistic change, believe that grammaticalization is an epiphenomenon of more basic processes, notably semantic change and reanalysis (see Campbell (2001)).

present, (while the transitional meaning of *werden* remained outside such constructions).⁸ To this extent *werden* was semantically bleached within passive constructions, and the combination of *werden* + passive participle was not compositional.

For processes of linguistic specialization that do not meet our criterion for grammaticalization, non-compositionality, we use the term ‘constructionalization’. In passive constructions it is possible that, even if either copula (‘to be’ or ‘to become’) could be combined with a passive participle to give the same meaning compositionally (i.e. without grammaticalization), language users might choose to use only one copula rather than the other to convey that meaning. This would be an example of constructionalization. Again we can draw on the history of German to illustrate this process. The copula *sein*, which has meant ‘to be’ throughout the history of German, was once used to produce passive constructions with a stative sense as well as those with an eventive sense. However, since late OHG the use of *sein* in passive has been reserved for stative passives. In this case the development does not appear to have involved semantic bleaching; instead, the expression of stative passives in German became more systematic and as a result a more rigid association developed between passives with *sein* (which only have a stative sense in modern German) and those with *werden* (which only have an eventive sense); see Jones (2009) for details.⁹ In using the term ‘constructionalization’ we are not analysing this type of change within the framework of Construction Grammar. Rather, in this process we see form–meaning pairs whose systematic use is not derived solely from their component elements (for a review of approaches to such phenomena see Traugott & Trousdale 2013). In the case of German *sein* + past participle constructions, the nature of the state described is denoted compositionally by the semantic components of the copula and the participle, but because learners encounter these constructions exclusively in stative contexts, they do not extend them to eventive contexts that might nevertheless be compatible with the meaning of the components. Constructionalization involves a lesser degree of linguistic change than grammaticalization, and is a more parsimonious default hypothesis in the absence of conclusive evidence to distinguish the two types of phenomenon.

⁸ Lehmann (1995: 32): ‘With increasing grammaticalization [...] the auxiliary loses its inchoative meaning and becomes a mere carrier of finite verbal categories.’ For more details, see Jones (2009).

⁹ The specialization of the function of *sein* in the modern German passive may be classed as an example of ‘obligatorification’, a concomitant of grammaticalization whereby optional forms tend to become obligatory (see Lehmann (1995)).

Many of the criteria for grammaticalization suggested by previous research on Old English passives are not entirely conclusive. For example, it has been suggested (e.g. Denison 1993: 423; Petré & Cuyckens 2009: 352) that the appearance of passives with a telic, eventive sense, especially as indicated by the presence of temporal adverbials, may indicate grammaticalization. However, such eventive interpretations occur even in adjectival participial constructions, and are therefore not diagnostic of the grammaticalization of the copular construction itself. The following example shows that a passive participle used adjectivally could be modified by a temporal adverb to produce a construction with a telic eventive meaning:

- (4) *oft gedonre yþunge se stream [...] togoten wæs*
 often done surges the stream spilled was
 ‘With frequent surges the stream was poured out.’
 (GD(C) III 9.192.14)

It has also been suggested that a criterion for grammaticalization is the avoidance of coordination between passive participles and adjectives in copular constructions; Petré (2014: 122) asserts that such coordination is found only with *weorðan* and never with *wesan*, and suggests that this indicates a greater degree of grammaticalization in the case of *wesan*. However, such an assertion is difficult to reconcile with examples such as the following:

- (5) *seo wæs ða [...] grene & fæger & mid [...] blostmum [...] afed & gegyred*
 it was then green and fair and with blossoms fed and readied
 ‘It was then green and fair and nourished and bedecked with flowers.’
 (Bede I 7.38.24)

Given the extreme infrequency of such coordinated constructions with any copula (see Petré 2014), it is possible that any an apparent disparity between copulas might be an artefact of corpus structure.

The presence or absence of agreement between subject and participle has also been suggested as an indicator of grammaticalization. The underlying premise is that constructions with inflected participles, which formally resemble adjectives, share the generally non-eventive

semantics of adjectival constructions, while eventive passives, being less similar semantically to adjectival constructions, tend to lose their inflections as they became grammaticalized. A review of earlier literature is provided by Mitchell (1985, I, 313–4); this question has also been raised in more recent work (e.g. Petré 2014: 118–9). However, the diagnostic value of participle agreement is open to question. As Mitchell observes (*ibid.*), it is difficult to find any correlation between participle agreement and eventive semantics, as can be seen from the following pairs:

- (6) a. *hie wurdon begen ætsemne ofslagen*
 they became both together slain
 ‘They were both slain together.’
 (*Or* VI 22.144)
- b. *Her twægen aldormen wurdon ofslagene*
 here two aldermen became slain
 ‘In this year two aldermen were slain.’
 (*ChronE* 822.1)
- (7) a. *heo begen wæron [...] wel gelæred*
 they both were well taught
ge on godcundum gewreotum ge in weoruldcundum
 and on godly writs and in worldly
 ‘They were both well educated, both in godly and in worldly writings.’
 (*Bede* IV 2.258.11)
- b. *heora discipulas wæron wel gelærde*
 their disciples were well taught
ge in Grecisc gereorde ge in Lædenisc
 and in Greek speech and in Latin
 ‘Their disciples were well educated both in Greek and in Latin.’
 (*Bede* IV 2.258.16)

As these examples show, inflected and uninflected participles could occur in very similar constructions, both in eventive and in stative uses. Moreover, participial inflection is found in the passives of many modern Romance languages, even where eventive meaning is present, and

this is not necessarily viewed as an indicator of ungrammaticalized status. The existing evidence for Old English may not seem to justify adopting a different stance in the present case.

Another criterion often mentioned is the appearance of overtly expressed agents in passive constructions (e.g. Denison 1993: 423, Petré 2014: 119). However, overt agents could also appear in other types of participial constructions:

- (8) *Þa aras se bæddryda unbunden fram Petre*
 then arose the bedrid unbound from Peter
 ‘Then the invalid arose, unbound by Peter.’
 (*ÆLS* 9.45)

The existence of such overt agents even in non-copular constructions with a stative, resultative meaning calls into question the association sometimes proposed between such agents and telic transitivity (e.g. Petré & Cuyckens 2009). It has long been observed that Old English expressed agency using a wide variety of prepositions whose exact shade of meaning may be difficult to determine (e.g. Mitchell 1985: I, 334–48), giving rise to the suggestion that the expression of agency in Old English was not fully grammaticalized (e.g. Kilpiö 1989: 166). Nevertheless, within the framework of the present study the increasingly regular expression of agency in passives in the development of English might better be regarded as constructionalization rather than grammaticalization. Even in Modern English, unless a strictly transformational analysis of passives is adopted (e.g. Lightfoot 1979), there is no need to suppose that agent phrases with *by* are more closely connected to the verbal component of a passive than any other adverbial adjunct; if passive constructions do not express the agent of an event, and if the preposition *by* can express agency independently of a verb (e.g. *a play by Shakespeare*), the semantic fit between the two elements would in itself ensure their frequent collocation. According to such an analysis, the greater systematicity of agent phrases in Modern English passives would be the result of a superficial change not directly related to any changes in the copular constructions themselves. The difficulty of using visible changes of this sort as unambiguous indicators of morphosyntactic processes suggests that the existing evidence is not sufficient to establish conclusively the existence of grammaticalization in OE passive constructions.

3. THE SEMANTIC MODEL

3.1 DEFINITION OF TERMS USED IN THE SEMANTIC MODEL

Our semantic model is built around two types of situation: non-transitional and transitional.¹⁰ OE copular verbs and passive participles can be analysed in terms of such situations, as can the combinations between them.

A verb (or verb phrase) is non-transitional if it does not denote a situation that involves a transition from one discrete state of affairs to another. For example, the verbs ‘to contain’, ‘to wait’, ‘to carry’, ‘to walk’ are non-transitional. Non-transitional verbs may denote either states, e.g. ‘to contain’, ‘to wait’, or events, e.g. ‘to carry’, ‘to walk’.¹¹

A verb (or verb phrase) is transitional if it denotes a situation that involves a transition from one situation to another. For example, the verbs ‘to open’, ‘to break’, ‘to darken’, ‘to multiply’ are transitional. Transitional verbs may denote either telic events (i.e. necessarily containing an end-point), as in ‘to open’, ‘to break’, or atelic events (i.e. not necessarily containing an end-point), e.g. ‘to darken’, ‘to multiply’.¹²

A non-transitional verb can be made transitional by the addition of an adverbial or prepositional phrase. For example, ‘to carry’ and ‘to walk’ on their own are non-transitional, but ‘to carry (something) to (somewhere)’ and ‘to walk to (somewhere)’ are transitional. In our

¹⁰ The semantic model proposed here for OE is similar to that set out in Jones (2009) for OHG.

¹¹ On the difference between states and events we follow Comrie (1976: 48), for whom events require ‘dynamism’.

¹² Our non-transitional situations combine the categories of States and Processes as found in Pustejovsky (1991) and our transitions correspond to Pustejovsky’s Transitions category. For a similar separation, this time between ‘terminative’ and ‘non-terminative’ verbs, see Nedjalkov and Jaxontov (1988). As our examples illustrate, the situation out of which the transition takes place is the complement (i.e. absence) of the situation into which the transition takes place. For example, ‘to redden’ denotes a transition from less red to redder rather than from some other specific quality to red.

model we consider the whole predicate, including any adverbial and prepositional phrases, when deciding whether it is transitional or non-transitional.¹³

In the table below we show how the verbs which we have just cited as ‘transitional’ or ‘non-transitional’ relate to the commonly used semantic categories of ‘stative’, ‘atelic eventive’, and ‘telic eventive’.

	Non-transitional	Transitional
Stative	contain, wait	—
Atelic Eventive	carry, walk	darken, multiply
Telic Eventive	—	open, break

TABLE 1. Situation types and verb types.

As the table makes clear, atelic eventive verbs (sometimes called ‘process’ or ‘activity’ verbs) may be either non-transitional or transitional.¹⁴

3.2 THE MEANING OF *WEORDAN*, *WESAN/BEON*, AND THE PASSIVE PARTICIPLE

Our semantic model of the combination of *weorðan* or *wesan/beon* and a passive participle is intended to establish whether the meaning of the construction is compositional; that is, whether its meaning can be deduced from the composition of the meaning of its constituent parts. To do this, we start by defining the meaning of the copulas and passive participles in Old English when they are not used together.

We are interested in the lexical meaning of *weorðan* or *wesan/beon*, that is, the meaning which remains constant whatever the grammatical category (person, number, tense, mood) in which the verb is used. In general terms, *weorðan* predicates a transition and corresponds to PDE (Present-Day English) ‘to become’. Apart from its subject complement, it is formed with a

¹³ On the interplay between sentence constituents in the determination of Aktionsart categories, see Verkuyl (1972) and Dowty (1972).

¹⁴ A widely used classification in the literature is that of Vendler (1967), who subdivides eventive telic verbs into ‘accomplishment’ and ‘achievement’ verbs. Accomplishment verbs denote telic situations in which there is a culmination up to and including a transition point (e.g. ‘to open’), whereas achievement verbs denote only the transition point (e.g. ‘to break’). For a summary of later classifications, see Verkuyl (1993).

predicative complement which may be a phrase headed by an adjective, noun, present participle, or a prepositional/adverbial phrase.¹⁵ The transition is typically into a state (e.g. *he wearð yrre* ‘he became angry’), but can also be into a non-transitional event, e.g. *he wearð cweþende* (≈ ‘he began to speak’).¹⁶ We have not been able to find examples in which *weorðan* predicates a transition into a situation which must itself be read as transitional, such as **he wearð onginnende* (≈ ‘he came to begin’).¹⁷

The verb *wesan/beon* predicates existence, and corresponds to PDE ‘to be’. As well as a subject complement, it is formed with a predicative complement which defines the situation in which the subject exists or the quality of the subject which is asserted. *Wesan/beon* can take a state as its predicative complement (e.g. *wesan cwicu* ‘be alive’) but it can also take an event, which may be transitional or non-transitional, e.g. *hio is cennende þa fulcuþan wildeora* ‘she is begetting the familiar beasts’ (*Alex* 3.5);¹⁸ *hwilum wæs on horse sittende, ac oftor on his fotum gangende* ‘sometimes he would ride on horseback, but more often would walk on foot’ (*Bede* IV 28.362.17).

The lexical meanings of *weorðan* and *wesan/beon* can thus be summarized in terms of Aktionsart categories: *weorðan* predicates the transition into a non-transitional situation and *wesan/beon* predicates the existence of any situation, transitional or non-transitional.

As for passive participles, their lexical meaning depends on that of the verbs from which they are derived. However, like *weorðan* and *wesan/beon* they can be analysed in broad Aktionsart categories based on transitions and non-transitional situations. For the meaning of passive participles outside constructions with *weorðan* or *wesan/beon* we rely on their use as attributes. We start by making a distinction between passive participles which come from non-

¹⁵ See Bosworth-Toller for examples.

¹⁶ See Visser 1973: 1918.

¹⁷ In early OE, there is a clear (but not absolute) semantic contrast between *weorðan* and *geweorðan*, which means ‘come into being, happen’, as in this example: *he [...] sæde ðætte sua gewearð* ‘he said what had happened thus’ (*CP* 43.311). In late Old English this distinction becomes increasingly blurred, and forms with and without the prefix are frequently used in both senses.

¹⁸ The *wesan* + present participle construction may mark imperfective or habitual aspect, in contrast to unmarked simplex forms.

transitional verbs and those which come from transitional verbs. Those that come from non-transitional verbs are themselves always non-transitional, as in the following examples;

PASSIVE PARTICIPLES FROM NON-TRANSITIONAL VERB

- (9) *Hie [...] on wuldre geweorþode rixiaþ*
 they in glory honoured reign

‘They reign, honoured in glory’

(*BlHom* 15.171.26)

- (10) *hi becomon to sumum ænlicum felda fægre geblowen*
 they arrived to some singular field fairly blown

‘Blown fairly, they came to a singular field.’

(*ÆLS* 21.351)

These examples show, respectively, that the non-transitional passive participle can be either stative or eventive.

By contrast, passive participles from transitional verbs may be read as transitional or non-transitional (the latter corresponding to the category ‘resultative’). Here are examples with the passive participle of *don*:

PASSIVE PARTICIPLES FROM TRANSITIONAL VERB

- (11) Transitional passive participle:

oft gedonre ypunge se stream [...] gewunode,
 often done surgings the stream dwelt

þæt he togoten wæs geond his æceras
 that he spilled was beyond his acres

‘With frequent surges the stream was accustomed to be poured out beyond his fields.’

(*GD(C)* III 9.192.14, repeated from 4 above)

- (12) Non-transitional (i.e. resultative) passive participle

hit [...]ða gedonan synna aweg aðwiehð

it the done sins away washes

‘It washes away the committed sins’

(CP 36.257.19)

These examples suggest that the passive participle of transitional verbs represents a complex event structure, which includes (i) a transition, as in 11, and (ii) a non-transitional situation, as in 12; this is illustrated in the figure below.

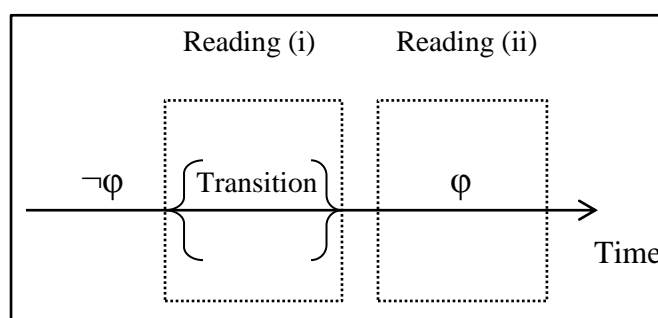


FIGURE 1. The event structure of passive participles of transitional verbs

Reading (i) corresponds to example (11) above, in which the complex event structure is evaluated during the transition into ϕ . Reading (ii) corresponds to example (12) above, in which the complex event structure is evaluated during ϕ . Even though each reading evaluates a different phase of the complex event, it does not detach that phase from the rest of the event structure. Thus, under reading (i) it is understood that the transition is into situation ϕ , and under reading (ii) it is understood that situation ϕ arose from a transition. In other words, ϕ is a resultant state and not merely a state.¹⁹

3.3 THE INTERACTION BETWEEN *WEORDAN* OR *WESAN/BEON* AND PASSIVE PARTICIPLE

¹⁹ For a similar analysis of the Old High German passive participle, see Abraham (1991). For a detailed treatment of the difference between various types of resultatives (active and passive) and between ‘resultatives proper’ and statives, see Nedjalkov (2001).

In this section we set out a model for the interaction between copular verb and passive participle in OE passive constructions in which each component brings into passive constructions the same semantics and combinatorial constraints as it has outside the passive.

Based on our analysis above of the use of *weorðan* outside passive constructions, we posit that it demands a predicative complement which denotes a non-transitional situation, and that the combination refers to a transition into that situation. When that predicative complement is a passive participle from a non-transitional verb, the interaction is straightforward. For example, *wearð cuð* means ‘became/began to be known’, and *wearð geblowen* means ‘became/began to be blown’; these two examples illustrate that the non-transitional passive participle may be stative or eventive.

When *weorðan* combines with the passive participle of a transitional verb, the analysis is less straightforward because, as we show in section 3.2, such passive participles have two possible readings. However, since (according to this model) *weorðan* demands a non-transitional predicative complement, only reading (ii) is applicable. The combination therefore predicates the transition into a resultant state (symbolized by ϕ in Figure 1). For example, *wearð gedon* means ‘became/got done’. Based on our analysis of usage outside passive constructions, we posit that *wesan/beon* is free to combine with both non-transitional and transitional predicative complements, and predicates the existence of the subject in whatever situation is denoted by that complement. When *wesan/beon* combines with the passive participle of a non-transitional verb, it merely predicates that the subject is in that non-transitional situation; thus *wæs cuð* means ‘was known’, and *wæs geblowen* means ‘was blown’ (notice again that the non-transitional passive participles may be stative or eventive). When *wesan/beon* combines with the passive participle of a transitional verb, either of the readings in Figure 1 is applicable. Accordingly, unless disambiguated by the context, *wæs gedon* can mean ‘became/got done’ (reading (i)) or ‘was done’ (i.e. ‘was already done’ — reading (ii)).

We set out in Panel A of Table 2 the relationship between the Aktionsart of the lexical verb from which the passive participle is formed, the Aktionsart of the passive participle itself, and the Aktionsart of the combination of copular verb + passive participle. The first two columns summarize our analysis of passive participles in the preceding section, namely that the passive participles of non-transitional (‘NT’) verbs are themselves always non-transitional, but the passive participles of transitional (‘T’) verbs may be either transitional or non-transitional. In the

third column we allow for the passive constructions to be NT or T, whatever the Aktionsart of the lexical verb from which the passive participle is formed. The column ‘shorthand notation’ summarizes these combinations in a single term; for example, ‘NT^T’ tells us that the Aktionsart of the combination is non-transitional and that the passive participle comes from a verb with transitional Aktionsart. In Panel B we repeat the shorthand notation and show which copular verb(s) would, under our model, be expected to realize a passive construction which falls into that Aktionsart category. For three of the Aktionsart categories we expect passive constructions to be formed with one copular verb but not the other, but we expect passives in the category T^T to be formed with either copula.

Panel A			
Aktionsart of...			
underlying lexical verb	pass. part. of lexical verb	combination of copula + pass. part. of	Shorthand notation
NT (e.g. <i>cunnan</i>)	NT (e.g. <i>cuð</i>)	NT	NT ^{NT}
NT (e.g. <i>cunnan</i>)	NT (e.g. <i>cuð</i>)	T	T ^{NT}
T (e.g. <i>don</i>)	T or NT (e.g. <i>gedon</i>)	NT	NT ^T
T (e.g. <i>don</i>)	T or NT (e.g. <i>gedon</i>)	T	T ^T

Panel B		
Shorthand notation	Expected copular verb	Examples
NT ^{NT}	<i>wesan/beon</i>	<i>wæs cuð</i>
T ^{NT}	<i>weorðan</i>	<i>wearð cuð</i>
NT ^T	<i>wesan/beon</i>	<i>wæs gedon</i>
T ^T	either	<i>wæs/wearð gedon</i>

‘T’ = transitional; ‘NT’ = non-transitional; NT^T etc = Aktionsart of the combination is non-transitional and passive participle comes from underlying verb with transitional Aktionsart, etc.

TABLE 2. Combinations between copula verb and passive participle.

We now explain these interactions in more detail.

- If NT^{NT} expect *wesan/beon*. If the Aktionsart of the combination is non-transitional and the passive participle comes from a non-transitional verb such as *cunnan* or *blawan*, we expect the copula to be *wesan/beon* (if the copula were *weorðan* this would force a transitional meaning).

- If T^{NT} expect *weorðan*. If the Aktionsart of the combination is transitional and the passive participle comes from a non-transitional verb such as *cunnan* or *blawan*, we expect the copula to be *weorðan*. We call this category ‘ingressive’ (if the copula were *wesan/beon* both parts of the combination would be non-transitional).
- If NT^T expect *wesan/beon*. If the Aktionsart of the combination is non-transitional and the passive participle comes from a transitional verb such as *don*, we expect the copula to be *wesan/beon*. We call this category ‘resultative’ (if the copula were *weorðan* this would force a transitional meaning).
- If T^T expect either copula. If the Aktionsart of the combination is transitional and the passive participle comes from a transitional verb such as *don*, we expect either copula. Because these constructions denote transitional events, it is possible to use either *wesan*, with transitionality expressed by the participle, or *weorðan*, with transitionality expressed by the copula.

4. METHODOLOGY

The texts analysed were all drawn from the *York-Toronto-Helsinki Parsed Corpus of Old English Prose* (Taylor et al. 2003). Preference was given to texts translated from Latin, so that we could use the original to help resolve ambiguities in the Old English texts; this criterion would rule out most of the surviving Old English poetic corpus, and so the present study has concentrated upon prose texts. We cite individual texts by the customary short titles (see Mitchell et al. 1975). References within the texts are given in a format based on that of the Corpus; the line numbers given in the Corpus generally refer to the beginning of the paragraph, which may not correspond exactly to the passage being cited.

Owing to the amount of manual analysis required, only a subset of the Corpus was analysed; it will be seen that this was still sufficient to provide significant results. A starting point for the sampling methods was the corpus used in Macleod (2014), a methodologically similar study; however, alterations have been made to this schema where appropriate, as we

explain below. Texts were chosen to represent a mix of different time periods and stylistic diversity. One of the earlier texts included is the *Cura Pastoralis* (*CP*), which dates from the late 9th century (e.g. Sweet 1871). For this text the same sample as in Macleod (2014) was used, comprising the preface and Chapters 1–5, 21, 32–6, and 50–4. The Latin text used for comparison was taken from the edition of Judic & Rommel (1992). A text that presented additional complications in terms of sampling was Bede’s *History* (*Bede*), which also dates from the late 9th century (see Whitelock 1962: 57–9). The constructions with *weorðan* are unusually infrequent in this text, a phenomenon that will be examined below, and as a result the sample from Macleod (2014) was extended to include the full text of all sections containing passives with *weorðan*; this comprises the preface, Chapter 7 of Book 1, Chapters 3–10 and 16 of Book 2, Chapter 20 of Book 3, Chapters 1–3, 17, 23 and 32 of Book 4, and Chapters 12–13 of Book 5. For this text, the Latin version was taken from the edition of Plummer (1896). Another early text included is the history of Orosius (*Or*), dating from the late 9th or early 10th century (see Bately 1980: lxxxvi–xciii). In this case a different sampling method was used, to ensure a larger and more even sample; every fifth chapter was taken in its entirety, starting from Book 1, Chapter 2, in order to avoid the interpolated material in the first chapter. For the Latin text the edition of Sweet (1883) was used; this was designed for comparison with the Old English translation, which is relatively free, and omits the many passages which lack any counterpart in the Old English text. In some passages the Latin text presents certain difficulties of interpretation, and when in doubt we followed the reading of Fear (2010). The *Dialogues* of Gregory the Great (*GD*) were included in both versions: MS C, containing the original version from the end of the 9th century, and MS H, a partial revision made at least a century later (see Yerkes 1986); for each manuscript Book I, the only book to be preserved fully in both of them, was analysed in its entirety.

Other texts from a later period that were analysed include Ælfric’s translation of the Old Testament, which was composed around the end of the 10th century. The existing text is thought to be a conflation of work by Ælfric and another translator, and the sample used here was restricted to the passages for which Ælfric’s authorship seems most certain, namely Genesis 1:1–3:24, 6:1–9:29, 12:1–14:20, and 22:1–22:19; Numbers 13:1–14:45, 16:1–17:11, and 18:1–21:18; and Joshua 2:1–7:26 (see Clemons 1966). The Latin text used for comparison was that of the Vulgate; although modern editions of the Vulgate may not correspond exactly to the texts in use

in Anglo-Saxon England, none of the known textual differences would affect the passages at issue here (see Marsden 1995: 395–419). Another text from the late 10th century, which was not included in the study by Macleod (2014), is Æthelwold’s translation of the Benedictine Rule (see Gretsche 1992). Of this work the first 20 chapters were analysed; due to the structurally repetitive nature of the text this was found sufficient to provide a representative sample. The Latin text for comparison was taken from the edition of Schmitz (2009). In order to make sure that later periods of Old English were adequately represented, two texts without Latin equivalents were also included, Wulfstan’s *Homilies* and MS E, the Peterborough manuscript, of the Anglo-Saxon Chronicle. Wulfstan’s *Homilies* (*WHom*), which were composed in the late 10th or early 11th century (see Bethurum 1957), are sometimes accompanied by Latin material, ranging from collections of Biblical and patristic quotations incorporated into the vernacular homily to a separate Latin homily on a similar theme; however, there is too little similarity between the Latin material and the Old English text for meaningful comparison. All the Old English homilies were analysed in their entirety. The sample used of the Chronicle (*ChronE*) includes the annals from 966–1121, representing the Old English portion of the text unique to this manuscript (for a discussion of the composition of the text, see Irvine 2004: xviii–xxiii); the text thus represents one of the few available sources of Old English data from the 11th and 12th centuries.

Automated syntactic queries were performed using the CorpusSearch software package (Randall 2010), supplemented by manual searches for specific strings. Once potential tokens of relevant verb forms were identified, they were examined in context in order to establish the most probable Aktionsart reading. Where ambiguity existed regarding the Aktionsart of the Old English construction, the original Latin construction could sometimes provide cues as to the intended meaning, and the Latin Aktionsart was also recorded; however, it will be seen that in some instances there were unambiguous differences between the two. The Aktionsart category of the construction and the choice of copula were the two main variables; as described above, the presence or absence of associations between Aktionsart and copula can test our hypotheses regarding the semantic properties of the two types of construction and their grammaticalization. In addition to these, other properties of the Old English and Latin constructions that might be potential variables in the choice of copula were also examined; these will be described in greater detail below. Latin passives which were not translated by an Old English passive form were also

noted, in order to determine whether the avoidance of the passive in Old English was systematic in ways which might throw light on the choice of copular verb.

5. RESULTS

5.1 THE COMPOSITIONAL MODEL

The associations between the copulas and the different types of situation predicted by the compositional model, which were set out in Table 2, are recapitulated below.

	weorðan	wesan
NT ^{NT}	No	Yes
T ^{NT}	Yes	No
NT ^T	No	Yes
T ^T	Yes	Yes

Table 3: Expected Distributions of Copulas

The Aktionsart categories T^{NT} and T^T (that is, transitionals) are the only ones in which *weorðan* is expected to occur, while *wesan* is expected to occur everywhere except for the Aktionsart category T^{NT} (that is, except for ingressives). The actual distributions of the two copulas are as follows:

	weorðan	wesan/beon
NT ^{NT}	0.00% (n=0)	100.00% (n=285)
T ^{NT}	71.43% (n=5)	28.57% (n=2)
NT ^T	0.00% (n=0)	100.00% (n=134)
T ^T	26.61 % (n=318)	73.39% (n=877)

Table 4: Actual Distributions of Copulas

The actual distribution seen in these results conforms almost exactly to that predicted by the compositional model. There is no evidence of any extension of *weorðan* to non-transitional constructions; instead, all of these use *wesan/beon*, whether they are NT^{NT} or NT^T, as in the two following examples, respectively:

- (13) *se halga man wæs fram eallum mannum gelufod*
 the holy man was from all men loved

‘The holy man was loved by all.’

(*GD(C)* I 2.21.7)

(14) *Ic eom gebiged æghwær and genyþerad.*

I am abased everywhere and lowered

‘I am everywhere abased and brought low.’

(*BenR* 7.31.16)

Similarly, as predicted, both copulas occur in transitional constructions:

(15) *Þær wearð ofslagen Eadnoð*

there became slain Ednoth

‘Then Ednoth was slain.’

(*ChronE* 1016.81)

(16) *on þisum geare wæs Eadric ealdormann ofslagen*

in this year was Edric alderman slain

‘In this year Alderman Edric was slain.’

(*ChronE* 1017.4)

The only way in which our results deviate from those predicted is in the distribution of ingressives, transitional constructions involving non-transitional verbs. The majority of these are indeed formed with *weorðan*, as in the following example:

(17) *þæt folc wearð ða aðryt [...] mid ðam siðfæte*

the folk became then wearied with the journey

‘The people then became wearied with the journey.’

(*Num* 21:4)

The ingressive sense of this example can be seen from the fact that it was used to translate a Latin construction with *coepi* ‘begin’ (*taedere coepit populum itineris*). The only unambiguous examples of ingressives formed with *wesan* involve the praesens subjunctive:²⁰

²⁰ We use Latin terms for some tense forms to distinguish them from time references, for which English terms such as ‘present’ and ‘future’; see ‘Tense and time reference’ below.

- (18) *sy þe þis cuþ*
 be thee this known
 ‘Let this be known to you.’
 (GD(C) I:9.65.25)

In these examples the jussive context provides a sufficient cue to prevent the construction from being seen as referring to an ongoing state, and there is thus no need to mark the ingressive meaning through the use of an inherently transitional copula.

The foregoing results suggest that the compositional model can account for much of the variation between *wesan* and *weorðan* in terms of the semantic contributions of the copula and participle and therefore that there is no evidence of grammaticalization. Moreover, since *wesan* is widely used in NT^{NT}, NT^T, and T^T constructions, there is no evidence of constructionalization either. However, even though both copulas are used for constructions of the T^T type (as the model predicts), the distributions of the two are not equal; in this category *wesan* predominates, a phenomenon that might provide preliminary support for the hypothesis that *weorðan* was the more marked form.

A number of additional variables were analysed in the present study to assess the strength of their association with a particular copula, which might indicate further semantic or syntactic differences between the two. These include the Aktionsart of the verb phrase and the tense and mood of the Old English verb, as well as the nature of the translation from Latin to English. While the data above have been used to establish the validity of the compositional model, these additional variables may provide data on the distribution of the copulas within the limits made possible by such compositional semantics.

5.2 FURTHER AKTIONSPORT ANALYSIS

In order to ensure that the results presented above do not derive from the use of relatively broad categories masking subtler distinctions, we also analysed the data using a more finely detailed model of Aktionsart. First of all, we divide the eventive category into telic eventives (which necessarily denote an endpoint) and atelic eventives (which do not). We also subdivide statives into two subcategories, namely ‘Kimian’ and ‘Davidsonian’ statives. Kimian statives denote

states which are accessible only to cognitive faculties and not to sensory perception, and they can be situated in time, but not in space; examples include ‘to know’ and ‘to own’. Davidsonian statives, by contrast, denote states which are accessible to both cognitive faculties and sensory perception and which can be situated in both time and space; examples include ‘to wait’ and ‘to sleep’ (see Rothmayr 2009 for further details and diagnostics to tell these categories apart).

A more detailed breakdown of the Aktionsart of verb phrases, using the categories defined above, can be seen below.

	weorðan	wesan/beon
Telic eventive (T ^T)	27.40% (n=311)	72.60% (n=824)
Atelic eventive (T ^T /NT ^{NT})	7.50% (n=6)	92.50% (n=74)
Kimian resultative (NT ^T)	0.00% (n=0)	100.00% (n=134)
Other Kimian stative (NT ^{NT})	0.00% (n=0)	100.00% (n=156)
Davidsonian stative (NT ^{NT})	0.00% (n=0)	100.00% (n=111)
Ingressive (T ^{NT})	71.43% (n=5)	28.57% (n=2)
Ambiguous	1.58% (n=6)	98.42% (n=374)

Table 5: Aktionsarten of Copular Constructions

As can be seen, *weorðan* is found not only in telic eventive constructions, but also in a small number of atelic constructions. These are all transitional constructions, such as the following:

- (19) *Men wurdon ða gemenigfylde ofer eorðan*
 men became then multiplied over earth
 ‘Men were then multiplied over the earth.’
 (*Gen* 6:1)

A verb such as *manigfealdan* ‘multiply’ denotes a change from one situation to another, even if no specific endpoint is implied. Unlike *weorðan*, *wesan* is also found in non-transitional atelic constructions:

- (20) *heo oft [...] from him monode wæron*
 they oft from him admonished were
 ‘They were often admonished by him.’
 (*Bede* II 5.112.19)

This is compatible with a semantic model in which *wesan*, unlike *weorðan*, does not necessarily entail a transitional reading. The data also show that many more ambiguous constructions are recorded with *wesan* than with *weorðan*; this is consistent with the semantics of *wesan* allowing

for a degree of ambiguity between eventive and stative readings which is not present with *weorðan*, and is in line with our compositional model as set out in section 3.2.

- (21) *Para monna wæs siex M, þa hie gegaderad wæron*
 the men's was six thousand, when they gathered were
 'There were six thousand of the men, when they were gathered.'
 (*Oros* IV 9.102.9)

A sentence such as the above is ambiguous between an eventive reading ('when he had gathered them') and a stative reading ('when they were together'). As the distribution of the finer Aktionsart categories used in this analysis tallies so closely with what was seen with the broader categories above, it would seem that the variation occurring within the bounds of the compositional model must be due to other factors than Aktionsart.

5.3 FURTHER ANALYSIS

ASPECT AND TRANSLATION PRACTICES

A detailed analysis of the ways in which different Latin tense forms are rendered into Old English has already been provided by Kilpiö (1989) for the texts included in his study. However, an examination of translation practices provides the opportunity to explore additional variables such as aspect. Aspect categories, in contrast to Aktionsart categories, correspond to the different ways in which speakers view the same situation in the world. The main aspectual categories which we use in this paper are 'perfective' and 'imperfective'. A speaker who uses a verb in the perfective aspect chooses to present a given situation as a complete whole, while one who uses the imperfective aspect sees the same situation as incomplete. The distinction corresponds broadly to the following pairs in PDE and Latin: *I closed the door/ianuam claudivi* (perfective) and *I was closing the door/ianuam claudiebam* (imperfective).²¹ Some accounts (e.g.

²¹ Note that perfective and imperfective forms can have different degrees of markedness. For example, the PDE simple past 'I closed the door' is, arguably, unmarked for aspect, and can be used interchangeably with the

Comrie 1976) subdivide the ‘imperfective’ category more finely. The only subdivision which we use in the empirical analysis is ‘iterative’, in which a situation is viewed as repeated, as in PDE *he kept closing the door*.²² Although we treat Aktionsart and aspect as separate categories, they can interact. In particular, the use of an otherwise telic eventive verb in the imperfect aspect can coerce that verb into being atelic. For example, ‘to close’ would, in most treatments of Aktionsart, be classed as telic eventive on the grounds that it includes an end-point as part of its lexical meaning, i.e. a complete closure is part of the verb’s truth conditions, but in *I was closing the door* the verb becomes atelic eventive, since complete closure is no longer part of the verb’s truth conditions.²³ When we assign a verb to an Aktionsart category, we do so based on its non-imperfective form; thus we define ‘to close’ as an eventive telic verb.

Old English lacked grammaticalized aspect (e.g. Mitchell 1985, I, 363–9), but as this category was present in Latin, Old English translators confronted with an aspectually marked Latin form would have been able to take this category into account in choosing a copula. Moreover, even when no translation is involved, the semantic properties denoted by aspect can often be inferred from context. The category of imperfective aspect is considered here to encompass the Latin imperfect and to most examples of the praesens and futurum, while the category of perfective aspect encompasses the perfectum stem as well as any other forms that clearly cannot be construed as referring to an ongoing, incomplete situation (for further discussion of the aspect of the Latin passive, see Jones 2009: 38–40). For the purposes of analysis we have adopted the division of imperfectives into iteratives, denoting multiple situations of the same kind, and continuous imperfectives, denoting only a single situation.

imperfective form in some contexts, e.g. *As I closed/was closing the door I shivered*. By contrast the PDE imperfective is marked for aspect, so that *I was closing the door* cannot denote the complete closing of the door. Thus *I closed the door, then turned round* guarantees that the closure of the door was complete, while *I was closing the door, then turned round* does not. For a discussion see Comrie (1976: 111–22).

²² For the possible existence of an iterative Aktionsart, rather than aspect, category, see Jones (2009: 7 fn).

²³ This interaction is often referred to as the ‘imperfective paradox’ (after Dowty 1977) on the grounds that the meaning of a telic verb is defined in terms of its end-point, but that end-point need not be achieved. On the interaction between Aktionsart and aspect, see Jones (2009: 8–11).

	weorðan	wesan/beon
Perfective	15.92% (n=143)	84.08% (n=755)
Imperfective (Continuous)	8.05% (n=40)	91.95% (n=457)
Imperfective (Iterative)	3.54% (n=4)	96.46% (n=109)

Table 6: Translation and Aspect

The table above shows only data from translated texts. It can be seen that *wesan/beon* is used significantly more often to translate imperfective verbs ($\chi^2(2)=27.10, p<.001$); however, the alignment of the copulas to these aspect categories is not so close as their alignment to the Aktionsart categories of the compositional model presented above. It should be noted that this table includes both transitional and non-transitional constructions; however, as seen above, *weorðan* is never found in non-transitional constructions, and so this is essentially a comparison of two types of construction involving *wesan/beon* against a single type of construction with *weorðan*. Such a comparison has the potential to conflate phenomena resulting from the operation of different variables. The table below shows the same data with the non-transitional examples removed:

	weorðan	wesan/beon
Perfective	22.22% (n=134)	77.78% (n=469)
Imperfective (Continuous)	22.73% (n=40)	77.27% (n=136)
Imperfective (Iterative)	3.92% (n=4)	96.08% (n=98)

Table 7: Translation and Aspect (Transitional Constructions)

When only transitional examples are included, it can be seen that the difference between perfectives and continuous imperfectives is no longer significant ($\chi^2(1)=0.02, p>.05$); the more frequent use of *wesan/beon* for the latter in the previous sample was due to the presence of imperfective statives. However, the increased use of *wesan/beon* for iteratives remains significant ($\chi^2(2)=18.99, p<.001$). This may be related to the transitional semantics of *weorðan*; if the use of *weorðan* calls attention not merely to the existence of an inherently transitional situation but to the transition by which it takes place, the transition itself may not be the most salient feature of a recurring situation, and therefore a different copula would be used. In the following sections, data are given only for transitional constructions unless otherwise indicated, to allow factors other than Aktionsart to be isolated more easily.

An additional way in which translation can influence the form of texts was suggested by Macleod (2014: 333–6), which found that more literal translations can sometimes display a different general pattern from free translations. In this work a distinction has been drawn

between passive constructions that are ‘strict’ translations, in which either the Old English and the Latin correspond in every respect or else only minor changes such as a change of tense or mood have taken place, and those which are ‘free’ translations, in which the Old English construction translates an active Latin verb or a different syntactic category such as a noun, or does not correspond directly to any Latin form. When data from translated texts was analysed it was found that *weorðan* was used in 25.91% of free translations (n=64/247), but only 17.98% of strict translations (n=114/634), a difference which was significant ($\chi^2(1)=6.93$, $p<.01$). Such associations were found in Macleod (2014) to differ among texts; when the texts in this study were analysed individually, a significant association was found only for *Bede* ($\chi^2(1)=11.19$, $p<.001$). A possible explanation for this is that the construction with *wesan*, having a close parallel in Latin, could potentially have been perceived as more suitable for translating a Latin form, but that this similarity did not seem equally salient to all translators.

TENSE AND TIME REFERENCE

Our analysis also considered potential interactions between copula choice and tense. ‘Tenses’ in this study are verb categories which grammaticalize ‘time reference’ (among functional categories). The latter term refers to the temporal relationship between speech time (i.e. the time of utterance or writing or some fictitious ‘now’) and the situation referred to. We use the temporal categories ‘past’, ‘future’, ‘present’, and ‘past(past)’, future(past), and ‘universal’. ‘Past’ and ‘future’ time correspond to situations before and after speech time, respectively. ‘Present’ time is one which at least overlaps with speech time, where ‘overlaps’ means that some part of the situation being predicated is contemporaneous with speech time. Situations which have present time reference include those denoted by performatives (such as *I name this ship ‘Elizabeth’*), and those in which speech time is contained within the situation predicated (such as *the cat is sleeping in its basket*). The categories ‘past(past)’ and ‘future(past)’ are complex time references in which one time point is viewed from the point of view of another which is not speech time. Past(past) is a subset of ‘past’ time reference in which a more distant past situation is viewed from the perspective of a more recent past time. In future(past) a situation is anterior

from the perspective of a future time.²⁴ Situations with ‘universal’ time reference hold regardless of time, and include mathematical truths, proverbs, and logical conditionals (such as *if you eat well you feel better*).

Tenses grammaticalize time reference, but may grammaticalize other functional categories as well, such as aspect and modality (on modality see below). For example, the Latin *claudivi* is a ‘perfectum’ (as we call it), which grammaticalizes past time and perfective aspect, *claudiebam* is an ‘imperfectum’ which grammaticalizes past time reference and imperfective aspect, and *claudiveram* is a ‘plusquamperfectum’ which grammaticalizes past(past) time reference (and is unspecified for aspect). We use Latin terms for Latin tenses to keep them distinct from time references, since there is not always a one-for-one mapping between time reference and tense (e.g. the Latin ‘praesens’ can be used with future or universal time reference, and not only with present time reference). We divide the OE tense forms into two categories: ‘preterite’, which generally has past time reference,²⁵ and its complement, for which we also use the Latin term ‘praesens’ in order to reserve the term ‘present’ for a semantic category. For more details on the interaction between time reference and tense in general, see Reichenbach (1947), Comrie (1985), and Klein (2009; on time reference and tense in the Latin passive, see Jones (2009: 33–47); on the OE tense system, see Mitchell (1985, I, 228–56).

	weorðan	wesan/beon
Preterite	31.88% (n=249)	68.12% (n=532)
Praesens	17.68% (n=58)	82.32% (n=270)
Non-finite	11.49% (n=10)	88.51% (n=77)

Table 8: Tense and Copula

The table above shows the association of the different copulas with different tenses. Although *weorðan* is less frequent both in the preterite and in the praesens, as well as in non-finite constructions²⁶, as has previously been noted (e.g. Mitchell 1985, I, 331), *weorðan* occurs with significantly greater frequency in the preterite than in the praesens ($\chi^2(2)=35.24$, $p<.001$). This

²⁴ Comrie (1985) defines such time references as ‘relative’, as opposed to ‘absolute’ time references, in which the perspective from which situation is viewed is the same as speech time.

²⁵ In OE it is not obligatory to mark a grammaticalized difference between past and past(past).

²⁶ All non-finite constructions here involve infinitives; no examples with participles were found.

may be connected with the observed use of *weorðan* as a frequent structural device in narrative sequences (see Petré 2010); such narrative structures would naturally be most frequent in the preterite. The variation between praesentia and non-finite forms is not statistically significant ($\chi^2(1)=1.92, p>.05$).

MOOD AND MODALITY

Another potential factor in copula choice examined in our analysis is mood, which we define as a grammaticalized expression of modality. The term ‘modality’ in this study refers to ‘a notional category relating to whether the speaker asserts a proposition as fact’. If so, the modality is factual (or ‘realis’); if not, it is non-factual (or ‘irrealis’). These categories of modality are often grammaticalized in OE and Latin by the indicative and subjunctive mood, respectively. However, this match is not always perfect, notably when the subjunctive is used ‘by construction’ in a purely factual sense; this is true, for example, of certain OE and Latin temporal clauses. A wide variety of approaches have been proposed to address such apparent mismatches between form and meaning, with little consensus as to the ideal solution (for review see e.g. Nordström 2010).²⁷

	weorðan	wesan/beon
Indicative	30.97% (n=280)	69.03% (n=624)
Imperative	14.29% (n=1)	50.00% (n=6)
Subjunctive (Non-fact)	12.88% (n=21)	87.12% (n=142)
Subjunctive (Other)	19.05% (n=4)	80.95% (n=17)

Table 9: Mood and Copula

This table shows the associations of the copulas with different moods. Some of the passive constructions in the data were potentially ambiguous in their morphology and semantics between indicative and subjunctive readings, generally in the preterite plural (see Mitchell 1985: I, 252–6); these have been excluded from the figures above. In the analysis two types of subjunctive are distinguished, those in which the subjunctive mood has significant semantic content denoting the non-factuality of the situation, and those in which its use is occasioned

²⁷ For a discussion of the relationship between modality and mood, see Palmer (2001). On the interaction between modality and aspect, see Abraham and Leiss (2008: 3–41). For further discussion of modality in relation to the passive (with references), see Jones (2009: 27–9).

primarily by a particular syntactic construction and it makes little semantic contribution to the sentence. The two types can be seen in the following examples, respectively:

- (22) *God us drencte [...] mid tearum,*
 God us drenched with tears,
swa ðætte æghwelces mannes mod [...] wære geðwæned
 so that each man's mood were.SUBJ moistened
 'God drenched us with tears, so that each man's spirit would be softened.'
 (CP 53.413.10)

- (23) *ear þan þe he bebyrged wære. eall folc geceas Eadward to cynge*
 ere that that he buried were.SUBJ all folk chose Edward to king
 'Before he was buried, all the people chose Edward as king.'
 (ChronE 1041.4)

In the first example, the preterite subjunctive is used to denote a purpose, a purpose that is contingent upon the original action and may not necessarily be realised in every case. In the second example the preterite subjunctive is used because of the temporal clause with *ær* 'before' (see Mitchell 1985: II, 373–87); there is no suggestion that the burial did not occur or might not have occurred. The semantic difference between the two subjunctives can be seen in part through their Modern English translations; the first requires a modal expression, while the second can be rendered with a bare indicative. The non-factual subjunctives show a significant association with *wesan* ($\chi^2(3)=26.47, p<.001$); when these are removed from the sample, there are no further significant associations between copula choice and mood ($\chi^2(2)=2.29, p>.05$). This may be related to the possibility raised in connection with subjunctive ingressives above: since the situations denoted by subjunctive clauses are less likely to be interpreted as simultaneous with that of another verb, there is less need for disambiguation through the use of a copula with a more restricted Aktionsart. Where there is little possibility of such ambiguity, transitional and non-transitional expressions can be seen as 'functionally equivalent' (Jones 2009: 29–30)

The overall variation among different texts in their choice of copula can be seen in the table below.

	weorðan	wesan/beon
<i>Bede</i>	4.92% (n=9)	95.08% (n=174)
<i>CP</i>	28.57% (n=36)	71.43% (n=90)
<i>Or</i>	43.90% (n=36)	56.10% (n=46)
<i>GD(C)</i>	14.56% (n=23)	85.44% (n=135)
<i>GD(H)</i>	33.33% (n=46)	66.67% (n=92)
<i>OT</i>	38.03% (n=27)	61.97% (n=44)
<i>BenR</i>	0.81% (n=1)	99.19% (n=122)
<i>WHom</i>	51.26% (n=61)	48.74% (n=58)
<i>ChronE</i>	40.31% (n=79)	59.69% (n=117)

Table 10: Analysis by Text

It can be seen that the texts vary to a degree that has obvious statistical significance ($\chi^2(8)=174.44$, $p<.001$). The infrequency of *weorðan* in *Bede* has long been noted (see Kilpiö 1989:98–101); the text of *Bede* has a number of Mercian dialect features, and it has been suggested that the avoidance of *weorðan* may also be a Mercian feature, although the scarcity of unambiguously Mercian data makes it difficult to test this hypothesis. The infrequency of *weorðan* in *BenR* may also seem particularly striking. However, in this text a majority of the examples are praesens subjunctives, for which *weorðan* is generally less common (see above under ‘Tense and mood’), and it is therefore necessary to correct for any resulting bias. The table below shows the data for indicatives only:

	weorðan	wesan/beon
<i>Bede</i>	4.14% (n=6)	95.86% (n=139)
<i>CP</i>	29.67% (n=27)	70.33% (n=64)
<i>Or</i>	47.14% (n=33)	52.86% (n=37)
<i>GD(C)</i>	19.01% (n=23)	80.99% (n=98)
<i>GD(H)</i>	37.84% (n=42)	62.16% (n=69)
<i>OT</i>	47.17% (n=25)	52.83% (n=28)
<i>BenR</i>	2.78% (n=1)	97.22% (n=36)
<i>WHom</i>	51.11% (n=46)	48.89% (n=44)
<i>ChronE</i>	41.71% (n=78)	58.29% (n=109)

Table 11: Analysis by Text (Indicatives only)

It can be seen that, even when indicatives alone are considered, *weorðan* remains infrequent in *BenR*, albeit to a lesser degree; an explanation for this may lie in the tripartite distinction in the praesens between *weorðan*, *wesan*, and *beon*, which will be examined below. Another significant change is the increase in the use of *weorðan* in the revision of *GD* ($\chi^2(1)=10.18$,

$p < .01$); a number of passive constructions that originally used *wesan* in MS C were rephrased to use *weorðan* instead in MS H. The possibility has been raised that this represents a diachronic change away from *wesan* and towards *weorðan* for eventive passives (for discussion see Yerkes 1982). However, given the presence of early texts such as *Or* that use *weorðan* more frequently, and the presence of later texts such as *BenR* that avoid it, the issue may not be one of straightforward diachronic change; it is important to consider whether the observed variation might instead reflect diachronically stable stylistic preferences (cf. Macleod 2014: 337–9).

5.4 BEON AND WESAN

PREVIOUS LITERATURE

At this point we revisit the distinction between *wesan* and *beon*. It is generally true that *beon* contrasts with *wesan* in its greater use in iterative, habitual, and generic contexts, and its use with future time reference (Kilpiö 1993). It should be noted, however, that these tendencies are not absolute and that there are varying degrees of overlap between *wesan* and *beon* in these contexts, a finding which is not unexpected given the ability of pragmatic context to create readings that do not reflect the basic Aktionsart of a verb. It should also be observed that *beon* does not seem to share the inherent transitionality of *weorðan*; *beon* is found even in non-transitional, stative passives, such as the following (cited by Kilpiö 1989: 58):

- (24) *Ne bið se no gefylled ðæs Halgan Gæsðæs*
 not is that never filled the Holy Ghost's
se ðe [...] forlæt ðone wielm ryhtwislices andan
 that which forsakes the fervour righteous zeal's
 'He is not filled with the Holy Ghost who abandons the fervour of righteous zeal.'
 (CP 40.291.9)

This example shows the stative use of *beon* (here in a generic, gnomic context). In telic contexts, however, it has been suggested that passives with *weorðan* resemble passives with *beon* in sharing *beon*'s aforementioned Aktionsart properties (Kilpiö 1989: 61–7). Like *beon*, passives with *weorðan* often have future reference; while this may be related to its original meaning 'become' (e.g. Kilpiö 1989: 61), it can also be seen as a manifestation of the ordinary OE use of

the praesens to refer to future time (Petré 2014: 186–9). The latter interpretation might suggest that *wesan* is the unusual copula, in that its Aktionsart predisposes it to be seen as referring to incomplete, in-progress situations to a degree that makes it generally unsuitable for future use. Given the similarity in Aktionsart of *beon* and *weorðan*, a closer examination of their distribution has the potential to shed additional light on the factors influencing copula choice.

THE COMPOSITIONAL MODEL

In analysing the variation among *beon*, *wesan*, and *weorðan*, we have used only data from the praesens indicative. *Beon* lacks a preterite, and therefore cannot alternate with other copulas in this tense, while in the subjunctive and non-finite forms a single variant, either from *beon* or *wesan*, is generally preferred in each category, although the choice of form is subject to variation among dialects and texts (see Kilpiö 1993: 96–7).

	weorðan	wesan	beon
NT ^{NT}	0.00% (n=0)	76.52% (n=101)	23.48% (n=31)
T ^{NT}	—	—	—
NT ^T	0.00% (n=0)	86.11% (n=93)	13.89% (n=15)
T ^T	26.13 % (n=52)	12.06% (n=24)	61.81% (n=123)

Table 12: Actual Distributions of Copulas

The data in this table are drawn from the same dataset as Table 4 above, broken down further to differentiate between *wesan* and *beon*. The few ingressive examples identified in this study were either preterite indicative or praesens subjunctive, and therefore do not appear; none of those in the praesens subjunctive involved *beon*. As can be seen, *beon* is used more frequently than *wesan* in transitional constructions, to a highly significant degree ($\chi^2(2)=156.43$, $p<.001$)²⁸; this is compatible with previous observations regarding the Aktionsart of *beon*, as discussed above. However, *beon* is also used in stative constructions; this supports the prediction of the compositional model that *beon* is not inherently transitional in its semantics and that despite their differences in Aktionsart it patterns with *wesan* in this respect. If *beon* resembled *weorðan* in having an Aktionsart well suited to transitional constructions but differed from it in also being

²⁸ This significance was calculated using only the data for *wesan* and *beon*; *weorðan* was excluded to avoid measuring the significance of the difference between *weorðan* and the other two copulas.

compatible with stative constructions, this raises the possibility of competition between these copulas, a possibility that will be examined in greater detail below.

SEMANTIC CLASSIFICATION OF THE PRAESENS

In our analysis we distinguish three semantic types of praesens: the specific praesens, referring to unique situations obtaining at the actual moment of speech; the generic praesens, referring to recurrent, habitual, or universal situations; and futures. Constructions for which there is substantial ambiguity among these readings have been excluded. The three types of praesens can be seen in the following examples, respectively:

(25) *nu is se tima þæt ðeos woruld is gemæncged mid mænigfealdan mane*
 now is the time that this world is mixed with manifold evils
 ‘Now is the time when this world is disturbed with numerous crimes.’
 (*WHom* V.23)

(26) *ðæt geðreatade mod bið suiðe raðe gehwierfed to fiounga*
 the threatened mood is strongly quickly turned to hating
 ‘The rebuked spirit is very soon turned to hatred.’
 (*CP* 21.167.13)

(27) *ðonne ða heortan ðe hie ahebbað [...] weorðað ofdune aworpne*
 then the hearts that they exalt become down cast
 ‘Then [on Doomsday] the hearts that they exalt will be cast down.’
 (*CP* 35.245.24)

As discussed above, the broad distribution of *beon* and *wesan* is generally agreed, and is confirmed by the data below. However, less attention has been given to variation among texts; the most comprehensive study (Kilpiö 1989) examines only two, *Bede* and *CP*. The table below shows the distribution of the three copulas among the different praesens types, with the different texts shown separately. The only praesens indicatives in our sample of *Or* are statives and were therefore excluded.

		weorðan	wesan	beon
<i>Bede</i>	Specific	—	50.00% (n=1)	50.00% (n=1)

	Generic	—	—	100.00% (n=2)
	Future	—	—	100.00% (n=2)
<i>CP</i>	Specific	—	100.00% (n=1)	—
	Generic	29.63% (n=16)	—	70.37% (n=38)
	Future	80.00% (n=4)	—	20.00% (n=1)
<i>GD(C)</i>	Specific	—	100.00% (n=3)	—
	Generic	—	—	100.00% (n=7)
	Future	—	—	100.00% (n=1)
<i>GD(H)</i>	Specific	—	100.00% (n=3)	—
	Generic	—	—	100.00% (n=7)
	Future	—	—	100.00% (n=1)
<i>OT</i>	Specific	—	—	100.00% (n=1)
	Generic	—	—	—
	Future	—	—	100.00% (n=4)
<i>BenR</i>	Specific	—	100.00% (n=1)	—
	Generic	—	—	100.00% (n=12)
	Future	—	—	100.00% (n=8)
<i>WHom</i>	Specific	—	100.00% (n=2)	—
	Generic	48.00% (n=12)	12.00% (n=3)	40.00% (n=10)
	Future	56.52% (n=13)	—	43.48% (n=10)
<i>ChronE</i>	Specific	—	—	—
	Generic	—	—	100.00% (n=1)
	Future	—	—	—
Total:	Specific		84.62% (n=11)	15.38% (n=2)
	Generic	25.92% (n=28)	2.78% (n=3)	71.30% (n=77)
	Future	38.64% (n=17)		61.36% (n=27)

Table 13: *Beon* and other copulas in transitional praesens constructions

It can be seen from this table that considerable variation exists among texts in their preferred copula for generic and future constructions. In some texts, such as *BenR*, the scarcity of *weorðan* can be related to the fact that most passives are in the praesens and to a preference for *beon* over *weorðan* in the contexts in which the latter might be used. In other texts, such as *OT*, *beon* is still preferred for generics and futures, but the frequent occurrence of *weorðan* in narrative past contexts makes its use proportionally quite frequent in general. Other texts, such as *CP* and *WHom*, make free use of both *beon* and *weorðan* for such purposes; it has been suggested that this variation may have been exploited for stylistic purposes (Kilpiö 1989) or to draw finer distinctions of transitionality (Petré 2014). In instances where the choice of copula is not constrained by the Aktionsart of the copula or the participle, a wide range of factors would seem to be involved in producing the observed variation. We also analysed the variation between *wesan* and *beon* in stative contexts, for purposes of comparison. This revealed the same

general tendencies as in transitional constructions, with *wesan* preferred (100.0%, n=150) for specific presents and *beon* for generics (85.1%, n=47); future statives in this sample were rare, with a single example using *beon* being found.

The question may also be asked whether any significant differences between *wesan* and *beon* were revealed by the same tests previously applied to *weorðan* and *wesan/beon*. In the following discussion, although the data are again restricted to the praesens indicative, both transitional and non-transitional constructions are included, since both types occur with each of these copulas.

	wesan	beon
Perfective	54.22% (n=90)	45.78% (n=76)
Imperfective (Continuous)	52.27% (n=115)	47.71% (n=105)
Imperfective (Iterative)	23.33% (n=7)	76.67% (n=23)

Table 14: Translation and Aspect (*wesan/beon*)

It is perhaps interesting, given the foregoing discussion of the Aktionsart of *beon*, that no preference was found for it as a translation of Latin perfective constructions; however, Latin perfective passives can have either eventive or stative meaning, and many of the stative examples may have been felt to be more compatible semantically with *wesan*. A significant preference does emerge for the use of *beon* in iterative contexts ($\chi^2(2)=10.02, p<.01$); this phenomenon, which has long been noted, has been related to the habitual meaning of iterative constructions (e.g. Kilpiö 1989: 59–60). No significant difference was found between *wesan* and *beon* in their use in strict vs. free translations, or in their use with or without overt agents. It was found that 60.68% of constructions with inflected participles used *beon* (n=68/112), as opposed to only 44.73% of constructions with uninflected participles (n=136/304), a significant degree of variation ($\chi^2(1)=8.36, p<.01$). However, the presence or absence of participial inflection in Old English was affected by many different factors (e.g. Mitchell 1985: I, 16–20); the task of isolating the difference between these copulas from other potential variables is beyond the scope of the present work.

6. SUMMARY OF RESULTS AND DISCUSSION

In this section we discuss the significance of our results and the ways in which they help answer the questions set out in section 2.3.

We find strong evidence that the distribution of the combinations *weorðan* or *wesan/beon* + passive participle can be explained compositionally in terms of transitional and non-transitional Aktionsart categories; this suggests that such combinations are ungrammaticalized constructions. Our corpus shows considerable variation in its choice of copula from one text to another in other respects (see section 5.3 ‘Variation among texts’), but in all texts the choice of copula conforms almost exactly to our compositional model.

When the Aktionsart of the whole passive construction is transitional, our model allows both copula to be used, but does not require this. Since the combination of *weorðan* + passive participle guarantees a transitional reading, while that of *wesan/beon* does not (because it also allows a non-transitional reading), we might expect a division of function to emerge, whereby *wesan/beon* would be used to form only non-transitional constructions. This would not, under our definition, be an example of grammaticalization, because the meaning of the combination of *wesan/beon* + passive participle would still be compositional. Rather, it would be an example of constructionalization, according to which, even though this combination could be interpreted compositionally to denote transitional or non-transitional Aktionsart, speakers would know that it would be used only for the latter (see section 2.2). However, we do not find evidence of such a division of function between the copulas: indeed, *wesan/beon* occurs more often than *weorðan* even in transitional constructions, just as in other types.

However, when the passive construction is transitional and both copulas are used, we do find significant patterns of distribution along dimensions other than Aktionsart. It is noteworthy that, in each case, it is *wesan/beon* which is preferred. First, *wesan/beon* is preferred to *weorðan* when the aspect is iterative, perhaps because *wesan/beon* allows the iterated event to be viewed in its entirety as non-transitional, whereas *weorðan* would make the construction markedly transitional. Second, in some texts there is a preference for *wesan/beon* in strict translations from Latin, i.e. those in which the OE passive translates a Latin passive, as opposed to free translations, in which the OE passive translates some other type of construction. This may reflect the fact that *wesan/beon*, as the verb ‘to be’, corresponds to the verb ‘to be’ used in Latin periphrastic passives. Third, *wesan/beon* is preferred for non-factual subjunctives. We suggest that this is because, in these contexts, it is often unimportant to distinguish between a transition into a state and the state itself.

We find further patterns of distribution when we analyse *wesan* and *beon* separately rather than as a single verb. *Beon* is preferred significantly over both *weorðan* and *wesan* when the Aktionsart is transitional, but *beon* is, like *wesan*, still used for non-transitional Aktionsart, so its functional range does not correspond exactly to that of *weorðan*. However, when we separate the instances of the praesens into three different temporal categories of specific present (i.e. continuing at speech time), generic, and future, our results show a clear pattern: *wesan* is preferred for specific presents and *beon* is preferred (over both *wesan* and *weorðan*) for generics and futures.

Our findings may shed light on the reasons why *weorðan* was lost after the OE period. If, as we argue, the combination of *weorðan* and a passive participle was not grammaticalized or constructionalized, the loss of *weorðan* would lead to the loss only of a lexeme rather than to the loss of a construction. Moreover, the combination between *wesan/beon* and the passive participle can be used to express three of the four Aktionsart categories in our semantic model, so that, even without *weorðan*, the language still had the resources to denote situations in these categories. The exception is the ingressive Aktionsart category. However, as we have seen (section 5.1), this is a very rare category which in any case could be expressed after the loss of *weorðan* by another verb meaning ‘become’ with the passive participle.²⁹ When we analyse the choice of copula to denote transitional situations, i.e. those in which *weorðan* and *wesan/beon* are interchangeable according to our model, we find that *wesan/beon* is actually preferred. This might seem surprising, because in combination with the passive participle of a transitional verb, *weorðan* guarantees a transitional reading, whereas *wesan/beon* also allows a non-transitional (i.e. resultative) reading. A possible clue to the preference for *wesan/beon* may lie in the respective functions of *wesan* and *beon* in the praesens. Here we find that *beon* is used to denote transitional situations considerably more often than *weorðan*. However, the strongest pattern in the distribution between *wesan*, *beon*, and *weorðan* in the praesens is based on time reference, not Aktionsart, with *wesan* preferred for specific presents and *beon* for generics and futures. It is possible that, in the praesens, these temporal distinctions were more salient than distinctions based on Aktionsart, and that the need to mark transitional Aktionsart unambiguously with *weorðan* was secondary. This in turn could have led to *weorðan* being a marginal verb in passive

²⁹ Petré provides an analysis of *weorðan*’s successors in eME, and finds that the combination of *weaxan* + passive participle is used to express ingressive situations (2014: 197–225).

constructions altogether. The fact that *weorðan* is used less than *wesan* even with past time reference, where there are not the competing temporal (specific/generic/future) distinctions of the praesens, is consistent with a situation in which *weorðan* had a marginal status in passive constructions.

Although the passive construction with *weorðan* was a marginal one in OE, it does not, to judge by our corpus, become more so during the OE period: the preference for *wesan/beon* over *weorðan* to denote transitional situations is as much a feature of the early as the late texts. This suggests that passive constructions played an enabling rather than a leading part in the disappearance of *weorðan* from English: the lack of grammaticalization in the passive meant that the loss of this lexeme (along with its replacement by others, notably *becuman* and *weaxan*) could occur without great disruption to the capacity of the language to denote passive situations. This would be compatible with an account in which the trigger for the loss of *weorðan* came, not from the passive, but from elsewhere, for example from the blurring of the early OE semantic distinction between *weorðan* ‘become’ and *geweorðan* ‘happen’ (see fn 11), or from the shift the language from ‘bounded’ to ‘unbounded’ sentences (see Petré 2010).

It may be interesting to contrast our findings for OE with the situation in Old High German (OHG). In terms of our model, the distribution of *werdan* or *wesan* with a passive participle in early OHG texts is very similar to what we have found for *weorðan* and *wesan/beon* in OE. However, there is evidence that, in late OHG, the combination of copula and passive participle had already developed some way towards the pattern we see in modern German. First, the construction with *werdan* appears to have become grammaticalized, so that it was used for eventive situations in general, and not only for transitional ones (for details, see Jones 2009). Second, there is evidence that the choice of copula became constructionalized, so that *wesan* became used only for non-transitional situations. Moreover, OHG did not have alternative variants of the verb ‘to be’ corresponding to those of *wesan/beon* in OE: although the OHG verb is suppletive, in general only one variant is available for each part of the paradigm. The contrast between English and German can thus be summarized as follows. In English the combination of *weorðan* or *wesan/beon* + passive participle was not grammaticalized, nor did a clear opposition develop between the two through constructionalization; moreover, *beon*, one of the forms of the verb ‘to be’, to some extent competed with *weorðan*; subsequently *weorðan* was lost. In German the combination of *werdan* or *wesan* + passive participle was grammaticalized and

constructionalized; moreover, there was no system of alternative forms of the verb ‘to be’ to compete with *werdan*; and *werdan* survived in the language. The contrast suggests a situation in which the lack of grammaticalization in the OE passive, along with the division of function between *wesan* and *beon*, meant that *weorðan* could be dispensed with at relatively little cost.

If the OE passive could express the same semantic range as the present-day English passive without being grammaticalized, it may be asked whether grammaticalization of the passive has taken place at any point in the history of English. We would suggest that the passive construction of ‘to be’ + passive participle does, in fact, show signs of grammaticalization since the OE period. Such a development can be seen in the appearance of indirect passives (e.g. *John was given a book*). Such passives are no longer fully compositional: the passive participle on its own cannot express benefactive meaning (e.g. *John was given* ≠ ‘John received’), and therefore the copula in an indirect passive is not simply expressing a subject-predicate relationship. Nor do indirect passives have a stative meaning; *John is given a book* cannot be used in a resultative sense to mean that John now possesses a book, unlike similar constructions in which the subject corresponds to the direct object of an active verb (e.g. *John is endowed with many virtues*). (Denison 1993: 422 places a different interpretation on indirect passives as a sign of grammaticalization, viewing them as an indicator of increasingly transparent argument structure rather than of decreasing semantic compositionality.) Indirect passives are first reliably attested in Middle English (see e.g. Denison 1993: 110–112). However, the reanalysis necessary for their appearance may have taken place at an earlier date, which could be difficult to fix exactly given the paucity of evidence from the period immediately preceding this development.

7. CONCLUSION

We address two main questions relating to the OE passive which have been raised in previous studies:

1. Are passive constructions grammaticalized or constructionalized?
2. On what basis are the copulas distributed in passive constructions?

There has previously been a lack of consensus both on the grammatical status of OE passives and on the criteria that could be used to assess this. Our starting point is a compositional model for the interaction between copula and passive participle which is based on Aktionsart. This model

predicts that transitional passive situations will be expressed using either *weorðan* or *wesan/beon* + passive participle, and that non-transitional situations will be expressed only with *wesan/beon* + passive participle. Using a selected corpus of OE prose texts, translated and not, we find a distribution of the copulas almost exactly in line with this model for all authors and throughout the period. To this extent we conclude that the combination of copula and passive participle is not grammaticalized in OE. When the Aktionsart of the combination is transitional, our model allows both copulas to be used, but does not require this. Since the use of *weorðan* in such constructions would guarantee a transitional reading, we might expect a division of function to emerge between the copulas, such that *wesan/beon* would be used only for non-transitional situations. This would parallel the distribution which emerged between the cognate copula verbs *werdan* and *wesan* during the Old High German period, and would be an example of what we term ‘constructionalization’, a process whereby the choice of construction, which in this case amounts to the choice of copula, would invite only one of two compositionally possible readings. However, we do not find this in OE, as both copulas are used for transitional situations. In common with previous studies, we find that *wesan/beon* is the preferred copula in such cases, even though the choice of *weorðan* would be less ambiguous. Our main explanation for this is that, in the praesens, *wesan/beon* offers alternative forms (either *wesan*-based or *beon*-based), which allow temporal distinctions to be signalled, and that these distinctions were felt to be more salient than Aktionsart distinctions. However, according to our analysis, there is no need to assume that the unequal distribution of the two copulas is related to grammaticalization. Our semantic model provides a clearer set of criteria than was previously available for assessing the grammaticalization of OE passives; the conclusions drawn from it, although negative, may rest on a firmer foundation than previous assessments of the status of these constructions.

The lack of grammaticalization of *weorðan* and its marginal status in the OE passive minimized the functional loss to the language when *weorðan* eventually disappeared. However, given that *weorðan*'s marginal status in the passive does not become any more pronounced during the period, it is possible that, while the marginal position of *weorðan* in the passive facilitated its eventual loss to the language, it did not trigger it, and that the decisive push came from elsewhere. Possible candidates are the blurring of the semantic distinction between

weorðan ‘become’ and *geweorðan* ‘happen’ during the OE period and, as proposed by Petré (2010), the shift in the language from ‘bounded’ to ‘unbounded’ sentences.³⁰

Our study suggests two main avenues for further research. The distribution between *weorðan* and *wesan/beon* in OE passive constructions is also found between their cognates meaning ‘become’ and ‘to’ in other early Germanic languages, notably Gothic, Old Norse, and Old High German. However, the various Germanic languages have moved apart from what appears to be a common starting-point. We have highlighted differences between OE and Old High German that correlate with the different paths taken by passive constructions in those languages. However, detailed work is needed to examine whether the correlation is more than coincidental. The second possible path for future research relates particularly to the fate of OE *weorðan*. If, as we propose, the marginal status of this copula in passive constructions played an enabling, rather than a concluding part in its eventual loss, the implication is that the impetus for this development is to be found in an analysis of the distribution and function of *weorðan* outside of passive constructions. While it has been suggested that passives with *weorðan* were semantically or pragmatically marked in some way (e.g. Kilpiö 1989; Petré 2014), the precise connotations of these constructions have proved resistant to explicit codification. Despite the valuable data provided by studies such as Petré (2014) on the distribution of *weorðan*, there is room for more work on the precise collocations in which this copula occurred outside the passive in Old and Middle English.

³⁰ For other theories for the loss of *weorðan*, see Petré (2014).

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